## Lab4 Cross correlation and Spectral Analysis Problems 2024

April 4, 2024

## 1 Cross-correlation (8 marks, 4/4)

It has been shown that noise records at two seismic stations, when cross-correlated and stacked, are very closely associated with the Green's function between these two seismic stations (i.e. given a delta force at one station, the displacement recorded at the other station). On Quercus, two files are given: one is a vertical component seismogram at PHL (Park Hill) and the other is a vertical component seismogram at MLAC (Mammoth Lakes). Both are records for one day (24 hours) on February 1, 2003. Sampling rate is dt = 1.0 seconds.

- 1. Take the cross-correlation (using FFT) of these seismograms using the record at PHL as x(t) and that at MLAC as y(t) and plot  $C_{xy}(\tau)$ . Zoom in your plot between [-250; 250] seconds.
- 2. Bit conversion is often used for the cross-correlation Greens function approach. It simply changes any positive numbers to 1 and negative numbers to -1 (numpy sign() function). Apply this to the data at PHL and MLAC and compute their cross-correlation. Compare the results against those from the previous step. Does bit-conversion work in this case to preserve the phase information of the true cross-correlation? (Note the amplitude of bit-converted cross-correlation is of no meaning).

Hint: for discrete cross-correlation using fft:

- suppose  $x = [x0 \ x1 \ ... \ x(N-1)]; \ y = [y0 \ y1 \ ... \ y(N-1)]$
- note  $conv(x,y)=v=[v0\ v1\ ...\ v(2N-2)]$ , and notice the relationship between convolution and cross-correlation
- also note how the fourier transform of the cross-correlation is related to  $X(w)^*Y(w)$
- if we first pad the end of each x and y array with N-1 zeros, convolution theorem suggests that we can interpret the inverse transform of  $X^*(w)Y(w)$  as the result of a convolution (length 2N-1), w, interpreted as:

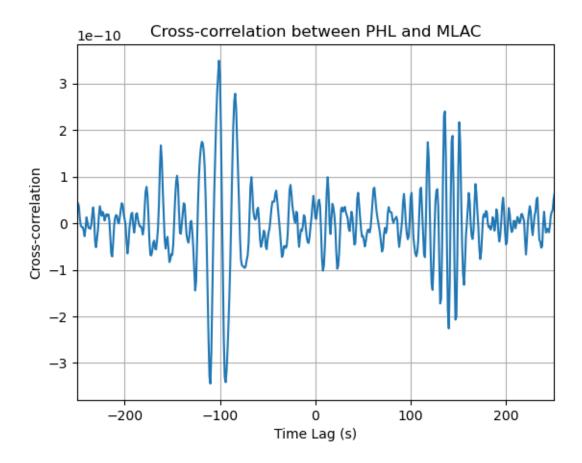
```
 w = ifft(...) = [w[lag = 0] \ w[lag = 1 \ dt] \ ... \ w[lag = (N-1) \ dt] \ w[lag = -(N-1) \ dt] \ ... \ w[lag = -1 \ dt]]
```

- we then must apply fftshift to center the time shift/lag axis at 0
- the point of this fft approach is that it's much faster than directly convolving; you can check against np.correlate(x, y, mode='same')
- "zoom your plot to [-250, 250]" is in reference to the time lag axis in the above process

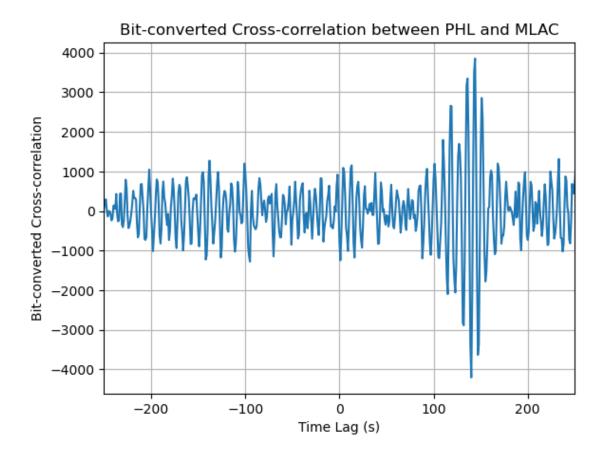
Hint: you can load the ascii file into Python by genfromtxt function: tmp = np.genfromtxt('MLAC\_data.txt') mlac = tmp.flatten()

