

Eligibility Criteria for onboarding new States onto the SURWASH Program

Step 1: Mandatory Criteria. These criteria must be met before proceeding to the scored criteria.

S/No	Eligibility Criteria	Means of Verification
1	Expression of interest by the State Governor to participate in the Program and submission of requested evidence to assess eligibility and readiness	Letter submitted by the State Governor to the Federal Government clearly stating interest to participate in the Program accompanied by the requested evidence to assess eligibility and readiness
2	Acceptance of Program Requirements	A list of prioritized LGAs for investment according to the prioritization criteria in the Program Documents Security Risk Index at an acceptable level within prioritized LGAs which allows for an acceptable cost-benefit analysis
3	Commitment to national WASH initiatives and programs. At least 2 out of the following 3 must be met: (i) State-level action plan for the revitalization of the WASH sector (ii) PEWASH Partnership Agreement (iii) State-level campaign to end open defecation in accordance with the Clean Nigeria: Use the Toilet campaign has been launched	At least 2 out of the following 3 must be sent and verified: (i) State-level action plan for the revitalization of the WASH sector as approved by the relevant authorities (ii) Signed PEWASH Partnership Agreement (iii) Evidence of implementation of comprehensive awareness campaigns to end open defecation in accordance with the Clean Nigeria: Use the Toilet campaign

Step 2: Scored Criteria. Once the mandatory criteria are met, the following criteria will be scored.

S/No	Eligibility Criteria	Urban Score (%)	Small Towns Score (%)	Rural Score (%)	Means of Verification
1	Institutional Arrangements and Capacity for the past 5 years	15	15	15	<ul style="list-style-type: none"> i. Official documentation naming the implementing agency(ies) for water supply and sanitation and their mandate ii. Current staff resumes, qualifications, and records of relevant experience iii. Training materials, workshop reports, and evidence of capacity building initiatives for the indicated period iv. Minutes of coordination meetings, MOUs, and collaboration agreements for the indicated period

S/No	Eligibility Criteria	Urban Score (%)	Small Towns Score (%)	Rural Score (%)	Means of Verification
					v. Budget documents, resource allocation plans, and financial reports for agency(ies) for the indicated period (3 points per evidence)
2	Commitment to carry out institutional reform in the WASH sector for the past 5 years	15	15	15	i. Copies of current relevant policies, regulations, and legislative documents for the WASH sector ii. Documentation of current governance structures, accountability frameworks, and oversight reports iii. Training materials, workshop reports, and evidence of capacity building initiatives related to reform activities for the indicated period iv. Minutes of stakeholder meetings, consultation reports, and feedback mechanisms related to reform activities for the indicated period v. Budget documents, financial reports, and evidence of allocated funds for reform activities for the indicated period (3 points per evidence)
3	Planning and Implementation Capacity for Water Supply Projects for the past 5 years	30	30	30	i. Review of current approved investment plans, master plans or business plans for completeness and quality ii. Review of available feasibility studies for completeness and quality. iii. Review of minutes of past stakeholder meetings or consultation reports with

S/No	Eligibility Criteria	Urban Score (%)	Small Towns Score (%)	Rural Score (%)	Means of Verification
					<p>beneficiary communities for the indicated period</p> <p>iv. Evidence of previous successful construction projects, project management plans, and staff qualifications for the indicated period</p> <p>v. Budget documents and financial reports showing allocated funds for water supply projects for the indicated period</p> <p>(6 points per evidence)</p>
4	Planning and Implementation Capacity for Sanitation Projects for the past 5 years	30	30	30	<p>i. Documentation of sanitation campaigns, community outreach programs, and behavior change communication materials for the indicated period</p> <p>ii. Evidence of market development plans, support programs for local sanitation enterprises, and partnerships with private sector entities for the indicated period</p> <p>iii. Review of minutes of past stakeholder meetings or consultation reports with beneficiary communities for the indicated period</p> <p>iv. Training materials, workshop reports, and evidence of capacity building initiatives for the indicated period</p> <p>v. Budget documents, financial reports, and evidence of allocated funds for sanitation projects for the indicated period</p> <p>(6 points per evidence)</p>

S/No	Eligibility Criteria	Urban Score (%)	Small Towns Score (%)	Rural Score (%)	Means of Verification
5	Budgetary Performance for the past 5 years	10	10	10	For each year (2019, 2020, 2021, 2022 and 2023), provide evidence of allocated funds, funds received, and funds utilized

Step 3: Combined State Score. After evaluating each subsector (urban, rural, small towns) using the unified criteria with differentiated scores, the scores will be summarized in the consolidated table below to find the overall state score using the assigned weights.

