## Neural Networks (part 1)

Most of the materials are taken from <a href="here">here</a>

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### Outline

- Introduction
- Modeling the Neuron
- Neurons as Classifiers
- Activation Functions
- Neural Network Architecture

## 1. Introduction

### Previously in our course...

- We computed scores for different visual categories given the image using the formula s=Wx, where W was a matrix and x was an input column vector containing all pixel data of the image
- In the case of CIFAR-10, x is a [3072x1] column vector, and W is a [10x3072] matrix, so that the output scores is a vector of 10 class scores.

### Simple Neural Network

- An example neural network would instead compute  $s = W_2 \max(0, W_1 x)$ . Here, W1 could be, for example, a [100x3072] matrix transforming the image into a 100-dimensional intermediate vector. The function  $\max(0,-)$  is a non-linearity that is applied elementwise.
- There are several choices we could make for the non-linearity
- W2 would then be of size [10x100], so that we again get 10 numbers out that we interpret as the class scores.

### Simple Neural Network

- Why should we add non-linearity?
- Two matrices could be collapsed to a single matrix, and therefore the predicted class scores would again be a linear function of the input.
- The parameters W2,W1 are learned with stochastic gradient descent, and their gradients are derived with chain rule and computed with backpropagation.
- An example 3-layer Neural Network?

## 2. Modeling the Neuron

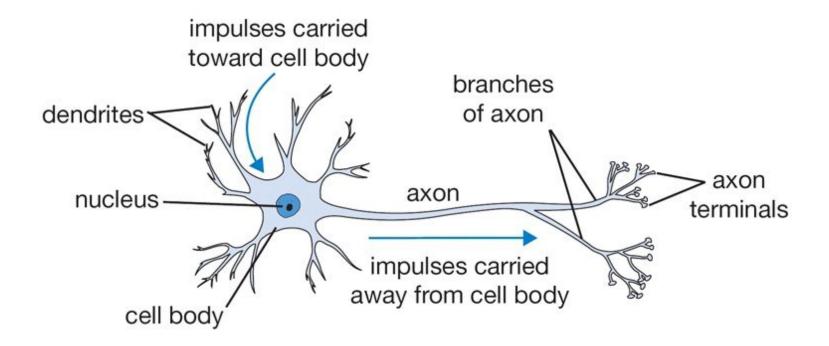
- ▶ The area of Neural Networks has originally been primarily inspired by the goal of modeling biological neural systems.
- The basic computational unit of the brain is a neuron.
- Approximately 86 billion neurons can be found in the human nervous system and they are connected with approximately 10^14 10^15 synapses.

- Each neuron receives input signals from its dendrites and produces output signals along its (single) axon.
- The axon eventually branches out and connects via synapses to dendrites of other neurons.
- In the computational model of a neuron, the signals that travel along the axons (e.g. x0) interact multiplicatively (e.g. w0x0) with the dendrites of the other neuron based on the synaptic strength at that synapse (e.g. w0)

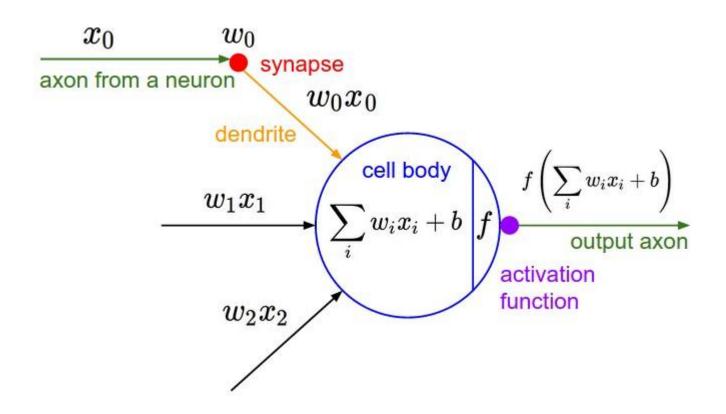
- The synaptic strengths (the weights w) are learnable and control the strength of influence (and its direction: excitory (positive weight) or inhibitory (negative weight)) of one neuron on another.
- In the case of CIFAR-10, x is a [3072x1] column vector, and W is a [10x3072] matrix, so that the output scores is a vector of 10 class scores.

