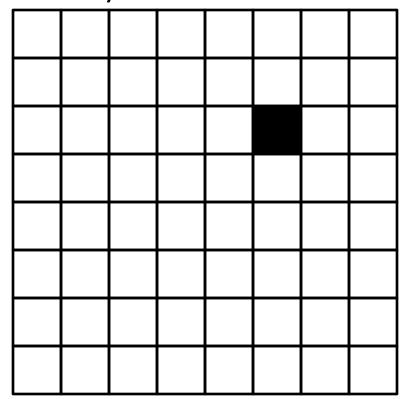
# CS4102 Algorithms

Fall 2018

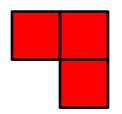
#### Warm up

Can you cover an  $8 \times 8$  grid with 1 square missing using "trominoes"?

Can you cover this?



With these?



# Today's Keywords

- Recursion
- Recurrences
- Asymptotic notation
- Divide and Conquer
- Trominos
- Merge Sort

# **CLRS** Readings

• Chapters 3 & 4

### Homeworks

- Hw0 due 11pm Wednesday, Sept 5
  - Submit 2 attachments (zip and pdf)
- Hw1 released Monday, Sept 3
  - Due 11pm Wednesday, Sept 12
  - Written (use Latex!)
  - Asymptotic notation
  - Recurrences
  - Divide and conquer

### Attendance

- How many people are here today?
- Naïve algorithm
  - 1. Everyone stand
  - 2. Professor walks around counting people
  - 3. When counted, sit down
- Run time?
  - Class of n students
  - O(n)
- Other suggestions?

### Better Attendance

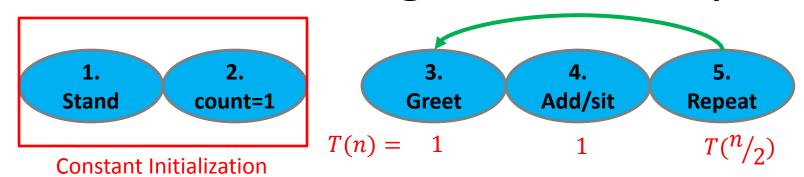
- 1. Everyone Stand
- 2. Initialize your "count" to 1

What was the run time of this algorithm?

What are we going to count?

- 3. Greet a neighbor who is standing: share your name, full date of birth(pause if odd one out)
- 4. If you are older: give "count" to younger and sit. Else if you are younger: add your "count" with older's
- 5. If you are standing and have a standing neighbor, go to 3

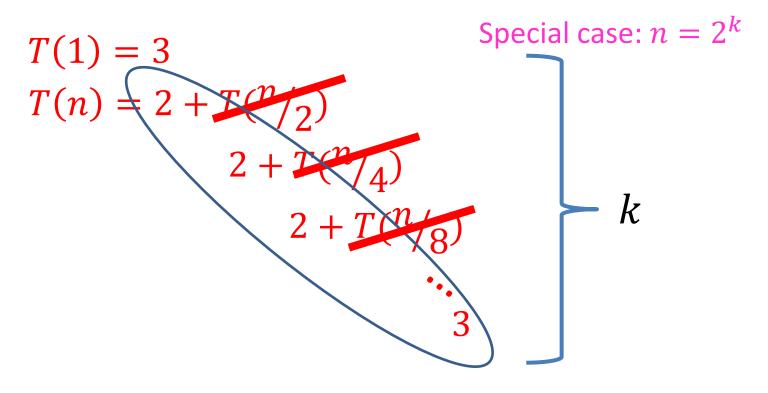
# Attendance Algorithm Analysis



$$T(n) = 1 + 1 + T(\frac{n}{2})$$
 How can we "solve" this?   
  $T(1) = 3$  Base case?

Do not need to be exact, asymptotic bound is fine. Why?

### Let's solve the recurrence!



$$T(n) = 3 + \sum_{i=0}^{\log_2 n} 2 = 2\log_2 n + 3$$

## What if $n \neq 2^k$ ?

More people in the room → more time

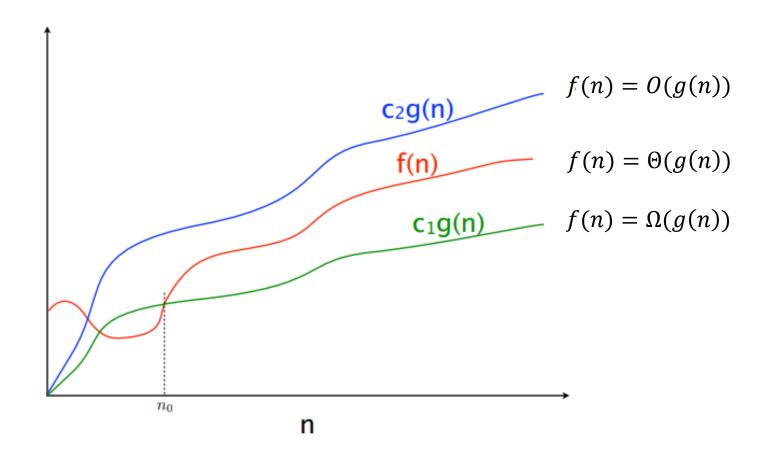
• 
$$\forall \ 0 < n < m, T(n) < T(m)$$

• 
$$T(n) \le T(2^{\lceil \log_2 n \rceil}) = 2\lceil \log_2 n \rceil + 3 = O(\log n)$$

These are unimportant. Why?

