THE GRÖBNER BASIS OF A CATALAN PATH IDEAL

NANTEL BERGERON, XAVIER MOOTOO, AND VEDARTH VYAS

ABSTRACT. For the ideal $I=\langle y_1+\cdots+y_n,y_1^2,\ldots,y_n^2\rangle$ in $R=\Bbbk[y_1,\ldots,y_n]$ with char(\Bbbk) = 0, we show that the reduced Gröbner basis with lex-order consists of polynomials g_α that are represented in terms of paths, moving northeast in the Cartesian plane, that stay above the diagonal and cross the diagonal at the last step. This implies that a linear basis for the quotient ring R/I is given by a set of Catalan paths. We show that the dimension is the number of standard Young tableaux of size n and height at most two. The graded Frobenius characteristic of R/I as a symmetric group module is given by $\sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} s_{n-k,k} q^k$.

1. Introduction

Let $R = \mathbb{k}[y_1, \dots, y_n]$ be the polynomial ring over a field \mathbb{k} with char(\mathbb{k}) = 0 and consider the ideal: $I = \langle y_1 + y_2 + \dots + y_n, y_1^2, y_2^2, \dots, y_n^2 \rangle \subseteq R$. We show that the graded quotient N = R/I has a Hilbert series given by

$$H_N(q) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} f^{(n-k,k)} q^k ,$$

where $f^{(n-k,k)}$ is the number of standard Young tableaux of shape (n-k,k), equivalently, the dimension of the irreducible symmetric group indexed by that shape. These numbers are related to paths that remain above the diagonal such as Catalan paths (see Definition 1.3). We then show that N is indeed a symmetric group module and its irreducible representation decomposition is as expected (see Section 3). This problem was suggested to us by John Machacek [Mac19]. This ideal is one among a family of ideals related to those which are studied extensively in [HRS18], and was motivated as a commutative version of the exterior portion of the super-space quotient defined in [Zab19]. Our main goal is to find the Gröbner basis explicitly for the ideal described above.

Theorem 1.1. The reduced Gröbner basis with respect to $>_{lex}$ for the ideal I consists of $\{y_2^2, \ldots, y_n^2\}$ and of the polynomials

$$g_{\alpha} = y^{\alpha} + \sum_{\beta \in P_{\alpha}} y^{\beta} \tag{1}$$

Date: January 8, 2022.

Key words and phrases. Gröbner Basis, Catalan Paths, Path Enumeration.

Bergeron: Research supported in part by NSERC and York Research Chair.

Mootoo: Research supported by NSERC and Bergeron's York Research Chair.

Vyas: Research supported by Bergeron's York Research Chair.

Where $\alpha \in \{0,1\}^n$ is a Modified Catalan Path (see Definition 1.7) and P_{α} is a set of paths weakly above α (see Definition 1.9).

The paper is divided as follows. In §1.1 we introduce some definitions, notations and a loop version of our main theorem; namely Theorem 1.13. We span §2 to construct the Gröbner basis and in §3, we will compute some independent elements in the orthogonal complement of I. A dimension argument will allow us to conclude that they form a basis of the quotient and that Theorem 1.13 holds. As a byproduct, we show that each homogeneous component is of degree $k \leq \lfloor \frac{n}{2} \rfloor$. It is in fact an irreducible symmetric group module indexed by the shape (n-k,k).

Acknowledgement. We would like to thank John Machacek for his prior work on the project and for his suggestion to continue working on it.

1.1. **Definitions, notation and a loop version of our main theorem.** In the following section, we introduce notation and definitions relevant to the construction of the Gröbner basis.

Definition 1.2. For $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Z}_{\geq 0}^n$ we denote a monomial $y^{\alpha} = y_1^{\alpha_1} \cdots y_n^{\alpha_n} \in \mathbb{k}[y_1, \dots, y_n]$. For any square free monomial y^{α} , we call the $\{0, 1\}$ -sequence α , the **bit-string** of the monomial. We often remove comas and parenthesis to represent α .

Definition 1.3. A Catalan path is a series of unit steps forming a staircase walk from (0,0) to (l,l), which is weakly above the line y=x in the Cartesian plane. Each step is either (0,1) an **east** step, or (1,0) a **north** step. Each path contains a total of 2l steps.

Remark 1.4. An arbitrary Northeast path corresponds to a bit string $\alpha \in \{0,1\}^n$, where $\alpha_i = 0$ indicates the i^{th} step is North, and $\alpha_j = 1$ indicates the j^{th} step is East. We say that α is a path of length n. We have the following correspondence:

Square-Free Monomial \iff Bitstring \iff Path.

We will often reference a monomial, path or bitstring interchangeably as the same object.

Example 1.5. Consider the monomials $y_2y_4y_5, y_2y_4y_6 \in \mathbb{k}[y_1, \dots, y_6]$; their bitstring representations are 010110 and 010101 respectively. Their corresponding paths are respectively



For definitions and theorems pertaining to Gröbner basis theory, we follow [CLO13]. Throughout this paper we will use the following lexicographic order.

Definition 1.6. Let $\alpha, \beta \in \mathbb{Z}_{\geq 0}^n$. We say that $\alpha >_{lex} \beta$, if the leftmost nonzero entry of the vector difference $\alpha - \beta \in \mathbb{Z}_{\geq 0}^n$ is positive. We will write $y^{\alpha} >_{lex} y^{\beta}$ if $\alpha >_{lex} \beta$. Note that $>_{lex}$ is a monomial order [CLO13, §2 —Proposition 4].

Theorem 1.1 is our main theorem. To prove it, we decompose the Buchberger's algorithm into loops. The class of S-polynomials produced in each loop is either the next generation of MCP polynomials or has a zero remainder.

Definition 1.7. A sequence $\alpha \in \{0,1\}^n$ is a **Modified Catalan Path (MCP)** if there exists integers $l, m \geq 0$ and a Catalan path $w \in \{0,1\}^{2l}$ such that $\alpha = w1(0)^m$. Note that α is equivalent to a Catalan path with an additional East step after its final step. We let d = 2l + 1 denote the position of the last 1 in α .

