# Knowledge Compilation und #SAT

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• The SAT Problem (SAT).

#### SAT

- Given a Boolean formula  $\varphi$  of n variables.
- ? Find an assignment that satisfies  $\varphi$ .

- The SAT Problem (SAT).
- Counting SAT Problem (**#SAT**).

### #SAT

- Given a Boolean formula  $\varphi$  of n variables.
- ? How many assignments in  $2^{\mathrm{Var}(\varphi)}$  satisfy  $\varphi$ ?

- The SAT Problem (SAT).
- Counting SAT Problem (#SAT).

#### **Notation**

Let  $SAT(\chi) \subseteq 2^{VAR(\chi)}$  be the set of all satisfying assignments of  $\chi$   $SAT(\chi) = \{\rho : VAR(\chi) \to \{0,1\} : \rho(\chi) = 1\}.$ 

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SAT: Is  $SAT(\varphi) = \emptyset$ . #SAT: Find  $|SAT(\varphi)|$ .

- The SAT Problem (SAT).
- Counting SAT Problem (#SAT).

### Example

$$\varphi = X_1 \wedge (X_2 \vee \neg X_3)$$

Clearly,  $\#SAT(\varphi) = 3$ .

- The SAT Problem (SAT).
- Counting SAT Problem (#SAT).
- Negation Normal Form (NNF).

### Negation Normal Form

A Boolean formula  $\varphi$  is in NNF form, if it contains only disjunctions and conjunctions over a set of positive and(or) negative literals.

**Example.**  $\varphi = X_1 \vee \neg X_2$ .

- The SAT Problem (SAT).
- Counting SAT Problem (#SAT).
- Negation Normal Form (NNF).
- Conjunctive Normal Form (CNF).

#### Conjunctive Normal Form

A Boolean formula  $\varphi$  is in CNF, if it is a conjunction of one or more clauses, where each clauses is a disjunction of one or more literals. Note that each CNF formula is an NNF formula as well.

- The SAT Problem (SAT).
- Counting SAT Problem (#SAT).
- Negation Normal Form (NNF).
- Conjunctive Normal Form (CNF).
- Decomposable Negation Normal Form (DNNF).

### Decomposable Negation Normal Form

A Boolean formula  $\varphi$  is in DNNF, if it is in NNF and for each conjunction subformula  $phi' := \psi_1 \wedge \psi_2$  we have  $VAR(\psi_1) \cap VAR(\psi_2) = \emptyset$ .

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- deterministic Decomposable Negation Normal Form (d-DNNF).

### deterministic Decomposable Negation Normal Form

A Boolean formula  $\varphi$  is in d-DNNF, if it is in DNNF and for each disjunction subformula  $\varphi' = \psi_1 \vee \psi_2$  we have  $SAT(\psi_1) \cap SAT(\psi_2) = \emptyset$ .

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- decision Decomposable Negation Normal Form (dec-DNNF).

### decision Decomposable Negation Normal Form

A Boolean formula  $\varphi$  is in dec-DNNF, if it is in DNNF and each disjunction subformula  $\varphi'$  is of the form  $\varphi' = (X \wedge \psi_1) \vee (\neg X \wedge \psi_2)$  for some variable  $X \in \mathrm{VAR}(\varphi)$ .

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Note. Each dec-DNNF is a d-DNNF.

# Assignments

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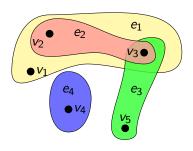
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### Example

$$\varphi := (v_1 \vee \neg v_2 \vee v_3) \wedge (v_1 lor v_2) \wedge (\neg v_2 \vee \neg v_3)$$

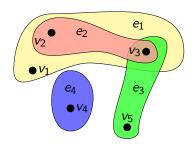
For  $V'=\{v_1,v_2\}, \tau_{|V'}(v_1)=1, \tau_{|V'}(v_2)=0$ , the partial assignment  $\tau_{|V'}$  satisfies  $\varphi$ .

- Hypergraph  $\mathcal{H}$ .
  - A set of vertices  $V(\mathcal{H})$ .
  - Edges  $E(\mathcal{H})$ , defined as subsets over  $V(\mathcal{H})$ .



