Explaining the Postgres Query Optimizer

BRUCE MOMJIAN



The optimizer is the "brain" of the database, interpreting SQL queries and determining the fastest method of execution. This talk uses the EXPLAIN command to show how the optimizer interprets queries and determines optimal execution.

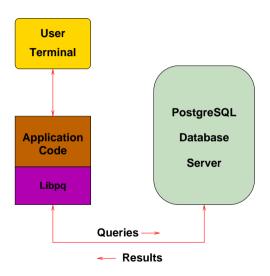
https://momjian.us/presentations

Creative Commons Attribution License

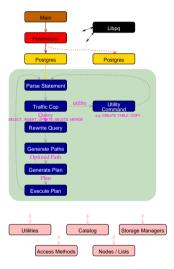


Last updated: June 2024

Postgres Query Execution

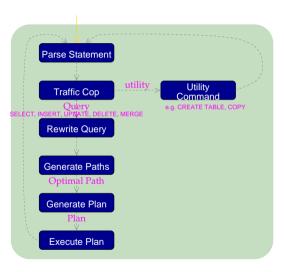


Postgres Query Execution



https://momjian.us/main/presentations/internals.html#internal pics

Postgres Query Execution



https://www.highgo.ca/2024/01/26/a-comprehensive-overview-of-postgresql-query-processing-stages/

The Optimizer Is the Brain



https://www.flickr.com/photos/dierkschaefer/

What Decisions Does the Optimizer Have to Make?

- Scan Method
- Join Method
- Join Order

This blog entry has a great description of the optimizer internals: https://www.highgo.ca/2024/03/22/understand-postgresqls-planner-simple-scan-paths-vs-plans/

Which Scan Method?

- Sequential Scan
- Bitmap Index Scan
- Index Scan

A Simple Example Using pg_class.relname

```
SELECT relname
FROM pg_class
ORDER BY 1
LIMIT 8;
```

relname

```
_pg_foreign_data_wrappers
_pg_foreign_servers
_pg_foreign_table_columns
_pg_foreign_tables
_pg_user_mappings
administrable_role_authorizations
applicable_roles
attributes
```

Let's Use Just the First Letter of pg_class.relname

```
SELECT substring(relname, 1, 1)
FROM pg class
ORDER BY 1
LIMIT 8;
 substring
 a
 a
 a
```

Create a Temporary Table with an Index

```
CREATE TEMPORARY TABLE sample (letter, junk) AS

SELECT substring(relname, 1, 1), repeat('x', 250)

FROM pg_class

ORDER BY random(); -- add rows in random order

CREATE INDEX i_sample on sample (letter);
```

All queries used in this presentation are available at https://momjian.us/main/writings/pgsql/optimizer.sql.

Create an EXPLAIN Function

What is the Distribution of the *sample* Table?

What is the Distribution of the *sample* Table?

letter	count	%
p	342	83.4
С	13	3.2
r	12	2.9
f	6	1.5
s	6	1.5
t i	6	1.5
u	5	1.2
	5	1.2
\overline{d}	4	1.0
v	4	1.0
a	3	0.7
e	2	0.5
k į	1	0.2
i İ	1	0.2

Is the Distribution Important?

```
EXPLAIN SELECT letter

FROM sample
WHERE letter = 'p';

QUERY PLAN

Bitmap Heap Scan on sample (cost=4.16..10.07 rows=2 width=32)

Recheck Cond: (letter = 'p'::text)

-> Bitmap Index Scan on i_sample (cost=0.00..4.16 rows=2 width=0)

Index Cond: (letter = 'p'::text)
```

Is the Distribution Important?

```
EXPLAIN SELECT letter

FROM sample

WHERE letter = 'd';

QUERY PLAN

Bitmap Heap Scan on sample (cost=4.16..10.07 rows=2 width=32)

Recheck Cond: (letter = 'd'::text)

-> Bitmap Index Scan on i_sample (cost=0.00..4.16 rows=2 width=0)

Index Cond: (letter = 'd'::text)
```

Is the Distribution Important?

Running ANALYZE Causes a Sequential Scan for a Common Value

```
ANALYZE sample;

EXPLAIN SELECT letter

FROM sample

WHERE letter = 'p';

QUERY PLAN

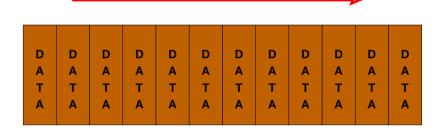
Seq Scan on sample (cost=0.00..21.12 rows=342 width=2)

Filter: (letter = 'p'::text)
```

Autovacuum cannot ANALYZE (or VACUUM) temporary tables because these tables are only visible to the creating session.

