# CS4102 Algorithms Fall 2018

#### Warm up:

Show that the sum of degrees of all nodes in any undirected graph is even

Show that for any graph G = (V, E),  $\sum_{v \in V} \deg(v)$  is even

## $\sum_{v \in V} \deg(v)$ is always even

- $\deg(v)$  counts the number of edges incident v
- Consider any edge  $e \in E$
- This edge is incident 2 vertices (on each end)
- This means  $2 \cdot |E| = \sum_{v \in V} \deg(v)$
- Therefore  $\sum_{v \in V} \deg(v)$  is even

## Today's Keywords

- Greedy Algorithms
- Choice Function
- Graphs
- Minimum Spanning Tree
- Kruskal's Algorithm
- Prim's Algorithm
- Cut Theorem

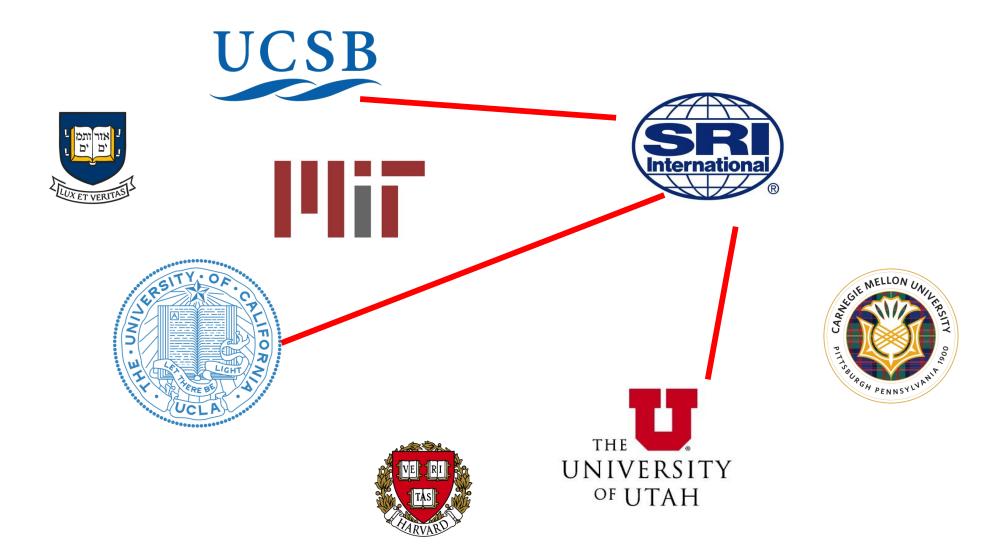
## **CLRS** Readings

- Chapter 22
- Chapter 23

#### Homeworks

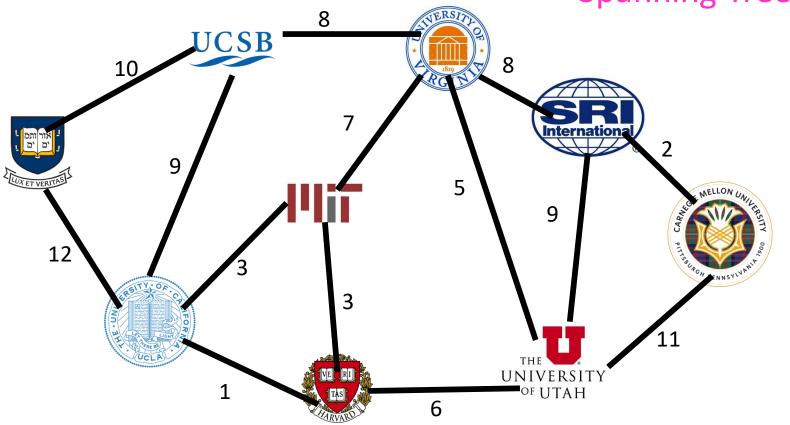
- HW6 Due Friday Nov 9 @11pm
  - Written (use latex)
  - DP and Greedy