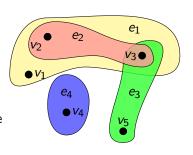
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- A walk is sequence  $(e_1, x_1, \dots, x_n, e_{n+1})$ ,  $e_i \in \mathcal{H}, x_i \in V(\mathcal{H})$  and  $x_i \in e_i \cap e_{i-1}$  for all  $i \in [n]$ .



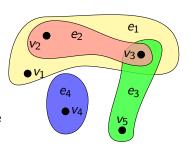
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- A path is a walk that never goes twice through the same vertex nor the same edge.



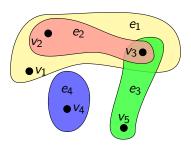
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- Different ways to translate acyclicity to hypergraphs.

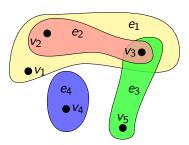


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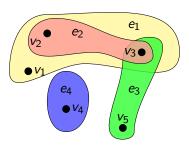
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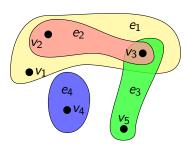
- Let  $\rho := v_1, \dots v_n$  be an enumeration of the vertices.
- $\bullet$   $\rho$  is a  $\beta$ -elimination, if for all



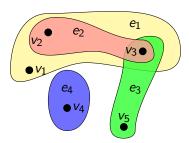
- Let  $\rho := v_1, \dots v_n$  be an enumeration of the vertices.
- ho is a eta-elimination, if for all  $e_1, e_2 \in E(\mathcal{H})$  and  $v_i \in e_1 \cap e_2$ ,  $e_{1|>i} \subseteq e_2$  or  $e_{2|>i} \subseteq e_1$ .



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- ho is a eta-elimination, if for all  $e_1,e_2\in E(\mathcal{H})$  and  $v_i\in e_1\cap e_2,$   $e_{1|\geq i}\subseteq e_2$  or  $e_{2|\geq i}\subseteq e_1.$
- A hypergraph is  $\beta$ -acyclic, if it admits a  $\beta$ -elimination.



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- We define  $V_{\leq v_i} := \{v_j; j \leq i\}$ .



Theoretical upper-bound on the practical method

• Let  $\mathcal{H}$  be a  $\beta$ -acyclic graph and  $v_1, \ldots v_n$  a  $\beta$ -elimination.

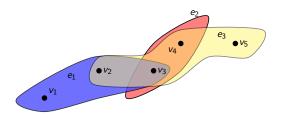


Figure: Note that  $e_2 \notin H_{e_3}^{v_2}$  meanwhile  $e_2 \in H_{e_3}^{v_3}$ 

- Let  $\mathcal{H}$  be a  $\beta$ -acyclic graph and  $v_1, \ldots v_n$  a  $\beta$ -elimination.
- For two edge  $e, f \in \mathcal{H}$ , e < f, if and only if  $\max\{e\Delta f\} \in f$

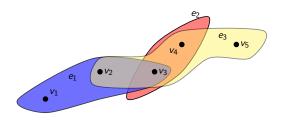


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- For two edge  $e, f \in \mathcal{H}$ , e < f, if and only if  $\max\{e\Delta f\} \in f$
- $\mathcal{H}_e^{\times}$  denotes the subgraph of  $\mathcal{H}$ , that contain the edges f, such that there is a walk from f to e that goes only through edges smaller than e and vertices smaller than (or equal to) x.

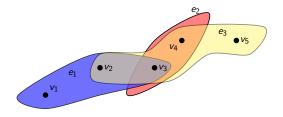


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#### Lemma (lemma 2)

For  $x, y \in V(\mathcal{H}), x \leq y$  and for  $e, f \in \mathcal{H}, e \leq f$ ,

$$\text{if } V(\mathcal{H}_e^x) \cap V(\mathcal{H}_f^y) \cap V_{\leq x} \neq \emptyset, \text{ then } \mathcal{H}_e^x \subseteq \mathcal{H}_f^y.$$

In particular, for all  $y \in V(\mathcal{H})$ ,

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Proof sketch. For  $g \in \mathcal{H}_e^x$ , there is a path from g to e using edges smaller than e and vertices smaller than x.

