

Operating Systems

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SR III		
S.No.	Course Outcomes	Cognitive Level
1	Explain the fundamentals of operating systems like process, memory, storage, file system, security and protection.	Understand
2	Illustrate various operating System services, interfaces and system calls.	Apply
3	Demonstrate critics of process management and IPC.	Apply
4	Implement page replacement algorithms, memory management techniques and deadlock issues.	Apply
5	Illustrate architecture of file systems and I/O systems for mass storage structures.	Apply
6 ^{12/12}	Utilize the methods of reoperating assistem security and Assistant Professor	Apply ²



UNIT 5: Security and Protection

Protection: Goals, Principles and domain, Access Matrix, Implementation of Access Matrix and Access control, Revocation of Access Rights.

Security: The Security problem, Program threats, System and Network threats, Cryptography as a security tool.

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Unit 5 - Security and Protection

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Chapter 1Protection

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Goals of Protection

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Goals of Protection

- In one protection model, computer consists of a collection of objects, hardware or software
- Each object has a unique name and can be accessed through a well-defined set of operations
- Protection problem ensure that each object is accessed correctly and only by those processes that are allowed to do so



Principles of Protection

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Principles of Protection

- Guiding principle principle of least privilege
 - Programs, users and systems should be given just enough privileges to perform their tasks
 - Limits damage if entity has a bug, gets abused
 - Can be static (during life of system, during life of process)
 - Or dynamic (changed by process as needed) domain switching, privilege escalation
 - "Need to know" a similar concept regarding access to data



Principles of Protection (Cont.)

- Must consider "grain" aspect
 - Rough-grained privilege management easier, simpler, but least privilege now done in large chunks
 - For example, traditional Unix processes either have abilities of the associated user, or of root
 - Fine-grained management more complex, more overhead, but more protective
 - File ACL lists, RBAC
- Domain can be user, process, procedure



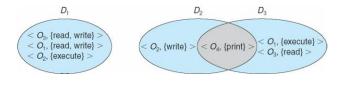
Domain of Protection

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Domain Structure

- Access-right = <object-name, rights-set>
 where rights-set is a subset of all valid operations
 that can be performed on the object
- Domain = set of access-rights





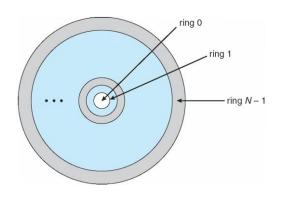
Domain Implementation (UNIX)

- Domain = user-id
- Domain switch accomplished via file system
 - Each file has associated with it a domain bit (setuid bit)
 - When file is executed and setuid = on, then user-id is set to owner of the file being executed
 - · When execution completes user-id is reset
- Domain switch accomplished via passwords
 - su command temporarily switches to another user's domain when other domain's password provided
- · Domain switching via commands
 - sudo command prefix executes specified command in another domain (if original domain has privilege or password given)



Domain Implementation (MULTICS)

- Let D_i and D_i be any two domain rings
- If $j < I \Rightarrow D_i \subseteq D_i$





Multics Benefits and Limits

- Ring / hierarchical structure provided more than the basic kernel / user or root / normal user design
- Fairly complex -> more overhead
- But does not allow strict need-to-know
 - Object accessible in D_i but not in D_i , then j must be < i
 - But then every segment accessible in D_i also accessible in D_i



Access Matrix

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Access Matrix

- View protection as a matrix (access matrix)
- · Rows represent domains
- Columns represent objects
- Access(i, j) is the set of operations that a process executing in Domain; can invoke on Object;

object domain	F ₁	F ₂	F ₃	printer
D ₁	read		read	
D ₂				print
<i>D</i> ₃		read	execute	
D ₄	read write		read write	



Use of Access Matrix

- If a process in Domain D_i tries to do "op" on object O_j, then "op" must be in the access matrix
- User who creates object can define access column for that object
- Can be expanded to dynamic protection
 - Operations to add, delete access rights
 - Special access rights:
 - owner of O_i
 - copy op from O_i to O_i (denoted by "*")
 - control D_i can modify D_i access rights
 - transfer switch from domain D_i to D_i
 - Copy and Owner applicable to an object
 - Control applicable to domain object



