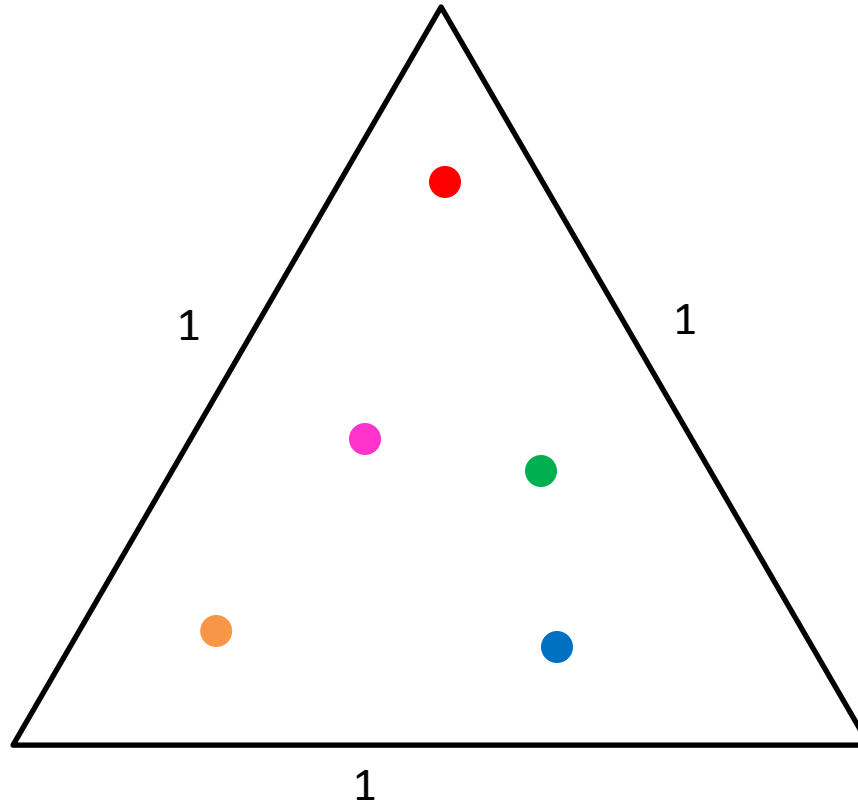


# CS4102 Algorithms

Fall 2018

## Warm up

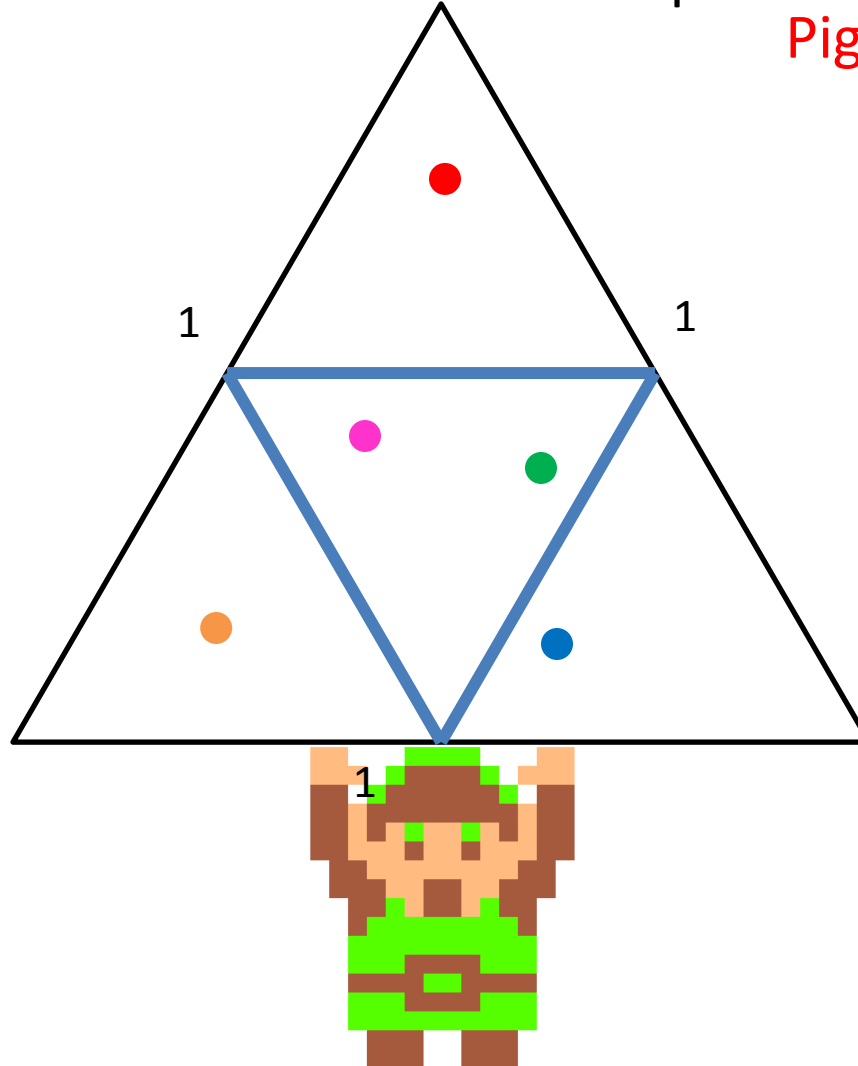
Given 5 points on the unit equilateral triangle, show there's always a pair of distance  $\leq \frac{1}{2}$  apart



If points  $p_1, p_2$  in same quadrant, then  $\delta(p_1, p_2) \leq \frac{1}{2}$

Given 5 points, two must share the same quadrant

Pigeonhole Principle!



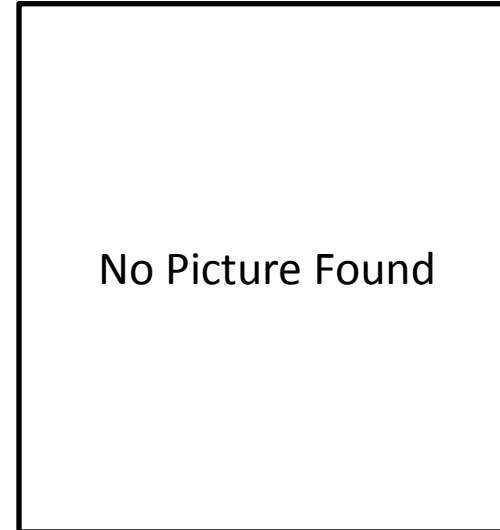
# Historical Aside: Master Theorem



Jon Bentley



Dorothea Haken



James Saxe

# Today's Keywords

- Substitution Method
- Divide and Conquer
- Closest Pair of Points

# CLRS Readings

- Chapter 4

# Homework

- Hw1 due 11pm Friday, Sept 14
  - Written (use Latex!)
  - Asymptotic notation
  - Recurrences
  - Divide and conquer
- Hw2 released TODAY
  - Programming assignment (Python or Java)
  - Divide and conquer

# Master Theorem

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

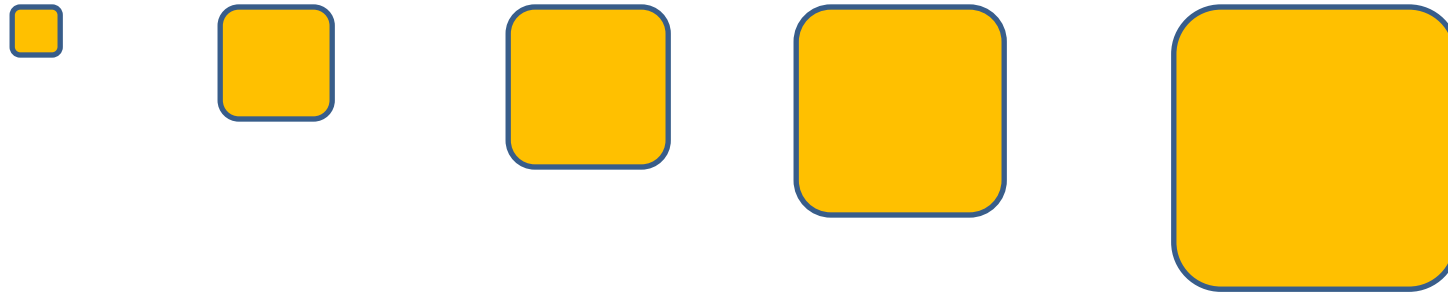
- **Case 1:** if  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
- **Case 2:** if  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$
- **Case 3:** if  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

# 3 Cases

$$T(n) = f(n) + af\left(\frac{n}{b}\right) + a^2f\left(\frac{n}{b^2}\right) + a^3f\left(\frac{n}{b^3}\right) + \dots + a^L f\left(\frac{n}{b^L}\right)$$

Case 1:

Most work  
happens at  
the leaves



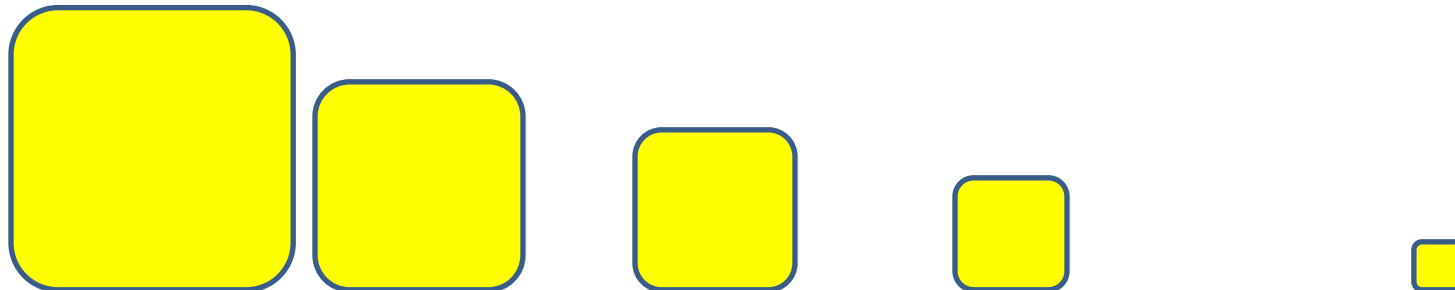
Case 2:

Work happens  
consistently  
throughout



Case 3:

Most work  
happens at  
top of tree





# Master Theorem Example 1

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

- **Case 1:** if  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
- **Case 2:** if  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$
- **Case 3:** if  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

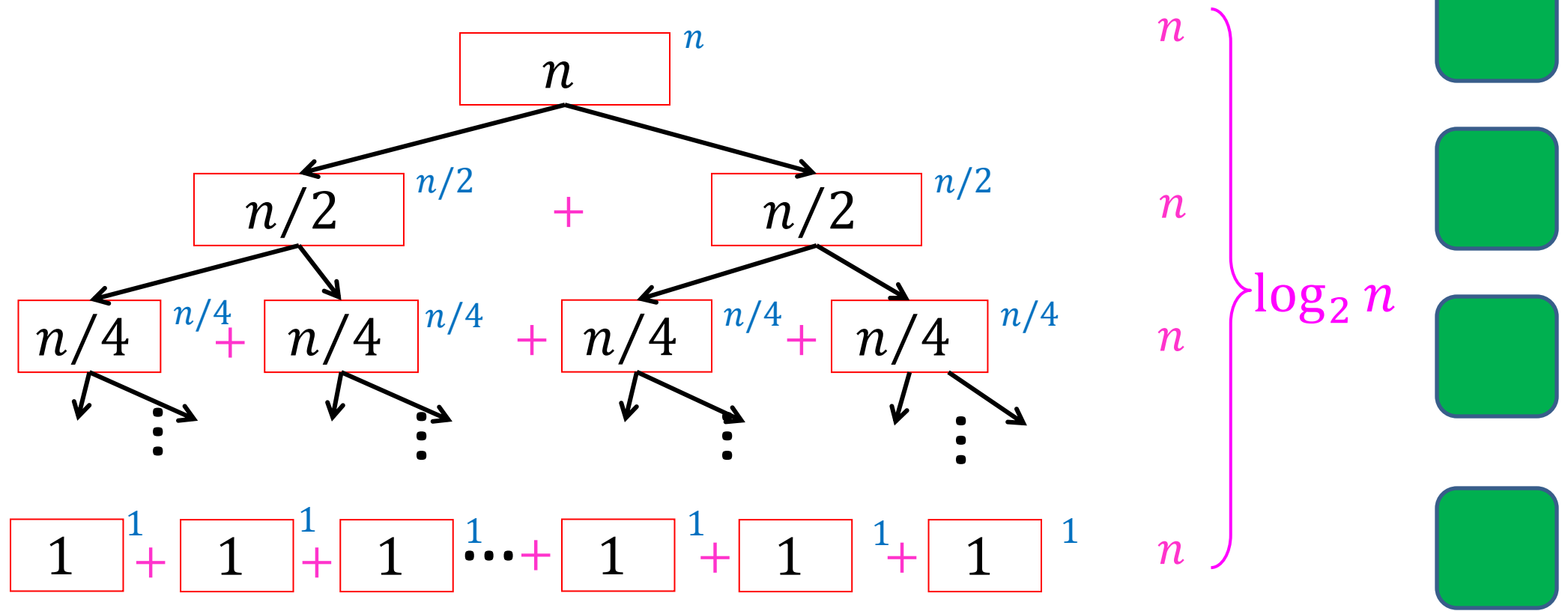
$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