There is also a path from e to f. Concatenate both paths to get a path from g to f.

#### Lemma (lemma 4)

For  $e, f \in \mathcal{H}, e \leq f$ , If there exists a vertex  $x \in V(\mathcal{H})$ , such that  $x \in e \cap f$ , then  $e \cap V_{>x} \subseteq f$ .

#### Lemma (lemma 4)

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Proof sketch. If  $y \in e \setminus f$  such that y > x, then  $\mathcal{H}$  is not  $\beta$ -acyclic.

A path  $(e_1, x_1, \dots e_{n+1})$  is called decreasing, if  $e_i > e_{i+1}$  and  $x_i > x_{i+1}$  for all i.

#### Lemma (lemma 5)

For  $x \in V(\mathcal{H})$ ,  $e \in \mathcal{H}$  and  $f \in \mathcal{H}_e^x$ , there exists a decreasing path from e to f going through vertices smaller than x.

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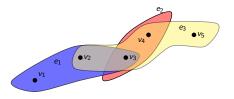
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Proof sketch. Any shortest path from e to f is decreasing. A path exists by definition.

### Theorem (theorem 3)

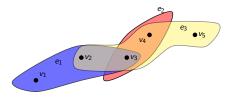
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## Lemmas on $\beta$ -acyclic graphs

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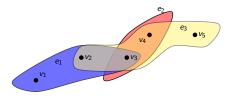


Proof sketch. Prove that all edges of a decreasing path are subsets of the first edge by induction over the length of the path.

## Lemmas on $\beta$ -acyclic graphs

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For every  $x \in V(\mathcal{H})$  and  $e \in \mathcal{H}, V(\mathcal{H}_e^{\mathsf{x}}) \cap V_{\geq \mathsf{x}} \subseteq e$ 



Proof sketch. Prove that all edges of a decreasing path are subsets of the first edge by induction over the length of the path.

Intuitively, this allows us to use dynamic programming, since all variables in  $\mathcal{H}_e^{\times}$  not contained in e are smaller than x.

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- We define  $\tau_C^{\mathsf{x}} := \tau_{C|\geq \mathsf{x}}$ ,
  - i.e.  $F[\tau_C^x]$  results from F by removing all variables greater than x from each clause.

### Lemma (lemma 6)

Let  $x \neq x_1 \in \mathrm{VAR}(F)$  and let y be the predecessor of x for <. Let  $e \in \mathcal{H}$  and  $\tau : (e \cap V_{\geq x}) \to \{0,1\}$ . Then either  $F_e^x[\tau] \equiv 1$  or there exists  $U \subseteq \mathcal{H}_e^x$  such that

$$F_e^{\mathsf{x}}[\tau] \equiv \bigwedge_{g \in U} F_g^{\mathsf{y}}[\tau_{C_g}^{\mathsf{y}}],$$

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Proof sketch.

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- Let A be the set of all edges not satisfied by  $\tau$ .
- For each clause C such that  $VAR(C) \notin A, \tau \models C$ .

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Let  $x \neq x_1 \in VAR(F)$  and let y be the predecessor of x for <. For every  $C \in \mathcal{H}$ , there exist  $U_0, U_1 \subseteq \mathcal{H}^x_{VAR(C)}$  such that

$$F_{\mathrm{VAR}(C)}^{x}[\tau_{C}^{x}] \equiv (x \wedge \bigwedge_{g \in U_{1}} F_{g}^{y}[\tau_{C_{g}}^{y}]) \vee (\neg x \wedge \bigwedge_{g \in U_{2}} F_{g}^{y}[\tau_{C_{g}}^{y}]).$$

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Proof sketch. Let  $\tau_1 := \tau_C^x \cup \{x \mapsto 1\}$  and  $\tau_0 := \tau_C^x \cup \{x \mapsto 0\}$ .

$$F_{\mathrm{VAR}(C)}^{x}[\tau_{C}^{x}] = (x \wedge F_{\mathrm{VAR}(C)}^{x}[\tau_{1}]) \vee (\neg x \wedge F_{\mathrm{VAR}(C)}^{x}[\tau_{0}])$$

Apply lemma 6 on each of the terms.

