

# Topics in group and representation theory

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A “note”

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## 1 PRELIMINARIES

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The purpose of this section is to present key concepts that we will need to use indiscriminately in later sections. Let us keep it brief and proof-free to maximise efficiency. It is assumed that anyone hoping to make sense of this note has taken a first course in group theory, and knows, for example, the definition of a group. We typically write group operations multiplicatively, because most of the groups we deal with will be nonabelian (and it is ridiculous to say  $a + b \neq b + a$  additively).

### 1.1 SUBGROUP STRUCTURES

Given a subgroup  $H \leq G$ , the relation  $x \sim y$  if and only if  $xy^{-1} \in H$  defines an equivalence relation. The equivalence classes are called the *left* (resp. *right*) *cosets* of  $H$  in  $G$ , and are  $xH = \{xh : h \in H\}$  (resp.  $Hx = \{hy : h \in H\}$ ). Denote by  $|G : H|$  the *index* of  $H$  in  $G$ , the number of left (resp. right) cosets. From this, we get

**Theorem 1.1** (Lagrange's theorem). *If  $H \leq G$  are finite groups,  $|H|$  divides  $|G|$ .*

Call  $|G|$  the *order* of  $G$ . Define the *order* of  $x \in G$  as  $\min\{n \in \mathbb{N}_{>0} : x^n = 1\}$ . If  $\langle x \rangle$  denotes the cyclic subgroup  $\{x^n : n \in \mathbb{N}\}$ ,  $\text{ord}(x) = |\langle x \rangle|$ . A group  $G$  is called *cyclic* if  $G = \langle x \rangle$ ; it is clear that every cyclic group is abelian. It is less clear, but true, that every subgroup of a cyclic group is cyclic.

A corollary to Lagrange's theorem is that the order of any element divides the order of the group, so  $|G| = n$  implies  $g^n = 1$ .

An exercise in elementary combinatorics says

**Proposition 1.2.** *If  $|G|$  is even,  $G$  has an element of order 2.*

The following theorem can also be proven combinatorially,

**Theorem 1.3** (Cauchy's theorem). *If a prime  $p$  divides the order of  $G$ , then  $G$  has an element of order  $p$ .*

## 1.2 IMPORTANT EXAMPLES OF GROUPS

The standard groups one encounters are infinite:  $(\mathbb{Z}, +)$ ,  $(\mathbb{Q}^*, \times)$ ,  $(\mathbb{R}^n, +)$ , and so on. For each  $n \in \mathbb{N}$ , the finite group  $\mathbb{Z}_n$  is the set of integers modulo  $n$  with addition; this is abelian.  $\mathbb{Z}_n^\times$ , the set of *nonzero* integers coprime to  $n$  is a multiplicative group of order  $\phi(n)$ . An important related group is the *Klein-four group*,  $\mathbb{Z}_2 \times \mathbb{Z}_2$ , denoted by  $V_4$ . Except for  $V_4$ , the groups  $\mathbb{Z}_n : n \leq 5$  make up all groups of order  $\leq 5$ , so every group of order  $\leq 5$  is abelian. Typically, if we want to prove something for finite groups by induction, the base case  $n \leq 5$  will follow trivially from this fact, so it is worth keeping in mind.

The *symmetric group of order  $n$* , denoted  $\text{Sym}(n)$  or  $S_n$  is the group of permutations of  $n$  elements. For  $n \geq 3$ , this is nonabelian.  $|S_n| = n!$ . An element  $\tau \in S_n$  is called a *transposition* if  $\tau = (ij)$  interchanges exactly the elements  $i$  and  $j$ . The *alternating group of order  $n$* ,  $A_n$ , is the subgroup of all permutations that can be written as a product of an even number of transpositions.<sup>1</sup>  $|A_n| = n!/2$ .

The *dihedral group of order  $n$* , which we will denote by  $D_n$  – although some books write  $D_{2n}$  – is the group of symmetries of a regular  $n$ -gon. This is generated by the rotation  $r$  and the reflection  $s$ , satisfying

$$D_n = \langle r, s \mid r^n = s^2 = 1, rs = sr^{-1} \rangle$$

Matrix groups will later play an important role. Denote by  $GL(V)$  the group of invertible linear transformations of a vector space  $V$ , under multiplication; this is the *general linear group*. The *special linear group*  $SL(V)$  denotes the subgroup of matrices of determinant 1. When  $V$  is a  $d$ -dimensional vector space over a field  $F$ , we denote these by  $GL(d, F)$  and  $SL(d, F)$  respectively.

## 1.3 GROUP HOMOMORPHISMS

The most simple operations to construct a group (defined here in their most general forms) are the *direct sum* and *direct product*. Given a collection of groups  $(G_i)_{i \in I}$ , define

$$\bigoplus_{i \in I} G_i = \{(g_i)_{i \in I} : g_i \in G_i, \text{ and at most finitely many of the } g_i \text{ are not equal to the identity}\}$$

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<sup>1</sup>This definition conceals a nontrivial fact – that the transpositions generate  $S_n$ , and that each element is the product of either an even or an odd number of transpositions, but not both.

$$\prod_{i \in I} G_i = \{(g_i)_{i \in I} : g_i \in G_i\}$$

Conversely, can we “build up” any group from “smaller” groups? This is what motivates the definition of a *normal subgroup*,

$$N \triangleleft G \text{ if } \forall g \in G, gNg^{-1} \subset N$$

Equivalently, say  $x \sim y$  if for some  $g \in G$ ,  $x = gyg^{-1}$ . We say  $x$  and  $y$  are *conjugate* (in  $G$ ), and the equivalence classes of this relation are called *conjugacy classes*. Then  $N$  is a normal subgroup of  $G$  if and only if  $N$  is a subgroup and  $N$  is a union of conjugacy classes. An interesting but easy fact to check is that any subgroup of index 2 is normal.

Why are normal subgroups important? Say  $\varphi : G \rightarrow H$  is a (group) *homomorphism* if  $\varphi(gh) = \varphi(g)\varphi(h)$ . If  $\varphi$  is also a bijection, call it an *isomorphism*, and write  $G \cong H$ .

Given  $N \leq G$ , define a *projection*  $\pi : G \rightarrow G/N$ ,  $\pi : g \rightarrow gN$ . This is a homomorphism if and only if  $N$  is a normal subgroup, in which case we call  $G/N$  a *quotient group* of  $G$ .

That is, given a homomorphism  $\varphi : G \rightarrow H$ , define

$$\ker \varphi = \{g \in G : \varphi(g) = 1\}$$

$\ker \varphi$  is a normal subgroup of  $G$ , and this is a one-to-one correspondence between normal subgroups of  $G$  and kernels of homomorphisms of  $G$ . Unfortunately, it is not always true that  $G \cong N \oplus G/N$ . Nevertheless, the three isomorphism theorems, though seemingly simple, prove to be powerful tools.

**Theorem 1.4** (First isomorphism theorem). *Let  $\varphi : G \rightarrow H$  be a group homomorphism.*

$$G/\ker \varphi \cong \text{Im } \varphi$$

**Theorem 1.5** (Second isomorphism theorem). *Let  $N \triangleleft G$ , and  $H \leq G$ . Then  $H \cap N \triangleleft G$ , and  $HN = \{hn : h \in H, n \in N\}$  is a well-defined subgroup of  $G$ . Further,*

$$HN/N \cong H/H \cap N$$

**Theorem 1.6** (Third isomorphism theorem). *If  $H$  and  $K$  are normal subgroups of  $G$  such that  $H \leq K \leq G$ , then  $K/H$  is a normal subgroup of  $G/H$ , and*

$$G/H/K/H \cong G/K$$

A natural question to ask is if  $M \triangleleft N$ , and  $N \triangleleft G$ , is  $M \triangleleft G$ ? Unfortunately, this is not true. However, we say a subgroup  $H$  is *characteristic* in  $G$ , denoted  $H \text{ char } G$ , if  $H$  is fixed by every automorphism<sup>2</sup> of  $G$ .

**Proposition 1.7.** *If  $M \text{ char } N$  and  $N \triangleleft G$ , then  $M \triangleleft G$ .*

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<sup>2</sup>An isomorphism  $G \rightarrow G$ .

## 1.4 GROUP ACTIONS

We say  $G$  is a *permutation group* if  $G$  is isomorphic to a subgroup of some symmetric group.

We say a group  $G$  *acts* on a set  $\Omega$  if there is a homomorphism  $\varphi : G \rightarrow S_\Omega$ ,  $g \mapsto \varphi_g$ . Alternatively, each  $g \in G$  defines a permutation of  $\Omega$  so that

$$\omega 1 = \omega$$

$$(\omega g)h = \omega(gh) : \quad \forall g, h \in G$$

*Vigyázz.* We write a group action as a *right* group action, and will hopefully keep this consistent throughout the note.

**Definition 1.8.** Let  $G$  act on  $\Omega$ . Define

- (1) the *orbit* of  $\omega \in \Omega$  denoted by  $\omega G := \{\omega g : g \in G\}$
- (2) the stabilizer of  $\omega$ ,  $G_\omega := \{g \in G : \omega g = \omega\}$ , sometimes denoted by  $Stab_G(\omega)$ ,
- (3) the *kernel* of the action,  $\{g \in G : \omega g = \omega, \forall \omega \in \Omega\}$ .

Some properties that are easy to check:

$$\omega g_1 = \omega g_2 \iff G_{\omega g_1} = G_{\omega g_2}$$

**Lemma 1.9** (The orbit-stabilizer lemma).

$$|\omega G| = |G : G_\omega|$$

and

$$G_{\omega g} = g^{-1} G_\omega g$$

$$\ker(\varphi) = \bigcap_{\omega} G_\omega$$

An action is *faithful* if its kernel is trivial, *transitive* if it has only one orbit, *semi-regular* if the stabilizer of every element is trivial, and *regular* if it is semi-regular and transitive. Equivalently, it is regular if

$$\forall \alpha, \beta \in \Omega, \exists! g \in G : \quad \alpha g = \beta$$

Note that any semi-regular action is faithful. If  $G$  acts transitively on  $\Omega$ , then the orbit-stabilizer lemma implies that  $|\Omega|$  divides  $|G|$ . If  $G$  acts regularly on  $\Omega$ ,  $|G| = |\Omega|$ , and for any fixed  $\alpha \in \Omega$ , we have a bijection  $g \mapsto \alpha g$ . So any regular action of  $G$  is essentially the *right regular action* (the action of  $G$  on itself by right multiplication). This gives us

**Theorem 1.10** (Cayley's theorem). *Every group is isomorphic to a permutation group (a subgroup of a symmetric group).*

From now on, instead of writing “ $G$  acts on  $\Omega$  and the action is faithful”, we will write  $G \leq S_\Omega$ .

### 1.5 IMPORTANT TYPES OF GROUPS AND SUBGROUPS

The theory of finite – in fact, finitely generated – abelian groups is well-studied.

**Theorem 1.11** (Fundamental theorem of finitely generated abelian groups). *If  $G$  is a finitely generated abelian group,  $\exists$  prime powers  $p_1^{a_1}, \dots, p_k^{a_k}$  (not necessarily all distinct) and  $n \geq 0$  such that,*

$$G \cong \mathbb{Z}^n \oplus \bigoplus_{i=1}^k \mathbb{Z}_{p_i^{a_i}}$$

For a prime  $p$ , we say  $G$  is a  $p$ -group if the order of every element of  $G$  is a power of  $p$ .  $G$  may be infinite: for example, the group of all  $p^k$ th roots of unity, as  $k$  runs over all natural numbers, is called the *quasicyclic group*  $C_p^\infty$ .

Almost on the other end of the spectrum from abelian groups, we have *simple groups*, which contain no nontrivial normal subgroups.

**Proposition 1.12.** *The only abelian finite simple groups are  $\mathbb{Z}_p$ , for  $p$  prime.*

For  $n \geq 5$ , the alternating groups  $A_n$  are simple, and they are the only normal subgroups of  $S_n$ .  $A_5$  is even the smallest nonabelian finite simple group.

In order to classify all finite simple groups, we want to define some subgroups that exist and are normal in any group  $G$ , thus showing that in any nonabelian finite simple group these subgroups are trivial.

For two elements  $g, h \in G$ , define their *commutator*

$$[g, h] = ghg^{-1}h^{-1}$$

and the *commutator subgroup* of  $G$

$$[G, G] = \langle [g, h] : g, h \in G \rangle$$

Then,

$$(*) \quad [G, G] \triangleleft G.$$

$$(*) \quad G/[G, G] \text{ is abelian.}$$

$$(*) \quad \text{If } G/N \text{ is abelian, then } [G, G] \leq N.$$

Define the *center* of  $G$

$$Z(G) = \{x \in G : gx = xg, \forall g \in G\}$$

Equivalently, this is the set of all elements whose conjugacy class has exactly one element.  $Z(G) \triangleleft G$ . It is important to know and easy to show that  $Z(G)$  and  $[G, G]$  are characteristic in  $G$ . Further, each characterises how far  $G$  is from being abelian;  $G$  is abelian if and only if  $Z(G) = G$ , and if and only if  $[G, G] = 1$ . Given a set  $S \subset G$ , define its *centralizer* and *normalizer* respectively

$$C_G(S) = \{g \in G : gs = sg, \forall s \in S\}$$

$$N_G(S) = \{g \in G : gS = Sg\}$$

**Proposition 1.13.**  $C_G(S)$  and  $N_G(S)$  are always subgroups of  $G$ , and  $C_G(S) \triangleleft N_G(S)$ . When  $S$  is a subgroup of  $G$ ,  $S \leq N_G(S)$  and  $N_G(S)$  is the largest subgroup of  $G$  in which  $S$  is normal.  $S \leq C_G(S)$  exactly when  $S$  is abelian.

For  $g \in G$ , define  $\varphi_g : G \rightarrow G$

$$\varphi_g(x) = g^{-1}xg$$

This is an isomorphism from  $G \rightarrow G$ , or an *automorphism*. Denote by  $\text{Aut}(G)$  the group of all automorphisms of  $G$ , and by  $\text{Inn}(G) = \{\varphi_g : g \in G\}$  the subgroup of all *inner automorphisms*. Then,

$$G/Z(G) \cong \text{Inn}(G)$$

and  $G$  is abelian if and only if  $\text{Inn}(G)$  is cyclic.

A subgroup  $H \leq G$  is called *characteristic* if it is invariant under  $\text{Aut}(G)$ . A characteristic subgroup is necessarily normal (invariant under  $\text{Inn}(G)$ ), but the converse need not hold.

## 1.6 SYLOW'S THEOREMS

Sylow's theorems provide a sort of converse to Lagrange's theorem. Let  $G$  be a finite group, and let  $p$  be a prime such that the highest power of  $p$  dividing  $|G|$  is  $p^k$ . Say  $H$  is a *Sylow  $p$ -subgroup* of  $G$  if  $|H| = p^k$ .

**Theorem 1.14.** Let  $|G| = p^k m$ ,  $(m, p) = 1$ .

- (1)  $G$  has a Sylow  $p$ -subgroup.
- (2) Any two Sylow  $p$ -subgroups of  $G$  are conjugate.
- (3) The number of Sylow  $p$ -subgroups of  $G$  divides  $m$  and is congruent to 1 mod  $p$ .

An easy observation:

**Corollary 1.15.** Every finite abelian group is the direct sum of its Sylow  $p$ -subgroups.

A useful observation is the following corollary, which we will use in later proofs.

**Corollary 1.16.** If  $G$  is a group of order  $pq$ , where  $p, q$  are primes and  $p > q$ , then  $G$  has a unique subgroup of order  $p$  and this is normal in  $G$ . As a result,  $G$  is solvable.

## 2 GROUP STRUCTURES

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### 2.1 FREE GROUPS

Recall that we wrote the dihedral group as

$$D_n = \langle r, s \mid r^n = s^2 = 1, rs = sr^{-1} \rangle$$

What if we just wrote

$$G = \langle r, s \rangle$$

and left the rest to fate? This is the idea of a *free group*.

Given a set  $X$ , we consider all finite words  $x_1x_2 \dots x_n$  over  $X$ , with the operation of concatenation. Of course, we would like some words such as  $xx^{-1}$  to be 1, where 1 denotes the empty word. Extending the  $^{-1}$  to words, if  $w_1 = x_1 \dots x_k$ , define  $w_1^{-1} = x_k^{-1} \dots x_1^{-1}$ . Then define an equivalence relation  $w_1 \sim w_2$  if and only if  $w_1w_2^{-1} = 1$ .

**Definition 2.1.** The *free group* generated by  $X$ ,

$$F(X) = \{ \text{finite words over } X \} / \sim$$

When  $|X| = n$  is finite, we may equivalently write  $F_n$  to denote a free group on  $n$  elements. For example,

(\*)  $F(\emptyset) = \{1\}$ , the one-element group.

(\*)  $F(\{x\}) \cong \mathbb{Z}$ .

(\*)  $F(X)$  is nonabelian if  $|X| \geq 2$ .

**Proposition 2.2.**

$$F(X) \cong F(Y) \iff |X| = |Y|$$

*Proof.* Clearly if  $|X| = |Y|$ , then  $F(X) \cong F(Y)$ . For the converse, if  $X$  is infinite, then  $|X| = |F(X)|$ , so the claim follows. Suppose both  $X$  and  $Y$  are finite, and  $F(X) \cong F(Y)$ . Then  $\text{Hom}(F(X), \mathbb{Z}_2) \cong \text{Hom}(F(Y), \mathbb{Z}_2)$ , but any such homomorphism is uniquely determined by the image of the generators. So,

$$\text{Hom}(F(X), \mathbb{Z}_2) = 2^{|X|} = \text{Hom}(F(Y), \mathbb{Z}_2) = 2^{|Y|}$$

□

Let us return to our expression of  $D_n$ . We now realise that this defined  $D_n$  as a quotient group of  $F_2$ . That is, consider all words  $r^{n_1}s^{m_2} \dots s^{m_{2k}}$  that are the identity in  $D_n$ . These define a normal subgroup  $N \triangleleft F_2$ , so that  $D_n \cong F_2/N$ , where  $N$  is the normal subgroup generated by  $\langle r^n, s^2, rsrs^{-1} \rangle$ .

In general,



**Theorem 2.3.** *Every group is the homomorphic image of a free group.*

The proof of this is exactly the analog of what we did for  $D_n$ . If  $X$  is a generating set for  $G$ , the set of words  $\{w_i : w_i = 1 \in G\}$  is a normal subgroup of  $F(X)$ .

This characterises quotient groups of free groups. What about subgroups? Define the *rank* of a free group as the minimum size of a generating set.

**Theorem 2.4** (Nielsen-Schreier). *Every subgroup  $H$  of a free group  $F(X)$  is free. If the rank of  $H$  is finite, it is equal to  $|F : H|(|X| - 1) + 1$ .*

Before we prove this, note that when  $|X| = 1$ ,  $F(X) = \mathbb{Z}$ , and the theorem holds since any subgroup of  $\mathbb{Z}$  is cyclic. When  $|X| > 1$  is finite,  $|F : H|(|X| - 1) + 1$  is typically larger than  $|X|$ , so a free group contains many free groups of larger rank.

*Exercise 1.* The free group of rank 2 contains a free group of infinite rank.

Let  $F = F(X)$ , and choose a *self-inverse* generating set (closed under inverses). Fix a subgroup  $H \leq G$ . Choose (right) coset representatives  $T = \{t_i : i \in I\}$  for  $G/H$ , and call  $T$  a *transversal*. We have a map  $G \rightarrow T$  defined by sending  $g \rightarrow \bar{g}$ , its coset representative.

**Lemma 2.5.** *If  $X$  is a self-inverse generating set of  $F$ , and  $H \leq F$  with transversal  $T$ , then*

$$S = \{tx(\overline{tx})^{-1} : t \in T, x \in X\}$$

*is a self-inverse generating set of  $H$ . In particular,  $H$  is a free group.*

*Proof.* First note that

$$H\overline{tx} = Htx$$

so

$$tx(\overline{tx})^{-1} \in H$$

So the subgroup generated by  $S$  is contained in  $H$ . For the reverse inclusion, we first need to check that  $S$  is closed under inverses. Since  $H(\overline{tx})x^{-1} = Ht$ ,  $t = \overline{(\overline{tx})x^{-1}}$ . So,

$$\left(tx(\overline{tx})^{-1}\right)^{-1} = \overline{tx}x^{-1}t^{-1} = \overline{tx}x^{-1}\left(\overline{\overline{tx}x^{-1}}\right)^{-1}$$

Now to show that  $S$  generates  $H$ ; let  $h \in H$ . Then  $h = x_1 \dots x_n$  for some  $x_i \in H$ . Define

$$t_i = \overline{x_1 \dots x_i}; \quad t_0 = t_n = 1$$

Then,

$$h = (t_0x_1t_1^{-1})(t_1x_2t_2^{-1}) \dots (t_{n-1}x_nt_n^{-1})$$

Since  $t_k = t_{k-1}x_k$ ,

$$t_{k-1}x_kt_k = t_{k-1}x_k(\overline{t_{k-1}x_k})^{-1} \in S$$

□

Of course, we may replace  $F$  in the above proof with an arbitrary group and the proof still holds (except the part about  $H$  being a free group.) As a corollary, when  $T$  is finite,

**Corollary 2.6.** *Finite index subgroups of a finitely generated group are finitely generated.*

*Proof of Nielsen-Schreier.* We choose our transversal  $T$  in a specific way. Fix a well-ordering  $\leq$  of the alphabet, and choose the lexicographically shortest word in each coset of  $H$  for  $T$ .

**Step (1).**  $T$  is closed under prefixes, i.e. if  $w \in T$  and  $w = ux$  for some  $x \in X$ , then  $u \in T$ .

Suppose  $w = ux$  as above. If  $u \notin T$ , then for some  $t \in T$ ,  $t \neq u$ ,  $\bar{u} = t$ . Either  $t$  is shorter than  $u$ , or they have the same length, but  $t$  is lexicographically first.

$$Hw = Hux = Htx$$

Since  $w = ux \in T$ , either  $ux$  has shorter length than  $tx$ , or  $ux$  is lexicographically first, a contradiction.

**Step (2).** Every word  $tx(\bar{tx})^{-1}$  is either reduced or the identity.

Suppose  $tx(\bar{tx})^{-1}$  is not reduced. Then either  $t$  is of the form  $ux^{-1}$ , and  $u \in T$  by step 1, so that

$$u = \bar{tx} \implies tx(\bar{tx})^{-1} = uu^{-1} = 1$$

Or,  $(\bar{tx})^{-1}$  begins with  $x^{-1}$ , i.e.  $\bar{tx} = ux$ , but  $u$  and  $t$  are both in  $T$ , so  $u = t$ , and

$$tx(\bar{tx})^{-1} = ux(ux)^{-1} = 1$$

**Step (3).** For any product  $(t_1x_1(\bar{t_1x_1})^{-1})(t_2x_2(\bar{t_2x_2})^{-1})$ , either (a) one of them is the identity, or (b) they are inverses of each other, or (c)  $x_1$  and  $x_2$  are not cancelled in the reduced form.

Suppose this product is not in reduced form. If  $\bar{t_1x_1} = t_2$  and  $x_1 = x_2^{-1}$ , since the product lies in  $H$ ,  $t_1 = \bar{t_2x_2}$ , and (b) they are inverses of each other. If  $x_2$  is cancelled by  $(\bar{t_1x_1})^{-1}t_2$ , then  $t_2x_2$  is a prefix of  $\bar{t_1x_1}$ , so  $t_2x_2 = \bar{t_2x_2}$  by step 1, and (a)  $t_2x_2(\bar{t_2x_2})^{-1} = 1$ . If neither of these things happens, then (c)  $x_1$  and  $x_2$  are not cancelled in the reduced form.

**Step (4).** The number of generators required to write every element of  $H$  in unique reduced form  $|F(X) : H|(|X| - 1) + 1$ .

Clearly we have a total of

$$|T|.|X| = |F(X) : H|.|X|$$

generators of  $H$  of the form  $tx(\bar{tx})^{-1}$ . How many of these generators do we need so that each word of  $H$  has a unique reduced form? Equivalently, so that the identity has a unique reduced form? By step 3, if  $1 = (t_1x_1(\bar{t_1x_1})^{-1})(t_2x_2(\bar{t_2x_2})^{-1})$ , where neither is equal to 1 or the inverse of the other, then  $x_1$  and  $x_2$  are not cancelled. So we count the number of distinct words  $tx(\bar{tx})^{-1}$  that reduce to 1. Our argument from step 2 tells us this happens either if  $tx \in T$ , so  $t$  ends with  $x^{-1}$ , or  $\bar{tx}$  ends with  $x$ . Disregarding inverses, for any

nonidentity  $t \in T$ , there is exactly one  $x$  for which this happens, so this gives us  $|T| - 1 = |F(X) : H| - 1$  such expressions. So the total number of generators needed is

$$|T| \cdot |H| - (|T| - 1) = |F(X) : H|(|X| - 1) + 1$$

□

Let us look at one final property of free groups.

**Definition 2.7.** A group  $G$  is *residually finite* if

$$\bigcap_{N \triangleleft G, |G:N| < \infty} N = \{1\}$$

Equivalently, for every nonidentity  $g \in G$ , there is a finite group  $H$  and a homomorphism  $\varphi : G \rightarrow H$  such that  $\varphi(g) \neq 1$ .

**Proposition 2.8.** *Free groups are residually finite.*

*Proof.* Let  $X$  be a minimal generating set of  $F(X)$ . Let  $w \in F(X)$  be a nonidentity word with reduced form  $w = x_n^{\epsilon_n} \dots x_1^{\epsilon_1}$ , where  $x_i \in X$  and  $\epsilon_i \in \{\pm 1\}$ . Define a map  $\phi : X \rightarrow S_{n+1}$  as follows. For each  $x_i$ , we want  $\phi_{x_i}$  to be a permutation that maps  $i \rightarrow i+1$  if  $\epsilon_i = 1$ , and  $i+1 \rightarrow i$  if  $\epsilon_i = -1$ . Of course, some  $x_i$  may be equal; for example if  $x_1 = x_3$ , then  $\phi_{x_1}$  must map  $1 \rightarrow 2$  and  $3 \rightarrow 4$ . However, by assuming that  $w$  is in reduced form (so that  $x_i = x_{i+1}$  implies  $\epsilon_i = \epsilon_{i+1}$ ), we can choose a well-defined  $\phi_x$  for each  $x \in X$ . By induction,  $\phi_x(1) = n+1$ . □

## 2.2 PERMUTATION GROUPS

The *orbit-stabilizer lemma* (like Markov's inequality in probability theory) has powerful applications for a fairly simple statement.

**Lemma 2.9** (Burnside's lemma). *Let  $G$  be finite and  $G \leq S_\Omega$ . Let  $\text{fix}(g)$  denote the number of points of  $\omega$  fixed by  $g$ , and  $n$  the number of orbits of  $G$  on  $\Omega$ .*

$$n = \frac{1}{|G|} \sum_{g \in G} \text{fix}(g)$$

*The number of orbits is the average number of fixed points.*

*Proof.* Clearly,

$$\sum_{g \in G} \text{fix}(g) = \left| \{(g, \omega) : g \cdot \omega = \omega\} \right| = \sum_{\omega \in \Omega} |G_\omega|$$

By the orbit-stabilizer lemma,

$$\sum_{\omega \in \Omega} |G_\omega| = |G| \sum_{\omega \in \Omega} \frac{1}{|\omega \cdot G|}$$

Each of the  $n$  orbits, represented by  $\omega_1, \dots, \omega_n$ , is counted with multiplicity its size. So,

$$\sum_{g \in G} \text{fix}(g) = |G| \sum_{i=1}^n \sum_{\omega \in \omega_i \cdot G} \frac{1}{|\omega_i \cdot G|} = n|G|$$

□

For  $x \in G$ , let  $x^G$  denote the conjugacy class of  $x$  in  $G$ . As the action of  $G$  on itself by conjugation induces a partition into orbits,

**Theorem 2.10** (Class equation).

$$|G| = |Z(G)| + \sum_{x \notin Z(G)} |x^G|$$

where the latter sum runs over all the conjugacy classes of  $G$  not contained in the center.

In a  $p$ -group, each conjugacy class has size divisible by  $p$ , so

**Corollary 2.11.** *If  $G$  is a  $p$ -group, then  $G$  has nontrivial center.*

**Lemma 2.12.** *The centralizer of a transitive permutation group is semi-regular.*

*Proof.* Denote the centralizer of  $G \leq S_\Omega$  by

$$C(G) = \{h \in S_\Omega : gh = hg, \forall g \in G\}$$

Let  $C_\omega$  denote the stabilizer of  $\omega$  in  $C$ . For  $h \in C_\omega$ , and any  $\alpha \in \Omega$ , there is some  $g \in G$  such that  $\omega g = \alpha$ . Then,

$$\alpha h = \omega g h = \omega h g = \omega g = \alpha$$

That is  $h \in C_\alpha$  for all  $\alpha \in \Omega$ . The action is faithful, so  $h = 1$  and  $C_\omega$  is trivial. □

*Exercise 2.* The centralizer of a semi-regular permutation group is transitive.

**Theorem 2.13** (Bercov-Moser). *If  $G \leq S_n$  is abelian, then  $|G| \leq 3^{n/3}$ .*

*Proof.* First, suppose  $G$  is transitive. By the lemma, its centralizer  $C(G)$  is semi-regular, and  $G \leq C(G)$  as it is abelian, so  $G$  is regular and  $|G| = n \leq 3^{n/3}$ . If  $G$  is not transitive, partition  $[n]$  into orbits  $\Omega_1, \dots, \Omega_k$  under the action of  $G$ . The restriction of  $G$  to each orbit yields a transitive action. These correspond to homomorphisms  $\varphi_i : G \rightarrow S_{\Omega_i}$ , such that  $\bigcap_i \ker(\varphi_i) = 1$ . So,

$$|G| \leq \prod_{i=1}^k |G/\ker(\varphi_i)| \leq \prod_{i=1}^k 3^{n_i/3} = 3^{n/3}$$

□

*Exercise 3.* For which abelian permutation groups  $A \leq S_n$  does  $|A| = 3^{n/3}$  hold?