- The dendrites carry the signal to the cell body where they all get summed. If the final sum is above a certain threshold, the neuron can fire, sending a spike along its axon.
- In the computational model, we assume that the precise timings of the spikes do not matter, and that only the frequency of the firing communicates information.

### Biological Neuron



### Mathematical Neuron



### Don't mess with Neuroscientists!

- There are many different types of neurons, each with different properties.
- The dendrites in biological neurons perform complex nonlinear computations.
- The synapses are not just a single weight, they're a complex non-linear dynamical system.
- The exact timing of the output spikes in many systems is known to be important.

## 3. Neurons as Classifiers

### Single Neuron as a Linear Classifier

- a neuron has the capacity to "like" (activation near one) or "dislike" (activation near zero) certain linear regions of its input space.
- With an appropriate loss function on the neuron's output, we can turn a single neuron into a linear classifier.

### Binary Softmax Classifier

- ho we can interpret  $\sigma(\sum w_i x_i + b)$  to be the probability of one of the classes  $P(y_i = 1 \mid x_i; w)$
- The probability of the other class would be  $P(y_i = 0 \mid x_i; w) = 1 P(y_i = 1 \mid x_i; w)$  since they must sum to one.
- We can formulate the cross-entropy loss and optimizing it would lead to a binary Softmax classifier (also known as logistic regression).

### Binary SVM Classifier

We could attach a max-margin hinge loss to the output of the neuron and train it to become a binary Support Vector Machine.

## 4. Activation Functions

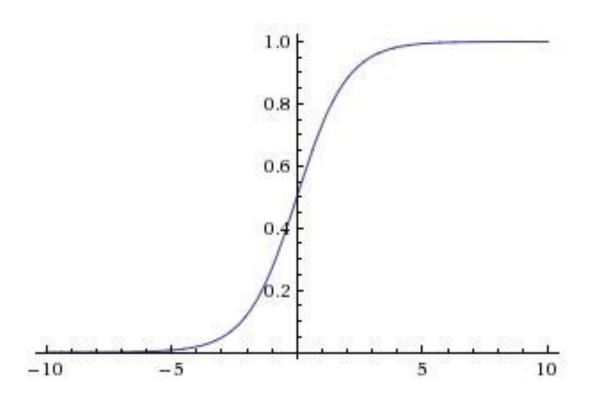
### Commonly Used Activation Functions

- Every activation function (or non-linearity) takes a single number and performs a certain fixed mathematical operation on it.
- Commonly used activation functions are:
  - Sigmoid
  - Tanh
  - ReLU
  - Leaky ReLU
  - Maxout

### Sigmoid

- It takes a real-valued number and "squashes" it into range between 0 and 1.
- large negative numbers become 0 and large positive numbers become 1
- Drawbacks:
  - Sigmoids saturate and kill gradients.
  - Sigmoid outputs are not zero-centered.

### Sigmoid



### Sigmoid kills the gradient

- When the neuron's activation saturates at either tail of 0 or 1, the gradient at these regions is almost zero.
- During backpropagation, this (local) gradient will be multiplied to the gradient of this gate's output for the whole objective.
- If the local gradient is very small, it will effectively "kill" the gradient and almost no signal will flow through the neuron to its weights and recursively to its data

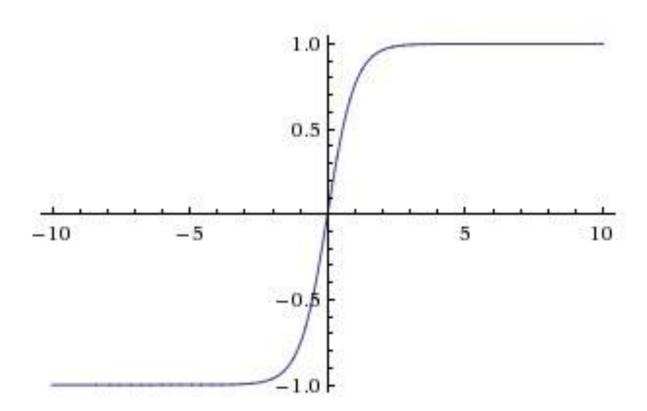
### Sigmoid outputs are not zero centered

- Neurons in later layers of processing in a Neural Network would be receiving data that is not zero-centered
- If the data coming into a neuron is always positive then the gradient on the weights w will during backpropagation become either all positive, or all negative (depending on the gradient of the whole expression f).
- This could introduce undesirable zig-zagging dynamics in the gradient updates for the weights.

