# CS4102 Algorithms Fall 2018

#### Warm up

Why is an algorithm's space complexity (how much memory it uses) important?

Why might a memory-intensive algorithm be a "bad" one?

# Why lots of memory is "bad"

### Today's Keywords

- Greedy Algorithms
- Choice Function
- Cache Replacement
- Hardware & Algorithms

# **CLRS** Readings

• Chapter 16

#### Homeworks

- HW6 Due Friday Nov 9 @11pm
  - Written (use latex)
  - DP and Greedy

# **Caching Problem**

Why is using too much memory a bad thing?

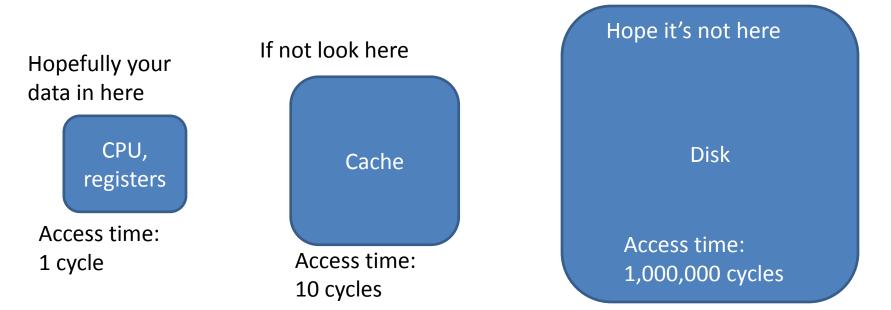
#### Von Neumann Bottleneck

- Named for John von Neumann
- Inventor of modern computer architecture
- Other notable influences include:
  - Mathematics
  - Physics
  - Economics
  - Computer Science



#### Von Neumann Bottleneck

- Reading from memory is VERY slow
- Big memory = slow memory
- Solution: hierarchical memory
- Takeaway for Algorithms: Memory is time, more memory is a lot more time



### Caching Problem

- Cache misses are very expensive
- When we load something new into cache, we must eliminate something already there
- We want the best cache "schedule" to minimize the number of misses

#### Caching Problem Definition

#### • Input:

- -k =size of the cache
- $-M = [m_1, m_2, ... m_n] =$ memory access pattern

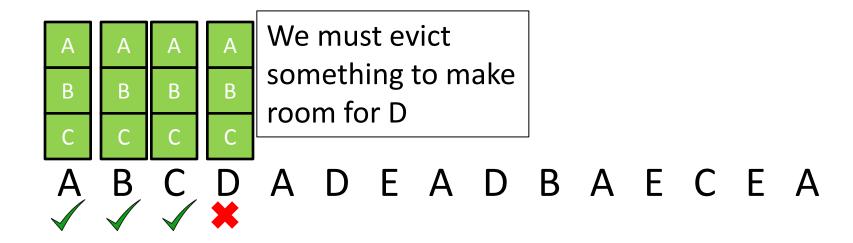
#### Output:

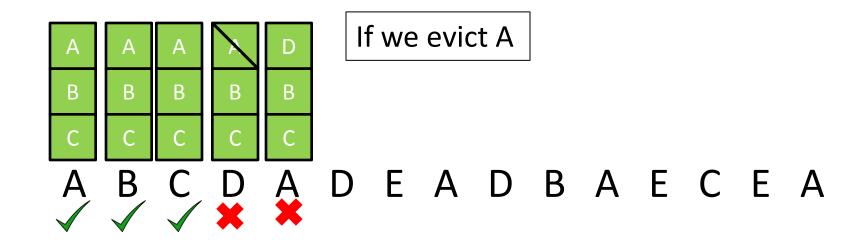
"schedule" for the cache (list of items in the cache at each time)
 which minimizes cache fetches