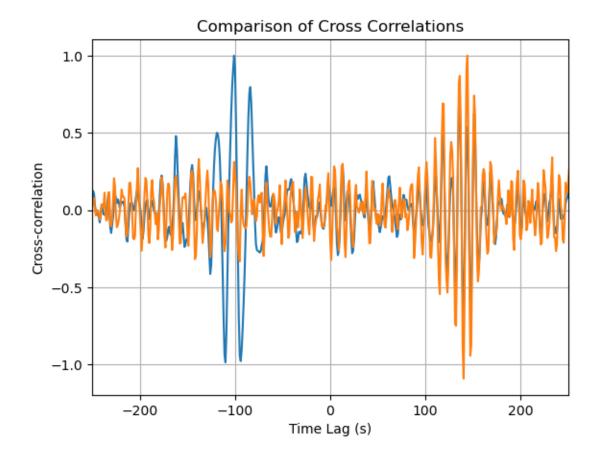
```
[4]: # Collaborated with Abramo Gineura
     import numpy as np
     import matplotlib.pyplot as plt
     # Getting data from the files
     mlac = np.genfromtxt('MLAC_data.txt').flatten()
     phl = np.genfromtxt('PHL_data.txt').flatten()
     dt = 1 # sampling rate in seconds
     ## QUESTION 1
     # Pad arrays with zeros to ensure same length
     N = len(phl)
     phl_padded = np.pad(phl, (0, N - 1), mode='constant')
     mlac_padded = np.pad(mlac, (0, N - 1), mode='constant')
     # Compute FFT of padded arrays
     phl_fft = np.fft.fft(phl_padded)
     mlac_fft = np.fft.fft(mlac_padded)
     # Compute cross-correlation
     correl_fft = np.fft.ifft(phl_fft.conj() * mlac_fft).real
     # Shift and plot cross-correlation
     x axis = np.arange(-N + 1, N)
     correl_fft_shifted = np.fft.fftshift(correl_fft)
     plt.plot(x_axis, correl_fft_shifted)
     # Zoom in the plot
     plt.xlim(-250, 250)
     plt.xlabel('Time Lag (s)')
     plt.ylabel('Cross-correlation')
     plt.title('Cross-correlation between PHL and MLAC')
     plt.grid(True)
     plt.show()
     plt.figure()
     ## QUESTION 2
     # Apply bit conversion to PHL and MLAC data
     phl_bit = np.sign(phl)
     mlac_bit = np.sign(mlac)
     # Pad bit-converted arrays with zeros
     phl_bit_padded = np.pad(phl_bit, (0, N - 1), mode='constant')
     mlac_bit_padded = np.pad(mlac_bit, (0, N - 1), mode='constant')
```

```
# Compute FFT of bit-converted padded arrays
phl_bit_fft = np.fft.fft(phl_bit_padded)
mlac_bit_fft = np.fft.fft(mlac_bit_padded)
# Compute cross-correlation of bit-converted data
correl_bit_fft = np.fft.ifft(phl_bit_fft.conj() * mlac_bit_fft).real
# Shift and plot bit-converted cross-correlation
correl_bit_shifted = np.fft.fftshift(correl_bit_fft)
plt.plot(x_axis, correl_bit_shifted)
# Zoom in the plot
plt.xlim(-250, 250)
plt.grid(True)
plt.xlabel('Time Lag (s)')
plt.ylabel('Bit-converted Cross-correlation')
plt.title('Bit-converted Cross-correlation between PHL and MLAC')
plt.figure()
normalized = correl_fft_shifted/np.max(correl_fft_shifted)
normalized_bit = correl_bit_shifted/np.max(correl_bit_shifted)
plt.grid()
plt.xlabel('Time Lag (s)')
plt.ylabel('Cross-correlation')
plt.title('Comparison of Cross Correlations')
plt.xlim(-250, 250)
plt.plot(x_axis, normalized)
plt.plot(x_axis, normalized_bit)
```



[4]: [<matplotlib.lines.Line2D at 0x7f6e3109b810>]





## 2 Normal Modes from Long Period Seismometer (7 marks, 1/2/2/1/1)

Background: The solid earth 'rings' like a bell after being struck by a great earthquake. These are the normal modes associated with internal structures (density and elastic moduli) of the Earth, and the excitation amplitudes of these modes are determined by the earthquake source mechanism. The frequencies of these normal modes of oscillation are very low, usually between 0.1 milliHertz (mHz) and 10 mHz. It is hard to see them above 10 mHz because these higher frequency modes attenuate quickly, or the frequency spacings are too small to be identified individually. Because the Earth is a complex structure, with twisting, breathing, and more complex spatial structure in its modes, the modal frequencies are not simple multiples of a single fundamental as is the case for a guitar string. They are labelled with a notation (e.g. like  ${}_{0}S_{2}$  for spheroidal modes or like  ${}_{1}T_{8}$  for toroidal modes) based on the spherical harmonic spatial distribution that the mode corresponds to, in the same way that the electron wavefunctions for the Hydrogen atom are labelled. Geophysicists measure these frequencies because they can be used to invert for models of the Earth's internal seismic velocity and density structures. With very high-resolution data, one can even see splitting of these resonances due to the Earth's rotation and elliptical shape, in a matter analogous to the Zeeman splitting of atomic spectral lines. You can also optically detect similar phenomenon ('helioseismology') going on in the sun, from which one can also test models of the sun's interior. (More descriptions can be found on any introductory solid-earth geophysics book). Here we examine three days of very long period seismic data recorded on the horizontal direction at station NWAO (Narrogin, Western Australia) after the devastating  $M_w=9.0$ , Mar 11th, 2011 Honshu, Japan earthquake. Data nwao.vh1 (VH channel,  $dt=10~{\rm sec}$ ) is given as an ascii file with two columns: time and velocity (in counts from the digitizer).

