

# INTRO TO CORE FWAT MODELS

March 27, 2014

Brad Eichelberger, Doug Denu, Perrine Hamel, Shan Ma, Yonas Ghile

# InVEST MODELS

Freshwater and Terrestrial models



# RESOURCES

## QUESTIONS? ISSUES WITH THE MODELS?

- InVEST **User's guide**: [www.naturalcapitalproject.org/ models/ models.html](http://www.naturalcapitalproject.org/models/models.html)  
(latest version online, pdf version of the current release with download)
- InVEST **forums**: <http://ncp-yamato.stanford.edu/natcapforums/>
- **Literature**: how other people used the models!

# FWAT MODELS

## CURRENT SUITE OF TOOLS



ANNUAL WATER  
YIELD  
HYDROPOWER



NUTRIENT  
WATER  
PURIFICATION



SEDIMENT  
WATER  
PURIFICATION



CARBON STORAGE  
CLIMATE  
REGULATION



HABITAT QUALITY  
BIODIVERSITY



HABITAT RISK  
ASSESSMENT



TIMBER  
PRODUCTION



POLLINATOR  
ABUNDANCE  
CROP POLLINATION

# FWAT MODELS IN DEVELOPMENT



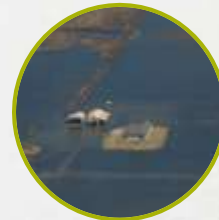
MONTHLY WATER  
YIELD  
HYDROPOWER,  
IRRIGATION



NUTRIENT  
WATER  
PURIFICATION



SEDIMENT  
WATER  
PURIFICATION



FLOOD  
MITIGATION



AGRICULTURE

# CARBON STORAGE AND SEQUESTRATION

Brad Eichelberger





# CARBON BACKGROUND

- Carbon dioxide is a greenhouse gas
- Terrestrial systems store 4 times more carbon than atmosphere
- Land use change can release carbon, or store it
- Whole world is impacted by release/storage anywhere



# CARBON BACKGROUND

- Climate change mitigation
  - Reforestation
  - Soil management
  - Plantation practices
  - Crop practices
- Carbon markets
- Reducing deforestation and forest degradation (REDD)
- Kyoto Protocol





# EXISTING MODELS

- U.S. Forest Service has several:
  - MC1 - global vegetation dynamics
  - FVS - tree growth and yield simulator
  - FORCARB2 - stock and change projections
  - Complex, often U.S.-centric
- CENTURY – plant-soil nutrient cycling
- LPJml – global managed vegetation and water balance
  - Very complex set of input parameters
  - More details than many users need

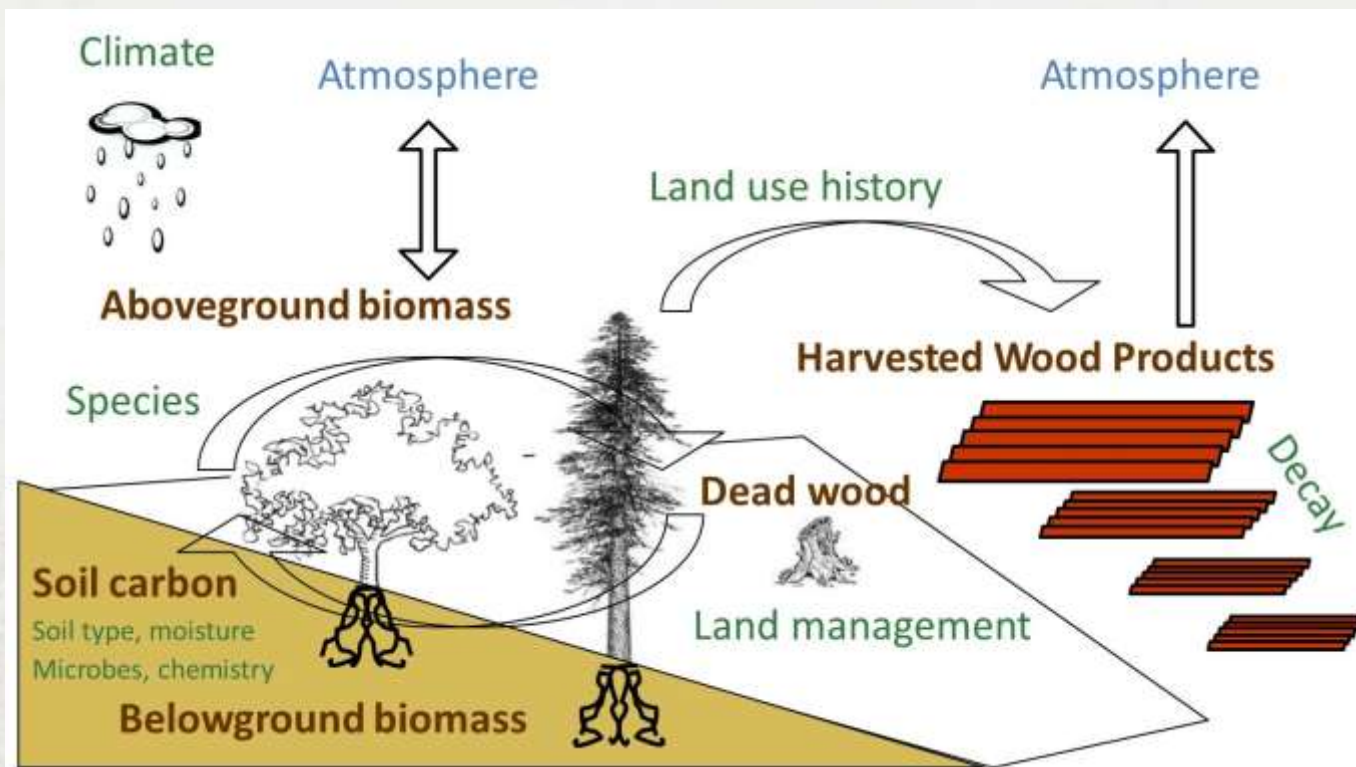


# InVEST CARBON STORAGE MODEL

- In the Tier 1 model we estimate carbon stock as a function of land use/land cover.
- *Storage* indicates the mass of carbon in an ecosystem at any given point in time.
- *Sequestration* indicates the change in carbon storage in an ecosystem over time.
- Valuation is applied to sequestration.