*Exercise 4.* Determine the order of the centralizer of an arbitrary permutation.

*Exercise 5.* What is  $Z(S_n)$ ?

**Theorem 2.14.** *For  $n \neq 6$ , every automorphism of  $S_n$  is inner.*

*Proof.* Since two permutations are conjugate if and only if they have the same cycle type, and the transpositions generate  $S_n$ , it suffices to show that any automorphism  $\sigma$  maps transpositions to transpositions. We know that  $\sigma$  is order-preserving, so for any transposition  $g \in G$ ,  $\sigma(g)$  is the product of  $k$  commuting transpositions. Suppose  $k \geq 2$ . Further,  $\sigma$  is an automorphism from  $C_G(g) \rightarrow C_G(\sigma(g))$ , so we compare the orders of the centralizers.

$$|C_G(\sigma(g))| = 2^k k! (n - 2k)! = 2(n - 2)! = |C_G(g)|$$

$$2^{k-1} k! = (n - 2k + 1) \dots (n - 3)(n - 2)$$

If  $n > 2k$ , or  $k < n - k$ , each side of the equation has  $2k - 2$  factors, and each factor on the left is smaller than a corresponding factor on the right, so equality is not possible. If  $n = 2k$ , the equation becomes

$$2^{k-1} k! = (2k - 2)!$$

It is easy to check this does not hold for  $k = 1, 2$ , does hold for  $k = 3$ , and for  $k > 3$ ,

$$2^{k-1} k! = 4 \cdot 2^{k-3} k! < (2k - 2)!$$

This shows that for  $n \geq 6$ ,  $\sigma$  maps transpositions to transpositions, so it preserves cycle type and must be an inner automorphism.  $\square$

### 2.3 GROUPS OF LINEAR TRANSFORMATIONS

The alternating groups form an infinite family of finite simple groups. In this section we will construct another, the *projective special linear groups*.

Let  $V$  be a vector space,  $GL(V)$  the group of invertible linear maps,  $SL(V)$  the subgroup of maps with determinant 1. When  $V$  is  $d$ -dimensional, we write  $GL(V) = GL(d, F)$  and  $SL(V) = SL(d, F)$ , the matrix groups. Note that  $\det : GL(d, F) \rightarrow F^*$  is a homomorphism, so  $\ker(\det) = SL(d, F) \triangleleft GL(d, F)$ .

Consider the action of  $GL(d, F)$  on the 1-dimensional subspaces of  $V$  (equivalently, on the projective space of dimension  $d - 1$ , but it is not necessary to know what this means.) The kernel of this action is  $Z(GL(d, F))$ .

*Exercise 6.* The center of  $GL(d, F)$  is the group of scalar matrices.

**Definition 2.15.** The *projective general linear group* is

$$PGL(V) = GL(V) / Z(GL(V))$$

Restricting the action to  $SL(V)$ , the *projective special linear group* is

$$PSL(V) = SL(V)/Z(SL(V))$$

We are only interested in the case when  $V$  is finite-dimensional and  $F$  is some finite field  $\mathbb{F}_q$ .

$$|GL(d, q)| = (q^d - 1)(q^d - q) \dots (q^d - q^{d-1})$$

$$|SL(d, q)| = \frac{|GL(d, q)|}{q - 1}$$

$$|PGL(d, q)| = \frac{|GL(d, q)|}{q - 1}$$

$$|PSL(d, q)| = \frac{|SL(d, q)|}{\gcd(d, q - 1)}$$

The last equality follows from the fact that  $Z(SL(d, q))$  consists of the matrices  $\lambda I$  such that  $\lambda^d = 1$ .

As promised,

**Theorem 2.16.**  *$PSL(d, F)$  is simple, except when  $d = 2$  and  $|F| = 2$  or  $3$ .*

*Exercise 7.*  $PSL(2, 2) \cong S_3$  and  $PSL(2, 3) \cong A_4$ .

To prove the theorem, we will show that any normal subgroup of  $SL(V)$  is contained in the center, so that the quotient  $PSL(V)$  contains no nontrivial normal subgroups. We will need to construct a generating set for  $SL(V)$ .

**Definition 2.17.** If  $\gamma : V \rightarrow V$  is a linear map such that  $\text{rank}(\gamma) = 1$  and  $\text{Im}(\gamma) \subset \ker(\gamma)$ , then  $I + \gamma \in SL(V)$  is a *transvection*.

The transvections in  $SL(V)$  play a similar role to the transpositions in  $S_n$ . We will need some lemmas, so let us state them all first.

**Lemma 2.18.** *If  $d \geq 3$ , all transvections are conjugate in  $SL(d, F)$ .*

**Lemma 2.19.** *If  $d = 2$ , the subgroups*

$$T_U = \{I + \gamma : \text{Im}(\gamma) = \ker(\gamma) = U\}$$

*for  $U \leq V$ , along with the identity subgroup  $\{I\}$  are conjugate in  $SL(V)$ .*

**Lemma 2.20.** *The transvections generate  $SL(V)$ .*

**Lemma 2.21.** *The commutator subgroup  $SL(V)' = SL(V)$ , except when  $d = 2$  and  $|F| = 2$  or  $3$ .*

**Lemma 2.22.**  *$SL(V)$  acts 2-transitively on the one-dimensional subspaces of  $V$ .*

**Lemma 2.23.** *If  $G$  acts 2-transitively on  $\Omega$ , any normal subgroup acts either trivially or transitively. Further, any stabilizer is a maximal subgroup.*

**Lemma 2.24.** *The stabilizer  $H \leq SL(V)$  of a one-dimensional subspace contains an abelian normal subgroup consisting of  $I$  and some transvections.*

Let us see how this implies that  $PSL(V)$  is simple.

*Proof of Theorem 2.16.* Suppose  $N \triangleleft SL(V)$ . By Lemma 2.23,  $N$  acts either trivially or transitively on the one-dimensional subspaces of  $V$ . If  $N$  acts trivially, then every vector of  $V$  is an eigenvector for  $N$ , so  $N \leq Z(SL(V))$ . Suppose  $N$  acts transitively on the one-dimensional subspaces. Let  $H$  be a stabilizer, so  $H$  is a maximal subgroup of  $SL(V)$  by Lemma 2.23. Then  $H \leq NH \leq SL(V)$ . However,  $N$  acts transitively, so we must have  $NH = SL(V)$ .

Let  $K \triangleleft H$  be the abelian normal subgroup given by Lemma 2.24. Then  $NK \triangleleft NH = SL(V)$ . Since  $NK$  contains some transvections, by Lemma 2.18  $NK$  contains all transvections, and by Lemma 2.20  $NK = SL(V)$ . So,

$$SL(V)/N \cong K/K \cap N$$

$K$  is abelian, so  $SL(V)' \leq N$ . This is where we use that we cannot have  $d = 2$  and  $|F| = 2$  or 3:  $SL(V)' = SL(V)$  by Lemma 2.21, and this implies that  $N = SL(V)$ .

After all this, we finally obtain that  $PSL(V)$  contains no nontrivial normal subgroups.  $\square$

So let us prove our many lemmas!

**Lemma 2.18.** *If  $d \geq 3$ , all transvections are conjugate in  $SL(d, F)$ .*

*Proof.* For any transvection  $I + \gamma$ , choose a basis  $u_1, \dots, u_d$  of  $V$  so that  $\text{Im}(\gamma) = \langle u_1 \rangle$ ,  $\ker(\gamma) = \langle u_1, \dots, u_{d-1} \rangle$ , and  $\gamma(u_d) = u_1$ . In particular, this shows that any two transvections have the same matrix by a change of basis, so they are conjugate in  $GL(V)$ . If  $d \geq 3$ , then  $u_2$  is distinct from both  $u_1$  and  $u_d$ , so multiplying it by a suitable scalar  $\alpha$  does not affect the matrix of  $I + \gamma$ , but changes the determinant of the transition matrix to 1. So any two transvections are conjugate in  $SL(V)$ .  $\square$

**Lemma 2.19.** *If  $d = 2$ , the subgroups*

$$T_U = \{I + \gamma : \text{Im}(\gamma) = \ker(\gamma) = U\}$$

*for  $U \leq V$ , along with the identity subgroup  $\{I\}$  are conjugate in  $SL(V)$ .*

*Proof.* We want to show that for distinct one-dimensional subspaces  $U$  and  $U'$ , the subgroups  $T_U$  and  $T_{U'}$  differ by a change of basis. By the same argument above, there is a basis of  $V$  so that

$$T_u = \left\{ \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix} : x \in F \right\}$$

Again, it follows that any two such subgroups are conjugate in  $SL(2, F)$ .  $\square$

**Lemma 2.20.** *The transvections generate  $SL(V)$ .*

*Proof.* We prove by induction for  $0 \leq k \leq d$ , that for any  $\phi \in SL(V)$  and  $u_1, \dots, u_k \in V$  linearly independent, there is a product of transvections  $\psi_k$  such that  $\psi_k(u_i) = \phi(u_i)$  for  $i = 1, \dots, k$ . For  $k = 0$ , choose  $\psi_0 = I$  and the statement clearly holds.

Suppose the statement holds for some  $k$ . Fix  $\phi \in SL(V)$ , linearly independent vectors  $u_1, \dots, u_k, u_{k+1}$ , and  $\psi_k$  the corresponding product of transvections for  $u_1, \dots, u_k$ . Define

$$\phi' = \psi_k^{-1} \phi$$

Then,

$$\phi'(u_i) = u_i, i = 1, \dots, k$$

Let  $\phi'(u_{k+1}) = w$ , i.e.  $\phi(u_{k+1}) = \psi_k(w)$ . If  $w = u_{k+1}$ , then we are not, so let us assume they are different.

**Case (1).**  $u_1, \dots, u_{k+1}$ , and  $w$  are linearly independent.

Choose a transvection  $I + \mu$  as follows<sup>3</sup>.

$$\langle u_1, \dots, u_k \rangle \leq \ker(\mu)$$

$$\mu(u_{k+1}) = \mu(w) = w - u_{k+1}$$

Then  $(I + \mu)(u_i) = u_i$  for  $i = 1, \dots, k$ , and  $(I + \mu)u_{k+1} = w$ . So  $\psi_k(I + \mu)$  is the required product of transvections.

**Step (2).**  $u_1, \dots, u_{k+1}, w$  are linearly dependent and  $k + 1 < d$ .

Extend  $u_1, \dots, u_{k+1}$  to a basis  $v, v_{k+3}, \dots, v_d$ . Define a transvection  $\phi_1 = I + \gamma_1$  that fixes all basis vectors except  $\gamma_1(u_{k+1}) = w$ . Since  $\phi'$  is invertible,  $u_1, \dots, u_k, w$  are linearly independent, so we define  $\phi_2$  analogously but with  $\phi_2(w) = v$ .  $\phi_1$  and  $\phi_2$  are transvections, and  $\psi_k \phi_2^{-1} \phi_1$  is the desired product of transvections.

**Step (3).**  $u_1, \dots, u_{k+1}, w$  are linearly dependent and  $k + 1 = d$ .

In this case,  $\phi'(u_{k+1}) = u + \lambda u_{k+1}$ , for some  $u \in \langle u_1, \dots, u_k \rangle$ . The matrix of  $\phi'$  in this basis is

$$\begin{bmatrix} 1 & 0 & \dots & * \\ 0 & 1 & \dots & * \\ & & \ddots & \\ 0 & 0 & \dots & \lambda \end{bmatrix}$$

Since  $\det(\phi') = 1$ ,  $\lambda = 1$ , so  $\phi'$  is itself a transvection and  $\phi = \phi' \psi_k$ . □

**Lemma 2.21.** *The commutator subgroup  $SL(V)' = SL(V)$ , except when  $d = 2$  and  $|F| = 2$  or  $3$ .*

---

<sup>3</sup>We can do this by extending the  $k + 2$  vectors to a basis of  $V$ .



*Proof.* The commutator subgroup is normal, so it suffices to show that some transvection is a commutator. If  $d \geq 3$ ,

$$[I + E_{12}, I + E_{23}] = (I + E_{12})^{-1}(I + E_{23})^{-1}(I + E_{12})(I + E_{23}) = I + E_{13}$$

If  $d = 2$ , and  $|F| \neq 2$  or  $3$ , it suffices to show that some  $T_U$  contains a commutator. For arbitrary  $a, c \in F^\times$ , take the commutator

$$\left[ \begin{bmatrix} a & 0 \\ 0 & a^{-1} \end{bmatrix}, \begin{bmatrix} 1 & c \\ 0 & 1 \end{bmatrix} \right] = \begin{bmatrix} 1 & c(1 - a^{-2}) \\ 0 & 1 \end{bmatrix}$$

Since  $|F| \neq 2$  or  $3$ , we can find some nonzero  $a$  for which  $a^{-2} \neq 1$ , so the resulting matrix is a transvection.  $\square$

**Lemma 2.22.**  *$SL(V)$  acts 2-transitively on the one-dimensional subspaces of  $V$ .*

*Proof.* In general, we say a group  $G$  acts 2-transitively on  $\Omega$ , if for any  $\omega_1, \omega_2 \in \Omega$  distinct, and  $\alpha_1, \alpha_2 \in \Omega$  distinct, there is some  $g \in G$  such that  $\omega_1 \cdot g = \alpha_1$  and  $\omega_2 \cdot g = \alpha_2$ . So let  $\langle a_1 \rangle, \langle a_2 \rangle$  be distinct one-dimensional subspaces, and  $\langle b_1 \rangle, \langle b_2 \rangle$  be distinct one-dimensional subspaces of  $V$ . For any numbers  $\alpha_1, \alpha_2 \in F$ , we can find a  $\phi \in GL(V)$  such that  $\phi(a_1) = \alpha_1 b_1$  and  $\phi(a_2) = \alpha_2 b_2$ . For an appropriate choice of  $\alpha_1$  and  $\alpha_2$ ,  $\det(\phi) = 1$ , so  $\phi \in SL(V)$ .  $\square$

## 2.4 $p$ -GROUPS

Recall that a  $p$ -group is a group  $G$  in which every element has order a power of  $p$ . If  $G$  is finite, an application of Cauchy's theorem – or Sylow's theorem, if you want to be fancy – shows that  $|G| = p^k$  for some  $k \in \mathbb{N}$ .

*Exercise 8.* Groups of order  $p$  and  $p^2$  are abelian. There is a nonabelian group of order  $p^3$ .

It is easy to check that

**Proposition 2.25.** *The property of being a  $p$ -group is preserved by subgroups, quotients, extensions, and direct sums.*

Note that an infinite direct product of  $p$ -groups may contain elements of infinite order. Infinite  $p$ -groups do exist:

1. The *quasicyclic group*  $C_p^\infty = \bigcup_{k \geq 0} C_{p^k}$ , or the group of all  $p$ -power roots of unity.
2. The group of *upper unipotent matrices*  $U_n$  – upper triangular matrices with 1's on the diagonal – over a field of characteristic  $p$ . Every element of this group can be written as  $I + A$ , where  $A$  is nilpotent, so  $(I + A)^{p^k} = I^{p^k} + A^{p^k} = I$  for  $k$  large enough.
3. The *Tarski monster groups* are infinite  $p$ -groups such that every element has order  $p$ , and the only nontrivial subgroups are cyclic.

Recall that an easy application of the class equation told us that

**Corollary 2.11.** *If  $G$  is a  $p$ -group, then  $G$  has nontrivial center.*

The same counting argument tells us that

**Corollary 2.26.** *Any normal subgroup of a finite  $p$ -group intersects the center nontrivially.*

This is sajnos not true for infinite  $p$ -groups.

**Theorem 2.27.** *There is an infinite  $p$ -group with trivial center.*

*Proof.* We say a function  $f : A \rightarrow B$  has *finite support* if the set  $\{a \in A : f(a) \neq 1\}$  is finite. Define

$$\mathcal{F} = \{f : C_p^\infty \rightarrow C_p^\infty : f \text{ is a bijection with finite support}\}^4$$

Let  $C_p^\infty$  act on  $f$  by  $f \cdot a = f^a$ , where  $f^a(x) = f(xa^{-1})$ . Our infinite  $p$ -group will be  $G = \mathcal{F} \rtimes C_p^\infty$ ; we claim first that if  $Z(G)$  is nontrivial, then  $Z(G) \cap \mathcal{F}$  or  $Z(G) \cap C_p^\infty$  is nontrivial. Suppose  $(f, c) \in Z(G)$ . If  $c = 1$ , then we are done. Otherwise, since  $Z(G)$  is normal in  $G$  and  $f$  has finite support, conjugating by finitely many elements of the form  $(g, 1)$  we obtain an element of  $Z(G)$  that is in  $C_p^\infty$ .

Now, suppose  $(f, 1) \in Z(G) \cap \mathcal{F}$ . Then for all  $c \in C_p^\infty$ ,

$$(1, c^{-1})(f, 1)(1, c) = (1, c^{-1})(f, c) = (f^{c^{-1}}, 1) = (f, 1)$$

or,

$$f(xc) = f(x), \quad \forall x, c \in C_p^\infty$$

However, if  $f(x) \neq 1$  for some  $x \in C_p^\infty$ , then  $f(xc) \neq 1$  for all  $c \in C_p^\infty$ , but  $f$  has finite support. So  $Z(G) \cap \mathcal{F}$  is trivial.

Now, let  $(1, c) \in Z(G) \cap C_p^\infty$ . Then for all  $f \in \mathcal{F}$ ,

$$(f^{-1}, 1)(1, c)(f, 1) = (f^{-1}, 1)(f^c, c) = (f^{-1}f^c, c) = (1, c)$$

In other words,

$$f^{-1}f(xc^{-1}) = x, \quad \forall f \in \mathcal{F}, \forall x \in C_p^\infty$$

Clearly this is only possible if  $c = 1$ , so  $Z(G) \cap C_p^\infty$  is also trivial and this concludes the proof.  $\square$

Another construction involves the group  $U$  upper unipotent matrices over  $\mathbb{F}_p$  such that all but finitely many nondiagonal entries are 0; these are “infinite” upper triangular matrices whose diagonal entries are equal to 1. If  $I_\infty$  denotes the infinite identity matrix, and  $O$  the zero matrix,  $U$  consists of matrices of the form

$$\begin{bmatrix} M & O \\ O & I_\infty \end{bmatrix}$$

---

<sup>4</sup>We do not assume that  $f$  is a homomorphism!

where  $M$  is an upper unipotent  $n \times n$  matrix for some  $n$ . For any nonidentity element of  $U$ , i.e. any nonidentity  $n \times n$  matrix  $M$ , consider the equations for  $2n \times 2n$  matrices

$$\begin{bmatrix} M & O \\ O & I_n \end{bmatrix} \begin{bmatrix} I_n & I_n \\ O & I_n \end{bmatrix} = \begin{bmatrix} M & M \\ O & I_n \end{bmatrix}$$

but

$$\begin{bmatrix} I_n & I_n \\ O & I_n \end{bmatrix} \begin{bmatrix} M & O \\ O & I_n \end{bmatrix} = \begin{bmatrix} M & I_n \\ O & I_n \end{bmatrix}$$

In other words, for any nonidentity matrix in  $U$ , we can find a matrix in  $U$  with which it does not commute, namely

$$\begin{bmatrix} M & O & O \\ O & I_n & O \\ O & O & I_\infty \end{bmatrix} \begin{bmatrix} I_n & I_n & O \\ O & I_n & O \\ O & O & I_\infty \end{bmatrix} \neq \begin{bmatrix} I_n & I_n & O \\ O & I_n & O \\ O & O & I_\infty \end{bmatrix} \begin{bmatrix} M & O & O \\ O & I_n & O \\ O & O & I_\infty \end{bmatrix}$$

So  $U$  has trivial center.<sup>5</sup>

### The Frattini subgroup

Let us return to finite  $p$ -groups. How far are they from being abelian?

**Definition 2.28.**  $A$  is an *elementary abelian*  $p$ -group if  $A$  is abelian and the order of every nonidentity element is  $p$ . Equivalently,  $A$  is a vector space over  $\mathbb{F}_p$ .

**Proposition 2.29.** If  $G$  is a finite  $p$ -group, and  $H \leq G$  a proper subgroup, then  $H$  is a proper subgroup of  $N_G(H)$ .

*Proof.* We proceed by induction, the case  $|G| = p$  being trivial. Suppose  $|G| = p^n$ ,  $n \geq 2$ , and  $H$  is a proper subgroup of  $G$ .

**Case (1).**  $Z(G) \leq H$ .

$Z(G)$  is nontrivial, so  $H/Z(G)$  is a proper subgroup of  $G/Z(G)$ . By the induction hypothesis, there is some  $K \leq G$  such that  $H/Z(G) \triangleleft K/Z(G)$  and the containment is proper, so  $H \triangleleft K$  and the containment is proper in  $G$ .

**Case (2).**  $Z(G)$  is not contained in  $H$ .

Since  $Z(G) \leq N_G(H)$ ,  $H$  must be properly contained in  $N_G(H)$ . □

**Corollary 2.30.** If  $M$  is a maximal subgroup in a finite  $p$ -group  $G$ , then  $M \triangleleft G$  and  $|G : M| = p$ .

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<sup>5</sup>There is a less constructive proof of this using projective limits: namely that  $U$  embeds in the projective limit of  $U_n$ , the upper  $n \times n$  unipotent matrices. On one hand, the projection  $U \rightarrow U_n$  by restricting to the upper  $n \times n$  submatrix maps the center of  $U$  into the center of  $U_n$ . On the other hand, the projections  $U_n \rightarrow U_{n-1}$  map the center of  $U_n$  trivially, so the center of  $U$  must be trivial as well.

**Definition 2.31.** For any group  $G$ , the *Frattini subgroup* is

$$\Phi(G) = \bigcap_{M \leq G \text{ maxl.}} M$$

the intersection of all maximal proper subgroups of  $G$ .

**Proposition 2.32.** For any group  $G$ ,

$$\Phi(G) = \{g \in G : \langle S, g \rangle = G \implies \langle S \rangle = G\}$$

i.e. the Frattini subgroup is the set of elements that can be removed from any generating set.

*Proof.* We will show that the complement of the statement holds, i.e.

$$G \setminus \Phi(G) = \{g \in G : \text{for some } S, \langle S, g \rangle = G \text{ but } \langle S \rangle \neq G\}$$

Suppose  $x \in G \setminus \Phi(G)$ , so that for some maximal subgroup  $M$ ,  $x \notin M$ . Then  $\langle M, x \rangle = G$ , but  $\langle M \rangle \neq G$ , proving the containment  $\subseteq$ . Conversely, suppose for some  $S$ ,  $\langle S, x \rangle = G$  but  $\langle S \rangle \neq G$ . By Zorn's lemma, the set

$$\{H \leq G : \langle S \rangle \leq H, x \notin H\}$$

has a maximal element  $H$ , and this is a maximal proper subgroup of  $G$  not containing  $x$ ;  $x \in G \setminus \Phi(G)$ .  $\square$

... and we return to  $p$ -groups.

**Proposition 2.33.** If  $G$  is a finite  $p$ -group,  $\Phi(G)$  is the smallest normal subgroup such that  $G/\Phi(G)$  is an elementary abelian  $p$ -group.

*Proof.* If  $M \leq G$  is a maximal subgroup, then  $G/M$  is cyclic of order  $p$ , so  $[G, G] \leq M$ . Then  $[G, G] \leq \Phi(G)$ , so  $G/\Phi(G)$  is abelian. Further, for any  $x \in G$ , and any maximal subgroup  $M$  in  $G$ ,  $x^p \in M$ , so  $x^p \in \Phi(G)$  and  $G/\Phi(G)$  is elementary abelian.

Conversely, suppose  $G/N$  is elementary abelian. For any  $x \notin N$ , then  $G/N$  has a maximal subspace over  $\mathbb{F}_p$  not containing  $xN$ . This corresponds to a maximal subgroup  $M/N$  in  $G/N$  such that  $x \notin M$ , and as a consequence,  $M$  is maximal in  $G$ . So  $x \notin \Phi(G)$ . This implies that  $\Phi(G) \leq N$ .  $\square$

Can we find a basis for  $G/\Phi(G)$  as a vector space over  $\mathbb{F}_p$ ?

**Theorem 2.34** (Burnside's basis theorem). Let  $G$  be a finite  $p$ -group.  $\{g_1, \dots, g_d\}$  is a minimal generating set for  $G$  if and only if  $\{\bar{g}_1, \dots, \bar{g}_d\}$  is a minimal generating set for  $G/\Phi(G)$ .

*Proof.*

$$\langle g_1, \dots, g_d \rangle = G \iff \langle g_1, \dots, g_d, \Phi(G) \rangle = G \iff \langle g_1\Phi(G), \dots, g_d\Phi(G) \rangle = G/\Phi(G)$$

Clearly one of the generating sets is minimal if and only if the other is.  $\square$

## 2.5 GROUP EXTENSIONS

Given  $N$  and  $G/N$ , can we recover the structure of the group  $G$ ? First, let us consider how to obtain a group  $G$  from two groups  $N$  and  $H$  so that  $N \triangleleft G$  and  $G/N \cong H$ . We can take the direct sum/product (these are the same in the finite case)

$$G = N \times H$$

A more complicated construction is the *semidirect product*. Suppose we have a homomorphism  $\varphi : H \rightarrow \text{Aut}(N)$  (we say  $H$  is an *operator group* on  $N$ .) Define  $N \rtimes H = \{(n, h) : n \in N, h \in H\}$  with the operation

$$(n_1, h_1)(n_2, h_2) = (n_1(\varphi_{h_1} n_2), h_1 h_2)$$

The task of verifying that this is a group is left to the reader. Of course, the more skeptical reader will (rightly) ask, “What is the point of this?”. Let us look at where a semidirect product occurs in nature.

Let  $V$  be a vector space, and  $GL(V)$  the group of invertible linear transformations of  $V$ . When  $V = \mathbb{R}^n$ , there are some natural maps  $\mathbb{R}^n \rightarrow \mathbb{R}^n$  that we would like to call “invertible transformations”, but they are not necessarily linear. For example, translation, or rotation about a point different from the origin. This motivates the definition of an *affine transformation*.

An *affine subspace*  $A$  is a set of the form  $a + U$ , where  $a \in V$  and  $U$  is a subspace of  $V$ . The *dimension* of  $A$  is defined as the dimension of  $U$ . An affine transformation is then a map  $V \rightarrow V$  that preserves the dimension of any affine subspace. Of course, every element of  $GL(V)$  is an affine transformation, but so are the translations, and these are not linear maps. The group of affine transformations, denoted  $AG(V)$ , is given by  $V \rtimes GL(V)$ . When  $V = \mathbb{R}^n$ , these are exactly the isometries.

But this is not the first example of a semidirect product we have seen in this note. Let us return once again to our dihedral product  $D_n$ . Define an action of  $\mathbb{Z}_2$  on  $\mathbb{Z}_n$ , where the nonidentity element of  $\mathbb{Z}_2$  maps each element of  $\mathbb{Z}_n$  to its inverse. This is an automorphism because  $\mathbb{Z}_n$  is abelian, and  $D_n \cong \mathbb{Z}_n \rtimes \mathbb{Z}_2$ .

Let us conclude with one more example to lead into our study of groups of linear transformations in the next section. Let  $T_n$  denote the group of  $n \times n$  invertible upper triangular matrices,  $U_n$  the subgroup with all 1's on the diagonal, and  $D_n$  the subgroup of diagonal matrices. Let  $D_n$  act on  $U_n$  by conjugation; these define automorphisms, and  $T_n \cong U_n \rtimes D_n$ .

A fundamental theorem in group theory is the following.

**Theorem 2.35** (Schur-Zassenhaus). *Let  $G$  be a finite group and  $N \triangleleft G$ . If  $|N|$  and  $|G : N|$  are coprime, then  $G$  is a semidirect product of  $N$  and  $G/N$ .*

Let us reformulate this as

**Theorem** (Schur-Zassenhaus). *Let  $G$  be a finite group with  $|G| = ab$ , where  $(a, b) = 1$ . If  $G$  has a normal subgroup of order  $a$ , then it has a subgroup of order  $b$ .*

*Proof that the two formulations are equivalent.* Clearly the first statement of Schur-Zassenhaus implies the second. For the converse, let  $N$  be a normal subgroup of order  $a$ , and  $H$  a subgroup of order  $b$ . Then  $N \cap H = 1$  and  $G = NH$ , so  $G = N \rtimes H$ , where  $H$  acts on  $N$  by conjugation.<sup>6</sup> □

<sup>6</sup>It needs to be shown that under these hypotheses,  $NH \cong N \rtimes H$ , but this is definition-chasing.

In order to prove the Schur-Zassenhaus theorem in its second formulation, we will reduce to the case when the normal subgroup  $N$  is abelian. We will need the following two results for the proof. Recall the following lemma.

**Proposition 1.7.** *If  $M \text{ char } N$  and  $N \triangleleft G$ , then  $H \triangleleft G$ .*

This next result is a fundamental result in group theory, which we will use often.

**Proposition 2.36.** *[Frattini's argument] Let  $G$  be a finite group,  $H \triangleleft G$ , and  $P$  a Sylow  $p$ -subgroup of  $H$ . Then  $G = HN_G(P)$ , and  $|G : H|$  divides  $|N_G(P)|$ .*

*Proof.* Since  $H$  is normal in  $G$ ,  $HN_G(P) = N_G(P)H$  is a well-defined subgroup of  $G$ . For any  $g \in G$ ,  $g^{-1}Pg \leq H$  is a Sylow  $p$ -subgroup in  $H$ . For some  $x \in H$ ,  $x^{-1}Px = g^{-1}Pg$ , so  $gx^{-1} \in N_G(P)$  and  $g \in N_G(P)H$ .  $\square$

*Reduction to the case  $N$  abelian.* We proceed by induction, the case  $|G| \leq 5$  being clear as always. Let  $G$  be the least group for which the theorem fails; there is a normal subgroup  $N$  of order  $a$ , but no subgroup of order  $b$ .