**Case 2**

$$\Theta(n^{\log_2 2} \log n) = \Theta(n \log n)$$

# Tree method

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$



# Master Theorem Example 2

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

- **Case 1:** if  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
- **Case 2:** if  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$
- **Case 3:** if  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

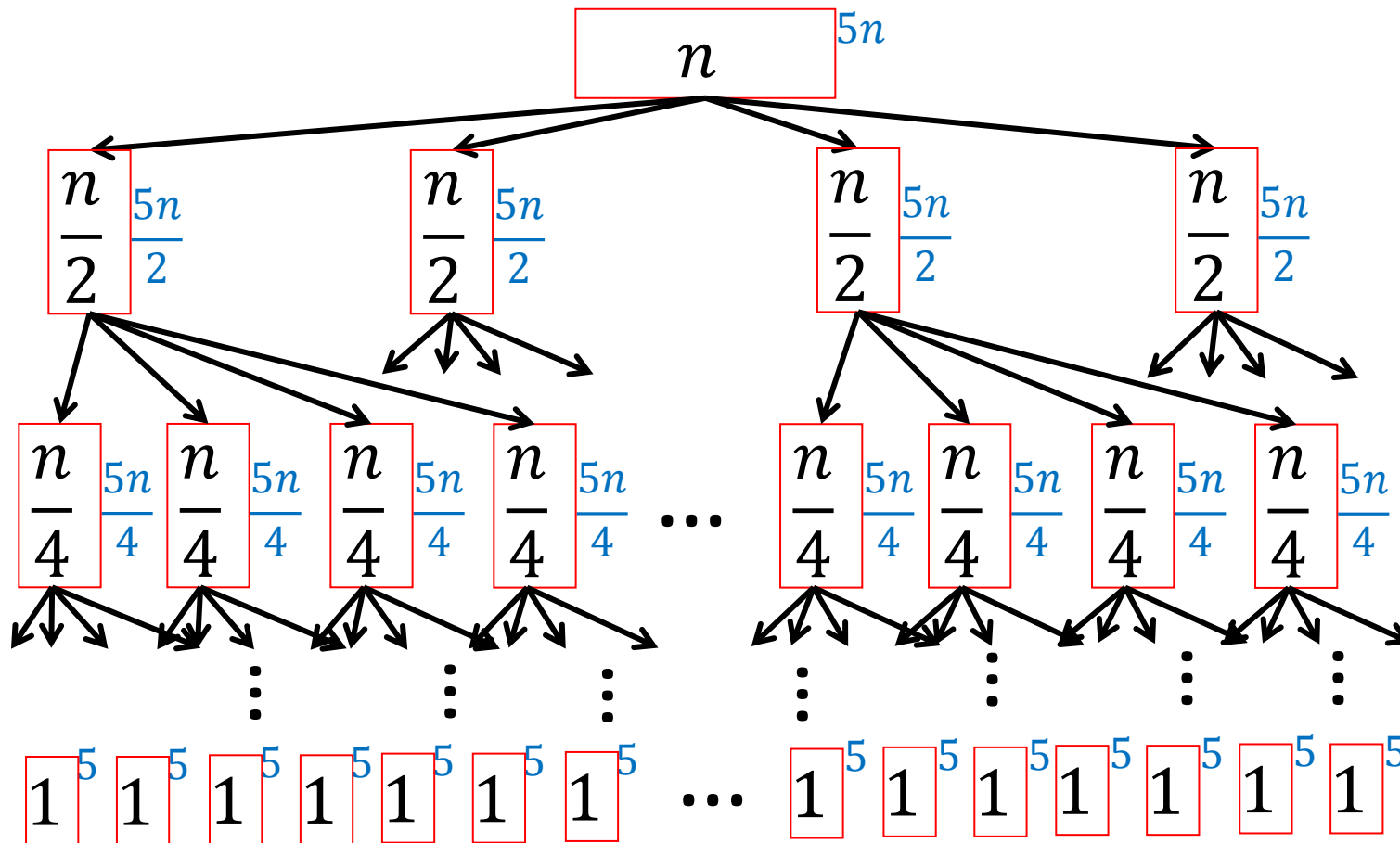
$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

**Case 1**

$$\Theta(n^{\log_2 4}) = \Theta(n^2)$$

# Tree method

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$



$$5n$$

$$\frac{4}{2} \cdot 5n$$

$$\frac{16}{4} \cdot 5n$$

$$\vdots$$

$$2^{\log_2 n} \cdot 5n$$

# Master Theorem Example 3

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

- **Case 1:** if  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
- **Case 2:** if  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$
- **Case 3:** if  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

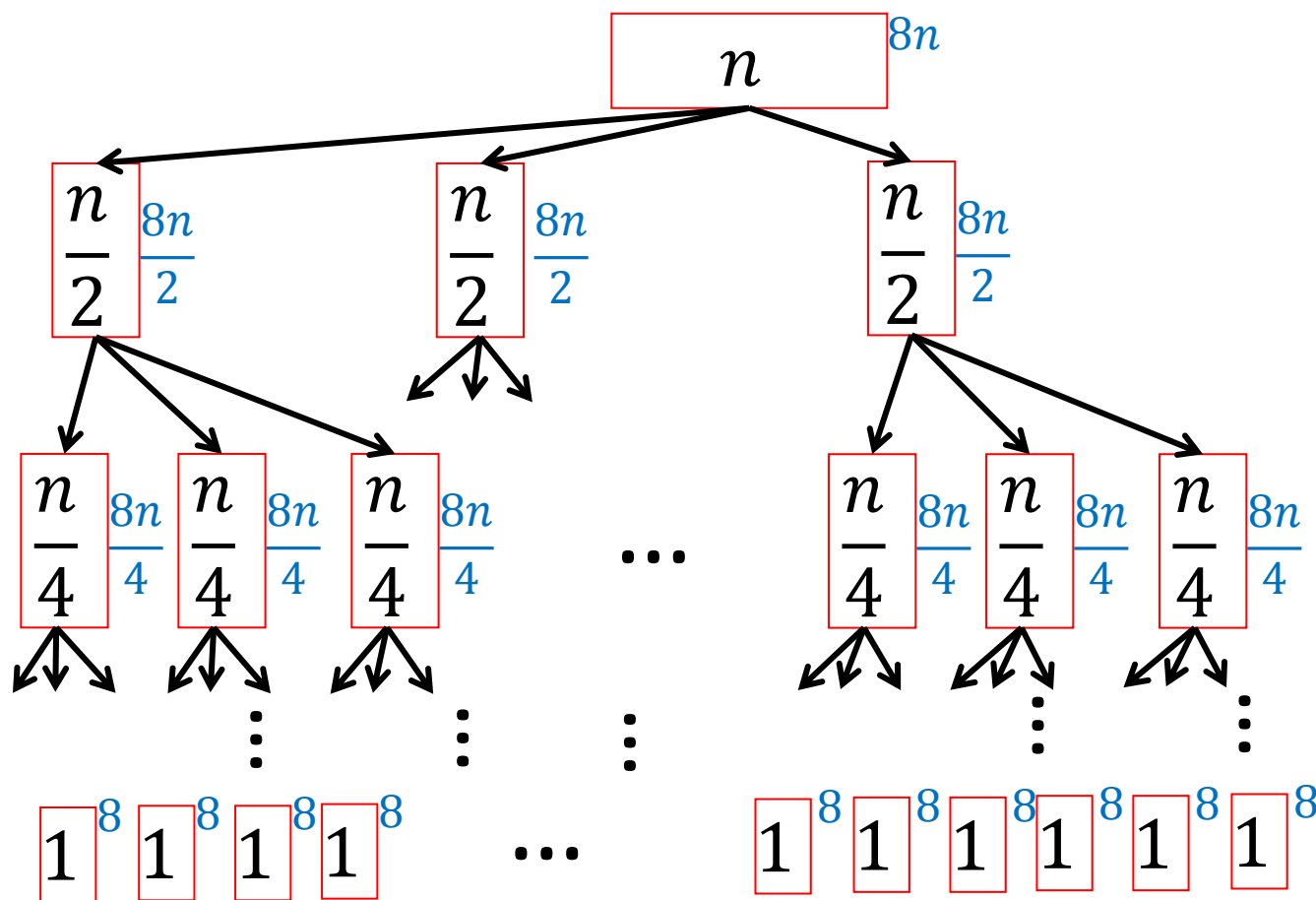
$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

**Case 1**

$$\Theta(n^{\log_2 3}) \approx \Theta(n^{1.5})$$

# Tree Method

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$



$$8 \cdot 1n$$



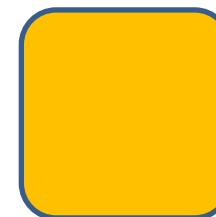
$$\frac{8}{2} \cdot 3n$$



$$\frac{8}{4} \cdot 9n$$



$$\frac{8}{2^{\log_2 n}} \cdot 3^{\log_2 n} n$$



# Master Theorem Example 4

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

- **Case 1:** if  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
- **Case 2:** if  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$
- **Case 3:** if  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

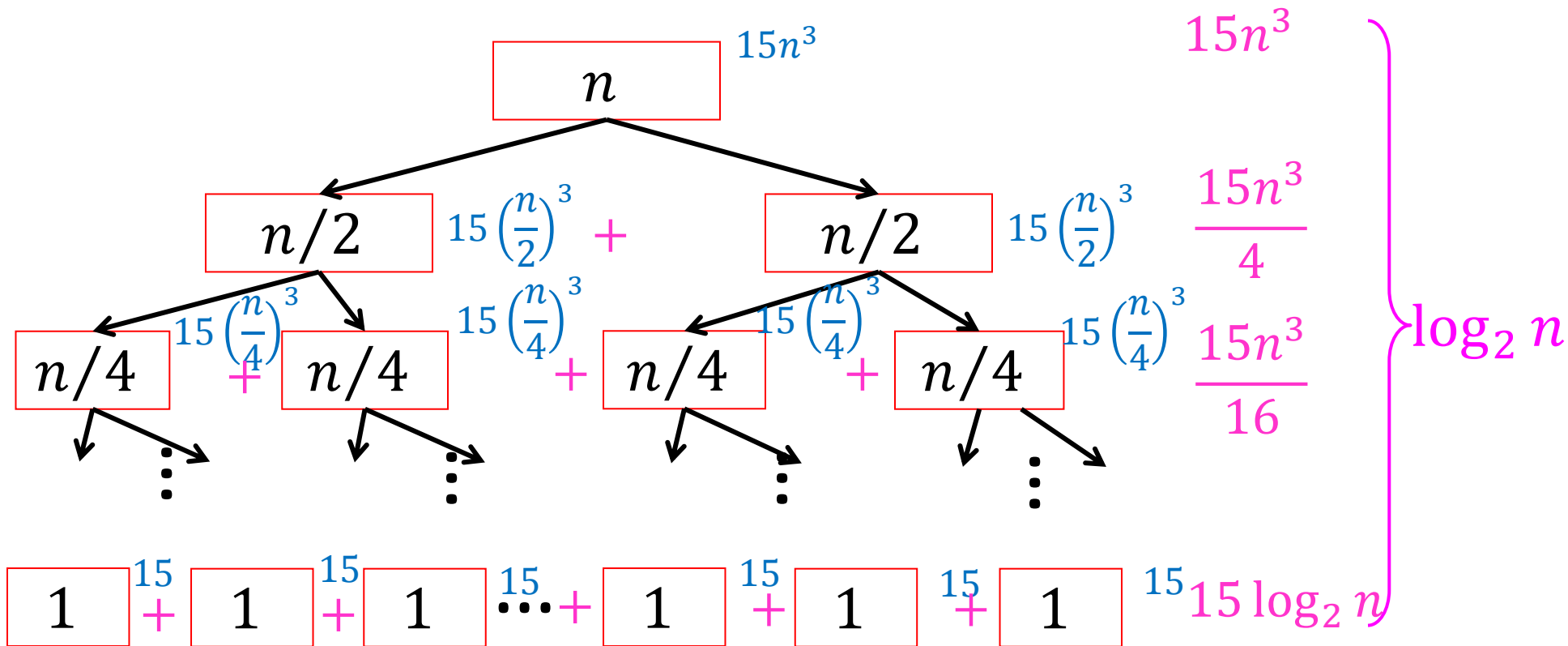
$$T(n) = 2T\left(\frac{n}{2}\right) + 15n^3$$