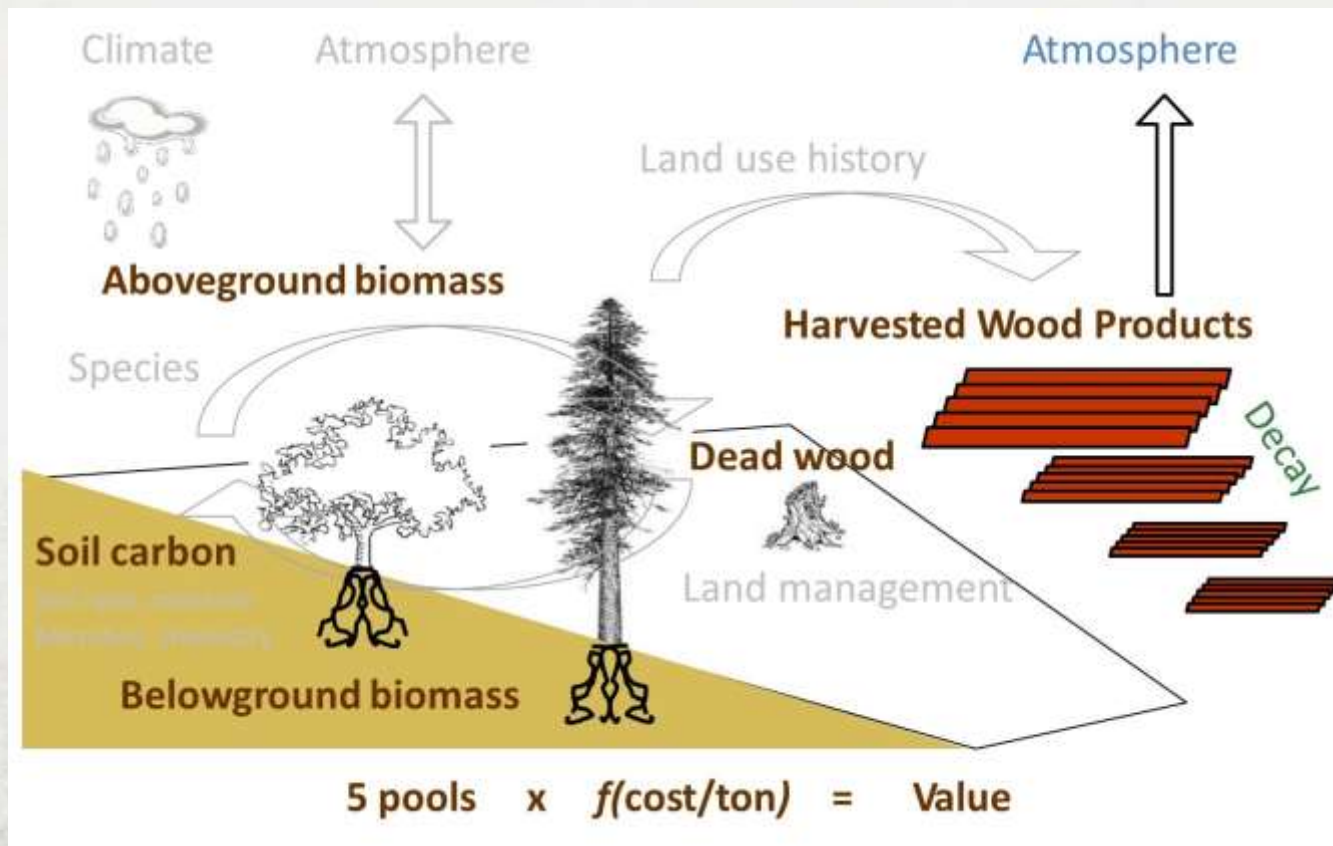


# CARBON - OVERVIEW

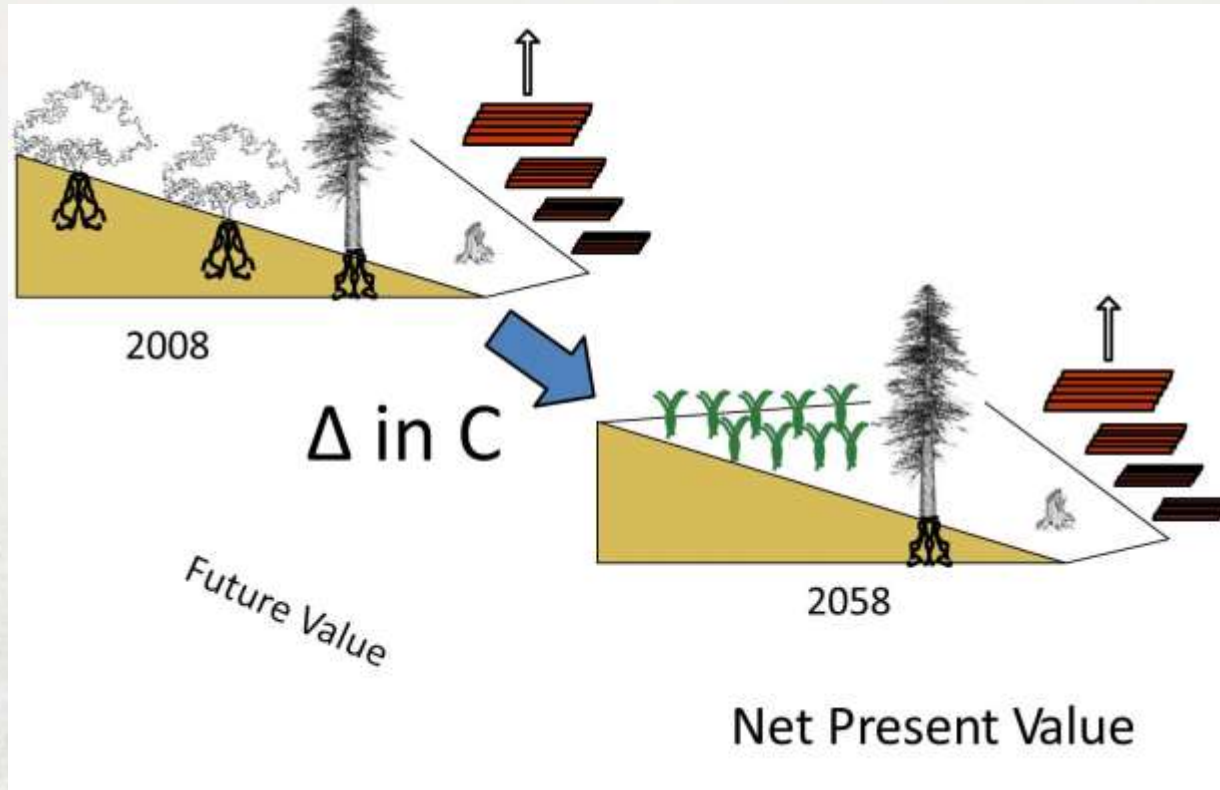




# InVEST CARBON STORAGE MODEL



# SEQUESTRATION AND VALUE





# APPROACH TO VALUATION

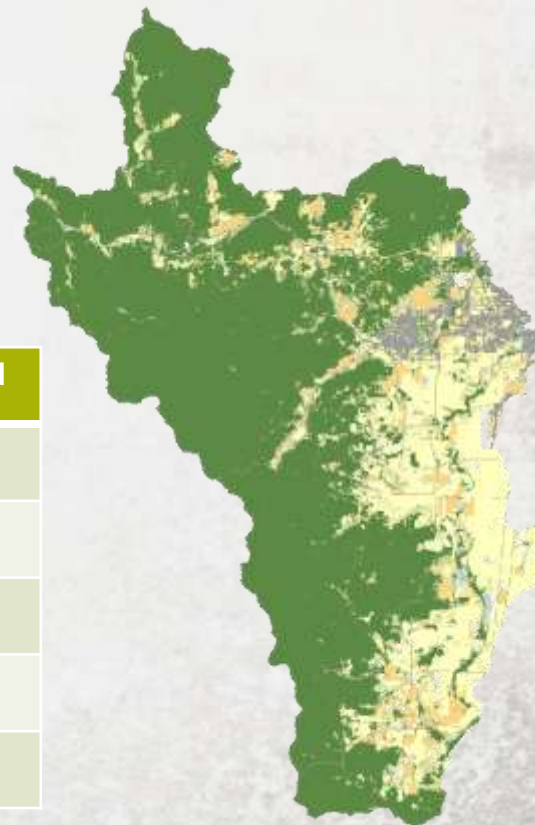
- Net Present Value is a function of:
  - Social cost or market price of carbon
  - Social or market discount rate
  - Rate of change in carbon value
- Carbon model is most appropriate for valuing **the social cost of carbon**: What is the benefit from avoiding damage from CO<sub>2</sub> release?



# INPUT DATA

- Required data:
  - Land use/land cover (LULC map)
  - Table of carbon pools (metric tons/ha):

LULC	LULC_name	C_above	C_below	C_soil	C_dead
1	Forest	140	70	35	12
2	Coffee	65	40	25	6
3	Pasture/grass	15	35	30	4
4	Shrubs	30	30	30	13
5	Open/urban	5	5	15	2



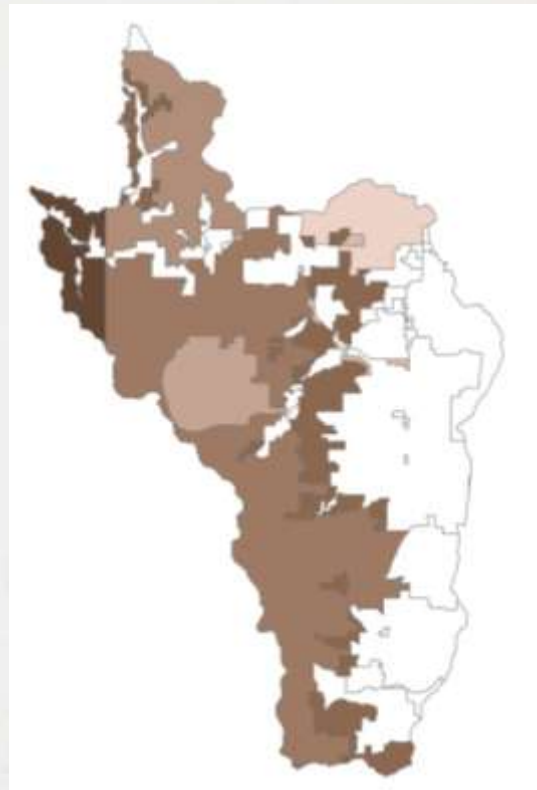
# CARBON POOL DATA

- Local plot studies
- Published analysis on similar regions
- IPCC tables

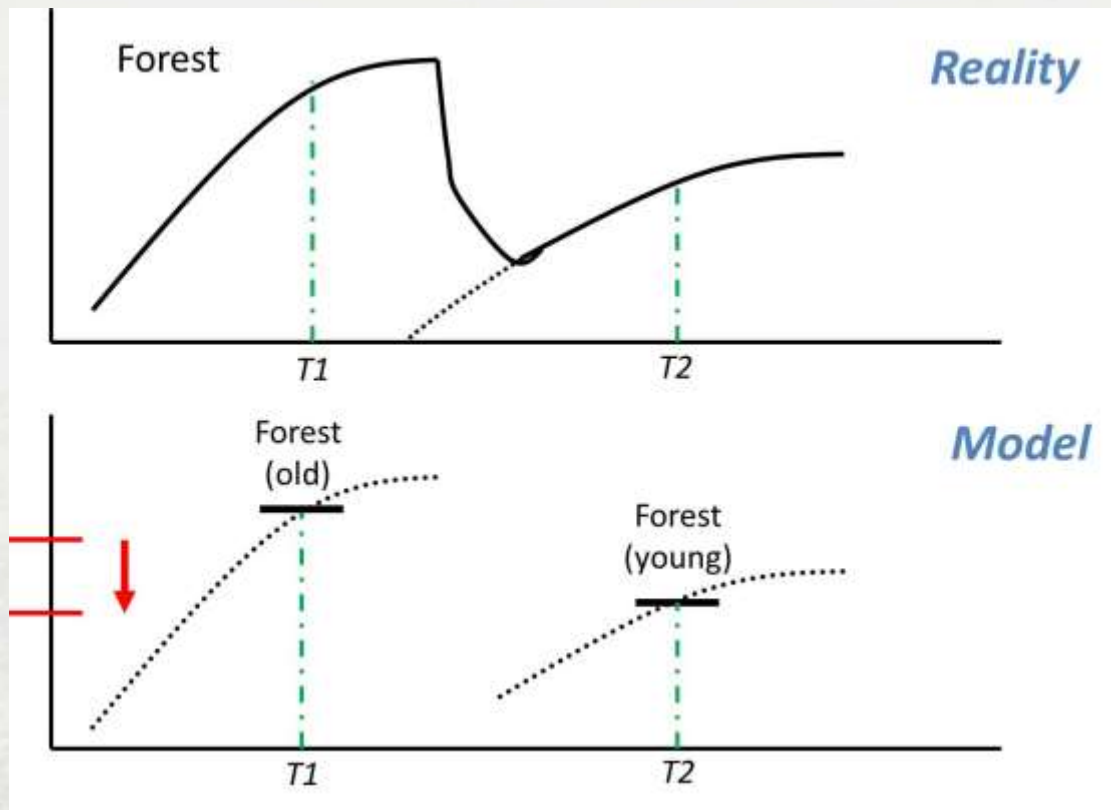
<b>Ecological zone</b>	<b>Continent</b>	<b>Above-ground biomass (tonnes d.m. ha<sup>-1</sup>)</b>
Tropical rain forest	Africa	310 (130-510)
	North and South America	300 (120-400)
	Asia (continental)	280 (120-680)
	Asia (insular)	350 (280-520)
Tropical dry forest	Africa	260 (160-430)
	North and South America	210 (200-410)
	Asia (continental)	130 (100-160)
	Asia (insular)	160

