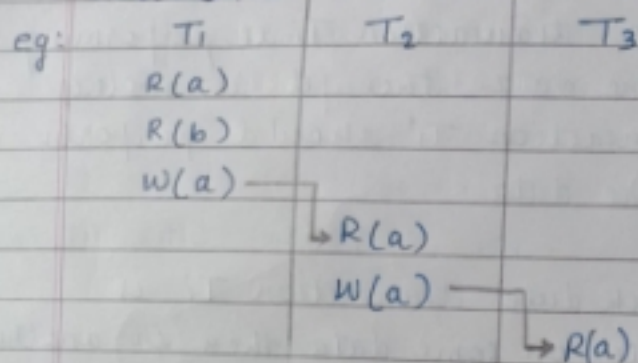


### • Cascadless Schedule



In the above example T<sub>2</sub> is reading a value written by T<sub>1</sub> that means T<sub>2</sub> is dependend on T<sub>1</sub>, T<sub>3</sub> is reading a value written by T<sub>2</sub> that means T<sub>3</sub> is dependend on T<sub>2</sub>.

Now suppose at point T<sub>1</sub> fails then T<sub>1</sub> must rollback, T<sub>2</sub> is depending on T<sub>1</sub>, T<sub>2</sub> has to rollback. and similarly T<sub>3</sub> has to rollback.

This concept in which single transaction failure results in a series of transaction rollback is called cascading rollback.

If there is no cascading rollback that schedule is called as cascadless schedule.

Imp

### Condition 1:

In any schedule transaction  $T_i$  is performing initial read on same data then in another schedule same transaction  $T_i$  should perform initial read on same data.

### Condition 2:

If any in any schedule transaction  $T_i$  is performing final write on same data then in another schedule the final schedule should be performed by same transaction  $T_i$  on same data.

### Condition 3:

If any schedule transaction  $T_i$  is reading a value written by transaction  $T_j$ , then in another schedule also it must read the value by same transaction (~~its~~ intermediate read also same).

If all three conditions satisfy by the schedule then we can say that they are view equivalence.

### • Recoverable Schedule

If there are two transactions  $T_1$  and  $T_2$  and if  $T_2$  is reading a value written by  $T_1$ , then commit operation of  $T_2$  should appear after commit operation of  $T_1$ . This type of schedule is called as recoverable schedule.

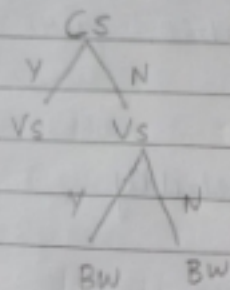
eg:  $T_1$        $T_2$   
R(a)  
W(b) → R(b)

### • View Serializability

1. If the schedule is conflict serializable then for sure it is view serializable
2. But if a schedule is view serializable then it is not necessary that the schedule is conflict serializable.
3. If the schedule is not conflict serializable but view serializable then it should have atleast one blind write.

### • Blind write

without reading a value if transaction writes it then it is called as blind write. If there is no blind write then it is not view serializable





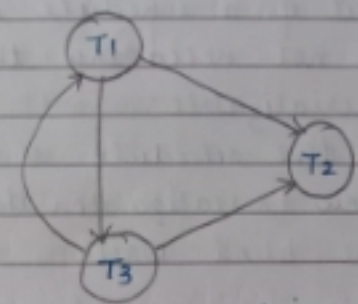
Pure graph mai ek khi  
cycle aa to it's not a  
schedule

Two instruction  
from diff transition

Q

	$T_1$	$T_2$	$T_3$
$R(x)$			$R(z)$
			$W(z)$
$R(y)$		$R(y)$	
		$W(y)$	$W(x)$
		$W(z)$	
$W(x)$			

Check given schedule  
is conflict serializable  
or not



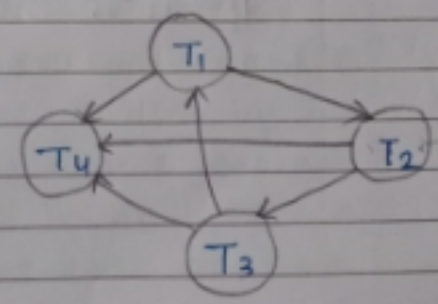
So the graph contains  
cycle so it is not a serializable  
schedule.