## Theorem (theorem 8)

Let F be a  $\beta$ -acyclic CNF-formula. One can construct in polynomial time in  $\operatorname{size}(F)$  a dec-DNNF D of size  $O((\operatorname{size}(F)))$  and fanin at most  $|\mathcal{H}|$  computing F.

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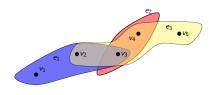
• For  $e = \max \mathcal{H}$  and a clause C such that  $\mathrm{VAR}(C) = e$ , we have  $\mathcal{H}_e^{v_n} = \mathcal{H}$  and  $\tau_{\mathrm{VAR}(C)}^{x_n} = \emptyset$ , hence there is a gate in  $D_n$  computing  $F_e^{x_n}[\tau_C] = F$ .

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- We add at most 7 gates per edges per vertex.

## Example



$$F=\{\{\overline{v_1},v_2,v_3\},\{\overline{v_3},v_4\},\{v_2,v_3,\overline{v_4},\overline{v_5}\}\}$$

The rest on the blackboard..

## concluding the practical method

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#### conclusion

Exhaustive DPLL can yield efficient algorithms "theoretically", if we can find a good order to choose the variable (such an ordering must be computable in polynomial time) and a good method of cashing.

Lower-bound on the theoretical method

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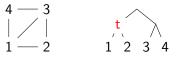




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MIM-width(t) = 2.

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- A formula  $\varphi$  is structured, if there is a vtree T over the vertices of  $\varphi$ , such that  $\varphi$  respects T.

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# Incidence graphs and structure of formulas

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- The incidence graph of a CNF-Formula is the incidence graph of its hyper graph.
- The MIM-width of a CNF-formula is the MIM-width of its incidence graph.

### Theorem (theorem 9)

There exists an infinite family  $\mathcal{F}$  of  $\beta$ -acyclic CNF-formulas such that for every  $F \in \mathcal{F}$  having n variables, there is no structured DNNF of size less than  $2^{\Omega(\sqrt{n})}$  computing F.

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### Theorem (theorem $1)^1$

There exists an infinite family of  $\beta$ -acyclic hypergraphs of incidence MIM-width  $\Omega(n)$  where n is the number of vertices of the hypergraph.

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- Let r be a boolean function over X and let (Y,Z) be a partition of X. We call r a (Y,Z)-rectangle if and only if for every  $\tau,\tau'\in\{0,1\}^X$  such that  $\tau\models r$  and  $\tau'\models r$ , we have  $\tau|Y\cup\tau'|Z)\models r$ .
- A (Y, Z)-rectangle cover of a boolean function f is a set  $R = \{r_1, \ldots, r_q\}$  of (Y, Z)-rectangles such that  $\operatorname{sat}(f) = \bigcup_{i=1}^q \operatorname{sat}(r_i)$ .

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# Theorem (theorem $11)^{2,3}$

Let D be a DNNF on variables X respecting the vtree T. For every vertex t of T, there exists a  $(X_t, X \setminus X_t)$ -rectangle cover of D of size at most |D|, where  $X_t = \operatorname{VAR}(T_t)$ .

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Let F be a CNF-formula. Let  $\hat{F} := \{K \cup \{c_K\} | K \in F\}$  where we add a fresh variable to each clause.

#### Theorem (theorem 12)

Let F be a monotone formula of incidence MIM-width k. Any structured DNNF computing  $\hat{F}$  is of size at least  $2^{k/2}$ .

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Proof sketch (lemma 12). Find an assignment  $\tau$  of  $\hat{F}$  such that

$$\hat{F}[\tau] \equiv \bigwedge_{e \in N} (x_e \vee c_e).$$

# Conclusion

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- Building a structured d-DNNF is not always the best choice we have.
- If the structure implies a good elimination ordering, exhaustive DPLL might be a better shot.