

A DISCUSSION OF THE INTERSECTION OF THE KERNELS OF EACH $(1 + T_i)$ ACTING ON W_{2n+r}^r

MILES JOHNSON & NATALIE STEWART

Natalie comments are magenta.

1. INTRODUCTION

Let $\{T_i\}$ be the transpositions generating the Hecke algebra $\mathcal{H}_{2n+r}(q)$. We assume $q \in \mathbb{C}$. It seems to me so far that the results of this anchor work in an arbitrary field, and that we may only at the end have to restrict to a field of characteristic at least $n + r + 1$ whenever $e \mid n + r + 1$. Usually replacing \mathbb{C}^\times with k^\times is free generality. Let W_{2n+r}^r be the generalized crossingless matchings representation with $2n + r$ nodes, r of which are anchors. Fix the standard basis; we will refer to no other basis in this document. Here we characterize the intersection of the kernels of each $(1 + T_i)$, a subrepresentation of W_{2n+r}^r . I claim this intersection is at most one dimensional, and is nontrivial if and only if q is a $n + r + 1$ st root of unity. I'll stop making this point after this, but this is not equivalent to $e = n + r + 1$.

For compactness, in this document I use \sim to denote "proportional to".

2. RESTRICTING THE KERNEL

Definition 2.1. Fix some basis element $M \in W_{2n+r}^r$. Define $M(a) := b$ iff a and b are matched in M , $M(a) := a$ if a is an anchor in M . Should specify that a, b are integers $1 \leq a, b \leq 2n + r$. Given that M has r' anchors in the range a, \dots, b , define a **sub-matching** $M(a, b)$ of M to be the basis element $K \in W_{b-a+1}^{r'-a+1}$ specified by $K(i) = M(i + a - 1) - a + 1$. This sub-matching is defined for $a < b$ when $M(i) \in \{a, a + 1, \dots, b\}$ for all $i \in \{a, a + 1, \dots, b\}$. See Figure 1.

Define the rainbow element $R \in W_{2n+r}^r$ to be the basis element specified by $R(i) = 2n + 2r - i + 1$ for $i > r$, $R(i) = i$ for $i \leq r$. In other words, the basis element with all anchors to the left then a rainbow.

Proposition 2.2. Let w be an arbitrary vector in W_{2n+r}^r . I claim that if $w \in \cap \ker(1 + T_i)$, the coordinate c of the rainbow element R in w is nonzero.

Proof. Let Y be the set of basis elements with nonzero coordinate in w . Let k be the greatest integer such that there exists $y \in Y$ where $y(1) = \dots = y(k) = 0$ should this be $y(1) - 1 = \dots = y(k) - k = 0$? Also, we should avoid using k as an integer, as it's used elsewhere as a field., and let $U \subset Y$ be the set of such y . In other words, U is the set of basis elements in Y which have the most anchors to the far left.

Suppose $k < r$. Then for each $y \in U$ there exists a minimal $i_y > k + 2$ such that $y(i_y) = 0$. In other words, i_y is the position of the next leftmost anchor in y . Fix \tilde{y} such that $i_{\tilde{y}} \leq i_y$ for all y . Then I claim the basis element $y' := q^{-1/2}(1 + T_{i_{\tilde{y}}-1})\tilde{y}$ has nonzero coordinate in $(1 + T_{i_{\tilde{y}}-1})w$, implying $w \notin \cap \ker(1 + T_i)$. To see this, we can show that \tilde{y} is the only element in Y such that $q^{-1/2}(1 + T_{i_{\tilde{y}}-1})\tilde{y} \sim y'$. y' still has k anchors on the left, and $i_{y'} < i_{\tilde{y}}$, so $y' \notin Y$. If $x \in Y, \notin U$, the basis element proportional to $(1 + T_{i_{\tilde{y}}-1})x$ will have k anchors at the far left only if the next anchor is at a position $i_{x'} > i_{\tilde{y}}$, so it cannot be y' . If $x \in U$ the basis element proportional to $(1 + T_{i_{\tilde{y}}-1})x$ will have anchor at $i_{y'}$ if and only if $i_x = i_{\tilde{y}}$ and $x(i_{\tilde{y}}) = \tilde{y}(i_{\tilde{y}})$. Since this is the only match altered by action $(1 + T_{i_{\tilde{y}}-1})$ on x , if $(1 + T_{i_{\tilde{y}}-1})x \sim y'$ this implies $x = \tilde{y}$. So if $k < r$ w is not in the desired kernel.

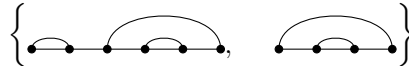


Figure 1. $M \in W_6^0$ is pictured on the left, $K \in W_4^0$ is pictured on the right. $M(3, 6) = K$. $M(2, 5)$ is not defined.

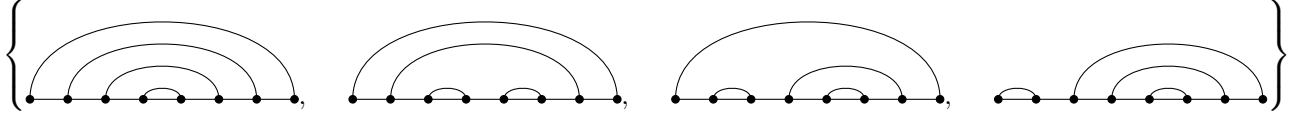


Figure 2. $R_{L,0}, \dots, R_{L,3}$ pictured from left to right

Suppose $k = r$ but $R \notin U$ (so $R \notin Y$). Let us define a sequence of subsets of U in the following way: $U_0 := U$, $U_{i+1} := \{u \in U_i \mid u(r+i+1) = 2n+2r-i+1\}$. Since $R \notin U$, $\exists t < n-1$ such that $U_{t+1} = \emptyset$. Choose $\tilde{u} \in U_t$ such that $\tilde{u}(r+t+1) \geq u(r+t+1)$ for all $u \in U_t$. Consider the basis element $u' := q^{-1/2}(1 + T_{\tilde{u}(r+t+1)})\tilde{u}$. I claim that \tilde{u} is the only element in Y such that $(1 + T_{\tilde{u}(r+t+1)})\tilde{u} \sim u'$, again implying that w is not in the desired kernel. u' still has k anchors on the left, $u'(r+i) = 2n+2r-i+2$, $1 \leq i \leq t$, and $u'(r+t+1) > \tilde{u}(r+t+1)$, so $u' \notin Y$. If $x \in Y, x \notin U$, the basis element x' proportional to $(1 + T_{\tilde{u}(r+t+1)})x$ will have r leftmost anchors only if $x'(r+t+1) < \tilde{u}(r+t+1)$, so $x' \neq u'$. Similarly, if $x \in U, x \notin U_t$, the basis element x' will have the property $x'(r+t) = 2n+2r-t+2$ only if $x'(r+t+1) < \tilde{u}(r+t+1)$, so $x' \neq u'$. If $x \in U_t$, $x'(r+t+1) = u'(r+t+1)$ if and only if $x(r+t+1) = \tilde{u}(r+t+1)$ and $x(x(r+t+1)+1) = \tilde{u}(\tilde{u}(r+t+1)+1)$ (since $u' \notin Y$). These are the only matches altered by the action $(1 + T_{\tilde{u}(r+t+1)})$, so this implies $x = \tilde{u}$. Thus we have proved that if $R \notin Y$, w is not in the desired kernel. \square

Nice. I felt the formalism around matchings ($M(a)$, $M(a,b)$ and all that) made this proof much more clear.