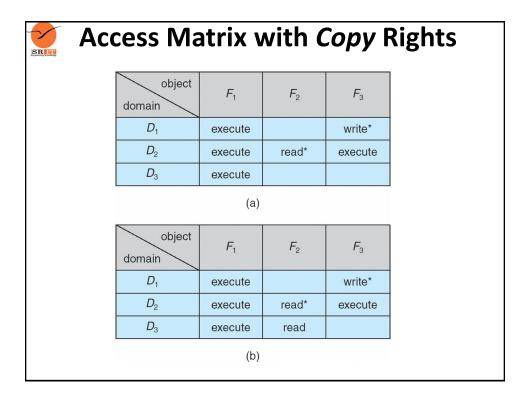
Use of Access Matrix (Cont.)

- Access matrix design separates mechanism from policy
 - Mechanism
 - Operating system provides access-matrix + rules
 - If ensures that the matrix is only manipulated by authorized agents and that rules are strictly enforced
 - Policy
 - User dictates policy
 - Who can access what object and in what mode
- But doesn't solve the general confinement problem



Access Matrix of Figure A with Domains as Objects

object domain	F ₁	F ₂	F ₃	laser printer	<i>D</i> ₁	D ₂	D ₃	D_4
D_1	read		read			switch		
<i>D</i> ₂				print			switch	switch
D ₃		read	execute					
D_4	read write		read write		switch			



Acces	s Mat	trix W	/ith <i>C</i>	Owne	r Rights
do	object	F ₁	F ₂	F ₃	
	D_1	owner execute		write	
	D ₂		read* owner	read* owner write	
	<i>D</i> ₃	execute			
		(a)			
do	object	F ₁	F ₂	F ₃	
	<i>D</i> ₁	owner execute		write	
	D ₂		owner read* write*	read* owner write	
	D ₃		write	write	



Modified Access Matrix of Figure B

object domain	F ₁	F ₂	F ₃	laser printer	<i>D</i> ₁	<i>D</i> ₂	<i>D</i> ₃	D_4
<i>D</i> ₁	read		read			switch		
D ₂				print			switch	switch control
<i>D</i> ₃		read	execute					
D_4	write		write		switch			



Implementation of Access Matrix

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Implementation of Access Matrix

- Generally, a sparse matrix
- Option 1 Global table
 - Store ordered triples <domain, object,
 rights-set> in table
 - A requested operation M on object O_j within domain D_i -> search table for $< D_i$, O_i , R_k >
 - with $M \in R_k$
 - But table could be large -> won't fit in main memory
 - Difficult to group objects (consider an object that all domains can read)



Implementation of Access Matrix (Cont.)

- Option 2 Access lists for objects
 - Each column implemented as an access list for one object
 - Resulting per-object list consists of ordered pairs <domain, rights-set> defining all domains with non-empty set of access rights for the object
 - Easily extended to contain default set -> If M ∈ default set, also allow access



Implementation of Access Matrix (Cont.)

Each column = Access-control list for one object
 Defines who can perform what operation

Domain 1 = Read, Write Domain 2 = Read Domain 3 = Read

 Each Row = Capability List (like a key)
 For each domain, what operations allowed on what objects

> Object F1 – Read Object F4 – Read, Write, Execute Object F5 – Read, Write, Delete, Copy



Implementation of Access Matrix (Cont.)

- Option 3 Capability list for domains
 - Instead of object-based, list is domain based
 - Capability list for domain is list of objects together with operations allows on them
 - Object represented by its name or address, called a capability
 - Execute operation M on object O_j, process requests operation and specifies capability as parameter
 - Possession of capability means access is allowed
 - Capability list associated with domain but never directly accessible by domain
 - Rather, protected object, maintained by OS and accessed indirectly
 - Like a "secure pointer"
 - Idea can be extended up to applications



Implementation of Access Matrix (Cont.)

