

# Knowledge Compilation und #SAT

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- The SAT Problem (**SAT**).

## SAT

- Given a Boolean formula  $\varphi$  of  $n$  variables.
- ? Find an assignment that satisfies  $\varphi$ .

# Definitions

- The SAT Problem (**SAT**).
- Counting SAT Problem (**#SAT**).

## #SAT

- Given a Boolean formula  $\varphi$  of  $n$  variables.
- ? How many assignments in  $2^{\text{Var}(\varphi)}$  satisfy  $\varphi$ ?

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- The SAT Problem (**SAT**).
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## Notation

Let  $\text{SAT}(\chi) \subseteq 2^{\text{VAR}(\chi)}$  be the set of all satisfying assignments of  $\chi$

$$\text{SAT}(\chi) = \{\rho : \text{VAR}(\chi) \rightarrow \{0, 1\} : \rho(\chi) = 1\}.$$

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SAT: Is  $\text{SAT}(\varphi) = \emptyset$ .

#SAT: Find  $|\text{SAT}(\varphi)|$ .

# Definitions

- The SAT Problem (**SAT**).
- Counting SAT Problem (**#SAT**).

## Example

$$\varphi = X_1 \wedge (X_2 \vee \neg X_3)$$

Clearly,  $\#SAT(\varphi) = 3$ .

# Definitions

- The SAT Problem (**SAT**).
- Counting SAT Problem (**#SAT**).
- Negation Normal Form (**NNF**).

## Negation Normal Form

A Boolean formula  $\varphi$  is in NNF form, if it contains only disjunctions and conjunctions over a set of positive and negative literals.

**Example.**  $\varphi = X_1 \vee \neg X_2$ .

# Definitions

- The SAT Problem (**SAT**).
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- Negation Normal Form (**NNF**).
- Conjunctive Normal Form (**CNF**).

## Conjunctive Normal Form

A Boolean formula  $\varphi$  is in CNF, if it is a conjunction of one or more clauses, where each clause is a disjunction of one or more literals. Note that each CNF formula is an NNF formula as well.



- The SAT Problem (**SAT**).
- Counting SAT Problem (**#SAT**).
- Negation Normal Form (**NNF**).
- Conjunctive Normal Form (**CNF**).
- Decomposable Negation Normal Form (**DNNF**).

## Decomposable Negation Normal Form

A Boolean formula  $\varphi$  is in DNNF, if it is in NNF and for each conjunction subformula  $\phi' := \psi_1 \wedge \psi_2$  we have  $\text{VAR}(\psi_1) \cap \text{VAR}(\psi_2) = \emptyset$ .

- Satisfying each subformula is independent.

- The SAT Problem (**SAT**).
- Counting SAT Problem (**#SAT**).
- Negation Normal Form (**NNF**).
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- Decomposable Negation Normal Form (**DNNF**).
- deterministic Decomposable Negation Normal Form (**d-DNNF**).

## deterministic Decomposable Negation Normal Form

A Boolean formula  $\varphi$  is in d-DNNF, if it is in DNNF and for each disjunction subformula  $\varphi' = \psi_1 \vee \psi_2$  we have  $\text{SAT}(\psi_1) \cap \text{SAT}(\psi_2) = \emptyset$ .

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- decision Decomposable Negation Normal Form (**dec-DNNF**).

## decision Decomposable Negation Normal Form

A Boolean formula  $\varphi$  is in dec-DNNF, if it is in DNNF and each disjunction subformula  $\varphi'$  is of the form  $\varphi' = (X \wedge \psi_1) \vee (\neg X \wedge \psi_2)$  for some variable  $X \in \text{VAR}(\varphi)$ .

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**Note.** Each dec-DNNF is a d-DNNF.

[On the blackboard..]

# Goals for today

Build a dec-DNNF of polynomial size for  $\beta$ -*acyclic* graphs.

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Show a subclass of  $\beta$ -acyclic formulas, where each *structured* d-DNNF has exponential size.

# Assignments

- Given a CNF Formula  $\varphi$ , an **assignment** for  $C$  is a function  $\tau : \text{VAR}(C) \rightarrow \{0, 1\}$ .

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## Example

$$\varphi := (v_1 \vee \neg v_2 \vee v_3) \wedge (v_1 \vee v_2) \wedge (\neg v_2 \vee \neg v_3)$$

For  $V' = \{v_1, v_2\}$ ,  $\tau|_{V'}(v_1) = 1$ ,  $\tau|_{V'}(v_2) = 0$ , the partial assignment  $\tau|_{V'}$  satisfies  $\varphi$ .