Given a rainbow element R , define the basis elements $R_{R,i}, R_{L,i}$ to be those where you move the middle hump across i humps to the right or left, respectively. Examples are pictured in figure 2. Formally, $R_{R,i} := q^{-i/2}(1 + T_{r+n+i}) \dots (1 + T_{r+n+1})R$, $R_{L,i} := q^{-i/2}(1 + T_{r+n-i}) \dots (1 + T_{r+n-1})R$.

Define $Q_n := (q^n + \dots + 1)/q^{n/2}(-1)^n$ for $n \in \{0, 1, \dots\}$. The following proposition says that, for any element in the kernel, if some basis element y has coordinate c in that element, and if y has a rainbow sub-matching, the basis elements where you replace that sub-matching by the shifted rainbow matchings $R_{L,i}$ or $R_{R,i}$ both have coordinate $Q_i c$ in the kernel element.

Proposition 2.3. *Let w be an element in the kernel intersection $\cap(1 + T_k)$ in some generalized crossingless matchings representation. Let y be a basis element with coordinate c in w . Suppose $\exists a, b$ such that $y(a, b) = R$, the rainbow element. Define the basis elements θ_i, ϕ_i by $\theta_i(1, a-1) = \phi(1, a-1) = y(1, a-1)$, $\theta_i(b+1, 2n) = \phi(b+1, 2n) = y(b+1, 2n)$, $\theta_i(a, b) = R_{R,i}$, $\phi_i(a, b) = R_{L,i}$ (leave θ_i or ϕ_i undefined for any i where $R_{R,i}, R_{L,i}$ are undefined, respectively). The coordinates of ϕ_i and θ_i in w are both $Q_i c$.*

Need to note that some of these may not be sub-matchings

Proof of this proposition requires a simple algebraic fact that will be used throughout this document, so I state it as a lemma.

Lemma 2.4. $Q_1 Q_n - Q_{n-1} = Q_{n+1}$

Proof of lemma.

$$Q_1 Q_n - Q_{n-1} = \frac{-(q+1)}{q^{1/2}} \frac{(-1)^n (q^n + \dots + 1)}{q^{n/2}} - \frac{(-1)^{n-1} (q^{n-1} + \dots + 1)}{q^{(n-1)/2}} = \frac{(-1)^{n+1} (q^{n+1} + 2q^n + \dots + 2q + 1)}{q^{(n+1)/2}} - \frac{(-1)^{n+1} (q^n + \dots + q)}{q^{(n+1)/2}} = \frac{(-1)^{n+1} (q^{n+1} + \dots + 1)}{q^{(n+1)/2}} = Q_{n+1}.$$

Now let us prove the proposition.

Proof. Consider acting on w by an element $(1 + T_k)$. The coordinate of ϕ_i in $(1 + T_k)w$ will be a linear combination of the coordinates of basis elements sent to ϕ_i by the element $(1 + T_k)$. Specifically, it will be $(1+q)c\alpha + (q^{1/2}) \sum c_\beta$ where $\alpha = 1$ if $y(k) = k+1$, $\alpha = 0$ otherwise, and c_β are the coordinates of all basis elements β where $(1 + T_k)\beta \sim y$.

Let $n := a + b - 1$ and r be the number of anchors in $y(a, b)$. Consider the coordinate of ϕ_i in $(1 + T_{a-1+r+n/2-i})w$. This is the transposition that acts on the "moved middle hump" in $\phi_i(a, b) = R_{L,i}$, as shown in figure 2.3. I claim that the only basis elements β where $(1 + T_{a-1+r+n/2-i})\beta \sim \phi_i$ are ϕ_i and ϕ_{i-1}, ϕ_{i+1} when they exist (we defined $R_{L,i}$ as far out as we can move the hump, so for $0 \leq i < n+r$, and take the analogous domain for ϕ_i).



Figure 3. The action of $(1 + T_{a-1+r+n/2-i})$ on $\phi_i, \phi_{i+1}, \phi_{i+1}$ (ordered from top to bottom), shown as the case where y is the rainbow vector in W_8^2 and $i = 2$. I made the last of these a bit taller so that the anchors weren't close to touching the arc. NOTE: I've commented out the Action label because it is multiply defined. I'm not sure why each figure is labeled with the same label, or how this compiles on your machine.

Note that the action of any $(1 + T_k)$ on a basis element β creates exactly two lines: an arc of length two connecting k and $k + 1$, and either an anchor or an arc of length ≥ 2 connecting $\beta(k)$ and $\beta(k + 1)$. The easiest way to see the claim is to see that the given transposition is surrounded by arcs on both sides, so any basis element sent to the same element can vary from ϕ_i by at most one of those arcs and nothing else.