Definition 1.8. For a sequence $\alpha \in \{0,1\}^n$ we say that $\ell(\alpha) = n$ is the **length** of α . The number of 0 entries and the number of 1 entries in α are denoted $\ell_0(\alpha)$ and $\ell_1(\alpha)$, respectively. Remark that $\ell_1(\alpha)$ is the degree of the corresponding monomial y^{α} . Moreover, if $\alpha = w\mathbf{1}(0)^m$ is an MCP, then $d = \ell(w) + 1 = 2\ell_1(\alpha) - 1$ is the position of the last entry 1 in α .

Definition 1.9. For a fixed MCP α , let $d = 2\ell_1(\alpha) - 1$. We define the set:

$$P_{\alpha} = \left\{ \beta \in \{0, 1\}^n \middle| \beta \neq \alpha, \ \ell_1(\beta) = \ell_1(\alpha) \text{ and } (\alpha_i = 0 \implies \beta_i = 0)_{1 \le i \le d} \right\}$$

Thus, any $\beta \in P_{\alpha}$ will correspond to a northeast path that is weakly above α and ends in the same position as α .

Remark 1.10. Note that $\alpha >_{lex} \beta$ for all $\beta \in P_{\alpha}$. Hence, the polynomial g_{α} in Equation (1) has leading term given by y^{α} .

Definition 1.11. For an integer $k \ge 1$ such that $\alpha_k = 1$, let $P_{\alpha}^{(k)} = \{\beta \in P_{\alpha} \mid \beta_k = 0\}$.

Definition 1.12. Given an integer $\ell \geq -1$ let:

$$F_{\ell} = \{y_2^2, \dots, y_n^2\} \cup \{g_{\alpha} | \alpha \text{ is an MCP and } \ell_1(\alpha) - 1 \le \ell\},$$

where g_{α} is as indicated in Equation (1). Here $F_{-1} = \{y_2^2, \dots, y_n^2\}$.

Theorem 1.13. Fix an integer n > 0 and let $\ell = \lfloor \frac{n-1}{2} \rfloor$. The set F_{ℓ} is the reduced Gröbner basis for the ideal $I = \langle y_1 + y_2 + \dots + y_n, y_1^2, y_2^2, \dots, y_n^2 \rangle$

2. Constructing F_{ℓ} with S-polynomials

To prove Theorem 1.13, we proceed by iteration, inputting F_{ℓ} into a special loop of Buchberger's algorithm to obtain $F_{\ell+1}$. Each loop uses only well selected S-polynomials to produce $F_{\ell+1}$, where Lemma 2.2 is the key to this process. Once we reach $F_{\lfloor \frac{n-1}{2} \rfloor}$, we turn to §3 to conclude that all other S-polynomials must have zero remainder after division by $F_{\lfloor \frac{n-1}{2} \rfloor}$, concluding our proof. This will be done using a dimension argument. Remark that $I \neq \langle F_{\ell} \rangle$ for $\ell \leq 0$, hence, we first prove the following lemma.

Lemma 2.1. Fix an integer n > 0. Then $I = \langle F_1 \rangle$.

Proof. We have that $F_1 = \{g_1, g_{011}, y_2^2, \dots, y_n^2\}$ where

$$g_1 = y_1 + \sum_{\beta \in P_1} y^{\beta} = y_1 + y_2 + \dots + y_n \in I$$

$$g_{011} = y_2 y_3 + \sum_{\beta \in P_{011}} y^{\beta} = \sum_{2 \le i < j \le n} y_i y_j$$

To prove $\langle F_1 \rangle \subseteq I$ we need only show that $g_{011} \in I$. Indeed, we have

$$g_{011} = \frac{1}{2}(g_1^2 - (y_1^2 + \dots + y_n^2)) - y_1g_1 + y_1^2 \in I.$$

Conversely, we have
$$y_1^2 = (y_2^2 + \dots + y_n^2) - g_1^2 + 2(y_1g_1 + g_{011}) \in \langle F_1 \rangle$$
.

Now that this is established, we want to show that the division algorithm with respect to certain S-polynomials of F_{ℓ} will produce $F_{\ell+1}$. The next lemma will be very useful for this. For $g_{\alpha} \in F_{\ell}$, we compute *only* the S-polynomials of g_{α} and $y_j^2 \in F_{\ell}$ for all j such that $\alpha_j = 1$, and divide each such S-polynomial by $F_{\ell_1(\alpha)-1}$. Let us denote the result by

$$S_{\alpha,j} = \overline{S(g_{\alpha}, y_j^2)}^{F_{\ell_1(\alpha)-1}}$$

Lemma 2.2. Let $\ell \leq \lfloor \frac{n-1}{2} \rfloor - 1$ and let $g_{\alpha} \in F_{\ell}$. Let $1 < k_1 < \dots < k_{\ell_1(\alpha)} = d$ be the positions of all $\alpha_{k_i} = 1$. By definition of F_{ℓ} , $\ell_1(\alpha) - 1 \leq \ell$ and $d = 2\ell_1(\alpha) - 1$. There exists an invertible linear map ϕ such that:

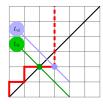
$$\phi(\mathcal{S}_{\alpha,k_i}) = \sum_{j=1}^{\ell_1(\alpha)} c_{ij} \mathcal{S}_{\alpha,k_j} = g_{\alpha^{(k_i)}},$$

where $\alpha^{(k_i)} = \alpha_1 \cdot \alpha_{k_i-1} \circ \alpha_{k_i+1} \cdot \alpha_d \circ \alpha_d$

The proof of this lemma is technical and will be done by cases in the sections §2.1–§2.6. Once it is established, the invertibility of the matrix M_{α} shows that the $g_{\alpha^{(k_i)}}$ can be obtained from the \mathcal{S}_{α,k_i} by a sequence of well chosen elementary Gaussian operations that mimic S-polynomials and divisions. The polynomials $g_{\alpha^{(k_i)}}$ have distinct leading terms and are fully reduced; they are in the output of this loop of the Buchberger's algorithm and nothing else is produced from the \mathcal{S}_{α,k_i} . To visualize our case analysis, it will be useful to develop a good graphical representation of the paths.

Visualization. Given $g_{\alpha} \in F_{\ell}$, we have $\alpha = w1(0)^{n-2l-1}$ is an MCP for $\ell(w) = 2l \leq \ell$. Let $d = 2l + 1 = 2\ell_1(\alpha) - 1$ be the position of the last 1 in α . For $\beta \in P_{\alpha}^{(k)}$ recall that $\beta_k = 0$ and k is chosen such that $\alpha_k = 1$. Let L_k be the anti-diagonal line intersecting the end of k^{th} position of α , and similarly for L_d which intersects the last 1 in α . Then any such β we must have a north step, ending at some position on the line L_k . This is visualized as in Example 2.3.