**Step (1).**  $N$  is a minimal normal subgroup of  $G$ .

If not, let  $M \leq N$  be a proper nontrivial normal subgroup of  $G$ . Applying the induction hypothesis to  $N/M \triangleleft G/M$ ,  $G/M$  has a subgroup  $K/M$  of order  $b$ , but this corresponds to a subgroup  $K$  in  $G$  of order  $b$ .

**Step (2).**  $N$  is an elementary abelian  $p$ -group.

Let  $P$  be a Sylow  $p$ -subgroup of  $N$ . By Frattini's argument,  $G = NN_G(P)$ , so

$$G/N \cong N_G(P)/(N \cap N_G(P))$$

$N \cap N_G(P)$  is normal in  $N_G(P)$ , so if  $N_G(P)$  is a proper subgroup of  $G$ , then  $N_G(P)$  has a subgroup of order  $b$ , which is not possible. So  $P \triangleleft G$ , and by minimality of  $N$ ,  $N = P$ . Of course,  $Z(P)$  is a characteristic subgroup of  $P$ , hence normal in  $G$ , so  $Z(P) = P$ . Finally, we want to show that every element of  $N$  has order  $p$ ; this amounts to showing that the subgroup  $N^p = \{x^p : x \in N\}$  is trivial.  $N^p$  is characteristic in  $N$ , so it is normal in  $G$ , and therefore it is trivial.

**Step (3).** A contradiction at last.

We will present a more illuminating proof of this using representation theory later, but for now let us see a self-contained (albeit tedious) proof. We follow the presentation of [4].

Let  $Q = G/N$ .  $Q$  has a natural action on  $N$  where  $a^{Ng} = a^g = g^{-1}ag$ . Choose a representative  $t_x$  for each coset  $x$  of  $N$  in  $G$ . Our goal is to modify this to a set of coset representatives  $s_x$  such that  $s_x s_y = s_{xy}$ , thereby inducing an injective homomorphism  $Q \rightarrow N$ . For now though, all we can say is that since  $t_x t_y N = t_{xy} N$ , there is some  $c(x, y) \in N$  such that

$$t_x t_y = t_{xy} c(x, y)$$

A little manipulation yields

$$c(xy, z) \cdot c(x, y)^z = c(x, yz) \cdot c(y, z)$$

Now define

$$d(y) = \prod_{x \in Q} c(x, y)$$

since  $N$  is abelian

$$d(z) \cdot d(y)^z = d(yz) \cdot c(y, z)^b$$

$$d(yz) = d(y)^z d(z) c(y, z)^{-b}$$

Since  $(a, b) = 1$ , there is some  $e(y) \in N$  such that  $e(y)^b = d(y)^{-1}$ , so we rewrite our last equation as

$$e(yz) = e(y)^z e(z) c(y, z)$$

We are almost done! We only need one more piece of notation

$$s_x = t_x e(x)$$

so that after some fun computations,

$$s_y s_z = t_y t_z e(y)^z e(z) = t_{yz} c(y, z) e(y)^z e(z) = t_{yz} e(yz) = s_{yz}$$

and this is the transversal we wanted. The map  $s : Q \rightarrow G$  that sends  $x \rightarrow s_x$  is a homomorphism. If  $s_x = 1$ , then  $t_x \in N$  and  $x = 1_Q$ , so the homomorphism is injective, and this gives us a subgroup of order  $b$  in  $G$ .  $\square$

*Remark.* It is possible to prove more: that any two subgroups of order  $b$  are conjugate, but we do not need this.

### 3 NILPOTENT AND SOLVABLE GROUPS

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#### 3.1 NILPOTENT GROUPS

Recall that  $S_3$  is the smallest nonabelian group, so any group that is a proper subgroup or quotient group of  $S_3$  is abelian. More generally, we want to classify groups that can be built up as extensions of abelian groups. The most natural approach is to consider groups that can be built up from their centers.

**Definition 3.1.** The *upper central series* of  $G$  is

$$1 = Z^0(G) \leq Z^1(G) \leq \dots$$

where  $Z^{n+1}(G)$  is defined by<sup>7</sup>

$$Z^{n+1}/Z^n = Z(G/Z^n)$$

---

<sup>7</sup>Sometimes it will be easier on the eyes to write  $Z^n$  instead of  $Z^n(G)$ , when  $G$  is clear from context.

**Definition 3.2.**  $G$  is *nilpotent* if its upper central series terminates in finitely many steps, i.e.  $Z^n = G$  for some  $n \in \mathbb{N}$ . The least such  $n$  is called the *nilpotency class* of  $G$ .

It is easy to see that any abelian group is nilpotent, but the converse need not hold. For example, since any  $p$ -group has nontrivial center,

**Proposition 3.3.** *Any finite  $p$ -group is nilpotent.*

And there exist nonabelian  $p$ -groups.

*Exercise 9.*  $Z^n$  is characteristic in  $G \forall n \in \mathbb{N}$ .

**Lemma 3.4.** *If  $G/Z(G)$  is nilpotent, so is  $G$ .*

*Proof.* Let  $H = G/Z(G)$ .

$$Z^{n+1}(H)/Z^n(H) = Z(H/Z^n(H))$$

But  $H/Z^n(H) \cong G/Z^n(G)$ , so by induction  $Z^n(H) = Z^n(G)/Z(G)$  and the upper central series of  $G$  terminates.  $\square$

Of course, it is not always easy to compute the upper central series, so let us look at several equivalent characterisations:

**Theorem 3.5.** *Let  $G$  be a finite group. The following are equivalent.*

1.  $G$  is nilpotent.
2.  $G$  has a central series,  $1 = H^0 \triangleleft H^1 \triangleleft \cdots \triangleleft H^n = G$  such that  $H^{i+1}/H^i \leq Z(H/H^i)$  for all  $i$ .
3. Every proper subgroup of  $G$  is a proper subgroup of its normalizer.
4. Every Sylow subgroup is normal in  $G$ .
5.  $G$  is isomorphic to the direct sum of its Sylow subgroups.
6. Every maximal subgroup of  $G$  is normal.

I am sure there are several other equivalent conditions one can concoct, but these are the most useful.

*Remark.* The second condition says that it suffices to find a good sequence of normal subgroups, not necessarily the center. The last 4 conditions generalise nice properties of abelian groups, and show that the largest class of groups satisfying these is that of the nilpotent groups.

In order to prove the equivalence of the last property, we will need Frattini's argument.

**Proposition 2.36.** *[Frattini's argument] Let  $G$  be a finite group,  $H \triangleleft G$ , and  $P$  a Sylow  $p$ -subgroup of  $H$ . Then  $G = HN_G(P)$ , and  $|G : H|$  divides  $|N_G(P)|$ .*



*Proof of Theorem 3.5.*  $1 \implies 2$  is clear.

$2 \implies 3$  : We proceed by induction on  $|G|$ , the case  $|G| \leq 5$  being trivial. By 2, it follows that  $Z(G) \neq 1$ . If  $H$  does not contain  $Z(G)$ , then  $Z(G) \leq N_G(H)$ , so  $H$  is properly contained in  $N_G(H)$ . Suppose  $Z(G) \leq H$ . Applying the induction hypothesis to  $G/Z(G)$ ,  $H/Z(G)$  is properly contained in its normalizer  $N/Z(G) \leq G/Z(G)$ . However,  $N = N_G(H)$ , so  $H$  is properly contained in it in  $G$ .

$3 \implies 4$  : If  $G$  is a  $p$ -group for some prime  $p$  this is clear. Otherwise, let  $P$  be a (proper) Sylow  $p$ -subgroup of  $G$ , and  $N = N_G(P)$ .  $P$  is normal in  $N$ , so it is the unique Sylow  $p$ -subgroup of  $N$ , so it is characteristic in  $N$ . This implies that  $P \triangleleft N_G(N)$ . If  $N$  is a proper subgroup of  $G$ , then  $N_G(N)$  is strictly bigger than  $N$ , which is not possible. so  $N = G$ , i.e.  $P \triangleleft G$ .

$4 \implies 5$  : We show by induction that if  $P_1, \dots, P_t$  are distinct Sylow  $p$ -subgroups of  $G$ , then  $P_1 \dots P_t \cong P_1 \times \dots \times P_t$ . The base case  $t = 1$  is an exercise for the reader. For the general case,  $P_t \cap (P_1 \dots P_{t-1}) = 1$ , so  $P_1 \dots P_{t-1} P_t \cong P_1 \times \dots \times P_t$ .

$5 \implies 1$  : Again, we proceed by induction, and take the base case  $|G| \leq 5$  for granted. Since  $G \cong P_1 \dots P_r$ ,  $Z(G) \cong Z(P_1) \times Z(P_r)$ . By induction,  $G/Z(G)$  is nilpotent, so  $G$  is nilpotent by the earlier lemma.

$3 \implies 6$  : If  $M$  is a maximal proper subgroup of  $G$ , and  $M$  is properly contained in its normalizer, then  $M$  is normal in  $G$ .

$6 \implies 5$  : Suppose  $P$  is a Sylow  $p$ -subgroup of  $G$  that is not normal, and  $M$  a maximal proper subgroup of  $G$  containing  $N_G(P)$ .  $M \triangleleft G$ , so by Frattini's argument,  $G = MN_G(P)$ , contradicting our choice of  $M$ . □

Now that we have several definitions for nilpotent groups, let us study some properties.

**Proposition 3.6.** *The class of nilpotent groups is closed under subgroups, quotient groups, and finite direct products.*

The converse is not true: if  $N$  and  $G/N$  are nilpotent,  $G$  need not be nilpotent.

*Exercise 10.*  $S_3$  is not nilpotent.

**Proposition 3.7.** *If  $G$  is nilpotent and  $1 \neq N \triangleleft G$ , then  $N \cap Z(G)$  is nontrivial.*

*Proof.* There is some  $i$  for which  $N \cap Z^i$  is trivial, and  $N \cap Z^{i+1}$  is nontrivial. It is easy to check that for the upper central series,

$$[G, Z^{i+1} \leq Z^i]$$

Since  $N$  is normal in  $G$ , we also have  $[G, N] \leq N$ . In other words,

$$[G, N \cap Z^{i+1}(G)] \leq [G, N] \cap [G, Z^{i+1}(G)] \leq N \cap Z^i(G)$$

This shows that  $N \cap Z^{i+1}(G) \leq Z(G)$ , and by hypothesis this is a nontrivial subgroup of  $N$  contained in  $Z(G)$ ;  $N \cap Z(G) \neq \{1\}$  and  $i = 1$ . □

**Corollary 3.8.** *A minimal normal subgroup of a nilpotent group is contained in the center.*

**Proposition 3.9.** *If  $A$  is a maximal normal abelian subgroup of a nilpotent group  $G$ , then  $A = C_G(A)$ .*

### 3.2 SOLVABLE GROUPS

Our greatest disappointment from the previous subsection is that the extension of a nilpotent group by a nilpotent group need not be nilpotent. So let us define a larger class of groups – solvable groups – that is closed under such extensions.

**Definition 3.10.** The *derived series* of  $G$  is

$$G = G^{(0)} \geq G^{(1)} \geq \dots$$

where

$$G^{(n+1)} = [G^{(n)}, G^{(n)}]$$

Just as we defined a nilpotent group,

**Definition 3.11.**  $G$  is *solvable* if its derived series terminates in finitely many steps, i.e.  $G^{(n)} = G$  for some  $n \in \mathbb{N}$ .

As the commutator subgroup  $[G, G]$  is often denoted by  $G'$ , the term derived series makes sense.

Again, we look at several equivalent characterisations of solvability.

**Theorem 3.12.** *Let  $G$  be a finite group. The following are equivalent.*

1.  $G$  is solvable.
2. There is a sequence  $G = G_0 \geq G_1 \geq \dots G_n = \{1\}$  such that  $G_i \triangleleft G$  and  $G_{i-1}/G_i$  is abelian for all  $i$ .
3. There is a sequence  $G = G_0 \geq G_1 \geq \dots G_n = \{1\}$  such that  $G_i \triangleleft G_{i-1}$  and  $G_{i-1}/G_i$  has prime order for all  $i$ .

*Proof.* 1  $\implies$  2 is clear.

2  $\implies$  3 : If  $G_{i-1}/G_i$  is abelian, by the fundamental theorem of abelian groups, we can find intermediate subgroups  $G_i = H_1 \leq H_2 \leq \dots \leq H_k = G_{i-1}$  so that  $H_j/H_{j-1}$  has prime order. Note that the resulting  $H_j$  need not be normal in  $G$ , but it is normal in  $G_{i-1}$ .

3  $\implies$  1 : We show by induction that  $G^{(i)} \leq G_i$ . The base case is clear, as  $G/G_1$  is abelian implies that  $[G, G] \leq G_1$ . In general, since  $G_i/G_{i+1}$  is abelian,

$$G^{(i+1)}[G^{(i)}G^{(i)}] \leq [G_iG_i] \leq G_{i+1}$$

□

It is similarly easy to check

**Proposition 3.13.** *The class of solvable groups is closed under subgroups, quotient groups, and finite direct products.*

Unlike for nilpotent groups,

**Proposition 3.14** (Three-for-two). *If  $N$  and  $G/N$  are solvable, so is  $G$ .*

The many characterisations of nilpotent and solvable groups make the following proposition easy.

**Proposition 3.15.** *Every nilpotent group is solvable.*<sup>8</sup>

However, the converse is not true.

*Exercise 11.*  $S_3$  is solvable.

Why are solvable groups interesting? It is straightforward to check that if  $H$  and  $K$  are normal solvable subgroups of  $G$ , then  $HK$  is solvable. In particular, every finite group  $G$  contains a maximal normal solvable subgroup  $S$ . The quotient  $G/S$ , if nontrivial, is not solvable, hence contains no abelian normal subgroups. That is, every group is the extension of a group with no abelian normal subgroups by a solvable group.

### 3.3 THE THREE-SUBGROUP LEMMA

Let us study some further structure of nilpotent groups.

**Definition 3.16.** The *lower central series* of  $G$  is

$$G = Z_0(G) \geq Z_1(G) \geq \dots$$

where

$$Z_{n+1}(G) = [G, Z_n(G)]$$

Again, for convenience, we will simply write  $Z_n$  when  $G$  is clear from context.

As the lower central series is obtained by repeatedly taking commutators, let us list some properties of commutators. Some notation: just as  $x^G$  denotes the conjugacy class of  $x$  in  $G$ , let  $x^g$  denote the conjugate of  $x$  by  $g$ ,  $g^{-1}xg$ . Denote by  $[x_1, \dots, x_n] = [[x_1, \dots, x_{n-1}], x_n]$  (the order matters!)

**Proposition 3.17.** *Let  $x, y, z \in G$ .*

$$(i) \quad [x, y] = [y, x]^{-1}$$

$$(ii) \quad [xy, z] = [x, z]^y [y, z] \text{ and } [x, yz] = [x, z] [x, y]^z$$

$$(iii) \quad [x, y^{-1}, z] = ([x, y]^{y^{-1}})^{-1}$$

(iv) *the Witt identity:*

$$[x, y^{-1}, z]^y [y, z^{-1}, x]^z [z, x^{-1}, y]^x = 1$$

---

<sup>8</sup>For example, by induction, it suffices to show that  $N$  and  $G/N$  are solvable for some nontrivial normal subgroup  $N$ .

*Proof.* The first three claims are straightforward to prove. For the third, observe that setting

$$u = xzx^{-1}yx; \quad v = yxy^{-1}zy; \quad w = zyz^{-1}xz$$

yields

$$[x, y^{-1}, z]^y = u^{-1}v; \quad [y, z^{-1}, x]^z = v^{-1}w; \quad [z, x^{-1}, y]^x = w^{-1}u$$

□

Given any sets  $X, Y \subset G$ , we can define their commutator subgroup to be

$$[X, Y] = \langle [x_1, x_2] : x_1 \in X, x_2 \in Y \rangle$$

and extend this to finitely many terms,

$$[X_1, \dots, X_n] = [[X_1, \dots, X_{n-1}], X_n]$$

and the subgroup  $X_1^{X_2}$  generated by all conjugates of elements of  $X_1$  by elements of  $X_2$ .

**Proposition 3.18.** *Let  $X \subset G$  and  $K \leq G$ .*

$$(1) \quad X^K = \langle X, [X, K] \rangle.$$

$$(2) \quad [X, K]^K = [X, K].$$

$$(3) \quad \text{If } K = \langle Y \rangle, \text{ then } [X, K] = [X, Y]^K.$$

*Proof.* (1) follows from the identity  $x^k = x[x, k]$ .

(2) The containment  $[X, K] \subset [X, K]^K$  is clear.  $[X, K]^K$  is generated by the elements  $[x, k_1]^{k_2}$ . Using identity (ii) from the previous proposition,

$$[x, k_1]^{k_2} = [x, k_2]^{-1}[x, k_1k_2] \in [X, K]$$

(3) Since  $[X, Y]^K = [X, Y] \leq [X, K]$  by (2), it suffices to show that  $[X, K] \leq [X, Y]^K$ . Write

$$k = y_1^{\epsilon_1} \dots y_r^{\epsilon_r}; \quad y_i \in Y, \epsilon_i = \pm 1$$

If  $r = 1$ , then

$$[x, y_1] \in [X, Y]^K, \text{ and } [x, y_1^{-1}] = ([x, y_1]^{y_1^{-1}})^{-1} \in [X, Y]^K$$

using identity (iii) from the previous proposition. By induction on  $r$ , if  $r > 1$ , let  $k' = ky_r^{-\epsilon_r}$ . Then, using identity (ii) from the previous proposition,

$$[x, k] = [x, y_r^{\epsilon_r}][x, k']^{y_r^{\epsilon_r}}$$

This product belongs to  $[X, Y]^K$  by the induction hypothesis, completing the proof.

□

Now let us return to the relationship between the upper and lower central series.  $1 = H^0 \leq H^1 \leq \dots \leq H^n = G$  is called a *central series* if each quotient  $H^{i+1}/H^i$  is contained in the center of  $G/H^i$ .

**Proposition 3.19.** *Let  $1 = H^0 \leq H^1 \leq \dots \leq H^n = G$  be a central series of  $G$ .*

- (1)  $Z_i \leq H^{n-i+1}$ , so  $Z_{n+1} = 1$ .
- (2)  $H^i \leq Z^i$ , so  $Z^n = G$ .
- (3)  $G$  is nilpotent if and only if its lower central series terminates, in which case its nilpotency class is the length of the lower central series, which is the length of the upper central series.

*Proof.* We prove (1) by induction on  $i$ , and the proof of (2) will be analogous. Clearly if  $i = 1$ , then  $Z_1 \leq H^n$ . For  $i > 1$ , since  $H^{n-i+1}/H^{n-i}$  is in the center of  $G/H^{n-i}$ ,  $[H^{n-i+1}, G] \leq H^{n-i}$ . By the induction hypothesis,

$$Z_{i+1} = [Z_i, G] \leq [H^{n-i+1}, G] \leq H^{n-i}$$

To prove (3), note that (1) and (2) imply that the upper and lower central series are the shortest central series of  $G$ . □

To establish further relationships, we will need the following “lemma”.

**Theorem 3.20** (Three subgroup lemma). *Let  $H, K, L \leq G$ , and  $N \triangleleft G$ . If two of  $[H, K, L]$ ,  $[K, L, H]$ ,  $[L, H, K]$  are contained in  $N$ , so is the third.*

*Proof.* The Witt identity shows that if two of  $[h, k^{-1}, l]$ ,  $[k, l^{-1}, h]$ ,  $[l, h^{-1}, k]$  belong to a normal subgroup of  $G$ , so does the third, and this implies the result. □

From this,

**Corollary 3.21.** *Let  $G$  and  $i, j > 0$ .*

- (i)  $[Z_i, Z_j] \leq Z_{i+j}$
- (ii)  $Z_i(Z_j(G)) \leq Z_{ij}(G)$
- (iii)  $[Z_i, Z^j] \leq Z^{j-1}$  if  $j \geq i$
- (iv)  $Z^i(G/Z^j) = Z^{i+j}/Z^j$

Each can be proved by a standard induction argument, and we have seen many of those already, so the proof is left as an exercise.

### 3.4 HALL'S THEOREMS

Now let us study solvable groups.

Let  $\Pi$  be a set of primes. We say a number is  $\Pi'$  if it is coprime to every prime in  $\Pi$ . Sylow's theorem says that for any finite group  $G$  and any prime  $p$  dividing  $|G|$ , if  $\Pi = \{p\}$ , then there is a subgroup  $H \leq G$  so that  $|G : H|$  is  $\Pi'$ , and any two such subgroups are conjugate. What if we generalised this to an arbitrary set of primes?

**Definition 3.22.** If  $\Pi$  is a set of primes,  $H$  is a *Hall  $\Pi$ -subgroup* of  $G$  if  $|G : H|$  is  $\Pi'$ .

A  $\Pi$  *subgroup* is a subgroup  $H$  of  $G$  whose order is divisible by exactly the primes of  $\Pi$  (but we do not assume that  $|G : H|$  is  $\Pi'$ ). Of course, this is only interesting when all the primes in  $\Pi$  divide the order of  $|G|$ . Of course, Hall  $\Pi$ -subgroups need not exist;  $A_5$  has no Hall  $\{3, 5\}$ -subgroup. In this section we will prove the two Hall's theorems.

**Theorem 3.23.** [Hall's first theorem] *Let  $G$  be a finite solvable group, and  $\Pi$  a set of primes dividing  $|G|$ . Then,*

1.  $G$  contains a Hall  $\Pi$  subgroup,
2. any  $\Pi$  any subgroup is contained in the conjugate of a given Hall  $\Pi$  subgroup.

Note that the second condition implies that any two Hall  $\Pi$  subgroups are conjugate. It is perhaps surprising that the converse also holds.

**Theorem 3.24.** [Hall's second theorem] *Let  $G$  be a finite group. If  $G$  contains a Hall  $\Pi$ -subgroup for every set of primes  $\Pi$  dividing  $|G|$ , then  $G$  is solvable.*

We will need some preliminary results.

**Lemma 3.25.** *Let  $G$  be a finite solvable group. If  $M \triangleleft G$  is a minimal normal subgroup,  $M$  is an elementary abelian  $p$ -group.*

*Proof.*  $M$  is solvable, so if  $M$  is simple, then  $M \cong \mathbb{Z}_p$  for some prime  $p$ .  $M' = [M, M]$  is characteristic in  $M$ , so it is normal in  $G$ . Since  $M$  is solvable but not simple, it has a proper normal subgroup  $N$  of prime index, so  $M' \leq N$ . By the minimality of  $M$ ,  $M' = 1$  so  $M$  is abelian. If  $p$  divides  $|M|$ , then  $\{x \in M : x^p = 1\}$  is characteristic in  $M$ , hence normal in  $G$ , so  $M$  is an elementary abelian  $p$ -group.  $\square$

And recall

**Proposition 2.36.** [Frattini's argument] *Let  $G$  be a finite group,  $H \triangleleft G$ , and  $P$  a Sylow  $p$ -subgroup of  $H$ . Then  $G = HN_G(P)$ , and  $|G : H|$  divides  $|N_G(P)|$ .*

*Proof of Hall's first theorem.* We proceed by induction on  $G$ , the case  $|G| \leq 5$  a triviality. Now for the general case, if  $G$  is simple then it has prime order, so there is again nothing to prove.

Let  $M$  be a minimal normal subgroup of  $G$ , and  $L$  any  $\Pi$ -subgroup. We distinguish three cases:

**Case (1).**  $G/M$  is not a  $\Pi$  group, i.e. there is a prime  $q \notin \Pi$  that divides  $|G : M|$ .

By induction,  $G/M$  contains a nontrivial Hall  $\Pi$  subgroup  $K/M$ , and  $|G : K|$  is  $\Pi'$ .  $K$  may be divisible by some primes not in  $\Pi$ , but as it is a proper subgroup of  $G$ , we again use the induction hypothesis to find a Hall  $\Pi$  subgroup  $H$  of  $K$ , and this is a Hall  $\Pi$  subgroup of  $G$ .

Now,  $LM/M$  is a  $\Pi$  subgroup in  $K/M$ , so it is contained in some conjugate of  $K/M$ . So a conjugate of  $LM$  is contained in  $K$ , and applying the induction hypothesis to  $K$ ,  $LM$  is contained in a conjugate of  $H$ .

**Case (2).**  $M$  is an elementary abelian  $p$ -group for  $p \in \Pi$ .

Let  $H/M$  be a Hall  $\Pi$  subgroup in  $G/M$ , so  $H$  is a Hall  $\Pi$  subgroup in  $G$ . By induction,  $LM/M$  is contained in a conjugate of  $HM/M$ , so  $LM$  is contained in a conjugate of  $HM$ . By the maximality of  $H$ ,  $HM = H$ , so  $L$  is contained in a conjugate of  $H$ .

**Case (3).**  $M$  is an elementary abelian  $p$ -group for  $p \notin \Pi$ , and  $G/M$  is a  $\Pi$  group.

In this case,  $|G| = ap^m$ , where  $|M| = p^m$  and  $\Pi$  is the set of primes dividing  $a$ . Let  $N/M$  be a minimal normal subgroup of  $G/M$ , so  $N/M$  is an elementary abelian  $q$ -group for some  $q \in \Pi$ . Let  $Q \leq N$  be a Sylow  $q$ -subgroup. If  $Q$  is normal in  $G$ , we may proceed as in case (2), so we assume that  $N_G(Q)$  is a proper subgroup of  $G$ . By Frattini's argument,  $NN_G(Q) = G$ . Since  $Q \leq N_G(Q)$ , and  $QM = N$ , we can write  $MN_G(Q) = G$ . Then  $M \cap N_G(Q)$  is normal in  $MN_G(Q) = G$ .  $M$  cannot be contained in  $N_G(Q)$  as  $N_G(Q) \neq G$ , so  $M \cap N_G(Q) = 1$ . Then  $|N_G(Q)| = a$ , i.e. it is a Hall  $\Pi$  subgroup of  $G$ .

Now,  $LM \cap N_G(Q)$  is a  $\Pi$  subgroup of  $LM$ ; we claim that it is in fact a Hall  $\Pi$  subgroup of  $LM$ . Note that

$$LM = LM \cap G = LM \cap N_G(Q)M = (LM \cap N_G(Q))M$$

so,

$$|LM : LM \cap N_G(Q)| = |(LM \cap N_G(Q))M : LM \cap N_G(Q)| = |M|$$

where the last equality follows from the second isomorphism theorem and the fact that  $M \cap N_G(Q) = 1$ . If  $LM \neq G$ , by induction  $L$  is contained in a conjugate of  $LM \cap N_G(Q)$ . If  $LM = G$ , then  $LN = G$ , and  $L \cap N$  is a Sylow  $q$ -subgroup  $Q_1$  in  $N$ .  $Q_1$  is conjugate to  $Q$ , so  $N_G(Q_1)$  is conjugate to  $N_G(Q)$ . Further,  $Q_1 = L \cap N \triangleleft L$ , so  $L \leq N_G(Q_1)$  is contained in a conjugate of  $N_G(Q)$ .  $\square$

To prove the second theorem, we will need a theorem that will be proved later using representation theory.

**Theorem 3.26.** [Burnside's theorem] Groups of order  $p^a q^b$  are solvable.

*Proof of Hall's second theorem.* Again, we proceed by induction on  $|G|$ . If  $G$  is a  $p$ -group, or if  $G$  has order  $p^a q^b$ , then  $G$  is automatically solvable, so the theorem holds. Suppose  $|G| = p_1^{e_1} \dots p_k^{e_k}$  contains a Hall  $\Pi$  subgroup for every set of primes  $\Pi$  dividing  $|G|$ , but is not solvable. If  $N$  is a nontrivial normal subgroup of  $G$ , and  $H$  a Hall  $\Pi$  subgroup of  $G$ , then  $H \cap N$  and  $HN/N$  are Hall  $\Pi$  subgroups of  $N$  and  $G/N$  respectively. By the induction hypothesis,  $N$  and  $G/N$  are solvable, but this contradicts our assumption that  $G$  is not solvable. So  $G$  must be simple.

By Burnside's theorem, we know that  $k > 2$ . For each prime  $p_i$ , let  $\Pi_i = \{p_1, \dots, p_k\} \setminus \{p_i\}$ , and  $H_i$  be a Hall  $\Pi_i$  subgroup of  $G$ . Let  $H = H_3 \cap \dots \cap H_k$ . A quick computation tells us that  $|G : H| = p_3^{e_3} \dots p_k^{e_k}$ , so  $|H| = p_1^{e_1} p_2^{e_2}$ ;  $H$  is solvable. Let  $M$  be a minimal normal subgroup of  $H$ , and suppose  $M$  is an elementary abelian  $p_1$ -group.  $|H \cap H_2| = p_1^{e_1}$  is a Sylow  $p_1$  subgroup of  $H$ , and  $M$  is normal, so  $M \leq H \cap H_2 \leq H_2$ . By order considerations,  $G = (H \cap H_1)H_2$ . It follows that

$$M^G = M^{H_2} \leq H_2 \leq G$$

is a proper nontrivial normal subgroup of  $G$ , contradicting that  $G$  is simple. Finally, we circle back to our original (false) assumption and deduce that  $G$  is solvable.  $\square$

### 3.5 SUPERSOLVABLE GROUPS

Recall the equivalent definitions of a solvable group:

**Theorem 3.12.** *Let  $G$  be a finite group. The following are equivalent.*

1.  $G$  is solvable.
2. There is a sequence  $G = G_0 \geq G_1 \geq \dots \geq G_n = \{1\}$  such that  $G_i \triangleleft G$  and  $G_{i-1}/G_i$  is abelian for all  $i$ .
3. There is a sequence  $G = G_0 \geq G_1 \geq \dots \geq G_n = \{1\}$  such that  $G_i \triangleleft G_{i-1}$  and  $G_{i-1}/G_i$  has prime order for all  $i$ .