#### Tanh

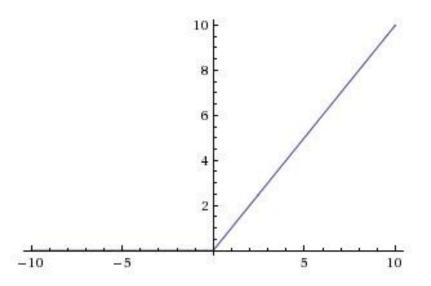
- ▷ It squashes a real-valued number to the range [-1, 1].
- Like the sigmoid neuron, its activations saturate, but unlike the sigmoid neuron its output is zero-centered.
- tanh non-linearity is always preferred to the sigmoid nonlinearity.

### Tanh



### ReLU

- ▶ The Rectified Linear Unit has become very popular in the last few years.
- $\triangleright$  It computes the function f(x)=max(0,x).



#### ReLU Pros

- Greatly accelerate the convergence of stochastic gradient descent compared to the sigmoid/tanh functions. (due to its linear, non-saturating form.)
- Compared to tanh/sigmoid neurons that involve expensive operations the ReLU can be implemented by simply thresholding a matrix of activations at zero.

### ReLU Cons

- ReLU units can be fragile during training and can "die"
- A large gradient flowing through a ReLU neuron could cause the weights to update in such a way that the neuron will never activate on any datapoint again.
- ▷ If this happens, then the gradient flowing through the unit will forever be zero from that point on.
- ▶ How to fix this?

### Leaky ReLU

- One attempt to fix the "dying ReLU" problem
- Instead of the function being zero when x < 0, a leaky ReLU will instead have a small negative slope (of 0.01, or so).

$$f(x) = \mathbb{1}(x < 0)(\alpha x) + \mathbb{1}(x > = 0)(x)$$

### Maxout

Maxout neuron generalizes the ReLU and its leaky version

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

- both ReLU and Leaky ReLU are a special case of this form
- The Maxout neuron enjoys all the benefits of a ReLU unit and does not have its drawbacks
- What is the drawback?

#### Final words on activations

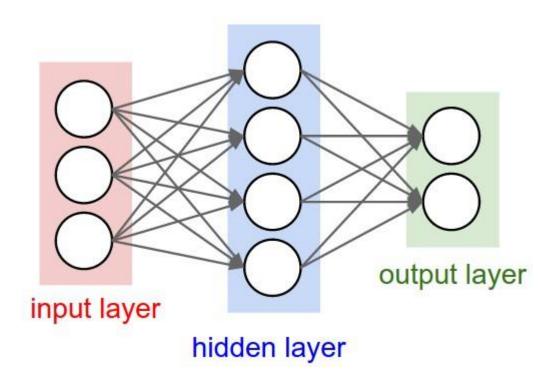
- It is very rare to mix and match different types of neurons in the same network, even though there is no fundamental problem with doing so.
- Use the ReLU non-linearity, be careful with your learning rates and possibly monitor the fraction of "dead" units in a network.
- Give Leaky ReLU or Maxout a try. Never use sigmoid. Try tanh, but expect it to work worse than ReLU/Maxout.