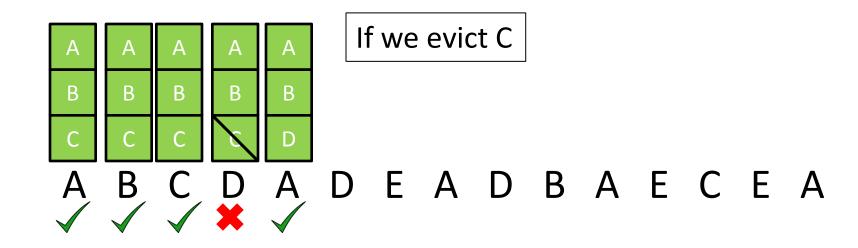






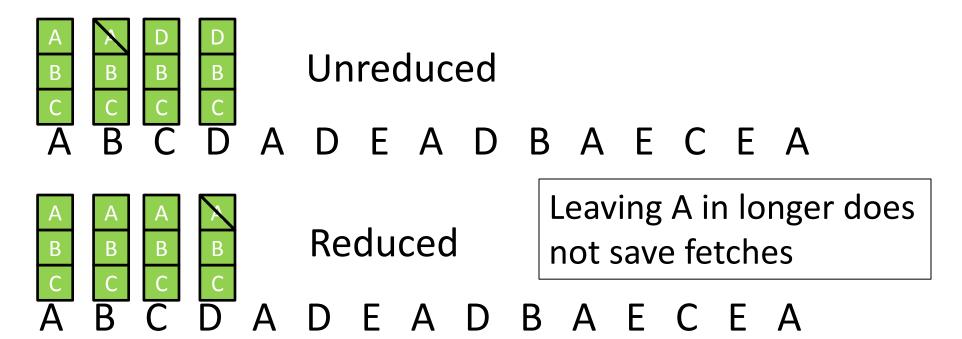






### Our Problem vs Reality

- Assuming we know the entire access pattern
- Cache is Fully Associative
- Counting # of fetches (not necessarily misses)
- "Reduced" Schedule: Address only loaded on the cycle it's required
  - Reduced == Unreduced (by number of misses)



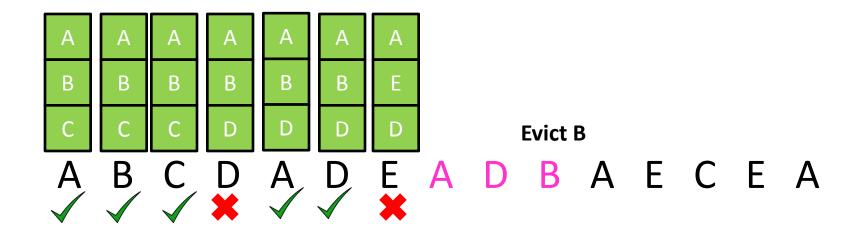
#### **Greedy Algorithms**

- Require Optimal Substructure
  - Solution to larger problem contains the solution to a smaller one
  - Only one subproblem to consider!
- Idea:
  - 1. Identify a greedy choice property
    - How to make a choice guaranteed to be included in some optimal solution
  - 2. Repeatedly apply the choice property until no subproblems remain

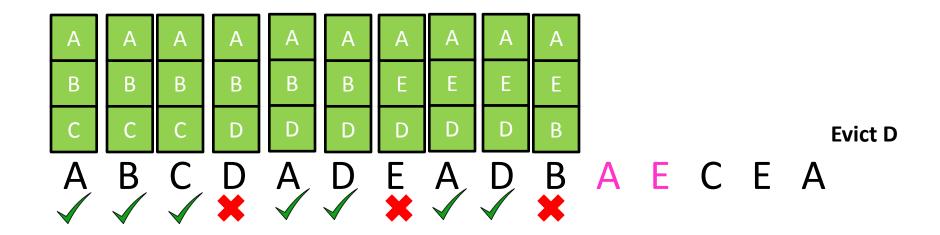
- Belady evict rule:
  - Evict the item accessed farthest in the future



