
 MODULE *TwoStepOptimisticBroadcast*

EXTENDS *FiniteSets*, *Integers*, *TLC*

CONSTANTS

P the set of parties
 F , *Faulty* the set of faulty parties
 V , \mathcal{V} the set of value that may be broadcast

$$\begin{aligned} N &\triangleq \text{Cardinality}(P) \\ F &\triangleq \text{Cardinality}(\text{Faulty}) \end{aligned}$$

ASSUME $\text{Faulty} \subseteq P \wedge N > 3 * F$

Integer division, rounded up:

$$\text{CeilDiv}(a, b) \triangleq \text{IF } a \% b = 0 \text{ THEN } a \div b \text{ ELSE } (a \div b) + 1$$

The set of possible messages in the network:

$$\begin{aligned} \text{Message} &\triangleq \\ &[\text{src} : P, \text{dst} : P, \text{type} : \{\text{"proposal"}, \text{"echo"}, \text{"vote"}, \text{"ready"}\}, \text{val} : V] \end{aligned}$$

```
--algorithm Broadcast{
variables
    broadcaster ∈ P, the distinguished broadcaster party; could be faulty or not
    msgs = {} ; the set of sent messages
define {
    Msgs(self, v, type)  $\triangleq$  {m ∈ msgs : m.type = type  $\wedge$  m.val = v  $\wedge$  m.dst = self}
    Echos(self, v)  $\triangleq$  Msgs(self, v, "echo")
    Votes(self, v)  $\triangleq$  Msgs(self, v, "vote")
    Readys(self, v)  $\triangleq$  Msgs(self, v, "ready")
}
macro SendAll( type, value ) {
    msgs := msgs  $\cup$  {[src  $\mapsto$  self, dst  $\mapsto$  d, type  $\mapsto$  type, val  $\mapsto$  value] : d  $\in$  P}
}
fair process ( correctParty ∈ P \ Faulty )
    variable delivered =  $\langle \rangle$  ; the delivered value
{
l0:    while ( TRUE ) with ( v ∈ V ) {
        either {
            send proposal
            when self = broadcaster ;
            when  $\forall m \in \text{msgs} : \neg(m.\text{src} = \text{self} \wedge m.\text{type} = \text{"proposal"})$  ;
            with ( proposal ∈ V )
                SendAll("proposal", proposal)
        }
        or {
            send echo
            when  $\forall m \in \text{msgs} : \neg(m.\text{src} = \text{self} \wedge m.\text{type} = \text{"echo"})$  ;
            await [src  $\mapsto$  broadcaster, dst  $\mapsto$  self, type  $\mapsto$  "proposal", val  $\mapsto$  v]  $\in$  msgs ;
        }
    }
}
```

```

        SendAll("echo", v)
    }
or { fast delivery
    await Cardinality({m ∈ Echos(self, v) : m.src ≠ broadcaster}) ≥ CeilDiv(N + 2 * F - 2, 1);
    delivered := v
}
or { send vote
    when ∀ m ∈ msgs : ¬(m.src = self ∧ m.type = "vote");
    await Cardinality({m ∈ Echos(self, v) : m.src ≠ broadcaster}) ≥ CeilDiv(N, 2);
    SendAll("vote", v)
}
or { send ready
    when ∀ m ∈ msgs : ¬(m.src = self ∧ m.type = "ready");
    await
        ∨ Cardinality({m ∈ Echos(self, v) : m.src ≠ broadcaster}) ≥ CeilDiv(N + F - 1, 2)
        ∨ Cardinality({m ∈ Votes(self, v) : m.src ≠ broadcaster}) ≥ CeilDiv(N + F - 1, 2)
        ∨ Cardinality(Readys(self, v)) ≥ F + 1;
    SendAll("ready", v)
}
or { slow delivery
    await Cardinality(Readys(self, v)) ≥ 2 * F + 1;
    delivered := v
}
}
}

process ( faultyParty ∈ Faulty ) {
    faulty parties may send arbitrary messages:
l1: while ( TRUE )
    with ( v ∈ V, t ∈ { "proposal", "echo", "vote", "ready" }, d ∈ P \ Faulty ) {
        msgs := msgs ∪ {[src ↦ self, dst ↦ d, type ↦ t, val ↦ v]}
    }
}
}

Correctness properties:
```

$$\begin{aligned}
TypeOK &\triangleq \\
&\wedge \forall m \in msgs : m \in Message \\
&\wedge \forall p \in P \setminus Faulty : delivered[p] \in \{\langle \rangle\} \cup V \\
ReadySame &\triangleq \forall m1, m2 \in msgs : \\
&\wedge m1.src \notin Faulty \wedge m2.src \notin Faulty \\
&\wedge m1.type = "ready" \wedge m2.type = "ready" \\
\Rightarrow &m1.val = m2.val
\end{aligned}$$

to find an execution in which all correct parties deliver:

$$\begin{aligned} \text{Falsy} &\triangleq \neg(\\ &\quad \forall p \in P \setminus \text{Faulty} : \text{delivered}[p] \neq \langle \rangle \\ &\quad) \end{aligned}$$

$$\begin{aligned} \text{Agreement} &\triangleq \forall p_1, p_2 \in P \setminus \text{Faulty} : \\ &\quad \text{delivered}[p_1] \neq \langle \rangle \wedge \text{delivered}[p_2] \neq \langle \rangle \Rightarrow \text{delivered}[p_1] = \text{delivered}[p_2] \end{aligned}$$

$$\begin{aligned} \text{Liveness} &\triangleq \\ &\quad \wedge (\text{broadcaster} \notin \text{Faulty} \Rightarrow \forall p \in P \setminus \text{Faulty} : \\ &\quad \quad \diamond(\exists v \in V : \\ &\quad \quad \quad \wedge [\text{src} \mapsto \text{broadcaster}, \text{dst} \mapsto p, \text{type} \mapsto \text{"proposal"}, \text{val} \mapsto v] \in \text{msgs} \\ &\quad \quad \quad \wedge \text{delivered}[p] = v)) \\ &\quad \wedge \square((\exists p \in P \setminus \text{Faulty} : \text{delivered}[p] \neq \langle \rangle) \Rightarrow \forall p \in P \setminus \text{Faulty} : \diamond(\text{delivered}[p] \neq \langle \rangle)) \end{aligned}$$