

Trace models of concurrent valuation algebras

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The first author is supported by the Australian Government Research Training Program Scholarship

ICFEM23
2023-11-22



- **Challenges in Reasoning:** Concurrent and distributed systems are prevalent but complex to analyse.
- **Compositional Methods:** These methods address the exponential growth of possibilities in interacting systems.
- **Concurrent Valuation Algebras (CVAs):**
 - *Attributes:* Compositional, modular, local, algebraic, lattice-based.
 - *Generalisation:* Generalises models like CSP (Communicating Sequential Processes), CKA (Concurrent Kleene Algebra), CRA (Concurrent Refinement Algebra).
 - *Advantages:* Detects subtle information inconsistencies (RAMiCS23 paper), supports Hoare and rely-guarantee logics.

Project Goal:

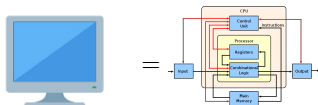
- *Developing a Localised Refinement Algebra* for modular and compositional specifications in concurrent/distributed systems, generalising existing models, and supporting standard program logics.

Encoding Connective Structures:

- **Distributed Systems:** Represented as networks.



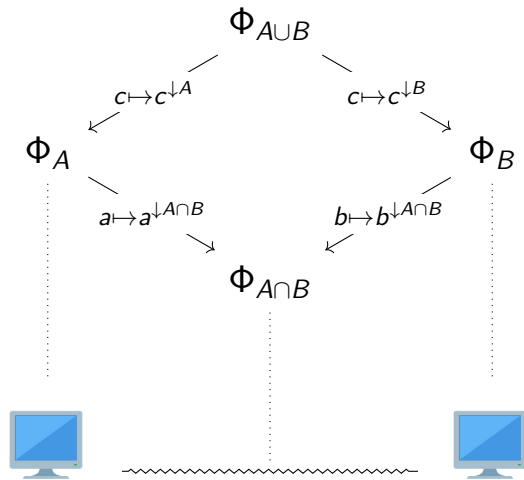
- **Concurrent Systems:** Characterised by shared memory and resources.



Prealgebra: A poset-(partially ordered set) valued contravariant functor on a topology \mathcal{T} that captures the connective structure of a concurrent/distributed system.

$$\Phi : \mathcal{T}^{op} \rightarrow \mathcal{P}_{OS}$$

- **Object Map:** Maps each domain $A \in \mathcal{T}$ to a poset Φ_A . (*Φ_A is the poset of specifications on A .*)
- **Arrow Map:** Maps each inclusion $B \subseteq A$ to a monotone restriction map $a \mapsto a^{\downarrow B} : \Phi_A \rightarrow \Phi_B$. (***Focuses a specification $a \in \Phi_A$ to a subdomain $B \subseteq A$, yielding a specification $a^{\downarrow B}$.***)
- **Transitivity & Identity Law:** For $C \subseteq B \subseteq A$, $(a^{\downarrow B})^{\downarrow C} = a^{\downarrow C}$; for each A and $a \in \Phi_A$, $a^{\downarrow A} = a$.



(Covariant) Grothendieck Construction

Combining Posets: Given a prealgebra $\Phi : \mathcal{T}^{op} \rightarrow \mathcal{Pos}$, the Grothendieck construction combines all posets Φ_A into a single poset $\int \Phi$.

Definition of $\int \Phi$:

$$\int \Phi := \{(A, a) \mid A \in \mathcal{T}, a \in \Phi_A\}$$

Partial ‘Grothendieck’ Order \preceq :

$$(A, a) \preceq (B, b) \iff B \subseteq A \text{ and } a^{\downarrow B} \leq_{\Phi_B} b$$

Shorthand Notation:

- Write a instead of (A, a) , with the domain being implicit.
- Use the *domain* map d to recover the domain of an element:

$$\begin{aligned} d : \int \Phi &\rightarrow \mathcal{T}^{op} \\ da = d(A, a) &= A \end{aligned}$$

Ordered Valuation Algebras (OVAs)

Definition: An *ordered valuation algebra (OVA)* comprises:

- A *prealgebra*:

$$\Phi : \mathcal{T}^{op} \rightarrow \mathcal{P}_{\mathcal{O}\mathcal{S}}$$

- A *binary operator*:

$$\otimes : \int \Phi \times \int \Phi \rightarrow \int \Phi$$

- A *neutral element* ϵ , comprising an element $\epsilon_A \in \Phi_A$ for each $A \in \mathcal{T}$, such that $\epsilon_A^{\downarrow B} = \epsilon_B$ for all $B \subseteq A$.

OVA Axioms:

- *Ordered Semigroup*: \otimes is associative and monotone.

