

A DISCUSSION OF THE INTERSECTION OF THE KERNELS OF EACH $(1 + T_i)$ ACTING ON W_{2n+r}^r

MILES JOHNSON & NATALIE STEWART

Natalie comments are magenta.

1. INTRODUCTION

Let $\{T_i\}$ be the transpositions generating the Hecke algebra $\mathcal{H}_{2n+r}(q)$. We assume $q \in \mathbb{C}$. It seems to me so far that the results of this anchor work in an arbitrary field, and that we may only at the end have to restrict to a field of characteristic at least $n + r + 1$ whenever $e \mid n + r + 1$. Usually replacing \mathbb{C}^\times with k^\times is free generality. Let W_{2n+r}^r be the generalized crossingless matchings representation with $2n + r$ nodes, r of which are anchors. Fix the standard basis; we will refer to no other basis in this document. Here we characterize the intersection of the kernels of each $(1 + T_i)$, a subrepresentation of W_{2n+r}^r . I claim this intersection is at most one dimensional, and is nontrivial if and only if q is a $n + r + 1$ st root of unity. I'll stop making this point after this, but this is not equivalent to $e = n + r + 1$.

For compactness, in this document I use \sim to denote "proportional to".

2. RESTRICTING THE KERNEL

Definition 2.1. Fix some basis element $M \in W_{2n+r}^r$. Define $M(a) := b$ iff a and b are matched in M , $M(a) := a$ if a is an anchor in M . Should specify that a, b are integers $1 \leq a, b \leq 2n + r$. Given that M has r' anchors in the range a, \dots, b , define a **sub-matching** $M(a, b)$ of M to be the basis element $K \in W_{b-a+1}^{r'-a+1}$ specified by $K(i) = M(i + a - 1) - a + 1$. This sub-matching is defined for $a < b$ when $M(i) \in \{a, a + 1, \dots, b\}$ for all $i \in \{a, a + 1, \dots, b\}$. See Figure 1.

Define the rainbow element $R \in W_{2n+r}^r$ to be the basis element specified by $R(i) = 2n + 2r - i + 1$ for $i > r$, $R(i) = i$ for $i \leq r$. In other words, the basis element with all anchors to the left then a rainbow.

Proposition 2.2. Let w be an arbitrary vector in W_{2n+r}^r . I claim that if $w \in \cap \ker(1 + T_i)$, the coordinate c of the rainbow element R in w is nonzero.

Proof. Let Y be the set of basis elements with nonzero coordinate in w . Let k be the greatest integer such that there exists $y \in Y$ where $y(1) = \dots = y(k) = 0$ should this be $y(1) - 1 = \dots = y(k) - k = 0$? Also, we should avoid using k as an integer, as it's used elsewhere as a field., and let $U \subset Y$ be the set of such y . In other words, U is the set of basis elements in Y which have the most anchors to the far left.

Suppose $k < r$. Then for each $y \in U$ there exists a minimal $i_y > k + 2$ such that $y(i_y) = 0$. In other words, i_y is the position of the next leftmost anchor in y . Fix \tilde{y} such that $i_{\tilde{y}} \leq i_y$ for all y . Then I claim the basis element $y' := q^{-1/2}(1 + T_{i_{\tilde{y}}-1})\tilde{y}$ has nonzero coordinate in $(1 + T_{i_{\tilde{y}}-1})w$, implying $w \notin \cap \ker(1 + T_i)$. To see this, we can show that \tilde{y} is the only element in Y such that $q^{-1/2}(1 + T_{i_{\tilde{y}}-1})\tilde{y} \sim y'$. y' still has k anchors on the left, and $i_{y'} < i_{\tilde{y}}$, so $y' \notin Y$. If $x \in Y, \notin U$, the basis element proportional to $(1 + T_{i_{\tilde{y}}-1})x$ will have k anchors at the far left only if the next anchor is at a position $i_{x'} > i_{\tilde{y}}$, so it cannot be y' . If $x \in U$ the basis element proportional to $(1 + T_{i_{\tilde{y}}-1})x$ will have anchor at $i_{y'}$ if and only if $i_x = i_{\tilde{y}}$ and $x(i_{\tilde{y}}) = \tilde{y}(i_{\tilde{y}})$. Since this is the only match altered by action $(1 + T_{i_{\tilde{y}}-1})$ on x , if $(1 + T_{i_{\tilde{y}}-1})x \sim y'$ this implies $x = \tilde{y}$. So if $k < r$ w is not in the desired kernel.