# OPTIONAL DATA

- Future land use map
- Economic data (carbon value, discount rate)
- Timber harvest parcels
  - Frequency of harvest
  - Annual harvest amount
  - Decay rate of wood products
  - Density/volume factors
- REDD scenarios



# LAND COVER TRANSITIONS





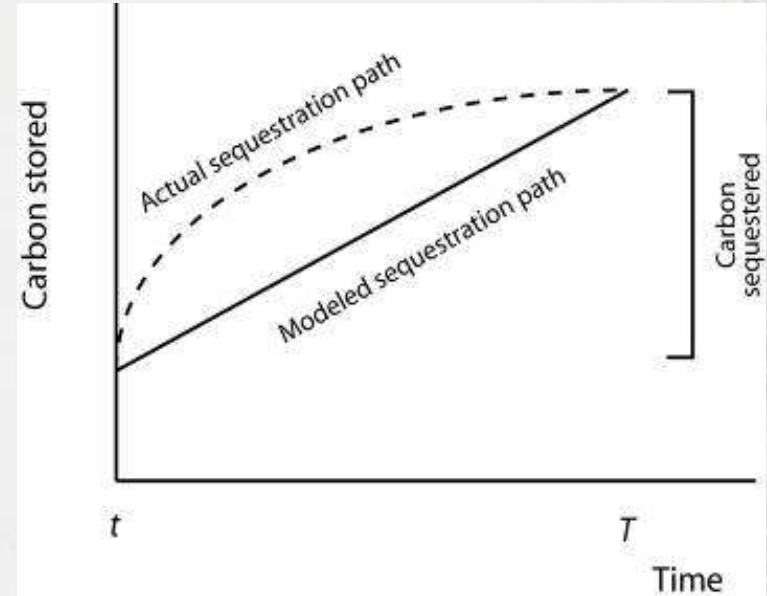
# OUTPUT

- Map of current carbon storage (Mg C / cell)
- Map of future carbon storage – if future land use provided
- Carbon sequestration (future – present storage)
- Map of economic value of carbon sequestered



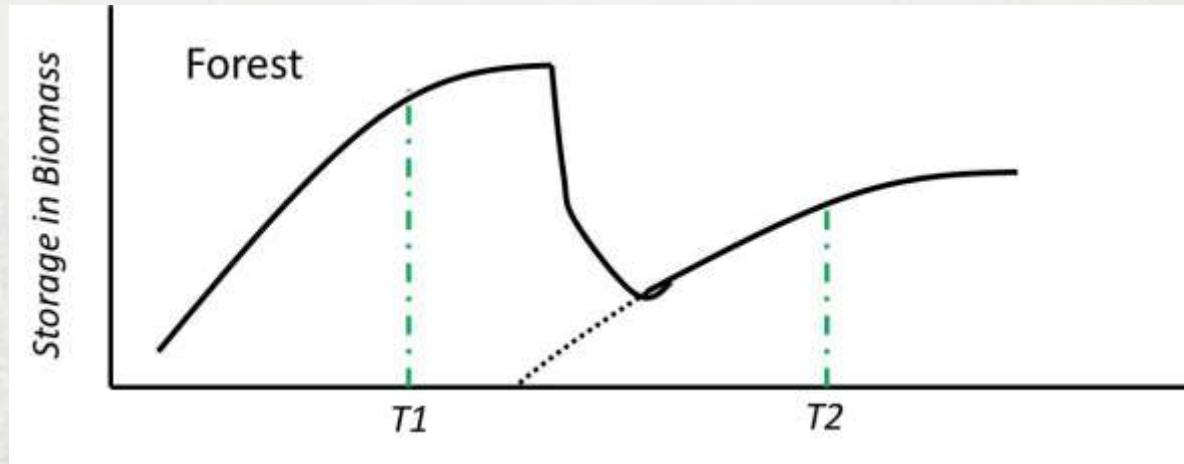
# LIMITATIONS

- Simplified carbon cycle
- Economic variation assumes a linear trend in sequestration over time
- Output is only as detailed and reliable as land use classes and carbon pool data
- Carbon sequestration does not occur in an area unless LULC changes over time or wood is harvested



# FUTURE STEPS

- Add dynamics via time scale between current and future land uses
- Allow for an intermediate land use map to account for vegetation change dynamics



# HABITAT QUALITY

Doug Denu



# MODEL OVERVIEW

- Biodiversity is not treated as an ecosystem service per se
- Instead, it's used to assess **overlaps** and **tradeoffs**
- InVEST models **habitat quality** and **rarity** as indicators of the status of biodiversity
- Areas with high quality are generally better able to maintain biodiversity





# MODEL OVERVIEW

## Habitat Quality Depends On:

- **Suitability** of the habitat for the species of interest

*Does it prefer grassland, open canopy forest or closed canopy forest?*

- **Proximity** and **intensity** of threats

*Proximity: how far away is the threat?*

*Intensity: how severe a threat is it?*



# MODEL OVERVIEW

Degradation of habitat depends on:

- **Distance** between habitat and threat
- How quickly the impact **decays** with distance
- **Relative weight** of threat

*Are highways a greater threat than dirt roads?*

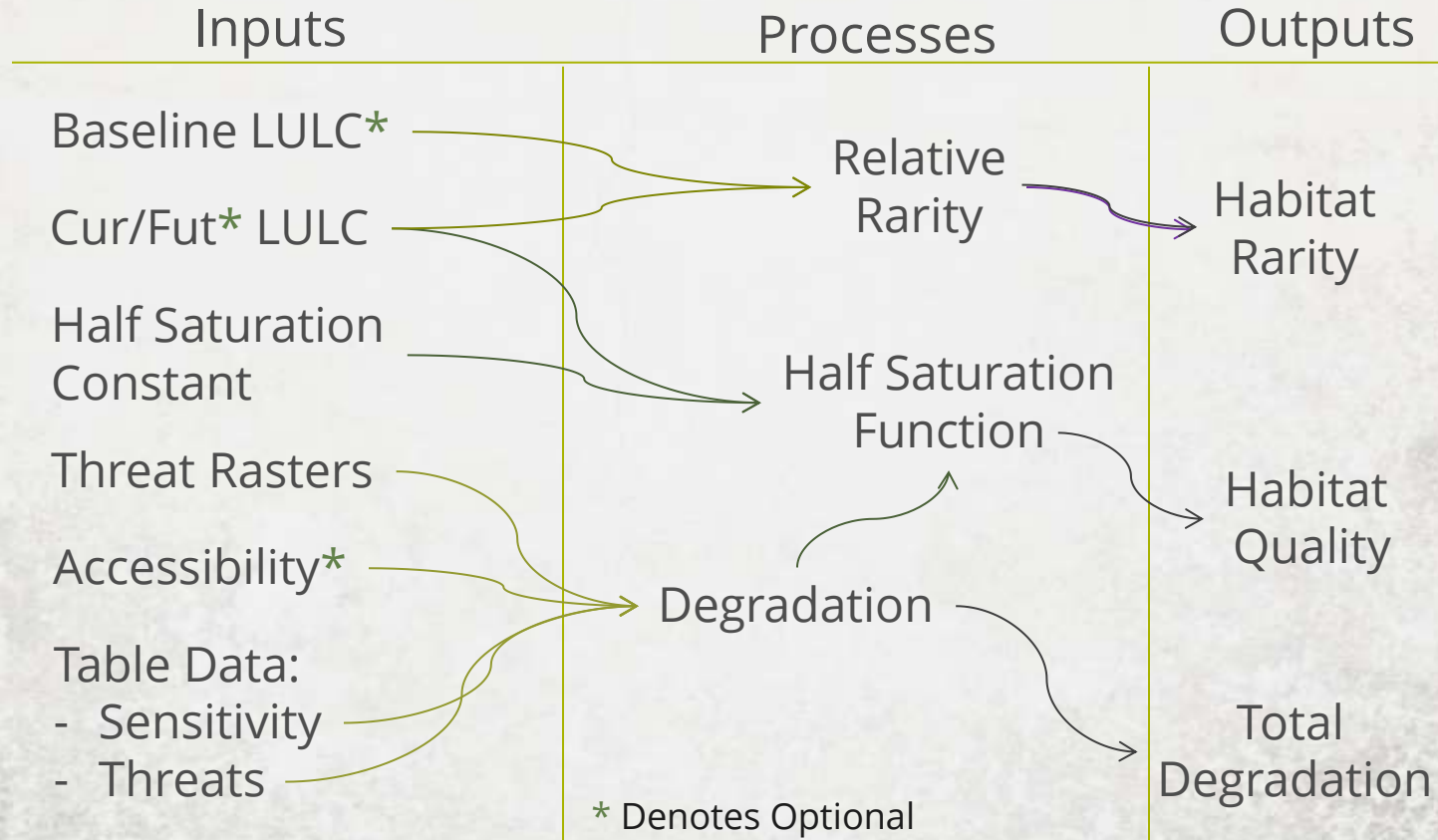
- **Sensitivity** of habitat to the threat