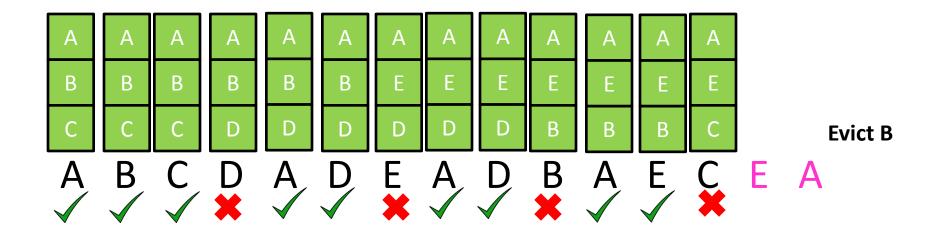
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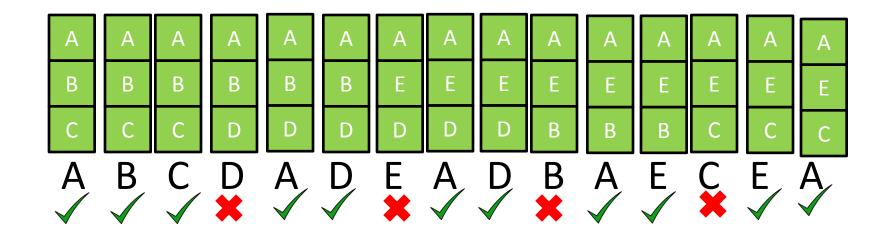
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4 Cache Misses

#### **Greedy Algorithms**

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  - Solution to larger problem contains the solution to a smaller one
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  - 1. Identify a greedy choice property
    - How to make a choice guaranteed to be included in some optimal solution
  - 2. Repeatedly apply the choice property until no subproblems remain

#### Caching Greedy Algorithm

```
Initialize cache= first k accesses
                                               O(k)
For each m_i \in M:
                                  n times
      if m_i \in cache:
                                   O(k)
            print cache
                                    O(k)
      else:
            m = \text{furthest-in-future from cache}
                                                             O(kn)
                                             O(1)
            evict m, load m_i
            print cache
                                      O(k)
                                                O(kn^2)
```

### Exchange argument

- Shows correctness of a greedy algorithm
- Idea:
  - Show exchanging an item from an arbitrary optimal solution with your greedy choice makes the new solution no worse
  - How to show my sandwich is at least as good as yours:
    - Show: "I can remove any item from your sandwich, and it would be no worse by replacing it with the same item from my sandwich"

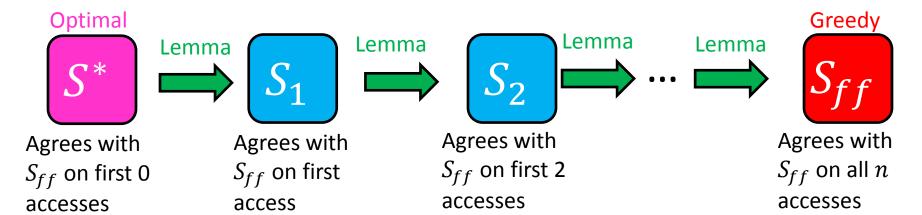


### Belady Exchange Lemma

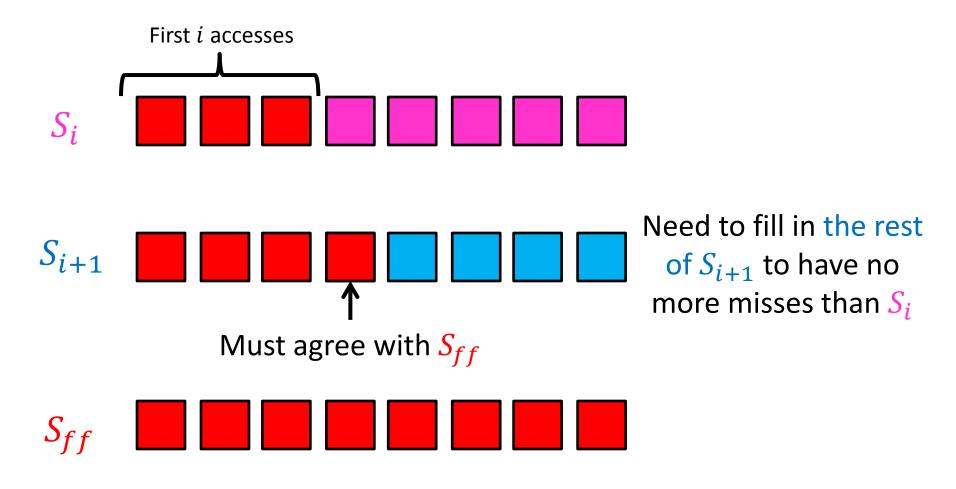
Let  $S_{ff}$  be the schedule chosen by our greedy algorithm Let  $S_i$  be a schedule which agrees with  $S_{ff}$  for the first i memory accesses.