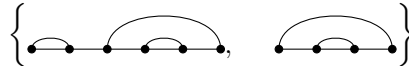


Figure 1. $M \in W_6^0$ is pictured on the left, $K \in W_4^0$ is pictured on the right. $M(3, 6) = K$. $M(2, 5)$ is not defined.

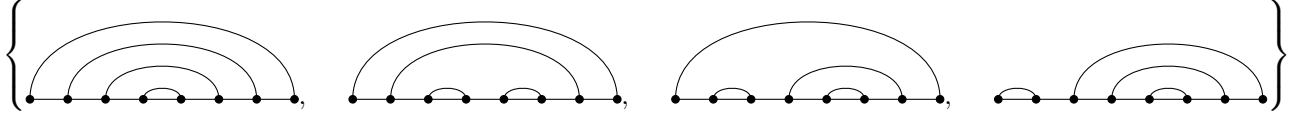


Figure 2. $R_{L,0}, \dots, R_{L,3}$ pictured from left to right

Suppose $k = r$ but $R \notin U$ (so $R \notin Y$). Let us define a sequence of subsets of U in the following way: $U_0 := U$, $U_{i+1} := \{u \in U_i \mid u(r+i+1) = 2n+2r-i+1\}$. Since $R \notin U$, $\exists t < n-1$ such that $U_{t+1} = \emptyset$. Choose $\tilde{u} \in U_t$ such that $\tilde{u}(r+t+1) \geq u(r+t+1)$ for all $u \in U_t$. Consider the basis element $u' := q^{-1/2}(1 + T_{\tilde{u}(r+t+1)})\tilde{u}$. I claim that \tilde{u} is the only element in Y such that $(1 + T_{\tilde{u}(r+t+1)})\tilde{u} \sim u'$, again implying that w is not in the desired kernel. u' still has k anchors on the left, $u'(r+i) = 2n+2r-i+2$, $1 \leq i \leq t$, and $u'(r+t+1) > \tilde{u}(r+t+1)$, so $u' \notin Y$. If $x \in Y, x \notin U$, the basis element x' proportional to $(1 + T_{\tilde{u}(r+t+1)})x$ will have r leftmost anchors only if $x'(r+t+1) < \tilde{u}(r+t+1)$, so $x' \neq u'$. Similarly, if $x \in U, x \notin U_t$, the basis element x' will have the property $x'(r+t) = 2n+2r-t+2$ only if $x'(r+t+1) < \tilde{u}(r+t+1)$, so $x' \neq u'$. If $x \in U_t$, $x'(r+t+1) = u'(r+t+1)$ if and only if $x(r+t+1) = \tilde{u}(r+t+1)$ and $x(x(r+t+1)+1) = \tilde{u}(\tilde{u}(r+t+1)+1)$ (since $u' \notin Y$). These are the only matches altered by the action $(1 + T_{\tilde{u}(r+t+1)})$, so this implies $x = \tilde{u}$. Thus we have proved that if $R \notin Y$, w is not in the desired kernel. \square

Nice. I felt the formalism around matchings ($M(a)$, $M(a, b)$ and all that) made this proof much more clear.