- 1. Plot the raw data with a time axis in hours.
- 2. Plot the power spectrum of your raw data as a function of frequency (in mHz) without any windowing.
- 3. Plot the power spectrum of your raw data after
  - removing the minor linear trend in the same way as Lab 3, and subsequently
  - applying a hanning window  $w_n=1-\cos(2\pi\frac{n}{N}); 0\leq n\leq N$  (where N is the length of the data file)
- 4. Plot on top of each other the power spectra from 2 and 3 between [0.1, 2.6] mHz, and comment on the difference.
- 5. Using plt.annotate(...), identify any normal modes you can see. Use the provided modes.pdf (Table 1) to help guide your identification.

```
[31]: # Collaborated with Abramo Gineura
      ## PART 1
      # Loading data from file
      time, vel = np.loadtxt("nwao.vh1", delimiter=' ', unpack=True)
      hours = [t/3600 \text{ for t in time}]
      dt = 10 #sec
      N = len(hours)
      # Plotting raw data w/ time axis in hours
      plt.title("Velocity vs Time Graph")
      plt.xlabel("Time (hours)")
      plt.plot(hours, vel)
      plt.figure()
      ## PART 2: Plotting the Power Spectrum
      # Computing the Power Spectrum
      fft = np.fft.fft(vel)
      fft_shifted = np.fft.fftshift(fft) # Shift the FFT result
      f_axis = np.fft.fftfreq(len(fft), dt)
      f_axis_shifted = np.fft.fftshift(f_axis) # Shift the frequency axis
      millihertz = f_axis_shifted * 1000 # Convert to millihertz
      power_spec = np.abs(fft_shifted) ** 2
      plt.title("Power Spectrum")
      plt.xlabel("Frequency (mHz)")
      plt.ylabel("Power")
```

```
plt.plot(millihertz, power_spec)
plt.figure()
## PART 3: Plotting the Power Spectrum after Processing
# Removing the linear trend
coefficients = np.polyfit(time, vel, 1)
detrended = vel - (coefficients[0] * time + coefficients[1])
# Applying the Hann Window
hann = 1 - np.cos(2 * np.pi * (np.arange(N)/N))
detrended_windowed = detrended * hann
# Computing the Power Spectrum of the Processed Data
fft_processed = np.fft.fft(detrended_windowed)
fft_processed_shifted = np.fft.fftshift(fft_processed) # Shift the FFT result
power_spec_processed = np.abs(fft_processed_shifted) ** 2
plt.title("Power Spectrum of Processed Data")
plt.xlabel("Frequency (mHz)")
plt.ylabel("Power")
plt.plot(millihertz, power_spec_processed)
plt.figure()
## PART 4: COMPARING POWER SPECTRA
# Normalize the power spectra
normalized_power_spec = power_spec / np.max(power_spec)
normalized_power_spec_processed = power_spec_processed / np.
 →max(power_spec_processed)
# Plotting the normalized Power Spectra from Parts 2 and 3
plt.plot(millihertz, normalized_power_spec, label='Raw Data')
plt.plot(millihertz, normalized_power_spec_processed, label='Processed Data')
plt.title("Normalized Power Spectrum Comparison")
plt.xlabel("Frequency (mHz)")
plt.ylabel("Normalized Power")
plt.xlim(0.1, 2.6)
plt.ylim(0, 0.2)
plt.legend()
## PART 5: NORMAL MODES
# The normal modes are the frequencies where the peaks of the Fourier !!
 ⇔transforms align.
```

```
# For simplicity we will annotate only 5 of them
modes = [(2.279, 0.001), (1.487, 0.001), (1.988, 0.001), (2.111, 0.001), (2.
 456, 0.07 # Coordinates of modes (x, y)
mode_labels = ["4_S_4", "0_T_9", "0_S_12", "0_S_13", "0_S_16"]
# Adjusting the annotation positions and arrow heights for all modes using a_{\sqcup}
\hookrightarrow loop with index i
for i in range(len(modes)):
    mode = modes[i]
    label = mode_labels[i]
    arrow_height = 0.1 * (i + 1) # Increase arrow height for each mode
    plt.annotate(label, mode, xytext=(mode[0], mode[1] + 0.1),
                 arrowprops=dict(arrowstyle='|-|,widthA=0.1,widthB=0.1',
                                  lw=1, mutation_scale=15 * arrow_height))
# Adjust plot limits to ensure all annotations are visible
plt.xlim(1.4, 2.6)
plt.ylim(0, 0.6)
plt.show()
```