A *supersolvable* group is obtained by merging definitions 2. and 3.

**Definition 3.27.**  $G$  is a *supersolvable* group if there is a sequence  $G = G_0 \geq G_1 \geq \dots \geq G_n = \{1\}$  such that  $G_i \triangleleft G$  and  $G_{i-1}/G_i$  has prime order for all  $i$ .

*Vigyázz.* Clearly a supersolvable group is solvable, but the converse is not true! For example, the commutator of the alternating group  $A_4$  is isomorphic to the Klein-four group  $V_4$  which is abelian, so  $A_4$  is solvable. However,  $A_4$  has no cyclic normal subgroup, so it cannot be supersolvable.

Our goal is to characterise supersolvable groups by their *subgroup lattices*.

**Definition 3.28.** The *subgroup lattice* of a group  $G$  is the partially ordered set  $\{H : H \text{ is a subgroup of } G\}$  ordered by inclusion. The *meet* of  $H, K \leq G$  is the smallest subgroup containing them, i.e.  $\langle H, K \rangle$ , and their *join* is the largest subgroup contained in them, i.e.  $H \cap K$ .

In general, given a poset  $\mathcal{P}$ , one may define its *Hasse diagram*. This is the directed graph on the vertex set  $\mathcal{P}$  with an edge  $(u, v)$  if and only if  $u \leq v$  and there is no other  $w \in \mathcal{P}$  such that  $u \leq w \leq v$ . It is the Hasse diagram which is typically referred to as the lattice of a group. Of course, given a finite group  $G$ , this is a finite graph, so we may speak about things like “longest paths”. The “source” vertex of the subgroup lattice of  $G$  is the identity subgroup, while the “sink” vertex is  $G$  itself, and every other subgroup of  $G$  lies on a directed path from  $\{1\}$  to  $G$ . A natural question to ask is: do all directed paths from  $\{1\}$  to  $G$  have the same length? We say  $G$  satisfies the (*Jordan-Dedekind*) *chain condition* if this holds.



**Theorem 3.29** (Iwasawa).  *$G$  satisfies the chain condition if and only if  $G$  is supersolvable.*

Let us first look at some structure of supersolvable groups.

**Lemma 3.30.** *If  $G$  is supersolvable, there is a unique chain  $1 = N_0 \leq N_1 \leq \cdots \leq N_k \leq G$  such that  $N_i \triangleleft G$ ,  $N_i/N_{i-1}$  has order  $p_i$  for some prime  $p_i$ , and  $p_1 \geq \cdots \geq p_k$ .*

*Proof.* We know that  $G$  has a normal series  $1 = G_0 \leq \cdots \leq G_k \leq G$  such that the successive quotients are prime. Suppose  $G_{i+1}/G_i$  has order  $p_{i+1}$ ,  $G_i/G_{i-1}$  order  $p_i$ , and  $p_{i+1} > p_i$ . Then,  $G_{i+1}/G_{i-1}$  has a unique Sylow  $p_{i+1}$ -subgroup  $N^9$  which is characteristic in  $G_{i+1}$ , therefore normal in  $G$ . Replacing  $G_i$  with  $N$ ,  $p_i = |G_{i+1}/N| < |N/G_{i-1}| = p_{i+1}$ . Repeating this process finitely many times, we obtain the desired series.  $\square$

We state the following lemma without proof, as the argument is routine.

**Lemma 3.31.** *Abelian groups and nilpotent groups are supersolvable. Subgroups and quotients of supersolvable groups are supersolvable.*

*Vigyázz.* A three-for-two result does not hold! For example,  $V_4 \leq A_4$  and  $A_4/V_4$  are supersolvable, but  $A_4$  is not.

**Lemma 3.32.** *The index of a maximal subgroup in a supersolvable group is prime.*

*Proof.* Let  $H \leq G$  be a maximal subgroup, and  $M$  a minimal normal subgroup of prime order. If  $M \not\leq H$ , then  $H \cap M = \{1\}$ ,  $HM = G$ , so  $|G : H| = |M|$ . Otherwise,  $H/M$  is maximal in  $G/M$  and the result follows by induction on  $|G|$ .  $\square$

*Proof of Theorem 3.29.* Suppose  $G$  is supersolvable, and  $1 = H_0 \leq H_1 \leq \cdots \leq H_k = G$  is a directed path in the subgroup lattice.  $H_{i-1}$  is a maximal subgroup in  $H_i$ , which is supersolvable, so  $H_i/H_{i-1}$  has prime order. Then,  $k$  is the number of prime factors (including multiplicity) of  $|G|$ , so all such paths have the same length.

For the converse, we prove the statement for solvable groups. Then, we have a series  $1 = G_0 \leq G_1 \leq \cdots \leq G_k = G$  such that  $G_{i-1} \triangleleft G_i$  and  $G_i/G_{i-1}$  has prime order. This is clearly a maximal directed path, so the length every maximal directed path is the number of prime factors (including multiplicity) of  $|G|$ . As a result, every maximal subgroup of  $G$  has prime index. Our goal is to find a normal subgroup  $N \triangleleft G$  of prime order. The subgroup lattice of  $G/N$  is the union of the directed paths from  $N$  to  $G$ , and since  $N$  is a minimal subgroup of  $G$ , the subgroup lattice of  $G/N$  satisfies the chain condition, and we may apply induction.

Let  $A$  be a minimal normal subgroup of  $G$ , hence an elementary abelian  $p$ -group for some prime  $p$ .

**Case (1).**  $A$  is a Sylow  $p$ -subgroup of  $G$ .

By the Schur-Zassenhaus theorem, there exists  $H \leq G$  such that  $G = AH$ ,  $A \cap H = 1$ . If  $H$  is properly contained in  $K \leq G$ , then  $K \cap A \neq \{1\}$ , so  $K = G$  by the minimality of  $A$ . This implies that  $H$  is a maximal subgroup of  $G$ , so  $A \triangleleft G$  has prime order.

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<sup>9</sup>Recall Corollary 1.16.

**Case (2).**  $A$  is not a Sylow  $p$ -subgroup of  $G$ , and  $p$  is not the largest prime divisor of  $|G|$ .

Let  $q$  be the largest prime divisor of  $G$ . Since  $G/A$  is solvable, it has a normal subgroup  $B/A$  of order  $q$ ;  $|B| = p^k q$  and it has a unique Sylow  $q$ -subgroup  $Q$ .  $Q \text{ char } B$  and  $B \triangleleft G$ , so  $Q \triangleleft G$  has prime order.

**Case (3).**  $A$  is not a Sylow  $p$ -subgroup of  $G$ , and  $p$  is the largest prime divisor of  $|G|$ .

Let  $P$  be a Sylow  $p$ -subgroup of  $G$  containing  $A$ , so  $P/A$  is Sylow  $p$ -subgroup of  $G/A$ . Since  $A$  is abelian and supersolvable,  $G/A$  satisfies the chain condition. Now we apply our secret induction hypothesis that the Sylow  $p$ -subgroup corresponding to the largest prime is normal to obtain that  $P/A \triangleleft G/A$ , so  $P \triangleleft G$ . Further,  $A \triangleleft P$ , so  $A \cap Z(P)$  is nontrivial. However,  $Z(P) \text{ char } P$ , so  $A \cap Z(P) \triangleleft G$ , hence  $A \leq Z(P)$ . By the Schur-Zassenhaus theorem, there is some  $H \leq G$  such that  $PH = G$  and  $P \cap H = \{1\}$ . Let  $K$  be a maximal subgroup containing  $H$ , so  $|G : K| = p$ . Since  $K \cap A$  is normal in  $K$  and  $P$ ,  $K \cap A = \{1\}$  or  $A$ . In the first case,  $A$  has order  $p$  and we are done. In the second case,  $A \leq K$ . By the induction hypothesis,  $K$  satisfies the chain condition so  $K$  is supersolvable and contains a minimal normal subgroup  $A_1 \leq A$  of order  $p$ . Then,  $N_G(A_1) \leq K$ , and  $A_1 \leq A \leq Z(P)$ , so  $A_1 \triangleleft G$  has prime order.

□

## 4 PERMUTATION GROUPS

How do we generalise the idea of a transitive permutation group? We can define  $k$ -transitivity, where we would like 1-transitivity to just be transitivity. Let  $\Omega^{(k)}$  denote the set of ordered  $k$ -tuples of  $\Omega$  whose elements are *pairwise distinct*. If  $G$  acts on  $\Omega$ , then it induces an action on  $\Omega^{(k)}$ ,

$$(\omega_1, \dots, \omega_k) \rightarrow (\omega_1 g, \dots, \omega_k g)$$

**Definition 4.1.**  $G$  acts  $k$ -transitively on  $\Omega$  if its induced action on  $\Omega^{(k)}$  is transitive.

We would like  $k$ -transitivity to imply  $(k-1)$ -transitivity, which is not immediate from this definition, and we would also like it to mean that after “removing one level” of transitivity, we obtain a  $(k-1)$ -transitive action. For these reasons, the following characterisation is often more useful.

**Proposition 4.2.** Let  $k > 1$  and  $\omega \in \Omega$ .  $G$  acts  $k$ -transitively on  $\Omega$  if and only if  $G_\omega$  acts  $(k-1)$ -transitively on  $\Omega \setminus \{\omega\}$ .

*Proof.* This is a standard definition-chasing type argument. Suppose  $G_\omega$  acts  $(k-1)$ -transitively on  $\Omega \setminus \{\omega\}$  for every  $\omega \in \Omega$ . Let  $(\alpha_1, \dots, \alpha_k)$  and  $(\beta_1, \dots, \beta_k)$  be in  $\Omega^{(k)}$ . Then there is some  $g \in G_{\alpha_1}$  and  $h \in G_{\beta_k}$  such that

$$(\alpha_1, \dots, \alpha_k) \xrightarrow{g} (\alpha_1, \beta_2, \dots, \beta_k) \xrightarrow{h} (\beta_1, \beta_2, \dots, \beta_k)$$

So  $gh$  is the desired element of  $G$ . The reverse implication is even easier to prove.

□

**Corollary 4.3.** *If  $G$  acts  $k$ -transitively on  $\Omega$ , and  $|\Omega| = n$ , then  $n(n-1) \dots (n-k+1)$  divides  $|G|$ .*

If  $G$  acts faithfully on a set of cardinality  $n$ , we will say  $G$  is a permutation group of *degree*  $n$ .

**Corollary 4.4.** *If  $G$  is a finite  $(n-2)$ -transitive group of degree  $n$ , then  $G$  is  $A_n$  or  $S_n$ .*

Sajnos, there are not “many”  $k$ -transitive groups. In fact, for  $k \geq 6$  and arbitrary  $n$ , the only  $k$ -transitive groups of degree  $n$  are  $A_n$  and  $S_n$ . This motivates the definition of a *primitive* permutation group, which has weaker requirements than 2-transitivity, but strong enough requirements to catch ‘em all.

#### 4.1 PRIMITIVE PERMUTATION GROUPS

Let  $G$  be a finite group acting *transitively* on  $\Omega$ .  $\Delta \subset \Omega$  is a *block* for  $G$  if for every  $g \in G$ ,  $\Delta \cap \Delta g = \Delta$  or  $\Delta \cap \Delta g = \emptyset$ . Further, the sets  $\{\Delta g : g \in G\}$  partition  $\Omega$ . Of course, we may take the *trivial blocks*:  $\Delta = \Omega$  or  $\Delta = \{\omega\}$  for some  $\omega \in \Omega$ , and these will be blocks for any group  $G$ .

A system of blocks corresponds to a  $G$ -invariant *equivalence relation*  $\sim$  on  $\Omega$ , where  $\omega \sim \omega'$  implies  $\omega \cdot g \sim \omega' \cdot g$  for all  $g \in G$ .

**Definition 4.5.**  $G$  is a *primitive permutation group* if  $G$  is transitive and  $G$  has no nontrivial blocks.

Equivalently,

**Proposition 4.6.** *A transitive group  $G$  acts primitively on  $\Omega$  if and only if each stabilizer  $G_\omega$  is a maximal subgroup of  $G$ .*

*Proof.* Here is another definition-chasing argument. Suppose  $G$  acts primitively on  $\Omega$ , and let  $H$  be a subgroup of  $G$  properly containing some  $G_\omega$ . Define

$$\Delta = \{\omega \cdot h : h \in H\}$$

Since  $H$  properly contains  $G_\omega$ ,  $|\Delta| \geq 2$ . Further, suppose  $\Delta \cdot g \cap \Delta \neq \emptyset$  for some  $g \in G$ . Then, for some  $h \in H$ ,

$$\omega g = \omega h \implies h^{-1}g \in G_\omega \implies g \in H$$

So  $\Delta$  forms a block for  $G$ . If  $H$  is a proper subgroup of  $G$ , then  $|H : G_\omega| < |G : G_\omega| = |\Omega|$ , so  $\Delta$  is a nontrivial block for  $G$ , which is not possible, so  $G_\omega$  is maximal.

Conversely, suppose  $G$  is not primitive; let  $\Delta$  be a nontrivial block and  $\sim$  the corresponding equivalence relation. Again, let  $H$  be the *setwise stabilizer* of  $\Delta$ ,

$$H = \{g \in G : \omega g \in \Delta\}$$

$H$  is a proper subgroup of  $G$  since  $G$  is transitive, and clearly  $H$  properly contains any stabilizer  $G_\omega$  for  $\omega \in \Delta$ . □

Clearly, if a subgroup is maximal, so are all of its conjugates. So the problem of determining maximal subgroups is in some sense equivalent to the problem of determining primitive actions of a group. We will see this more explicitly when we apply the *O' Nan-Scott theorem* (the classification of all finite primitive permutation groups) to determine all maximal subgroups of  $S_n$ .

This is a good point to stop and remark on the difference between the *pointwise stabilizer* and the *setwise stabilizer* of  $\Delta \subset \Omega$ . The *setwise stabilizer* is

$$G_{\{\Delta\}} = \{g \in G : \Delta \cdot g = \Delta\}$$

while the *pointwise stabilizer* is

$$G_{\Delta} = \{g \in G : \delta g = \delta, \forall \delta \in \Delta\} = \bigcap_{\delta \in \Delta} G_{\delta}^{10}$$

*Exercise 12.* If  $G$  is 2-transitive, then  $G$  is primitive.

Is there a converse to this exercise?

**Theorem 4.7** (Jordan). *Let  $G \leq \text{Sym}\Omega$  be a finite primitive permutation group. Let  $\Delta \subset \Omega$ ,  $1 \leq |\Delta| \leq |\Omega| - 2$ .*

- (a) *If  $G_{\Delta}$  is transitive on  $\Gamma$ , then  $G$  is 2-transitive on  $\Omega$ .*
- (b) *If  $G_{\Delta}$  is primitive on  $\Gamma$ , then  $G$  is  $(|\Delta| + 1)$ -transitive.*

*Proof.* For convenience, let  $|\Omega| = n$ .

- (a) We proceed by induction on  $\Delta$ ; if  $|\Delta| = 1$ , this is clear. Suppose  $|\Delta| > 1$ , and also that  $|\Delta| \leq n/2$ . Since  $\Delta$  is not a block for  $G$ , there is some  $g \in G$  for which

$$1 \leq |\Delta \cdot g \cap \Delta| < |\Delta|$$

By order considerations,  $\Gamma \cap \Gamma \cdot g \neq \emptyset$ . Since  $\langle G_{\Delta}, G_{\Delta \cdot g} \rangle \leq G_{\Delta \cap \Delta \cdot g}$ , the latter subgroup is transitive on  $\Gamma \cup \Gamma \cdot g$ , so we apply the induction hypothesis. If  $|\Delta| > n/2$ , then  $|\Gamma| \leq n/2$ , so we use the induction hypothesis and the same argument as earlier.

- (b) To make our lives easier, let us say  $G$  is *k-primitive* if it is  $k$ -transitive and the pointwise stabilizer of any  $k$ -element set is primitive. Equivalently,  $G$  is  $k$ -primitive if it is transitive and every point stabiliser is  $(k - 1)$ -primitive. Our goal is to show that if  $G_{\Delta}$  is primitive on  $\Gamma$ , then  $G$  is  $(|\Delta| + 1)$ -primitive on  $\Omega$ . Again, we use induction, the base case  $|\Delta| = 1$  being clear. If  $|\Delta| \geq 2$ , we consider  $G_{\Delta \cdot g \cap \Delta}$  as in (a) which is also primitive, and apply induction to obtain that  $G$  is 2-primitive. So for any  $\delta \in \Delta$ ,  $G_{\delta}$  is primitive, and we apply induction again to obtain that  $G_{\delta}$  is  $|\Delta|$ -primitive.

□

**Corollary 4.8.** *If  $G \leq S_n$  is primitive and contains a  $p$ -cycle where  $p$  is prime, then  $G$  is  $(n - p + 1)$ -transitive.*

<sup>10</sup>Not to be confused with the  $G_{\delta}$  sets of topology.

*Proof.* Let  $\Gamma$  be the support of the  $p$ -cycle  $g \in G$ , and  $\Delta = \Omega \setminus \Gamma$ . Since  $g$  is transitive on  $\Gamma$ , so is  $G_\Delta$ , but any transitive group on a  $p$ -element set is primitive.  $\square$

**Corollary 4.9.** *If  $G \leq S_n$  is primitive and contains a 2-cycle, then  $G = S_n$ . If  $G$  contains a 3-cycle, then  $G \geq A_n$ .*

**Theorem 4.10** (Bechert's bound). *If  $G \leq S_n$  is primitive, either  $G = A_n$ ,  $G = S_n$ , or  $|S_n : G| \geq \lfloor (n+1)/2 \rfloor!$ .*

*Proof.* Let  $\Delta$  be a (cardinality) minimal set such that  $G_\Delta = 1$ , i.e. if  $g$  and  $h$  agree on  $\Delta$ , then  $g = h$ . Call  $\Delta$  the *base* of  $G$ . If  $|\Delta| \leq n/2$ , since each element of  $G$  is uniquely determined by its action on  $\Delta$ ,

$$|G| \leq n(n-1) \dots (n-|\Delta|+1) = \frac{n!}{(n-|\Delta|)!}$$

or,

$$|S_n : G| \geq (n-|\Delta|)! \geq \lfloor (n+1)/2 \rfloor!$$

If  $|\Delta| > n/2$ , we want to show that  $G$  contains a 3-cycle so we can apply the previous corollary. Since  $\Gamma = \Omega \setminus \Delta$  has smaller cardinality than  $\Delta$ ,  $G_\Gamma \neq 1$ . Choose a nonidentity element  $g \in G_\Gamma$ . There is some  $\delta \in \Delta$  such that  $\delta \cdot g \neq \delta$ . Since  $\Delta \setminus \{\delta\}$  is also not a base for  $G$ , there is some  $h \in G_{\Delta \setminus \{\delta\}}$  such that  $\delta \cdot h \in \Gamma$ . It is then easy to check that  $gh^{-1}g^{-1}$  is the 3-cycle  $(\omega, \omega \cdot h, \omega \cdot g)$ .  $\square$

## 4.2 MINIMAL NORMAL SUBGROUPS

We will classify primitive permutation groups by properties of their minimal normal subgroups. For the rest of this section, we only consider finite groups.

**Lemma 4.11.** *If  $M \triangleleft G$  is a minimal normal subgroup, then  $M$  is a direct product of pairwise isomorphic finite simple groups.*

It will be useful to define the following notion.

**Definition 4.12.** A group  $M$  is *characteristically simple* if it has no nontrivial characteristic subgroups.

For example, a simple group is characteristically simple because every characteristic subgroup is normal. What does this have to do with the lemma? If  $M$  is a minimal normal subgroup, then it must be characteristically simple, so instead we will show that any characteristically simple group is the direct product of pairwise isomorphic simple groups.

*Proof.* Suppose  $M$  is not simple, and let  $T$  be a minimal normal subgroup of  $M$ . Since  $T$  is not characteristic in  $M$ , we consider all the subgroups of the form  $\phi(T) : \phi \in \text{Aut}(M)$ . Each of these is a simple group isomorphic to  $T$ , and each is a minimal normal subgroup in  $M$ . First, suppose  $\phi_1(T) \neq \phi_2(T)$ . Then  $\phi_1(T) \cap \phi_2(T)$  must be trivial by minimality. That is, for some  $k \in \mathbb{N}$ ,

$$\{\phi(T) : \phi \in \text{Aut}(M)\} = \{\phi_1(T), \dots, \phi_k(T)\}$$

where the  $\phi_i(T)$ 's are pairwise disjoint. So,

$$\phi_1(T) \times \dots \times \phi_k(T) \hookrightarrow M$$

However, the above direct product is characteristic in  $M$  by construction, so it must be all of  $M$ .  $\square$

**Lemma 4.13.** *Any normal subgroup of a direct product of finite simple groups is equal to the direct product of some of them.*

*Proof.* We may assume the groups are all nonabelian. Let

$$N \leq S_1 \times \dots \times S_k = G$$

For each  $S_i$ ,  $[N, S_i]$  is normal in  $G$ , so  $[N, S_i] = 1$  or  $S_i$ . If  $N$  and  $S_i$  commute, then  $N \cap S_i = 1$ , otherwise  $S_i \leq N$ .  $\square$

The following lemma will be used several times, so it is worth remembering.

**Lemma 4.14.** *A nontrivial normal subgroup  $N$  of a primitive group  $G$  is transitive.*

*Proof.* Let  $N$  partition the ground set  $\Omega$  into orbits; since  $N$  is nontrivial, each orbit has size  $> 1$ . Then, for any  $g \in G$ , if  $\alpha$  and  $\beta$  are in the same  $N$ -orbit, say  $\Delta$ ,

$$\alpha \cdot n = \beta \implies \alpha \cdot g(g^{-1}ng) = \beta \cdot g$$

then  $\alpha \cdot g$  and  $\beta \cdot g$  are in the same  $N$ -orbit. In other words, the  $N$ -orbits form a system of blocks for  $G$ , so  $N$  must be transitive.  $\square$

Finally,

**Proposition 4.15.** *If  $G$  is primitive, then  $G$  has either*

1. *a unique minimal normal subgroup, or*
2. *exactly two minimal normal subgroups which are regular, centralize each other, and are isomorphic.*

*Proof.* Suppose  $G$  contains two distinct minimal normal subgroups,  $M_1$  and  $M_2$ . Then,

$$[M_1, M_2] \leq M_1 \cap M_2 = 1$$

so they centralise each other. The centralizer of a transitive group is semi-regular, so  $M_1$  and  $M_2$  are regular. Further, since  $M_1$  and  $M_2$  are also transitive subgroups of  $S = S_\Omega$ , their centralizers  $C_S(M_1)$  and  $C_S(M_2)$  are also regular; by order considerations,  $M_2 = C_S(M_1)$  and  $M_1 = C_S(M_2)$ . We know that any regular group is permutation isomorphic to its right regular representation, and it is not hard to show that its centralizer corresponds to its left regular representation; this shows that  $M_1$  and  $M_2$  are permutation isomorphic.  $\square$

**Theorem 4.16** (Burnside again). *Let  $G$  be a finite 2-transitive group. Then  $G$  has a unique minimal normal subgroup such that either*

1.  *$M$  is an elementary abelian  $p$ -group, and regular, or*
2.  *$M$  is nonabelian, simple, and primitive.*

*Proof.* Suppose  $M$  is regular; the action of  $G$  on  $M$  by conjugation is equivalent to the action of  $G$  on the ground set. In particular, the action is transitive so any two elements of  $M$  have the same order, and this must be some prime order  $p$ . Since  $M$  is a  $p$ -group and  $Z(M)^{\text{char } M} = M$ , i.e.  $M$  is an elementary abelian  $p$ -group. Proposition 4.15 tells us that  $M$  is the unique minimal normal subgroup of  $G$ .

Suppose  $M$  is not regular, so  $M$  is again unique by Proposition 4.15. If  $M$  is abelian, then  $M \leq C_G(M)$ , which is semiregular, so  $M$  is regular, so this is not possible. To show that  $M$  is primitive, we will use a fact about *Frobenius groups* that will be proved later using representation theory. We say a permutation group  $H$  is a *Frobenius group* if it is transitive, not regular, and every nonidentity element has at most one fixed point. The *Frobenius kernel*  $K$  of a Frobenius group is

$$K = \{g \in H : g \text{ has no fixed points}\} \cup \{1\}$$

We will later show that the Frobenius kernel is a normal subgroup of  $H$  in subsection 6.2, and take it for granted for now.

We want to show that if  $M$  is not primitive, then  $M$  is a Frobenius group, and that  $K \triangleleft G$ , contradicting the minimality of  $M$ .

Let  $\Delta$  be a minimal nontrivial block for  $M$ . Then  $\Delta \cdot g$  is a block for  $M$  for every  $g \in G$ . By the minimality of  $\Delta$ ,  $|\Delta \cap \Delta \cdot g| \leq 1$ . Since  $G$  is 2-transitive, any two elements are contained in some  $\Delta \cdot g$ , and by the above observation  $g$  is uniquely determined. Let  $\ell_{\alpha, \beta}$  be the unique  $\Delta \cdot g$  containing  $\alpha, \beta \in \Omega$ . Suppose  $g \in M$  fixes both  $\alpha, \beta \in \Omega$ :  $g \in M_{\alpha, \beta}$ . Then  $g$  fixes the block  $\ell_{\alpha, \beta}$ , and for any  $\gamma \notin \ell_{\alpha, \beta}$ ,  $g$  fixes the blocks  $\ell_{\alpha, \gamma}$  and  $\ell_{\beta, \gamma}$  setwise, so it fixes  $\gamma$ . This yields  $M_{\alpha, \beta} \leq M_{\alpha, \gamma}$ . By interchanging the roles of  $\beta$  and  $\gamma$ , we obtain that every element of  $M_{\alpha, \gamma}$  fixes every point outside  $\ell_{\alpha, \gamma}$ , in particular the points of  $\ell_{\alpha, \beta}$ . So  $g$  fixes all points of  $\Omega$ , i.e.  $g = 1$  and  $M$  is a Frobenius group. If  $K$  is the Frobenius kernel in  $M$ , then

$$\alpha(gkg^{-1}) = \alpha \implies (\alpha g)k = (\alpha)g$$

that is  $gkg^{-1}$  has the same number of fixed points as  $k$ , so  $K \triangleleft G$ , which is the contradiction we wanted.

Finally, we know that  $M$  is primitive, and assume that  $M$  is not simple. By Proposition 4.15 it either has a unique minimal normal subgroup – but this is not possible because a unique minimal normal subgroup is characteristic – or it has two isomorphic minimal normal subgroups  $S_1$  and  $S_2$ . Again,  $S_1 \times S_2$  is characteristic in  $M$ , so  $M = S_1 \times S_2$ .  $M$  acts faithfully on  $S_1$  by conjugation, so let  $N$  be the normalizer of  $M$  in  $\text{Sym}(S_1)$ , and  $H$  the normalizer of  $S_1$ , i.e. the stabilizer of  $S_1$  under conjugation by  $N$ .  $S_1$  is either mapped to itself, or to  $S_2$ , so  $|N : H| = 2$ . □

### 4.3 WREATH PRODUCTS

Recall the definition of a semidirect product in subsection 2.5. Let us make this more complicated.

Let  $G$  and  $H$  be permutation groups acting on  $\Omega$  and  $\Delta$  *from the right* respectively. Define their *wreath product*  $W = G \wr H$  as follows. Consider the group  $\prod_{\delta \in \Delta} G$  (the direct product of  $|\Delta|$  copies of  $G$ , indexed by  $\Delta$ ). Each  $h \in H$  defines an automorphism on this group by permuting the coordinates according to its action on  $\Delta$ . The precise definition of this looks confusing, but I promise it is not.

$$h : (a_\delta) \rightarrow (a_{\delta h^{-1}})$$

In other words, if  $a \in \prod_{\delta \in \Delta} G$ , the coordinate  $a_\delta$  is mapped to the  $\delta h$ th coordinate. So the coordinate of the *image*  $(ha)_\delta$  is  $a_{\delta h^{-1}}$ . It is not hard to check (or simply believe) that this is an automorphism, so we can define the *wreath product*  $G \wr H$  (order matters!).

$$W = \prod_{\delta \in \Delta} G \rtimes H = \left\{ (a_{\delta_1}, \dots, a_{\delta_k}, h) : \Delta = \{\delta_i\}_{i=1}^k, a_{\delta_i} \in G, h \in H \right\}$$

$G$  is called the *base* of the wreath product.

*Vigyázz.* Pay attention to right and left group actions! The actions of  $G$  and  $H$  on  $\Delta$  and  $\Omega$  are right actions, but the action of  $H$  on  $\prod_{\Delta} G$  is a *left* action (this is why a  $h^{-1}$  appears in the definition). In what follows, we will return to a right group action of  $G \wr H$  on  $\Omega \times \Delta$ .

Where does  $\Omega$  come into this?  $W$  has a canonical action on  $\Omega \times \Delta$

$$(\omega', \delta') \cdot ((a_\delta), h) = (\omega' a_{\delta' h}, \delta' h)$$

It might be useful to break this action down:

$$(\omega', \delta') \cdot ((a_\delta), 1) = (\omega' a_{\delta'}, \delta')$$

$$(\omega', \delta') \cdot ((1), h) = (\omega', \delta' h)$$

*Vigyázz.* The reason we played this alternating game with left and right group actions is so that the final formula for the canonical action of  $W$  is nice; there are no nasty terms like  $h^{-1}$ .