Q If the schedule is conflict serializable give order  
of serializability

Ans  $T_1, T_3, T_2$  : Order of serializability

Q

	$T_1$	$T_2$	$T_3$	$T_4$
		$R(x)$		
			$W(x)$	
$W(x)$				
		$W(y)$		
		$R(z)$		
				$R(x)$
				$R(y)$



Order:  $T_2, T_3, T_1, T_4$

To check whether it's consistent

## ii Non-serial schedule

eg:

$T_1$

$R(x)$

$W(y)$

$R(x)$

$W(z)$

$T_2$

$R(y)$

$W(x)$

$R(z)$

applying  
concurrency

consistent /  
non-consistent

Non-serial schedule is a schedule in which all the transactions execute simultaneously. One instruction at a time

Advantage: Concurrency

Disadvantage: consistency

### • Conflicting Instructions:

There are three conditions to find conflicting instructions:

Condition 1:

Two instructions belongs to two different transactions

Condition 2:

They should operate on same data

Condition 3:

Atleast one of them is <sup>wright</sup> ~~wright~~ <sub>write</sub> operation.

### imp • conflict serializable schedule / conflict serializability

In a non-serial schedule after swapping of non-conflicting instructions if we can convert it into serial schedule which is consistent then that schedule is called ~~as~~ conflict serializable or conflict serializable

can execute together.

Suppose there are two transactions  $T_1$  and  $T_2$ .

1. Number of instructions in a schedule will  $n^1 + n^2$
2. Total instructions in  $T_1$  is  $N_1$  and in  $T_2$  is  $N_2$
3. We cannot change order of instruction
4. Only what we can do is context switching

Q. If there are  $N$  transactions then how many different schedules are possible.

Ans.  $N$  Factorial  $(3!)(3 \times 2) = 6$ .

If there are 3 factorial so 6 different schedules are possible.

• Types of schedule:

There are two types of schedule; i) serial ii) non-serial

i) Serial Schedule

It is a schedule in which each and every transaction executes independently one after another. eg:-  $W(y)$

In the side example all instructions from transaction one get executed first and then transaction  $T_2$  will start its execution.

Advantage: Consistency

Disadvantage: No concurrency.

always consistent

$T_1$	$T_2$
$R(z)$	
$W(y)$	
$R(y)$	
$W(z)$	
	$R(y)$
	$W(x)$
	$R(z)$
	$W(y)$



3. Resource utilization will improve (hardware, software).

4. Efficiently entire system will work efficiently.

• Problems which occur due to concurrency.

Eg: $T_1$		$T_2$	
$x = 10$	$W(x)$	$R(x)$	(both the transaction are working on same it
$x = 15$	$W(x)$	$R(x)$	will occur problem)

1. Unrepeatable Read Problem means transaction itself cannot repeat its read operation.

$T_1$		$T_2$	
	$W(x)$		
$x = 10$	$R(x)$	$R(x)$	Dirty Read Problem
$x = 15$			Failure rollback

Transaction read the value which ~~cause~~ was return by uncommitted transaction so for sure their is a risk a failure. As the transaction has not committed and the transaction which has committed will not get any chance to rollback.

• Schedule

Bundle of transaction executing together, the entire unit is called as schedule, but at any instant of time only a single instruction can be execute and with the help of context switching multiple transaction

- vi It is called as partially committed because all modifications are updated in buffer and not in original database  
(buffer is a temporary storage or copy of your original database)
- vii While transferring data from buffer to original database if there is some hardware or software failure occur then transaction will move again to failed state
- viii When all modifications are done in original database transaction will switch to committed state.
- ix Transaction in failed state will move to aborted state and perform rollback operation.
- x Rollback means deleting all the modifications from buffer and moving back to initial state.
- xi Transaction in terminated will always be consistent. Once the transaction commits it cannot rollback.
- xii Transaction Database in committed state and in aborted state will always be consistent.