# Asymptotic Notation\*

- O(g(n))
  - At most within constant of g for large n
  - {functions  $f \mid \exists$  constants c > 0,  $n_0 > 0$  s.t.  $\forall n > n_0$ ,  $f(n) \le c \cdot g(n)$ }
- $\Omega(g(n))$ 
  - At least within constant of g for large n
  - {functions  $f \mid \exists$  constants c > 0,  $n_0 > 0$  s.t.  $\forall n > n_0$ ,  $f(n) \ge c \cdot g(n)$ }
- $\Theta(g(n))$ 
  - "Tightly" within constant of g for large n
  - $-\Omega(g(n))\cap O(g(n))$



## Asymptotic Notation Example

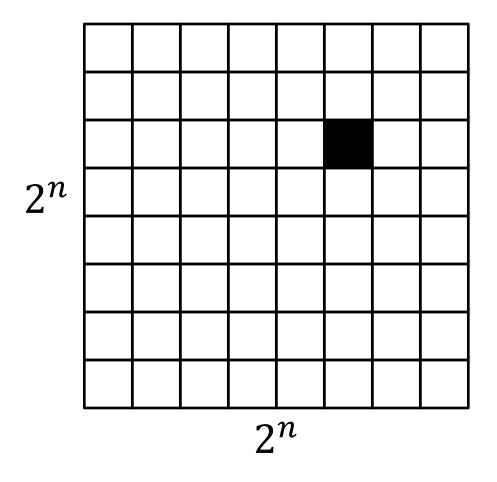
- To Show:  $n \log n \in O(n^2)$ 
  - Find  $c, n_0 > 0$  s.t.  $\forall n > n_0, n \log n \le c \cdot n^2$
  - $\text{Let } c = 1, n_0 = 1$
  - $-(1)\log(1) = 0, 1 \cdot 1^2 = 1$
  - $\forall n \ge 1, \log(n) < n \Rightarrow n \log n \le n^2$

# **Asymptotic Notation**

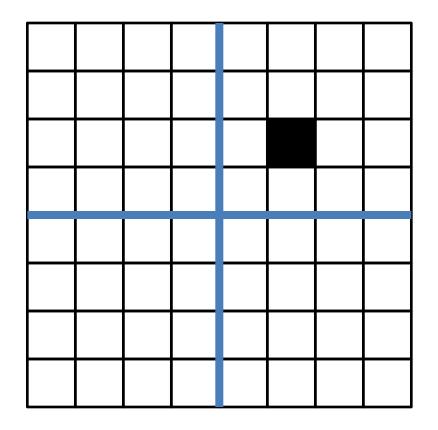
- o(g(n))
  - Below *any* constant of g for large n
  - {functions  $f \mid \forall$  constants c > 0,  $\exists n_0$  s.t.  $\forall n > n_0$ ,  $f(n) < c \cdot g(n)$ }
- $\omega(g(n))$ 
  - Above any constant of g for large n
  - {functions  $f \mid \forall$  constants c > 0,  $\exists n_0$  s.t.  $\forall n > n_0$ ,  $f(n) > c \cdot g(n)$ }
- $\theta(g(n))$ ?
  - $-o(g(n)) \cap \omega(g(n)) = \emptyset$

# **Asymptotic Notation Example**

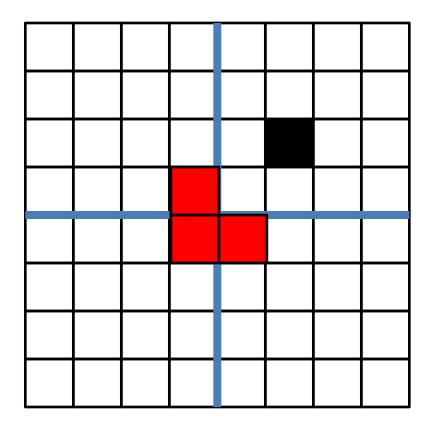
- $o(g(n)) = \{\text{functions } f | \forall \text{ constants } c, \exists n_0 \text{ s.t. } \forall n > n_0, f(n) < c \cdot g(n) \}$
- To Show:  $n \log n \in o(n^2)$ 
  - given any c find a  $n_0 > 0$  s.t.  $\forall n > n_0$ ,  $n \log n < c \cdot n^2$
  - Find a value of n in terms of c:  $n \log n < c \cdot n^2$
  - $-n\log n < c \cdot n^2$
  - $-\log n < c \cdot n$
  - For a given c, select any value of n such that  $\frac{\log n}{n} < c$



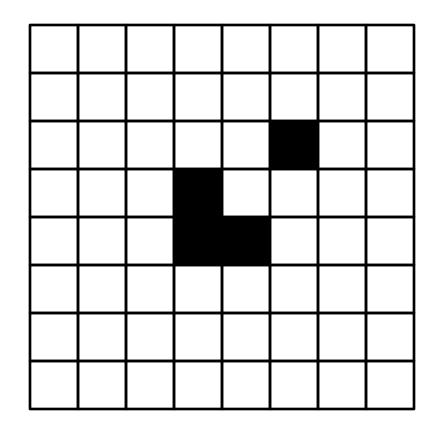
What about larger boards?