**Proposition 4.17.** *Let  $T$  be a nonabelian simple group. Considering  $\text{Aut}(T)$  as a permutation group on  $T$ , and  $S_k$  as a permutation on  $[1, \dots, k]$ ,*

$$\text{Aut}(T^k) \cong \text{Aut}(T) \wr S_k = \text{Aut}(T)^k \rtimes S_k$$

*Proof.* The intuition is that any automorphism of  $T^k$  can act as an automorphism on each copy of  $T$ , and permute the  $k$  copies of  $T$ , and that these are the only automorphisms. We will establish the map  $\psi : \text{Aut}(T) \wr S_k \rightarrow \text{Aut}(T^k)$ , and leave it to the reader to check the details. For  $(a_1, \dots, a_k; \pi) \in \text{Aut}(T) \wr S_k$ , define

$$\psi_{(a_1, \dots, a_k; \pi)}(t_1, \dots, t_k) = (t_{1\pi^{-1}} a_{1\pi^{-1}}, \dots, t_{k\pi^{-1}} a_{k\pi^{-1}})$$

□



Of course, any group is a permutation group with respect to its right regular action, so we may forget about the sets  $\Omega$  and  $\Delta$ . Define the *standard wreath product*  $G \wr H$  as the wreath product with respect to the right regular actions, i.e.,

$$G \wr H = \prod_H G \rtimes H$$

The underlying sets  $\Omega$  and  $\Delta$  and the corresponding actions of  $G$  and  $H$  will typically be clear from context, so we will use the same wreath product notation for them all.

**Proposition 4.18.** *If  $G$  and  $H$  are transitive on  $\Omega$  and  $\Delta$  respectively, then the canonical action of  $W$  on  $\Omega \times \Delta$  is transitive as well.*

If  $G$  and  $H$  are primitive, we would like  $W$  to be primitive as well. Sajnos,

*Exercise 13.* Let  $G$  and  $H$  act transitively on  $\Omega$  and  $\Delta$  respectively. If  $|\Omega| > 1$  and  $|\Delta| > 1$ , show that the canonical action of  $G \wr H$  is imprimitive.

Let us define the *product action* of  $G \rtimes H$ . Just as we took  $\prod_\Delta G$ , or  $G^\Delta$  to define  $G \rtimes H$ , let us take  $\prod_\Delta \Omega$ , or  $\Omega^\Delta$ . The *product action* is defined as

$$(\omega_\delta)_{\delta \in \Delta} \cdot ((a_\delta, h)) = (\omega_{\delta h} \cdot a_{\delta h})$$

It is routine to check that this does define a *right* group action. When is it primitive?

**Theorem 4.19.** *The product action of  $G \wr H$  is primitive if and only if  $G$  is primitive but not regular, and  $H$  is transitive.*

Finally, let us look at one more type of wreath product - the *twisted wreath product*. This is a wreath product with some additional structure imposed. Let  $G$  and  $H$  be groups, with a subgroup  $F \leq H$  that is an *operator group*<sup>11</sup> on  $G$ ,  $\varphi : F \rightarrow \text{Aut}(G)$  a homomorphism. Let  $H$  act on itself with *right* multiplication; this is a right action. We want to define a wreath product that is compatible with the action of  $F$  on  $G$ . For example, for  $f \in F$ , we would like

$$(g_h)f = (g_{hf}) = (\varphi_{f^{-1}}g_h)$$

Define

$$B_F = \{(g_h)_{h \in H} : g_{hf} = \varphi_{f^{-1}}g_h, \forall h \in H\}$$

It is straightforward to check that  $B_F$  is a group, and that  $H$  is an operator group on it. The *twisted wreath product*  $G \wr_F H$  is defined as

$$B_F \rtimes H$$

---

<sup>11</sup>Recall definition here.

#### 4.4 CLASSIFICATION OF PRIMITIVE PERMUTATION GROUPS

**Theorem 4.20** (O' Nan-Scott). *Let  $G$  be a finite primitive permutation group. Then  $G$  is of one of the following types.*

- (HA)  $G$  has a unique minimal normal subgroup which is an elementary abelian  $p$ -group, hence regular. Then  $G \leq \text{AGL}(d, p)$ ,  $\text{AG}(d, p) \leq G$ , and  $G_0 \leq \text{GL}(d, p)$  has no invariant subspaces.
- (AS)  $G$  has a unique minimal normal subgroup which is a nonabelian simple group  $T$  that does not act regularly.  $\text{Inn}(T) \leq G \leq \text{Aut}(T)$ . The proof of this classification requires the Schreier conjecture, that  $\text{Out}(T) \cong \text{Aut}(T)/\text{Inn}(T)$  is solvable, the only proof of which relies on CFSG.
- (PA)  $G$  has a unique nonabelian minimal normal subgroup  $T^k$ ,  $k \geq 2$ , that does not act regularly. Then  $\Omega = \Delta^k$  and  $G \leq H \wr S_k$ , where  $H$  is an AS group.
- (TW)  $G$  has a unique nonabelian minimal normal subgroup  $T^k$ ,  $k \geq 2$ , that acts regularly.
- (HS)  $G$  has two minimal normal subgroups, each of which is a nonabelian simple group  $T$ . The action of  $T \times T$  is primitive, and  $T.\text{Inn}(T) \leq G \leq T.\text{Aut}(T)$ .
- (HC)  $G$  has two minimal normal subgroups, each of which is a nonabelian simple group  $T^k$ ,  $k \geq 2$ . As in the HS case,  $T^k \times T^k$  acts transitively, and  $T^k.\text{Inn}(T^k) \leq H \leq T^k.\text{Aut}(T^k)$ .
- (SD) The socle of  $G$  is  $T^k$  for  $k \geq 2$ .  $T^k$  is either a minimal normal subgroup of  $G$ , or the product  $T \times T$ , each of which is a regular minimal normal subgroup of  $G$ . In this case,  $T^k \triangleleft G \leq T^k(\text{Out}(T) \times S_k)$ .
- (CD) This is similar to the case SD;  $\Omega = \Delta^k$ , and  $G \leq H \wr S_k$ , where  $H$  is of type SD on  $\Delta$ . If the minimal normal subgroup of  $H$  is  $T^l$ , then  $T^{kl}$  is the minimal normal subgroup of  $G$ .

#### 4.5 SUBGROUPS OF $S_n$

Let us look at some applications of primitive permutation groups to subgroups of the symmetric group.

**Theorem 4.21.** *The alternating groups  $A_n$  are simple for  $n \geq 5$ .*

*Proof.* We proceed by induction. There are many ways to check the base case  $n = 5$ , the easiest of which is perhaps to show that no nontrivial union of conjugacy classes in  $A_5$  divides 60.

Suppose  $n > 5$ . Let  $N \triangleleft A_n$  be a nontrivial normal subgroup. Since  $A_n$  is at least 4-transitive for  $n > 5$ ,  $A_n$  is primitive, so  $N$  is transitive. The stabilizer  $G_1$  is isomorphic to  $A_{n-1}$ , and hence is simple. So  $N \cap G_1 = 1$  or  $N \cap G_1 = G_1$ . The second case cannot hold as  $G_1$  is a maximal subgroup and  $N$  is transitive. So we are in the first case, and again by the maximality of  $G_1$ ,  $G_1 N = A_n$ . By the Schur-Zassenhaus theorem, there is a homomorphism  $\varphi : G_1 \rightarrow \text{Aut}(N)$  so that  $A_n$  is the semidirect product  $N \rtimes G_1$  with respect to this homomorphism.  $G_1$  is not normal in  $A_n$ , so  $\varphi$  cannot be trivial. Since  $\ker \varphi \triangleleft G_1$ ,  $\varphi$  must be injective. However, it is easy to check that  $\text{Aut}(N)$  is not 3-transitive, while  $A_{n-1}$  is 3-transitive for  $n > 5$ .  $\square$

Next,

**Proposition 4.22.** *The Sylow  $p$ -subgroups of  $S_{p^k}$  are isomorphic to  $\mathbb{Z}_p \wr \cdots \wr \mathbb{Z}_p$ , the  $k$ -fold wreath product.*

*Proof.* This is a simple matter of checking that (1)  $\mathbb{Z}_p \wr \cdots \wr \mathbb{Z}_p$  embeds in  $S_{p^k}$  (it does), and (2)  $|\mathbb{Z}_p \wr \cdots \wr \mathbb{Z}_p| = p^{(p^k-1)/(p-1)}$  (it is).  $\square$

## 5 REPRESENTATIONS OF FINITE GROUPS

Now we will switch tracks entirely. We wrung out many deep results just by considering each group as a permutation group. The idea of representation theory is a generalisation of this: by considering homomorphisms of a group  $G$  into the automorphism group of some structure, we would like to use properties of the structure to derive properties of the group. The structure we consider here is a vector space.

**Definition 5.1.** Let  $G$  be a group and  $V$  a vector space over a field  $\mathbb{F}$ . A *representation* of  $G$  is a group homomorphism  $\varphi : G \rightarrow GL(V)$ . The dimension of  $V$  is called the *degree* of the representation.

Just as with group actions, we say a representation is *faithful* if  $\ker \varphi$  is trivial. For any group  $G$  and any vector space  $V$ , we have a *trivial representation*, the identically identity homomorphism. If  $G$  is a finite group of order  $n$ , and  $\mathbb{F}$  a field, consider the  $n$ -dimensional vector space  $V$  over  $K$  with basis  $\{e_g : g \in G\}$ . The *left regular action* of  $G$  defines the *regular representation*  $\varphi$ ,

$$\varphi_g(e_h) = e_{gh}$$

In general, given a *left* action of  $G$  on a set  $X$  and a field  $\mathbb{F}$ , define a vector space  $V$  with basis  $\{e_x : x \in X\}$ , so the corresponding representation  $\varphi$  of  $G$  is

$$\varphi_g(e_x) = e_{gx}$$

*Vigyázz.* We have returned to writing actions from the left, because we typically consider matrix multiplication from the left.

### 5.1 IRREDUCIBLE REPRESENTATIONS AND MASCHKE'S THEOREM

As always, when we define a new structure, we want to ask (1) when do we call two objects equivalent?, and (2) what are the “irreducible” objects, upto equivalence?

**Definition 5.2.** Two representations  $\varphi : G \rightarrow GL(V)$  and  $\psi : G \rightarrow GL(W)$  are *equivalent* if there is an invertible linear map  $\tau : V \rightarrow W$  so that

$$\tau \varphi_g = \psi_g \tau; \quad \forall g \in G$$

That is,  $\dim V = \dim W$ , and  $\varphi$  and  $\psi$  differ by a change of basis. This answers the first question. To answer the second, let us instead ask, “Which representations are clearly not irreducible?”

**Definition 5.3.** If  $\varphi_i : G \rightarrow GL(V_i)$  is a representation  $\forall i \in I$ , define the *direct sum*  $\varphi = \bigoplus_{i \in I} \varphi_i$  as the representation  $\varphi : G \rightarrow \bigoplus_{i \in I} GL(V_i)$  over the vector space  $\bigoplus_{i \in I} V_i$ .

When  $I$  is finite and each  $V_i$  is finite-dimensional, the matrices  $\varphi_g$  of the direct sum are block diagonal matrices. In general, the embedding of each  $V_i \leq V$  is invariant under each  $\varphi_g$ .

**Definition 5.4.** Let  $\varphi : G \rightarrow GL(V)$  be a representation, and  $U \leq V$  a subspace.  $U$  is an *invariant subspace* for  $\varphi$  if  $\varphi_g(U) \subseteq U$  for each  $g \in G$ .

Since each  $\varphi_g$  is invertible, this is equivalent to saying  $\varphi_g(U) = U$ .

**Definition 5.5.** A representation  $\varphi : G \rightarrow GL(V)$  is *irreducible* if it has no nontrivial invariant subspaces.

**Definition 5.6.** A representation  $\varphi : G \rightarrow GL(V)$  is *completely reducible* if every invariant subspace  $U$  has an invariant orthogonal complement  $\tilde{U}$ , that is  $V = U \oplus \tilde{U}$  and  $\tilde{U}$  is invariant under  $G$ .

**Proposition 5.7.** A finite-dimensional representation is completely reducible if and only if it is equivalent to the direct sum of irreducible representations.

*Proof.* Suppose  $\varphi : G \rightarrow GL(V)$  is completely reducible. Choose minimal invariant subspaces  $U_1, \dots, U_k$  such that  $U_1 \oplus \dots \oplus U_k = U \leq V$  has maximal dimension.  $U$  is an invariant subspace, and by complete reducibility,  $U = V$ .

Conversely, let  $V = U_1 \oplus \dots \oplus U_k$  be the direct sum of irreducible representations, and  $U$  an invariant subspace of  $V$ . Choose a maximal invariant subspace  $\tilde{U}$  such that  $U \cap \tilde{U} = 0$ . If some  $U_i$  is not contained in  $U \oplus \tilde{U}$ , since  $U_i$  is irreducible,  $U_i \cap (U \oplus \tilde{U}) = 0$ . In particular,  $U_i \oplus \tilde{U}$  is a larger invariant subspace contradicting the maximality of  $\tilde{U}$ , so  $U \oplus \tilde{U} = V$ .  $\square$

We would like every representation to be completely reducible, so that we can focus on studying irreducible representations.

**Theorem 5.8 (Maschke).** Let  $G$  be a finite group. If  $\text{char } \mathbb{F}$  does not divide  $|G|$ , then every representation of  $G$  over  $\mathbb{F}$  is completely reducible.

*Proof.* Suppose  $V$  has a nontrivial invariant subspace  $U$ . By extending to a basis of  $V$ , we can find a subspace  $W$  such that  $V = W \oplus U$ . Every element can be uniquely expressed as  $u + w \in U + W$ ; let  $\pi$  be the projection to  $U$  along  $W$ ,  $\pi(u + w) = u$ . Define

$$\hat{\pi} = \frac{1}{|G|} \sum_g \varphi_g \pi \varphi_{g^{-1}}$$

**Claim (1).**  $\hat{\pi}$  is a projection onto  $U$  along  $\ker \hat{\pi}$ .

Since  $U$  is invariant,  $V = U \oplus \varphi_g(W)$  for each  $g \in G$ , and  $\varphi_g\pi$  is the corresponding projection onto  $U$ . So,

$$\hat{\pi}(u + w) = \frac{1}{|G|} \sum_g \varphi_g \pi \varphi_{g^{-1}}(u + w) = \frac{1}{|G|} \sum_g \varphi_g \pi \varphi_{g^{-1}}(u) = u$$

**Claim.**  $\ker \hat{\pi}$  is an invariant subspace.

We want to show that if  $\hat{\pi}(v) = 0$ , then for any  $h \in G$ ,  $\hat{\pi}\varphi_h(v) = 0$ .

$$\begin{aligned} \hat{\pi}\varphi_h(v) &= \frac{1}{|G|} \sum_g \varphi_g \pi \varphi_{g^{-1}} \varphi_h(v) \\ &= \varphi_h \left( \frac{1}{|G|} \sum_g \varphi_{h^{-1}g} \pi \varphi_{g^{-1}h}(v) \right) \\ &= \varphi_h \hat{\pi}(v) = 0 \end{aligned}$$

Clearly  $U \oplus \ker \hat{\pi} = V$ , so this completes the proof.  $\square$

Maschke's theorem is an if and only if statement; the converse will be easier to prove once we have seen the group algebra.

## 5.2 THE GROUP ALGEBRA

There is another, sometimes more useful way to think of representations. A representation  $\varphi : G \rightarrow GL(V)$  endows  $V$  with a  $G$ -action and an  $\mathbb{F}$ -action, both of which commute.

$$\lambda \cdot \varphi_g(v) = \varphi_g(\lambda v); \quad \forall g \in G, \forall \lambda \in \mathbb{F}$$

**Definition 5.9.** If  $G$  is a group and  $\mathbb{F}$  a field, the *group algebra*  $\mathbb{F}G$  is the ring of *finite* formal sums  $\sum_{g \in G} \alpha_g g$  where  $\alpha_g \in \mathbb{F}$ . The ring operations are

$$\begin{aligned} \sum_{g \in G} \alpha_g g + \sum_{h \in G} \beta_h h &= \sum_{x \in G} (\alpha_x + \beta_x) x \\ \sum_{g \in G} \alpha_g g \sum_{h \in G} \beta_h h &= \sum_{x \in G} \left( \sum_{h \in G} \alpha_{xh^{-1}} \beta_h \right) x \end{aligned}$$

In other words,  $\mathbb{F}G$  is the  $\mathbb{F}$ -algebra generated by the elements of  $G$ . Further, if  $V$  is an  $\mathbb{F}G$ -module, then  $V$  is an  $\mathbb{F}$ -vector space and the action of  $G$  on  $V$  is a representation of  $G$  on  $V$ . Conversely, given any representation of  $G$  on a vector space  $V$  over  $\mathbb{F}$ , there is a natural extension of this action to  $V$  as an  $\mathbb{F}G$ -module. Consequently, given a representation of  $G$  on  $V$

(\*) two representations are equivalent  $\iff$  the corresponding  $\mathbb{F}G$ -modules are isomorphic

(\*)  $U \leq V$  is an invariant subspace  $\iff U$  is an  $\mathbb{F}G$ -submodule of  $V$

- (\*) the representation is irreducible  $\iff V$  is a simple  $\mathbb{F}G$ -module (it has no nontrivial submodules)
- (\*) the representation is completely reducible  $\iff V$  is a semisimple  $\mathbb{F}G$ -module (it is the direct sum of simple submodules)

The equivalent formulation of Maschke's theorem is then,

**Theorem (Maschke).** *If  $G$  is a finite group and  $\text{char } \mathbb{F}$  does not divide  $|G|$ , then  $\mathbb{F}G$  is semisimple.*

It is also easy to see that the  $\mathbb{F}G$ -module corresponding to the regular representation of  $G$  is  $\mathbb{F}G$  itself.

*Remark.* Let us take a brief detour into ring theory to make the rest of this section clear. A *simple* ring  $R$  is one which has no nontrivial ideals. We say a ring  $R$  is semisimple if it is the direct sum of simple rings. As we will see, the only simple rings are essentially the matrix rings.

*Exercise 14.* If  $G$  is a finite group and  $\text{char } \mathbb{F}$  divides  $|G|$ , then  $\mathbb{F}G$  is not semisimple. (In other words, the regular representation of  $G$  is not completely reducible.)

We will need the following structure theorem for semisimple rings.

**Theorem (Wedderburn-Artin).**  *$R$  is a semisimple ring if and only if there are division rings  $D_1, \dots, D_k$  and integers  $n_1, \dots, n_k$  so that*

$$R \cong M_{n_1}(D_1) \oplus \cdots \oplus M_{n_k}(D_k)$$

We will primarily consider the case when  $\mathbb{F} = \mathbb{C}$ , and  $R = \mathbb{C}G$ . In this case, each division ring  $D_i$  is a finite extension of  $\mathbb{C}$ , so must be equal to  $\mathbb{C}$ . In other words,

**Theorem.** *If  $G$  is a finite group, there exist integers  $n_1, \dots, n_k$  so that*

$$\mathbb{C}G \cong M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_k}(\mathbb{C})$$

Let the image of each  $g \in G$  under this isomorphism be  $(\varphi_g^{(1)}, \dots, \varphi_g^{(k)})$ .

**Corollary 5.10.** *The map  $g \rightarrow \varphi_g^{(i)}$  is an irreducible representation of  $G$ .*

We want to show that these are the only irreducible representations of  $G$ . If  $\varphi$  is an irreducible representation of  $G$  on a  $d$ -dimensional vector space, we say  $d$  is the *degree* or *dimension* of  $\varphi$ .

The key lemma in our proof is the following.

**Theorem 5.11 (Schur's lemma).** *An  $R$ -module homomorphism between two simple modules  $U$  and  $V$  is either identically 0 or an isomorphism.*

*Proof.* If  $\varphi : U \rightarrow V$  is a homomorphism, then  $\ker(\varphi) \leq U$  and  $\text{Im}(\varphi) \leq V$ , so this completes the proof.  $\square$

Assume  $\mathbb{F}$  is a field and  $G$  a finite group such that  $\text{char } \mathbb{F}$  does not divide  $|G|$ . For two  $\mathbb{F}G$ -modules  $U$  and  $V$ , let  $\text{Hom}_G(U, V)$  denote the space of all  $\mathbb{F}G$ -module homomorphisms  $U \rightarrow V$ .  $\text{Hom}_G(U, V)$  is an  $\mathbb{F}$ -vector space, so define  $\langle U, V \rangle = \dim_{\mathbb{F}} \text{Hom}_G(U, V)$ .

**Proposition 5.12.** *Let  $V$  be an  $\mathbb{F}G$ -module with a decomposition  $V = V_1 \oplus \cdots \oplus V_r$  into simple  $\mathbb{F}G$ -submodules, and let  $W$  be any simple  $\mathbb{F}G$ -module. If  $n(W, V)$  denotes the number of  $V_i$  isomorphic to  $W$ , then*

$$\langle W, W \rangle = n(W, V) = \langle W, V \rangle = \langle V, W \rangle$$

*Proof.* Since

$$\text{Hom}_G(W, V) \cong \prod_i \text{Hom}_G(W, V_i)$$

we have

$$\langle W, V \rangle = \langle W, V_1 \rangle + \cdots + \langle W, V_k \rangle = n(W, V) \langle W, W \rangle$$

where the last equality follows from Schur's lemma.  $\square$

**Lemma 5.13.** *For any irreducible  $\mathbb{F}G$ -module  $U$ , the map  $\text{Hom}_G(\mathbb{F}G, U) \rightarrow U$  that sends  $\varphi \rightarrow \varphi(1)$  is an isomorphism. In particular,  $\langle \mathbb{F}G, U \rangle = \dim_{\mathbb{F}} U$ .*

*Proof.* Clearly the map  $\varphi \rightarrow \varphi(1)$  is a homomorphism. Since any such  $\mathbb{F}G$ -module homomorphism is uniquely determined by its value at 1, this map is an isomorphism.  $\square$

**Theorem 5.14.** *Each irreducible representation appears in the regular representation with multiplicity equal to its degree.*

*Proof.* Let  $U$  be an irreducible  $\mathbb{F}G$ -module. Then,

$$n(U, \mathbb{F}G) = \langle \mathbb{F}G, U \rangle = \dim_{\mathbb{F}}(U)$$

$\square$

To summarise the results of this section: we know that the group algebra  $\mathbb{C}G$  corresponds to the regular representation of  $G$ . By Wedderburn-Artin,

$$\mathbb{C}G \cong M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_k}(\mathbb{C})$$

is its decomposition into simple submodules, or irreducible representations. Further, every irreducible representation, or simple module of  $G$  corresponds to some  $M_{n_i}(\mathbb{C})$ . This tells us that these  $k$  matrix rings in the decomposition of  $\mathbb{C}G$  correspond to the irreducible representations of  $G$  (where each appears with multiplicity equal to its dimension).

As a corollary, if  $d_i$  is the dimension of the  $i$ th irreducible representation, then

$$|G| = \sum_{i=1}^k d_i^2$$

We will in fact prove that  $d_i$  divides  $|G|$  in subsection 6.1.

### 5.3 CHARACTERS AND CLASS FUNCTIONS

Now that we know that a finite group has only finitely many representations over  $\mathbb{C}$ , our next question is - how many?

**Theorem 5.15.**  *$k$  is the number of conjugacy classes of  $G$ .*

*Proof.* Given that

$$\mathbb{C}G \cong M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_k}(\mathbb{C})$$

We will show that the dimension of the center of both sides is equal to the number of conjugacy classes. For a ring  $R$ , its center is defined as one would expect,

$$Z(R) = \{a \in R : ar = ra, \forall r \in R\}$$

On one hand,

$$Z(M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_k}(\mathbb{C})) \cong Z(M_{n_1}(\mathbb{C})) \oplus \cdots \oplus Z(M_{n_k}(\mathbb{C}))$$

The center of a matrix algebra is the set of scalar matrices, which has dimension 1 over the base field, so the dimension of the above expression is  $k$ . Now let us consider  $Z(\mathbb{C}G)$ . Since  $\mathbb{C}$  is commutative,  $Z(\mathbb{C}G)$  consists exactly of those elements of  $\mathbb{C}G$  which commute with  $G$ .

$$\begin{aligned} Z(\mathbb{C}G) &= \left\{ \sum_g \alpha_g g : h \sum_g \alpha_g g = \sum_g \alpha_g gh, \forall h \in G \right\} \\ &= \left\{ \sum_g \alpha_g g : \sum_g \alpha_g g = \sum_g \alpha_g h^{-1}gh, \forall h \in G \right\} \\ &= \left\{ \sum_g \alpha_g g : \alpha_g = \alpha_{hgh^{-1}}, \forall h \in H \right\} \end{aligned}$$

and it is clear that the dimension of this space is the number of conjugacy classes of  $G$ . □

**Corollary 5.16.**  *$G$  is abelian if and only if every irreducible representation is 1-dimensional.*

*Proof.*  $G$  is abelian if and only if the number of conjugacy classes is equal to  $|G|$ . So,

$$\dim_{\mathbb{F}} \mathbb{F}G = |G| = \sum_{i=1}^{|G|} n_i^2$$

Each  $n_i$  must be equal to 1, so each irreducible representation is 1-dimensional. □

This relationship between conjugacy classes and irreducible representations is better studied using *characters*.

**Definition 5.17.** The *character*  $\chi$  of a representation  $\varphi : G \rightarrow GL(d, \mathbb{C})$  is defined as

$$\chi(g) = \text{Tr} \varphi_g$$



An *irreducible character* is one that corresponds to an irreducible representations. If two representations are equivalent, the corresponding characters are equal. Further, the characters are constant on each conjugacy class

$$\chi(x^{-1}gx) = \text{Tr}(\varphi_{x^{-1}}\varphi_g\varphi_x) = \text{Tr}\varphi_g = \chi(g)$$

Let us study some properties of characters before we obtain some results as corollaries of Theorem 5.14. An easy observation is that  $\chi(1)$ , as the trace of the identity matrix, is equal to the dimension of the representation. This implies that  $|G| = \sum_{\chi} \chi(1)^2$ , where the sum runs over the irreducible characters of  $G$ .

**Lemma 5.18.** *Let  $\varphi$  be a representation of  $G$  with character  $\chi$ , and let  $g \in G$  with  $|g| = n$ .*

- (a)  $\varphi_g$  is similar to a diagonal matrix with entries  $(\epsilon_1, \dots, \epsilon_r)$ .
- (b)  $\epsilon_i^n = 1$  for each  $i$ .
- (c)  $\chi(g) = \sum_{i=1}^r \epsilon_i$ , and  $|\chi(g)| \leq \chi(1)$ .
- (d)  $\chi(g^{-1}) = \overline{\chi(g)}$ .

*Proof.* The restriction of  $\varphi$  to a subgroup is also a representation, so we may assume that  $G = \langle g \rangle$ . By Maschke's theorem,  $\varphi_g$  is similar to a block diagonal matrix corresponding to the irreducible representations. Since  $\langle g \rangle$  is abelian, each irreducible representation is 1-dimensional, so its matrix is diagonal, proving (a). (b) follows easily from the fact that  $g^n = 1$ , and (c) and (d) are similarly easy to show.  $\square$

**Lemma 5.19.** *If  $\varphi = \varphi_1 \oplus \dots \oplus \varphi_m$  are representations of  $G$ , and  $\chi_1, \dots, \chi_m$  are the characters corresponding to  $\varphi_1, \dots, \varphi_m$ , then the character of  $\varphi$  is*

$$\chi(g) = \chi_1(g) + \dots + \chi_m(g)$$

Let  $\rho$  denote the character corresponding to the regular representation  $\phi$ .

**Lemma 5.20.**  $\rho(1) = |G|$  and  $\rho(g) = 0$  if  $g \neq 1$ .

*Proof.* Consider  $G = \{g_1, \dots, g_n\}$  as a basis for  $\mathbb{C}G$  as a  $\mathbb{C}G$ -module. Each matrix  $\phi_g$  is a permutation matrix, and  $\rho(g)$  counts the number of 1's on the diagonal. However,  $(\phi_g)_{ii} = 1$  if and only if  $gg_i = g_i$ , and the lemma follows immediately from this.  $\square$

Since each irreducible representation appears in the regular representation with multiplicity  $\chi(1)$  for its corresponding character  $\chi$ ,

**Corollary 5.21.** *If  $\chi_1, \dots, \chi_k$  are the irreducible characters of  $G$ ,*

$$\rho(g) = \sum_{i=1}^k \chi_i(1)\chi_i(g)$$

**Corollary 5.22.** *If  $\chi_1, \dots, \chi_k$  are the irreducible characters of  $G$ ,*

$$|G| = \sum_{i=1}^k \chi_i(1)^2$$

A  $\mathbb{C}$ -valued function that is constant on the conjugacy classes of  $G$  is called a *class function*. The set of all class functions is a vector space over  $\mathbb{C}$  with dimension the number of conjugacy classes of  $G$ . We want to show

**Theorem 5.23.** *The irreducible characters form a basis for all class functions.*

We can define an inner product on the space of class functions on a finite group  $G$  by

$$\langle \mu, \nu \rangle = \frac{1}{|G|} \sum_{g \in G} \mu(g) \overline{\nu(g)}^{12}$$

Restricted to characters, we obtain

$$\langle \chi_1, \chi_2 \rangle = \frac{1}{|G|} \sum_g \chi_1(g) \chi_2(g^{-1})$$

**Theorem 5.24** (First orthogonality relation). *If  $\chi_i$  and  $\chi_j$  are irreducible characters of  $G$ , then  $\langle \chi_i, \chi_j \rangle = 1$  if  $\chi_i = \chi_j$ , and 0 otherwise.*

*Proof.* Let

$$\mathbb{C}G \cong M_{n_1}(\mathbb{C}) \oplus \dots \oplus M_{n_k}(\mathbb{C})$$

and let  $e_i$  denote the element  $(0, \dots, 0, 1, 0, \dots, 0)$  with the  $n_i \times n_i$  identity matrix in the  $i$ th position, and the 0 matrix everywhere else. We want to use the fact that

$$e_i e_j = \delta_{ij} e_i$$

Write  $e_i = \sum_g \alpha_g g$ ; we want to compute the coefficients  $\alpha_g$ . For  $h \in G$ ,

$$he_i = (0, \dots, \varphi_h^{(i)}, \dots, 0)$$

If  $\rho$  is the character of the regular representation,

$$\rho(he_i) = \sum_g \alpha_g \rho(hg) = \alpha_{h^{-1}} |G|$$

On the other hand, using the decomposition of the regular representation and the identity for  $he_i$ ,

$$\rho(he_i) = \sum_{j=1}^k \chi_j(1) \chi_j(he_i) = \chi_i(1) \chi_i(h)$$

---

<sup>12</sup>Check that this is a well-defined Hermitian inner product, or simply believe it if you are lazy like me.