#### **ARPANET**



#### Problem

Find a
Minimum
Spanning Tree

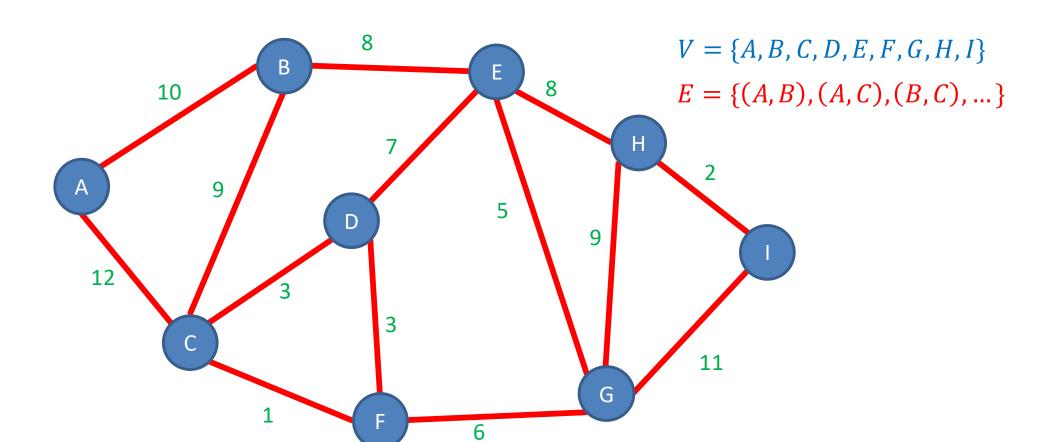


We need to connect together all these places into a network We have feasible wires to run, plus the cost of each wire Find the cheapest set of wires to run to connect all places

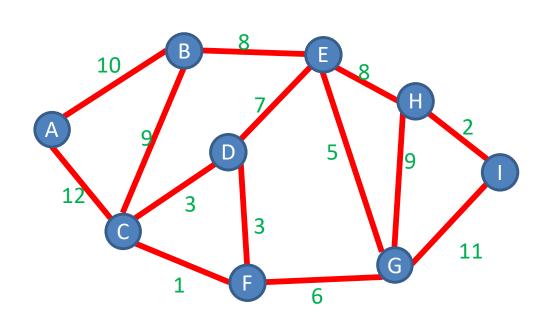
## Graphs

Vertices/Nodes

Definition: G = (V, E) w(e) = weight of edge e



#### Adjacency List Representation

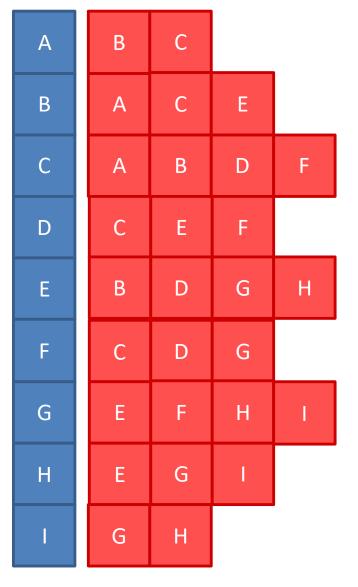


#### **Tradeoffs**

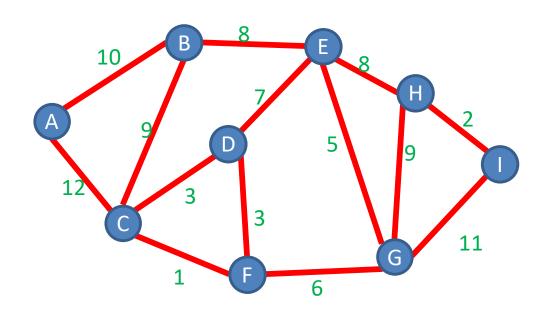
Space: V + E

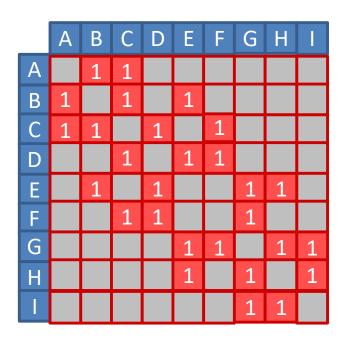
Time to list neighbors: Degree(A)

Time to check edge (A, B): Degree(A)