Sequential Scan

Heap



8K

A Less Common Value Causes a Bitmap Index Scan

```
EXPLAIN SELECT letter

FROM sample

WHERE letter = 'd';

QUERY PLAN

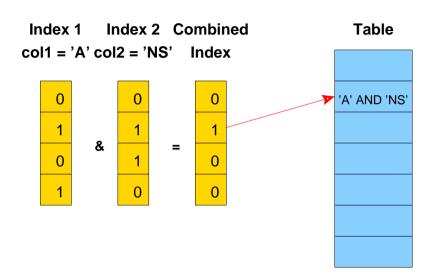
Bitmap Heap Scan on sample (cost=4.18..14.23 rows=4 width=2)

Recheck Cond: (letter = 'd'::text)

-> Bitmap Index Scan on i_sample (cost=0.00..4.18 rows=4 width=0)

Index Cond: (letter = 'd'::text)
```

Bitmap Index Scan



An Even Rarer Value Causes an Index Scan

```
EXPLAIN SELECT letter

FROM sample

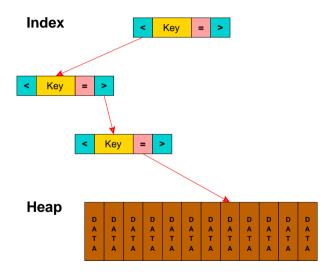
WHERE letter = 'i';

QUERY PLAN

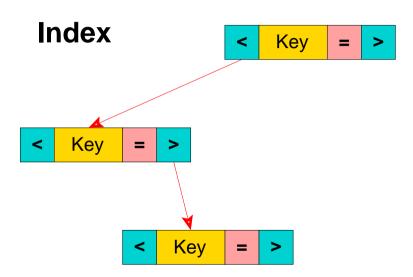
Index Only Scan using i_sample on sample (cost=0.15..8.17 rows=1 width=2)

Index Cond: (letter = 'i'::text)
```

Index Scan



Index-Only Scan



Let's Look at All Values and their Effects

```
WITH letter (letter, count) AS (
        SELECT letter. COUNT(*)
        FROM sample
        GROUP BY 1
SELECT letter AS 1, count, lookup letter(letter)
FROM letter
ORDER BY 2 DESC:
    count |
                                           lookup letter
       342 | Seg Scan on sample (cost=0.00..21.12 rows=342 width=2)
 р
       342
               Filter: (letter = 'p'::text)
 р
 C
        13
             Bitmap Heap Scan on sample (cost=4.25..20.69 rows=13 width=2)
               Recheck Cond: (letter = 'c'::text)
 C
        13
        13
               -> Bitmap Index Scan on i sample (cost=0.00..4.25 rows=13 width=0)
 C
                     Index Cond: (letter = 'c'::text)
        13
 C
             Bitmap Heap Scan on sample (cost=4.24..20.14 rows=12 width=2)
        12
 r
        12
               Recheck Cond: (letter = 'r'::text)
        12 l
               -> Bitmap Index Scan on i sample (cost=0.00..4.24 rows=12 width=0)
 r
                     Index Cond: (letter = 'r'::text)
 r
```

OK, Just the First Lines

Just the First EXPLAIN Lines

```
lookup letter
    count |
      342
             Seg Scan on sample (cost=0.00..21.12 rows=342 width=2)
р
        13
             Bitmap Heap Scan on sample (cost=4.25..20.69 rows=13 width=2)
C
             Bitmap Heap Scan on sample (cost=4.24..20.14 rows=12 width=2)
r
        12
             Bitmap Heap Scan on sample
                                             (cost=4.19..17.25 \text{ rows}=6 \text{ width}=2)
             Bitmap Heap Scan on sample
                                              (cost=4.19..17.25 \text{ rows}=6 \text{ width}=2)
                                              (cost=4.19..17.25 \text{ rows}=6 \text{ width}=2)
             Bitmap Heap Scan on sample
S
                                              (cost=4.19..15.86 \text{ rows}=5 \text{ width}=2)
             Bitmap Heap Scan on sample
u
             Bitmap Heap Scan on sample
                                              (cost=4.19..15.86 \text{ rows}=5 \text{ width}=2)
d
             Bitmap Heap Scan on sample
                                              (cost=4.18..14.23 \text{ rows}=4 \text{ width}=2)
                                              (cost=4.18..14.23 \text{ rows}=4 \text{ width}=2)
             Bitmap Heap Scan on sample
ν
             Bitmap Heap Scan on sample
                                             (cost=4.17...12.31 \text{ rows}=3 \text{ width}=2)
a
             Bitmap Heap Scan on sample (cost=4.16..10.07 rows=2 width=2)
e
              Index Only Scan using i sample on sample (cost=0.15..8.17 rows=1 width=2)
              Index Only Scan using i sample on sample (cost=0.15..8.17 rows=1 width=2)
```