# Hypergraphs

- Hypergraph  $\mathcal{H}$ .
  - A set of vertices  $V(\mathcal{H})$ .
  - Edges  $E(\mathcal{H})$ , defined as subsets over  $V(\mathcal{H})$ .

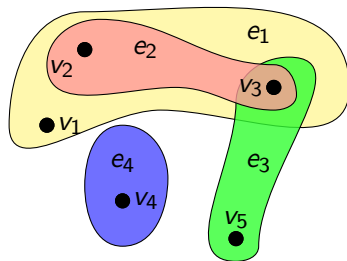


Figure:

<https://tex.stackexchange.com/a/1195/163902>

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 $e_i \in \mathcal{H}, x_i \in V(\mathcal{H})$  and  
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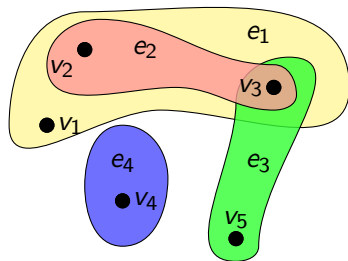


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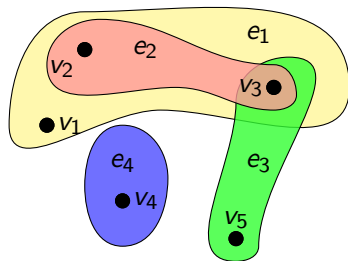


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- Different ways to translate acyclicity to hypergraphs.

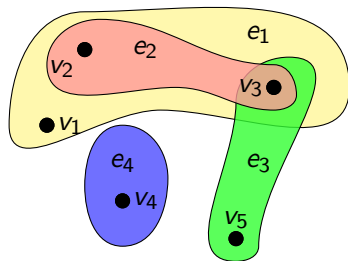
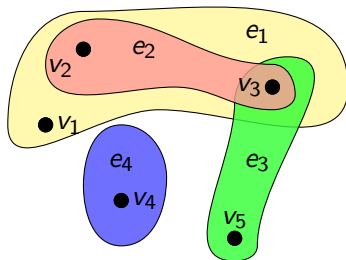


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# $\beta$ -acyclic graphs

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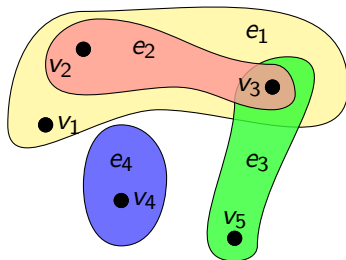


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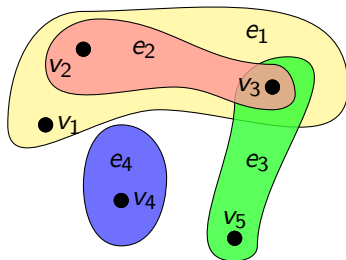
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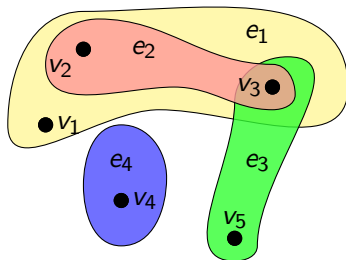
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 $e_1, e_2 \in E(\mathcal{H})$  and  $v_i \in e_1 \cap e_2$ ,  
 $e_{1|_{\geq i}} \subseteq e_2$  or  $e_{2|_{\geq i}} \subseteq e_1$ .



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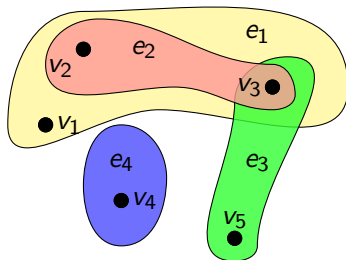
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- We define  $V_{\leq v_i} := \{v_j; j \leq i\}$ .