#### Adjacency Matrix Representation





#### **Tradeoffs**

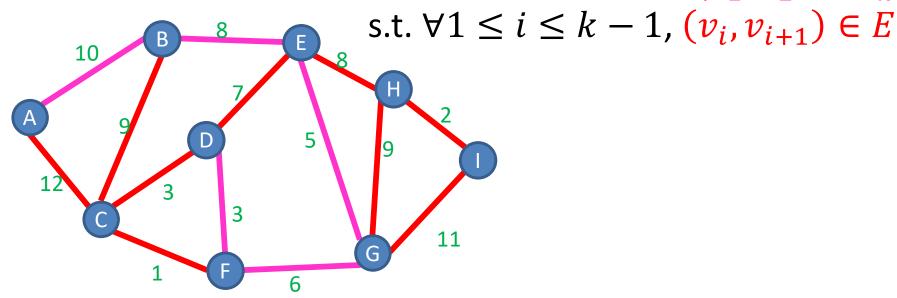
Space: V<sup>2</sup>

Time to list neighbors: V

Time to check edge (A, B): O(1)

#### **Definition: Path**

A sequence of nodes  $(v_1, v_2, ..., v_k)$ 



#### Simple Path:

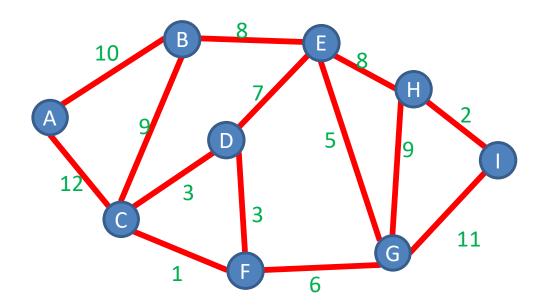
A path in which each node appears at most once

#### Cycle:

A path of > 2 nodes in which  $v_1 = v_k$ 

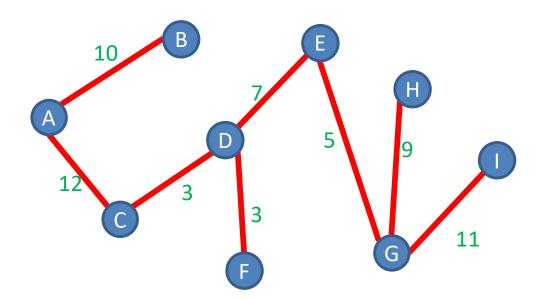
### Definition: Connected Graph

A Graph G = (V, E) s.t. for any pair of nodes  $v_1, v_2 \in V$  there is a path from  $v_1$  to  $v_2$ 



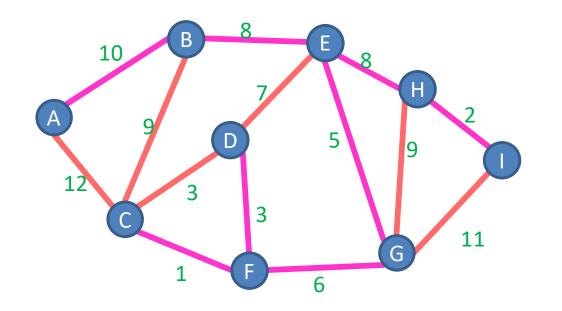
#### Definition: Tree

#### A connected graph with no cycles



## Definition: Spanning Tree

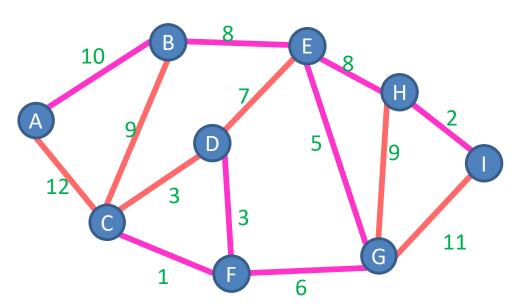
A Tree  $T = (V_T, E_T)$  which connects ("spans") all the nodes in a graph G = (V, E)



How many edges does T have? V-1

## Definition: Minimum Spanning Tree

A Tree  $T = (V_T, E_T)$  which connects ("spans") all the nodes in a graph G = (V, E), that has minimal cost