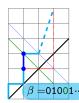
Example 2.3. Suppose we have k = 4 and $\alpha = 010110 \cdots 0$. Then d = 5. The path α and lines L_k and L_d are visualized as

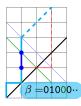


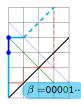
The set $P_{\alpha}^{(4)}$ decomposes into four different possibilities depending on the first d entries of $\beta = \beta_1 \beta_2 \beta_3 \beta_4 \beta_5 \cdots$:

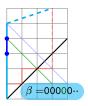
$$P_{\frac{\alpha}{\alpha}}^{(4)} = \big\{ \texttt{01001}| \cdot \cdot \texttt{1} \cdot \cdot \cdot \; ; \; \, \texttt{01000}| \cdot \cdot \texttt{1} \cdot \cdot \texttt{1} \cdot \cdot \cdot \; ; \; \, \texttt{00001}| \cdot \cdot \texttt{1} \cdot \cdot \texttt{1} \cdot \cdot \cdot \; ; \; \, \texttt{000000}| \cdot \cdot \texttt{1} \cdot \cdot \texttt{1} \cdot \cdot \cdot \mathsf{1} \cdot \cdot \cdot \big\}$$

We visualize this as









From Example 2.3, it is evident that $P_{\alpha}^{(4)}$ decomposes according to the first d entries of $\beta \in P_{\alpha}^{(4)}$. The remaining entries of β are only restricted by the number of ones. Also note that the number of zeros in $\beta_1 \cdots \beta_d$ determines the position where β crosses the line L_d . Indeed, let

$$\ell_0(\beta_1 \cdots \beta_d) = q$$
 and $\ell_1(\beta_1 \cdots \beta_d) = d - q$,

then the path β intersects the line L_d at the point (q, d-q).

2.1. **Decomposing** P_{α} . In this section, we decompose the set P_{α} according to first $d = 2\ell_1(\alpha) - 1$ entries of the path $\beta \in P_{\alpha}$.

Definition 2.4. Let α be an MCP with $d = 2\ell_1(\alpha) - 1$. For $1 \le r \le \ell_1(\alpha)$, we define

$$U_{\alpha,r} = \left\{ u \in \{0,1\}^d \middle| (\alpha_i = 0 \implies u_i = 0)_{1 \le i \le d} \text{ and } \ell_1(u) = \ell_1(\alpha) - r \right\}.$$
 (2)

Given $u \in U_{\alpha,r}$, we define

$$P_{u,\alpha} = \{ \beta \in P_{\alpha} | \beta_1 \cdots \beta_d = u \}.$$
 (3)

Example 2.5. Let $\alpha = 010110 \cdots 0$, then $\ell_1(\alpha) = 3$. For r = 1, we have

$$U_{\texttt{010110}\cdots\texttt{0},1} = \{\texttt{01010, 01001, 00011}\}\,.$$

The subsets $P_{u,\alpha}$ are disjoint, so we can partition $P_{\alpha} = \bigcup_{r=1}^{\ell_1(\alpha)} \bigcup_{u \in U_{\alpha,r}} P_{u,\alpha}$. Therefore,

$$\sum_{\beta \in P_{\alpha}} y^{\beta} = \sum_{r=1}^{\ell_1(\alpha)} \sum_{u \in U_{\alpha,r}} y^u \sum_{d+1 \le i_1 < \dots < i_r \le n} y_{i_1} \cdots y_{i_r}.$$
 (4)

We remark that for $\beta \in P_{u,\alpha}$ the only restriction on $\beta_{d+1} \cdots \beta_n$ is that $\ell_1(\beta_{d+1} \cdots \beta_n) = \ell_1(\beta) - \ell_1(u) = r$. Hence, in the last summation in Equation (4), we are summing over all square-free monomials of degree r in the variables y_{d+1}, \ldots, y_n .

Definition 2.6. For $\alpha_k = 1$, let $u(\alpha, k) = \alpha_1 \cdots \alpha_{k-1} 0 \alpha_{k+1} \cdots \alpha_d \in U_{\alpha,1}$.

2.2. Computing $\overline{S(g_{\alpha}, y_k^2)}^{F_{-1}}$. We first investigate the S-polynomial we want to compute, dividing only by $F_{-1} = \{y_2^2, \dots, y_n^2\}$.

Lemma 2.7. Let $g_{\alpha} \in F_{\ell}$ and given any $1 < k \le 2\ell_1(\alpha) - 1$, such that $\alpha_k = 1$. (α_1 is always 0). We have

$$\overline{S(g_{\alpha}, y_k^2)}^{F_{-1}} = \sum_{\beta \in P_{\alpha}^{(k)}} y^{\beta} y_k.$$

$$\tag{5}$$

Proof. Since $\alpha_k = 1$, we have $LCM(LM(g_\alpha), y_k^2) = y^\alpha y_k$ and the result is as follows

$$\overline{S(g_{\alpha}, y_k^2)}^{F_{-1}} = \overline{y_k g_{\alpha} - y^{\alpha} y_k}^{F_{-1}} = \overline{\sum_{\beta \in P_{\alpha}} y^{\beta} y_k}^{F_{-1}} = \sum_{\beta \in P_{\alpha}^{(k)}} y^{\beta} y_k.$$

The last equality follows from the fact that any monomial $\overline{y^{\beta}y_k}^{F_{-1}} = 0$, if and only if $\beta \in P_{\alpha} \setminus P_{\alpha}^{(k)}$.

For $g_{\alpha} \in F_{\ell}$ and k such that $\alpha_k = 1$, Lemma 2.7 and $P_{\alpha}^{(k)} = \bigcup_{r=1}^{\ell_1(\alpha)} \bigcup_{u \in U_{\alpha,r}, u_k = 0} P_{u,\alpha}$ gives

$$\overline{S(g_{\alpha}, y_k^2)}^{F_{-1}} = \sum_{\beta \in P_{\alpha}^{(k)}} y^{\beta} y_k = \sum_{r=1}^{\ell_1(\alpha)} \sum_{\substack{u \in U_{\alpha,r} \\ u_k = 0}} y^u y_k \sum_{d+1 \le i_1 < \dots < i_r \le n} y_{i_1} \cdots y_{i_r}$$
 (6)

whereas before $d = 2\ell_1(\alpha) - 1$. Now we need to divide $\overline{S(g_{\alpha}, y_k^2)}^{F_{-1}}$ by $F_{\ell_1(\alpha)-1}$. We will do this in two separate cases: the terms of this equation for r = 1 and those for r > 1.