**Case 3**

$$\Theta(n^3)$$

# Tree method

$$T(n) = 2T\left(\frac{n}{2}\right) + 15n^3$$





# Recurrence Solving Techniques



Tree



Guess/Check



“Cookbook”



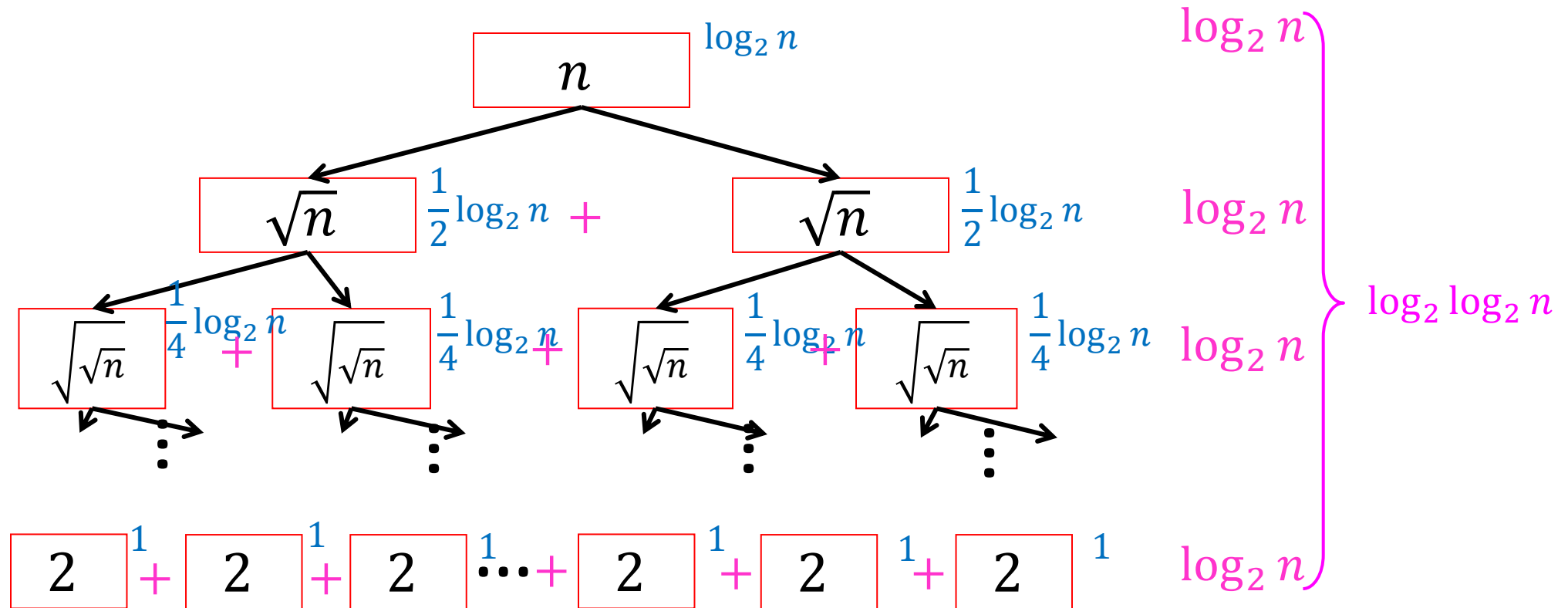
Substitution

# Substitution Method

- Idea: take a “difficult” recurrence, re-express it such that one of our other methods applies.
- Example: 
$$T(n) = 2T(\sqrt{n}) + \log_2 n$$

# Tree method

$$T(n) = 2T(\sqrt{n}) + \log_2 n$$



$$T(n) = O(\log_2 n \cdot \log_2 \log_2 n)$$

# Substitution Method

- Idea: take a “difficult” recurrence, re-express it such that one of our other methods applies.

- Example:  $T(n) = 2T(\sqrt{n}) + \log_2 n$

Let  $n = 2^m$ , i.e.  $m = \log_2 n$

$$T(2^m) = 2T\left(2^{\frac{m}{2}}\right) + m \quad \text{Rewrite in terms of exponent!}$$

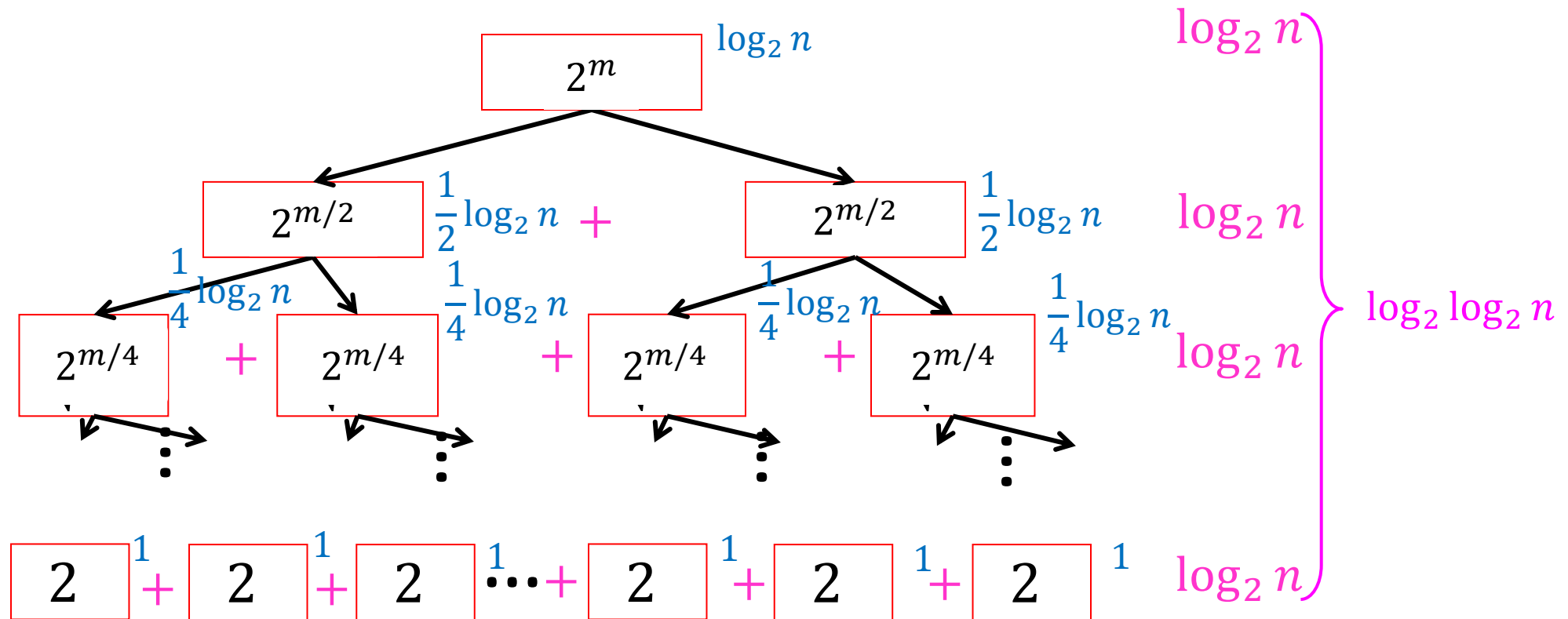
$$\text{Let } S(m) = 2S\left(\frac{m}{2}\right) + m \quad \text{Case 2!}$$

$$\text{Let } S(m) = \Theta(m \log m) \quad \text{Substitute Back}$$

$$\text{Let } T(n) = \Theta(\log n \log \log n)$$

# Tree method

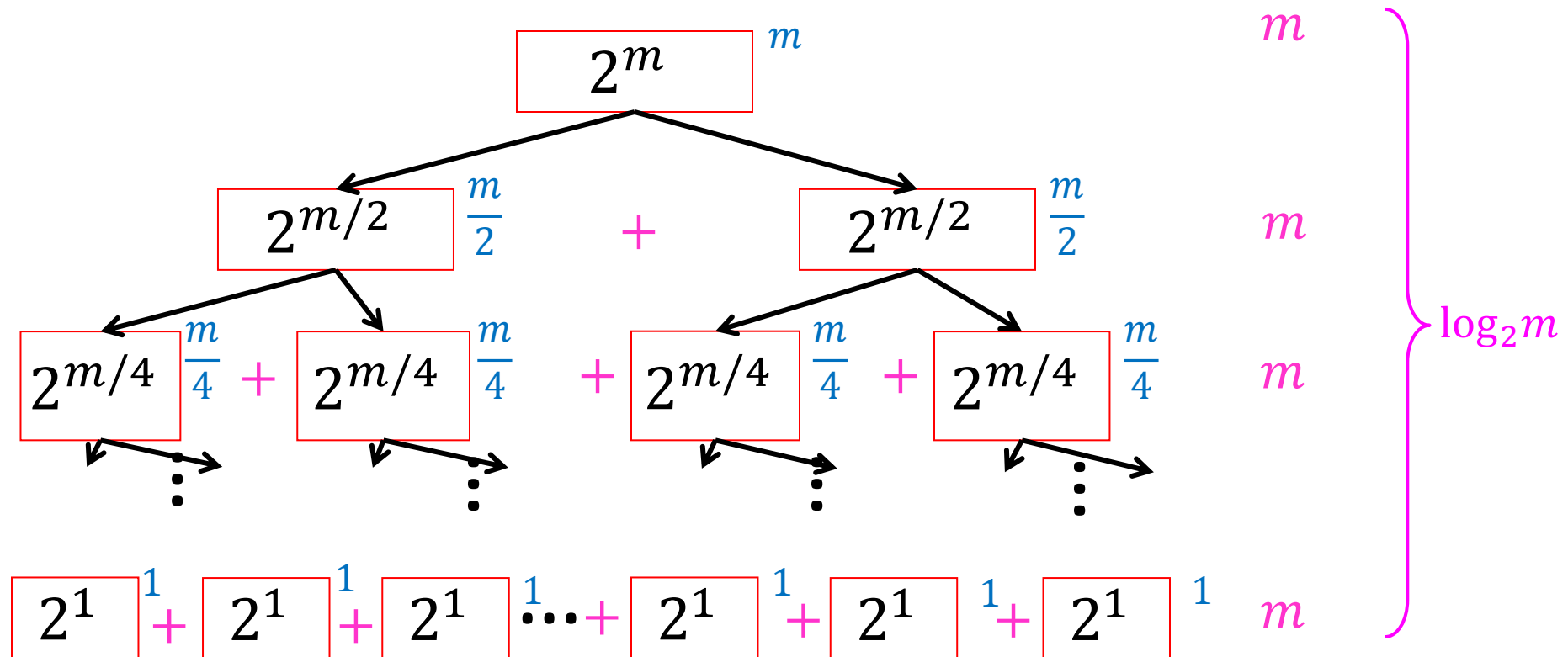
$$n = 2^m \quad T(2^m) = 2T(2^{\frac{m}{2}}) + m$$