Let us prove the claim formally: It is easy to see that the action of $(1 + T_{a-1+r+n/2-i})$ will bring $\phi_{i-1}, \phi_i, \phi_{i+1}$ to $\sim \phi$, as shown in figure 2.3. Suppose there was another basis element β sent to ϕ_i by the given transposition. We note that if β contains the arcs or anchors directly to the right and left of the arc $(a-1+r+n/2-i, a-1+r+n/2-i+1)$ in ϕ_i (formally, it contains the arc $(a-1+r+n/2-i-1, a-1+r+n/2-i+2)$ or an anchor at $a-1+r+n/2-i-1$ and the arc $(a-1+r+n/2-i+2, a-1+r+n/2-i+1)$ or an anchor at $a-1+r+n/2-i+2$), it must contain the arc $(a-1+r+n/2-i, a-1+r+n/2-i+1)$ to be a crossingless matching. Thus, if β contains both of these arcs/anchors, $(1 + T_{a-1+r+n/2-i})$ acts as the constant $(1 + q)$, so $(1 + T_{a-1+r+n/2-i})\beta \sim \phi_i \Rightarrow \beta \sim \phi$. If β does not contain the left arc/anchor and $(1 + T_{a-1+r+n/2-i})\beta \sim \phi_i$, the action of $(1 + T_{a-1+r+n/2-i})$ must create that arc/anchor, so $\beta(a-1+r+n/2-i-1) = a-1+r+n/2-i$ and $\beta(a-1+r+n/2-i+1) = a-1+r+n/2-i+2$ in the case of an arc or $a-1+r+n/2-i+1$ is an anchor. All other matchings remain unchanged, so this implies $\beta = \phi_{i+1}$. Likewise, if the right arc $((a+b-1)/2-i+2, (a+b-1)/2-i+1)$ does not exist, $\beta = \phi_{i-1}$. For boundary cases, note that for $\phi_0 = \theta_0$, the only other basis element sent to this by the middle transposition is $\phi_1 = \theta_1$. Also note that at the edge case ϕ_{n+r-1} there is not necessarily a left arc, so other elements may be sent to ϕ_{n+r-1} by the given transposition, and this case is unhelpful to us. Lastly, note that our argument was completely symmetric and thus applies to the θ_i case, except that for θ_i we do not have to deal with anchors. Thus the claim is proved.

Given this claim and lemma 2.4, the proposition follows quickly through induction.

Acting by $(1 + T_{a-1+r+n/2})$ on w , the new coordinate of $\phi_0 = y$ is $(q+1)c + q^{1/2}c_{\phi_1}$ where c_{ϕ_1} is the coordinate of ϕ_1 in w . Since w is in the kernel, we have $(q+1)c + q^{1/2}c_{\phi_1} = 0 \Rightarrow c_{\phi_1} = Q_1c$. $\phi_1 = \theta_1$ so this gives us all our base cases.

Acting by $(1 + T_{a-1+r+n/2-i})$ on w , the new coordinate of ϕ_i is $q^{1/2}c_{\phi_{i+1}} + q^{1/2}c_{\phi_{i-1}} + (q+1)c_{\phi_i} = 0$. By the inductive hypothesis, $q^{1/2}c_{\phi_{i+1}} + q^{1/2}Q_{i-1}c + (q+1)Q_i = 0$ so $c_{\phi_{i+1}} = Q_1Q_i - Q_{i-1} = Q_{i+1}$ by lemma 2.4. θ_i is an identical proof, so the proposition follows. \square

This proof is pretty technical, and I don't quite have the time to go through it tonight. I'll go through it more closely later.

We are now ready to prove our first interesting result. Define e as before.

Proposition 2.5. *Let W_{2n+r}^r be a generalized crossingless matchings representation. Suppose e does not divide $n + r + 1$. Then $\cap \ker(1 + T_i) = \emptyset$.*

Proof. Suppose $\cap \ker(1 + T_i) = K \neq \emptyset$. Take $w \in K$. By Proposition 2.2, the coordinate of the rainbow vector R is nonzero; suppose the coordinate is c . By proposition 2.3, the coordinates of the basis elements $R_{L,n+r-1}$ and $R_{L,n+r-2}$ are $Q_{n+r-1}c$ and $Q_{n+r-2}c$ respectively.

Consider the coordinate of $R_{L,n+r-1}$ in $(1 + T_1)w$. Using the same logic as in the proof of proposition 2.3, we note that if a basis element β has no anchor at position 3 and is not equal to $R_{L,n+r-2}$, $(1 + T_1)\beta \not\sim R_{L,n+r-1}$. Thus the desired coordinate is equal to $(1 + q)Q_{n+r-1}c + q^{1/2}Q_{n+r-2}c = -q^{1/2}Q_{n+r}c$ by lemma 2.4. Since $w \in K$, we must have $-q^{1/2}Q_{n+r}c = 0$. We have that c is nonzero, and we assume q nonzero, and Q_{n+r} is zero iff q is a root of $q^{n+r} + \dots + 1$, implying $e|n + r + 1$. Thus we have arrived at contradiction, and $K = \emptyset$. \square

Nice. Is the goal that basically this style of proof will yield the same result when $e \neq n + r + 1$? At any rate, I think a final text should place more emphasis on the fact that proposition 2.3 specifies a one-dimensional subspace containing the kernel; in effect, this specifies that the sign representation appears at most once as a submodule, and gives a formula for when it does.

3. DEFINING THE KERNEL FOR $e = n + r + 1$

This is more of a thing to discuss when integrating into the draft, but this needs to be restructured in order to fit in. In this section we will fully characterize $\cap \ker(1 + T_i)$ when $e = n + r + 1$. We will prove that it is one dimensional and give a basis. Note that we still have not proved the kernel is trivial when e divides but is not equal to $n + r + 1$. That proof requires results from this section, and will come next section.

The following proposition states the forward direction of our characterization: if the kernel is nontrivial, it must have the following properties.

May just be a me thing, but I find the amount of vspace and the centering on the proposition statements to be visually confusing.

Proposition 3.1. *Let W_{2n+r}^r be a crossingless matchings representation, and suppose $Q_1, \dots, Q_{n+r-1} \neq 0$. Let $w \in \cap \ker(1 + T_i)$. WLOG assume the rainbow element R has coordinate 1 in w (by proposition 2.2).*

(i) *For any basis element $\beta \in W_{2n+r}^r$, the coordinate of β in w must be some rational function of q , say $x_\beta(q)$.*

Generally, for any matching α , define x_α to be the rational function corresponding to the necessary coordinate of that basis element in its respective kernel element. These functions are defined recursively in the next statement.

Suppose $\beta(1) = a$. Assume 1 is not an anchor. Then β has two sub-matchings $\alpha_1 = \beta(2, a - 1)$ and $\alpha_2 = \beta(a + 1, 2n + r)$, and we have the following:

$$(ii) \ x_\beta(q) = x_{\alpha_1}(q)x_{\alpha_2}(q) \frac{Q_{n+r-1} \dots Q_{n+r-(a/2)}}{Q_1 \dots Q_{a/2-1}}$$

If 1 is an anchor, we have a sub-matching $\alpha_3 = \beta(2, 2n + r)$ and I claim $x_\beta(q) = x_{\alpha_3}(q)$.

This may be a stylistic thing, but the phrase “I claim” in a written proof feels weird to me. Before proving this proposition, it will be useful to clarify exactly what it states.

I’m not going to mention it again, but this vspacing is visually confusing to me. I’ve been meaning to bring this up because I’m not exactly sure how to use this; I generally use it sparingly (not often and \leq line height) to separate large chunks in proofs. It’s fair if you like it this way, but I’d be a bit confused reading this.