Given a rainbow element R , define the basis elements $R_{R,i}, R_{L,i}$ to be those where you move the middle hump across i humps to the right or left, respectively. Examples are pictured in figure 2. Formally, $R_{R,i} := q^{-i/2}(1 + T_{r+n+i}) \dots (1 + T_{r+n+1})R$, $R_{L,i} := q^{-i/2}(1 + T_{r+n-i}) \dots (1 + T_{r+n-1})R$.

Define $Q_n := (q^n + \dots + 1)/q^{n/2}(-1)^n$ for $n \in \{0, 1, \dots\}$. The following proposition says that, for any element in the kernel, if some basis element y has coordinate c in that element, and if y has a rainbow sub-matching, the basis elements where you replace that sub-matching by the shifted rainbow matchings $R_{L,i}$ or $R_{R,i}$ both have coordinate $Q_i c$ in the kernel element.

Proposition 2.3. *Let w be an element in the kernel intersection $\cap(1 + T_k)$ in some generalized crossingless matchings representation. Let y be a basis element with coordinate c in w . Suppose $\exists a, b$ such that $y(a, b) = R$, the rainbow element. Define the basis elements θ_i, ϕ_i by $\theta_i(1, a-1) = \phi(1, a-1) = y(1, a-1)$, $\theta_i(b+1, 2n) = \phi(b+1, 2n) = y(b+1, 2n)$, $\theta_i(a, b) = R_{R,i}$, $\phi_i(a, b) = R_{L,i}$ (leave θ_i or ϕ_i undefined for any i where $R_{R,i}, R_{L,i}$ are undefined, respectively). The coordinates of ϕ_i and θ_i in w are both $Q_i c$.*

Proof of this proposition requires a simple algebraic fact that will be used throughout this document, so I state it as a lemma.

Lemma 2.4. $Q_1 Q_n - Q_{n-1} = Q_{n+1}$

Proof of lemma.

$$Q_1 Q_n - Q_{n-1} = \frac{-(q+1)}{q^{1/2}} \frac{(-1)^n (q^n + \dots + 1)}{q^{n/2}} - \frac{(-1)^{n-1} (q^{n-1} + \dots + 1)}{q^{(n-1)/2}} = \frac{(-1)^{n+1} (q^{n+1} + 2q^n + \dots + 2q + 1)}{q^{(n+1)/2}} - \frac{(-1)^{n+1} (q^n + \dots + q)}{q^{(n+1)/2}} = \frac{(-1)^{n+1} (q^{n+1} + \dots + 1)}{q^{(n+1)/2}} = Q_{n+1}.$$

Imo the align* environment would help this.

Now let us prove the proposition.

Proof. Consider acting on w by an element $(1 + T_k)$. The coordinate of ϕ_i in $(1 + T_k)w$ will be a linear combination of the coordinates of basis elements sent to ϕ_i by the element $(1 + T_k)$. Specifically, it will be $(1+q)c\alpha + (q^{1/2}) \sum c_\beta$ where $\alpha = 1$ if $y(k) = k+1$, $\alpha = 0$ otherwise, and c_β are the coordinates of all basis elements β where $(1 + T_k)\beta \sim y$.

Let $n := a + b - 1$ and r be the number of anchors in $y(a, b)$. Consider the coordinate of ϕ_i in $(1 + T_{a-1+r+n/2-i})w$. This is the transposition that acts on the "moved middle hump" in $\phi_i(a, b) = R_{L,i}$, as shown in figure 2.3. I claim that the only basis elements β where $(1 + T_{a-1+r+n/2-i})\beta \sim \phi_i$ are ϕ_i and ϕ_{i-1}, ϕ_{i+1} when they exist (we defined $R_{L,i}$ as far out as we can move the hump, so for $0 \leq i < n+r$, and take the analogous domain for ϕ_i).