# 5. Neural Network Architectures

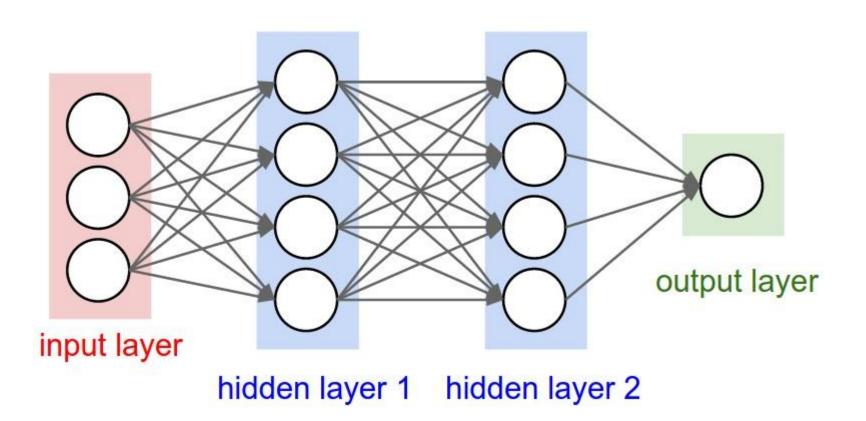
### Neural Networks as graphs

- Neural Networks are modeled as collections of neurons that are connected in an acyclic graph
- The outputs of some neurons can become inputs to other neurons
- Neural Network models are often organized into distinct layers of neurons.
- The most common layer type is the fully-connected layer

### A 2-layer NN



### A 3-layer NN



## Output Layer

- The output layer neurons most commonly do not have an activation function
- The last output layer is usually taken to represent the class scores (e.g. in classification), which are arbitrary real-valued numbers, or some kind of real-valued target (e.g. in regression).

# Sizing of NN

- The two metrics that people commonly use to measure the size of neural networks are the number of neurons, or more commonly the number of parameters.
- What is the size of the two networks mentioned previously?
- Modern Convolutional Networks contain on orders of 100 million parameters and are usually made up of approximately 10-20 layers (deep learning)

## Feed-forward computation

- Layered structure makes it very simple and efficient to evaluate Neural Networks using matrix vector operations.
- What are the vectors and matrices of the previous example?
- What are the learnable parameters?
- Notice that the final Neural Network layer usually doesn't have an activation function

### Representational Power

- NNs define a family of functions that are parameterized by the weights of the network
- NNs with at least one hidden layer are universal approximators
- Given any continuous function f(x) and some  $\epsilon > 0$ , there exists a NN, g(x) with one hidden layer such that  $\forall x, |f(x)-g(x)| < \epsilon$ .
- In other words, the neural network can approximate any continuous function.

# Why more layers?!

- the fact that a two-layer NN is a universal approximator is a weak statement in practice.
- There are many universal approximators which are useless in ML
- NNs work well because they compactly express smooth functions that fit well with the data and are easy to learn
- Empirically deeper networks can work better than a single-hidden-layer networks, although their representational power is equal.

# Why more layers?!

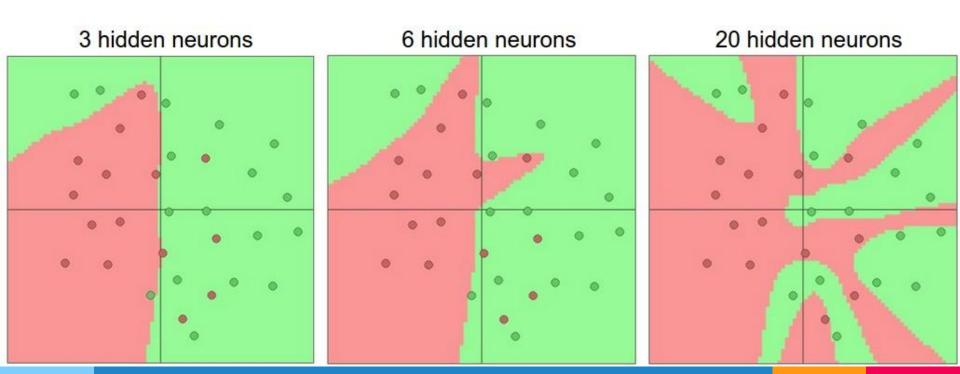
- In practice it is often the case that 3-layer neural networks will outperform 2-layer nets, but going even deeper (4,5,6-layer) rarely helps much more.
- In contrast, in Convolutional Networks, depth has been found to be an extremely important component for a good recognition system (e.g. on order of 10 learnable layers).
- Images contain hierarchical structure (e.g. faces are made up of eyes, which are made up of edges, etc.), so several layers of processing make intuitive sense for this data domain.

## Setting number of layers

- What architecture should we use? How large should each layer be?
- As we increase the size and number of layers in a Neural Network, the capacity of the network increases.
- That is, the space of representable functions grows since the neurons can collaborate to express many different functions

## Effect of capacity

- Neural Networks with more neurons can express more complicated functions
- Which one is better?