Results will vary based on the clustering of values in heap pages.

We Can Force an Index Scan

```
SET enable segscan = false;
SET enable bitmapscan = false;
WITH letter (letter, count) AS (
        SELECT letter, COUNT(*)
        FROM sample
        GROUP BY 1
SELECT letter AS 1, count,
        (SELECT *
         FROM lookup letter(letter) AS 12
         LIMIT 1) AS lookup letter
FROM letter
ORDER BY 2 DESC;
```

Notice the High Cost for Common Values

```
lookup letter
     count |
       342
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..56.35 \text{ rows}=342 \text{ width}=2)
p
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..27.69 \text{ rows}=13 \text{ width}=2)
         13
C
         12
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..25.53 \text{ rows}=12 \text{ width}=2)
r
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..18.98 \text{ rows}=6 \text{ width}=2)
S
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..18.98 \text{ rows}=6 \text{ width}=2)
               Index Only Scan using i sample on sample
t
                                                                   (cost=0.15..18.98 \text{ rows}=6 \text{ width}=2)
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..16.82 \text{ rows}=5 \text{ width}=2)
u
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..16.82 \text{ rows}=5 \text{ width}=2)
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..14.66 \text{ rows}=4 \text{ width}=2)
٧
d
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..14.66 \text{ rows}=4 \text{ width}=2)
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..12.49 \text{ rows}=3 \text{ width}=2)
a
е
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..10.33 \text{ rows}=2 \text{ width}=2)
k
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..8.17 \text{ rows}=1 \text{ width}=2)
i
               Index Only Scan using i sample on sample
                                                                   (cost=0.15..8.17 \text{ rows}=1 \text{ width}=2)
```

This Was the Optimizer's Preference

```
lookup letter
    count |
             Seq Scan on sample (cost=0.00..21.12 rows=342 width=2)
      342
р
             Bitmap Heap Scan on sample (cost=4.25..20.69 rows=13 width=2)
C
        13
        12
             Bitmap Heap Scan on sample (cost=4.24..20.14 rows=12 width=2)
r
f
             Bitmap Heap Scan on sample
                                             (cost=4.19..17.25 \text{ rows}=6 \text{ width}=2)
t
                                             (cost=4.19..17.25 \text{ rows}=6 \text{ width}=2)
             Bitmap Heap Scan on sample
             Bitmap Heap Scan on sample
                                              (cost=4.19..17.25 \text{ rows}=6 \text{ width}=2)
S
             Bitmap Heap Scan on sample
                                              (cost=4.19..15.86 \text{ rows}=5 \text{ width}=2)
u
             Bitmap Heap Scan on sample
                                              (cost=4.19..15.86 \text{ rows}=5 \text{ width}=2)
<u>-</u>
             Bitmap Heap Scan on sample
                                              (cost=4.18..14.23 \text{ rows}=4 \text{ width}=2)
ν
             Bitmap Heap Scan on sample
                                             (cost=4.18..14.23 \text{ rows}=4 \text{ width}=2)
             Bitmap Heap Scan on sample
                                             (cost=4.17..12.31 \text{ rows}=3 \text{ width}=2)
a
             Bitmap Heap Scan on sample (cost=4.16..10.07 rows=2 width=2)
e
              Index Only Scan using i sample on sample (cost=0.15..8.17 rows=1 width=2)
k
i
              Index Only Scan using i sample on sample (cost=0.15..8.17 rows=1 width=2)
```

Which Join Method?