- Option 4 Lock-key
 - Compromise between access lists and capability lists
 - Each object has list of unique bit patterns, called locks
 - Each domain as list of unique bit patterns called keys
 - Process in a domain can only access object if domain has key that matches one of the locks



Comparison of Implementations

- Many trade-offs to consider
 - Global table is simple, but can be large
 - Access lists correspond to needs of users
 - Determining set of access rights for domain non-localized so difficult
 - · Every access to an object must be checked
 - Many objects and access rights -> slow
 - Capability lists useful for localizing information for a given process
 - But revocation capabilities can be inefficient
 - Lock-key effective and flexible, keys can be passed freely from domain to domain, easy revocation



Comparison of Implementations (Cont.)

- Most systems use combination of access lists and capabilities
 - First access to an object -> access list searched
 - If allowed, capability created and attached to process
 - Additional accesses need not be checked
 - After last access, capability destroyed
 - Consider file system with ACLs per file



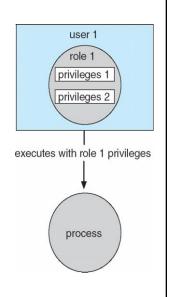
Access Control

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Access Control

- Protection can be applied to nonfile resources
- Oracle Solaris 10 provides rolebased access control (RBAC) to implement least privilege
 - Privilege is right to execute system call or use an option within a system call
 - Can be assigned to processes
 - Users assigned *roles* granting access to privileges and programs
 - Enable role via password to gain its privileges
 - Similar to access matrix





Revocation of Access Rights

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Revocation of Access Rights

- Various options to remove the access right of a domain to an object
 - Immediate vs. delayed
 - Selective vs. general
 - Partial vs. total
 - Temporary vs. permanent
- Access List Delete access rights from access list
 - Simple search access list and remove entry
 - Immediate, general or selective, total or partial, permanent or temporary



Revocation of Access Rights (Cont.)

- Capability List Scheme required to locate capability in the system before capability can be revoked
 - Reacquisition periodic delete, with require and denial if revoked
 - Back-pointers set of pointers from each object to all capabilities of that object (Multics)
 - Indirection capability points to global table entry which points to object – delete entry from global table, not selective (CAL)
 - Keys unique bits associated with capability, generated when capability created
 - Master key associated with object, key matches master key for access
 - Revocation create new master key
 - Policy decision of who can create and modify keys object owner or others?



END of Chapter - 1

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Chapter 2 Security

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The Security Problem

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The Security Problem

- We say that System is secure if resources used and accessed as intended under all circumstances
 - Unachievable
- Intruders (crackers) attempt to breach security
- Threat is potential security violation
- Attack is attempt to breach security
- Attack can be accidental or malicious
- Easier to protect against accidental than malicious misuse



Security Violation Categories

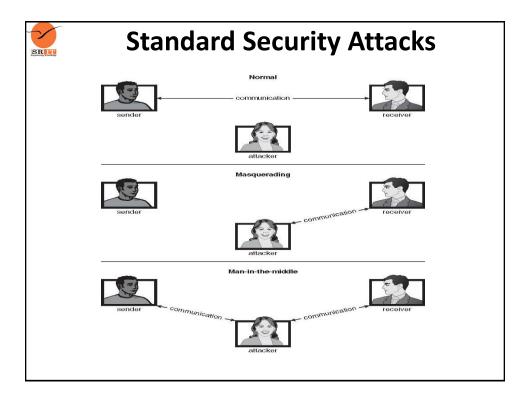
The following list includes several forms of accidental and malicious security violations

- Breach of confidentiality
 - Unauthorized reading of data
- Breach of integrity
 - Unauthorized modification of data
- Breach of availability
 - Unauthorized destruction of data
- Theft of service
 - Unauthorized use of resources
- Denial of service (DOS)
 - Prevention of legitimate use



Security Violation Methods

- Masquerading (breach authentication)
 - Pretending to be an authorized user to escalate privileges
- Replay attack
 - As is or with message modification
- Man-in-the-middle attack
 - Intruder sits in data flow, masquerading as sender to receiver and vice versa
- Session hijacking
 - Intercept an already-established session to bypass authentication





Security Measure Levels

- Impossible to have absolute security, but make cost to perpetrator sufficiently high to deter most intruders
- Security measures must occur or consider at four levels to be effective:
 - Physical
 - Data centers, servers, connected terminals
 - Human
 - Avoid social engineering, phishing, dumpster diving
 - Operating System
 - Protection mechanisms, debugging
 - Network
 - Intercepted communications, interruption, DOS
- Security is as weak as the weakest link in the chain
- But can too much security be a problem?