We will show: there is a schedule  $S_{i+1}$  which agrees with  $S_{ff}$  for the first i+1 memory accesses, and has no more misses than  $S_i$ 

(i.e.  $misses(S_{i+1}) \leq misses(S_i)$ )



# Belady Exchange Proof Idea



#### **Proof of Lemma**

Goal: find  $S_{i+1}$  s.t.  $misses(S_{i+1}) \leq misses(S_i)$ 

Since  $S_i$  agrees with  $S_{ff}$  for the first i accesses, the state of the cache at access i+1 will be the same



Consider access  $m_{i+1} = d$ 

Case 1: if d is in the cache, then neither  $S_i$  nor  $S_{ff}$  evict from the cache, use the same cache for  $S_{i+1}$ 



#### **Proof of Lemma**

Goal: find  $S_{i+1}$  s.t.  $misses(S_{i+1}) \leq misses(S_i)$ 

Since  $S_i$  agrees with  $S_{ff}$  for the first i accesses, the state of the cache at access i+1 will be the same



Consider access  $m_{i+1} = d$ 

Case 2: if d isn't in the cache, and both  $S_i$  and  $S_{ff}$  evict f from the cache, evict f for d in  $S_{i+1}$ 



#### Proof of Lemma

Goal: find  $S_{i+1}$  s.t.  $misses(S_{i+1}) \leq misses(S_i)$ 

Since  $S_i$  agrees with  $S_{ff}$  for the first i accesses, the state of the cache at access i+1 will be the same