The first statement of this proposition says that, given an element of the kernel w , we can write the coordinate of any basis element β in w as a rational function of q as long as $Q_1 \dots Q_{n+r-1} \neq 0$. Is this a bit redundant? This just seems like the whole statement.

The second statement is meant to inductively define the coordinate of an arbitrary basis element. The first statement lets this induction make sense. Essentially, the second statement says the following: we can find the coordinate of any basis element by dividing it into two sub-matchings and scaling by a specific constant which depends on the lengths of the sub-matchings.

An illustration of this proposition is shown in figure 4.

The structure of the proof is as follows:

- (1) Use proposition 2.3 to find the coefficient of the basis element with $a/2$ humps then a rainbow element.

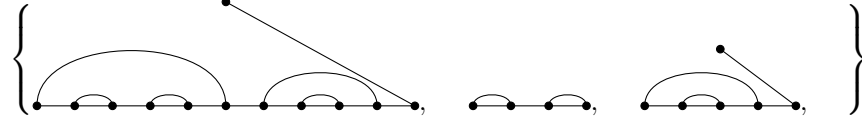


Figure 4. Suppose the second and third elements have coordinates $x_2(q_1)$ and $x_3(q_2)$ in their respective kernel elements, where for q_1 , $e = 3$ and for q_2 $e = 4$. The coordinate of the first element is $x(q) = x_2(q)x_3(q) \frac{Q_5 Q_4 Q_3}{Q_1 Q_2}$, where for q , $e = 7$

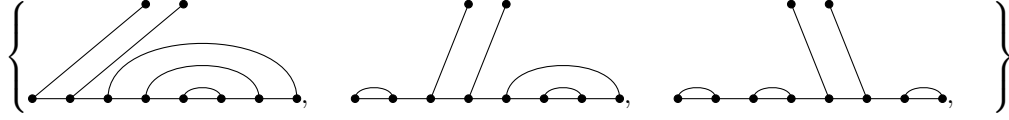


Figure 5. In order, the rainbow element, R_1 , and R_2 . The coordinate of the rainbow element is 1. The coordinate of R_1 is Q_4 . The coordinate of R_2 is $Q_4 Q_3$. Generally, R_i is the element with i humps then a rainbow element, and has coordinate $Q_{n+r-1} \dots Q_{n+r-i}$.

- (2) Use proposition 2.3 in a somewhat reversed manner to find the coefficient of the basis element consisting of the rainbow for the first a nodes then the rainbow for the final $2n + r - a$ nodes.
- (3) Finish the proof through induction.

I feel this would be better in a paragraph than an enumerated list; it isn't complicated enough that a list really does anything but give an informal tone IMO.

Proof. Note that statement (i) was necessary to state (ii), but it is natural to prove both statements together, so this is how we will proceed.

By proposition 2.2 the rainbow element has coordinate 1 in $w \in W_{2n+r}^r$. **Wasn't this already stated in the proposition?** By proposition 2.3 the element $R_1 := R_{L,n+r-1}$ has coordinate Q_{n+r-1} in w . Then $R_1(3, 2n+r)$ is the rainbow element in $W_{2(n-1)+r}^r$, so the element R_2 defined by $R_2(1, 2) = R_1(1, 2)$, $R_2(3, 2n+r) = R_{L,n+r-2} \in W_{2(n-1)+r}^r$ has coordinate $Q_{n-1}Q_{n-2}$. **the element R_2 defined by submatchings $R_2(1, 2) := R_1(1, 2)$ and $R_2(3, 2n+r) := \dots$** Generally, define R_i by $R_i(1, 2(i-1)) = R_{i-1}(1, 2(i-1))$, $R_i(2i-1, 2n+r) = R_{L,2n+r-i}$. Then the coefficient of R_i is $Q_{n+r-1} \dots Q_{n+r-i}$. These elements are shown in figure 5.

Now define basis elements E_i by $E_i(2i+1, 2n+r) = R_i(2i+1, 2n+r)$, $E_i(1, 2i) = R$, R the appropriate rainbow element. By the same argument as above, if E_i has coordinate c in w , R_i has coordinate $Q_{i-1} \dots Q_1 c$. One way to make this more clear is to consider intermediate basis elements $\alpha_j^{E_i}$ defined by $\alpha_j^{E_i}(2i+1, 2n+r) = E_i(2i+1, 2n+r)$ and $\alpha_j^{E_i}(1, 2i) = R_{L,j}$. Then the coordinates of $\alpha_j^{E_i}(2i+1, 2n+r)$ in terms of the coordinate c of E_i are $Q_{i-1} \dots Q_{i-j}$, and $R_i = \alpha_{i-1}^{E_i}$.

Since we assume $Q_i \neq 0$ for $i < n+r$, this implies the coefficient of E_i is $\frac{Q_{n+r-1} \dots Q_i}{Q_1 \dots Q_{i-1}}$. In particular, returning to our desired basis element β , the coordinate of $E_{a/2}$ is $\frac{Q_{n+r-1} \dots Q_{a/2}}{Q_1 \dots Q_{a/2-1}}$.

Now, I claim that this method can be applied to any rainbow sub-matching in exactly the same way. In other words, extraneous nodes add no complexity to this argument. **If it is significant enough to be stated as "I claim", it may be better put in the claim* environment, or as a lemma.**

Formally, given some basis element α , suppose $\alpha(s, t) = R$ with $2n' + r'$ nodes and r' anchors, and that α has coordinate c . Then the basis elements θ_i defined by $\theta_i(1, s-1) = \alpha(1, s-1)$, $\theta_i(t+1, 2n+r) = \alpha(t+1, 2n+r)$, and $\theta_i(s, t) = E_i$ have coordinates $\frac{Q_{n'+r'-1} \dots Q_{n'+r'-i}}{Q_1 \dots Q_{i-1}} c$.

An example is given in figure 6.

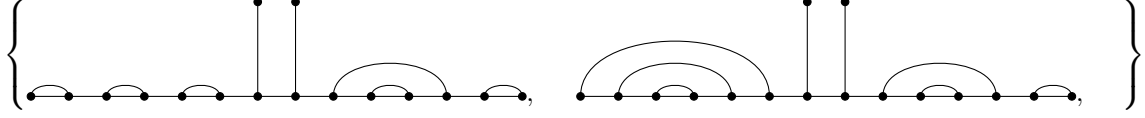


Figure 6. The figure on the left has submatching R_3 ignoring the last two nodes. The figure on the right has sub-matching E_3 also ignoring the last two nodes. Since the last two nodes have the same structure for both elements, if the coordinate of the second element is c , the coordinate of the first is Q_2Q_1c .

Suppose inductively that... To turn this into an inductive proof, suppose statements (i) and (ii) are true for all representations with $2n' + r' < 2n + r$ nodes.