That is,

$$\alpha_h = \frac{1}{|G|} \chi_i(1) \overline{\chi_i(h)}$$

so

$$e_i = \frac{1}{|G|} \sum_g \chi_i(1) \overline{\chi_i(g)} g$$

Using the fact that  $e_i e_j = \delta_{ij} e_i$ ,

$$\begin{aligned} e_i e_j &= \frac{1}{|G|^2} \sum_g \chi_i(1) \overline{\chi_i(g)} g \sum_h \chi_j(1) \overline{\chi_j(h)} h \\ &= \frac{\chi_i(1) \chi_j(1)}{|G|^2} \sum_{g,h} \chi_i(g^{-1}) \chi_j(h^{-1}) gh \\ &= \frac{\chi_i(1) \chi_j(1)}{|G|^2} \sum_{g,x} \chi_i(g^{-1}) \chi_j(x^{-1}g)x \end{aligned}$$

Looking at the coefficient for  $x = 1$ ,

$$\begin{aligned} i = j &\implies \frac{1}{|G|} \sum_g \chi_i(g) \chi_i(g^{-1}) = 1 \\ i \neq j &\implies \frac{1}{|G|} \sum_g \chi_i(g^{-1}) \chi_j(g) = 0 \end{aligned}$$

□

This gives us a proof of the fact that the irreducible characters form a basis of the space of class functions – in fact, an orthonormal basis.

**Corollary 5.25.** *A class function  $\chi$  is an irreducible character of  $G$  if and only if  $\chi(1) > 0$  and  $\langle \chi, \chi \rangle = 1$ .*

**Corollary 5.26.** *Two irreducible representations of  $G$  are equivalent if and only if their characters are equal.*

**Corollary 5.27.** *Let  $\nu$  be a class function of  $G$ ,  $\nu = \sum_{i=1}^k c_i \chi_i$  its expression in terms of the irreducible characters.  $\nu$  is a character of  $G$  if and only if each  $c_i$  is a nonnegative integer.*

A natural question to ask is: what if the sum in the inner product is taken over the irreducible characters of  $G$ ? Let  $\text{Irr}(G)$  denote the set of irreducible characters.

**Theorem 5.28** (Second orthogonality relation). *Let  $g, h \in G$ . Then,*

$$\sum_{\chi \in \text{Irr}(G)} \chi(g) \overline{\chi(h)}$$

*is equal to 0 if  $g$  is not conjugate to  $h$ , and equal to  $|C_G(g)|$  otherwise.*

*Proof.* Let  $g_1, \dots, g_k$  be representatives of the conjugacy classes of  $G$ ,  $Cl(g_i)$  the corresponding conjugacy class, and  $\chi_1, \dots, \chi_k$  the irreducible characters. Let  $X$  be the  $k \times k$  matrix whose  $ij$ -entry is  $\chi_i(g_j)$ . The first orthogonality relation says,

$$|G|\delta_{ij} = \sum_{g \in G} \chi_i(g) \overline{\chi_j(g)} = \sum_{v=1}^k |Cl(g_v)| \chi_i(g_v) \overline{\chi_j(g_v)}$$

Let  $D$  be the  $k \times k$  diagonal matrix with diagonal entries  $|Cl(g_i)|$ . We can represent this system of equations as the matrix equation

$$|G| \cdot I = XD X^*$$

where  $X^* = \overline{X}^T$ . This says  $|G|^{-1} \cdot X$  is a left inverse for  $D X^*$ , so they commute.

$$|G|I = D X^* X$$

As a system of equations, this yields

$$|G|\delta_{ij} = \sum_v |Cl(g_i)| \overline{\chi_v(g_i)} \chi_v(g_j)$$

Since  $|G|/|Cl(g_i)| = |C_G(g_i)|^{13}$ , we get

$$\sum_{\chi \in Irr(G)} \chi(g_j) \overline{\chi(g_i)} = |C_G(g_i)| \delta_{ij}$$

□

Let us look at the *character table* of a group  $G$  to shed some light on these orthogonality relations. This is a  $k \times k$  table whose rows are indexed by the irreducible characters of  $G$ , and columns by the conjugacy classes, i.e. we consider the matrix  $X$  that we defined as a table. If we consider the standard Hermitian inner product on  $\mathbb{C}^k$ ,  $\langle x, y \rangle_{\mathbb{C}} = \sum_{i=1}^k x_i \overline{y_i}$ , then the first orthogonality relation says

**Corollary 5.29.** *The rows of the character table are orthogonal.*

and the second orthogonality relation says,

**Corollary 5.30.** *The columns of the character table are orthogonal.*

## 5.4 INDUCED REPRESENTATIONS

Given a representation  $\varphi$  of a group  $G$ , its restriction  $\varphi_H$  to a subgroup  $H \leq G$  is a representation of  $H$ . Conversely, given a representation of a subgroup  $H$  of  $G$ , how can we extend it to the whole group? We study *induced representations* by studying their characters.

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<sup>13</sup>This is the orbit-stabilizer lemma!

**Definition 5.31.** Given a class function  $\nu$  of  $H$ , where  $H \leq G$ , the *induced class function* on  $G$  is

$$\nu^G(g) = \frac{1}{|H|} \sum_{x \in G} \nu^o(xgx^{-1})$$

where  $\nu^o(xgx^{-1}) = \nu(xgx^{-1})$  if  $xgx^{-1} \in H$ , and 0 otherwise.

Equivalently, let  $T$  be a *transversal* (a set of representatives) for the cosets of  $H$  in  $G$ . Then,

$$\nu^G(g) = \sum_{t \in T} \nu^o(tgt^{-1})$$

It is not immediately clear that the induction of a character of  $H$  is a character of  $G$ , and we will need the following statement to prove it.

**Proposition 5.32** (Frobenius reciprocity). *Let  $H \leq G$ ,  $\nu$  be a class function on  $H$  and  $\mu$  a class function on  $G$ . Then,*

$$\langle \nu, \mu_H \rangle_H = \langle \nu^G, \mu \rangle_G$$

*Proof.* We have

$$\begin{aligned} \langle \nu^G, \mu \rangle &= \frac{1}{|G|} \sum_g \nu^G(g) \overline{\mu(g)} \\ &= \frac{1}{|G|} \frac{1}{|H|} \sum_g \sum_x \nu^o(xgx^{-1}) \overline{\mu(g)} \\ &= \frac{1}{|G|} \frac{1}{|H|} \sum_g \sum_x \nu^o(xgx^{-1}) \overline{\mu(xgx^{-1})} \\ &= \frac{1}{|G|} \frac{1}{|H|} \sum_x \sum_y \nu^o(y) \overline{\mu(y)} \\ &= \frac{1}{|H|} \sum_{y \in H} \nu(y) \overline{\mu(y)} = \langle \nu, \mu_H \rangle \end{aligned}$$

□

**Corollary 5.33.** *If  $H \leq G$  and  $\nu$  is a character of  $H$ , then  $\nu^G$  is a character of  $G$ .*

*Proof.* We only need the fact that for any irreducible character  $\chi \in \text{Irr}(G)$ ,  $\langle \nu^G, \chi \rangle$  is an integer, which follows from Frobenius reciprocity. □

**Corollary 5.34.** *If  $H \leq G$  and  $\nu \in \text{Irr}(H)$ , then for some  $\chi \in \text{Irr}(G)$ ,  $\nu$  is a constituent of  $\chi_H$ .*

How do we induce characters from normal subgroups? Let  $N \triangleleft G$  and  $\nu \in \text{Irr}(N)$ .  $G$  acts on  $\text{Irr}(N)$  by conjugation,

$$\nu \rightarrow \nu^g; \quad \nu^g(x) = \nu(gxg^{-1})$$

Vigyázz.  $\nu$  is constant on the conjugacy classes of  $N$ , but not necessarily on the conjugacy classes of  $N$  in  $G$ . That is, for  $g \in G$  and  $x \in N$ , it is not necessary that  $x$  and  $gxg^{-1}$  are conjugate in  $N$ .

Each stabilizer is called an *inertia subgroup*,

$$I_G(\nu) = \{g \in G : \nu^g = \nu\}$$

**Theorem 5.35** (Clifford's theorem). *Let  $N \triangleleft G$  have finite index, and  $\chi$  be an irreducible character of  $G$ . For any irreducible character  $\nu$  of  $N$  such that  $\langle \chi_N, \nu \rangle \neq 0$ , there exist positive integers  $e$  and  $t$  so that*

$$\chi_N = e \sum_{i=1}^t \nu_i$$

where  $\nu_i$  runs over the orbit of  $\nu$ , and  $t = |G : I_G(\nu)|$ .

*Proof.* It is clear that the distinct conjugates of  $\nu$ ,  $\nu = \nu_1, \nu_2, \dots, \nu_t$ , correspond to the index of the inertia subgroup. For  $n \in N$ ,

$$\nu^G(n) = \frac{1}{|N|} \sum_g \nu^0(g^{-1}ng) = \frac{1}{|N|} \sum_g \nu^g(n)$$

If  $\phi \in \text{Irr}(N)$  is different from the  $\nu_i$ , then

$$0 = \langle \sum_g \nu^g, \phi \rangle = \langle (\nu^G)_H, \phi \rangle = 0$$

Since  $\chi$  is a constituent of  $\nu^G$  by Frobenius reciprocity, it follows that  $\langle \chi_N, \phi \rangle = 0$ . So all the irreducible constituents of  $\phi$  are among the  $\nu_i$ , and

$$\chi_H = \sum_{i=1}^t \langle \chi_H, \nu_i \rangle \nu_i$$

Since  $\chi_N^g = \chi_N$  for all  $g \in G$ ,

$$\langle \chi_N, \nu_i \rangle = \langle \chi_N, \nu \rangle = e$$

is the desired integer. □

**Theorem 5.36** (Still Clifford). *Let  $I = I_G(\nu)$ . If  $\psi \in \text{Irr}(I)$  such that  $\langle \psi_N, \nu \rangle \neq 0$ , then  $\psi^G$  is an irreducible character of  $G$  such that  $\langle \psi_N^G, \nu \rangle \neq 0$ . This is a one-to-one correspondence: if  $\chi \in \text{Irr}(G)$  satisfies  $\langle \chi_N, \nu \rangle \neq 0$ , then  $\chi_I \in \text{Irr}(I)$ .*

As a corollary of this, the irreducible character  $\chi$  from Clifford's first theorem is in fact induced by an irreducible character of the inertia subgroup.

*Proof.* Let  $\psi \in \text{Irr}(I)$  as in the statement, and  $\chi \in \text{Irr}(G)$  be an irreducible constituent of  $\psi^G$ . By Frobenius reciprocity,  $\psi$  is a constituent of  $\chi_I$ , and since  $\nu$  is a constituent of  $\psi_N$ ,  $\langle \nu, \chi_N \rangle \neq 0$ . Then,

$$\chi_N = e \sum_{i=1}^t \nu_i$$

and

$$\psi_N = f\nu$$

$\psi$  is a constituent of  $\chi_N$ , so  $f \leq e$ . So,

$$et\nu(1) = \chi(1) \leq \psi^G(1) = t\psi(1) = f t\nu(1) \leq et\nu(1)$$

since we have equality everywhere,  $\chi(1) = \psi^G(1)$ , so  $\chi = \psi^G$ . Further,

$$\langle \psi_N, \nu \rangle = f = e = \langle \chi_N, \nu \rangle$$

This shows that for distinct  $\psi_1$  and  $\psi_2$  in  $\text{Irr}(I)$  as in the statement,  $\psi_1^G \neq \psi_2^G$ . Suppose  $\psi_1^G = \chi$  and  $\psi_2$  is a constituent of  $\chi_I$ ,

$$\langle \chi_N, \nu \rangle \geq \langle (\psi_1 + \psi_2)_N, \nu \rangle = \langle (\psi_1)_N, \nu \rangle + \langle (\psi_2)_N, \nu \rangle > \langle (\psi_1)_N, \nu \rangle$$

Finally, suppose  $\chi \in \text{Irr}(G)$ , and  $\langle \chi_N, \nu \rangle \neq 0$ . Then there is an irreducible constituent  $\psi$  of  $\chi_N$  with  $\langle \psi_N, \nu \rangle \neq 0$ . Then  $\chi = \psi^G$  and this completes the proof.  $\square$

## 6 APPLICATIONS OF REPRESENTATION THEORY

### 6.1 BURNSIDE'S THEOREM

**Theorem 3.26.** [Burnside's theorem] Groups of order  $p^a q^b$  are solvable.

**Lemma 6.1.** If  $\chi$  is an irreducible character of  $G$ , then

$$|G : C_G(g)| \frac{\chi(g)}{\chi(1)}$$

is an algebraic integer.

*Proof.* Let  $g_1, \dots, g_k$  represent the conjugacy classes of  $G$ , and say  $g \sim g_i$  if they are conjugate. A basis for  $Z(\mathbb{C}G)$  is then given by the elements  $s_i = \sum_{g \sim g_i} g$ . Since each product  $s_i s_j \in Z(\mathbb{C}G)$ , there are nonnegative integers  $a_{jm}$  such that

$$s_i s_j = \sum_m a_{jm} s_m$$

Since each irreducible representation appears in the decomposition of  $\mathbb{C}G$ , we consider the representation  $\varphi$  associated to  $\chi$  as a map  $\varphi : \mathbb{C}G \rightarrow \mathbb{C}G$ . Then,  $\varphi(s_i)$  is equal to some  $\lambda_i \in Z(\mathbb{C}G)$ . Let  $A = (a_{jm})$  and  $\lambda = (\lambda_m)$ .

$$A\lambda = \lambda_i \lambda$$

As an eigenvalue of an integer matrix,  $\lambda_i$  is integral over  $\mathbb{Z}$ . So, on one hand since  $\varphi(s_i)$  is a diagonal matrix,

$$\chi(s_i) = \text{Tr}(\varphi(s_i)) = \lambda_i \chi(1)$$

and on the other,

$$\chi(s_i) = \sum_{g \sim g_i} \chi(g) = |G : C_G(g_i)| \chi(g_i)$$

□

**Lemma 6.2.** *The dimension of an irreducible representation divides the order of the group.*

*Proof.* It is clear that  $|G|/\chi(1)$  is a rational number. We want to show that it is an algebraic integer, and then use the fact that the only rational numbers that are algebraic integers are the integers. Since  $\langle \chi, \chi \rangle = 1$ ,

$$\frac{|G|}{\chi(1)} \langle \chi, \chi \rangle = \sum_g \frac{1}{\chi(1)} \chi(g) \chi(g^{-1}) = \sum_{i=1}^k \frac{|G| : C_G(g_i)|}{\chi(1)} \chi(g_i) \chi(g_i^{-1})$$

$\chi(g)$  is the sum of some roots of unity, so the expression on the right is an algebraic integer. □

**Lemma 6.3.** *If  $\gcd(|G : C_G(g)|, \chi(1)) = 1$ , then  $\chi(g) = 0$  or  $|\chi(g)| = \chi(1)$ .*

*Proof.* Write  $\chi(g) = \epsilon_1 + \cdots + \epsilon_d$  as a sum of  $r$ th roots of unity, where  $r$  is the order of  $g$  (by Lemma 5.18). Let  $K$  be a splitting field over  $\mathbb{Q}$  for the  $n$ th roots of unity, where  $n = |G|$ . We can write

$$1 = u\chi(1) + v|G : C_G(g)|; \quad u, v \in \mathbb{Z}$$

Then,

$$\frac{\chi(g)}{\chi(1)} = u\chi(g) + v|G : C_G(g)| \frac{\chi(g)}{\chi(1)}$$

This is a linear combination of algebraic integers, so

$$\text{Nm}_{K/\mathbb{Q}}\left(\chi(g)/\chi(1)\right) = \prod_{\sigma \in \text{Gal}(K/\mathbb{Q})} \sigma\left(\chi(g)/\chi(1)\right) \in \mathbb{Z}$$

However, as  $\chi(g)$  is a sum of  $d$  roots of unity, and  $\chi(1) = d$ ,

$$\left| \sigma\left(\chi(g)/\chi(1)\right) \right| \leq 1 \implies \text{Nm}_{K/\mathbb{Q}}\left(\chi(g)/\chi(1)\right) \in \{-1, 0, 1\}$$

If the norm is 0, then  $\chi(g) = 0$ , and if it is  $\pm 1$ , then  $|\chi(g)| = \chi(1)$ . □

**Lemma 6.4.** *If the conjugacy class of some element  $g \neq 1$  has size a prime power, then either  $G$  is not simple or  $G$  has prime order.*

*Proof.* Recall the notation  $Cl(g)$  for its conjugacy class, and that  $|Cl(g)| = |G : C_G(g)|$ . If  $|Cl(g)| = 1$ , then  $G$  is abelian and the lemma holds. Suppose  $G$  is not abelian. If  $|Cl(g)| = 1$ , then  $Z(G)$  is nontrivial, so  $G$  is not simple. So we may assume that  $|Cl(g)| = p^e$ , for  $e > 0$ . We want to show that there is an irreducible character  $\chi$  such that  $\gcd(\chi, p) = 1$  and  $|\chi(g)| = \chi(1)$ . Suppose for every such character,  $\chi(g) = 0$  by the previous lemma. By the second orthogonality relation, since 1 and  $g$  are not conjugate,

$$0 = \sum_{\chi} \overline{\chi(1)} \chi(g) = 1 + \sum_{p \mid \chi(1)} \chi(1) \chi(g)$$



Rearranging,

$$-1/p = \sum_{p \mid \chi(1)} \chi(1)\chi(g)/p$$

The above expression must be an algebraic integer, but  $-1/p$  is not, a contradiction. So choose  $\chi$  such that  $|\chi(g)| = \chi(1)$  and  $\gcd(p, \chi(1)) = 1$ . If the kernel of the corresponding representation  $\varphi$  is nontrivial, then  $G$  has a normal subgroup – suppose it is faithful. Then  $G \cong \varphi(G)$ . Since  $|\chi(g)| = \chi(1)$ , and  $\chi(g)$  is the sum of  $\chi(1)$  roots of unity, there is a basis in which  $\varphi_g$  is a scalar matrix. In this case,  $Z(G) \cong Z(\varphi(G))$  is nontrivial.  $\square$

**Proposition 6.5.** *There is no simple group of order  $p^a q^b$ .*

*Proof.* Each nonidentity class must have size divisible by  $pq$ , so  $|G| = 1 + kpq$ , but this is nonsense.  $\square$

Burnside's theorem now follows easily by induction on  $|G|$ . By Proposition 6.5,  $G$  has a nontrivial normal subgroup  $N$ , and  $N$  and  $G/N$  are solvable by induction.

## 6.2 THE FROBENIUS KERNEL

Now we will prove that the Frobenius kernel of a Frobenius group is a normal subgroup, which is a fact we used in Theorem 4.16. We will formulate an entirely group-theoretic statement, and magically use representation theory to prove it. We say a permutation group  $G \leq S_\Omega$  is a *Frobenius group* if it is transitive, not regular, and every nonidentity  $g \in G$  has at most one fixed point.

**Definition 6.6.** The *Frobenius kernel*  $K$  of a Frobenius group  $G$  is

$$K = \{g \in G : g \text{ has no fixed points}\} \cup \{1\}$$

By Burnside's lemma,

$$1 = \frac{1}{|G|} \sum_{g \in G} \text{fix}(g) = \frac{1}{|G|} \left( \sum_{g \notin K} 1 + |\Omega| \right) = \frac{|G| - |K| + |\Omega|}{|G|}$$

In other words,

$$|K| = |\Omega|$$

Of course, having named  $K$  a kernel, we would like it to be a normal subgroup of  $G$ . Clearly,  $1 \in K$ , and if  $k$  has no fixed points, neither does  $k^{-1}$ . Similarly, if  $k \in K$  and  $g \in G$ , then  $g^{-1}kg$  also has no fixed points. Surprisingly, the trükkös part of the proof is to show that  $K$  is in fact a *subgroup*: that it is closed under the group operation.

**Lemma 6.7.** *The following are equivalent.*

1.  $G$  is a Frobenius group.
2. There is a nonidentity proper subgroup  $H \leq G$  such that  $\forall g \in G \setminus H, g^{-1}Hg \cap H = 1$ .

*Proof.* The action of  $G$  on the cosets of a stabiliser by conjugation is equivalent to the action of  $G$  on  $\Omega$ . So if  $G$  is a Frobenius group, set  $H = G_\omega$ . Conversely, if  $H$  is such a subgroup,  $G$  is a Frobenius group acting on the cosets of  $H$ .  $\square$

**Corollary 6.8.** *If  $H = G_\omega$  is such a subgroup, then*

$$K = G \setminus \left( \bigcup_g g^{-1}Hg \right) \cup \{1\}$$

**Theorem 6.9.** *The Frobenius kernel is a normal subgroup of  $G$ .*

*Proof.* We will construct  $K$  as the kernel of some homomorphism, by using the alternative characterisation of a Frobenius group. Let  $H$  be a nontrivial subgroup of  $G$  as in the lemma.

**Step (1).** If  $h_1, h_2 \in H$  are conjugate in  $G$ , then they are conjugate in  $H$ .

If

$$h_1 = g^{-1}h_2g \in gHg^{-1} \cap H$$

then  $g \in H$ .

**Step (2).** If  $f$  is a class function on  $H$ , the extension  $\tilde{f}$  to  $G$  defined by

$$\tilde{f}(x) = \begin{cases} f(h), & \text{if } x \text{ is conjugate to } h \\ f(1), & \text{otherwise} \end{cases}$$

is a class function on  $G$ .

Since conjugacy is an equivalence relation, we only need to check that this is well-defined, i.e if  $x$  is conjugate to both  $h_1$  and  $h_2$  in  $G$ , then  $h_1$  is conjugate to  $h_2$  in  $H$ , but this was proved in step 1.

**Step (3).**  $\tilde{f} : \mathbb{C}G \rightarrow \mathbb{C}$  is a ring homomorphism that preserves complex conjugation.

This is more of an observation than a statement requiring proof.

**Step (4).** If  $f$  is a class function on  $H$ , and  $t$  a class function on  $G$ , then

$$\langle \tilde{f}, t \rangle_G = \langle f, t_H \rangle_H + f(1)(\langle 1_G, t \rangle_G - \langle 1_H, t_H \rangle_H)$$

This formula is linear in  $f$ , and every class function on  $H$  can be expressed as a linear combination of  $1_H$  and a class function such that  $f(1) = 0$ . So it suffices to check it for these two types of functions.

If  $f = 1_H$ , then  $\tilde{f} = 1_G$ , so

$$\langle 1_G, t \rangle_G = \frac{1}{|G|} \sum_{g \in G} t(g) = \frac{1}{|G|} \sum_{i=1}^n \sum_{x \in g^{-1}Hg_i} t(x) = \frac{1}{|H|} \sum_{x \in H} t(x) = \langle 1_H, t_H \rangle_H$$

where  $g_1, \dots, g_n$  form a system of coset representatives for  $G/H$ .

Now suppose  $f(1) = 0$ .

$$\frac{1}{|G|} \sum_{g \in G} \tilde{f}(g) \overline{t(g)} = \frac{1}{|G|} \sum_{i=1}^n \sum_{x \in g_i^{-1} H g_i} \tilde{f}(x) \overline{t(x)} = \frac{1}{|H|} \sum_{x \in H} \tilde{f}(x) \overline{t(x)} = \langle f, t_H \rangle_H$$

**Step (5).** The map  $f \rightarrow \tilde{f}$  is an isometry, i.e.

$$\langle f_1, f_2 \rangle_H = \langle \tilde{f}_1, \tilde{f}_2 \rangle_G$$

$$\langle \tilde{f}_1, \tilde{f}_2 \rangle_G \langle \tilde{f}_1 \overline{\tilde{f}_2}, 1_G \rangle_G = \langle f_1 \overline{f_2}, 1_H \rangle_H = \langle f_1, f_2 \rangle_H$$

**Step (6).** If  $f$  is a character of  $H$  and  $t$  is a character of  $G$ , then  $\langle \tilde{f}, t \rangle_G$  is an integer.

Now,  $t_H$  is a character of  $H$ , so from step 4,

$$\langle \tilde{f}, t \rangle_G = \langle f, t_H \rangle_H + f(1) (\langle 1_G, t \rangle_G - \langle 1_H, t_H \rangle_H) \in \mathbb{Z}$$

**Step (7).** If  $\chi$  is an irreducible character of  $H$ , then  $\tilde{\chi}$  is an irreducible character of  $G$ .

$\tilde{\chi}$  is an irreducible character of  $G$  if and only if  $\tilde{\chi}(1) > 0$  and  $\langle \tilde{\chi}, \tilde{\chi} \rangle_G = 1$ . Suppose  $\chi \neq 1_H$ , so  $\tilde{\chi} \neq 1_G$ , then by step 5

$$\langle \tilde{\chi}, \tilde{\chi} \rangle_G = \langle \chi, \chi \rangle_H = 1$$

**Step (8).** The Frobenius kernel is the kernel of the regular representation of  $H$  extended to  $G$ .

Let  $\rho$  be the character of the regular representation of  $H$ ; we claim that the kernel of the representation associated to  $\tilde{\rho}$  is the Frobenius kernel.

$$\tilde{\rho}(x) = \rho(1) \text{ if } x \text{ is not conjugate to any element of } H, \text{ i.e. } x \in K$$

and  $\tilde{\rho}(x) = 0$  otherwise. □

### 6.3 NILPOTENT GROUPS ARE MONOMIAL

**Definition 6.10.**  $\chi \in \text{Irr}(G)$  is *monomial* if there is some  $H \leq G$  and  $\lambda \in \text{Irr}(H)$  so that  $\chi = \lambda^G$  and  $\lambda(1) = 1$ .

We say an irreducible representation is monomial if the corresponding character is. An *M-group* is one for which every irreducible representation is monomial.

**Theorem 6.11.** *Every nilpotent group is an M-group.*

*Proof.* Let  $G$  be a nilpotent group and  $\chi \in \text{Irr}(G)$ . Let  $H$  be a minimal subgroup of  $G$  so that for some  $\psi \in \text{Irr}(H)$ ,  $\chi = \psi^G$ . Then  $\psi$  is a faithful primitive character of  $\bar{H} = H/\ker(\psi)$ . (A primitive character is one that cannot be induced from a proper subgroup.) Since  $\bar{H}$  is nilpotent, it has an abelian normal subgroup  $A$ . By Clifford's theorem,  $\psi_A = e \sum_{i=1}^t \nu_i$ , where the  $\nu_i$  are some irreducible characters of  $A$ . And  $\psi = \nu^{\bar{H}}$  induced from the inertia subgroup. But  $\psi$  is primitive and faithful on  $\bar{H}$ , so  $t = 1$ , and  $\psi_A = e\nu$  for some  $\nu \in \text{Irr}(A)$ :  $\nu$  is *linear* (the corresponding representation is one-dimensional). Thus  $A \subset Z(\psi) = Z(\bar{H})$ . But every nilpotent group has a normal self-centralizing subgroup, so  $\bar{H}$  must itself be abelian. So  $\psi$  is a linear character and this completes the proof.  $\square$

#### 6.4 THE ORDER OF A FINITE SIMPLE GROUP

In this section we will take a baby step towards the classification of finite simple groups. We say an *involution* in a group is an element of order 2. Our main goal will be to show that

**Theorem 6.12.** *If  $G$  is a finite simple group with an involution  $i$  such that  $C_G(i) \cong D_4$ , then  $|G| = 168$  or  $|G| = 360$ .*

We will achieve this using characters. Let us begin by defining the *symmetric* and *alternating* parts of a character  $\chi$ . Suppose  $\chi$  corresponds to a representation of  $G$  on  $V$ . We can associate a representation on the space  $V \otimes V$ , which is defined as follows. Let  $v_1, \dots, v_d$  be a basis of  $V$ . A corresponding basis of  $V \otimes V$  is given by the *elementary tensors*

$$v_i \otimes v_j : \quad i, j = 1, \dots, d$$

A “typical” element of  $V \otimes V$  has the form

$$\sum_{i,j} a_i b_j (v_i \otimes v_j)$$

The representation of  $G$  is extended as

$$\varphi_g(v_i \otimes v_j) = \varphi_g v_i \otimes \varphi_g v_j$$

This is then extended linearly as a representation of  $G$  on  $V \otimes V$ , so the corresponding action of  $\mathbb{C}G$  on  $V \otimes V$  as a  $\mathbb{C}G$ -module is given by

$$\left( \sum_g \alpha_g g \right) v = \sum_g \alpha_g \varphi_g(v)$$

*Vigyázz.* Of course, given representations of  $G$  on  $V$  and  $W$ , we can consider the  $\mathbb{C}G$ -module  $V \otimes W$  defined analogously. It is not obvious, but it is easy to show, that  $V \otimes W$  is unique (up to isomorphism) independent of the choice of bases for  $V$  and  $W$ . In the theory of rings and modules, it is not typically true that if  $V$  and  $W$  are  $R$ -modules, then  $V \otimes W$  is an  $R$ -module with  $r(v \otimes w) = rv \otimes rw$ . For this reason, it is not necessary that for any  $\alpha \in \mathbb{C}G$ ,  $\alpha(v_i \otimes v_j) = \alpha v_i \otimes \alpha v_j$ .