Program Threats

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Program Threats

- · Many variations, many names
- Trojan Horse
 - Code segment that misuses its environment
 - Exploits mechanisms for allowing programs written by users to be executed by other users
 - Spyware, pop-up browser windows, covert channels
 - Up to 80% of spam delivered by spyware-infected systems
- Trap Door
 - Specific user identifier or password that circumvents normal security procedures
 - Could be included in a compiler
 - How to detect them?



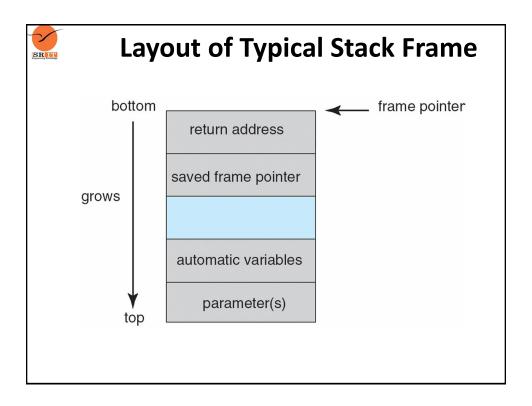
Program Threats (Cont.)

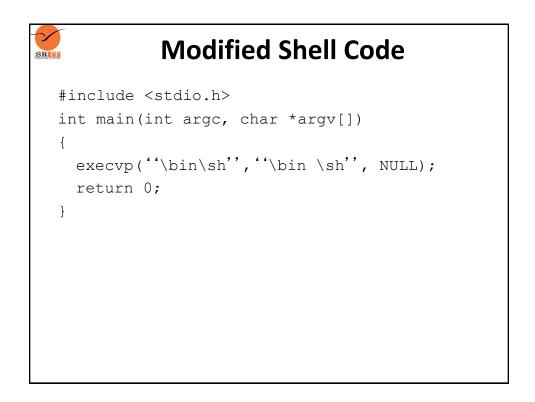
- Logic Bomb
 - Program that initiates a security incident under certain circumstances
- Stack and Buffer Overflow
 - Exploits a bug in a program (overflow either the stack or memory buffers)
 - Failure to check bounds on inputs, arguments
 - Write past arguments on the stack into the return address on stack
 - When routine returns from call, returns to hacked address
 - · Pointed to code loaded onto stack that executes malicious code
 - Unauthorized user or privilege escalation

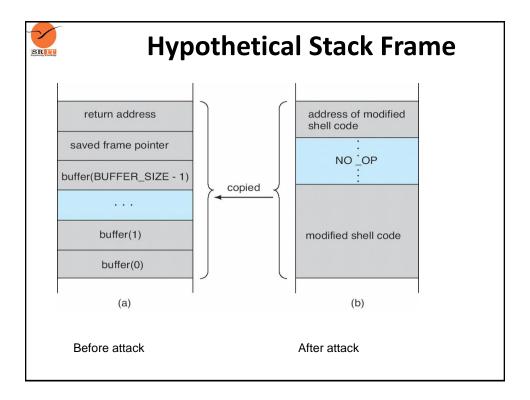


C Program with Buffer-overflow Condition

```
#include <stdio.h>
#define BUFFERSIZE 256
int main(int argc, char *argv[])
{
  char buffer[BUFFERSIZE];
  if (argc < 2)
    return -1;
  else {
    strcpy(buffer,argv[1]);
    return 0;
  }
}</pre>
```









Great Programming Required?