# Tree method

$$n = 2^m$$

$$T(2^m) = 2T(2^{m/2}) + m$$

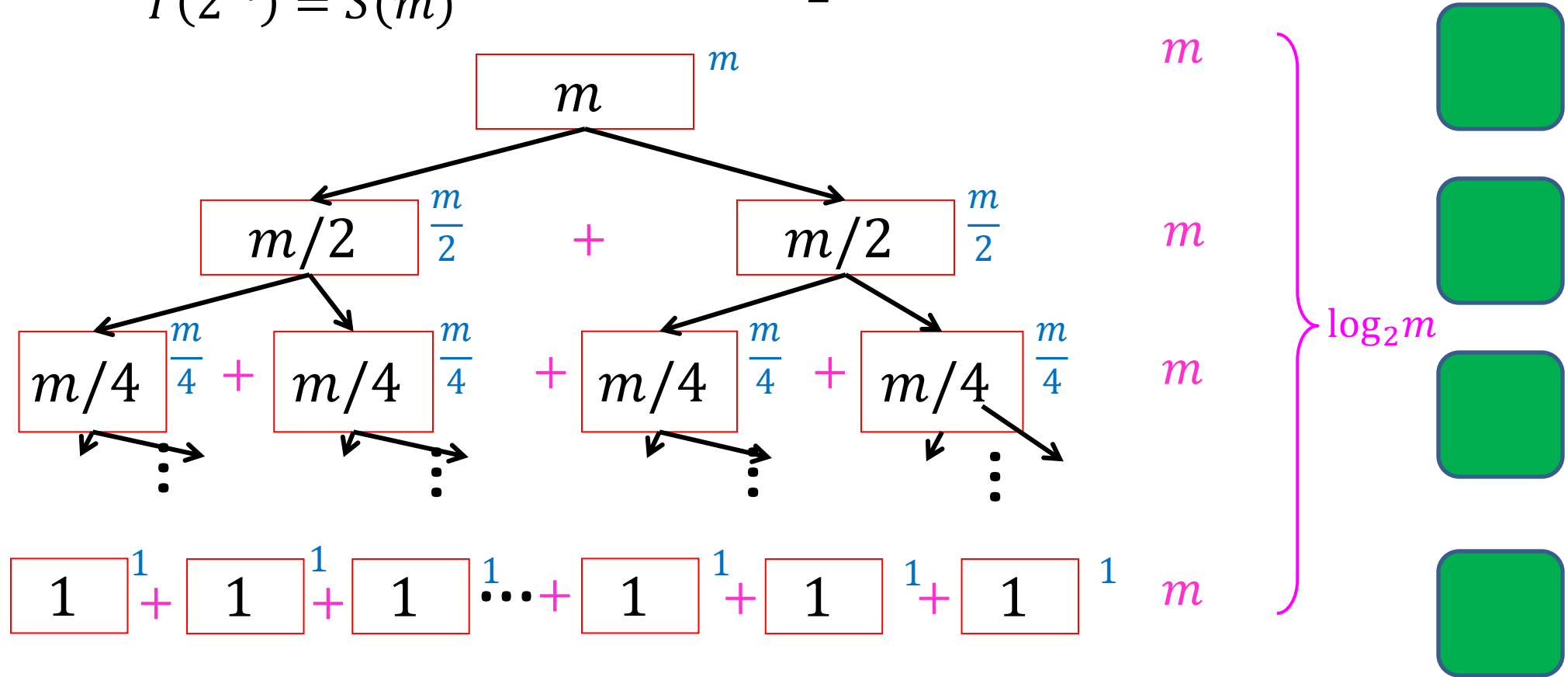


# Tree method

$$n = 2^m$$

$$T(2^m) = S(m)$$

$$S(m) = 2S\left(\frac{m}{2}\right) + m$$

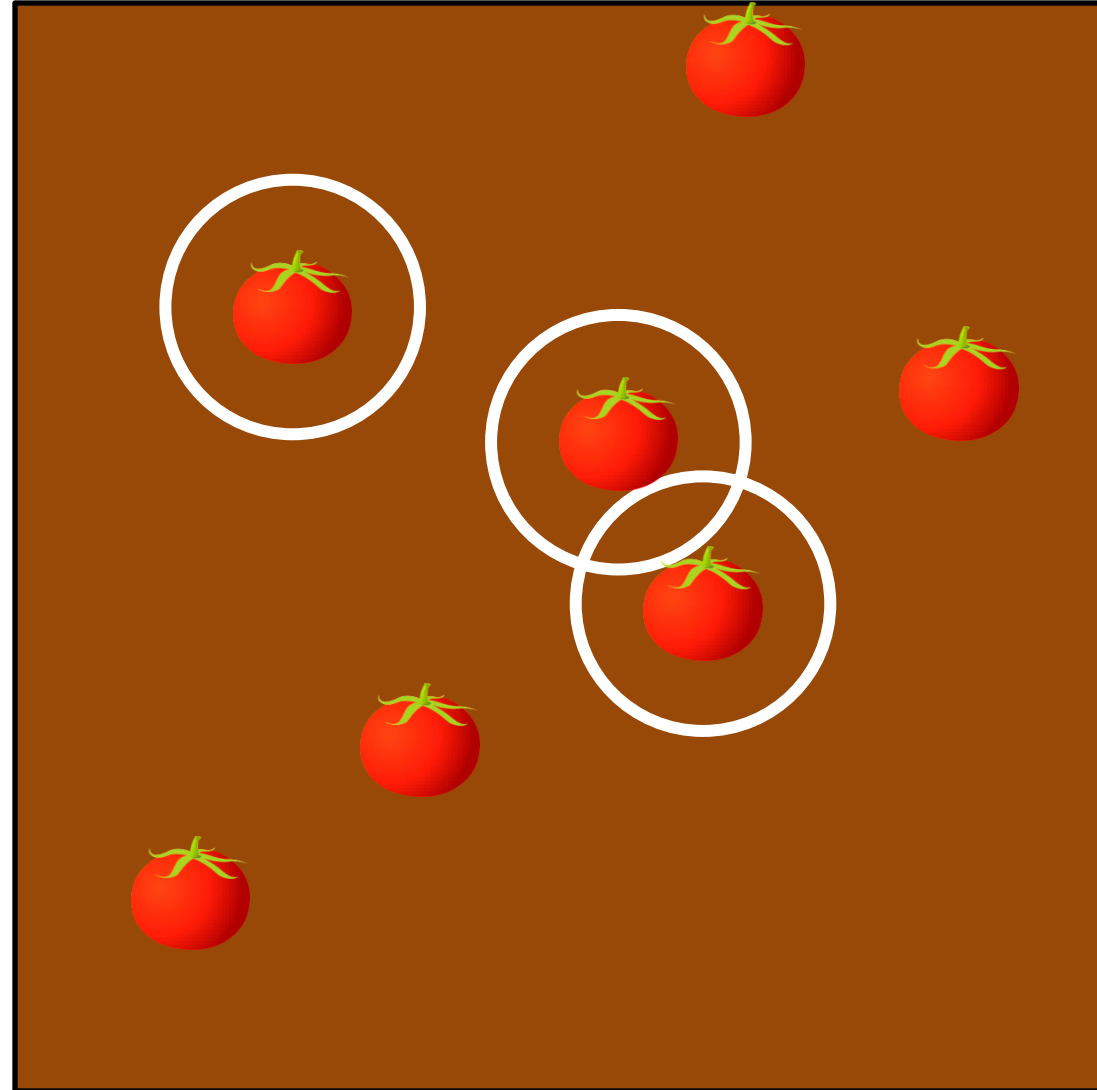


$$T(n) = O(m \cdot \log_2 m) = O(\log_2 n \cdot \log_2 \log_2 n)$$

# Nate's Garden



Need to find:  
Closest Pair of Tomatoes





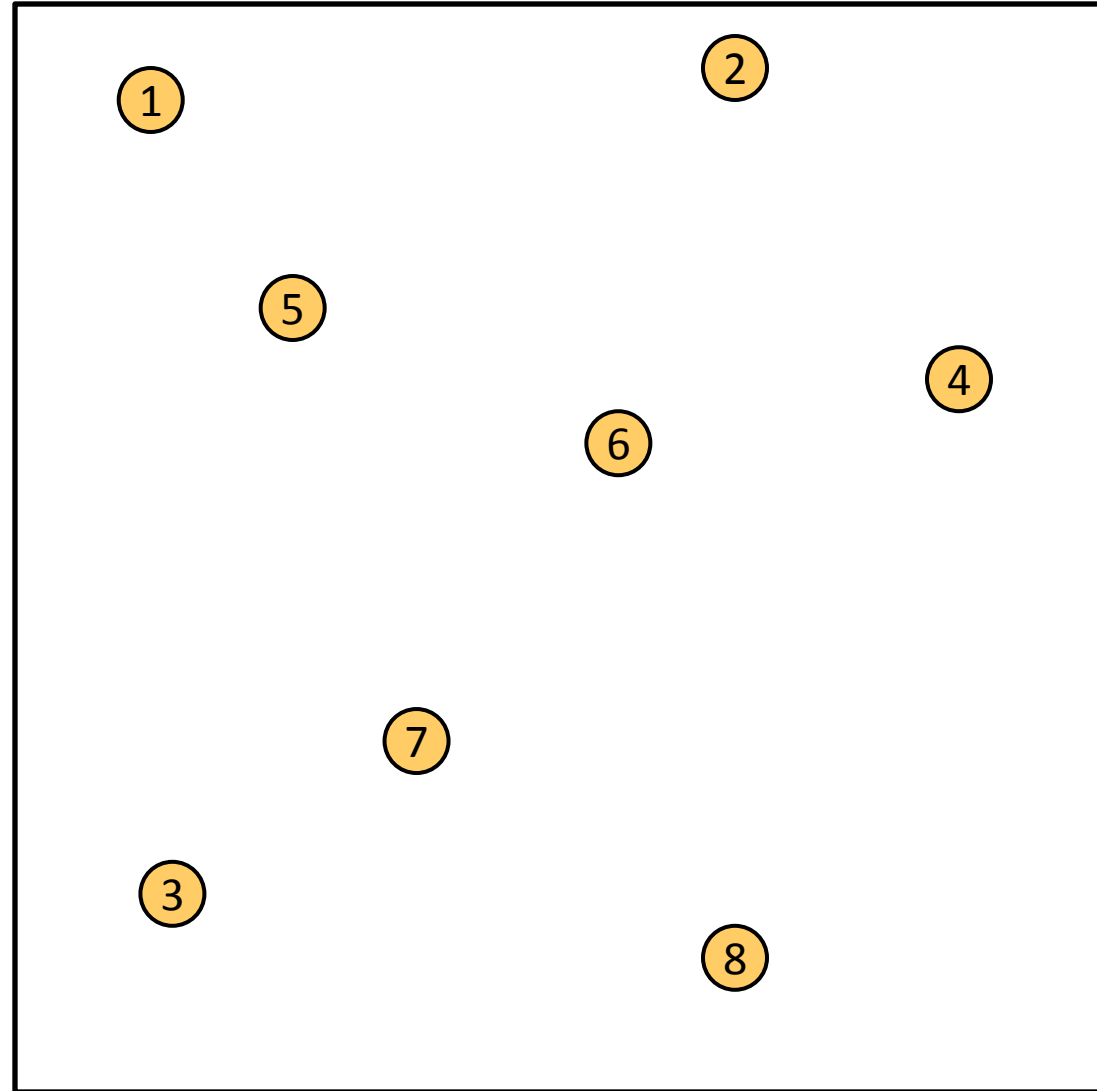
# Closest Pair of Points

Given:

A list of points

Return:

Pair of points with  
smallest distance apart



# Closest Pair of Points: Naïve

Given:

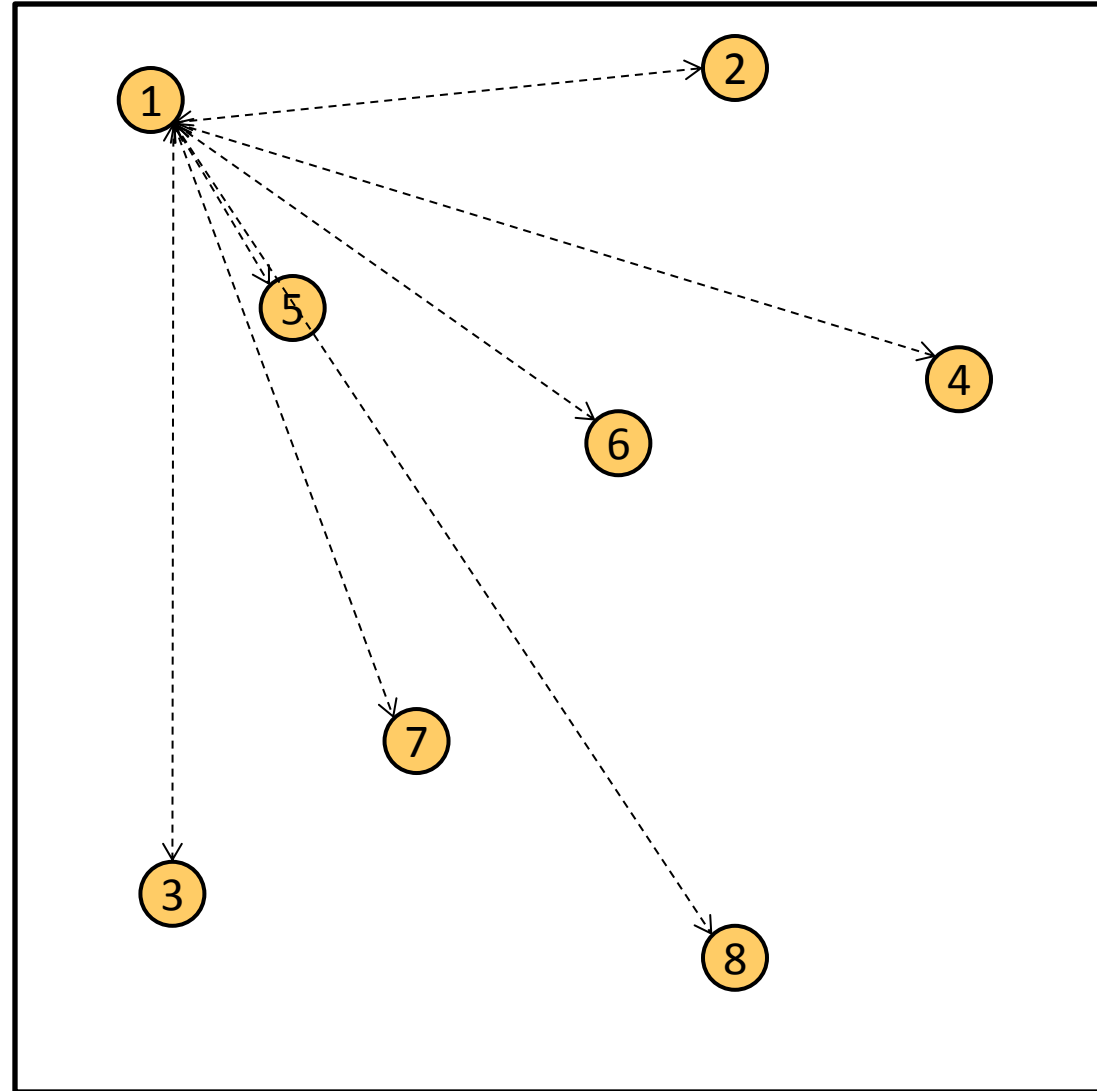
A list of points

Return:

Pair of points with  
smallest distance apart

Algorithm:  $O(n^2)$

Test every pair of points,  
return the closest.

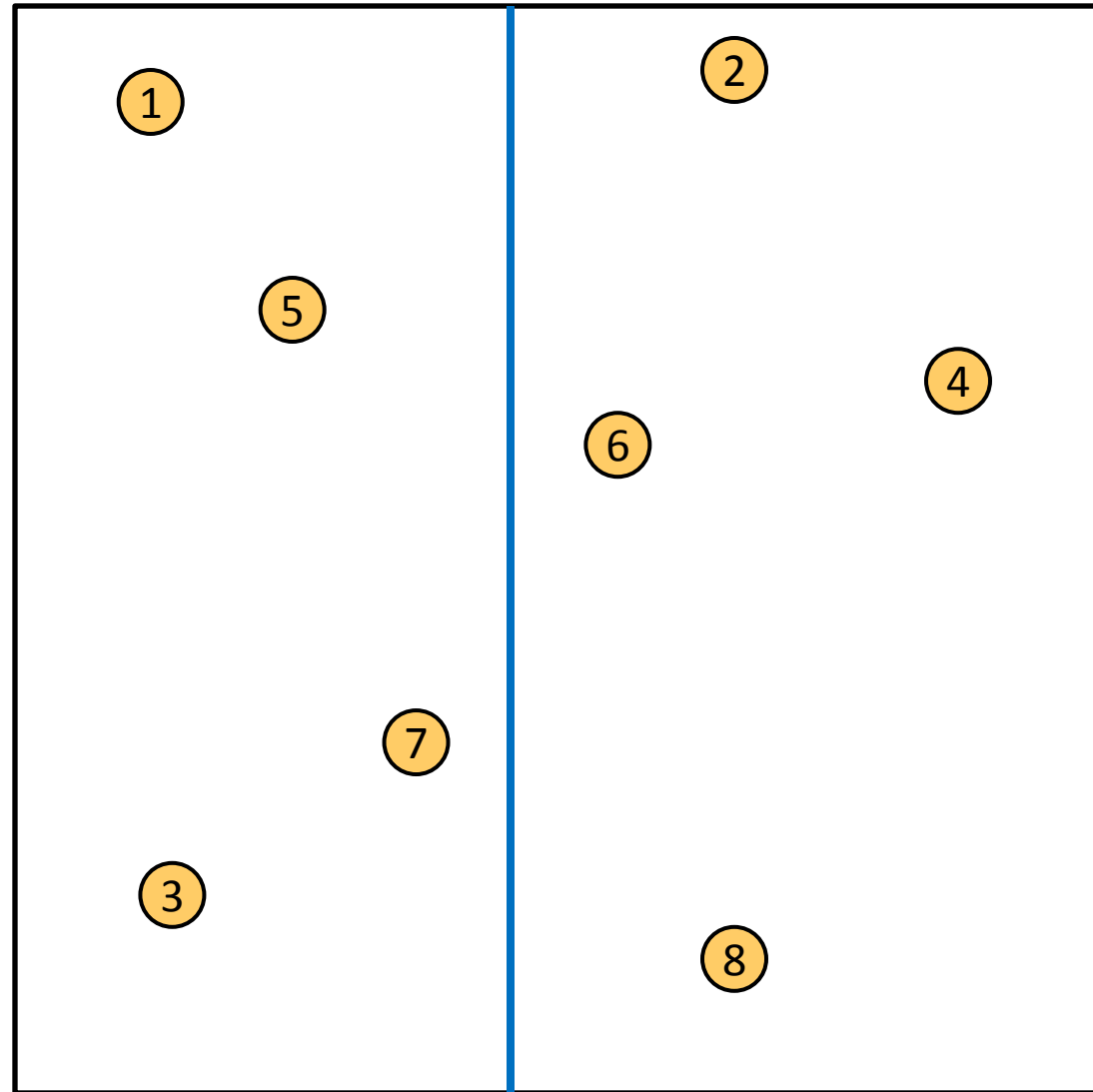


# Closest Pair of Points: D&C

Divide: How?

At median x coordinate

Conquer:



# Closest Pair of Points: D&C

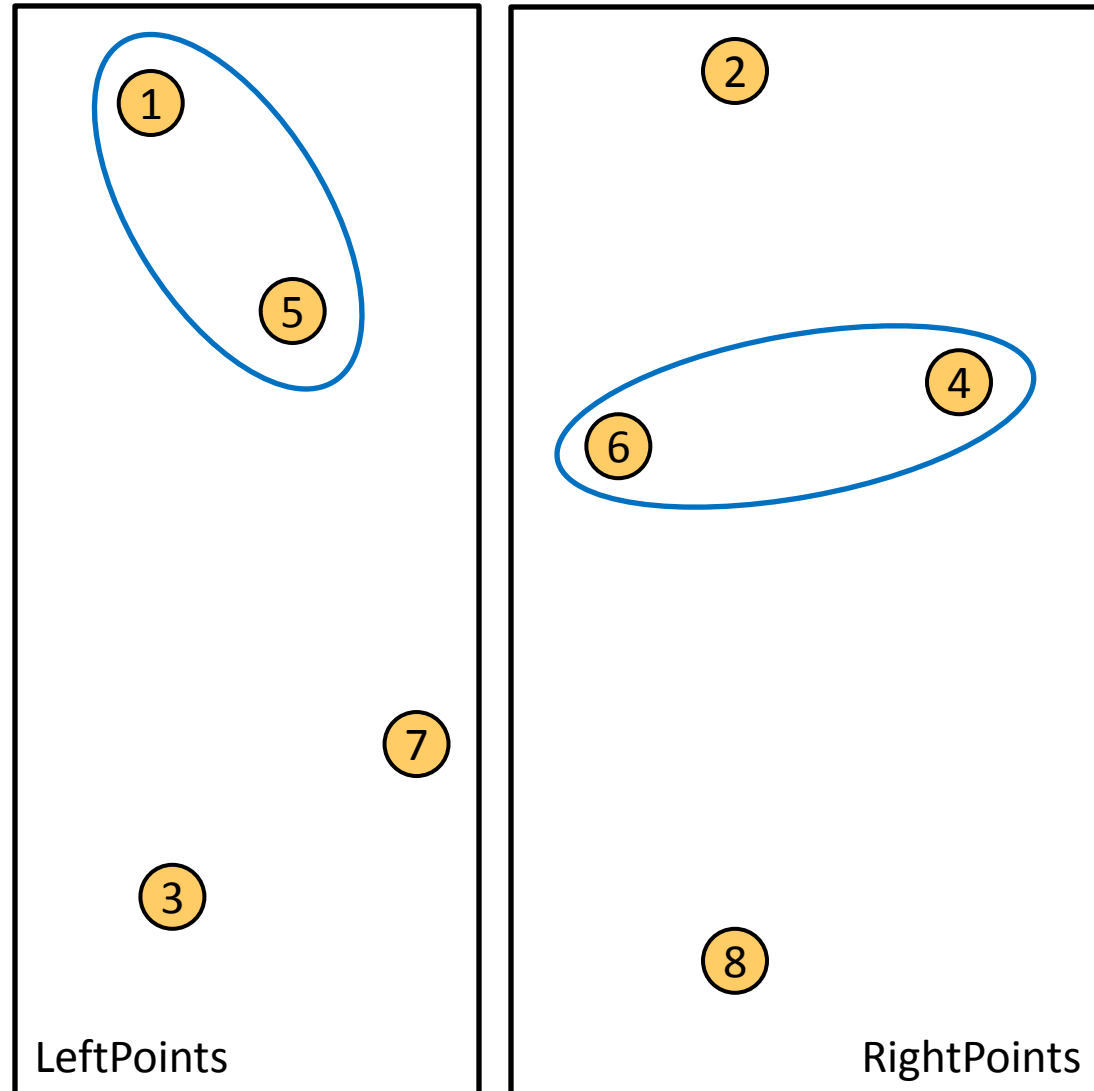
Divide:

At median x coordinate

Conquer:

Recursively find closest pairs from Left and Right

Combine:



# Closest Pair of Points: D&C

## Divide:

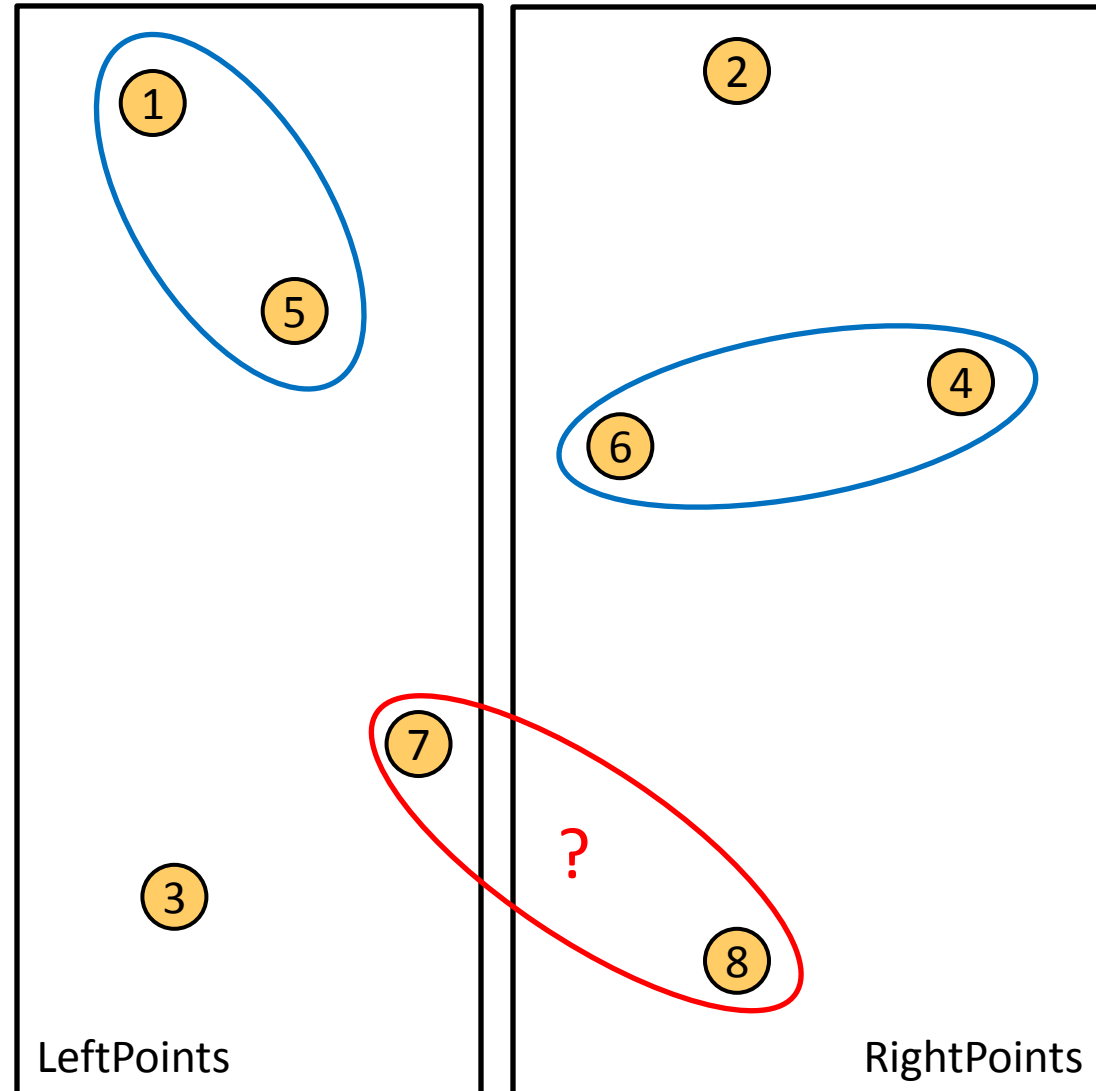
At median x coordinate

## Conquer:

Recursively find closest pairs from Left and Right

## Combine:

Return min of Left and Right pairs **Problem?**



# Closest Pair of Points: D&C

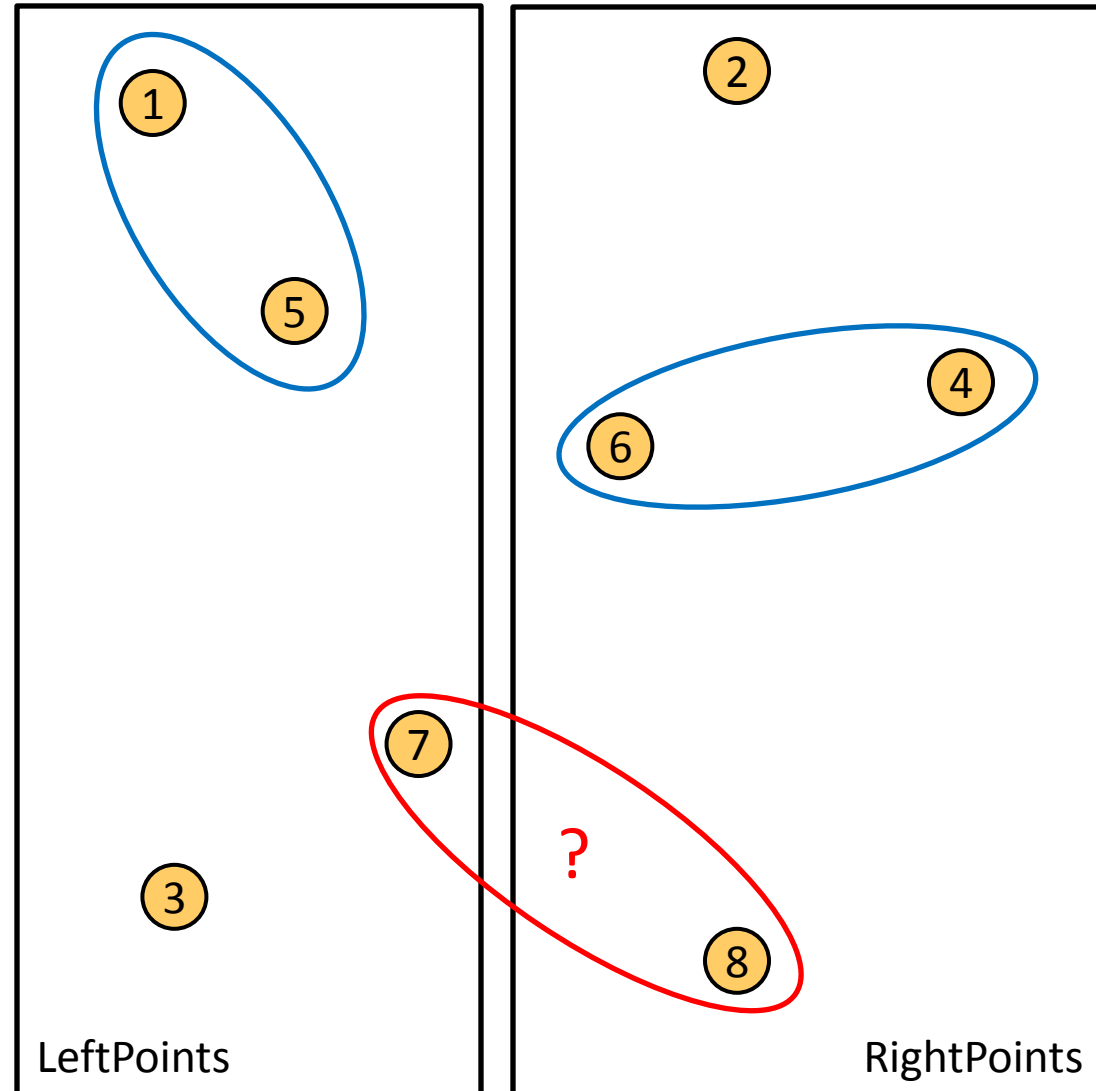
Combine:

2 Cases:

1. Closest Pair is completely in Left or Right

2. Closest Pair Spans our "Cut"

Need to test points across the cut



# Spanning the Cut

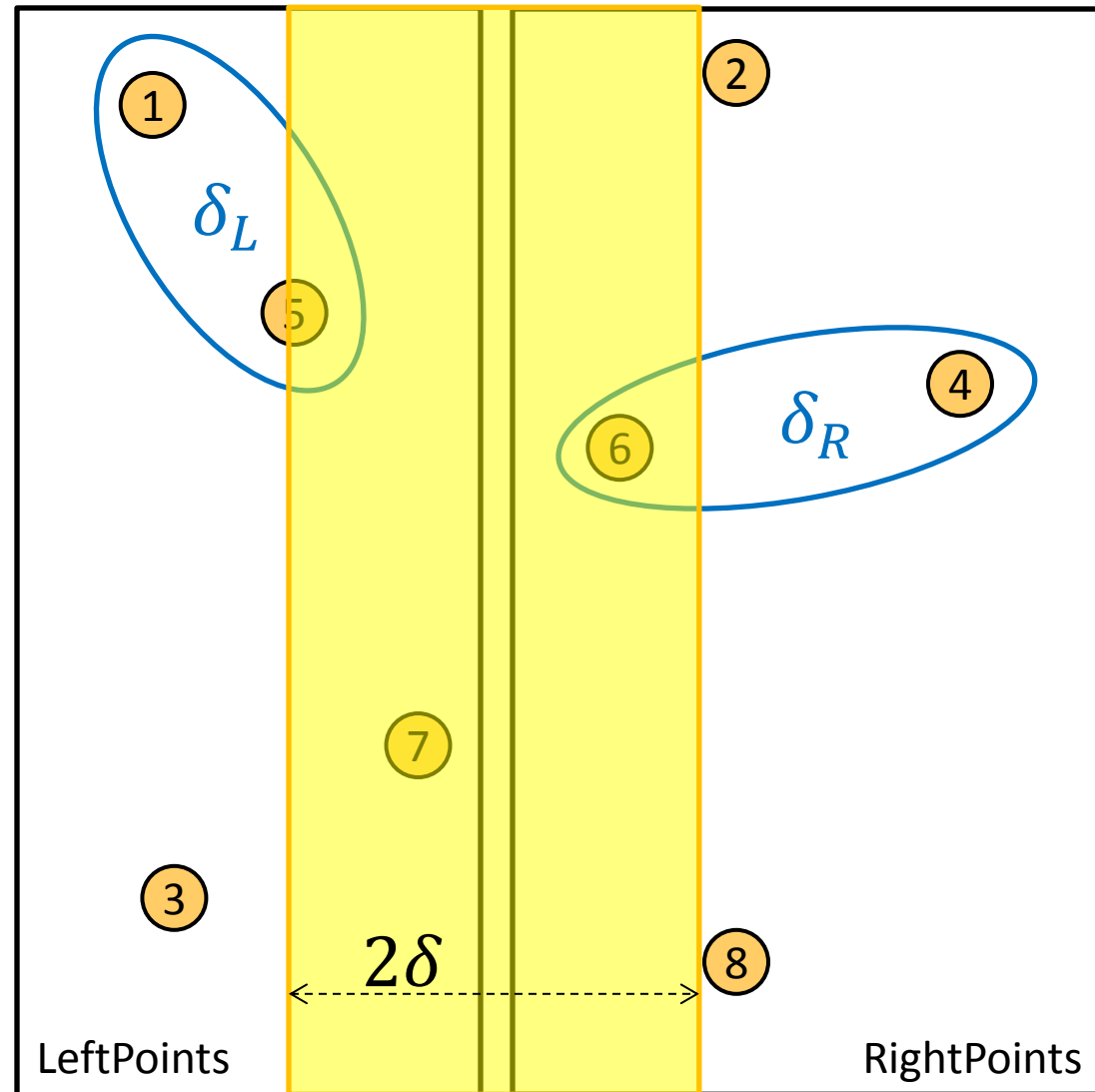
Combine:

2. Closest Pair Spanned  
our “Cut”

Need to test points  
across the cut

Compare all points  
within  $\delta = \min\{\delta_L, \delta_R\}$   
of the cut.

How many are there?