*Is forest more sensitive to roads than a grassland would be?*

- **Accessibility** / Protection status

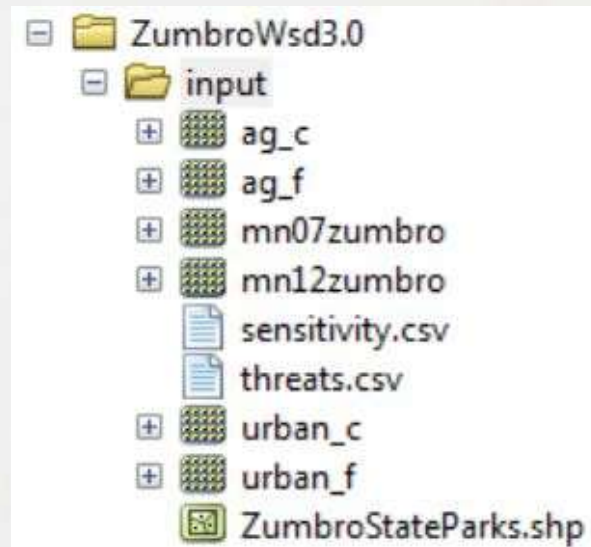


# INPUTS, PROCESSES AND OUTPUTS



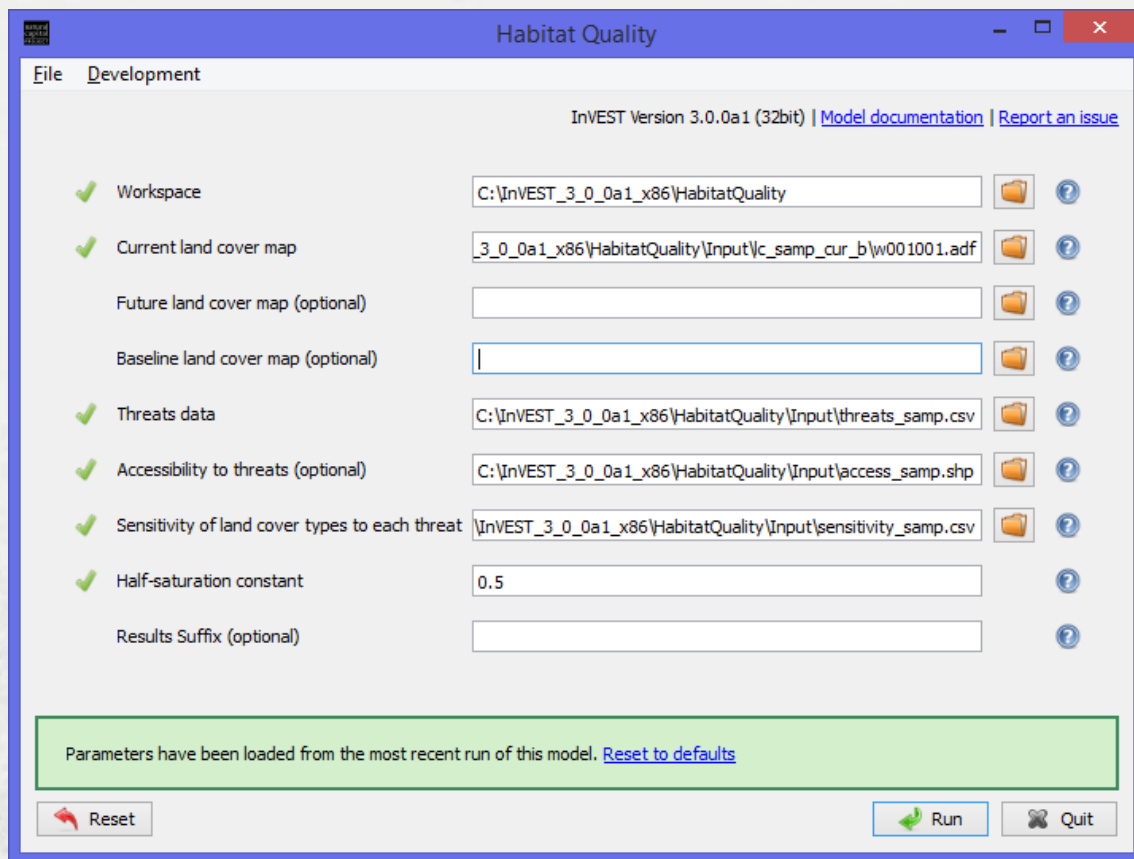
# WORKSPACE

- The threat rasters are not an input into the User Interface, instead:
- The Workspace chosen should contain a folder, “input”, that holds all the threat rasters





# USER INTERFACE



The screenshot shows the 'Habitat Quality' application window. At the top, it says 'InVEST Version 3.0.0a1 (32bit)' with links to 'Model documentation' and 'Report an issue'. Below this is a list of parameters, each with a green checkmark icon, a text input field, a folder icon, and a help icon. The parameters are: Workspace (C:\InVEST\_3\_0\_0a1\_x86\HabitatQuality), Current land cover map (3\_0\_0a1\_x86\HabitatQuality\input\c\_samp\_cur\_b\w001001.adf), Future land cover map (optional) (empty), Baseline land cover map (optional) (empty), Threats data (C:\InVEST\_3\_0\_0a1\_x86\HabitatQuality\input\threats\_samp.csv), Accessibility to threats (optional) (C:\InVEST\_3\_0\_0a1\_x86\HabitatQuality\input\access\_samp.shp), Sensitivity of land cover types to each threat (InVEST\_3\_0\_0a1\_x86\HabitatQuality\input\sensitivity\_samp.csv), Half-saturation constant (0.5), and Results Suffix (optional) (empty). At the bottom, a green box contains the message 'Parameters have been loaded from the most recent run of this model. [Reset to defaults](#)'. Below this are three buttons: 'Reset', 'Run', and 'Quit'.

Habitat Quality

File Development

InVEST Version 3.0.0a1 (32bit) | [Model documentation](#) | [Report an issue](#)

✓ Workspace C:\InVEST\_3\_0\_0a1\_x86\HabitatQuality

✓ Current land cover map 3\_0\_0a1\_x86\HabitatQuality\input\c\_samp\_cur\_b\w001001.adf

Future land cover map (optional)

Baseline land cover map (optional)

✓ Threats data C:\InVEST\_3\_0\_0a1\_x86\HabitatQuality\input\threats\_samp.csv

✓ Accessibility to threats (optional) C:\InVEST\_3\_0\_0a1\_x86\HabitatQuality\input\access\_samp.shp

✓ Sensitivity of land cover types to each threat InVEST\_3\_0\_0a1\_x86\HabitatQuality\input\sensitivity\_samp.csv

✓ Half-saturation constant 0.5

Results Suffix (optional)

Parameters have been loaded from the most recent run of this model. [Reset to defaults](#)

Reset Run Quit



# CURRENT LULC MAP (RASTER)

Layers

Table

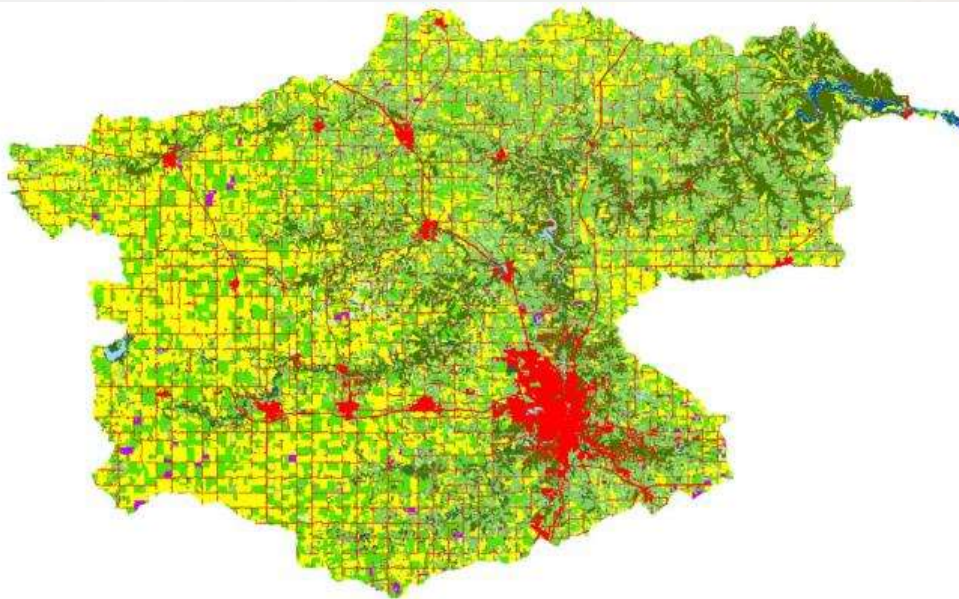
mn07sumbrn

Rowid	VALUE	COUNT	LABEL
0	1	5225	Water
1	2	125269	Developed
2	3	688	Barren
3	4	527	Coniferous Forest
4	5	148334	Mixed Forest
5	6	107	Scrub/Shrub
6	7	11038	Wetlands
7	8	9068	Pasture
8	9	15928	Roy
9	10	7418	Other Crops
10	11	3965	Legumes
11	12	321614	Corn
12	13	177426	Soy
13	14	1095	Wheat and Grains
14	15	4	Sugarbeets
15	16	354813	Prairie/Grassland