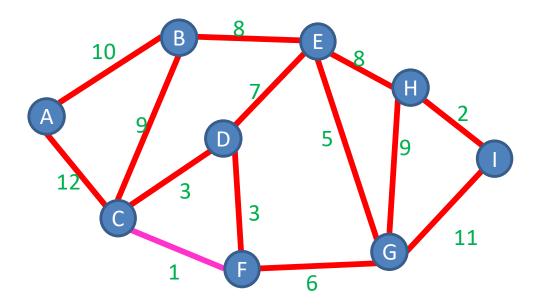


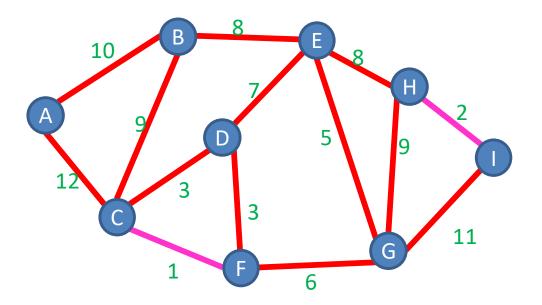
$$Cost(T) = \sum_{e \in E_T} w(e)$$

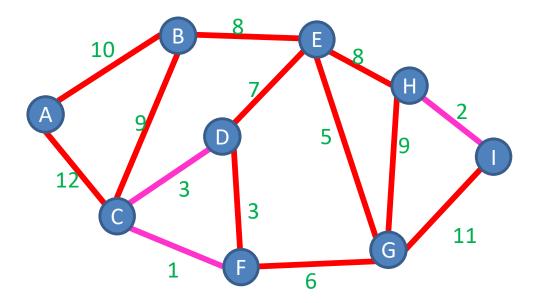
How many edges does T have? V-1

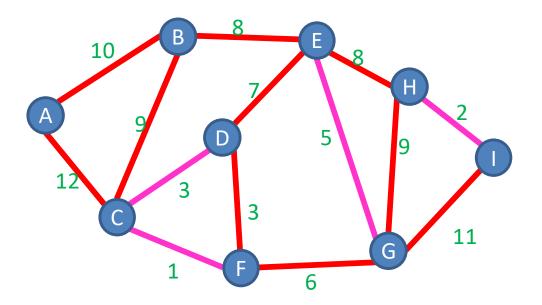
### **Greedy Algorithms**

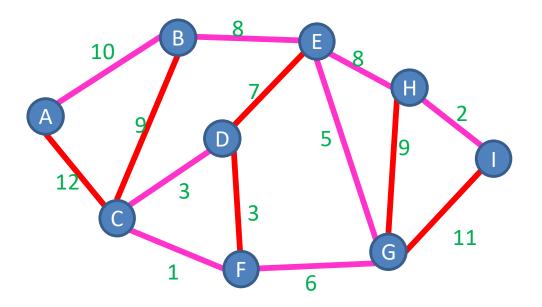
- Require Optimal Substructure
  - Solution to larger problem contains the solution to a smaller one
  - Only one subproblem to consider!
- Idea:
  - 1. Identify a greedy choice property
    - How to make a choice guaranteed to be included in some optimal solution
  - 2. Repeatedly apply the choice property until no subproblems remain





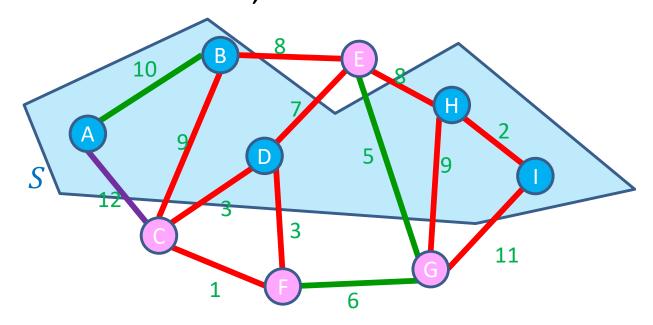






#### **Definition:** Cut

A Cut of graph G = (V, E) is a partition of the nodes into two sets, S and V - S



Edge  $(v_1, v_2) \in E$  crosses a cut if  $v_1 \in S$  and  $v_2 \in V - S$  (or opposite), e.g. (A, C)

A set of edges R Respects a cut if no edges cross the cut e.g.  $R = \{(A, B), (E, G), (F, G)\}$ 