- For the first step of determining the bug, and second step of writing exploit code, yes
- Script kiddies can run pre-written exploit code to attack a given system
- Attack code can get a shell with the processes' owner's permissions
 - Or open a network port, delete files, download a program, etc
- Depending on bug, attack can be executed across a network using allowed connections, bypassing firewalls
- Buffer overflow can be disabled by disabling stack execution or adding bit to page table to indicate "non-executable" state
 - Available in SPARC and x86
 - But still have security exploits



Program Threats (Cont.)

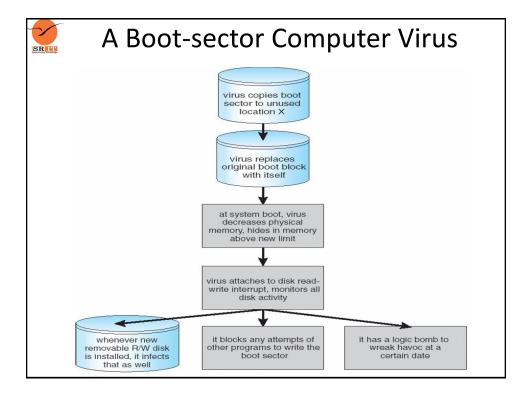
- Viruses
 - Code fragment embedded in legitimate program
 - Self-replicating, designed to infect other computers
 - Very specific to CPU architecture, operating system, applications
 - Usually borne via email or as a macro
 - Visual Basic Macro to reformat hard drive

```
Sub AutoOpen()
Dim oFS
   Set oFS = CreateObject(''Scripting.FileSystemObject'')
   vs = Shell(''c:command.com /k format c:'',vbHide)
End Sub
```



Program Threats (Cont.)

- Virus dropper inserts virus onto the system
- Many categories of viruses, literally many thousands of viruses
 - File / parasitic
 - Boot / memory
 - Macro
 - Source code
 - Polymorphic to avoid having a virus signature
 - Encrypted
 - Stealth
 - Tunneling
 - Multipartite
 - Armored





The Threat Continues

- · Attacks still common, still occurring
- Attacks moved over time from science experiments to tools of organized crime
 - Targeting specific companies
 - Creating botnets to use as tool for spam and DDOS delivery
 - Keystroke logger to grab passwords, credit card numbers
- Why is Windows the target for most attacks?
 - Most common
 - Everyone is an administrator
 - · Licensing required?
 - Monoculture considered harmful



System and Network threats

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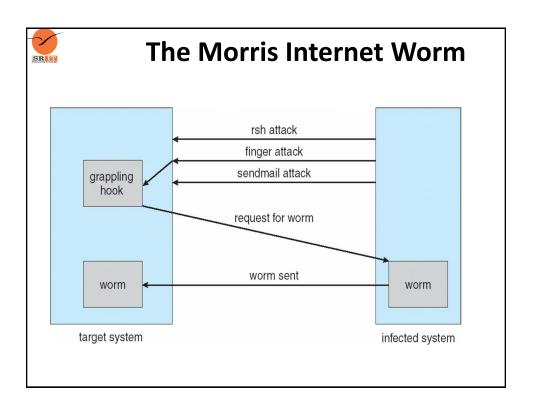
System and Network Threats

- · Some systems "open" rather than secure by default
 - Reduce attack surface
 - But harder to use, more knowledge needed to administer
- Network threats harder to detect, prevent
 - Protection systems weaker
 - More difficult to have a shared secret on which to base access
 - No physical limits once system attached to internet
 - Or on network with system attached to internet
 - Even determining location of connecting system difficult
 - · IP address is only knowledge



System and Network Threats (Cont.)

- Worms use spawn mechanism; standalone program
- Internet worm
 - Exploited UNIX networking features (remote access) and bugs in finger and sendmail programs
 - Exploited trust-relationship mechanism used by rsh to access friendly systems without use of password
 - Grappling hook program uploaded main worm program
 - 99 lines of C code
 - Hooked system then uploaded main code, tried to attack connected systems
 - Also tried to break into other users accounts on local system via password guessing
 - If target system already infected, abort, except for every 7th time





System and Network Threats (Cont.)