In the more general setting of  $V \otimes W$ , we have that

**Theorem 6.13.** *If  $V$  and  $W$  are  $\mathbb{C}G$ -modules with corresponding characters  $\chi$  and  $\psi$ , then  $V \otimes W$  has character  $\chi\psi$ , independent of the choice of basis.*

*Proof.* This follows from the fact that for any two matrices  $A \in GL(V)$  and  $B \in GL(W)$ ,  $\text{Tr}(A \otimes B) = \text{Tr}(A)\text{Tr}(B)$ , but this can also be proven directly. <sup>14</sup>  $\square$

Now, we can decompose the space  $W = V \otimes V$  into *symmetric* and *alternating* parts as follows. Define a linear map  $*$  :  $W \rightarrow W$  on the basis

$$(v_i \otimes v_j)^* = v_j \otimes v_i$$

Define

$$W_S = \{w \in W : w^* = w\} \quad W_A = \{w \in W : w^* = -w\}$$

It is clear that these are subspaces of  $W$ ,  $W_S \cap W_A = 0$ , and since for all  $w \in W$ ,  $w + w^* \in W_S$  and  $w - w^* \in W_A$ , the decomposition

$$w = \frac{w + w^*}{2} + \frac{w - w^*}{2}$$

tells us that  $W = W_S \oplus W_A$ . Their respective bases are given by

$$W_S = \langle (v_i \otimes v_j) + (v_j \otimes v_i) : i \leq j \rangle \quad W_A = \langle (v_i \otimes v_j) - (v_j \otimes v_i) : i < j \rangle$$

Finally, we want to see that  $W_S$  and  $W_A$  are  $\mathbb{C}G$ -modules. We claim that  $(\varphi_g w)^* = \varphi_g(w^*)$ . It suffices to check this on the basis of elementary tensors,

$$(\varphi_g v_i \otimes \varphi_g v_j)^* = \varphi_g v_j \otimes \varphi_g v_i$$

As a result, any character  $\chi$  induces a character  $\chi^2$  on  $W$ , which decomposes into symmetric and antisymmetric parts

$$\chi^2 = \chi_S + \chi_A$$

We are interested in the *class function*

$$\chi^{(2)}(g) = \chi(g^2)$$

**Proposition 6.14.**

$$\chi^{(2)} = \chi_S - \chi_A$$

---

<sup>14</sup>The matrix Kronecker product of  $A \in GL(m, \mathbb{C})$  and  $B \in GL(n, \mathbb{C})$ ,  $A \otimes B$ , is obtained by taking the  $(mn) \times (mn)$  block matrix

$$\begin{bmatrix} a_{11}B & \dots & a_{m1}B \\ & \ddots & \\ a_{m1}B & \dots & a_{mm}B \end{bmatrix}$$

*Proof.* Let us compute  $\chi_A$ . Suppose

$$\varphi_g v_i = \sum_k a_{ik} v_k$$

Then,

$$\varphi_g(v_i \otimes v_j - v_j \otimes v_i) = \sum_{k,l} (a_{ik} a_{jl} - a_{jk} a_{il}) v_k \otimes v_l = \sum_{k < l} (a_{ik} a_{jl} - a_{jk} a_{il}) (v_k \otimes v_l - v_l \otimes v_k)$$

So,

$$\chi_A(g) = \sum_{i < j} a_{ii} a_{jj} - a_{ji} a_{ij}$$

This tells us that

$$2\chi_A(g) = \sum_{i \neq j} a_{ii} a_{jj} - \sum_{i \neq j} a_{ji} a_{ij} = \left( \sum_i a_{ii} \right) \left( \sum_j a_{jj} \right) - \sum_{i,j} a_{ij} a_{ji} = \text{Tr}(\varphi_g^2) - \text{Tr}(\varphi_g)^2 = \chi(g)^2 - \chi(g^2)$$

Using the fact that  $\chi^2 = \chi_S + \chi_A$ , we obtain the desired identity.  $\square$

For the rest of this section,  $\chi$  denotes an irreducible character unless stated otherwise. Define the *Frobenius-Schur indicator* of  $\chi$  by

$$\nu(G) = \frac{1}{|G|} \sum_g \chi(g^2)$$

We say  $\chi$  is *real-valued* if  $\chi(g) \in \mathbb{R}$  for all  $g \in G$ , and *complex-valued* otherwise.

**Proposition 6.15.** *If  $\chi$  is real-valued,  $\nu(\chi) = \pm 1$ , and  $\nu(\chi) = 0$  otherwise.*

*Proof.* Let  $1_G$  denote the trivial character. From the previous proposition,

$$\nu(\chi) = \frac{1}{|G|} \sum_g \chi^{(2)}(g) = \langle \chi_S - \chi_A, 1_G \rangle = \langle \chi^2, 1_G \rangle - 2\langle \chi_A, 1_G \rangle = \langle \chi, \bar{\chi} \rangle - 2\langle \chi_A, 1_G \rangle$$

If  $\chi$  is not real-valued, then  $\langle \chi^2, 1_G \rangle = 0$ . Since  $\chi_A$  is a constituent of  $\chi^2$ , and the inner product of characters is always a nonnegative integer,  $\langle \chi_A, 1_G \rangle = 0$  and  $\nu(\chi) = 0$ . If  $\chi$  is real-valued, then  $\langle \chi^2, 1_G \rangle = \langle \chi, \bar{\chi} \rangle = 1$ . Then  $\langle \chi_A, 1_G \rangle = 0$  or  $1$ , so  $\nu(\chi) = \pm 1$ .  $\square$

Define

$$\gamma(g) = \left| \{x \in G : x^2 = g\} \right|$$

Clearly  $\gamma$  is a class function on  $G$ .

**Lemma 6.16.**

$$\gamma(g) = \sum_{\chi \in \text{Irr}(G)} \nu(\chi) \chi(g)$$

*Proof.* We need to show that writing  $\gamma$  as a sum of irreducible characters, the coefficient of  $\gamma - \langle \gamma, \chi \rangle -$  is  $\nu(\chi)$ .

$$\langle \gamma, \chi \rangle = \frac{1}{|G|} \sum_{g \in G} \gamma(g) \overline{\chi(g)} = \frac{1}{|G|} \sum_g \sum_{x^2=g} \overline{\chi(x^2)} = \frac{1}{|G|} \sum_{x \in G} \chi(x^2) = \nu(\chi)$$

□

Let  $t$  denote the number of involutions of  $G$  (we do not count the identity). Clearly  $\gamma(1) = 1 + t$ .

**Corollary 6.17.**

$$t \leq \sum_{\chi \neq 1_G \in \text{Irr}(G)} \chi(1)$$

**Lemma 6.18.** *There is a non-identity conjugacy class with at most  $\left((|G| - 1)/t\right)^2$  elements.*

*Proof.* Let  $m$  be the number of non-identity conjugacy classes, and let  $d_1, \dots, d_m$  be the degrees of the nontrivial irreducible characters of  $G$ . By the previous lemma,

$$t^2/m^2 \leq \left(\sum_{i=1}^m d_i\right)^2/m^2 \leq \sum_{i=1}^m d_i^2/m = \frac{|G| - 1}{m}$$

Multiplying both sides of the inequality by  $|G| - 1$  and rearranging,

$$\frac{|G| - 1}{m} \leq \left(\frac{|G| - 1}{t}\right)^2$$

The left-hand side is the expected size of a non-identity conjugacy class, so there is a class with at most the required number of elements. □

Finally,

**Theorem 6.19** (Brauer-Fowler). *If  $G$  is a finite simple group with an involution  $i$ , then*

$$|G| \leq (|C_G(i)|^2)!$$

*Proof.* Every element of  $C_G(i)$  is an involution so  $|C_G(i)| \leq t$ . Since  $G$  is simple, the action of  $G$  on the conjugacy class of size  $\leq \left((|G| - 1)/t\right)^2$  is faithful and  $G$  embeds in the corresponding symmetric group.

$$|G| \leq \left(\frac{|G| - 1}{t}\right)^2!$$

□

### 6.5 REPRESENTATIONS OF $S_n$

Before we determine all irreducible representations of  $S_n$ , let us look at a “natural” example.  $S_n$  acts by permutation on the  $k$ -element sets of  $[n]$ ; this corresponds to a representation  $\phi_k$  of  $S_n$  over an  $\binom{n}{k}$ -dimensional space. Let  $\pi_k$  be the corresponding character, and set  $\chi_k = \pi_k - \pi_{k-1}$ , for  $1 \leq k \leq n/2$ . We would like to show that  $\chi_k$  is an irreducible character.

$$\langle \pi_j, \pi_k \rangle = \frac{1}{n!} \sum_{g \in S_n} \pi_j(g) \pi_k(g)$$

Since  $\phi_k(g)$  is a permutation matrix, there is a 1 on the diagonal exactly when the corresponding  $k$ -set is fixed by  $G$ . In particular,  $\pi_j \pi_k$  is the character of the action of  $S_n$  on the pairs of sets  $(X, Y) : |X| = j, |Y| = k$ , and this counts the number of fixed points. So  $\langle \pi_j, \pi_k \rangle$  counts the average number of fixed points, but this is the number of orbits of the action, which is  $1 + \min(j, k)$ <sup>15</sup>.

$$\langle \chi_k, \chi_k \rangle = \langle \pi_k, \pi_k \rangle - 2\langle \pi_k, \pi_{k-1} \rangle + \langle \pi_{k-1}, \pi_{k-1} \rangle = 1$$

And

$$\chi_k(1) = \binom{n}{k} - \binom{n}{k-1} > 0$$

so  $\chi_k$  is an irreducible character.

To determine *all* irreducible representations of  $S_n$ , we turn to combinatorics. A *partition* of the integer  $n$  into  $k$  parts is a  $k$ -tuple  $\lambda = (\lambda_1, \dots, \lambda_k)$  such that each  $\lambda_i \geq 1$ , and  $\lambda_1 + \dots + \lambda_k = n$ . What does this have to do with representations of  $S_n$ ? Two elements of  $S_n$  are conjugate if and only if they have the same *cycle type* – they can be (uniquely) written as a product of  $k$  disjoint cycles with sizes  $\lambda_1 \geq \dots \geq \lambda_k \geq 1$ ,  $\lambda_1 + \dots + \lambda_k = n$ . This immediately establishes that the number of partitions of  $n$  is equal to number of conjugacy classes of  $S_n$ , or the number of irreducible representations.

To make this correspondence explicit, we will consider the *Young diagram* of a partition  $\lambda$ . This is a table of boxes, where the  $i$ th row has  $\lambda_i$  boxes.

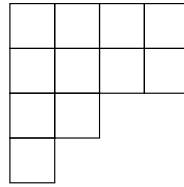


Figure 1: The Young diagram corresponding to the partition  $(4, 4, 2, 1)$  of 11.

Given a Young diagram, we define the corresponding *Young tableau* by filling in the boxes with the integers  $1, \dots, n$  in some order. We say two tableaux are *(row-)equivalent* if their underlying Young diagrams are the same, and one can be obtained by permuting the elements within a row or column of the other.

<sup>15</sup>The size of the intersection  $X \cap Y$  is invariant in each orbit.

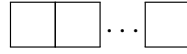


1	5	9	4
3	11	6	10
2	8		
7			

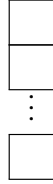
4	5	9	1
3	6	10	11
8	2		
7			

Figure 2: Two equivalent Young tableaux.

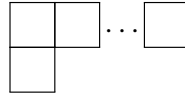
A *tabloid* is an equivalence class of tableaux. For a fixed Young diagram  $\lambda$ , let  $M^\lambda$  be the vector space whose basis is the set of  $\lambda$ -tabloids. The action of  $S_n$  on the tabloids yields a representation of  $S_n$  over  $M^\lambda$ .



The *trivial partition*  $n = n$  yields the trivial representation of  $S_n$ , as any two tableaux are equivalent.



The partition  $\lambda = (1, 1, \dots, 1)$  yields the regular representation  $\mathbb{C}S_n$ , as no two tableaux are equivalent.



Let  $\lambda = (n-1, 1)$ . Let  $t_i$  be the tabloid with  $i$  in the second row, for  $1 \leq i \neq n$ . Each permutation  $g \in S_n$  sends  $t_i$  to  $t_{g(i)}$ , so  $M^\lambda$  is the permutation representation  $\mathbb{C}S_n$ .

Unfortunately,  $M^\lambda$  does not always give us an irreducible representation. We will look at the *Specht module*  $S^\lambda$ , generated by the set of *polytabloids*. Given a Young tableau  $T$ , let  $R(T)$  denote the subgroup of permutations of  $S_n$  that permute the elements within each row, and  $C(T)$  the subgroup of permutations that permute the elements within each column. That is, the tabloid corresponding to  $T$  is the equivalence class  $\{r \cdot T : r \in R(T)\}$ . The *polytabloid* is

$$e_T = \sum_{g \in C(T)} \sigma(g) \cdot g[T]$$

where  $\sigma : S_n \rightarrow \{\pm 1\}$  is the sign homomorphism, and  $[T]$  the tabloid corresponding to  $T$ . The submodule  $S^\lambda \leq M^\lambda$  generated by the polytabloids of  $\lambda$  is called the *Specht module*. An easy lemma to check is that

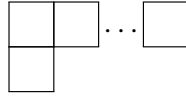
**Lemma 6.20.**

$$g \cdot e_T = e_{g \cdot T}$$

Let us look at the Specht module of the earlier examples.



For any tableaux  $T$  and  $U$ , clearly  $C(T) = C(U)$ , but  $e_T = e_U$  if and only if  $U$  can be obtained from  $T$  by an even permutation. Since  $g(e_T) = e_{(gT)} = \sigma(g)e_T$ ,  $S^\lambda$  is the one-dimensional sign representation of  $S_n$ .



Again, if  $T$  is a tableau with  $i$  in the second row, its polytabloid is of the form  $\{t_i\} - \{t_j\}$ , for some  $j \neq i$ . So,

$$S^\lambda = \{c_1\{t_1\} + \cdots + c_n\{t_n\} : c_1 + \cdots + c_n = 0\}$$

This is the called *standard representation* of  $S_n$ .

Let us formalise all this. Given a Young diagram  $\lambda$  with a corresponding tableau  $T$ , define

$$r(T) = \sum_{g \in R(T)} g; \quad c(T) = \sum_{g \in C(T)} \sigma(g) \cdot g$$

and

$$h(T) = r(T)c(T)$$

We will show that the left ideal generated by  $h(T)$  in  $\mathbb{C}S_n$  is a simple  $\mathbb{C}S_n$ -module. Another easy lemma:

**Lemma 6.21.**

$$h(gT) = g^{-1}h(T)g$$

Given two Young diagrams  $\alpha = (\alpha_1, \dots, \alpha_k)$  and  $\beta = (\beta_1, \dots, \beta_l)$ , we say  $\alpha \geq \beta$  if  $(\alpha_1, \dots, \alpha_k)$  is *lexicographically bigger* than  $(\beta_1, \dots, \beta_l)$ <sup>16</sup>.

**Lemma 6.22.** *Let  $\alpha$  and  $\beta$  be Young diagrams with tableaux  $T$  and  $U$  respectively. Then, either (a) there exists a transposition  $t \in R(T) \cap C(U)$ , or (b)  $\alpha = \beta$  and  $U = ab(T)$  for some  $a \in R(T)$  and  $b \in C(T)$ .*

*Proof.* Part (a) says that there are two elements  $i, j$  that are in the same row in  $T$  and the same column as  $U$ . Let  $\alpha = (\alpha_1, \dots, \alpha_k)$ , and  $\beta = (\beta_1, \dots, \beta_l)$ . If  $\alpha_1 > \beta_1$ , then there are two elements in the first row of  $T$  that are in the same column of  $U$ . Proceeding in this manner, if at some point  $\alpha_i > \beta_i$ , (a) holds. Otherwise,  $\alpha = \beta$ . If (a) still does not hold, then every pair of elements in the same column of  $U$  are in different rows of  $T$ . So

<sup>16</sup>For the least  $i$  where  $\alpha_i \neq \beta_i$ ,  $\alpha_i > \beta_i$ .

there is some  $d \in C(U)$  and  $a \in R(T)$  such that  $dU = aT$ , or  $U = d^{-1}a(T)$ . Since  $C(U) = d^{-1}aC(T)a^{-1}d$ , for some  $b \in C(T)$ ,

$$\begin{aligned} d &= d^{-1}aba^{-1}d \\ ab^{-1} &= d^{-1}a \\ U &= ab^{-1}T \end{aligned}$$

□

**Corollary 6.23.** *Suppose  $\alpha \neq \beta$  and  $T$  and  $U$  are corresponding Young tableaux. Then,*

(a)  $h(U)h(T) = 0$ , and

(b) for all  $a \in R(T), b \in C(T)$ ,

$$a \cdot h(T) \cdot \sigma(b)b = h(T)$$

(c) If  $x \in \mathbb{C}S_n$  satisfies that for all  $a \in R(T), b \in C(T)$ ,

$$a \cdot x \cdot \sigma(b)b = x$$

then  $x \in \mathbb{C}h(T)$ .

*Proof.* (a) From our proof of the previous lemma, we see that if  $\alpha \neq \beta$ , assuming without loss of generality that  $\alpha \geq \beta$ , there is a transposition  $T \in R(T) \cap C(U)$ .

$$h(U)h(T) = r(U)c(U)r(T)c(T) = r(U)c(U)t^2r(T)c(T) = -r(U)c(U)r(T)c(T) = 0$$

Here we use the observation that if  $t \in C(U)$ , then  $c(U)t = \sigma(t)c(U)$ .

(b) follows by a similar observation. If  $a \in R(T)$ , then  $ar(T) = r(T)$ , so

$$a \cdot h(T) \cdot \sigma(b)b = a \cdot r(T)c(T) \cdot \sigma(b)b = r(T)c(T) = h(T)$$

(c) Write  $x = \sum_g c_g \cdot g$ . We want to show that when  $g = ab$  for some  $a \in R(T), b \in C(T)$ , then  $c_g = c_x$  is some constant, and  $c_g = 0$  otherwise. For  $a \in R(T), b \in C(T)$ ,

$$a \cdot x \cdot \sigma(b)b = \sum_g \sigma(b)c_g agb = x$$

In other words,  $c_{agb} = \sigma(b)c_g$ . Or,  $c_{ab} = \sigma(b)c_1$ , where  $c_1 = c_x$  will be our desired constant. If  $g$  is not of the form  $ab$ , let  $U = gT$ . By Lemma 6.22, there is a transposition  $t \in R(T) \cap C(U) = R(T) \cap gC(T)g^{-1}$ . Let  $a = t$ , and  $b = g^{-1}tg$ , so  $\sigma(b) = \sigma(t) = -1$ , and

$$\sigma(t)c_g = c_{agb} = c_{tgg^{-1}tg} = c_g$$

so  $c_g = 0$ .

□

**Corollary 6.24.**  $h(T)^2 = \mu_T h(T)$  for some  $\mu(T) \in \mathbb{Z}$ .

*Proof.* It is easy to check that  $h(T)^2$  satisfies condition (c) of the previous lemma. It is not so easy to check that  $\mu_T$  is an integer, and we will not need it for our purposes, so we will simply state this useful fact.  $\square$

We are finally ready to prove that the left ideals  $h(T)$  generated by the Young diagrams are pairwise nonisomorphic simple modules of  $\mathbb{C}S_n$ .

**Theorem 6.25.** *Let  $\lambda$  be a Young diagram, and  $T$  a corresponding Young tableau.*

- (1) *The left ideal  $L(T) = \mathbb{C}S_n h(T)$  is a simple  $\mathbb{C}S_n$ -module.*
- (2) *If  $\mu$  is a Young diagram different from  $\lambda$  and  $U$  a corresponding Young tableau, then  $L(T)$  and  $L(U)$  are nonisomorphic.*

*Proof.* (1) Suppose  $L \leq L(T)$  is a  $\mathbb{C}S_n$ -submodule, i.e. a left ideal of  $\mathbb{C}S_n$ . For any  $x \in \mathbb{C}S_n$ ,  $h(T)xh(T)$  satisfies part (c) of Corollary 6.23, so  $h(T)L(T) \leq \mathbb{C}h(T)$ . Then,

$$h(T)L \leq h(T)L(T) \leq \mathbb{C}h(T)$$

$\mathbb{C}h(T)$  is a one-dimensional vector space over  $\mathbb{C}$ , so either  $h(T)L = 0$  or  $h(T)L = \mathbb{C}h(T)$ . In the first case,

$$L^2 \leq L(T)L = \mathbb{C}S_n \cdot h(T)L = 0$$

However, it is easy to check that this implies  $L = 0$ . In the second case,

$$L(T) = (\mathbb{C}S_n)\mathbb{C}h(T) = \mathbb{C}S_n h(T)L \leq L$$

so  $L = L(T)$ .

(2) If  $L(T)$  and  $L(U)$  are isomorphic as  $\mathbb{C}$ -modules, then their annihilators are equal. However, for  $x = \sum_g c_g \cdot g \in \mathbb{C}S_n$ ,

$$h(U)xh(T) = \sum_g c_g (h(U)gh(T)) = \sum_g c_g g(h(g^{-1}U)h(T)) = 0$$

By (a) of Lemma 6.22,  $h(g^{-1}U)h(T) = 0$  for all  $g \in S_n$ . This shows that  $h(U) \cdot L(T) = 0$ , but  $h(U) \cdot L(U) = \mathbb{C}h(U)$  is nonzero, so the modules are not isomorphic.  $\square$

## 6.6 $SU(2)$ AND $SO(3)$

To warm up for the next section, we will study the (infinite) groups  $SU(2)$  and  $SO(3)$ , and their representations. The 3-dimensional *special orthogonal group*  $SO(3)$  is the 3-dimensional rotation group, given by

$$SO(3) = \{X \in GL(3, \mathbb{R}) : XX^T = 1, \det(X) = 1\}.$$

Each matrix of  $SO(3)$  is a rotation of  $\mathbb{R}^3$  about a line through the origin. In particular, each matrix of  $SO(3)$  is uniquely identified by the pair of antipodal points  $P, -P$  where its axis intersects the unit sphere, and the angle of rotation it induces in each plane orthogonal to the axis.

We may define the 3-dimensional *orthogonal* group,

$$O(3) = \{X \in GL(3, \mathbb{R}) : XX^T = 1\}$$

In particular, for  $X \in O(3)$ ,  $\det(X) = \pm 1$ , so  $SO(3)$  is a normal subgroup of index 2 in  $O(3)$ . As a subset of  $\mathbb{R}^{3 \times 3}$ ,  $O(3)$  inherits the subspace topology, making it a compact set. It has two connected components –  $SO(3)$  and  $-SO(3)$ . Before we get into representation theory, let us classify the finite subgroups of  $SO(3)$ .

### Finite subgroups of $SO(3)$

Let  $G \leq SO(3)$  be a finite *nontrivial* subgroup, so it contains rotations with only finitely many axes. Let  $P_1, \dots, P_n$  be the points where they intersect the sphere.  $G$  induces an action on the points of the sphere, and each stabilizer  $G_{P_i}$  is a finite cyclic group of some order  $n_i$ . Assume without loss of generality that  $G$  induces  $k$  orbits on  $O$ , and that  $P_1, \dots, P_k$  are the representatives of these  $k$  orbits. Of course, each point in the orbit of  $P_i$  has the same order of stabilizer, and the number of points in the orbit is  $|G|/n_i$ . Further, every nonidentity element of  $G$  fixes exactly 2 points, and  $\sum_{g \in G} |\text{Fix}(g)| = \sum_{i=1}^n n_i$ , so

$$\begin{aligned} 2(|G| - 1) &= \sum_{i=1}^n (n_i - 1) \\ &= \sum_{i=1}^k \left( |G| - \frac{|G|}{n_i} \right) \\ \implies 2 - \frac{2}{|G|} &= \sum_{i=1}^k \left( 1 - \frac{1}{n_i} \right) \end{aligned}$$

Recall that  $|G| > 1$  and  $n_i > 1$  for each  $i = 1, \dots, k$ . The left-hand side then takes values in the interval  $[1, 2)$ , while each term on the right is at least  $1/2$ , so  $k \in \{2, 3\}$ . If  $k = 2$ , then  $n_1 = n_2 = |G|$ , so  $G$  is a cyclic group generated by a rotation of order  $n$ . If  $k = 3$ , suppose  $n_1 \leq n_2 \leq n_3$ . For the right-hand side to lie in  $[1, 2)$ , we must have  $n_1 = 2$ , and  $n_2 \in \{2, 3\}$ . If  $n_2 = 2$ , then  $n_3 = |G|/2$ . In other words,  $G$  has an element of order 2 that maps a point  $P$  to  $-P$  (corresponding to  $n_3$ ), while  $n_1$  and  $n_2$  correspond to antipodal points  $P_1$  and  $-P_1$  so that  $G$  has a rotation of order  $|G|/2$  about the corresponding axis. This is all a complicated way to say that  $G$  is a dihedral group  $D_{n_3}$ .

We will not go into too much detail for the remaining three cases. If  $(n_1, n_2, n_3) = (2, 3, 3)$ , then  $|G| = 12$ , and the three orbits have sizes 4, 4, and 6. One of the orbits of size 4 can be chosen as the vertices of a regular tetrahedron, so that  $G \cong A_4$ , its orientation-preserving symmetry group. If  $(n_1, n_2, n_3) = (2, 3, 4)$ , then  $|G| = 24$ , and the three orbits have sizes 6, 8, and 12. The orbit of size 8 can be chosen as the vertices of a cube, so that  $G \cong S_4$ , its orientation-preserving symmetry group. Finally, if  $(n_1, n_2, n_3) = (2, 3, 5)$ , then  $|G| = 60$ , and the three orbits have sizes 12, 20, and 30. The orbit of size 20 can be chosen as the vertices of a regular dodecahedron, so that  $G \cong A_5$ , its orientation-preserving symmetry group.

**$SU(2)$  and its representations**

It is now time to define  $SU(2)$ , the *special unitary group*. This is a *complex* matrix group:

$$SU(2) = \left\{ A \in GL(2, \mathbb{C}) : AA^* = 1 \right\}$$

where  $A^*$  denotes the adjoint of  $A$ . It is easy to check that  $A \in SU(2)$  if and only if it is of the form

$$A = \begin{bmatrix} \alpha & -\bar{\beta} \\ \beta & \bar{\alpha} \end{bmatrix} : |\alpha|^2 + |\beta|^2 = 1.$$

First, we will define a homomorphism of  $SU(2)$  onto  $SO(3)$  by defining an action of  $SU(2)$  on a 3-dimensional real vector space. Define

$$V = \left\{ \begin{bmatrix} x & y + iz \\ y - iz & -x \end{bmatrix} : x, y, z \in \mathbb{R} \right\}$$

Alternatively,  $V$  is characterised by

$$V = \left\{ X \in GL(2, \mathbb{C}) : X^* = X, \text{Tr}(X) = 0 \right\}.$$

Let  $SU(2)$  act on  $V$  by

$$A : X \rightarrow A^* X A; \quad A \in SU(2), X \in V.$$

Clearly,  $\text{Tr}(A^* X A) = 0$ , and  $(A^* X A)^* = A^* X A$ , so this is a well-defined action. Consider the image of  $SU(2)$  in  $GL(3, \mathbb{R})$  under this homomorphism. Since the action preserves the determinant of matrices in  $V$ , i.e. the length of vectors in  $\mathbb{R}^3$ , this image is contained in  $O(3)$ . The image is connected and contains the identity matrix, hence is  $SO(3)$ .

Now, any representation of  $SU(2)$  induces a representation of  $SO(3)$  under this homomorphism, so we will study the irreducible representations of  $SU(2)$ . Let  $n \in \mathbb{N}$ , and let  $V_n$  be the  $\mathbb{C}$ -vector space of homogenous polynomials of degree  $n$ , with basis  $X^n, X^{n-1}Y, \dots, XY^{n-1}, Y^n$ . Define an action of  $SU(2)$  on  $V_n$  by

$$A f \left( \begin{bmatrix} X \\ Y \end{bmatrix} \right) = f \left( A^* \begin{bmatrix} X \\ Y \end{bmatrix} \right) : \quad A \in SU(2), f \in V_n.$$

It is not difficult, but tedious, to check that this is a well-defined representation of  $SU(2)$ . It remains to show that this is irreducible. Suppose  $U \leq V_n$  is an invariant subspace for  $SU(2)$ ; in particular, it is an invariant subspace for the diagonal matrices of  $SU(2)$ . Any diagonal matrix with entries  $(e^{i\theta}, e^{-i\theta})$  is mapped to the diagonal matrix  $(e^{-ni\theta}, \dots, e^{ni\theta})$ . These matrices have an orthonormal basis of eigenvectors in  $V_n$ , so  $U$  is a direct sum of these eigenvectors. However, any matrix in  $SU(2)$  which is mapped to a matrix with only nonzero entries will not leave  $U$  invariant.

## 7 INFINITE GROUPS

### 7.1 BURNSIDE GROUPS

The *Burnside problem* was originally posed by William Burnside in 1902: is a finitely generated group in which every element has finite order necessarily a finite group? It is easy to conceive of an infinite group in which every element has finite order – for example, the *quasicyclic* group  $C_p^\infty$ , but this is not finitely generated. It is not so easy to conceive of a *finitely generated* such infinite group, so we will see a construction of one.<sup>17</sup>

#### An infinite 2-generated $p$ -group

The idea is to define the  $p$ -measure of a group, show that every group of nonnegative  $p$ -measure has a proper subgroup of nonnegative  $p$ -measure, and then construct a group of positive  $p$ -measure. Since we inductively obtain an infinite chain of subgroups with nonnegative  $p$ -measure, this group is infinite.