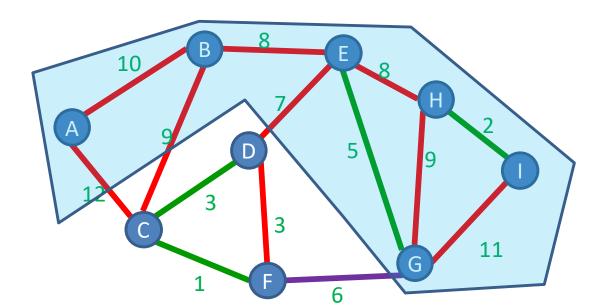
### Exchange argument

- Shows correctness of a greedy algorithm
- Idea:
  - Show exchanging an item from an arbitrary optimal solution with your greedy choice makes the new solution no worse
  - How to show my sandwich is at least as good as yours:
    - Show: "I can remove any item from your sandwich, and it would be no worse by replacing it with the same item from my sandwich"



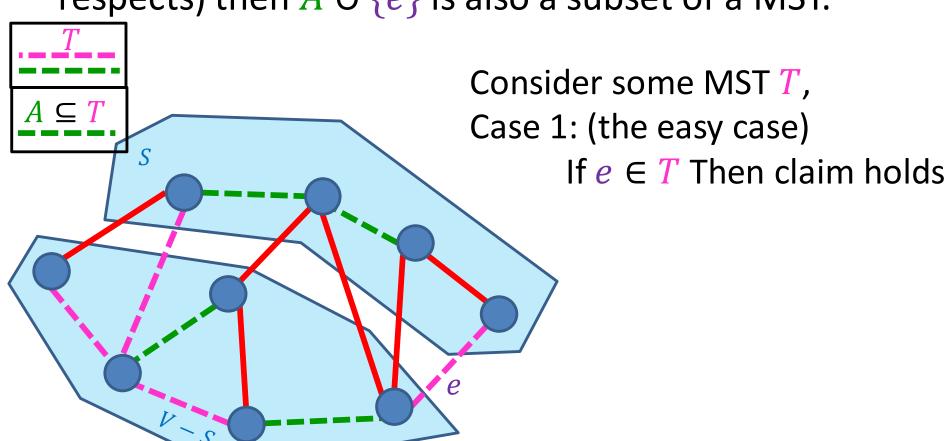
#### **Cut Theorem**

If a set of edges A is a subset of a minimum spanning tree T, let (S, V - S) be any cut which A respects. Let e be the least-weight edge which crosses (S, V - S).  $A \cup \{e\}$  is also a subset of a minimum spanning tree.



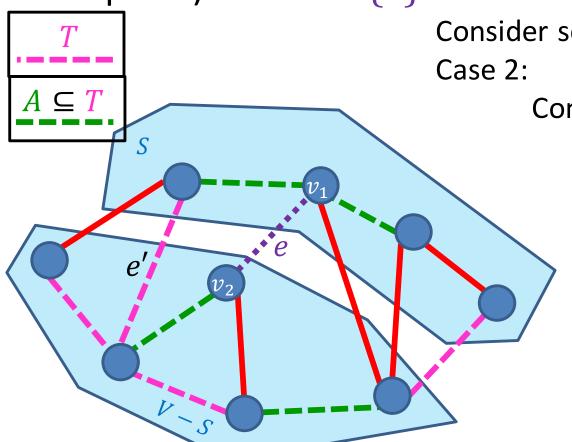
#### **Proof of Cut Theorem**

Claim: If A is a subset of a MST T, and e is the least-weight edge which crosses cut (S, V - S) (which A respects) then  $A \cup \{e\}$  is also a subset of a MST.



#### **Proof of Cut Theorem**

Claim: If A is a subset of a MST T, and e is the least-weight edge which crosses cut (S, V - S) (which A respects) then  $A \cup \{e\}$  is also a subset of a MST.