- Nested Loop
 - With Inner Sequential Scan
 - With Inner Index Scan
- Hash Join
- Merge Join

What Is in pg_proc.oid?

```
SELECT oid
FROM pg_proc
ORDER BY 1
LIMIT 8;
 oid
  31
  33
  34
  35
  38
  39
  40
```

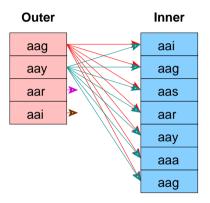
Create Temporary Tables from pg_proc and pg_class

```
CREATE TEMPORARY TABLE sample1 (id. junk) AS
            SELECT oid, repeat('x', 250)
            FROM pg proc
            ORDER BY random(); -- add rows in random order
    CREATE TEMPORARY TABLE sample2 (id. junk) AS
            SELECT oid, repeat('x', 250)
            FROM pg class
            ORDER BY random(); -- add rows in random order
These tables have no indexes and no optimizer statistics.
```

Join the Two Tables with a Tight Restriction

```
EXPLAIN SELECT sample2.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
WHERE sample1.id = 33:
                               QUERY PLAN
 Nested Loop (cost=0.00..364.14 rows=770 width=32)
   \rightarrow Seg Scan on sample1 (cost=0.00..313.09 rows=77 width=4)
         Filter: (id = '33'::oid)
   -> Materialize (cost=0.00..41.45 rows=10 width=36)
         \rightarrow Seg Scan on sample2 (cost=0.00..41.40 rows=10 width=36)
               Filter: (id = '33'::oid)
```

Nested Loop Join with Inner Sequential Scan



No Setup Required

Used For Small Tables

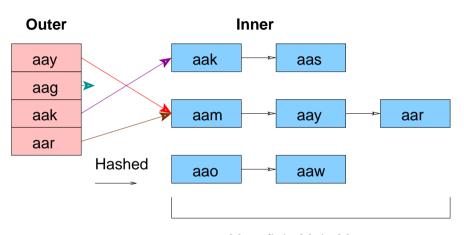
Pseudocode for Nested Loop Join with Inner Sequential Scan

```
for (i = 0; i < length(outer); i++)
  for (j = 0; j < length(inner); j++)
   if (outer[i] == inner[j])
    output(outer[i], inner[j]);</pre>
```

Join the Two Tables with a Looser Restriction

```
EXPLAIN SELECT sample1.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
WHERE sample2.id > 33:
                              QUERY PLAN
 Hash Join (cost=49.86..2189.32 rows=52017 width=32)
   Hash Cond: (sample1.id = sample2.id)
   -> Seg Scan on sample1 (cost=0.00..274.67 rows=15367 width=36)
   -> Hash (cost=41.40..41.40 rows=677 width=4)
         \rightarrow Seg Scan on sample2 (cost=0.00..41.40 rows=677 width=4)
               Filter: (id > '33'::oid)
```

Hash Join



Must fit in Main Memory

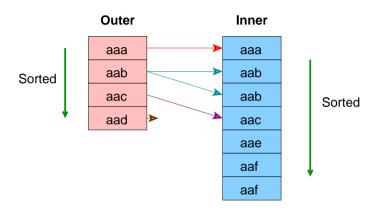
Pseudocode for Hash Join

```
for (j = 0; j < length(inner); j++)
  hash_key = hash(inner[j]);
  append(hash_store[hash_key], inner[j]);
for (i = 0; i < length(outer); i++)
  hash_key = hash(outer[i]);
  for (j = 0; j < length(hash_store[hash_key]); j++)
   if (outer[i] == hash_store[hash_key][j])
    output(outer[i], inner[j]);</pre>
```

Join the Two Tables with No Restriction

```
EXPLAIN SELECT sample1.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id);
                               QUERY PLAN
Merge Join (cost=1491.22..3843.32 rows=156129 width=32)
  Merge Cond: (sample2.id = sample1.id)
  -> Sort (cost=147.97..153.05 rows=2032 width=4)
        Sort Kev: sample2.id
        -> Seg Scan on sample2 (cost=0.00..36.32 rows=2032 width=4)
  -> Sort (cost=1343.26..1381.67 rows=15367 width=36)
        Sort Key: sample1.id
        -> Seg Scan on sample1 (cost=0.00..274.67 rows=15367 width=36)
```

Merge Join



Ideal for Large Tables

An Index Can Be Used to Eliminate the Sort

Pseudocode for Merge Join

```
sort(outer);
sort(inner);
i = 0:
i = 0;
save j = 0;
while (i < length(outer))</pre>
  if (outer[i] == inner[i])
    output(outer[i], inner[i]);
  if (outer[i] >= inner[j] && j < length(inner))</pre>
    j++:
    if (outer[i] > inner[j])
      save j = j;
  else
    j++;
    j = save j;
```