Fix a group  $G$  and a prime  $p$ . Define the  $p$ -height of an element  $g \in G$  by

$$ht_p(g) = \sup\{p^k : x^{p^k} = g \text{ for some } x \in G\}$$

Let  $G = \langle x_0, \dots, x_n \mid w_i : i \in I \rangle$  be a presentation of  $G$ . Define the  $p$ -measure of the presentation

$$m_p(x_0, \dots, x_n \mid w_i) = n - \sum_{i \in I} \frac{1}{ht_p(w_i)}$$

For example,

$$D_4 = \langle x_0, x_1 \mid x_0^4, x_1^2, (x_0 x_1)^2 \rangle$$

and

$$m_2\langle x_0, x_1 \rangle = 1 - 1/4 - 1/2 - 1/2 = -1/4$$

**Lemma 7.1.** *If the  $p$ -measure of a presentation of  $G$  is nonnegative, then  $G$  contains a normal subgroup of index  $p$ .*

*Proof.* Let  $G = \langle x_0, \dots, x_n \mid w_i : i \in I \rangle$  be a presentation of  $G$  with nonnegative  $p$ -measure. Let  $F = \langle x_0, \dots, x_n \rangle$  be a free group, and  $N = \langle w_i : i \in I \rangle$  a normal subgroup, so that  $G = F/N$ . We want to find a proper subgroup  $H$  of  $F$ ,  $N \leq H \triangleleft F$ , so that  $|F : H| = p$ . Choose  $M \triangleleft F$  so that  $F/M$  is a maximal elementary abelian  $p$ -group, so  $|F/M| = p^{n+1}$ . For each  $w_i \in N$ , if  $w_i \notin M$ , then  $w_i$  has no  $p$ th root in  $F$ , i.e.  $ht_p(w_i) = 1$ . Since the  $p$ -measure of the presentation is nonnegative, this holds for at most  $n$   $w_i$ 's.

$$\implies |MN : M| \leq p^n \implies MN \neq F$$

Let  $H$  be a maximal subgroup of  $F$  containing  $MN$ . Since  $F/M$  is abelian,  $H \triangleleft F$ , and since  $H$  is maximal,  $|F : H| = p$ . That is,

$$|F/N : H/N| = |F : H| = p$$

□

<sup>17</sup>The answer to the Burnside problem is no.

Next step: to find a suitable presentation for  $H/N$  that has nonnegative  $p$ -measure. In general, if  $A$  is a group and  $g \in A$ , let  $g^A$  denote the conjugates of  $g$  in  $A$ .

**Lemma 7.2.** *For each  $w_i \in N$ ,*

- (a) *if  $C_F(w_i) \not\leq H$ , then  $w_i^H = w_i^F$ , and*
- (b) *if  $C_F(w_i) \leq H$ , there is some  $\alpha \in F$  such that*

$$F = \bigcup_{j=0}^{p-1} \alpha^j H \implies w_i^F = \bigcup_{j=0}^{p-1} (\alpha^{-j} w_i \alpha^j)^H.$$

*Proof.* The inclusion  $w_i^H \leq w_i^F$  is clear. In case (a),  $C_F(w_i)H = F$  by the maximality of  $H$ , so every  $f \in F$  can be expressed as  $f = c \cdot h$  for  $c \in C_F(w_i)$  and  $h \in H$ . Thus,

$$f^{-1} w_i f = h^{-1} (c^{-1} w_i c) h = h^{-1} w_i h \in w_i^H$$

so  $w_i^H = w_i^F$ .

In case (b), choose  $\alpha$  so that  $F = \bigcup_{j=0}^{p-1} \alpha^j H$ . For  $0 \leq l, k \leq p-1$ , if  $\alpha^{-l} w_i \alpha^l$  and  $\alpha^{-k} w_i \alpha^k$  are conjugate in  $F$ , then  $\alpha^{k-l} \in C_F(w_i) \leq H$ , so  $k = l$ . In other words, every element of  $F$  can be uniquely written as  $\alpha^j h$  for  $0 \leq j \leq p-1$ , and  $h \in H$ , so the result follows.  $\square$

**Lemma 7.3.**  $ht_p(w_i; H) = ht_p(w_i; F)$  or  $ht_p(w_i; F)/p$ .

*Proof.* The inequality  $ht_p(w_i; H) \leq ht_p(w_i; F)$  is clear. Suppose  $w_i$  has a  $p^k$ th root  $u$  in  $F$ ; then  $u^p \in H$ , so  $ht_p(w_i; H) \geq ht_p(w_i; F)/p$ . In particular, every root of  $w_i$  commutes with  $w_i$ , so if  $C_F(w_i) \leq H$ , then  $ht_p(w_i; H) = ht_p(w_i; F)$ .  $\square$

**Corollary 7.4.**  $H/N$  has nonnegative  $p$ -measure.

*Proof.* By Nielsen-Schreier,  $H/N$  has rank  $(n+1-1)|F:H|+1 = np+1$ . We can define a presentation of  $H/N$  with the relations

$$\{w_i : C_F(w_i) \not\leq H\} \bigcup \{\alpha^{-j} w_i \alpha^j : C_F(w_i) \leq H, 0 \leq j \leq p-1\}.$$

The  $p$ -measure of this presentation is given by

$$\begin{aligned} m_p &= np - \sum_i \frac{1}{ht_p(w_i; H)} \\ &= np - p \sum_{C_F(w_i) \leq H} \frac{1}{ht_p(w_i; F)} - \sum_{C_F(w_i) \not\leq H} \frac{1}{ht_p(w_i; F)} \\ &\geq np - p \left( \sum_{C_F(w_i) \leq H} \frac{1}{ht_p(w_i; F)} + \sum_{C_F(w_i) \not\leq H} \frac{1}{ht_p(w_i; F)} \right) \\ &= p \cdot m_p(F/N) \end{aligned}$$

$\square$



As argued earlier, by constructing an infinite chain of nonempty proper subgroups with nonnegative  $p$ -measure, we it follows that

**Theorem 7.5** (Schlage-Puchta). *Any group with nonnegative  $p$ -measure is infinite.*

It only remains to actually construct such a group. Let  $F$  be the free group on 2 generators;  $F = \langle x_0, x_1 \rangle = \{w_i : i \in \mathbb{N}\}$ . Define

$$G = \langle x_0, x_1 \mid w_i^{p^i}, i \in \mathbb{N} \rangle.$$

Clearly,  $G$  is a 2-generated  $p$ -group, and the  $p$ -measure of the presentation is

$$1 - \sum_{i \geq 1} \frac{1}{ht_p(w_i)} \geq 1 - \sum_{i \geq 1} \frac{1}{p^i} = \frac{p-2}{p-1} > 0.$$

### The bounded Burnside problem

Of course, this construction feels a little like cheating; this group has elements of arbitrarily large order. Define the *exponent* of a group  $G$  to be the least positive number  $n$  such that  $g^n = 1$  for all  $g \in G$  (this may be infinite). Now we pose the *bounded Burnside problem*: is a finitely generated group with finite exponent necessarily a finite group?

We may reduce this to a simpler problem. If  $F_r$  denotes the free group of rank  $r$ , then any  $r$ -generated group with exponent  $n$  is isomorphic to a subgroup of  $F_r/F_r^n$ . Define the Burnside group  $B(r, n) = F_r/F_r^n$ , so it suffices to ask whether  $B(r, n)$  is finite. We can immediately make the following observations.

- $B(r, 1) = \{1\}$ .
- $B(1, n) = \mathbb{Z}_n$ , the cyclic group of order  $n$ .
- $B(r, 2) = \oplus_{i=1}^r \mathbb{Z}_2$ . Since every element has order 2, every commutator  $xyx^{-1}y^{-1} = (xy)^2 = 1$ , so  $B(r, 2)$  is abelian and we apply the fundamental theorem of finitely generated abelian groups.

In general,  $B(r, 3)$ ,  $B(r, 4)$ , and  $B(r, 6)$  are known to be finite, while  $B(2, 5)$  remains unknown. The best known result for infinite Burnside groups is that  $B(r, n)$  is infinite for all  $r > 1$  and  $n \geq 8000$ . The finiteness of  $B(r, 3)$  and  $B(r, 4)$  can be proven by elementary but convoluted calculations, so let us see what they are.

**Theorem 7.6.**  *$B(r, 3)$  is finite.*

*Proof.* We proceed by induction, as  $B(1, 3) = \mathbb{Z}_3$ . Let  $H = B(r-1, 3)$ ,  $G = B(r, 3)$ , and choose  $a \in G$  so that  $G = \langle H, a \rangle$ . By induction,  $H$  is finite, and every  $g \in G$  can be written as some product

$$h_0 a^{\epsilon_1} h_1 a^{\epsilon_2} \dots a^{\epsilon_m} h_m : \epsilon_i \in \{\pm 1\}, h_i \in H.$$

Further,

$$(ah)^3 = 1 \implies aha = h^{-1}a^{-1}h^{-1}$$

Whenever  $\epsilon_i = \epsilon_{i+1}$ , we may use this identity to reduce the number of  $a$ 's in our expression. Further, writing  $a^{-1} = a^2$ , we may reduce this further to obtain an expression of the form

$$h_0 a h_1 a^{-1} h_2.$$

It is clear that there are only finitely many such expressions, so  $G$  is finite. We remark that  $|B(r, 3)| = 3^{r + \binom{r}{2} + \binom{r}{3}}$ .  $\square$

The proof that  $B(r, 4)$  is finite involves a similar manipulation of identities, only we do so in a lemma.

**Lemma 7.7.** *If  $G$  has exponent 4, and  $G = \langle H, a \rangle$  where  $H$  is finite and  $a^2 \in H$ , then  $G$  is finite.*

Note that this implies

**Theorem 7.8.**  *$B(r, 4)$  is finite.*

as we inductively apply the lemma to  $\langle x_1 \rangle \leq \langle x_1, x_2^2 \rangle \leq \langle x_1, x_2 \rangle \dots$

*Proof.* Again, since  $a^2 \in H$ , every element of  $G$  can be written as

$$h_0 a h_1 a \dots a h_m : h_i \in H.$$

And,

$$(ah)^4 = 1 \implies aha = h^{-1}a(a^2h^{-1}a^2)ah^{-1}$$

so we may replace each term  $ah_i a$  by this identity. In particular, we would like  $h_{i-1}^{-1} = h_i$  so we may reduce the length of the expression. Consider the expressions obtained by repeated substitution of the identity:

$$\begin{aligned} & h_0 a h_1 a h_2 a h_3 a \dots \\ & h_0 a h_1 h_2^{-1} a (h_2') a h_2^{-1} h_3 \dots \\ & h_0 a h_1 h_2^{-1} h_3^{-1} \dots \end{aligned}$$

If none of these reduce to the identity and  $m > |H|$ , then two of the beginning strings must be equal. As a result,  $h_{i-1}^{-1} = h_i$  for some  $i$ : if  $m > |H|$ , we can reduce the number of terms in this expression, so  $G$  is finite.  $\square$

## 7.2 DIVISIBLE GROUPS

### Direct limits

**Definition 7.9.**  $G$  is a *divisible* group if for every  $g \in G$  and  $n \in \mathbb{N}$ , there exists  $u \in G$  such that  $u^n = g$ .

For example,  $\mathbb{Q}$  under addition is a divisible group. Using a construction involving direct limits and wreath products,

**Theorem 7.10.** *Every group can be embedded in a divisible group.*

What is a direct limit? First, we say  $(I, \leq)$  is a *directed set* if  $\leq$  is a partial order on  $I$ , and for any  $i, j \in I$  there is some  $k \in I$  such that  $i \leq k$  and  $j \leq k$ . That is, any two elements of  $I$  have a common upper bound. A *directed system* of groups is a collection of groups  $(A_i : i \in I)$  indexed by a directed set  $I$  with group homomorphisms  $(f_{ij} : i \leq j \in I)$  such that

- (i)  $f_{ii}$  is the identity, and
- (ii)  $f_{ik} = f_{jk} \circ f_{ij}$  for all  $i \leq j \leq k$ .

Define an equivalence relation  $\sim$  on the disjoint union  $A = \bigsqcup_{i \in I} A_i$  by  $x_i \sim x_j$  for  $x_i \in A_i$  and  $x_j \in A_j$  if for some  $k \geq i, j$ ,  $f_{ik}(x_i) = f_{jk}(x_j)$ . Intuitively, two elements are equivalent if they are “equal” at some point. Define the *direct limit*  $\varinjlim A_i$  as  $A/\sim$ . This induces maps  $\phi_i : A_i \rightarrow \varinjlim A_i$  by sending each element to its equivalence class, and the group operation is defined on  $\varinjlim A_i$  so that the maps  $\phi_i$  are homomorphisms.

For example, given equivalence classes  $[x_i], [x_j] \in \varinjlim A_i$  for  $x_i \in A_i$  and  $x_j \in A_j$ , choose  $k \geq i, j$  and define  $[x_i][x_j] = [f_{ik}(x_i)f_{jk}(x_j)]$ ; any two elements will eventually lie in the same group  $A_k$ . The simplest example of a direct limit of groups is when the  $A_i$  are an increasing chain of groups, i.e.  $I$  is totally ordered,  $A_i \subset A_j$  for  $i \leq j$ , and the direct limit is just the union  $\bigcup_{i \in I} A_i$ . A less simple example is the quasicyclic group  $C_p^\infty$ . For  $i \leq j \in \mathbb{N}$ , define the homomorphism  $f_{ij} : \mathbb{Z}_{p^i} \rightarrow \mathbb{Z}_{p^j}$  as multiplication by  $p^{j-i}$ . This yields a directed system  $\{0\} \rightarrow \mathbb{Z}_p \rightarrow \mathbb{Z}_{p^2} \rightarrow \dots$  whose direct limit is  $C_p^\infty$ . A more intuitive approach is to think of these as the inclusion maps of the  $p^i$ th roots of unity in the  $p^j$ th roots of unity.

*Proof of Theorem 7.10.* For any group  $H$ , consider the wreath product  $H \wr C_m = \prod_{i=1}^m H \rtimes C_m$ .  $H$  embeds in this as the diagonal subgroup  $\prod_{i=1}^m H \rtimes \{1\}$ . Let  $t$  be a generator of  $C_m$ , and  $(h, h, \dots, h; 1) \in \prod_{i=1}^m H \rtimes \{1\}$ . Then,  $(h, 1, \dots, 1; t)^m = (h, h, \dots, h; 1)$ .

Now, let  $a_m$  be the product of the first  $m$  primes. Define  $G_0 = H$ , and recursively  $G_m = G_{m-1} \wr C_{a_m}$ .  $G_{m-1}$  has a canonical embedding in  $G_m$ , so these form a directed system of groups whose direct limit is a divisible group.  $\square$

### Divisible abelian groups

We do not have to work so hard to embed every abelian group in a divisible group. A *free abelian group* with basis a set  $I$  is defined as  $\bigoplus_I \mathbb{Z}$ . Equivalently, this is the quotient  $F(I)/F(I)'$  where  $F(I)'$  is the commutator subgroup of the free group with base  $I$ .

**Theorem 7.11.** *Every abelian group can be embedded in a divisible group.*

*Proof.* We need two observations: (1) quotients and direct sums of divisible groups are divisible, and (2) every abelian group is the quotient of a free abelian group. Given an abelian group  $G$ , we have a set of relations  $R$  such that

$$G \cong \bigoplus_I \mathbb{Z} / R \leq \bigoplus_I \mathbb{Q} / R$$

and the right-hand side is a divisible group.  $\square$

While  $\mathbb{Q}$  is a torsion-free divisible abelian group, the groups  $C_p^\infty$  are torsion divisible abelian groups, and these are essentially the only examples.

**Theorem 7.12.** *Every divisible abelian group is a direct sum of some quasicyclic groups and  $\mathbb{Q}$ , i.e. if  $D$  is a divisible abelian group, then*

$$D \cong \bigoplus_{(I_p)_p} C_p^\infty \bigoplus_I \mathbb{Q}.$$

The proof of the theorem is easy once we are able to reduce to the case when  $D$  contains no nontrivial direct summands.

**Lemma 7.13.** *If  $D$  is a divisible subgroup of an abelian group  $G$ , then there exists  $E \leq G$  such that  $G = D \oplus E$ .*

*Proof.* We write  $G$  additively. First, apply Zorn's lemma to the poset

$$\{E \leq G : E \cap D = \{0\}\}$$

and obtain a maximal subgroup  $E \leq G$  which is “disjoint” from  $D$ . We claim that  $D \oplus E = G$ . If not, choose a nonzero element  $a + (D \oplus E) \in G/(D \oplus E)$ . By the maximality of  $E$ , there exists a least positive integer  $n$  such that

$$n \cdot a + e = d; \quad e \in E, d \in D.$$

Letting  $u \in D$  be an  $n$ th root for  $d$ ,  $n(a - u) = e$ . Since  $a \notin D \oplus E$ ,  $a - u \notin E$ , so  $E + \langle a - u \rangle$  strictly contains  $E$ . However, if it intersects  $E$  nontrivially, since  $n(a - u) \in E$ , there exists a positive integer  $m < n$  such that  $m(a - u) \in D \oplus E$ , so  $ma \in D \oplus E$ , contradicting the minimality of  $n$ .  $\square$

It is even easier to see that any direct summand of a divisible abelian group is divisible. All that remains is to show that any direct summand-free divisible abelian group is either (1) torsion, or (2) torsion-free, and then construct appropriate isomorphisms to  $C_p^\infty$  or  $\mathbb{Q}$ .

### 7.3 INFINITE ABELIAN GROUPS

Thanks to the fundamental theorem of finitely generated abelian groups, we know almost all there is to know about their structure. Infinitely generated abelian groups tend not to be as well-behaved, but if we impose some finite structure *locally*, we can better understand them.

#### Locally cyclic groups

**Definition 7.14.**  $G$  is a *locally cyclic* group if every finitely generated subgroup is cyclic.

It is easy to check that every subgroup and quotient group of a locally cyclic group is locally cyclic. Some nontrivial examples of locally cyclic groups are the quasicyclic groups  $C_p^\infty$ , and the additive group  $\mathbb{Q}$ .

**Proposition 7.15.** *Every locally cyclic group is abelian.*

More generally – and we will not prove this – every locally cyclic group is a *subquotient* of  $\mathbb{Q}$ , i.e. a quotient of a subgroup of  $\mathbb{Q}$ .

A useful tool for studying local properties of groups is the subgroup lattice, which we introduced in subsection 3.5. Locally cyclic groups can be classified by their subgroup lattices. Given a lattice, denote by  $X \vee Y$  the *join* of  $X$  and  $Y$ , and by  $X \wedge Y$  their *meet*.

**Definition 7.16.** A lattice is said to be distributive if one of the following (equivalent) conditions hold.

- (1) For all  $X, Y, Z$ ,  $X \wedge (Y \vee Z) = (X \wedge Y) \vee (X \wedge Z)$ .
- (2) For all  $X, Y, Z$ ,  $(X \wedge Y) \vee (Y \wedge Z) \vee (X \wedge Z) = (X \vee Y) \wedge (Y \vee Z) \wedge (X \vee Z)$ .

**Theorem 7.17 (Ore).**  $G$  is locally cyclic if and only if its subgroup lattice is distributive.

*Proof.* Suppose  $G$  is locally cyclic. We will show that  $G$  satisfies (1). Clearly,  $X \wedge Y$  and  $X \wedge Z$  are contained in  $X \wedge (Y \vee Z)$ , so  $(X \wedge Y) \vee (X \wedge Z) \leq X \wedge (Y \vee Z)$ . For the converse, let  $x \in X \wedge (Y \vee Z)$ .  $x$  is generated by *finite* subgroups  $Y_1 \leq Y$  and  $Z_1 \leq Z$ , and these generate a cyclic group, so  $x \in (X \wedge Y_1) \vee (X \wedge Z_1) \leq (X \wedge Y) \vee (X \wedge Z)$ .

For the converse, we will first show that  $G$  is abelian. Let  $X = \langle x \rangle$ ,  $Y = \langle y \rangle$ , and  $Z = \langle xy \rangle$ . Then, since  $G$  satisfies (2),

$$\begin{aligned} \langle x, y \rangle \cap \langle x, xy \rangle \cap \langle y, xy \rangle &= \langle x, y \rangle \\ \implies \langle x \cap y, x \cap xy, y \cap xy \rangle &= \langle x, y \rangle \end{aligned}$$

The group on the left-hand side is a subgroup of  $\langle xy \rangle$ , so  $x$  and  $y$  must commute. If  $G$  is not locally cyclic, some definition-chasing tells us that there are subgroups  $A \leq B \leq G$  such that  $B/A \cong \mathbb{Z}_p \times \mathbb{Z}_p$  for some prime  $p$ , and this induces a sublattice of  $G$  which is not distributive.  $\square$

### The minimum condition

Now we consider infinite abelian groups where ascending or descending chains of subgroups can only be finite.

**Definition 7.18.** A group  $G$  satisfies the *maximum condition* if every ascending chain of subgroups  $A_1 \leq A_2 \leq \dots$  eventually terminates. That is, there exists  $N \in \mathbb{N}$  such that for all  $n \geq N$ ,  $A_n = A_N$ .

It is easy to see that an infinitely generated group cannot satisfy the maximum condition, and conversely, since we know what the finitely generated abelian groups are,

**Theorem 7.19.** An abelian group  $G$  satisfies the maximum condition if and only if it is finitely generated.

A more interesting property to study for abelian groups is the *minimum condition*.

**Definition 7.20.** A group  $G$  satisfies the *minimum condition* if every descending chain of subgroups  $A_1 \geq A_2 \geq \dots$  eventually terminates. That is, there exists  $N \in \mathbb{N}$  such that for all  $n \geq N$ ,  $A_n = A_N$ .

Now, a characterisation is not so clear. For example, even  $\mathbb{Z}$  does not satisfy the minimum condition. This leads to the easy observation

**Lemma 7.21.** *If  $G$  satisfies the minimum condition, every element of  $G$  has finite order.*

We will need one more lemma about the torsion-part of an abelian group. Let  $G$  be an abelian group, and  $T \leq G$  the subgroup of all elements of  $G$  of finite order. For each prime  $p$ , let  $T_p \leq T$  be the subgroup of all elements with order a power of  $p$ . We call  $T$  the *torsion-part* of  $G$ , and  $T_p$  the  *$p$ -torsion*.

**Lemma 7.22.**

$$T \cong \bigoplus_p T_p.$$

*Vigyázz.* When  $G$  is not abelian, this need not hold. In fact,  $T$  need not even be a subgroup of  $G$ .

**Theorem 7.23.** *An abelian group  $G$  satisfies the minimum condition if and only if it is a finite direct sum of quasicyclic groups and finite cyclic groups.*

*Proof.* It suffices to consider the case when  $G$  is an infinite  $p$ -group for some prime  $p$ . Let  $H$  be a minimal infinite subgroup of  $G$  by the minimum condition. Since  $H$  is a  $p$ -group, for every  $m$  coprime to  $p$ ,  $mH = H$ . If  $pH = H$ , then  $H$  is divisible, so  $H \cong C_p^\infty$ . Otherwise, as a proper subgroup of  $H$ ,  $pH$  is finite. Then  $H_p$ , the set of elements in  $H$  of order  $p$ , is infinite. But this is an infinite-dimensional vector space over  $\mathbb{F}_p$ , hence cannot satisfy the minimum condition.

Finally, it is clear that any group which satisfies the minimum condition cannot contain an infinite direct sum of subgroups.  $\square$

## 7.4 FREE ABELIAN GROUPS

Recall the definition of a free abelian group with base  $I$  as  $\bigoplus_I \mathbb{Z}$ . We call  $|I|$  the *rank* of the group.

**Theorem 7.24.** *Every subgroup of  $\bigoplus_I \mathbb{Z}$  is free of rank at most  $|I|$ .*

*Proof.* Let  $F = \bigoplus_I \mathbb{Z}$  and take a well-ordering  $\leq$  of  $I$ . For  $x \in F$ , define its *leading term*  $l(x)$  as follows. If  $x = n_1 b_1 + \dots + n_k b_k$ , for  $b_1, \dots, b_k \in I$  and  $n_1, \dots, n_k \in \mathbb{Z} \setminus \{0\}$ , assume without loss of generality that  $b_1 \leq \dots \leq b_k$ , and define  $l(x) = n_k b_k$ . Let  $X$  be a subgroup of  $F$ . For each  $b \in I$ , define

$$X_b = \left\{ n \in \mathbb{Z} \setminus \{0\} : \text{for some } x \in X, l(x) = n \right\} \cup \{0\}.$$

Each  $X_b$  is a subgroup of  $\mathbb{Z}$ , so  $X_b = \langle n_b \rangle$  for some  $n_b \in \mathbb{Z}$ . Choose a representative  $x_b \in X$  such that  $l(x_b) = n_b$ . We claim that  $\{x_b : b \in I\}$  is a free generating set for  $X$ .

Clearly, the terms  $x_b$  are independent over  $\mathbb{Z}$ ; no nontrivial finite linear combination  $n_1 x_{b_1} + \dots + n_k x_{b_k}$  is equal to 0. Suppose the set

$$S = \left\{ x \in X : x \notin \bigoplus_{b \in I} \mathbb{Z} x_b \right\}$$

is nonempty. Choose  $x \in S$  whose leading term is  $\leq$ -minimal. Then,  $x = y + n \cdot b$ , where  $l(x) = n \cdot b$ . Since  $\langle n_b \rangle = X_b$ , writing  $x_b = y_b + n_b \cdot b$ , we have that  $n_b$  divides  $n$ .  $x - \frac{n}{n_b} \cdot x_b$  then yields a smaller counterexample, a contradiction.

Finally, it is clear that  $|\{x_b\}| \leq |I|$ . □

### The Baer-Specker group

What about infinite direct products? For example, given any set  $I$ , consider the direct product  $\prod_I \mathbb{Z}_2$ . This has a natural structure as a  $\mathbb{Z}_2$ -vector space, so there exists a basis  $B \subset \prod_I \mathbb{Z}_2$  such that  $\prod_I \mathbb{Z}_2 = \bigoplus_B \mathbb{Z}_2$ . That is, every direct product of  $\mathbb{Z}_2$  is isomorphic to a direct sum. Does the same hold for  $\mathbb{Z}$ ?

Of course, every finite direct product is a finite direct sum. Since every subgroup of a free abelian group is free, it suffices to consider  $\prod_I \mathbb{Z}$  when  $I$  is countable. Call  $B = \prod_I \mathbb{Z}$  the *Baer-Specker group*.

**Theorem 7.25.**  *$\text{Hom}(B, \mathbb{Z})$  is a free abelian group generated by the projections.*

*Proof.* For each  $i \in I$ , we have the projection  $\pi_i : B \rightarrow \mathbb{Z}$  that sends  $(a_j)_{j \in I} \rightarrow a_i$ . Let  $e_i \in B$  be the sequence such that  $e_i(i) = 1$  and  $e_i(j) = 0$  for all  $j \neq i$ .

**Step (1).** There is no  $\phi \in \text{Hom}(B, \mathbb{Z})$  such that  $\phi(e_i) \neq 0$  for all  $i \in I$ .

Suppose such a  $\phi$  exists. Choose a sequence  $(a_n)_{n \in \mathbb{N}} \subset \mathbb{Z} \setminus \{0\}$  such that  $a_{n-1}$  divides  $a_n$ , and  $a_n > 2 \sum_{i < n} a_i \phi(e_i)$ . Then, for each  $N \in \mathbb{N}$ ,

$$\phi((a_n)) = \sum_{n < N} a_n \phi(e_n) + a_N \cdot \phi((b_n)_{n \geq N})$$

for some nonzero sequence  $b$ . So, for each  $N \in \mathbb{N}$

$$|\phi((a_n))| > |a_N / 2|$$

but this is not possible.

**Step (2).** There is no  $\phi \in \text{Hom}(B, \mathbb{Z})$  which is nonzero for infinitely many  $e_i$ .

Let  $S = \{e_i : \phi(e_i) \neq 0\}$ . If  $|S| = |I|$ , any bijection  $f : I \rightarrow S$  induces a homomorphism  $B \rightarrow B$ . Then,  $\phi \circ f \in \text{Hom}(B, \mathbb{Z})$  is nonzero for all  $e_i$ , contradicting step 1.

**Step (3).** If  $\phi(e_i) = 0$  for all  $i$ , then  $\phi = 0$ .

Let  $(a_n)_{n \in \mathbb{N}} \in B$  be arbitrary. For each  $n$ , there exist  $x_n, y_n \in \mathbb{Z}$  such that  $a_n = 2^n x_n + 3^n y_n$ . Then,

$$\phi((2^n x_n)) = 2^N \phi((x_n)_{n \geq N})$$

for any  $N \in \mathbb{N}$ , so  $\phi((2^n x_n)) = 0$ . Similarly,  $\phi((3^n y_n)) = 0$ , so  $\phi((a_n)) = 0$

Putting this all together,

**Step (4).** The projections form a basis for  $\text{Hom}(B, \mathbb{Z})$ .

□

**Corollary 7.26.** *The Baer-Specker group is not free.*

*Proof.* Some set theory tells us that  $|B|$  is uncountable. Suppose  $B \cong \bigoplus_S \mathbb{Z}$  for some set  $S$ . If  $S$  is countable, then  $\bigoplus_S \mathbb{Z}$  is countable, so this is not possible. If  $S$  is uncountable, then for each  $s \in S$ , we have a projection  $\pi_s : \bigoplus_S \mathbb{Z} \rightarrow \mathbb{Z}$ . So,  $\text{Hom}(\bigoplus_S \mathbb{Z}, \mathbb{Z})$  is uncountable, but  $\text{Hom}(B, \mathbb{Z})$  is countable, again a contradiction. □



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