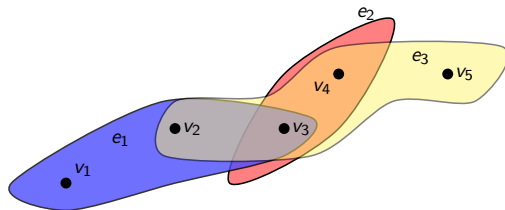


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# Polynomial upper-bound on the practical method

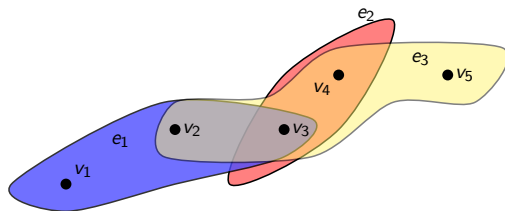
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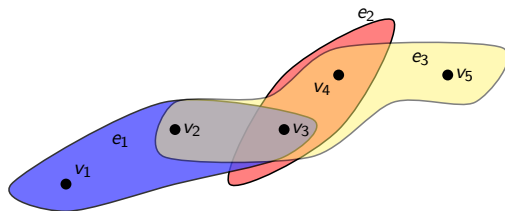
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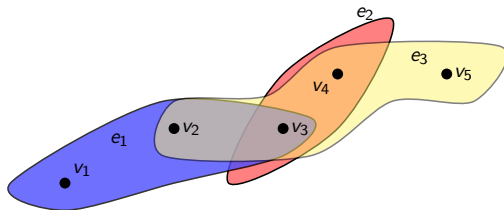


Figure: Note that  $e_2 \notin H_{e_3}^{v_2}$  meanwhile  $e_2 \in H_{e_3}^{v_3}$



# Lemmas on $\beta$ -acyclic graphs

## Lemma (lemma 2)

For  $x, y \in V(\mathcal{H})$ ,  $x \leq y$  and for  $e, f \in \mathcal{H}$ ,  $e \leq f$ ,

if  $V(\mathcal{H}_e^x) \cap V(\mathcal{H}_f^y) \cap V_{\leq x} \neq \emptyset$ , then  $\mathcal{H}_e^x \subseteq \mathcal{H}_f^y$ .

In particular, for all  $y \in V(\mathcal{H})$ ,

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Proof sketch. For  $g \in \mathcal{H}_e^x$ , there is a path from  $g$  to  $e$  using edges smaller than  $e$  and vertices smaller than  $x$ .

There is also a path from  $e$  to  $f$ . Concatenate both paths to get a path from  $g$  to  $f$ .

# Lemmas on $\beta$ -acyclic graphs

## Lemma (lemma 4)

For  $e, f \in \mathcal{H}$ ,  $e \leq f$ , If there exists a vertex  $x \in V(\mathcal{H})$ , such that  $x \in e \cap f$ , then  $e \cap V_{\geq x} \subseteq f$ .

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Proof sketch. If  $y \in e \setminus f$  such that  $y > x$ , then  $\mathcal{H}$  is not  $\beta$ -acyclic.

# Lemmas on $\beta$ -acyclic graphs

A path  $(e_1, x_1, \dots, e_{n+1})$  is called decreasing, if  $e_i > e_{i+1}$  and  $x_i > x_{i+1}$  for all  $i$ .

## Lemma (lemma 5)

For  $x \in V(\mathcal{H})$ ,  $e \in \mathcal{H}$  and  $f \in \mathcal{H}_e^x$ , there exists a decreasing path from  $e$  to  $f$  going through vertices smaller than  $x$ .

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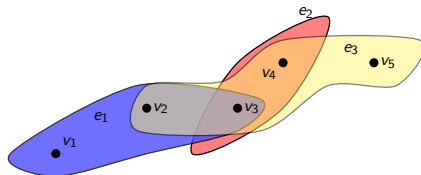
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Proof sketch. Any shortest path from  $e$  to  $f$  is decreasing. A path exists by definition.

# Lemmas on $\beta$ -acyclic graphs

## Theorem (theorem 3)

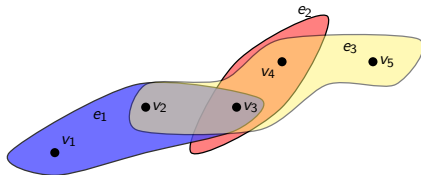
For every  $x \in V(\mathcal{H})$  and  $e \in \mathcal{H}$ ,  $V(\mathcal{H}_e^x) \cap V_{\geq x} \subseteq e$



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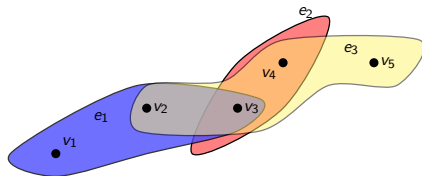
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Proof sketch. Prove that all edges of a decreasing path are subsets of the first edge by induction over the length of the path.

Intuitively, this allows us to use dynamic programming, since all variables in  $\mathcal{H}_e^x$  not contained in  $e$  are smaller than  $x$ .