Consider some MST T,

Consider if  $e = (v_1, v_2) \notin T$ 

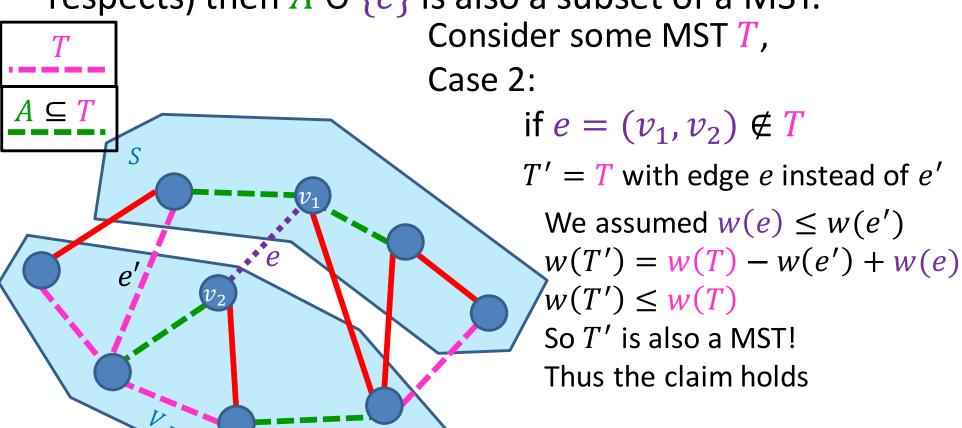
Since T is a MST, there is some path from  $v_1$  to  $v_2$ .

Let e' be the first edge on this path which crosses the cut

Build tree T' by exchanging e' for e

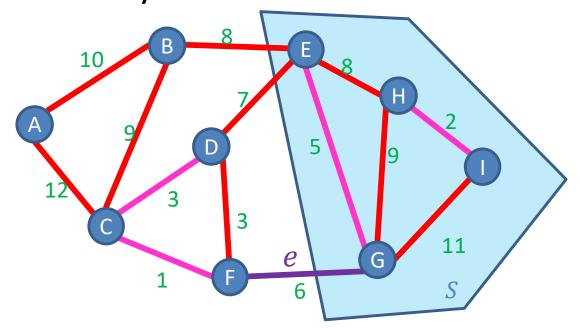
#### **Proof of Cut Theorem**

Claim: If A is a subset of a MST T, and e is the least-weight edge which crosses cut (S, V - S) (which A respects) then  $A \cup \{e\}$  is also a subset of a MST.



Start with an empty tree ARepeat V-1 times: Keep edges in a Disjoint-set data structure (very fancy)  $O(E \log V)$ 

Add the min-weight edge that doesn't cause a cycle

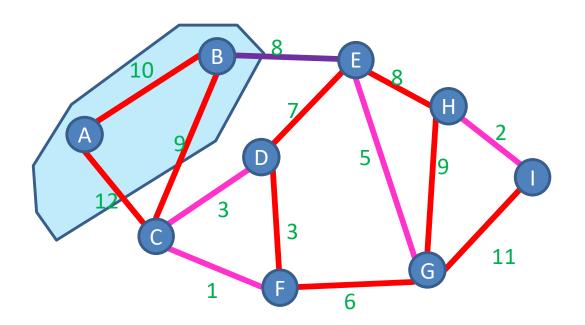


#### General MST Algorithm

Start with an empty tree ARepeat V-1 times:

Pick a cut (S, V - S) which A respects

Add the min-weight edge which crosses (S, V - S)



Start with an empty tree A

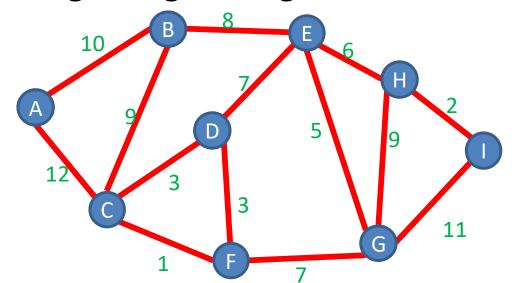
Repeat V-1 times:

Pick a cut (S, V - S) which A respects

Add the min-weight edge which crosses (S, V - S)

S is all endpoint of edges in A

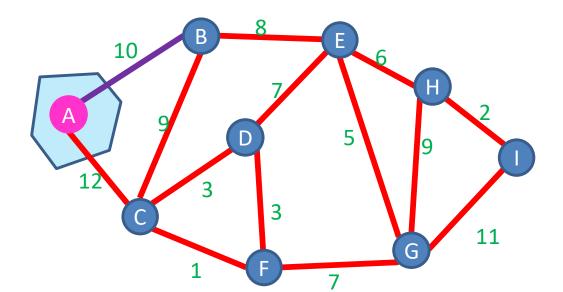
e is the min-weight edge that grows the tree