Port scanning

- Automated attempt to connect to a range of ports on one or a range of IP addresses
- Detection of answering service protocol
- Detection of OS and version running on system
- nmap scans all ports in a given IP range for a response
- nessus has a database of protocols and bugs (and exploits) to apply against a system
- Frequently launched from zombie systems
 - To decrease trace-ability



System and Network Threats (Cont.)

Denial of Service

- Overload the targeted computer preventing it from doing any useful work
- Distributed denial-of-service (DDOS) come from multiple sites at once
- Consider the start of the IP-connection handshake (SYN)
 - · How many started-connections can the OS handle?
- Consider traffic to a web site
 - How can you tell the difference between being a target and being really popular?
- Accidental CS students writing bad fork() code
- Purposeful extortion, punishment



Cryptography as a Security Tool

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Cryptography as a Security Tool

- Broadest security tool available
 - Internal to a given computer, source and destination of messages can be known and protected
 - OS creates, manages, protects process IDs, communication ports
 - Source and destination of messages on network cannot be trusted without cryptography
 - Local network IP address?
 - Consider unauthorized host added
 - WAN / Internet how to establish authenticity
 - Not via IP address



Cryptography

- Means to constrain potential senders (sources) and / or receivers (destinations) of messages
 - Based on secrets (keys)
 - Enables
 - · Confirmation of source
 - · Receipt only by certain destination
 - Trust relationship between sender and receiver



Encryption

- Constrains the set of possible receivers of a message
- Encryption algorithm consists of
 - Set K of keys
 - Set M of Messages
 - Set C of ciphertexts (encrypted messages)
 - − A function $E: K \rightarrow (M \rightarrow C)$. That is, for each $k \in K$, E_k is a function for generating ciphertexts from messages
 - Both E and E_k for any k should be efficiently computable functions
 - − A function $D: K \to (C \to M)$. That is, for each $k \in K$, D_k is a function for generating messages from ciphertexts
 - Both D and D_k for any k should be efficiently computable functions



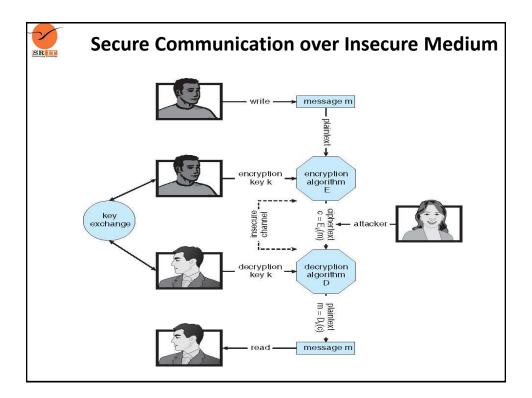
Encryption (Cont.)

- An encryption algorithm must provide this essential property: Given a ciphertext c ∈ C, a computer can compute m such that E_k(m) = c only if it possesses k
 - Thus, a computer holding k can decrypt ciphertexts to the plaintexts used to produce them, but a computer not holding k cannot decrypt ciphertexts
 - Since ciphertexts are generally exposed (for example, sent on the network), it is important that it be infeasible to derive k from the ciphertexts



Symmetric Encryption

- Same key used to encrypt and decrypt
 - Therefore k must be kept secret
- DES was most commonly used symmetric block-encryption algorithm (created by US Govt)
 - Encrypts a block of data at a time
 - Keys too short so now considered insecure
- Triple-DES considered more secure
 - Algorithm used 3 times using 2 or 3 keys
 - For example $c = E_{k3}(D_{k2}(E_{k1}(m)))$
- 2001 NIST adopted new block cipher Advanced Encryption Standard (AES)
 - Keys of 128, 192, or 256 bits, works on 128 bit blocks
- RC4 is most common symmetric stream cipher, but known to have vulnerabilities
 - Encrypts/decrypts a stream of bytes (i.e., wireless transmission)
 - Key is a input to pseudo-random-bit generator
 - Generates an infinite keystream





Asymmetric Encryption

- Public-key encryption based on each user having two keys:
 - public key published key used to encrypt data
 - private key key known only to individual user used to decrypt data
- Must be an encryption scheme that can be made public without making it easy to figure out the decryption scheme
 - Most common is **RSA** block cipher
 - Efficient algorithm for testing whether or not a number is prime
 - No efficient algorithm is know for finding the prime factors of a number