- *Labelling*:

$$d(a \otimes b) = da \cup db$$

- *Neutrality*:

$$\epsilon_{da} \otimes a = a = a \otimes \epsilon_{da}$$

- *Combination*:

$$(a \otimes b)^{\downarrow da} = a \otimes b^{\downarrow da \cap db} \text{ and } (a \otimes b)^{\downarrow db} = a^{\downarrow da \cap db} \otimes b$$

Theorem: For an OVA $(\Phi, \otimes, \epsilon)$, for each inclusion $B \subseteq A$ in \mathcal{T} , there is a Galois connection: $\forall a \in \Phi_A, b \in \Phi_B$,

$$a^{\downarrow B} \leq_{\Phi_B} b \iff a \leq_{\Phi_A} \epsilon_{da} \otimes b$$

Define the **extension of b to A** as

$$b^{\uparrow A} := \epsilon_{da} \otimes b$$

Interpretation: Extension allows lifting a specification from a domain B to a larger domain A by permitting any behaviour outside B .

Key Facts:

- **Functorial Nature of Extension:** If $C \subseteq B \subseteq A$, $(c^{\uparrow B})^{\uparrow A} = c^{\uparrow A}$ and $c^{\uparrow C} = c$.
- **Restriction-Extension Identity:** $(b^{\uparrow A})^{\downarrow B} = b$.
- **Closure Properties:** Extension after restriction is a closure operator, ensuring $a \leq_{\Phi_A} (a^{\downarrow B})^{\uparrow A}$.
- **Lattice Completeness:** If each Φ_A is a complete lattice, $\int \Phi$ is also complete.

Concurrent Valuation Algebras (CVAs)

Definition: A *concurrent valuation algebra (CVA)* comprises:

- A *commutative OVA*:

$$(\Phi, \parallel, \text{run})$$

- A (usually noncommutative) *OVA*:

$$(\Phi, \circ, \text{skip})$$

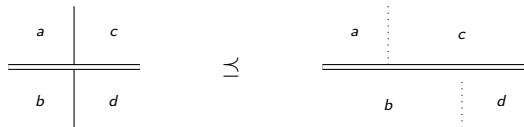
CVA Axioms:

- *Weak Exchange:*

$$(a \parallel b) \circ (c \parallel d) \preceq (a \circ c) \parallel (b \circ d)$$

- *Neutral Laws:*

$$\text{skip}_A \preceq \text{skip}_A \parallel \text{skip}_A \text{ and } \text{run}_A \circ \text{run}_A \preceq \text{run}_A$$



- **Refinement:**

$$a \preceq b \iff db \subseteq da \text{ and } a^{\downarrow db} \leq_{\Phi_{db}} b$$

- **Hoare Triple (Hoare Logic):**

$$p \{a\} q := p \circ a \preceq q$$

- **Jones Quintuple (Rely-Guarantee Logic):**

$$p \ r \ \{a\} \ g \ q := p \ \{a \parallel r\} \ q \text{ and } a \preceq g$$

Example (Concurrency Law): Let $p, p', a, a', q, q' \in \mathcal{J} \Phi$. Then:

$$p \{a\} q \text{ and } p' \{a'\} q' \implies (p \parallel p') \{a \parallel a'\} (q \parallel q')$$

Proof: Assume $p \circ a \preceq q$ and $p' \circ a' \preceq q'$. By weak exchange and monotonicity:

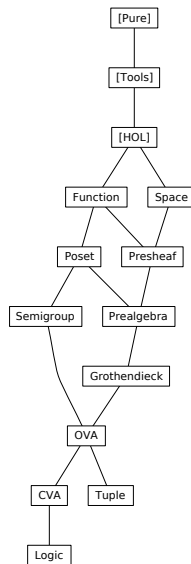
$$(p \parallel p') \circ (a \parallel a') \preceq (p \circ a) \parallel (p' \circ a') \preceq q \parallel q'$$

Thus, $(p \parallel p') \{a \parallel a'\} (q \parallel q')$.

- **Action Trace Model:** Traces as lists of atomic actions $t = [t_1, \dots, t_n]$. Sequential composition as concatenation, parallel composition as interleaving.
- **State Trace Model:** Traces as nonempty lists of states $t = [t_1, \dots, t_n]$. Sequential composition as gluing concatenation, parallel composition as synchronisation.
- **Relative Trace Model:** 'Stuttering-reduced' nonempty lists of states $t = [t_1, \dots, t_n]$. Uses gluing concatenation and synchronisation for sequential and parallel compositions, respectively.
- **CSP (Communicating Sequential Processes) Trace Model*:** The domains of the topology are CSP alphabets.
- **CKA (Concurrent Kleene Algebra) Algebraic Model*:** The underlying topology is a one-point space.
- **CRA (Concurrent Refinement Algebra) Algebraic Model*:** Also based on a one-point space topology.