Order of Joined Relations Is Insignificant

```
EXPLAIN SELECT sample2.junk
    FROM sample2 JOIN sample1 ON (sample2.id = sample1.id);
                                    OUERY PLAN
     Merge Join (cost=1491.22..3843.32 rows=156129 width=32)
       Merge Cond: (sample2.id = sample1.id)
       -> Sort (cost=147.97..153.05 rows=2032 width=36)
             Sort Key: sample2.id
             -> Seg Scan on sample2 (cost=0.00..36.32 rows=2032 width=36)
       -> Sort (cost=1343.26..1381.67 rows=15367 width=4)
             Sort Key: sample1.id
             -> Seg Scan on sample1 (cost=0.00..274.67 rows=15367 width=4)
The most restrictive relation, e.g., sample2, is always on the outer side of merge joins. All
```

previous merge joins also had sample2 in outer position.

Add Optimizer Statistics

```
ANALYZE sample1;
```

ANALYZE sample2;

This Was a Merge Join without Optimizer Statistics

```
EXPLAIN SELECT sample2.junk

FROM sample1 JOIN sample2 ON (sample1.id = sample2.id);

QUERY PLAN

Hash Join (cost=25.38..195.17 rows=417 width=254)

Hash Cond: (sample1.id = sample2.id)

-> Seq Scan on sample1 (cost=0.00..153.45 rows=3245 width=4)

-> Hash (cost=20.17..20.17 rows=417 width=258)

-> Seq Scan on sample2 (cost=0.00..20.17 rows=417 width=258)
```

Outer Joins Can Affect Optimizer Join Usage

Cross Joins Are Nested Loop Joins without Join Restriction

Create Indexes

```
CREATE INDEX i_sample1 on sample1 (id);
CREATE INDEX i_sample2 on sample2 (id);
```

Nested Loop with Inner Index Scan Now Possible

```
EXPLAIN SELECT sample2.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
WHERE sample1.id = 33;

QUERY PLAN

Nested Loop (cost=0.55..16.60 rows=1 width=254)

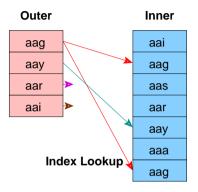
-> Index Only Scan using i_sample1 on sample1 (cost=0.28..8.30 rows=1 width=4)

Index Cond: (id = '33'::oid)

-> Index Scan using i_sample2 on sample2 (cost=0.27..8.29 rows=1 width=258)

Index Cond: (id = '33'::oid)
```

Nested Loop Join with Inner Index Scan



No Setup Required

Index Must Already Exist

Pseudocode for Nested Loop Join with Inner Index Scan

```
for (i = 0; i < length(outer); i++)
  index_entry = get_first_match(outer[j])
  while (index_entry)
    output(outer[i], inner[index_entry]);
    index_entry = get_next_match(index_entry);</pre>
```

Query Restrictions Affect Join Usage

```
EXPLAIN SELECT sample2.junk

FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)

WHERE sample2.junk ~ '^aaa';

QUERY PLAN

Nested Loop (cost=0.28..29.52 rows=1 width=254)

-> Seq Scan on sample2 (cost=0.00..21.21 rows=1 width=258)

Filter: (junk ~ '^aaa'::text)

-> Index Only Scan using i_sample1 on sample1 (cost=0.28..8.30 rows=1 width=4)

Index Cond: (id = sample2.id)
```

No junk rows begin with 'aaa'.

All 'junk' Columns Begin with 'xxx'

```
EXPLAIN SELECT sample2.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
WHERE sample2.junk ~ '^xxx';
                              QUERY PLAN
 Hash Join (cost=26.42..196.21 rows=417 width=254)
   Hash Cond: (sample1.id = sample2.id)
   -> Seg Scan on sample1 (cost=0.00..153.45 rows=3245 width=4)
   -> Hash (cost=21.21..21.21 rows=417 width=258)
         -> Seg Scan on sample2 (cost=0.00..21.21 rows=417 width=258)
               Filter: (junk ~ '^xxx'::text)
```

Hash join was chosen because many more rows are expected. The smaller table, e.g., *sample2*, is always hashed.