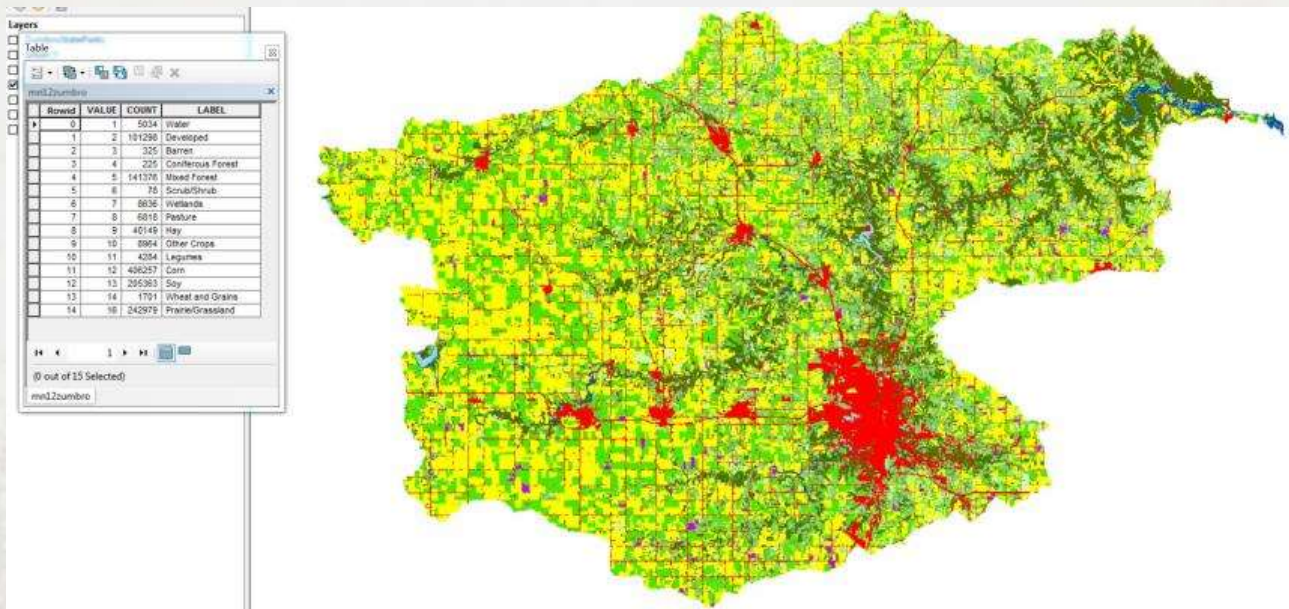
14 4 1 12

(0 out of 16 Selected)

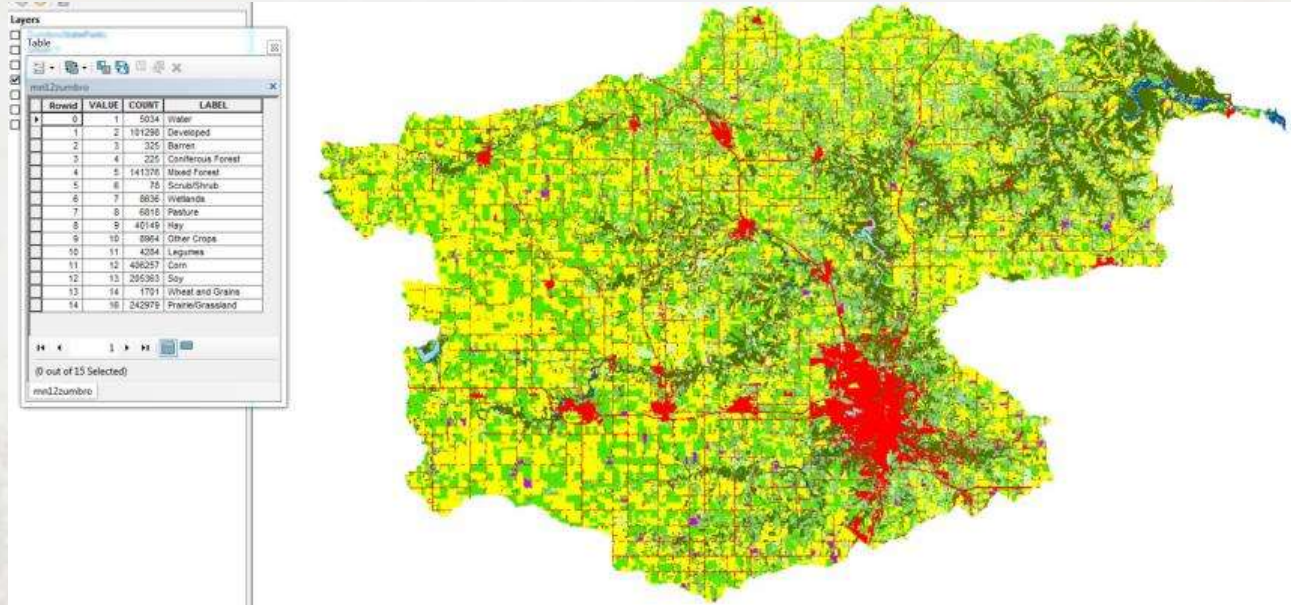
mn07sumbrn



# FUTURE LULC MAP (RASTER)



# BASLINE LULC MAP (RASTER)



Baseline is used for rarity calculations



# THREAT DATA (TABLE)

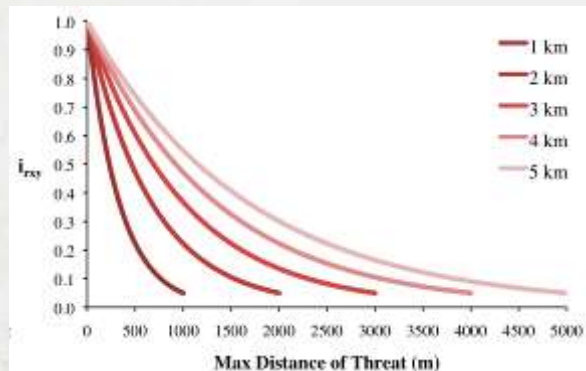
Table

threats.csv

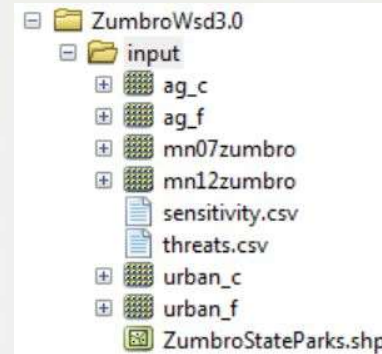
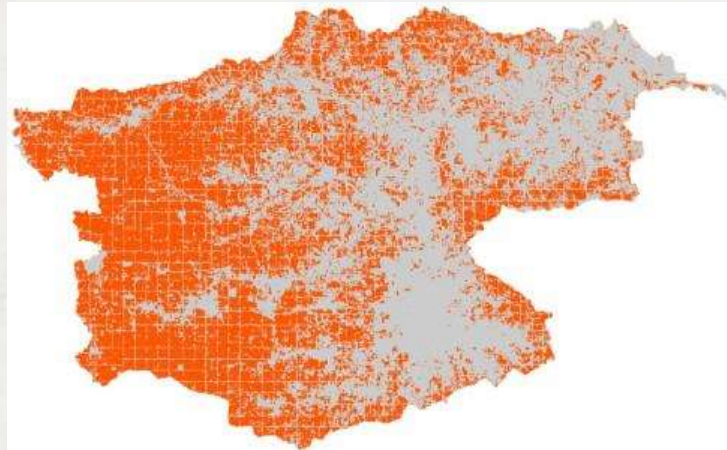
OID	THREAT	MAX_DIST	WEIGHT
0	AG	4	0.8
1	URBAN	5	1

(0 out of 2 Selected)

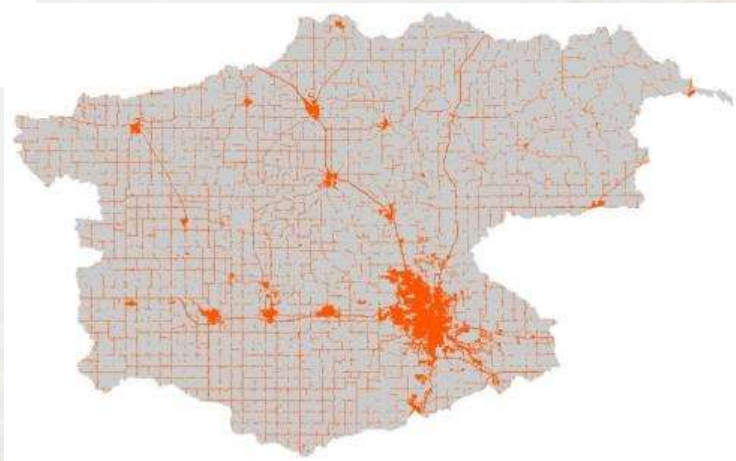
threats.csv



# SOURCES OF THREAT (RASTER)

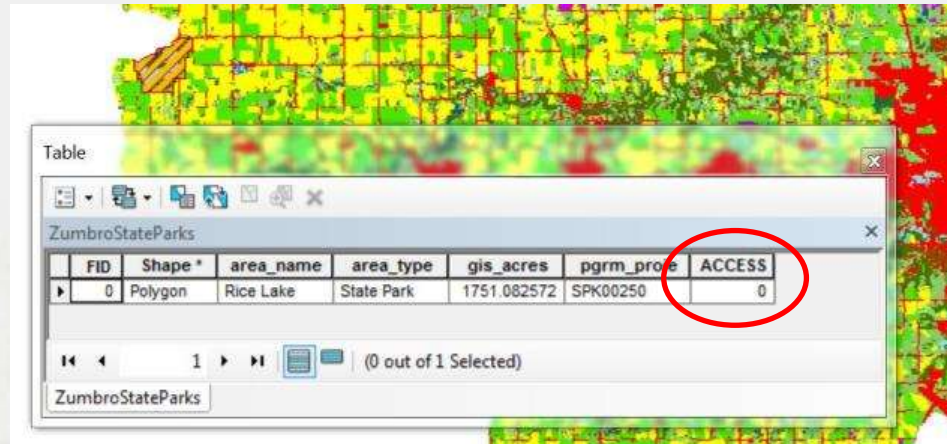


**Note: This data must be in the input folder. You do not manually enter it into the model.**





# THREAT ACCESSIBILITY (POLYGON)



Table

ZumbroStateParks

FID	Shape *	area_name	area_type	gis_acres	pgrm_proj	ACCESS
0	Polygon	Rice Lake	State Park	1751.082572	SPK00250	0