2.3. Division of the r=1 term in Equation (6) by $F_{\ell_1(\alpha)-1}$. When r=1 in Equation (6), there is only a single $u \in U_{\alpha,1}$ such that $u_k=0$, namely $u(\alpha,k)$ as in Definition 2.6. The r=1 term in Equation (6) is

$$y^{u(\alpha,k)}y_k \sum_{d+1 \le j \le n} y_j = \sum_{j=d+1}^n y^{\alpha} y_j$$

The terms in the sum above are monomial factors of $LT(g_{\alpha}) = y^{\alpha}$. Using the Division Algorithm we can divide the expression further using g_{α} :

$$\frac{\sum_{j=d+1}^{n} y^{\alpha} y_{j}}{\sum_{j=d+1}^{n} y^{\alpha} y_{j} - g_{\alpha} \sum_{j=d+1}^{n} y_{j}} = \sum_{j=d+1}^{n} y^{\gamma} \sum_{j=d+1}^{n} y_{j}$$

$$= -\sum_{\gamma \in P_{\alpha}} y^{\gamma} \sum_{j=d+1}^{n} y_{j}$$

$$= -\sum_{s=1}^{\ell_{1}(\alpha)} \sum_{v \in U_{\alpha,s}} y^{v} \sum_{d+1 \le i_{1} < \dots < i_{s} \le n} y_{i_{1}} \dots y_{i_{s}} \sum_{j=d+1}^{n} y_{j}$$

We use Equation (4) in the last equality. We can further divide this last expression using F_{-1} and we get

$$\frac{1}{\sum_{j=d+1}^{n} y^{\alpha} y_{j}} y_{j}^{\alpha} = -\sum_{s=1}^{\ell_{1}(\alpha)} (s+1) \sum_{v \in U_{\alpha,s}} y^{v} \sum_{d+1 \le i_{1} < \dots < i_{s+1} \le n} y_{i_{1}} \cdots y_{i_{s}} y_{i_{s+1}} \tag{7}$$

This result follows from the fact that

$$\sum_{d+1 \le i_1 < \dots < i_s \le n} y_{i_1} \cdots y_{i_s} \sum_{j=d+1}^n y_j = (s+1) \sum_{d+1 \le i_1 < \dots < i_{s+1} \le n} y_{i_1} \cdots y_{i_s} y_{i_{s+1}} + \text{terms containing } y_j^2$$

Once again, we want to separate the r=1 terms in Equation (7) and those for $r\geq 2$.

Case 2.8 (s=1 in Equation (7)). In the case of s=1 in Equation (7) and $v \in U_{\alpha,1}$, we can represent any path $y^v y_{i_1} y_{i_2}$ visually as in Figure 1. For each $v \in U_{\alpha,1}$, we have $\ell_1(v) = \ell_1(\alpha) - 1$ and let k be the unique position, where $v_k = 0$ and $\alpha_k = 1$. The paths of the monomial $y^v y_{i_1} y_{i_2}$ in the sum have two ones after the d^{th} position. If we select $i_1 = d+1$ and $i_2 = d+2$, this will form a new MCP path $\alpha^{(k)} = \alpha_1 \cdots \alpha_{k-1} 0 \alpha_{k+1} \cdots \alpha_d 11(0)^{n-d-2}$, as displayed above. All the other paths in this case remain above the diagonal. There are no more possible divisions using $F_{\ell_1(\alpha)-1}$. The MCP path is actually new for F_{ℓ} if $\ell_1(\alpha) - 1 = \ell$ as $\ell_1(\alpha^{(k)}) - 1 > \ell$.

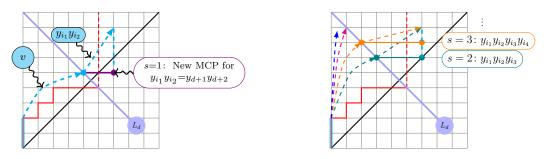


FIGURE 1. On the left is Case 2.8 and on the right is Case 2.9

Case 2.9 ($s \ge 2$ in Equation (7)). Similarly, in the case of $s \ge 2$ and $v \in U_{\alpha,s}$, we can visualize any such path $y^v y_{i_1} \cdots y_{i_{s+1}}$ as in Figure 1. All such paths clearly remain above the diagonal and no further divisions using $F_{\ell_1(\alpha)-1}$ are possible.

2.4. Division of r > 1 terms in Equation (6) by $F_{\ell_1(\alpha)-1}$. We now focus on the r > 1 terms in Equation (6). Fix r > 1 and fix $u \in U_{\alpha,r}$ such that $u_k = 0$. We are considering monomial of the form $y^u y_k y_{i_1} \cdots y_{i_r}$, where $d+1 \le i_1 < \cdots < i_r \le n$. Note that $\alpha_k = 1$ and $u_k = 0$, and there is at least one more entry such that $\alpha_j = 1$ and $u_j = 0$ for $j \ne k$. Hence, there are at least two entries in which u differs from α . We further split r > 1 terms into two cases, where r = 2 or r > 2. We visualize this in Figure 2. When we multiply $y^\beta = y^u y_{i_1} \cdots y_{i_r}$ by y_k , the portion of the path corresponding to $\beta_{k+1}\beta_{k+2}\cdots\beta_n$ will be translated down by one unit and translated right by one unit. All the paths remain above the diagonal except when r = 2 and $y^\beta = y^u y_{d+1} y_{d+2}$. All paths will match a subset of the paths we encounter in §2.3 as we see below. In particular, no further division can be performed with polynomials in $F_{\ell_1(\alpha)-1}$.

Case 2.10 (r = 2 in Equation (6)). As visualized in Figure 2, when r = 2 in Equation (6) the paths we receive correspond exactly to the paths in Case 2.8 for which $v_k = 1$. In this case, these are the new MCPs which are generated.

Case 2.11 $(r \ge 3 \text{ in Equation (6)})$. Also Visualized in Figure 2, when r > 2 in Equation (6) this set of paths corresponds exactly to the paths in Case 2.9, for which $v_k = 1$.

