

• Deadlock recovery

- Most common method for recovery is rollback
- Selection of victim transaction (starvation)

- Transaction having minimum cost will rollback

Min cost \rightarrow No of data item used and required

\rightarrow Time required for execution

No of transaction involved in rollback

• Deadlock Prevention

Two main approaches for deadlock prevention.

- Acquiring all locks before execution.
- Performing roll back instead of waiting for a lock.

wait die

Wound wait

1. Wait die:

Condition: $T_s(t_i) < T_s(t_j)$

T_i is requesting for lock from t_j . Allow to wait otherwise rollback.

Q. comparison between wait die and wound die.

2. Wound die:

$T_s(t_i) > T_s(t_j)$

T_i will wait for rollback.

Readlock exist:

Eg(2)

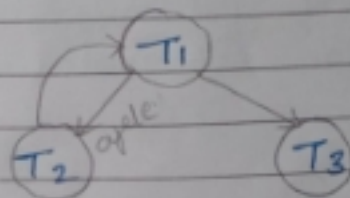
Time	Transaction	Operation
t_1	T_1	Lock (A, X)
t_2	T_2	Lock (B, X)
t_3	T_3	Lock (A, S)
t_4	T_4	Lock (B, S)
t_5	T_5	Lock (B, S)
t_6	T_6	Lock (D, X)
t_7	T_7	Lock (D, S)
t_8	T_8	Lock (C, X)

in the graph then dead lock exist.

Time	Transaction	Operation
t ₁	T ₁	lock (A, x)
t ₂	T ₂	lock (B, S)
t ₃	T ₃	lock (A, S)
t ₄	T ₄	lock (C, x)
t ₅	T ₅	lock (D, x)
t ₆	T ₆	lock (D, S)
t ₇	T ₇	lock (C, S)

T ₁	T ₂	T ₃
W(A)		
	R(B)	
		R(A)
W(I)		
	W(D)	
R(N)		
	R(C)	

Graph:



• Dead Lock:

Each transaction is waiting for another transaction to execute and none of the transaction can execute this situation is called as dead lock.

There are two methods deal with dead lock.

- a. Dead Lock deduction and recovery.
- b. Dead Lock prevention.

a. Dead Lock deduction and recovery.

It uses wait-for-graph technique
Basic steps:

- i. Keep information of currently allocated data items and also the outstanding request.
 - ii. Find whether dead lock exist or not
 - iii. (Use graph)
- Recover from dead lock if it exist.

Deadlock can be detected using directed graph called as wait-for-graph

Q. Define wait-for-graph.

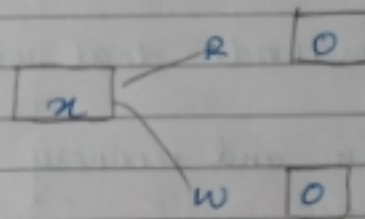
It is denoted as $G(V, E)$, vertices cover all transaction. Edges is mapping between $t(i)$ and $t(j)$

If there is edge from $t(i)$ to $t(j)$ it means $t(i)$ is waiting for $t(j)$. If there is cycle

Eg. T_1 T_2

$R(w)$ $w(x)$

$R(w)$



if $(0 > 1)$
 read (x)
 $R(ts(x) = 1)$

• Thomas write rule

If $RTs(x) > Ts(T_1)$, if condition true abort and rollback.

If $WTs(x) > Ts(T_1)$, if condition true ignore write and continue execution

HW 1) Difference between Time stamp ordinary protocol and lock based ordinary protocol.

2. Explain optimistic concurrency control

It is denoted by $Ts(t_i)$ If there is two transaction t_1 and t_2 then $Ts(t_1) < Ts(t_2)$. To implement this two Ts values are associated with each data item.

- a. Read Time stamp
- b. Write Time stamp.

a. Write Time stamp - It denotes largest time stamp of any transaction that execute write successfully.

b. Read Time stamp - It denotes largest time stamp of any transaction that execute read successfully.

whenever there is read or write operation completed successfully this time stamp are updated.