Consider the set of basis elements $B = \{\alpha | \alpha(a+1, 2n+r) = R\}$. We have shown that the element $\alpha_R \in B$ defined by $\alpha_R(1, a) = R$ has nonzero coefficient $\frac{Q_{n+r-1} \dots Q_{a/2}}{Q_1 \dots Q_{a/2-1}}$. So, by our inductive hypothesis, the element $\tilde{\alpha}_1 \in B$ defined by $\tilde{\alpha}_1(1, a) = \beta(1, a) = \alpha_1$ has coefficient $x_{\alpha_1} \frac{Q_{n+r-1} \dots Q_{a/2}}{Q_1 \dots Q_{a/2-1}}$.

Similarly, consider the set of basis elements $B' = \{v | v(1, a) = \alpha_1\}$. We have shown that the element $v_R = \tilde{\alpha}_1$ has nonzero coefficient $x_{\alpha_1} \frac{Q_{n+r-1} \dots Q_{a/2}}{Q_1 \dots Q_{a/2-1}}$. So, by our inductive hypothesis, β has coefficient $x_{\alpha_2} x_{\alpha_1} \frac{Q_{n+r-1} \dots Q_{a/2}}{Q_1 \dots Q_{a/2-1}}$ and the inductive step is proven.

Note that if the first node is an anchor, we need only consider the sub-matching of the rainbow element $R(2, 2n+r)$ and use the inductive hypothesis. Thus the inductive step is proven in all cases.

For base cases, we consider those representations with 2 or less nodes. If there is only one node, it must be an anchor. In this case, the single anchor element is the only element and it is the rainbow element, so it has coefficient 1. For two nodes, if there are two anchors we may use the inductive step. Otherwise, there is one match, which is again the only element and is the rainbow element. Since the number 1 is a rational function of q , the base cases are proved.

So, by induction, the proposition holds. **Two comments on the inductive argument:**

- (1) IMO inductive arguments flow better when they begin with the base case.
- (2) I don't think that the verbose treatment of the induction argument is necessary or helpful; one actually needs to use the word induction once. I think a good structure is "We will prove inductively that ... For the base case $2n+r=2$... suppose *inductive hypothesis*...qed", which doesn't require one to remind the reader that they're reading an inductive argument.

□

I do like this proof above, and I like the clear sectioning. I think it might even be nice to see a *Step n* at the beginning of each step as well as a small vspace for separation.

The following few corollaries will help to simplify some later proofs. "some later arguments" ("proofs" feels like it breaks the tone).

Corollary 3.2. Let $w \in \cap \ker(1 + T_i)$, $w \neq 0$. Suppose $\beta(1, a)$ is a sub-matching with no anchors. Then:

$$x_\beta = x_{\beta(1,a)}(q) x_{\beta(a+1, 2n+r)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-a/2}}{Q_1 \dots Q_{a/2-1}}$$

Proof. Define $a_1 = \beta(1)$, $a_i = \beta(a_{i-1} + 1)$. Then for some j we have $a_j = a$. If $j = 1$, the statement is the same as the proposition. Suppose that the statement is true for any matching with $a_v = a$, $v < j$. Then the statement holds for the sub-matching $\beta(a_1 + 1, 2n+r)$, and we have:

the align* environment would help this a lot.

$$\begin{aligned} x_\beta(q) &= x_{\beta(1,a_1)}(q) x_{\beta(a_1+1, 2n+r)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-a_1/2}}{Q_1 \dots Q_{a_1/2-1}} = \\ &= x_{\beta(1,a_1)}(q) x_{\beta(a_1+1,a)}(q) x_{\beta(a+1, 2n+r)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-a_1/2}}{Q_1 \dots Q_{a_1/2-1}} \frac{Q_{n+r-a_1/2-1} \dots Q_{n+r-a/2}}{Q_1 \dots Q_{a/2-a_1/2-1}} = \end{aligned}$$

$$\begin{aligned}
& x_{\beta(1,a_1)}(q)x_{\beta(a_1+1,a)}(q)x_{\beta(a+1,2n+r)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-a_1/2}}{Q_1 \dots Q_{a_1/2-1}} \frac{Q_{n+r-a_1/2-1} \dots Q_{n+r-a/2}}{Q_1 \dots Q_{a/2-a_1/2-1}} \left(\frac{Q_{a/2-1} \dots Q_{a/2-a_1/2}}{Q_{a/2-1} \dots Q_{a/2-a_1/2}} \right) = \\
& x_{\beta(1,a_1)}(q)x_{\beta(a_1+1,a)}(q)x_{\beta(a+1,2n+r)}(q) \frac{Q_{a/2-1} \dots Q_{a/2-a_1/2}}{Q_1 \dots Q_{a_1/2-1}} \frac{Q_{n+r-1} \dots Q_{n+r-a/2}}{Q_1 \dots Q_{a/2-1}} = \\
& = x_{\beta(1,a)}(q)x_{\beta(a+1,2n+r)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-a/2}}{Q_1 \dots Q_{a/2-1}}
\end{aligned}$$

This proves the inductive step, so with base case $j = 1$ the corollary holds. I don't think this needs to be said. \square

Corollary 3.3. if $\beta \in W_{2n+r}^r$ is a basis element... $\beta \in W_{2n+r}^r$, then $x_\beta(q) \neq 0$ if $e > n + r$.

Proof. For our base cases, if $2n + r = 2$ all coefficients are 1, which is nonzero for any q .

Assume the statement is true for all $2n' + r' < 2n + r$. We have

$$x_\beta(q) = x_{\alpha_1}(q)x_{\alpha_2}(q) \frac{Q_{n+r-1} \dots Q_{n+r-(a/2)}}{Q_1 \dots Q_{a/2-1}}$$

or

$$x_\beta(q) = x_{\beta(2,2n+r)}(q)$$

If $e > n + r$, non of the Q_i terms are zero, and $n' + r' < n + r < e$ for any of the sub-matchings that appear, so those coordinates are nonzero and the corollary holds. \square

The previous proposition fully characterizes any possible kernel element when $Q_1 \dots Q_{n+r-1} \neq 0$. In particular, the following corollary holds:

Corollary 3.4. *When $Q_1 \dots Q_{n+r-1} \neq 0$ and the kernel is nontrivial, the kernel is one dimensional.*

This corollary follows from the fact that we may write the coordinate of any basis element as proportional to the coordinate of the rainbow basis element.

I like this section a lot, and especially how the argument unfolds with the corollaries. One comment I have is that the separation between this and the next section would be handled much better with subsections than a horizontal line.

The rest of this seems technical. I'll pick it back up tomorrow morning.