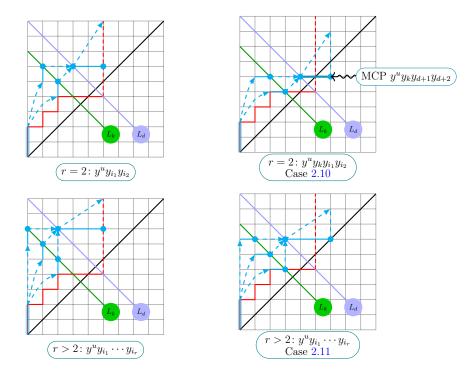


FIGURE 2. On the left are the path of monomials y^{β} for $\beta \in P_{\alpha}^{(k)}$. On the right are the path of the terms in Equation (6) for r > 1

2.5. Combining all divisions. The analysis of §2.3 and §2.4 shows that in order to divide $S(g_{\alpha}, y_k^2)$ with respect to $F_{\ell_1(\alpha)-1}$, we need to substitute Equation (7) in Equation (6) for r=1. We also substitute s=r-1 to match up the terms. We obtain

$$\overline{S(g_{\alpha}, y_{k}^{2})}^{F_{\ell_{1}(\alpha)-1}} = -\sum_{r=2}^{\ell_{1}(\alpha)+1} \sum_{v \in U_{\alpha,r-1}} ry^{v} \sum_{d+1 \leq i_{1} < \dots < i_{r} \leq n} y_{i_{1}} \cdots y_{i_{r}}
+ \sum_{r=2}^{\ell_{1}(\alpha)} \sum_{\substack{u \in U_{\alpha,r} \\ u_{k}=0}} y^{u}y_{k} \sum_{d+1 \leq i_{1} < \dots < i_{r} \leq n} y_{i_{1}} \cdots y_{i_{r}}
= \sum_{r=2}^{\ell_{1}(\alpha)+1} \sum_{\substack{v \in U_{\alpha,r-1} \\ v_{k}=0}} (-r)y^{v} \sum_{d+1 \leq i_{1} < \dots < i_{r} \leq n} y_{i_{1}} \cdots y_{i_{r}}
+ \sum_{r=2}^{\ell_{1}(\alpha)} \sum_{\substack{v \in U_{\alpha,r-1} \\ v_{1}=1}} (-r+1)y^{v} \sum_{d+1 \leq i_{1} < \dots < i_{r} \leq n} y_{i_{1}} \cdots y_{i_{r}}.$$
(9)

In the second equality, we combined terms using the fact that for $u \in U_{\alpha,r}$ and $u_k = 0$, the term $y^u y_k = y^v$ for $v \in U_{\alpha,r-1}$ and $v_k = 1$. When r = 2, the term $v \in U_{\alpha,r-1}$ and $v_k = 1$ is unique and is exactly $v = u(\alpha, k)$ as in Definition 2.6.

2.6. The Matrix and the Linear Transformation. The process until now has focused on a single S-polynomial reduction $\overline{S(g_{\alpha}, y_k^2)}^{F_{\ell_1(\alpha)-1}} = \mathcal{S}_{\alpha,k}$ for a fixed k and fixed α . In this section, we examine all possible \mathcal{S}_{α,k_j} , letting k_j vary while keeping α fixed. Also, since α has $\ell_1(\alpha)$ entries equal to 1, we have $U_{\alpha,1} = \{u(\alpha, k_i) | 1 \le i \le \ell_1(\alpha)\}$ with $|U_{\alpha,1}| = \ell_1(\alpha)$. Let

$$A_{\alpha} = \left[\text{Coefficient of } y^{u(\alpha,k_i)} y_{d+1} y_{d+2} \text{ in } \mathcal{S}_{\alpha,k_j} \right]_{1 \leq i,j \leq l+1}$$

When r = 2 in Equation (9) we readily see that we have -2 in the diagonal of A_{α} and -1 off the diagonal. This matrix is invertible, given explicitly by

$$A_{\alpha} = \begin{bmatrix} -2 & -1 & \cdots & -1 \\ -1 & -2 & \cdots & -1 \\ \vdots & \vdots & \ddots & \vdots \\ -1 & -1 & \cdots & -2 \end{bmatrix}, \qquad M_{\alpha} = A_{\alpha}^{-1} = \frac{1}{\ell_{1}(\alpha) + 1} \begin{bmatrix} -\ell_{1}(\alpha) & 1 & \cdots & 1 \\ 1 & -\ell_{1}(\alpha) & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \cdots & -\ell_{1}(\alpha) \end{bmatrix}$$

Since A_{α} is invertible, we have that $\{S_{\alpha,k_i}\}_{1\leq i\leq \ell_1(\alpha)}$ is linearly independent. Let V be the \mathbb{k} -span of $\{S_{\alpha,k_i}\}_{1\leq i\leq \ell_1(\alpha)}$ and we define $\phi\colon V\to V$ as

$$\phi(\mathcal{S}_{\alpha,k_i}) = \sum_{j=1}^{\ell_1(\alpha)} c_{ij} \mathcal{S}_{\alpha,k_j}, \tag{10}$$

where $M_{\alpha} = [c_{ij}]$. This is the linear transformation we require in Lemma 2.2. To conclude the proof, we need to show that $\phi(S_{\alpha,k_i}) = g_{\alpha^{(k_i)}}$. To compute this, we substitute Equation (9) in (10) for each k_j . When r = 2 and fixed $d + 2 \le i_1 < i_2 \le n$, then the coefficient of $y^{u(\alpha,k_i)}y_{i_1}y_{i_2}$ in the expansion on S_{α,k_i} is exactly the matrix A_{α} . Therefore, when we make the linear combination using M_{α} (the inverse of A_{α}), we get

Coeff of
$$y^{u(\alpha,k_{i'})}y_{i_1}y_{i_2}$$
 in $\phi(\mathcal{S}_{\alpha,k_i}) = \begin{cases} 1 & \text{if } i = i', \\ 0 & \text{otherwise.} \end{cases}$ (11)

For the other terms, fix r, v and $d+1 \le i_1 < \cdots < i_r \le n$ such that $3 \le r \le \ell_1(\alpha) + 1$ and $v \in U_{\alpha,r-1}$. We want to determine the coefficient of $y^v y_{i_1} \cdots y_{i_r}$ in $\phi(\mathcal{S}_{\alpha,k_i})$. This will depend on the value of v_{k_i} .