Note that the action of any $(1 + T_k)$ on a basis element β creates exactly two lines: an arc of length two connecting k and $k+1$, and either an anchor or an arc of length ≥ 2 connecting $\beta(k)$ and $\beta(k+1)$. The



Figure 3. The action of $(1 + T_{a-1+r+n/2-i})$ on $\phi_i, \phi_{i+1}, \phi_{i+1}$ (ordered from top to bottom), shown as the case where y is the rainbow vector in W_8^2 and $i = 2$. I made the last of these a bit taller so that the anchors weren't close to touching the arc.

easiest way to see the claim is to see that the given transposition is surrounded by arcs on both sides, so any basis element sent to the same element can vary from ϕ_i by at most one of those arcs and nothing else.

Let us prove the claim formally: It is easy to see that the action of $(1 + T_{a-1+r+n/2-i})$ will bring $\phi_{i-1}, \phi_i, \phi_{i+1}$ to $\sim \phi$, as shown in figure 2.3. Suppose there was another basis element β sent to ϕ_i by the given transposition. We note that if β contains the arcs or anchors directly to the right and left of the arc $(a-1+r+n/2-i, a-1+r+n/2-i+1)$ in ϕ_i (formally, it contains the arc $(a-1+r+n/2-i-1, a-1+r+n/2-i+2)$ or an anchor at $a-1+r+n/2-i-1$ and the arc $(a-1+r+n/2-i+2, a-1+r+n/2-i+1)$ or an anchor at $a-1+r+n/2-i+2$), it must contain the arc $(a-1+r+n/2-i, a-1+r+n/2-i+1)$ to be a crossingless matching. Thus, if β contains both of these arcs/anchors, $(1 + T_{a-1+r+n/2-i})$ acts as the constant $(1+q)$, so $(1 + T_{a-1+r+n/2-i})\beta \sim \phi_i \Rightarrow \beta \sim \phi$. If β does not contain the left arc/anchor and $(1 + T_{a-1+r+n/2-i})\beta \sim \phi_i$, the action of $(1 + T_{a-1+r+n/2-i})$ must create that arc/anchor, so $\beta(a-1+r+n/2-i-1) = a-1+r+n/2-i$ and $\beta(a-1+r+n/2-i+1) = a-1+r+n/2-i+2$ in the case of an arc or $a-1+r+n/2-i+1$ is an anchor. All other matchings remain unchanged, so this implies $\beta = \phi_{i+1}$. Likewise, if the right arc $((a+b-1)/2-i+2, (a+b-1)/2-i+1)$ does not exist, $\beta = \phi_{i-1}$. For boundary cases, note that for $\phi_0 = \theta_0$, the only other basis element sent to this by the middle transposition is $\phi_1 = \theta_1$. Also note that at the edge case ϕ_{n+r-1} there is not necessarily a left arc, so other elements may be sent to ϕ_{n+r-1} by the given transposition, and this case is unhelpful to us. Lastly, note that our argument was completely symmetric and thus applies to the θ_i case, except that for θ_i we do not have to deal with anchors. Thus the claim is proved.

Given this claim and lemma 2.4, the proposition follows quickly through induction.

Acting by $(1 + T_{a-1+r+n/2})$ on w , the new coordinate of $\phi_0 = y$ is $(q+1)c + q^{1/2}c_{\phi_1}$ where c_{ϕ_1} is the coordinate of ϕ_1 in w . Since w is in the kernel, we have $(q+1)c + q^{1/2}c_{\phi_1} = 0 \Rightarrow c_{\phi_1} = Q_1c$. $\phi_1 = \theta_1$ so this gives us all our base cases.

Acting by $(1 + T_{a-1+r+n/2-i})$ on w , the new coordinate of ϕ_i is $q^{1/2}c_{\phi_{i+1}} + q^{1/2}c_{\phi_{i-1}} + (q+1)c_{\phi_i} = 0$. By the inductive hypothesis, $q^{1/2}c_{\phi_{i+1}} + q^{1/2}Q_{i-1}c + (q+1)Q_i = 0$ so $c_{\phi_{i+1}} = Q_1Q_i - Q_{i-1} = Q_{i+1}$ by lemma 2.4. θ_i is an identical proof, so the proposition follows. \square

This proof is pretty technical, and I don't quite have the time to go through it tonight. I'll go through it more closely later.