Subsector	Weight	Subsector Score	Weighted Score
Urban	30		
Small Towns	40		
Rural	30		
Overall State Score			

Readiness Criteria for onboarding new States onto the Program SURWASH

Step 1: Mandatory Criteria. These criteria must be met before proceeding to the scored criteria.

S/No	Readiness Criteria	Means of Verification
1	Expression of interest by the State Governor to participate in the Program and submission of requested evidence to assess eligibility and readiness	Letter submitted by the State Governor to the Federal Government clearly stating interest to participate in the Program accompanied by the requested evidence to assess eligibility and readiness.
2	Availability of a Program Investment Plan	The letter from the Governor should be accompanied by a list of projects and activities to be financed under the SURWASH Program indicating their scope, costs, timelines, expected outcomes, and level of readiness.
3	Completion of assessments by the World Bank: (i) Technical (ii) Fiduciary Systems (iii) Environmental and Social Systems	Addenda to the following reports based on the investment plan provided: (i) Technical assessment report (ii) Fiduciary Systems assessment report (iii) Environmental and Social Systems assessment report

Step 2: Scored Criteria. Once the mandatory criteria are met, the following criteria will be scored.

S/No	Eligibility Criteria	Urban Score (%)	Small Towns Score (%)	Rural Score (%)	Means of Verification
1	Readiness of water supply activities	30	30	30	<ul style="list-style-type: none"> i. Preliminary or detailed engineering design reports for identified projects ii. Stakeholder Engagement Plan or equivalent available to consult and engage beneficiary communities iii. Bid documents and packages iv. Staff qualifications, resumes, and records of relevant experience including resume of procurement officer with experience with state procurement laws and procedures v. Plans for construction supervision <p>(6 points per evidence)</p>
2	Readiness of sanitation activities	30	30	30	<ul style="list-style-type: none"> i. Documentation of planned sanitation campaigns, community

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					<p>outreach programs, and behavior change communication materials</p> <p>ii. Documentation of market development plans and partnerships with private sector entities</p> <p>iii. Documentation of planned financial incentives, subsidies, or grants provided to sanitation businesses or households to increase coverage</p> <p>iv. Staff qualifications, resumes, and records of relevant experience</p> <p>v. Plans for M&E and reporting on the performance and impact of interventions</p> <p>(6 points per evidence)</p>
3	Adequate environmental and social (E&S) risk management policies and capacities	10	10	10	<p>i. Staff qualifications, resumes, and records of relevant training and experience with at least one officer in each Implementing Agency with at least 3 years of experience in implementation of E&S safeguards activities</p> <p>ii. Established E&S Policies to manage construction activities, including OHS, labour recruitment, safety of workers, payment of wages, grievance management, GBV policies, etc.</p> <p>iii. Approved Procedure for land acquisition, resettlement and compensation</p> <p>iv. E&S screening reports, assessment documents or E&S management plans available for some of the identified sub-projects</p>

S/No	Eligibility Criteria	Urban Score (%)	Small Towns Score (%)	Rural Score (%)	Means of Verification
					v. Regular E&S compliance reports and monitoring records (2 points per evidence)
4	Monitoring, evaluation and reporting mechanism in place	10	10	10	i. Evidence of Baseline data reports and datasets for proposed sub-projects ii. M&E staff rosters, resumes, qualifications, and records of relevant training and experience iii. Regular M&E reports, dashboards, and communication materials iv. Training materials, workshop reports, and evidence of capacity building initiatives v. Budget documents, financial reports, and evidence of allocated funds for M&E and reporting (2 points per evidence)
5	Evidence of allocated budget for 2025 for activities in program investment plan	20	20	20	Provide evidence of allocated funds in state budget for 2025 for the 2025 activities presented in the submitted program investment plan

Step 3: Combined State Score. After evaluating each subsector (urban, rural, small towns) using the unified criteria with differentiated scores, the scores will be summarized in the consolidated table below to find the overall state score using the assigned weights.

Subsector	Weight	Subsector Score	Weighted Score
Urban	30		
Small Towns	40		
Rural	30		
Overall State Score			