Case 2.12 $(v_{k_i} = 0)$. Since $v_{k_i} = 0$ and $v \in U_{\alpha,r-1}$, there are (r-1) - 1 = r - 2 other entries of v such that $\alpha_k = 1$ and $v_k = 0$. On the other hand, the number of positions where $\alpha_k = v_k = 1$ is $\ell_1(\alpha) - r + 1$. The coefficient of $y^v y_{i_1} \cdots y_{i_r}$ in $\phi(\mathcal{S}_{\alpha,k_i})$ is

$$-rc_{ii} + \sum_{\substack{v_{k_j} = 0 \\ j \neq i}} -rc_{ij} + \sum_{\substack{v_{k_j} = 1 \\ j \neq i}} (-r+1)c_{ij} = \frac{r\ell_1(\alpha) - r(r-2) + (-r+1)(\ell_1(\alpha) - r + 1)}{\ell_1(\alpha) + 1}$$

$$= 1$$

The coefficient -r and -r+1 are from Equation (9), and they depend on the value of v_k and the entry $[c_{ij}] = M_{\alpha}$.

Case 2.13 $(v_{k_i} = 1)$. Now, $\alpha_{k_i} = v_{k_i} = 1$. There are r - 1 entries of v such that $\alpha_k = 1$ and $v_k = 0$ and $\ell_1(\alpha) - r$ entries where $\alpha_k = v_k = 1$, other than the entries where $k = k_i$. The coefficient of $y^v y_{i_1} \cdots y_{i_r}$ in $\phi(\mathcal{S}_{\alpha,k_i})$ is now

$$(-r+1)c_{ii} + \sum_{\substack{v_{k_j} = 0 \ j \neq i}} -rc_{ij} + \sum_{\substack{v_{k_j} = 1 \ j \neq i}} (-r+1)c_{ij}$$

$$= \frac{(r-1)\ell_1(\alpha) - r(r-1) + (-r+1)(\ell_1(\alpha) - r)}{\ell_1(\alpha) + 1} = 0.$$

It follows that

$$\phi(S_{\alpha,k_i}) = y^{u(\alpha,k_i)} \sum_{d+1 \le i_1 < i_2 \le n} y_{i_1} y_{i_2} + \sum_{r=3}^{\ell_1(\alpha)+1} \sum_{\substack{v \in U_{\alpha,r-1} \\ v_{k_i} = 0}} y^v \sum_{d+1 \le i_1 < \dots < i_r \le n} y_{i_1} \dots y_{i_r}.$$
 (12)

This sum is non-zero since $\ell_1(\alpha) - 1 \le \ell \le \lfloor \frac{n-1}{2} \rfloor - 1$, therefore $d + 2 \le n$. We want to compare this with $g_{\alpha^{(k_i)}}$, where $\alpha^{(k_i)} = u(\alpha, k_i) 110^{n-d-2}$ for $d = 2\ell_1(\alpha) - 1$. Using Equation (4),

$$g_{\alpha^{(k_i)}} = y^{\alpha^{(k_i)}} + \sum_{s=1}^{\ell_1(\alpha^{(k_i)})} \sum_{u \in U_{\alpha^{(k_i)},s}} y^u \sum_{d+3 \le i_1 < \dots < i_s \le n} y_{i_1} \cdots y_{i_s}.$$
(13)

Note that $\ell_1(\alpha^{(k_i)}) = \ell_1(\alpha) + 1$ and for any $u \in U_{\alpha^{(k_i)},s}$ we must have $u_{k_i} = 0$ since $\alpha_{k_i}^{(k_i)} = 0$. For any other position $1 \le k \le d$, we have $\alpha_k = \alpha_k^{(k_i)}$. Hence, $\alpha_k = 0 \implies u_k = 0 \implies v_k = 0$, for any $v \in U_{\alpha,r-1}$. For k = d+1 or k = d+2, there are no constraints on u as $\alpha_{d+1}^{(k_i)} = \alpha_{d+2}^{(k_i)} = 1$. Moreover, the term $y^{\alpha^{(k_i)}} = y^{u(\alpha,k_i)}y_{d+1}y_{d+2}$ appears in the first sum of Equation (12). This shows that every term in Equation (13) appears in Equation (12).

2.7. **Termination of Buchberger's Algorithm.** In the previous subsections, we computed the set of remainders of S-polynomials $\overline{S(g_{\alpha},k_{1})}^{F_{\ell_{1}(\alpha)-1}},\ldots,\overline{S(g_{\alpha},k_{\ell_{1}(\alpha)})}^{F_{\ell_{1}(\alpha)-1}}$ and used Lemma 2.2 to conclude that $\{g_{\alpha^{(k_{i})}}\}_{1\leq i\leq \ell_{1}(\alpha)}$ is obtained as an intermediate step in the Buchberger's Algorithm. If $\ell_{1}(\alpha)-1<\ell$, then these polynomials are already in F_{ℓ} so the division with respect to F_{ℓ} will be zero (no new polynomials). For $\ell_{1}(\alpha)-1=\ell$, we will obtain a new polynomial and construct $F_{\ell+1}$ in this way, as long as $\ell_{1}(\alpha)-1\leq \lfloor\frac{n-1}{2}\rfloor-1$. We need to show that for $\ell=\lfloor\frac{n-1}{2}\rfloor$, the set F_{ℓ} is the reduced

Gröbner basis. The next lemma shows that the set

$$B = \{ y^{\gamma} | square free \ and \ \gamma \ stays \ above \ the \ diagonal \}, \tag{14}$$

k-spans the quotient R/I using division by F_{ℓ} only. In the next section, we will show that $\dim(R/I)$ is at least the cardinality of B. From this we will conclude that B is a basis, and therefore F_{ℓ} is the full reduced Gröbner basis of I. This will complete the proof of Theorem 1.13.

Lemma 2.14. Let $\ell = \lfloor \frac{n-1}{2} \rfloor$. For any monomial y^{δ} , the remainder $\overline{y^{\delta}}^{F_{\ell}}$ is a k-linear combination of B. In particular, B spans R/I.