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# Solving #SAT in $\beta$ -acyclic graphs

- The hypergraph of a CNF-formula:
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# Solving #SAT in $\beta$ -acyclic graphs

## Lemma (lemma 6)

Let  $x \neq x_1 \in \text{VAR}(F)$  and let  $y$  be the predecessor of  $x$  for  $<$ . Let  $e \in \mathcal{H}$  and  $\tau : (e \cap V_{\geq x}) \rightarrow \{0, 1\}$ . Then either  $F_e^x[\tau] \equiv 1$  or there exists  $U \subseteq \mathcal{H}_e^x$  such that

$$F_e^x[\tau] \equiv \bigwedge_{g \in U} F_g^y[\tau_{C_g}^y],$$

where  $C_g$  is some clause in  $F_e^x$  such that  $\text{VAR}(C_g) = g$ .

Moreover, all and-gates are decomposable and  $U$  can be computed in polynomial time.

# Solving #SAT in $\beta$ -acyclic graphs

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- The edges in  $U$  are pairwise disjoint. Hence, the and-gate is decomposable.

# Solving #SAT in $\beta$ -acyclic graphs

## Corollary (corollary 7)

Let  $x \neq x_1 \in \text{VAR}(F)$  and let  $y$  be the predecessor of  $x$  for  $<$ . For every  $C \in \mathcal{H}$ , there exist  $U_0, U_1 \subseteq \mathcal{H}_{\text{VAR}(C)}^x$  such that

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Moreover, all conjunctions are decomposable and  $U_0, U_1$  can be computed in polynomial time.

Proof sketch. Let  $\tau_1 := \tau_C^x \cup \{x \mapsto 1\}$  and  $\tau_0 := \tau_C^x \cup \{x \mapsto 0\}$ .

$$F_{\text{VAR}(C)}^x[\tau_C^x] = (x \wedge F_{\text{VAR}(C)}^x[\tau_1]) \vee (\neg x \wedge F_{\text{VAR}(C)}^x[\tau_0])$$

Apply lemma 6 on each of the terms.

# Solving #SAT in $\beta$ -acyclic graphs

## Theorem (theorem 8)

Let  $F$  be a  $\beta$ -acyclic CNF-formula. One can construct in polynomial time in  $\text{size}(F)$  a dec-DNNF  $D$  of size  $O((\text{size}(F)))$  and fanin at most  $|\mathcal{H}|$  computing  $F$ .

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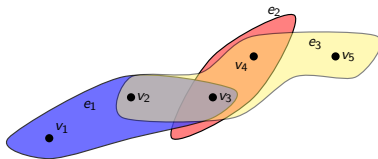
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- We add at most 7 gates per edges per vertex.

# Example



$$F = \{\{\overline{v_1}, v_2, v_3\}, \{\overline{v_3}, v_4\}, \{v_2, v_3, \overline{v_4}, \overline{v_5}\}\}$$

The rest on the blackboard..

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## conclusion

Exhaustive DPLL can yield efficient algorithms "theoretically", if we can find a good order to choose the variable (such an ordering must be computable in polynomial time) and a good method of caching.

## Lower-bound on the theoretical method

# Branch decomposition and MIM-width

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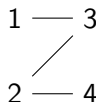
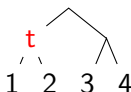
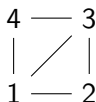
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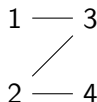
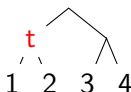
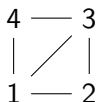
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$MIM-width(t) = 2$ .

# Structuredness of a formula

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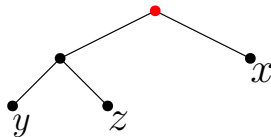
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- A **vTree**  $T$  is a binary tree where the leaves of the tree has a one-to-one correspondence to the variables of  $\varphi$ .
- The formula  $\varphi$  respects  $T$  if and only if for each subformula of  $\varphi$  of the form  $\varphi' := \psi_1 \wedge \psi_2$ , there is a vertex  $v \in V(T)$  with two children  $v_1, v_2$ , where  $\text{VAR}(\psi_1) \subseteq V(T_{v_1})$  and  $\text{VAR}(\psi_2) \subseteq V(T_{v_2})$ , where  $T_v$  is the subtree of  $T$  rooted at  $v$ . We say  $\varphi'$  respects  $v$  in this case.