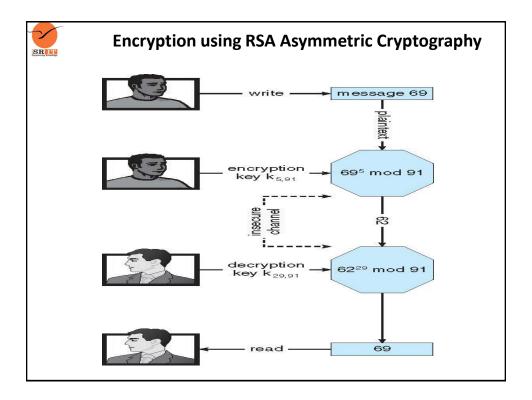
Asymmetric Encryption (Cont.)

- Formally, it is computationally infeasible to derive $k_{d,N}$ from $k_{e,N}$, and so k_e need not be kept secret and can be widely disseminated
 - $-k_{\rho}$ is the public key
 - $-k_d$ is the private key
 - -N is the product of two large, randomly chosen prime numbers p and q (for example, p and q are 512 bits each)
 - Encryption algorithm is $E_{ke,N}(m) = m^{k_e} \mod N$, where k_e satisfies $k_e k_d \mod (p-1)(q-1) = 1$
 - The decryption algorithm is then $D_{kd,N}(c) = c^{k_d} \mod N$



Asymmetric Encryption Example

- For example. make p = 7 and q = 13
- We then calculate N = 7*13 = 91 and (p-1)(q-1) = 72
- We next select k_{ρ} relatively prime to 72 and< 72, yielding 5
- Finally, we calculate k_d such that $k_e k_d$ mod 72 = 1, yielding 29
- We how have our keys
 - Public key, $k_{e.N} = 5,91$
 - Private key, $k_{d,N} = 29, 91$
- Encrypting the message 69 with the public key results in the cyphertext 62
- Cyphertext can be decoded with the private key
 - Public key can be distributed in cleartext to anyone who wants to communicate with holder of public key





Cryptography (Cont.)

- Note symmetric cryptography based on transformations, asymmetric based on mathematical functions
 - Asymmetric much more compute intensive
 - Typically not used for bulk data encryption



Authentication

- Constraining set of potential senders of a message
 - Complementary to encryption
 - Also can prove message unmodified
- Algorithm components
 - A set K of keys
 - A set M of messages
 - A set A of authenticators
 - A function $S: K \rightarrow (M \rightarrow A)$
 - That is, for each k ∈ K, S_k is a function for generating authenticators from messages
 - Both S and S_k for any k should be efficiently computable functions
 - − A function $V: K \rightarrow (M \times A \rightarrow \{\text{true, false}\})$. That is, for each $k \in K$, V_k is a function for verifying authenticators on messages
 - Both V and V_k for any k should be efficiently computable functions



Authentication (Cont.)

- For a message m, a computer can generate an authenticator $a \in A$ such that $V_k(m, a) = true$ only if it possesses k
- Thus, computer holding k can generate authenticators on messages so that any other computer possessing k can verify them
- Computer not holding k cannot generate authenticators on messages that can be verified using V_k
- Since authenticators are generally exposed (for example, they are sent on the network with the messages themselves), it must not be feasible to derive *k* from the authenticators
- Practically, if $V_k(m,a) = true$ then we know m has not been modified and that send of message has k
 - If we share k with only one entity, know where the message originated



Authentication – Hash Functions

- · Basis of authentication
- Creates small, fixed-size block of data message digest (hash value) from m
- Hash Function H must be collision resistant on m
 - Must be infeasible to find an $m' \neq m$ such that H(m) = H(m')
- If H(m) = H(m'), then m = m'
 - The message has not been modified
- Common message-digest functions include MD5, which produces a 128-bit hash, and SHA-1, which outputs a 160-bit hash
- · Not useful as authenticators
 - For example H(m) can be sent with a message
 - But if H is known someone could modify m to m' and recompute H(m') and modification not detected
 - So must authenticate H(m)