1 (0 out of 1 Selected)

ZumbroStateParks

# HABITAT AND SENSITIVITY (TABLE)

- Each row represents a unique LULC value from the LULC raster
- That Land Cover type is assigned a Habitat score
  - 0 = Non habitat
  - 1 = highest habitat suitability
- Each Threat is assigned a value for how sensitive that Habitat is to the Threat

Table

sensitivity

	OID	OID	LULC	NAME	HABITAT	L_AG	L_URBAN
▶	0	0	0	Background	0	0	0
	1	1	1	Water	0	0	0
	2	2	2	Developed	0	0	0
	3	3	3	Barren	0	0	0
	4	4	4	Coniferous Forest	0	0	0
	5	5	5	Mixed Forest	0	0	0
	6	6	6	Scrub/Shrub	0	0	0
	7	7	7	Wetlands	0	0	0
	8	8	8	Pasture	1	0.8	0.9
	9	9	9	Hay	0.75	0	0.7
	10	10	10	Other Crops	0.25	0	0.6
	11	11	11	Legumes	0.25	0	0.5
	12	12	12	Corn	0.25	0	0.4
	13	13	13	Soy	0.25	0	0.4
	14	14	14	Wheat and Grains	0.5	0	0.6
	15	15	15	Sugarbeets	0.25	0	0.6
	16	16	16	Prairie/Grassland	1	1	1
	17	17	17	Switchgrass	0.75	0.4	0.7

1 (0 out of 18 Selected)

sensitivity

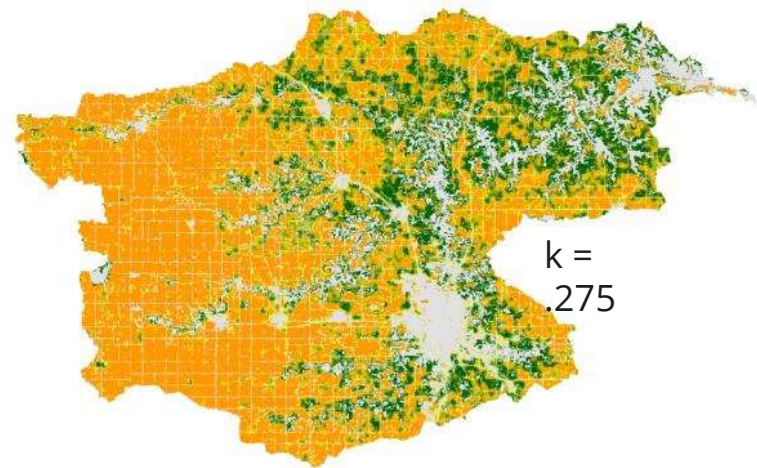
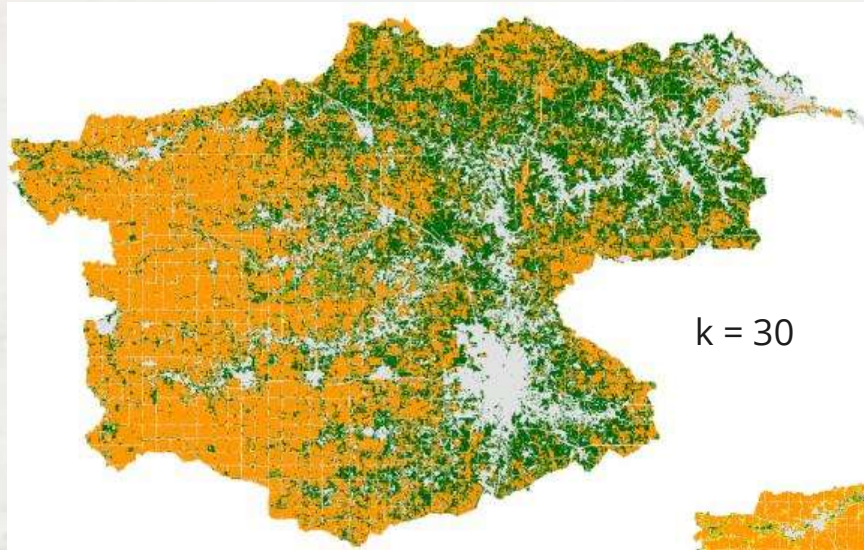
# HALF SATURATION FUNCTION

Habitat quality      Habitat suitability      Degradation

$$Q_{xj} = H_j \left( 1 - \left( \frac{D_{xj}^z}{D_{xj}^z + k^z} \right) \right)$$

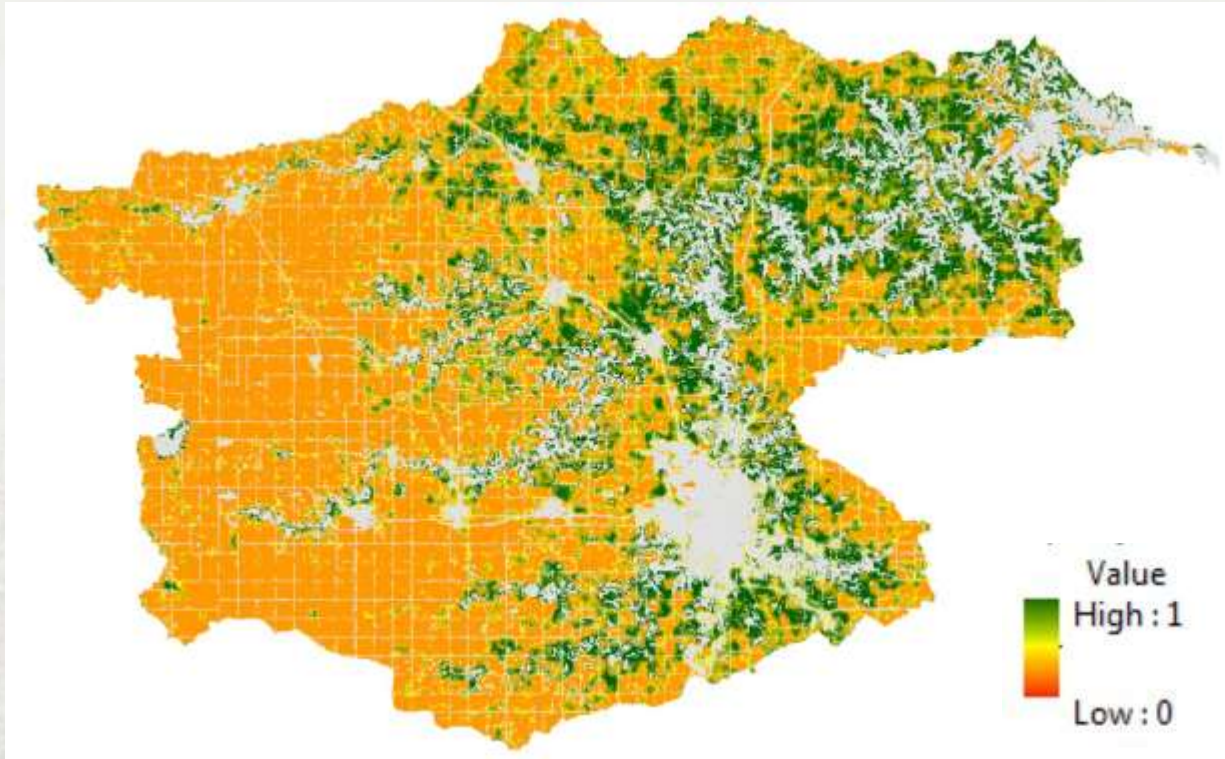
Parcel      LULC      Half Saturation Constant