Without LIMIT, Hash Is Used for this Unrestricted Join

```
EXPLAIN SELECT sample2.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
ORDER BY 1;
                                   OUERY PLAN
 Sort (cost=213.32..214.36 rows=417 width=254)
   Sort Kev: sample2.junk
   -> Hash Join (cost=25.38..195.17 rows=417 width=254)
         Hash Cond: (sample1.id = sample2.id)
         \rightarrow Seg Scan on sample1 (cost=0.00..153.45 rows=3245 width=4)
         -> Hash (cost=20.17..20.17 rows=417 width=258)
               \rightarrow Seg Scan on sample2 (cost=0.00..20.17 rows=417 width=258)
```

LIMIT Can Affect Join Usage

```
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)

ORDER BY 1

LIMIT 1;

QUERY PLAN

Limit (cost=0.55..2.33 rows=1 width=258)

-> Nested Loop (cost=0.55..742.75 rows=417 width=258)
```

-> Index Scan using i_sample2 on sample2 (cost=0.27..86.52 rows=417 width -> Index Only Scan using i sample1 on sample1 (cost=0.28..1.56 rows=1 wid

Sort is unneeded since an index is being used on the outer side.

Index Cond: (id = sample2.id)

EXPLAIN SELECT sample2.id, sample2.junk

LIMIT 10

EXPLAIN SELECT sample2.id, sample2.junk

Index Cond: (id = sample2.id)

LIMIT 100 Switches to Merge Join

```
EXPLAIN SELECT sample2.id, sample2.junk
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
ORDER BY 1
LIMIT 100;

QUERY PLAN

Limit (cost=11.00..170.51 rows=100 width=258)

-> Merge Join (cost=11.00..676.13 rows=417 width=258)
```

Merge Cond: (sample1.id = sample2.id)

-> Index Scan using i_sample2 on sample2 (cost=0.27..86.52 rows=417 width Merge join is normally used for large joins, but the indexes eliminate the need for sorting

-> Index Only Scan using i sample1 on sample1 (cost=0.28..576.91 rows=324

both sides band LIMIT reduces the number of index entries that need to be accessed.

LIMIT 1000 Switches Back to Hash Join

EXPLAIN SELECT sample2.id, sample2.junk

-> Hash (cost=20.17..20.17 rows=417 width=258)

-> Seg Scan on sample1 (cost=0.00..153.45 rows=3245 width=4)

-> Seg Scan on sample2 (cost=0.00..20.17 rows=417 width=258)

For LIMIT 1000, index lookups are considered to be too expensive to partially execute the join, so a hash join is fully executed, which is then sorted and the LIMIT applied.

VACUUM Causes Merge Join Again

```
-- updates the visibility map
    VACUUM sample1, sample2;
    EXPLAIN SELECT sample2.id, sample2.junk
    FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
    ORDER BY 1
    LIMIT 1000:
                                               OUERY PLAN
     Limit (cost=40.67..150.78 rows=420 width=258)
       -> Merge Join (cost=40.67..150.78 rows=420 width=258)
             Merge Cond: (sample1.id = sample2.id)
             -> Index Only Scan using i sample1 on sample1 (cost=0.28..97.75 rows=3298
             -> Sort (cost=38.50..39.55 rows=420 width=258)
                   Sort Key: sample2.id
                    \rightarrow Seg Scan on sample2 (cost=0.00..20.20 rows=420 width=258)
VACUUM reduces the cost of index-only scans by making heap access less likely.
```

No LIMIT Was a Hash Join

EXPLAIN SELECT sample2.id, sample2.junk

```
FROM sample1 JOIN sample2 ON (sample1.id = sample2.id)
ORDER BY 1;

QUERY PLAN

Merge Join (cost=40.67..150.78 rows=420 width=258)
Merge Cond: (sample1.id = sample2.id)
-> Index Only Scan using i_sample1 on sample1 (cost=0.28..97.75 rows=3298 width
-> Sort (cost=38.50..39.55 rows=420 width=258)
Sort Key: sample2.id
-> Seq Scan on sample2 (cost=0.00..20.20 rows=420 width=258)
```

Same Join, Different Plans

Query Modifier	Plan
No limit	Hash join
LIMIT 1	Nested loop join with two index scans
Limit 10	(())
Limit 100	Merge join with two index scans
LIMIT 1000	Hash join
VACUUM, LIMIT 1000	Merge join with index-only scan and sort
No LIMIT	<i>""</i>

The last two are different from previous matching lines because of VACUUM.

Conclusion