The remainder of this section will be used to prove that when $e = n + r + 1$, the element specified by proposition 3.2 is indeed an element of the kernel.

In service of this goal, a few lemmas will be helpful. The first lemma states that sub-matchings behave identically to the corresponding representations.

Lemma 3.5. *Take a basis element $\beta \in W_{2n+r}^r$. Suppose β has some sub-matching $\beta(a,b)$ with r' anchors.*

We may consider the restriction $\text{Res}_{\mathcal{H}_{b-a+1}(q)}^{\mathcal{H}_{2n+r}(q)} W_{2n+r}^r$ of this representation to the algebra generated by transpositions T_a, \dots, T_{b-1} .

Define $Y_\beta \subset \text{Res}_{\mathcal{H}_{b-a+1}(q)}^{\mathcal{H}_{2n+r}(q)} W_{2n+r}^r$ to be the subrepresentation generated by the set of basis elements $\{\alpha \mid \alpha(1, a-1) = \beta(1, a-1), \alpha(b+1, 2n+r) = \beta(b+1, 2n+r)\}$. Then the map $\rho : Y_\beta \rightarrow W_{b-a+1}^{r'}$ defined by

$$\rho(\alpha) = \alpha(a, b)$$

is an isomorphism of representations.

Proof. The map is clearly bijective. Thus it is sufficient to prove the following:

$$\rho(T_{i+a-1}\alpha) = T_i\rho(\alpha)$$

As mentioned in the previous section, the action of a transposition T_i can change at most 4 nodes, so we need to show that the transpositions end up changing the same nodes in the same way in $\rho(T_{i+a-1}\alpha)$ and $T_i\rho(\alpha)$.

Suppose $\alpha(i + a - 1) = s, \alpha(i + a) = t$. Then $(T_{i+a-1}\alpha)(i + a - 1) = i + a, (T_{i+a-1}\alpha)(s) = t$, so $\rho(T_{i+a-1}\alpha)(i) = i + 1, \rho(T_{i+a-1}\alpha)(s - a + 1) = t - a + 1$. Separately, $\rho(\alpha)(i) = s - a + 1$ and $\rho(\alpha)(i + 1) = t - a + 1$, so $T_i\rho(\alpha)(i) = i + 1$ and $T_i\rho(\alpha)(s - a + 1) = t - a + 1$ as desired. So the map is an isomorphism and the lemma is proved. \square

To verify the kernel element, we will need to know exactly which basis elements are mapped to a specific basis element by a given $(1 + T_i)$. The next two lemmas help address this question.

Lemma 3.6. *Take some basis element $\beta \in W_{2n+r}^r$. Suppose $\beta(a) = b$ for some $b > a + 1$, and that $(1 + T_i)\beta = (1 + q)\beta$ for some $a < i < b - 1$. We then have a subrepresentation $\beta(a, b)$ and can define Y_β as in the previous lemma. Then for all basis elements α such that $(1 + T_i)\alpha = q^{1/2}\beta$, we have that*

$$\alpha \in Y_\beta$$

Similarly, if β has some anchor at position u , and $(1 + T_i)\beta = (1 + q)\beta$ for some $i > u$, we again have a subrepresentation $\beta(u, 2n + r)$ and may define Y_β as before. Then for all basis elements α such that $(1 + T_i)\alpha = q^{1/2}\beta$, we have that $\alpha \in Y_\beta$ again.

Proof. This lemma follows from an observation I made in section 2: a transposition can only create two arcs or an arc and an anchor.

For the first case of this lemma, if $\alpha \notin Y_\beta$ either $\alpha(1, a - 1) \neq \beta(1, a - 1)$ or $\alpha(b + 1, 2n + r) \neq \beta(b + 1, 2n + r)$. Suppose it is the first case. Then for some $s, t \in [1, a - 1]$, $s < t$, we have $\beta(s) = t$ and $\alpha(s) \neq t$. To have $(1 + T_i)\alpha = q^{1/2}\beta$ we must have $\alpha(t) = i + 1, \alpha(s) = i$. But then $\alpha(a) \neq b$ and $\alpha(a) \neq i$ or $i + 1$, so $((1 + T_i)\alpha)(a) \neq b$ and $(1 + T_i)\alpha \neq q^{1/2}\beta$. The same argument proves the $\alpha(b + 1, 2n + r) \neq \beta(b + 1, 2n + r)$ case.

An analogous argument proves the anchor case. Specifically, the anchor cannot exist at position u and is not created by action of $(1 + T_i)$ if $\alpha(s) = i$ and $\alpha(t) = i + 1$. \square

It is important to note that lemma 3.6 only references cases where a transposition acts under an arc or to the right of an anchor. An example is given in figure 7.

The next lemma characterizes cases where the transposition is not under any arcs and all anchors are to the right.

Essentially, this lemma states that the only elements sent to the same element are those which break at most one of the top level arcs to the left of the leftmost anchor, or that break the leftmost anchor. An illustration is given in figure 8.

Lemma 3.7. *Take a basis element $\beta \in W_{2n+r}^r$. Suppose the leftmost anchor in β is at index b . Define a_j such that $\beta(a_j) = a_{j-1} + 1$ and $\beta(a_1) = 1$ for all j such that $a_j < b$.*

Suppose $(1 + T_i)\beta = (1 + q)\beta$ for some $i < b - 1$ where $\nexists s, t$ such that $\beta(s) = t$ and $s < i, t > i + 1$. Suppose there is some basis element α such that $(1 + T_i)\alpha = q^{1/2}\beta$. Then I claim the following:

$$(i) \beta(a_{j-1} + 2, a_j - 1) = \alpha(a_{j-1} + 2, a_j - 1) \text{ for all } j.$$

$$(ii) \beta(b + 1, 2n + r) = \alpha(b + 1, 2n + r)$$

(iii) If b is not an anchor in α , $\beta(a_j) = \alpha(a_j)$ for all j such that $a_j \neq i + 1$.

(iii) If b is an anchor in α , there exists exactly one value of j such that $\alpha(a_j) \neq \beta(a_j)$ and $a_j \neq i + 1$

Proof. (i)

Suppose that, for some j there exists $s, t \in [a_{j-1} + 2, a_j - 1]$ such that $\beta(s) = t$ but $\alpha(s) \neq t$. Then if $(1 + T_i)\alpha = q^{1/2}\beta$ we must have $\alpha(i) = s$ or t and $\alpha(i+1) = s$ or t . But, by definition, $i, i+1 \notin [a_{j-1} + 1, a_j]$, so this implies $\alpha(a_j) \neq a_{j-1} + 1, i, i+1$, so $((1 + T_i)\alpha)(a_j) \neq a_{j-1} + 1$ and $(1 + T_i)\alpha \neq q^{1/2}\beta$. So (i) is proved.