# HALF SATURATION CONSTANT



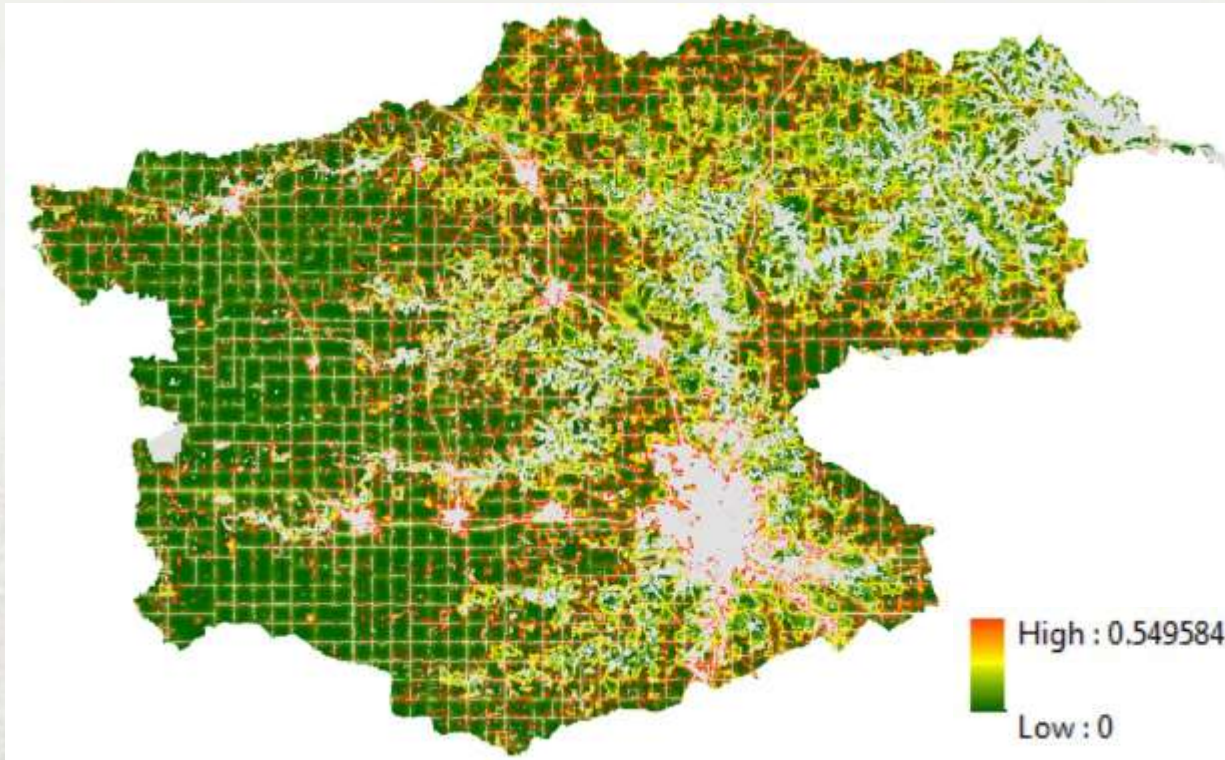


# OUTPUT: HABITAT QUALITY MAP





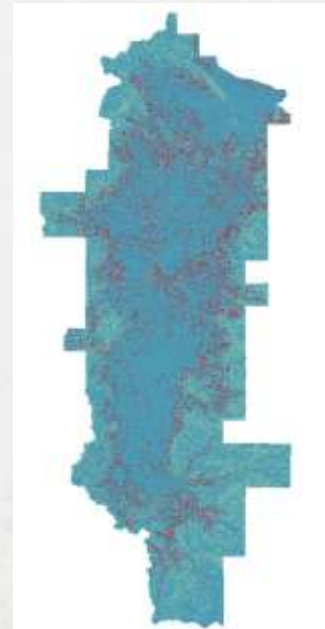
# OUTPUT: DEGRADATION MAP



# HABITAT RARITY

- Looks at ratio between Current or Future and Baseline extents of each LULC type
- Derive an index by subtracting from 1 that represents the rarity of that LULC class

$$R_j = 1 - \frac{N_j}{N_{baseline}}$$



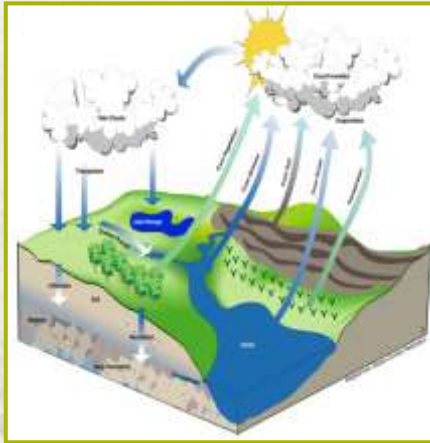
# QUESTIONS?

# ANNUAL WATER YIELD

Perrine Hamel



# MODEL OVERVIEW



**Supply: Water  
yield**



**Hydropower**



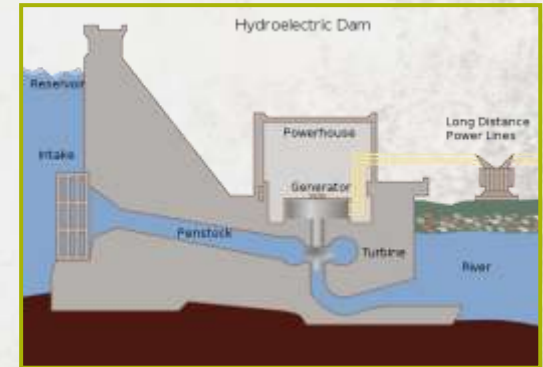
**Drinking water**



**Irrigation**



**Pollution  
dilution**



**Value: Hydropower  
production....**



# AIMS

## QUESTIONS THE MODEL HELPS ANSWER

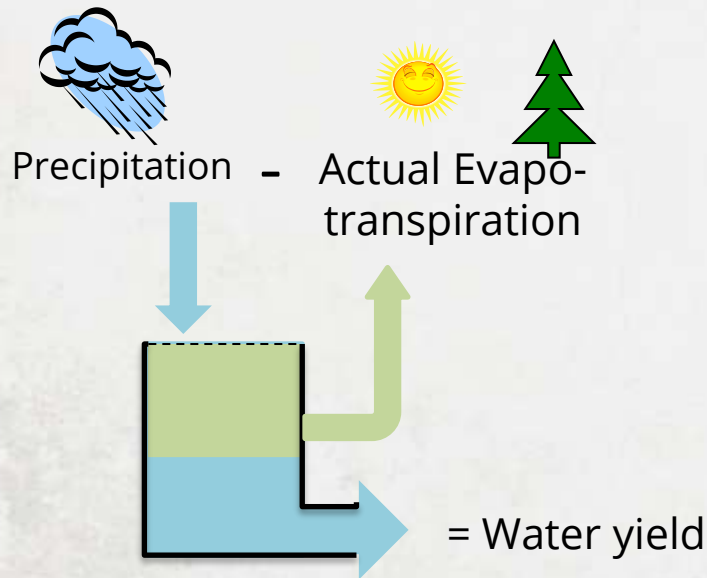
- How much water is available in my catchment?
- Where does the water used for hydropower production come from?
- How much energy does it produce?
- How much is it worth?

# SUPPLY

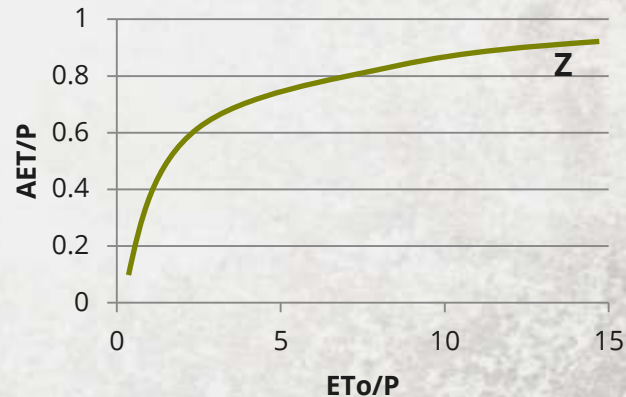
Annual water yield

# SUPPLY: ANNUAL WATER YIELD

## BASIC PRINCIPLES

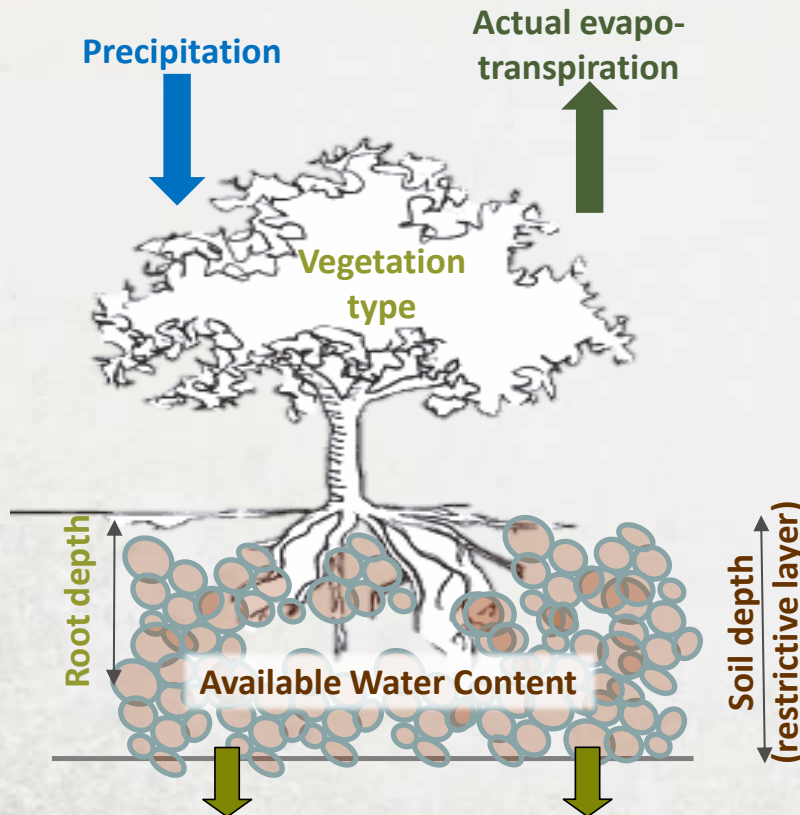


- Precipitation: rain only
- Evapotranspiration: energy demand (wind/sun)
- Budyko curve theory: water/energy budget



# SUPPLY: ANNUAL WATER YIELD

## BASIC PRINCIPLES



- On EACH CELL:

**Potential** evapotranspiration:  
"energy demand"

**Actual** evapotranspiration:  
water actually evapotranspired!

- **TOTAL YIELD:**

SUM OF CELLS

# SUPPLY: ANNUAL WATER YIELD

## WHAT HAPPENS WITH NON-VEGETATED LULC?

Theory developed for natural vegetation (forests, grassland)

- Urban land use?
- Open water?
- Wetlands?