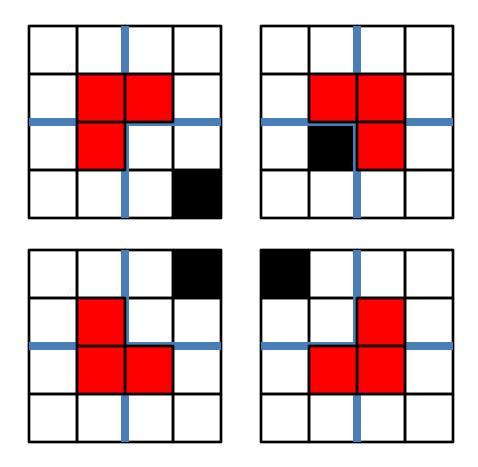
Divide the board into quadrants



Place a tromino to occupy the three quadrants without the missing piece

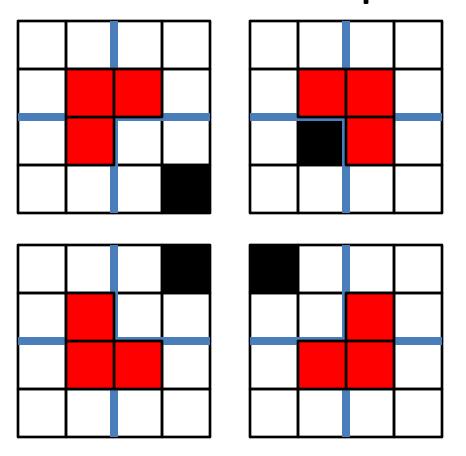


Each quadrant is now a smaller subproblem

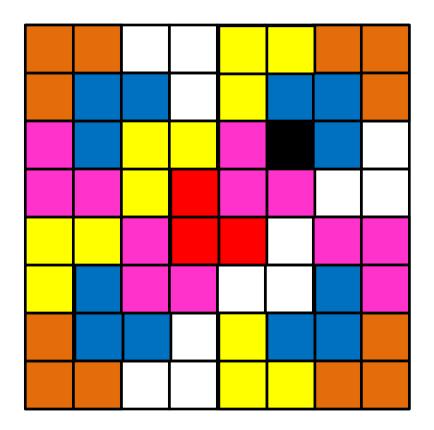


Solve Recursively

# Divide and Conquer



Our first algorithmic technique!



# Divide and Conquer\*

When is this a good strategy?

#### Divide:

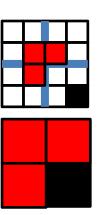
 Break the problem into multiple subproblems, each smaller instances of the original

#### Conquer:

- If the suproblems are "large":
  - Solve each subproblem recursively
- If the subproblems are "small":
  - Solve them directly (base case)

#### • Combine:

Merge together solutions to subproblems





# **Analyzing Divide and Conquer**

- 1. Break into smaller subproblems
- 2. Use recurrence relation to express recursive running time
- 3. Use asymptotic notation to simplify
- Divide: D(n) time,
- Conquer: recurse on small problems, size s
- Combine: C(n) time
- Recurrence:

$$-T(n) = D(n) + \sum T(s) + C(n)$$

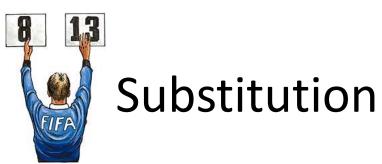
# Recurrence Solving Techniques







"Cookbook"



# Merge Sort

#### • Divide:

- Break n-element list into two lists of n/2 elements

#### Conquer:

- If n > 1:
  - Sort each sublist recursively
- If n = 1:
  - List is already sorted (base case)

#### • Combine:

Merge together sorted sublists into one sorted list

## Merge

- Combine: Merge sorted sublists into one sorted list
- We have:

```
- 2 sorted lists (L_1, L_2)
```

-1 output list ( $L_{out}$ )

```
While (L_1 \text{ and } L_2 \text{ not empty}):

If L_1[0] \leq L_2[0]:

L_{out}.\text{append}(L_1.\text{pop}())

Else:

L_{out}.\text{append}(L_2.\text{pop}())

L_{out}.\text{append}(L_1)

L_{out}.\text{append}(L_2)
```

# **Analyzing Merge Sort**

- 1. Break into smaller subproblems
- 2. Use recurrence relation to express recursive running time
- 3. Use asymptotic notation to simplify
- Divide: 0 comparisons
- Conquer: recurse on 2 small problems, size  $\frac{n}{2}$
- Combine: *n* comparisons
- Recurrence:

$$-T(n) = 2T(\frac{n}{2}) + n$$

### Tree method

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