Proof. If y^{δ} is not square free, then it is divisible by y_k^2 for some k and we get $\overline{y^{\delta}}^{F_{\ell}} = 0$. If y^{δ} crosses the diagonal, let k be the smallest integer such that $\alpha = \delta_1 \delta_2 \cdots \delta_k 0^{n-k}$ crosses under the diagonal. It is clear that α is MCP and $\ell_1(\alpha) - 1 \leq \ell$, hence $g_{\alpha} \in F_{\ell}$. Since we have $y^{\delta} = y^{\alpha}y^{\epsilon}$, we obtain

$$\overline{y^{\delta}}^{F_{\ell}} = \overline{y^{\alpha} y^{\epsilon}}^{F_{\ell}} = \overline{-\sum_{\beta \in P_{\alpha}} y^{\beta} y^{\epsilon}}^{F_{\ell}}.$$
 (15)

All terms $y^{\beta}y^{\epsilon} <_{lex} y^{\alpha}y^{\epsilon}$. We repeat the process on any monomials in the sum that cross the diagonal. We know this process terminates (division algorithm) and we are left only with a linear combination of monomials in B.

3. ORTHOGONAL COMPLEMENT, DIMENSION, AND IRREDUCIBLE DECOMPOSITION

In this section, we quickly introduce an inverse system to compute the orthogonal complement $H = I^{\perp}$ of I. We have that $H \cong R/I$. We then construct a set B' of independent elements inside H that have the same cardinality as B in Equation (14). This will show that both B and B' are a basis of the quotient, concluding the proof of Theorem 1.13. We then remark that since I is homogeneous and remains invariant under the permutation of variables, we can define an action of the symmetric group on the (graded) quotient R/I. Using the irreducible representation theory of the symmetric group (see [Sag01] for example), we show that B' exhibits a unique irreducible representation for each homogeneous component of R/I. This will complete our full understanding of this quotient.

3.1. Inverse System and Orthogonal complement of I. At the root of commutative algebra, one finds the theory of inverse systems developed by Macaulay [Mac94]. This is classical theory, and we only review (without proof) the needed ingredients. Naively, we aim to study the quotient R/I via the orthogonal complement of I under the following scalar product. For $P, Q \in R$, we define

$$\langle P, Q \rangle = \left(P\left(\frac{\partial}{\partial y_1}, \frac{\partial}{\partial y_2}, \dots, \frac{\partial}{\partial y_n}\right) Q \right) (0, 0, \dots, 0).$$
 (16)

That is, we substitute the partial derivatives in P and apply this operator to Q, after we evaluate the resulting polynomial at $(0,0,\ldots,0)$. This is a scalar product on R. We then compute the orthogonal complement $H=I^{\perp}$ in R with respect to the scalar product in Equation (16). A wonderful lemma (a consequence of Taylor expansion) gives us the following.

Lemma 3.1.

$$H = \left\{ Q \in R \middle| P\left(\frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_n}\right) Q = 0, P \text{ generators of } I \right\}$$

The difference is that now H is the solution set of a system of differential equations, we do not need the evaluation at zero. Since H is the orthogonal complement of I, we automatically get that

$$H \cong R/I$$
.

3.2. **Standard Young Tableaux.** To define elements in H, we need to introduce the notion of Standard Young Tableaux. This will also be useful for the representation theory of the symmetric group aspect of our investigation. We shall also see that the set B in Equation (14) is related to some standard Young tableaux.

Definition 3.2. Given a sequence of integers $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$ such that $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r > 0$ and $n = \lambda_1 + \dots + \lambda_r$, we construct the set

$$D_{\lambda} = \left\{ (i, j) \in \mathbb{Z} \times \mathbb{Z} \middle| 1 \le j \le r; \ 1 \le i \le \lambda_j \right\}.$$

We say that λ is an **partition** of n and D_{λ} is the **diagram** of λ . A bijection

$$T: \{1, 2, \ldots, n\} \to D_{\lambda}$$

is called a Standard Young Tableaux (abbreviated SYT) if

$$T(i,j) < T(i+1,j)$$
 and $T(i,j) < T(i+1,j)$,

whenever T(i,j), T(i+1,j) and/or T(i,j) < T(i+1,j) are defined. We usually put the value T(i,j) in the position (i,j) forming a *tableau* in the plane. The entries increase in rows and columns. We say that λ is the **shape** of T and n is the **size** of T. We write $T \in SYT_{\lambda}$ in this case.

Example 3.3. Let $\lambda = (5,3)$, the picture below is a visualization of a single $T \in SYT_{\lambda}$.

$$T = \begin{bmatrix} 3 & 6 & 8 \\ 1 & 2 & 4 & 5 & 7 \end{bmatrix}$$

Proposition 3.4. For $k \leq \lfloor \frac{n}{2} \rfloor$, let $\lambda = (n - k, k)$. The number $f^{\lambda} = |SYT_{\lambda}|$ is equal to the number of square free monomials y^{γ} that stay above the diagonal and $\ell_1(\gamma) = k$.

Proof. This is a very classical result and some version of it can be found in [Sta15]. The bijection $T \mapsto y^{\gamma}$ for $T \in SYT_{\lambda}$ is given by $\gamma_i = 0$ if i lies in the first row of T, otherwise $\gamma_i = 1$. One then checks that staying above the diagonal is equivalent to the inequalities defining standard Young tableaux.

In the proof above, let us denote by y^T the monomial we obtain from T. We thus have that B in Equation (14) is also given by the following disjoint union

$$B = \biguplus_{k=0}^{\lfloor \frac{n}{2} \rfloor} \left\{ y^T \middle| T \in SYT_{(n-k,k)} \right\}. \tag{17}$$

3.3. Independent elements in H. We introduce some special Specht polynomials [Spe35]. This will give us linearly independent polynomials in H. Fix $k \leq \lfloor \frac{n}{2} \rfloor$ and let $\lambda = (n - k, k)$. Given $T \in SYT_{\lambda}$ we let

$$G_T(y_1, \dots y_n) = (y_{T(1,1)} - y_{T(2,1)})(y_{T(1,2)} - y_{T(2,2)}) \cdots (y_{T(1,k)} - y_{T(2,k)}).$$
 (18)

Here, we only define G_T for shape λ with two parts, but it can be done for any shape using Vandermonde polynomials for each column of T. These are the polynomials originally defined by Specht [Spe35] to construct irreducible representations of S_n .