We are now ready to prove our first interesting result. Define e as before.

Proposition 2.5. *Let W_{2n+r}^r be a generalized crossingless matchings representation. Suppose e does not divide $n+r+1$. Then $\cap \ker(1 + T_i) = \emptyset$.*

Proof. Suppose $\cap \ker(1 + T_i) = K \neq \emptyset$. Take $w \in K$. By Proposition 2.2, the coordinate of the rainbow vector R is nonzero; suppose the coordinate is c . By proposition 2.3, the coordinates of the basis elements $R_{L,n+r-1}$ and $R_{L,n+r-2}$ are $Q_{n+r-1}c$ and $Q_{n+r-2}c$ respectively.

Consider the coordinate of $R_{L,n+r-1}$ in $(1 + T_1)w$. Using the same logic as in the proof of proposition 2.3, we note that if a basis element β has no anchor at position 3 and is not equal to $R_{L,n+r-2}$, $(1 + T_1)\beta \not\sim R_{L,n+r-1}$. Thus the desired coordinate is equal to $(1 + q)Q_{n+r-1}c + q^{1/2}Q_{n+r-2}c = -q^{1/2}Q_{n+r}c$ by lemma 2.4. Since $w \in K$, we must have $-q^{1/2}Q_{n+r}c = 0$. We have that c is nonzero, and we assume q nonzero, and Q_{n+r} is zero iff q is a root of $q^{n+r} + \dots + 1$, implying $e|n+r+1$. Thus we have arrived at contradiction, and $K = \emptyset$. \square

Nice. Is the goal that basically this style of proof will yield the same result when $e \neq n+r+1$? At any rate, I think a final text should place more emphasis on the fact that proposition 2.3 specifies a one-dimensional subspace containing the kernel; in effect, this specifies that the sign representation appears at most once as a submodule, and gives a formula for when it does.

3. DEFINING THE KERNEL FOR $e = n + r + 1$

In this section we will fully characterize $\cap \ker(1 + T_i)$ when $e = n + r + 1$. Note that we still have not proved the kernel is trivial when e divides but is not equal to $n + r + 1$. That proof requires results from this section, and will come next section.

We will characterize our kernel in a recursive fashion. To motivate this characterization, consider the following lemma. In simpler terms, this lemma states that if a basis element β has some sub-matching $\beta(a, b)$, we may act on β as if we are acting on $\beta(a, b)$.

Lemma 3.1. *Take a basis element $\beta \in W_{2n+r}^r$. Suppose β has some sub-matching $\beta(a, b)$ with r' anchors.*

We may consider the restriction $\text{Res}_{\mathcal{H}_{b-a+1}(q)}^{\mathcal{H}_{2n+r}(q)} W_{2n+r}^r$ of this representation to the algebra generated by transpositions T_a, \dots, T_{b-1} .

Define $Y_\beta \subset \text{Res}_{\mathcal{H}_{b-a+1}(q)}^{\mathcal{H}_{2n+r}(q)} W_{2n+r}^r$ to be the subrepresentation generated by the set of basis elements $\{\alpha \mid \alpha(1, a-1) = \beta(1, a-1), \alpha(b+1, 2n+r) = \beta(b+1, 2n+r)\}$. Then the map $\rho : Y_\beta \rightarrow W_{b-a+1}^{r'}$ defined by

$$\rho(\alpha) = \alpha(a, b)$$

is an isomorphism of representations.

Proof. The map is clearly bijective. Thus it is sufficient to prove the following:

$$\rho(T_{i+a-1}\alpha) = T_i\rho(\alpha)$$

As mentioned in the previous section, the action of a transposition T_i can change at most 4 nodes, so we need to show that the transpositions end up changing the same nodes in the same way in $\rho(T_{i+a-1}\alpha)$ and $T_i\rho(\alpha)$.