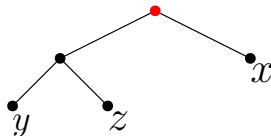
$$(x \wedge (y \vee z)) \vee (z \wedge \neg x)$$



# Structuredness of a formula

- Let  $\varphi$  be a DNNF formula and let  $V := \text{VAR}(\varphi)$ .
- A **vtree**  $T$  is a binary tree where the leaves of the tree has a one-to-one correspondence to the variables of  $\varphi$ .
- The formula  $\varphi$  respects  $T$  if and only if for each subformula of  $\varphi$  of the form  $\varphi' := \psi_1 \wedge \psi_2$ , there is a vertex  $v \in V(T)$  with two children  $v_1, v_2$ , where  $\text{VAR}(\psi_1) \subseteq V(T_{v_1})$  and  $\text{VAR}(\psi_2) \subseteq V(T_{v_2})$ , where  $T_v$  is the subtree of  $T$  rooted at  $v$ . We say  $\varphi'$  respects  $v$  in this case.
- A formula  $\varphi$  is structured, if there is a vtree  $T$  over the vertices of  $\varphi$ , such that  $\varphi$  respects  $T$ .

$$(x \wedge (y \vee z)) \vee (z \wedge \neg x)$$



# Incidence graphs and structure of formulas

- The **incidence graph** of  $\mathcal{H}$  is a bipartite graph  $(V(\mathcal{H}) \cup E(\mathcal{H}), E)$ , where  $\{v, e\} \in E$  iff  $v \in e$ .



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- The incidence graph of a CNF-Formula is the incidence graph of its hyper graph.
- The MIM-width of a CNF-formula is the MIM-width of its incidence graph.

## Theorem (theorem 9)

There exists an infinite family  $\mathcal{F}$  of  $\beta$ -acyclic CNF-formulas such that for every  $F \in \mathcal{F}$  having  $n$  variables, there is no structured DNNF of size less than  $2^{\Omega(\sqrt{n})}$  computing  $F$ .

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<sup>1</sup>Understanding Model Counting for beta-acyclic CNF-formulas, Brault-Baron et al., 2015.

# Results on the structured d-DNNF

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## Theorem (theorem 1)<sup>1</sup>

There exists an infinite family of  $\beta$ -acyclic hypergraphs of incidence MIM-width  $\Omega(n)$  where  $n$  is the number of vertices of the hypergraph.

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# Results on the structured d-DNNF

- Let  $r$  be a boolean function over  $X$  and let  $(Y, Z)$  be a partition of  $X$ . We call  $r$  a  $(Y, Z)$ -rectangle if and only if for every  $\tau, \tau' \in \{0, 1\}^X$  such that  $\tau \models r$  and  $\tau' \models r$ , we have  $\tau|_Y \cup \tau'|_Z \models r$ .
- A  $(Y, Z)$ -rectangle cover of a boolean function  $f$  is a set  $R = \{r_1, \dots, r_q\}$  of  $(Y, Z)$ -rectangles such that  $\text{sat}(f) = \bigcup_{i=1}^q \text{sat}(r_i)$ .

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## Theorem (theorem 11)<sup>2,3</sup>

Let  $D$  be a DNNF on variables  $X$  respecting the vtree  $T$ . For every vertex  $t$  of  $T$ , there exists a  $(X_t, X \setminus X_t)$ -rectangle cover of  $D$  of size at most  $|D|$ , where  $X_t = \text{VAR}(T_t)$ .

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# Results on the structured d-DNNF

Let  $F$  be a CNF-formula. Let  $\hat{F} := \{K \cup \{c_K\} \mid K \in F\}$  where we add a fresh variable to each clause.

## Theorem (theorem 12)

Let  $F$  be a monotone formula of incidence MIM-width  $k$ . Any structured DNNF computing  $\hat{F}$  is of size at least  $2^{k/2}$ .

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Let  $X = \{x_1, \dots, x_n\}$  and  $Y = \{y_1, \dots, y_n\}$  be two disjoint sets of  $k$  variables. The number of  $(X, Y)$ -rectangles needed to cover the CNF-formula  $F = \bigwedge_{i=1}^k (x_i \vee y_i)$  is at least  $2^k$ .



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Proof sketch (lemma 12). Find an assignment  $\tau$  of  $\hat{F}$  such that

$$\hat{F}[\tau] \equiv \bigwedge_{e \in N} (x_e \vee c_e).$$

# Conclusion

# Takeaway

- Building a structured d-DNNF is not always the best choice we have.

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- Building a structured d-DNNF is not always the best choice we have.
- If the structure implies a good elimination ordering, exhaustive DPLL might be a better shot.