• Rules for reading and writing :

a. If a transaction issues read request for (x) is greater than time stamp (t_i) it will roll back else read (x)
i.e. $(wTs(x) > Ts(t_i))$

b. If a transaction issues write request for (x) i.e. $(wTs(x) > Ts(t_i) \text{ or } RTs(x) > Ts(t_i))$

2. Strict 2PL:

In this method transaction can apply any lock on any data item but for exclusive lock once the transaction request for exclusive lock it will not release that lock until transaction commit.

- Advantage: No risk of dead lock.
- Disadvantage: There may be cascading roll back.

3. Rigorous 2PL:

In this method transaction will apply lock on different data item and will not release any of the lock until transaction committed.

- Advantage: This is best method, most of the database system use this method.
No risk of dead lock and cascading rollback.

5. The point in the schedule where transaction has obtained its final lock is called as Lock point.

6. On the basis of lock point we can find order of serializability

Advantage:

It always ensure serializability

Disadvantage:

- Cascading rollback may occur.
- It does not ensure freedom from deadlock.

• Entering Variations in 2PL.

Strict 2PL

Rigorous
2PL

Conservative 2PL.

1. Conservative 2PL:

- In This method Transaction locks all the data items or variables before starting its execution
- Early prediction of how many data items are required
- This method is deadlock free
- Practical implementation of this method is very difficult

Eg. There are two possibilities either all the locks are granted and it will start execution or the locks are not granted in this case it has to wait

2. Again there is a new transaction T_4 requesting for shared lock and it has been granted, T_2 is still waiting for T_4 .
3. There is possibility that sequence of transaction will request for shared lock and T_2 will never get exclusive lock.
4. The entire scenario where a particular transaction is selected as victim and never getting chance to execute is called as Starvation.

• Two Phase Locking Protocol (2PL)

1. Rules to be followed while requesting and releasing locks.
2. Two phases are: Growing phase; Shrinking Phase.
3. In Growing phase locks can be obtained but not released.
4. In Shrinking phase locks can be released but no new lock can be acquired.

Two Phase Locking Protocol

Growing

$X(A)$

$S(x)$

$L(B)$

$L(A)$

Shrinking

$U(x)$

$U(A)$

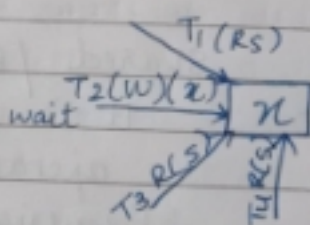
$U(B)$

2. Compatibility condition:

- If transaction T_i is holding shared lock some other transaction T_j request for shared lock and it can be granted that we can say that transaction T_i is compatible with T_j .
- If the requested lock can't be granted then the transaction T_i and T_j are not compatible with each other.

$T_j \backslash T_i$	S	E
S	✓	X
E	X	X

3. Starvation: Waiting for getting lock.



- Let Transaction T_1 started execution with shared lock on data x some other transaction T_2 request for exclusive locks on same data x . Then T_2 has to wait until T_1 release its lock. Now transaction T_3 request for shared lock on some data x and it has granted, still T_2 has to wait for T_3 to release its lock.

- Ensuring serializability by locks:
Algorithms for concurrency control [Unit: 3]
There are different algorithms

1. Lock based protocol: 15 mks.

- locking ensures serializability or concurrency
- In this process, we restrict the transaction to access the data or to lock access to the particular data.
- Eg: Let x is some data in database T_1 transaction wants to read the data and at the same time T_2 also wants to access the same data. So depending on what operation transaction T_2 wants to perform, locks are applied or restrictions will be made on T_2 .

Locks.

Binary

[locked
unlocked]

Shared / Exclusive

It is used when
operation is read.

Exclusive is used when
operation is write.