Suppose $\alpha(i+a-1) = s, \alpha(i+a) = t$. Then $(T_{i+a-1}\alpha)(i+a-1) = i+a$, $(T_{i+a-1}\alpha)(s) = t$, so $\rho(T_{i+a-1}\alpha)(i) = i+1$, $\rho(T_{i+a-1}\alpha)(s-a+1) = t-a+1$. Separately, $\rho(\alpha)(i) = s-a+1$ and $\rho(\alpha)(i+1) = t-a+1$, so $T_i\rho(\alpha)(i) = i+1$ and $T_i\rho(\alpha)(s-a+1) = t-a+1$ as desired. So the map is an isomorphism and the lemma is proved. \square

The following proposition states the forward direction of our characterization: if the kernel is nontrivial, it must have the following properties.

Proposition 3.2. *Let W_{2n+r}^r be a crossingless matchings representation, and suppose $Q_1, \dots, Q_{n+r-1} \neq 0$. Let $w \in \cap \ker(1 + T_i)$. WLOG the rainbow element R has coordinate 1 in w (by proposition 2.2).*

(i) *For any basis element $\beta \in W_{2n+r}^r$, the coordinate of β in w must be some rational function of q , say $x_\beta(q)$.*

Generally, for any matching α , define x_α to be the rational function corresponding to the coordinate of that basis element in its respective kernel element. We will need to prove this is well defined.

Suppose $\beta(1) = a$. Assume 1 is not an anchor. Then β has two sub-matchings $\alpha_1 = \beta(2, a-1)$ and $\alpha_2 = \beta(a+1, 2n+r)$, and we have the following:

$$(ii) \ x_\beta(q) = x_{\alpha_1}(q)x_{\alpha_2}(q) \frac{Q_{n+r-1} \dots Q_{n+r-(a/2)}}{Q_1 \dots Q_{a/2-1}}$$

If 1 is an anchor, we have a sub-matching $\alpha_3 = \beta(2, 2n+r)$ and I claim $x_\beta(q) = x_{\alpha_3}(q)$.

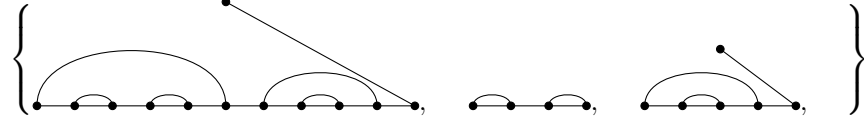


Figure 4. Suppose the second and third elements have coordinates $x_2(q_1)$ and $x_3(q_2)$ in their respective kernel elements, where for q_1 , $e = 3$ and for q_2 $e = 4$. The coordinate of the first element is $x(q) = x_2(q)x_3(q)\frac{Q_5Q_4Q_3}{Q_1Q_2}$, where for q , $e = 7$

Before proving this proposition, it will be useful to clarify exactly what it states.

The first statement of this proposition says that, given an element of the kernel w , we can write the coordinate of any basis element β in w as a rational function of q as long as $Q_1 \dots Q_{n+r-1} \neq 0$.

The second statement is meant to inductively define the coordinate of an arbitrary basis element. The first statement lets this induction make sense. Essentially, the second statement says the following: we can find the coordinate of any basis element by dividing it into two sub-matchings and scaling by a specific constant which depends on the lengths of the sub-matchings.

An illustration of this proposition is shown in figure 4.

The structure of the proof is as follows:

- (1) Use proposition 2.3 to find the coefficient of the basis element with $a/2$ humps then a rainbow element.
- (2) Use proposition 2.3 in a somewhat reversed manner to find the coefficient of the basis element consisting of the rainbow for the first a nodes then the rainbow for the final $2n + r - a$ nodes.
- (3) Use lemma 3.1 to finish the proof through induction.

Proof. Need more specific notation for the rainbow elements of specific representations.

□