#### • Advantages of concurrency

Executing multiple transactions together is called as concurrency

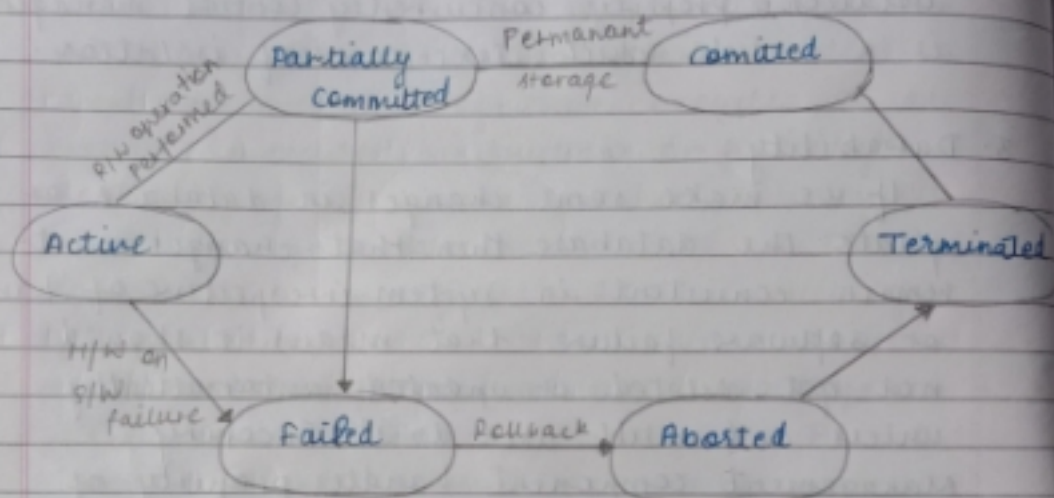
1. waiting time is less.
2. Response time is less

When a process or transaction says I'm ready and first time CPU give response to that



• States of Transaction:

Imp. Q. With neat label diagram explain life cycle of transaction.



- i Definition of Transaction (already given)
- ii When your transaction is in execution it is in active state; No matter on which instruction it is, it will be in active state until the last instruction.
- iii From active state it can either move to partially committed or failed state.
- iv When a transaction is in execution and some failure occurs it can be hardware or software failure and it is guaranteed that transaction cannot continue its execution again; then it will move to failed state.
- v Once all read write operations ~~to~~ perform completely it will switch to partially committed state ~~it is~~ ~~or~~

## 2. Isolation:

If multiple transactions are executing together in such a way that none of the transaction affect other then we can say transaction satisfying isolation property concurrency control management is a module which take care of isolation.

## 3. Durability:

If we make some changes in database or update the database then that changes must remain consistent in system irrespective of hardware or software failure. that means it should not get deleted or updated automatically unless and until user do it. Recovery Management component handles property of durability.

## 4. Consistency:

If your database is initially consistent before execution of transaction then it should be consistent after execution of transaction. No separate module take care of consistency. It is responsibility of programmer.

If atomicity, isolation and durability works good then automatically consistency ~~works~~ holds good.

Unit: 2

## Transaction Concepts and Concurrency Control

- Transaction is a set of instructions to perform some logical task. This task is atomic in nature i.e. either all instructions executes completely or none of them executes at all. Partially executed instructions or partially executed programs instructions or partially executed program are not allowed in DBMS, because it is meaningless to have such type of transactions.

eg: Person A transfer amount of Rs 100 to person B. The amount is deducted from person A but the amount is not received by person B.

IMP • ACID properties of transaction:

There are 4 properties of transaction:

Atomicity; Consistency; Isolation; Durability.

1. Atomicity:

All instructions must execute completely or none of them executes at all.

Q. Which component of databases takes care of atomicity.

Ans. Transaction Management Component. It is a small module inside your database.