Start with an empty tree A

Pick a start node

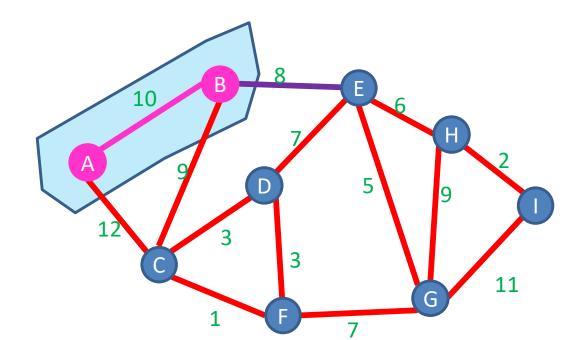
Repeat V-1 times:



Start with an empty tree A

Pick a start node

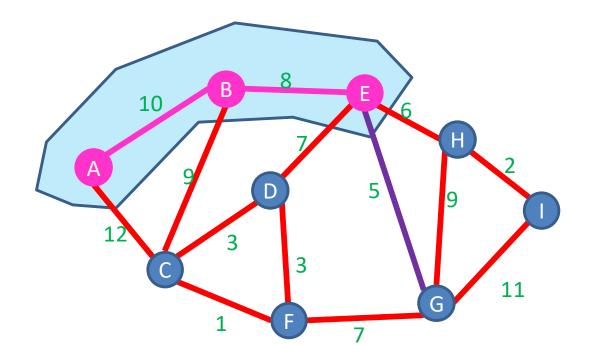
Repeat V-1 times:



Start with an empty tree A

Pick a start node

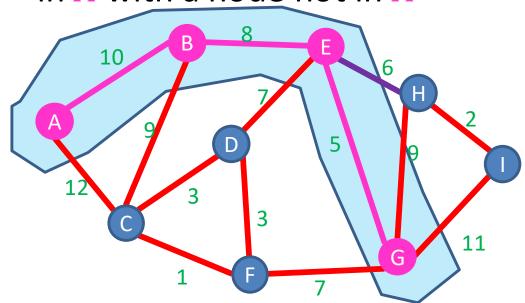
Repeat V-1 times:



Start with an empty tree A

Pick a start node

Repeat V-1 times:

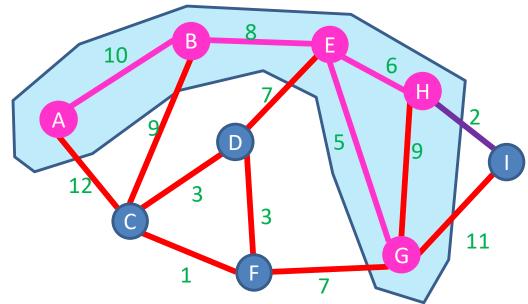


Start with an empty tree A

Pick a start node

Keep edges in a Heap  $O(E \log V)$ 

Repeat V-1 times:



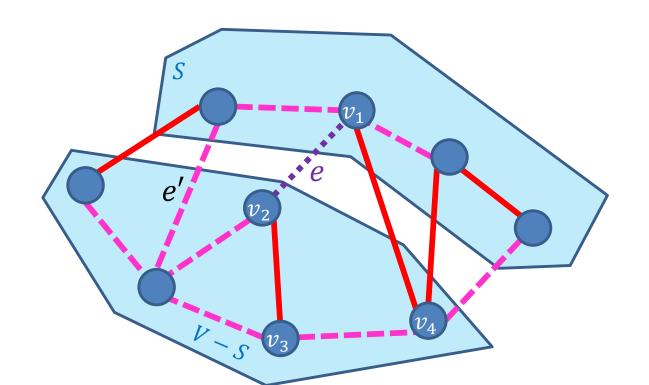
### Summary of MST results

- Fredman-Tarjan '84:  $\Theta(E + V \log V)$
- Gabow et al '86:  $\Theta(E \log \log^* V)$
- Chazelle '00:  $\Theta(E\alpha(V))$
- Pettie-Ramachandran '02:Θ(?)(optimal)
- Karger-Klein-Tarjan '95:  $\Theta(E)$  (randomized)

[read and summarize any/all for EC]