Possibility to use a **different equation**:

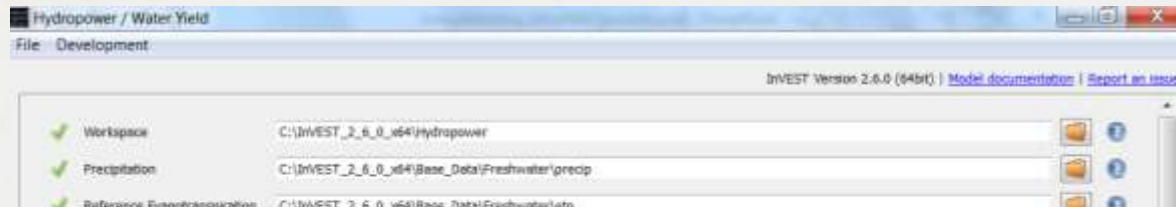
$$AET = K_c \times ET_0$$

**Actual** evapotranspiration

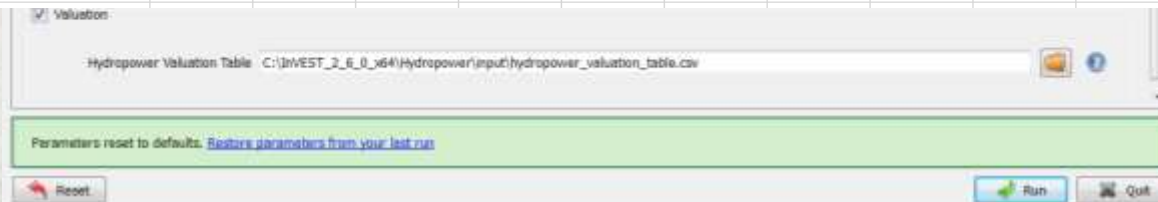
**Reference** evapotranspiration



# ANNUAL WATER YIELD



	1	2	3	4	5	6	7	8	9	10	11	12
1	LULC_desc	lucode	Kc	root_dept	usle_c	usle_p	sedret_eff	load_n	eff_n	load_p	eff_p	LULC_veg
2	Residential? 0-4 DU/ac	1	0.5	700	10	1	5	7000	5	1000	5	0
3	Residential 4-9 DU/ac?	2	0.2	500	10	1	10	7250	5	1100	5	0
4	Residential? 9-16 DU/a	3	0.3	500	1	1	5	7500	5	1200	5	0
5	Residential? >16 DU/ac	4	0.1	300	1	1	5	7750	5	1300	5	0
6	Vacant	5	0.3	10	10	350	5	50	5	1	5	0
7	Commercial	6	0.3	500	1	1	5	13800	5	3000	5	0
8	Comm/Industrial	7	0.3	500	1	1	5	8000	5	3400	5	0
9	Industrial	8	0.3	500	1	1	5	1000	5	3800	5	0
10	Industrial & Comm.	9	0.3	500	1	1	5	8000	5	3400	5	0
11	Residential & Comm.	10	0.3	500	1	1	5	9000	5	2500	5	0
12	Urban non-vegetated u	11	0.1	10	1	1	5	4000	5	500	5	0
13	Rural structures	16	0.3	500	1	1	5	10000	10	5	10	0
14	Railroad	18	0.1	50	1	1	5	10	5	5	5	0



# **SERVICE AND VALUE**

Water scarcity and Hydropower production

# SERVICE WATER SCARCITY

Simple water balance:

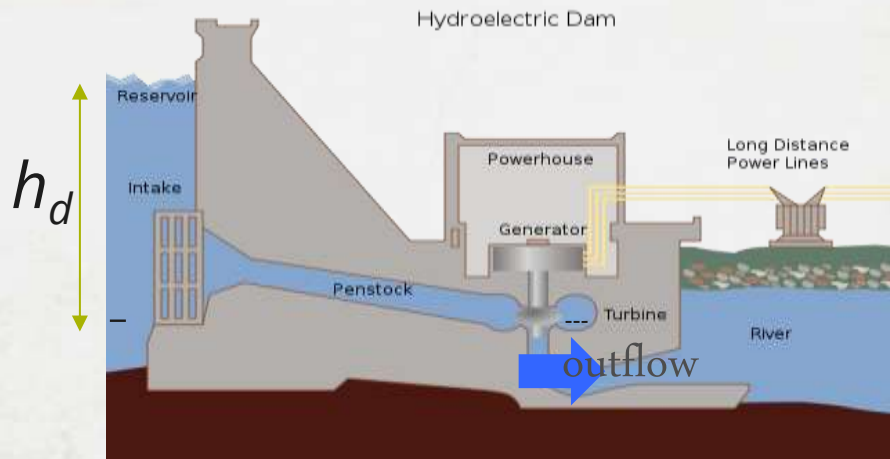
$$V_{in} = WY - d$$

**Volume** in reservoir      **Water yield**      **Demand:** upstream the point of interest (reservoir)



# VALUE

## HYDROPOWER PRODUCTION



Energy  $\varepsilon_d = 0.00272 \times \overset{\text{head}}{h_d} \times \overset{\text{efficiency}}{\beta} \times \overset{\%}{\gamma} \times \overset{\text{Annual volume}}{V_{in}}$



# SUMMARY

Inputs, outputs, assumptions

# ANNUAL WATER YIELD

## MODEL INPUTS



### Climate

Precipitation; Reference evapotranspiration; Z coefficient



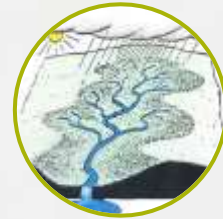
### Soils

Soil depth; Available Water content



### Land Use/ Land Cover (LULC)

Raster; Root depth; Crop coefficient



### Watersheds

Upstream of point of interest (e.g. reservoir)



### Water demand

Irrigation, drinking water



### Hydropower valuation



























Plant data, economic data

# ANNUAL WATER YIELD




Hydropower / Water Yield

File Development

Invest Version 2.6.0 (64bit) | [Model documentation](#) | [Report an issue](#)

✓ Workspace	C:\INVEST_2_6_0_x64\Hydropower	 
✓ Precipitation	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\precip	 
✓ Reference Evapotranspiration	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\eto	 
✓ Depth To Root Restricting Layer	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\depth_to_root_restricting_layer	 
✓ Plant Available Water Fraction	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\pawf	 
✓ Land Use	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\landuse_90	 
✓ Watersheds	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\watersheds.shp	 
✓ Sub-Watersheds (optional)	C:\INVEST_2_6_0_x64\Base_Data\Freshwater\subwatersheds.shp	 
✓ Biophysical Table	C:\INVEST_2_6_0_x64\Hydropower\input\biophysical_table.csv	 
Seasonality Factor	5	
Results Suffix (optional)		
<input checked="" type="checkbox"/> Water Scarcity		
✓ Water Demand Table	C:\INVEST_2_6_0_x64\Hydropower\input\water_demand_table.csv	 
✓ Hydropower Calibration Table	C:\INVEST_2_6_0_x64\Hydropower\input\hydropower_calibration_table.csv	 
<input checked="" type="checkbox"/> Valuation		
Hydropower Valuation Table	C:\INVEST_2_6_0_x64\Hydropower\input\hydropower_valuation_table.csv	 

Parameters reset to defaults. [Restore parameters from your last run](#)

 Reset  Run  Quit

# ANNUAL WATER YIELD

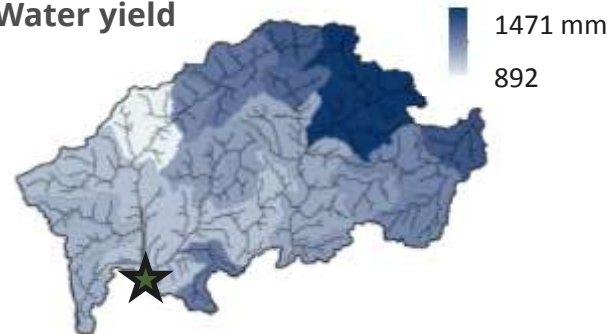
## MODEL OUTPUTS

- Water yield (mm and m<sup>3</sup>)
- Actual evapotranspiration (mm)
- Water supply (mm and m<sup>3</sup>)
- Energy/value for hydropower

Actual evapotranspiration



Water yield





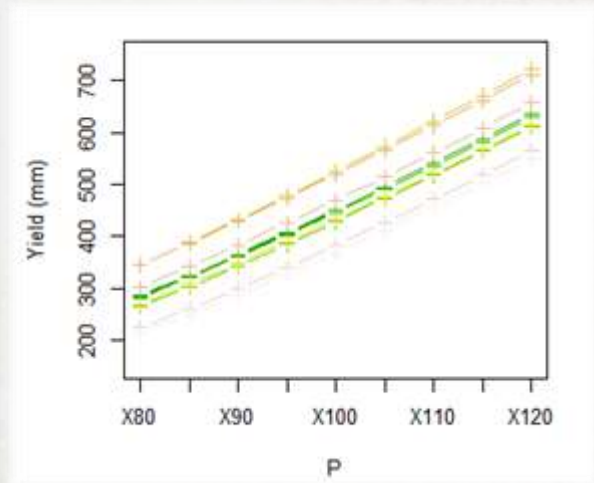
# MAIN ASSUMPTIONS

## SUPPLY

- **Long term** annual water balance
- No distinction between **surface** and **subsurface** flow
- Use **local data** (sensitivity to climate inputs)

## SERVICE AND VALUATION

- **Source of water demand** is in the catchment
- No account of **seasonality**
- Simple relationship between yield and power



# IMPROVING MODEL INTERPRETATION

- Critical **selection of model inputs**: check sources, literature, etc.
- Enhanced possibilities for **uncertainty analyses** (batch runs)
  - Confidence intervals
  - Calibration
- Possibility to “**customize**” the model (use of alternative equation)
- Improved guidance on **Z coefficient** (proportional to number of events)

# Q & A