(ii)

The proof of (ii) is analogous to the proof of (i). We cannot have $\beta(b + 1, 2n + r) \neq \alpha(b + 1, 2n + r)$ and $\beta(b + 1, 2n + r) = ((1 + T_i)\alpha)(b + 1, 2n + r)$ if $((1 + T_i)\alpha)(b) = b$.

(iii)

If b is not an anchor in α and $(1 + T_i)\alpha = q^{1/2}\beta$, we must have i an anchor in α , and $\alpha(i + 1) = b$. No other nodes in α are changed, so this proves (iii).

(iii) From (i)-(iii) we have that the only remaining matchings that can differ are the $(a_{j-1} + 1, a_j)$ matchings. If one of them differs, by the same argument as before it must be fixed by the action of $(1 + T_i)$, and no other nodes are changed, so (iii) is proved. \square

We are now ready to prove existence of a kernel element. To prove this, we will show that if $w \in W_{2n+r}^r$ is as characterized above, the coordinate of any basis element in $(1 + T_i)w$ is zero. This will split into various cases related to the previous lemmas.

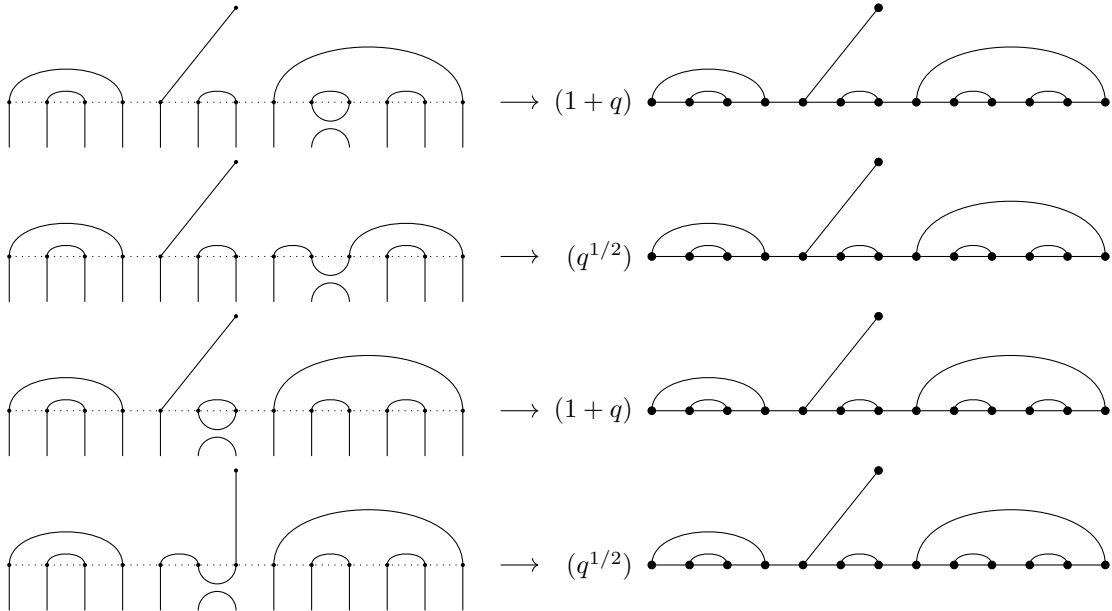


Figure 7. In the first line we act under an arc, so if another element without that arc is sent to that element, it must fix the arc as shown in the second line. In the third line we act to the right of an anchor, so if another element without that anchor is sent to that element, it must fix the anchor as shown in the fourth line. **This will be handled when we fully assemble the draft, but the figures should be made to fit the margins via adjustbox if possible**

Proposition 3.8. *Suppose $e = n + r + 1$. Then $\cap \ker(1 + T_i) \neq \emptyset$.*

Proof. Assume inductively that the statement holds for all $W_{2n'+r'}^{r'}$ where $2n' + r' < 2n + r$.

As a base case, when $2n' + r' \leq 2$, the representation is at most one dimensional. If the one basis element has only anchors, it is sent to zero by any $(1 + T_i)$, and is in the kernel. If the single basis element is a single arc, it is sent to $(1 + q)$ times itself, and we take $e = n + r + 1 = 2$ so $1 + q = 0$ and the base case holds.

Now we will prove the inductive step. Take w as recursively defined by proposition 3.1. Formally, suppose x_α is the rational function corresponding to the coordinate of α in its respective kernel element for $\alpha \in W_{2n'+r'}^{r'}$, $2n' + r' < 2n + r$. Then we define w by its coordinate vector: $\beta \in W_{2n+r}^r$, if $\beta(1) = a \neq 1$ then the coordinate of β is $x_{\beta(2,a-1)}(q)x_{a+1,2n+r}(q)\frac{Q_{n-1}\dots Q_{a/2}}{Q_1\dots Q_{a/2-1}}$; if $\beta(1) = 1$ then the coordinate of β is $x_{\beta(2,2n+r)}(q)$.

Let $E_\beta \subset W_{2n+r}^r$ be the pre-image of $\beta \in (1 + T_i)W_{2n+r}^r$ under the action of $(1 + T_i)$. To prove w is in the kernel, we must show the following:

$$(i) (1 + q)x_\beta(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_\alpha(q) = 0 \text{ for all basis elements } \beta$$

Inductively, we assume this equation holds for basis elements in smaller representations $W_{2n'+r'}^{r'}$, but only for q such that $e = n' + r' + 1$. For the following proof we will need a slightly stronger inductive assumption. Take $\beta' \in W_{2n'+r'}^{r'}$, and suppose either that $\beta'(1) = 2n' + r'$, and that $T_i\beta' = (1 + q)\beta'$, $1 < i < 2n' + r' - 1$, or that 1 is an anchor in β . Defining $E_{\beta'}$ as before, we assume

$$(ii) (1 + q)x_{\beta'} + \sum_{\alpha \in E_{\beta'}, \alpha \neq \beta'} q^{1/2}x_\alpha = 0 \text{ for any } q \text{ with } e > n' + r'$$

To prove the inductive step for both (i) and (ii), we must split into cases:

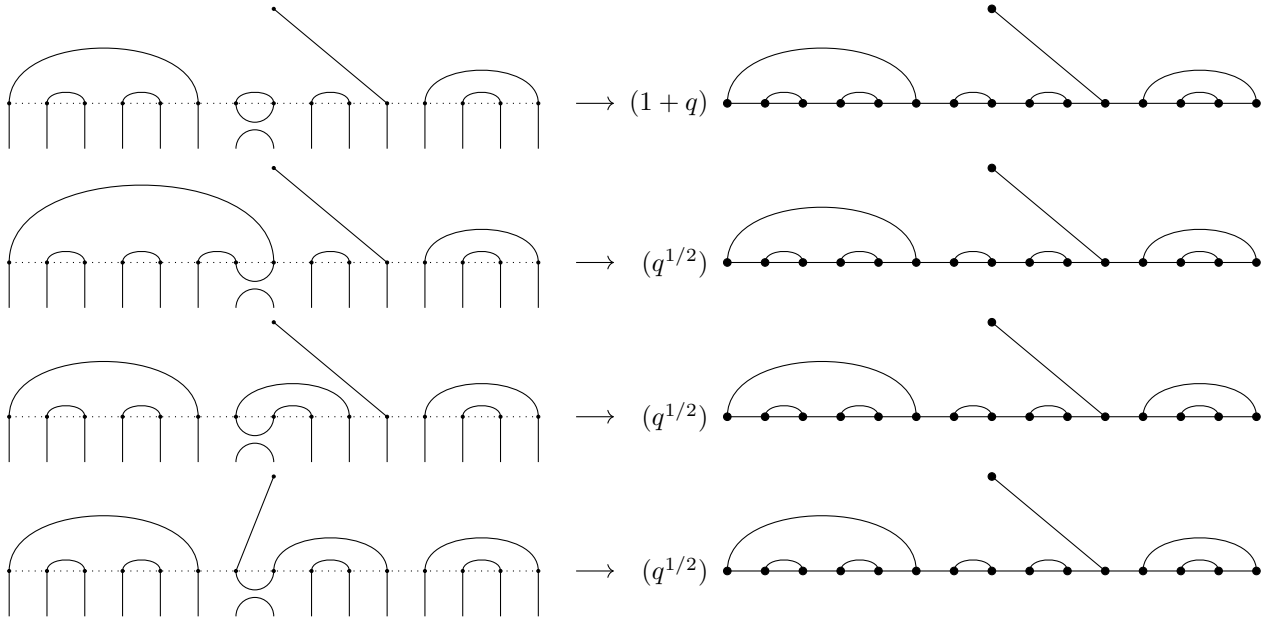


Figure 8. The action of $(1 + T_7)$ fixes the first basis element. Shown are all the basis vectors sent to the same element by the same transposition. Note that in all of them nodes 2-5 and 12-15 are the same. This illustrates (i) and (ii) in lemma 3.5. Note that in the last case where the anchor is in a different place, 1,6 and 9,10 are still matched. This illustrates (iii). In the middle two cases where the anchor is in the same place, only one of 1,6 or 9,10 are not paired. This illustrates (iiii).

- (1) Suppose $\beta \in (1 + T_i)W_{2n+r}^r$ for some i , and that $\exists s, t$ such that $s < i < t - 1$, $s > 1$ or $t < 2n + r$, and $\beta(s) = t$. Also suppose the leftmost anchor is at some index $u > t$. Then we have a sub-matching $\beta(s, t)$, and by lemma 3.6 $E_\beta \subset Y_\beta$. Then, using corollary 3.2, the following equality holds:

$$\begin{aligned} (1 + q)x_\beta(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_\alpha(q) = \\ (x_{\beta(1, s-1)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-(s-1)/2}}{Q_1 \dots Q_{(s-1)/2-1}})((1 + q)x_{\beta(s, 2n+r)}(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_{\alpha(s, 2n+r)}(q)) = \\ (x_{\beta(t+1, 2n+r)}(q) \frac{Q_{n+r-(s-1)/2-1} \dots Q_{n+r-t/2}}{Q_1 \dots Q_{(t-s+1)/2-1}})(x_{\beta(1, s-1)}(q) \frac{Q_{n+r-1} \dots Q_{n+r-(s-1)/2}}{Q_1 \dots Q_{(s-1)/2-1}})((1 + q)x_{\beta(s, t)}(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_{\alpha(s, t)}(q)) \end{aligned}$$

We have that $e > j$ for any Q_j term appearing in the equation above, and $e > n' + r'$ for any sub-matching coordinate appearing above, so by corollary 3.3:

$$(1 + q)x_\beta(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_\alpha(q) = 0$$

if and only if

$$(1 + q)x_{\beta(s, t)}(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_{\alpha(s, t)}(q) = 0$$

Note that $(\beta(s, t))(1) = t - s + 1$. So by our inductive hypothesis (ii), we have

$$(1 + q)x_{\beta(s, t)}(q) + \sum_{\alpha \in E_{\beta(s, t)}, \alpha \neq \beta(s, t)} q^{1/2}x_\alpha(q) = 0$$

By lemma 3.5, if $\alpha \in Y_\beta$, $(1 + T_i)\alpha = q^{1/2}\beta$ if and only if $(1 + T_{i-s+1})\alpha(s, t) = q^{1/2}\beta(s, t)$, so the previous equation implies

$$(1 + q)x_{\beta(s, t)}(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_{\alpha(s, t)}(q) = 0$$

as desired, and this case is proved.

- (2) Again take $\beta \in (1 + T_i)W_{2n+r}^r$ for some i , but suppose the leftmost anchor is at some position u where $1 < u < i$. Then, as before, we have a sub-matching $\beta(u, 2n + r)$ and by lemma 3.6 $E_\beta \subset Y_\beta$.

Note that in both corollary 3.2 and our inductive hypothesis (ii) we specified cases involving anchors. This allows the exact same logic from the proof of the first case to prove this case.

- (3) Suppose $\beta \in (1 + T_i)W_{2n+r}^r$ for some i , the leftmost anchor is at a position $u > i + 1$, and $\nexists s, t$ such that $\beta(s) = t$ and $s < i < t - 1$. Lemma 3.7 characterizes all $\alpha \in E_\beta$. We would like to prove the following for arbitrary q where $e > n + r$:

$$(1 + q)x_\beta(q) + \sum_{\alpha \in E_\beta, \alpha \neq \beta} q^{1/2}x_\alpha(q) = -q^{1/2}x_{\beta(1, i-1)}(q)x_{\beta(i+2, 2n+r)}(q) \frac{Q_{n+r} \dots Q_{n+r-(i-1)/2}}{Q_1 \dots Q_{(i-1)/2}}$$

See figure 9 for an example of this equality.

We will prove this equality through yet another inductive proof, this time inducting on the number of top level humps to the left of the rightmost anchor.

Formally, as we have in earlier lemmas, we will define a_j by $a_1 := \beta(1)$, $a_j := \beta(a_{j-1} + 1)$. Then $a_b = u$ for some $b > 1$.

□