# Spanning the Cut

Combine:

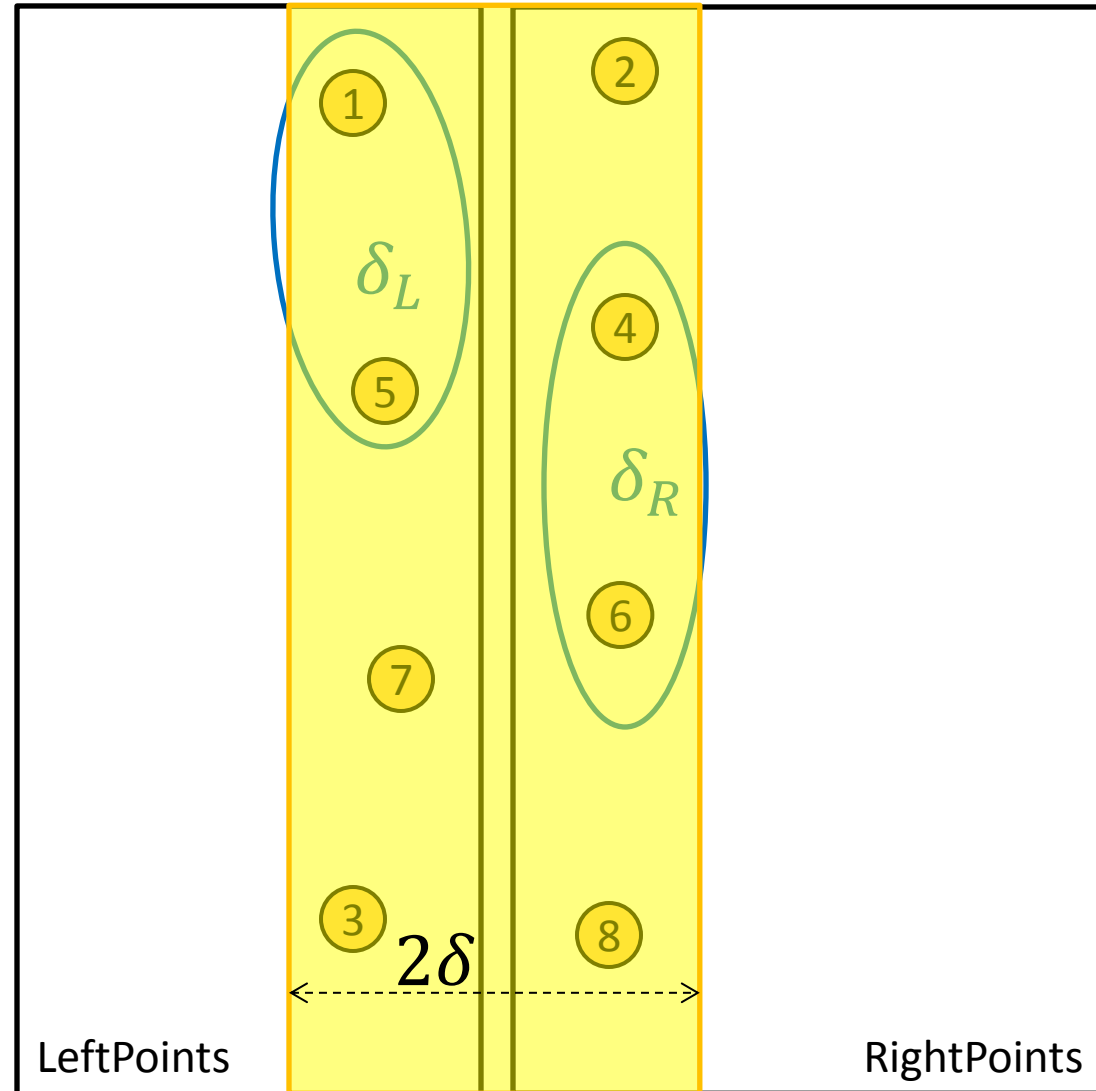
2. Closest Pair Spanned  
our “Cut”

Need to test points  
across the cut

Compare all points  
within  $\delta = \min\{\delta_L, \delta_R\}$   
of the cut.

How many are there?

$$T(n) = 2T\left(\frac{n}{2}\right) + \left(\frac{n}{2}\right)^2 = \Theta(n^2)$$





# Spanning the Cut

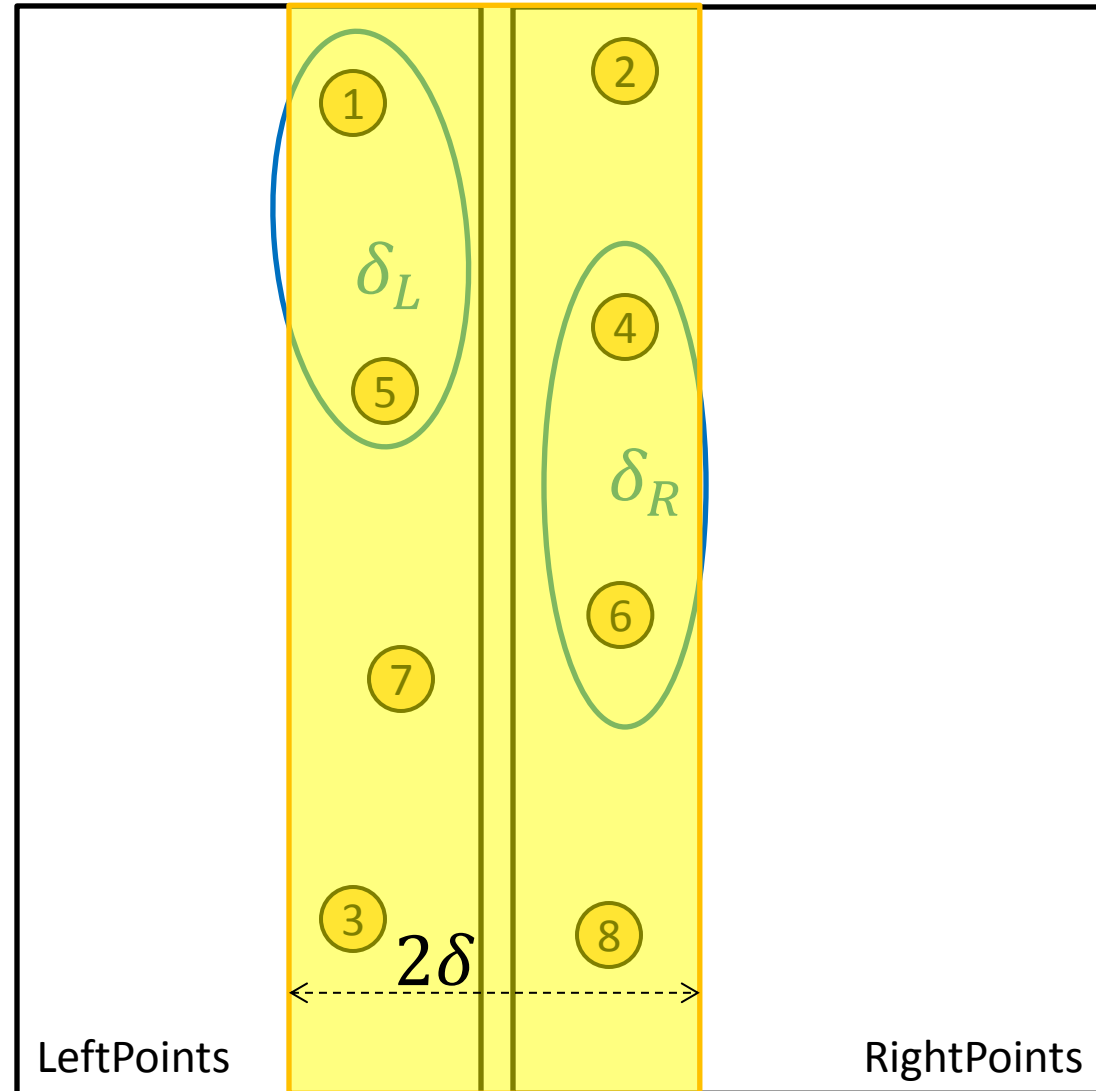
Combine:

2. Closest Pair Spanned  
our “Cut”

Need to test points  
across the cut

We don't need to test all  
pairs!

Only need to test points  
within  $\delta$  of one another



# Reducing Search Space

$$2 \cdot \delta$$

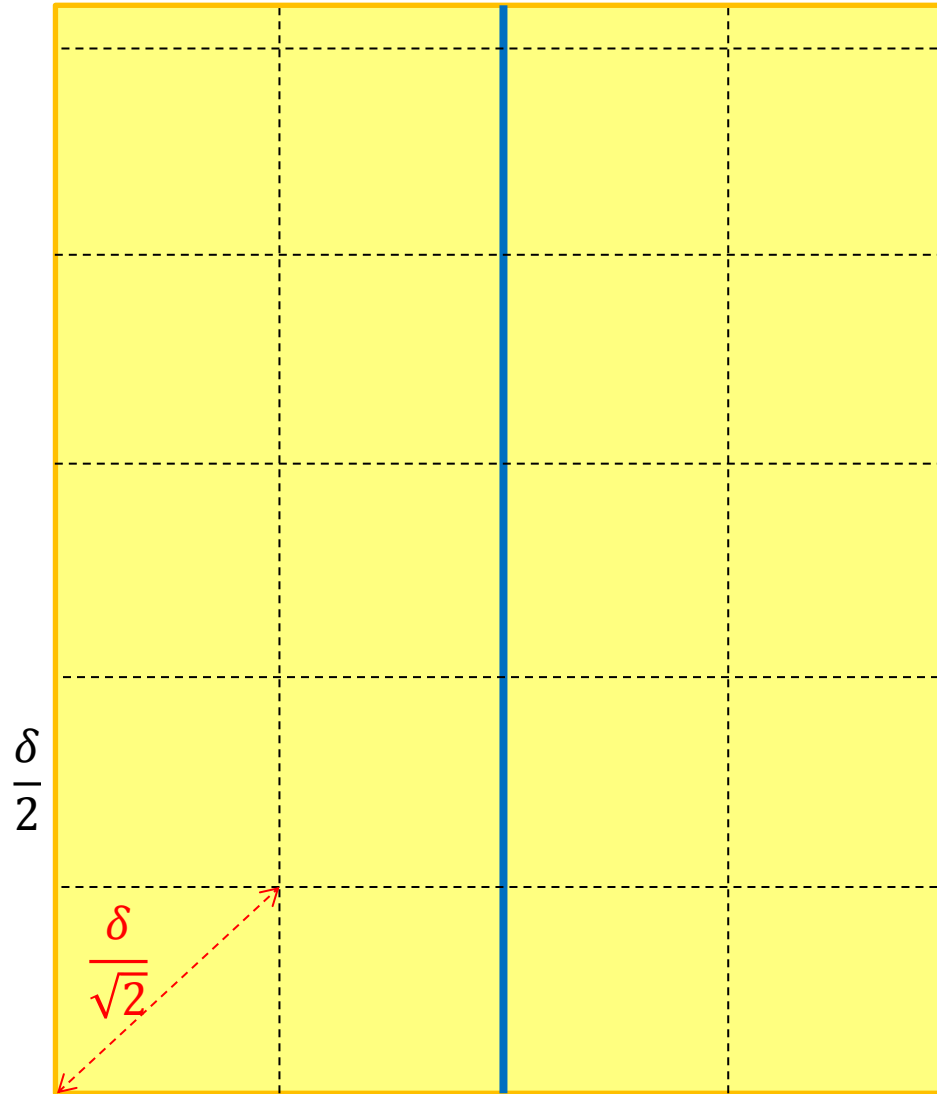
Combine:

2. Closest Pair Spanned  
our “Cut”

Need to test points  
across the cut

Divide the “runway” into  
square cubbies of size  $\frac{\delta}{2}$

Each cubby will have at  
most 1 point!