Authentication - MAC

- Symmetric encryption used in message-authentication code (MAC) authentication algorithm
- Cryptographic checksum generated from message using secret key
 - Can securely authenticate short values
- If used to authenticate H(m) for an H that is collision resistant, then obtain a way to securely authenticate long message by hashing them first
- Note that k is needed to compute both S_k and V_k , so anyone able to compute one can compute the other



Authentication – Digital Signature

- Based on asymmetric keys and digital signature algorithm
- Authenticators produced are digital signatures
- Very useful anyone can verify authenticity of a message
- In a digital-signature algorithm, computationally infeasible to derive k_s from k_v
 - V is a one-way function
 - Thus, k_v is the public key and k_s is the private key
- Consider the RSA digital-signature algorithm
 - Similar to the RSA encryption algorithm, but the key use is reversed
 - Digital signature of message $S_{ks}(m) = H(m)^{k_s} \mod N$
 - The key k_s again is a pair (d, N), where N is the product of two large, randomly chosen prime numbers p and q
 - Verification algorithm is $V_{kv}(\overline{m}, a)$ $(a^{k_v} \mod N = H(m))$
 - Where k_v satisfies $k_v k_s \mod (p-1)(q-1) = 1$



Authentication (Cont.)

- Why authentication if a subset of encryption?
 - Fewer computations (except for RSA digital signatures)
 - Authenticator usually shorter than message
 - Sometimes want authentication but not confidentiality
 - · Signed patches et al
 - Can be basis for non-repudiation



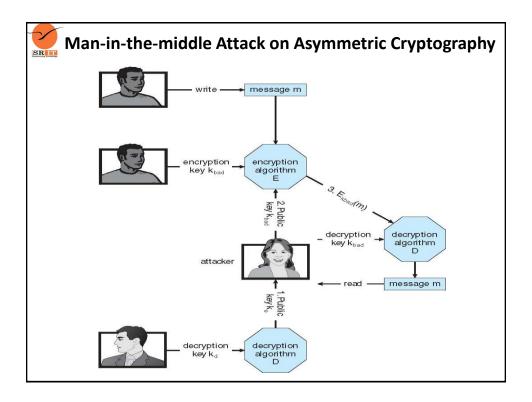
Key Distribution

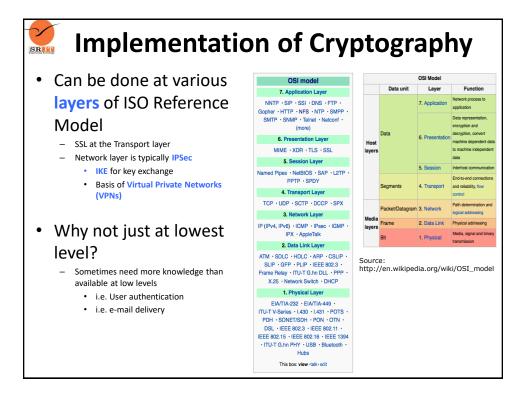
- Delivery of symmetric key is huge challenge
 - Sometimes done out-of-band
- Asymmetric keys can proliferate stored on key ring
 - Even asymmetric key distribution needs care man-inthe-middle attack



Digital Certificates

- Proof of who or what owns a public key
- Public key digitally signed a trusted party
- Trusted party receives proof of identification from entity and certifies that public key belongs to entity
- Certificate authority are trusted party their public keys included with web browser distributions
 - They vouch for other authorities via digitally signing their keys, and so on







Encryption Example - SSL

- Insertion of cryptography at one layer of the ISO network model (the transport layer)
- SSL Secure Socket Layer (also called TLS)
- Cryptographic protocol that limits two computers to only exchange messages with each other
 - Very complicated, with many variations
- Used between web servers and browsers for secure communication (credit card numbers)
- The server is verified with a certificate assuring client is talking to correct server
- Asymmetric cryptography used to establish a secure session key (symmetric encryption) for bulk of communication during session
- Communication between each computer then uses symmetric key cryptography
- More details in textbook



END of Chapter - 2

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