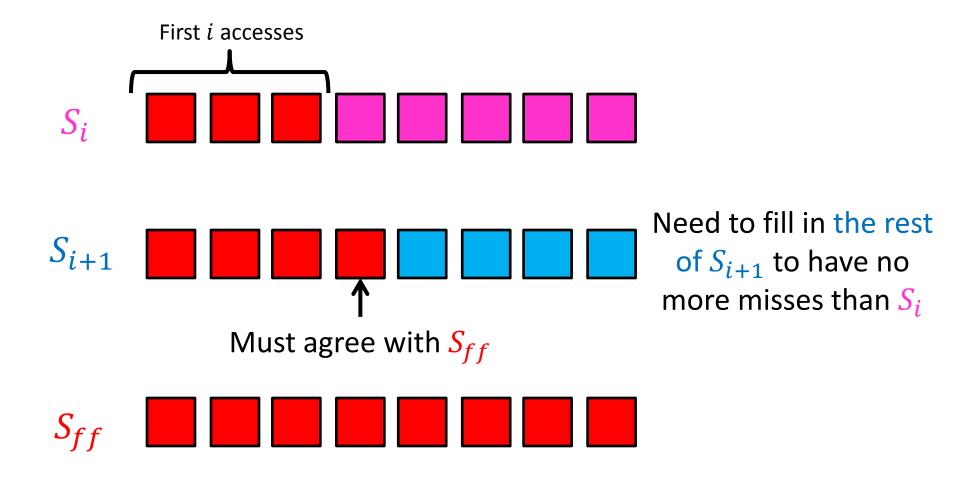


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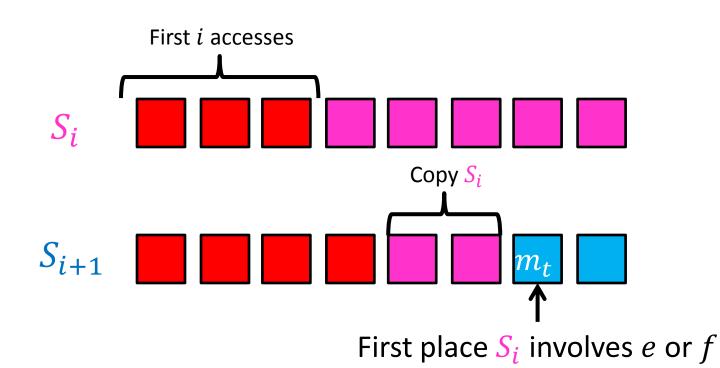
Case 3: if d isn't in the cache,  $S_i$  evicts e and  $S_{ff}$  evicts f from the cache



#### Case 3



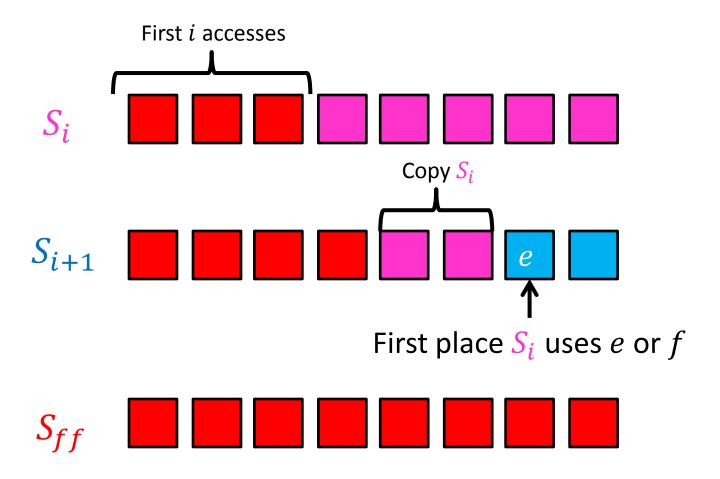
#### Case 3



$$\mathcal{S}_{ff}$$

 $m_t =$  the first access after i+1 in which  $S_i$  involves with e or f  $m_t = e$  or  $m_t = f$  or  $m_t = x \neq e$ , f

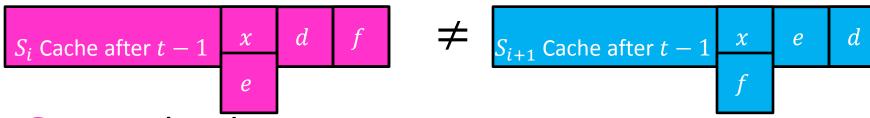
# Case 3, $m_t = e$



 $m_t$  = the first access after i+1 in which  $S_i$  deals with e or f

### Case 3, $m_t = e$

Goal: find  $S_{i+1}$  s.t.  $misses(S_{i+1}) \leq misses(S_i)$ 



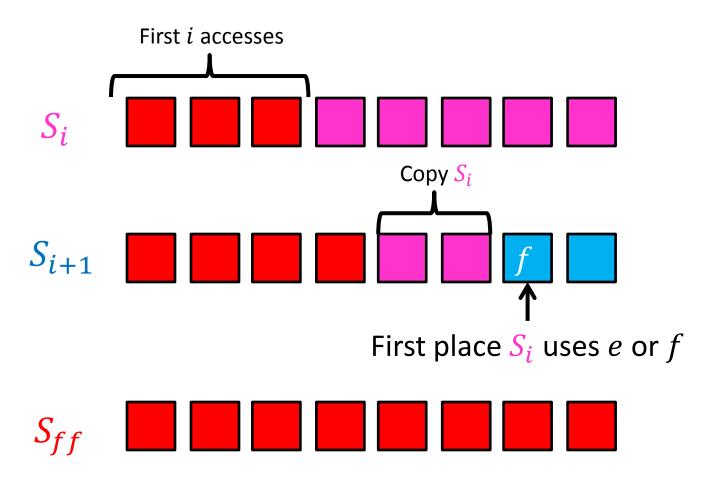
 $S_i$  must load e into the cache, assume it evicts x

 $S_{i+1}$  will load f into the cache, evicting x

The caches now match!