Summary:

- **Formalisation in Isabelle/HOL:** Sections 2 and 3 of (ICFEM23 paper), covering abstract CVA theory, fully formalised.
- **Extension to Logics:** Includes formalisation of Hoare and Rely-Guarantee logics in CVAs, beyond the scope of the paper.
- **Open Source Collaboration:** The work is available at github.com/nasosev/cva. Collaborators are welcome :)



Theorem 1 Formalisation

Theorem (restriction-extension adjunction). Let $(\Phi, \otimes, \epsilon)$ be an OVA. Then for all $a, b \in f \Phi$ with $db \subseteq da$,

$$a^{\downarrow db} \leq_{\Phi_{db}} b \iff a \leq_{\Phi_{da}} b^{\uparrow da}$$

The screenshot displays the Isabelle2023/HOL - OVA.thy file in a text editor. The theorem `res_ext_adjunction` is formalized as follows:

```
theorem res_ext_adjunction :  
  fixes V :: "('A, 'a) OVA" and a b :: "('A, 'a) Valuation"  
  assumes V_valid : "valid V" and B_le_A : "d b ⊆ d a"  
  and a_el : "a ∈ elems V" and b_el : "b ∈ elems V"  
  shows "local_le V (d b) (res V (d b) a) b = local_le V (d a) a (ext V (d a) b)" (is "?L ⟷ ?R")  
proof (rule iffI)  
  assume "?L"  
  let ?ea = "neut V (d a)"  
  let ?a_B = "res V (d b) a"  
  have "local_le V (d a) (comb V ?ea a) (comb V ?ea ?a_B)"  
  by (smt (verit) B_le_A OVA.le_eq_local_le V_valid a_el b_el comb_is_element d_elem_is_open d_res fst_conv id_le_res neutral_is_elem)  
  moreover have "local_le V (d a) (comb V ?ea ?a_B) (comb V ?ea b)"  
  by (smt (verit) B_le_A OVA.le_eq_local_le OVA.valid_welldefined V_valid <local_le V (d b) (res V (d b) a) b> a_el b_el comb_is_element)  
  moreover have "comb V ?ea b = ext V (d a) b" using ext_def [where ?V=V and ?A="d a" and ?b=b]  
  by (metis B_le_A V_valid a_el b_el d_elem_is_open)  
  ultimately show "?R"  
  by (smt (verit) B_le_A OVA.le_eq_local_le Poset.valid_def V_valid a_el b_el comb_is_element d_elem_is_open d_ext d_res neutral_is_elem)  
next  
  assume "?R"  
  let ?ea = "neut V (d a)"  
  let ?a_B = "res V (d b) a"  
  have "local_le V (d b) ?a_B (res V (d b) (ext V (d a) b))"  
  by (metis (no_types, lifting) B_le_A OVA.le_eq_local_le OVA.res_monotone V_valid <local_le V (d a) a (ext V (d a) b)> a_el b_el d_elem_is_open)  
  moreover have "ext V (d a) b = comb V ?ea b" using ext_def [where ?V=V and ?A="d a" and ?b=b]  
  by (meson B_le_A V_valid a_el b_el d_elem_is_open)  
  moreover have "(res V (d b) (ext V (d a) b)) = comb V (res V (d a ∩ d b) ?ea) b"  
  by (metis V_valid a_el b_el calculation(2) d_elem_is_open fst_conv neutral_is_element valid_comb_law_right)  
  moreover have "comb V (res V (d a ∩ d b) ?ea) b = comb V (neut V (d b)) b"  
  by (metis B_le_A V_valid a_el b_el d_elem_is_open inf_absorb2 stability)  
  ultimately show "?L"  
  by (metis V_valid b_el valid_neutral_law_left)  
qed
```

The bottom of the window shows the proof state and the output query:

```
theorem res_ext_adjunction:  
  OVA.valid ?V ⟹  
  d ?b ⊆ d ?a ⟹  
  ?a ∈ OVA.elems ?V ⟹ ?b ∈ OVA.elems ?V ⟹ local_le ?V (d ?b) (res ?V (d ?b) ?a) ?b = local_le ?V (d ?a) ?a (ext ?V (d ?a) ?b)
```

The status bar at the bottom indicates the file path, memory usage, and time.

Summary:

- Introduced CVAs as a compositional algebra for concurrent/distributed systems.
- Highlighted CVAs' duoidal structure, refinement capabilities, and support for Hoare and rely-guarantee logics.
- Demonstrated multiple concrete models of CVAs relevant to concurrency paradigms.
- Indicated potential applications of local computation theory, with implications for static analysis and model checking.

Future Work: Exploration of further applications and theoretical extensions of CVAs.

References:



Evangelou-Oost, Naso et al. (2023). 'Trace Models of Concurrent Valuation Algebras'. In: *Formal Methods and Software Engineering*. Ed. by Yi Li and Sofiène Tahar. Singapore: Springer Nature Singapore, pp. 118–136. ISBN: 978-981-99-7584-6.



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THANK YOU!