Example 3.5. Let T be as in Example 3.3, we have $G_T = (y_1 - y_3)(y_2 - y_6)(y_4 - y_8)$

Let

$$B' = \biguplus_{k=0}^{\lfloor \frac{n}{2} \rfloor} \left\{ G_T \middle| T \in SYT_{(n-k,k)} \right\}. \tag{19}$$

Theorem 3.6. We have that $B' \subseteq H = I^{\perp}$ is linearly independent.

Proof. For the inclusion, using Lemma 3.1, we need to show that for $G_T \in B'$ we have

$$P\left(\frac{\partial}{\partial u_1}, \dots, \frac{\partial}{\partial u_n}\right) G_T = 0$$

for all generators P of I. If $P = y_k^2$, then clearly this results in zero since G_T is linear in any single variable (square free). For $P = y_1 + y_2 + \cdots + y_n$, we use the product rule and reduce to the case

$$\left(\frac{\partial}{\partial y_1} + \frac{\partial}{\partial y_2} + \dots + \frac{\partial}{\partial y_n}\right)(y_i - y_j) = 0,$$

for all i, j.

To see that the polynomials are independent, expand each polynomial G_T and look for its trailing term in lex-order, we obtain $LT(G_T) = y_{T(2,1)}y_{T(2,2)}\cdots y_{T(2,k)} = y^T$, this is clear by always taking the largest variable in each term $(y_i - y_j)$. Since all trailing terms are distinct, we have linear independence by triangularity.

3.4. **Proof of theorem.** We have established that

$$|B| = |B'| \le \dim(H) = \dim(R/I) \le |B|.$$

The first equality is, by construction, obtained from Theorem 3.6. The next equality is the isomorphism of $H \cong R/I$. The last inequality is Lemma 2.14. We must have the equality that both B and B' are the basis of R/I, everywhere. To see that Theorem 1.13 is true, assume we can compute new S-polynomials. This would produce a new leading term and reduce the size of B as a spanning set for R/I, which is absorbed. Hence, Theorem 1.13 holds true.

3.5. Hilbert Series of R/I. Since the ideal I is homogeneous, there is a well-defined notion of degree on N = R/I. Hence $R/I = \bigoplus_{k \geq 0} N^{(k)}$, where $N^{(k)}$ is the homogeneous component of degree k in N. We know that the maximal degree in N is $k = \lfloor \frac{n}{2} \rfloor$. Considering the disjoint decomposition of the basis B in Equation (17), we immediately obtain

Theorem 3.7. The Hilbert series of N = R/I is given by

$$H_N(q) = \sum_{k>0} \dim (N^{(k)}) q^k = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} f^{(n-k,k)} q^k.$$

3.6. Irreducible graded decomposition of R/I. The symmetric group $S_n = \{\sigma | \sigma \colon \{1,\dots n\} \to \{1,\dots,n\} \text{ bijection}\}$ acts on polynomials in R with $\sigma P(y_1,\dots,y_n) = P(y_{\sigma(1)},\dots,y_{\sigma(n)})$. The generators of the ideal I are mapped to the other generators of I under this action. Hence, the ideal I is invariant under the action of S_n . We can thus have a well-defined \mathbbm{k} -linear action of S_n on the quotient N = R/I. In fact, since the action preserves degree, we have an action of S_n on each graded piece $N^{(k)}$ and we are interested in describing the decomposition of that action in terms of the irreducible representation. For more details on the representation of the symmetric group see [Sag01]. For our needs, the irreducible representation of the symmetric group can be nicely constructed directly in the space of polynomials. We can construct such a representation using Specht polynomials [Spe35], which we introduced in §3.3.

It is known [Sag01, Spe35] that for a fixed shape λ the set

$$\{G_T | T \in SYT_{\lambda}\} \tag{20}$$

is a basis for an irreducible representation of S_n indexed by λ . Considering the disjoint decomposition of the basis B' in Equation (19), we immediately obtain

Theorem 3.8. For $k \leq \lfloor \frac{n}{2} \rfloor$, we have that Equation (20) is a basis of $N^{(k)}$. In particular, $N^{(k)}$ is an irreducible representation of S_n indexed by $\lambda = (n - k, k)$. We can thus write

$$Frob_q(R/I)\sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} s_{(n-k,k)} q^k$$

where s_{λ} is the Schur function used to encode the irreducible representation of S_n and $Frob_q$ is the graded Frobenius map, which maps the representation to symmetric functions (see [Sag01, Mac95]).

References

- [CLO13] David Cox, John Little, and Donal O'Shea, *Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra*, Springer Science & Business Media, 2013.
- [HRS18] James Haglund, Brendon Rhoades, and Mark Shimozono, Ordered set partitions, generalized coinvariant algebras, and the Delta Conjecture, Adv. Math. 329 (2018), 851–915.
- [Mac94] F. S. Macaulay, *The algebraic theory of modular systems*, Cambridge Mathematical Library, Cambridge University Press, Cambridge, 1994, Revised reprint of the 1916 original, With an introduction by Paul Roberts.
- [Mac95] I. G. Macdonald, Symmetric functions and Hall polynomials, second ed., Oxford Mathematical Monographs, The Clarendon Press, Oxford University Press, New York, 1995, With contributions by A. Zelevinsky, Oxford Science Publications.
- [Mac19] John Machacek, Personal communication, 2019.
- [Sag01] Bruce E. Sagan, The symmetric group, second ed., Graduate Texts in Mathematics, vol. 203, Springer-Verlag, New York, 2001, Representations, combinatorial algorithms, and symmetric functions. MR 1824028

[Spe35] W. Specht, Die irreduziblen darstellungen der symmetrischen gruppe, Math Z. **39** (1935), 696–711.

[Sta15] Richard P. Stanley, Catalan numbers, Cambridge University Press, New York, 2015. MR 3467982

[Zab19] Mike Zabrocki, A module for the Delta conjecture, arXiv:1902.08966, 2019.

(Bergeron) Department of Mathematics and Statistics, York University, Toronto, Ontario M3J 1P3, CANADA

Email address: bergeron@mathstat.yorku.ca URL: http://www.math.yorku.ca/bergeron

(Mootoo) Department of Mathematics and Statistics, York University, Toronto, Ontario M3J 1P3, CANADA

Email address: xmootoo@gmail.com

(Vyas) Department of Electrical Engineering and Computer Science, York University, Toronto, Ontario M3J 1P3, CANADA

Email address: vvyas@my.yorku.ca