 $S_{i+1}$  behaved exactly the same as  $S_i$  between i and t, and has the same cache after t, therefore  $misses(S_{i+1}) = misses(S_i)$ 

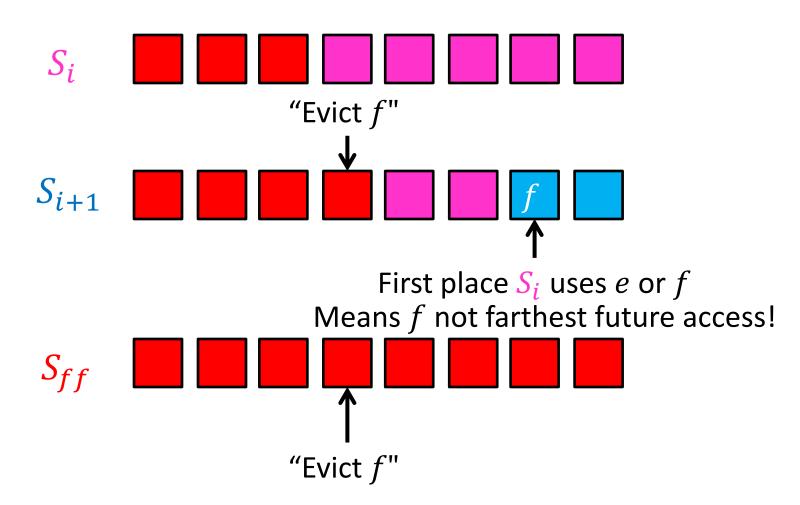
# Case 3, $m_t = f$



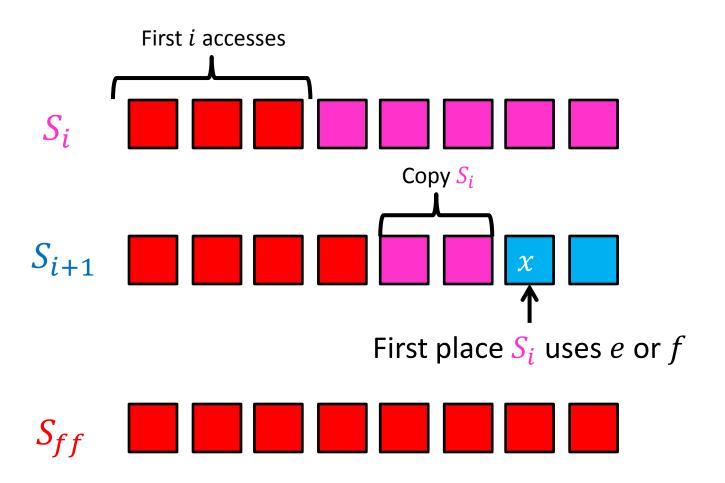
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# Case 3, $m_t = f$

#### Cannot Happen!



# Case 3, $m_t = x \neq e$ , f

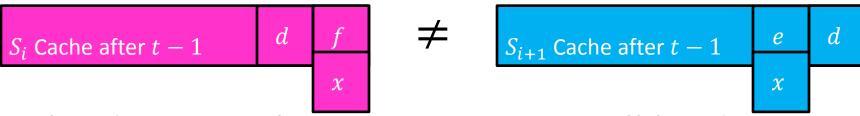


 $m_t = \text{the first access after } i+1 \text{ in which } S_i \text{ deals with } e \text{ or } f$   $m_t = e \text{ or } m_t = f \text{ or } m_t = x \neq e, f$ 

40

Case 3, 
$$m_t = x \neq e$$
,  $f$ 

Goal: find  $S_{i+1}$  s.t.  $misses(S_{i+1}) \leq misses(S_i)$ 



 $S_i$  loads x into the cache, it must be evicting f

 $S_{i+1}$  will load x into the cache, evicting e

The caches now match!

 $S_{i+1}$  behaved exactly the same as  $S_i$  between i and t, and has the same cache after t, therefore  $misses(S_{i+1}) = misses(S_i)$