# Reducing Search Space

$$2 \cdot \delta$$

Combine:

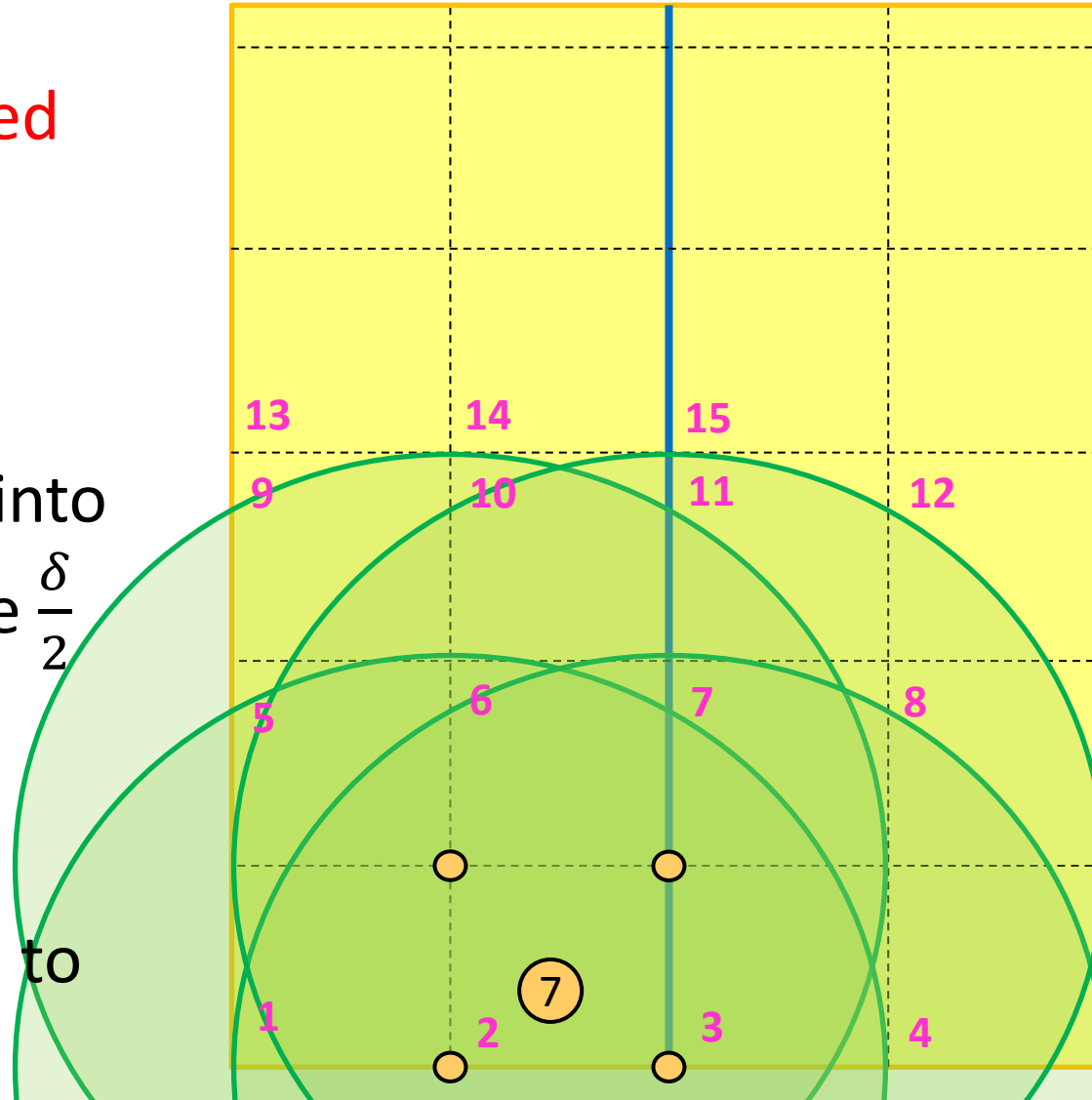
2. Closest Pair Spanned  
our “Cut”

Need to test points  
across the cut

Divide the “runway” into  
square cubbies of size  $\frac{\delta}{2}$

How many cubbies  
could contain a  
point  $< \delta$  away?

Each point compared to  
 $\leq 15$  other points



# Closest Pair of Points: D&C

0. Sort points by x

1. **Divide:** At median x

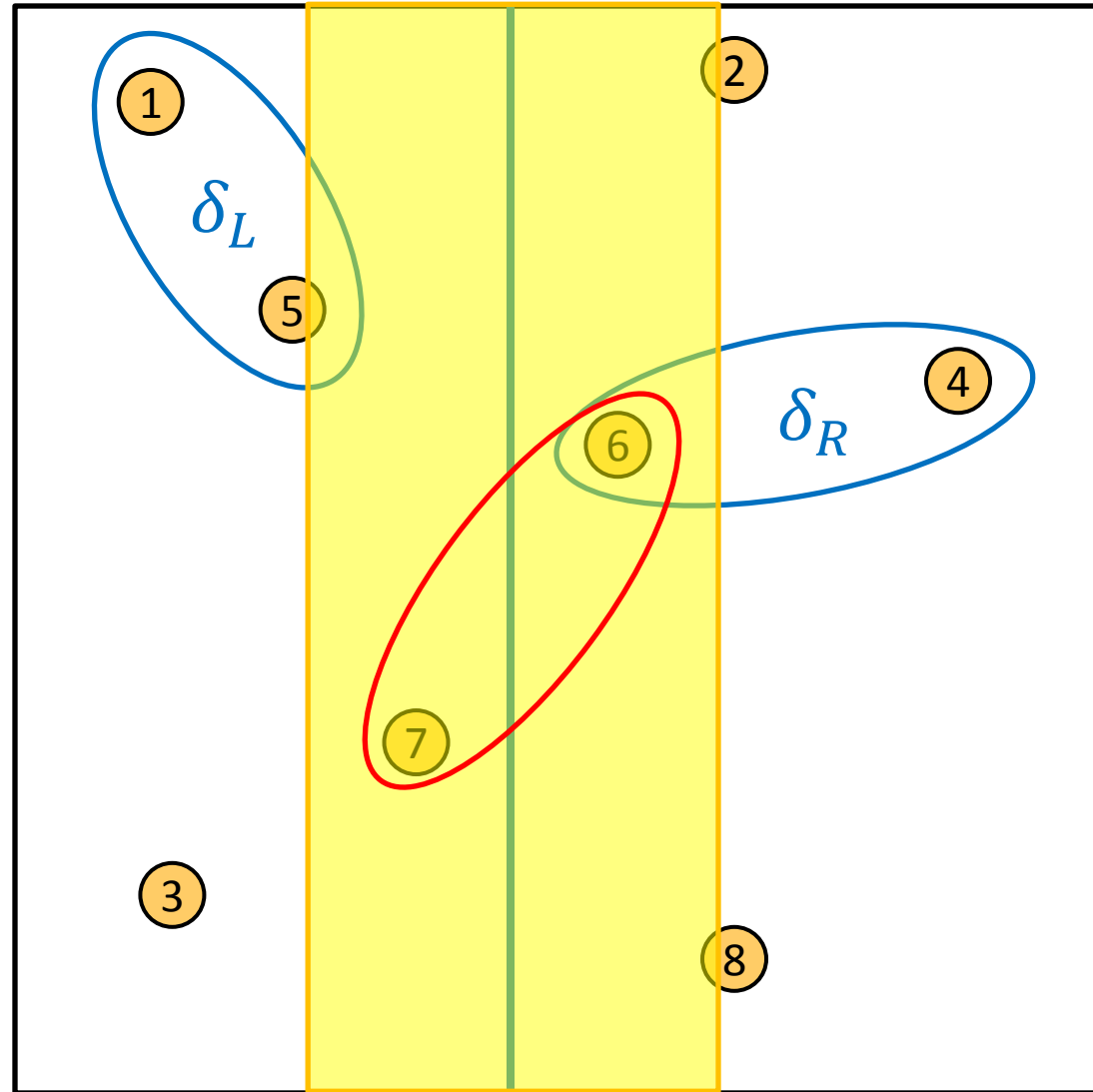
2. **Conquer:** If  $>2$  points  
Recursively find closest  
pair on left and right

3. **Combine:**

a. List points in  
“runway” in order  
according to y value

b. Compare each point  
to the next 15 above it,  
save best found

c. Return min from left,  
right, and **3b**



# Listing points in “Runway”

- Given: y-sorted lists from left and right
- Return: y-sorted points in “runway”
- Target run time?  $O(n)$

Left, sorted by y    Right, sorted by y

3	7	5	1
---	---	---	---

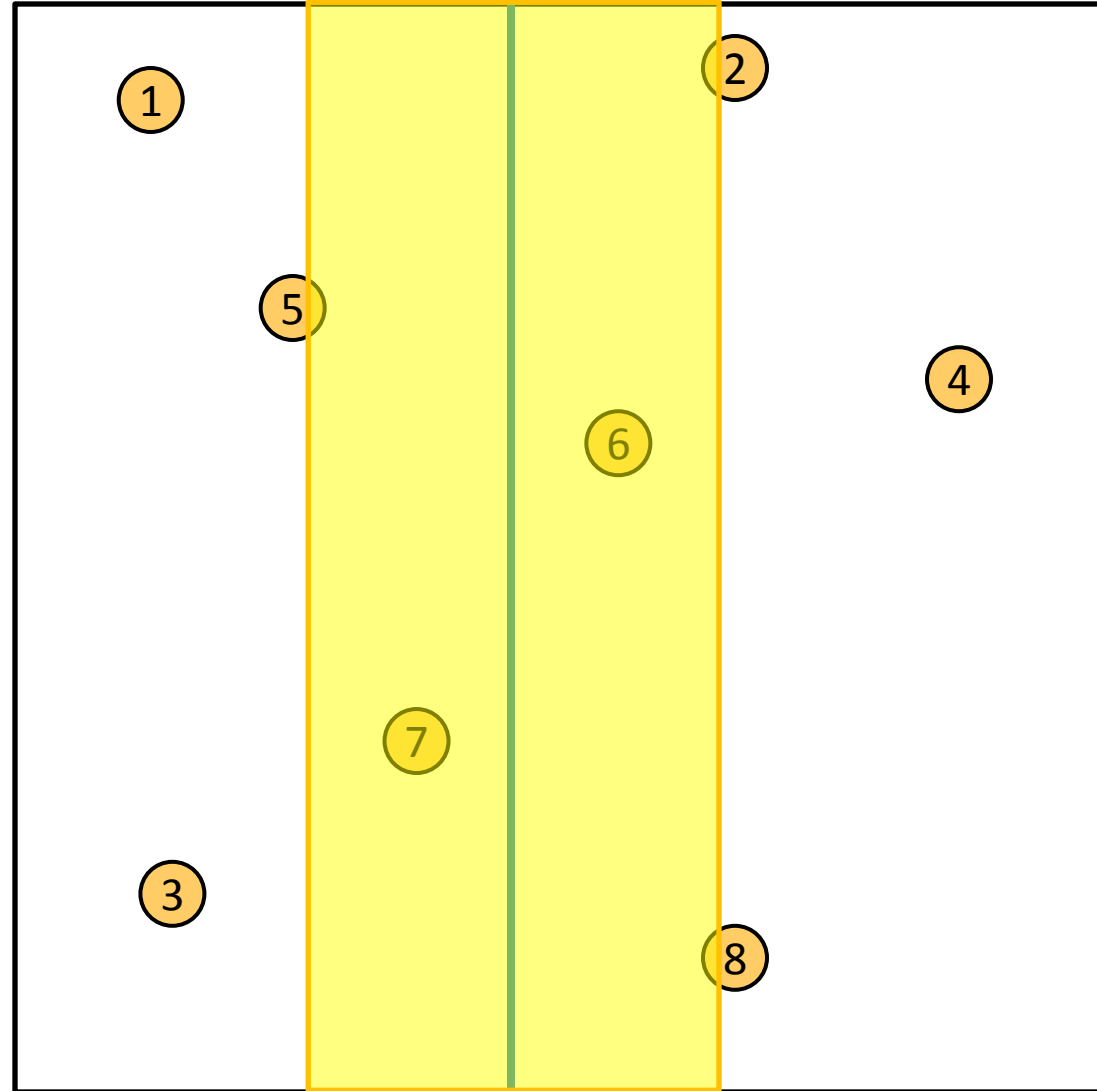
8	6	4	2
---	---	---	---

Merged, sorted by y

8	3	7	6	4	5	1	2
---	---	---	---	---	---	---	---

Runway, still sorted by y!

8	7	6	5	2
---	---	---	---	---



# Run Time

0. Sort points by x

$\Theta(n \log n)$

1. Divide: At median x

$\Theta(1)$

2. Conquer: If  $>2$  points,  
Recursively find closest  
pair on left and right

$T\left(\frac{n}{2}\right)$

3. Combine:

a. Merge points to sort by y

$\Theta(n)$

b. Compare each runway  
point to the next 15 runway  
points, save closest pair

$\Theta(n)$

c. Return y-sorted points  
and min from left, right,  
and 3b

$\Theta(1)$

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

Case 2!

$$T(n) = \Theta(n \log n)$$