#### Generalization

- Should we use as many layers as possible?
- Overfitting occurs when a model with high capacity fits the noise in the data instead of the underlying relationship
- The model with 20 hidden neurons fits all the training data but at the cost of segmenting the space into many disjoint red and green decision regions.
- ▶ The model with 3 hidden neurons only classifies the data in broad strokes

## How many layers?!

- Should we use as few layers as possible?
- It seems that smaller NNs can be preferred if the data is not complex enough to prevent overfitting
- This is incorrect there are many other preferred ways to prevent overfitting in NNs (such as L2 regularization, dropout, input noise)
- In practice, it is always better to use these methods to control overfitting instead of the number of neurons.

## How many layers?!

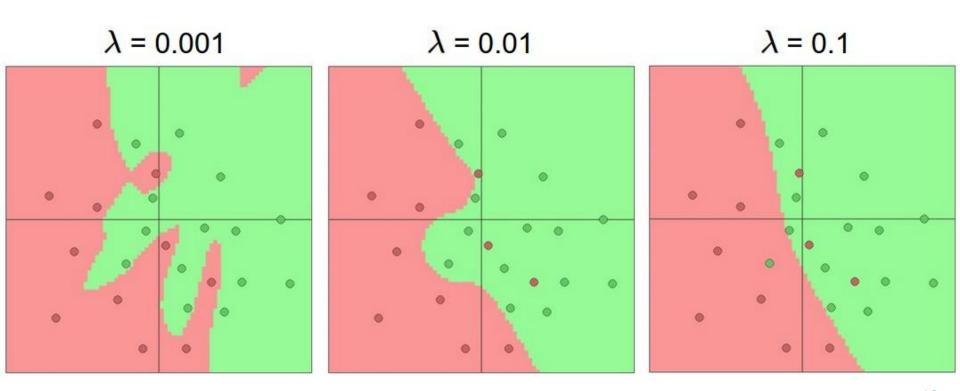
- Smaller networks are harder to train with local methods such as Gradient Descent
- Their loss functions have few local minima, but it turns out that many of these minima are easier to converge to, and that they are bad
- Bigger NNs contain significantly more local minima, but these minima turn out to be much better in terms of their actual loss.

## How many layers?!

- In practice, if you train a small network the final loss can display a good amount of variance in some cases you get lucky and converge to a good place but in some cases you get trapped in one of the bad minima.
- If you train a large network you'll start to find many different solutions, but the variance in the final achieved loss will be much smaller.
- In other words, all solutions are about equally as good, and rely less on the luck of random initialization.

# How to control overfitting?

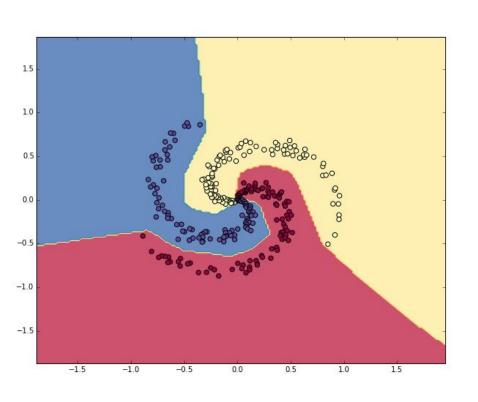
The regularization strength is the preferred way to control the overfitting of a neural network.

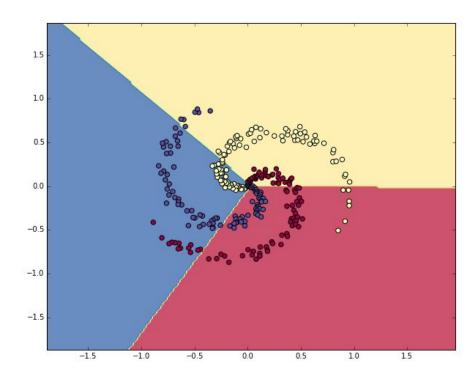


## Final word on sizing of NNs

You should not be using smaller networks because you are afraid of overfitting. Instead, you should use as big of a neural network as your computational budget allows, and use other regularization techniques to control overfitting.

## Linear Classifier vs NN





How to prepare data for Neural Networks?

Wait until next session!



# Thanks! Any questions?