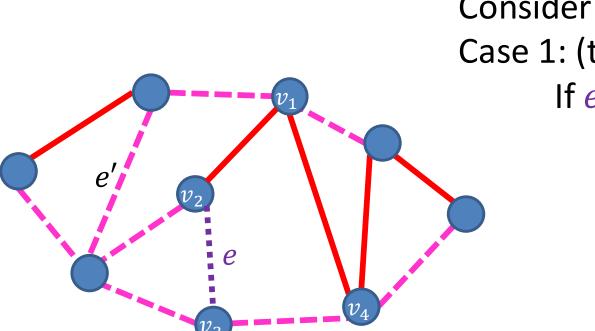
## Cycle Property

Consider any cycle in a graph G = (V, E), the maximum weight edge on that cycle is *not* in *some* MST of G



## Cycle Property

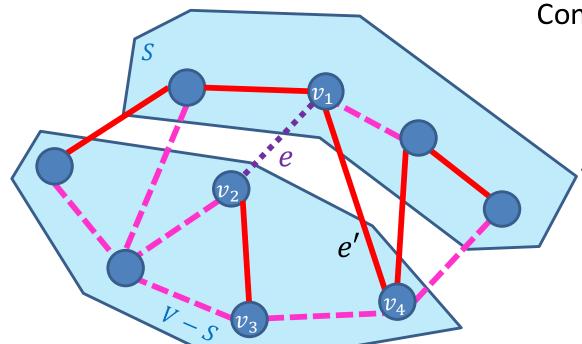
Consider any cycle  $v_1, v_2, \dots v_k, v_1$  in a graph G = (V, E), the maximum weight edge e on that cycle is *not* in *some* MST of G



Consider some MST T, Case 1: (the easy case) If  $e \notin T$  Then claim holds

Cycle Property Consider any cycle  $c = (v_1, v_2, \dots v_k, v_1)$  in a graph G = (V, E), the maximum weight edge eon that cycle is *not* in *some* MST of *G* 

> Consider some MST T, Case 2:



Consider if  $e = (v_1, v_2) \in T$ 

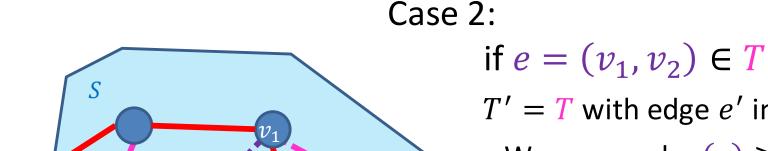
Let (S, V - S) be a cut which *e* crosses

There is some other edge e' which crosses (S, V - S)

Build tree T' by exchanging e' for e

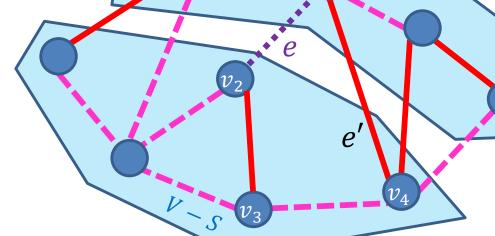
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Consider some MST T,



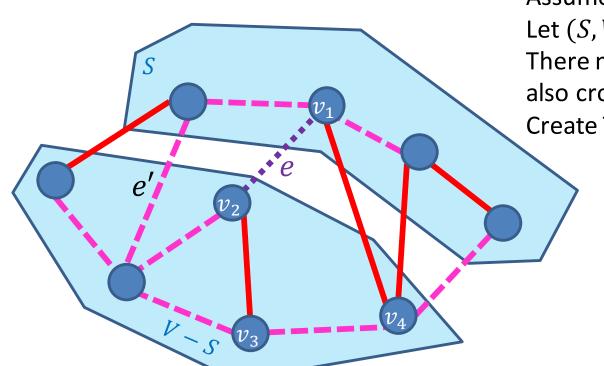
T' = T with edge e' instead of eWe assumed  $w(e) \ge w(e')$ w(T') = w(T) - w(e) + w(e') $w(T') \le w(T)$ 

So T' is also a MST! Thus the claim holds



## Cycle Property

Consider any cycle in a graph G = (V, E), the maximum weight edge on that cycle is *not* in *some* MST of G



Let e be the heaviest edge on cycle  $v_1, v_2, v_3, v_4, v_1$ Assume T is a MST which includes eLet (S, V - S) be a cut which e crosses There must be some other edge e' in the cycle which also crosses (S, V - S)

Create Tree T' by removing edge e in favor of e'

$$w(T') = w(T) - w(e) + w(e')$$
$$w(e') \le w(e)$$
$$w(T') \le w(T)$$