

# CGS CUSIP Master File

## CGS Offerings:

- **Database Services**
- Identifier Solution Services
- Portfolio Services
- Segment Services
- Web Services

## The Service

CGS CUSIP Master File provides 9-character CUSIP identifiers, standardized descriptions and additional data attributes for over 8 million securities offered in the U.S. and Canada.

Instrument coverage includes corporate, municipal, sovereign, and U.S. government debt securities as well as equity securities.

### Data delivery may include:

- CUSIP Master File in its entirety
- Subsets available:
  - All Debt
  - Muni Debt
  - Corporate Bonds
  - CDs
  - All Corporates
  - All Equity
  - Equity- Common and Preferred
  - Mutual Funds

## Benefits

- Access to the primary source for all CUSIP numbers
- Gain efficiencies in:
  - Securities master file maintenance
  - Income processing
  - Validation of new purchases
  - Automation of front- and back-office operations

## Features

- Covers 330,000 issuers and 8 million issues
- Over 50 data elements collected
- Provides 40 years of U.S. and Canadian corporate capital change information, such as:
  - Name changes
  - Acquisitions
  - Mergers
  - Reorganizations
  - Reverse stocks splits
  - Entire redemptions

## Format

Pipe-delimited text or XML files

## Standard Delivery

- Via FTP
- Initial master file and subsequent delta files
- Daily, weekly or intraday (3 transmissions: noon, 3:00 p.m. and 7:00 p.m.)

## API Delivery

- All data available via a web-services delivery platform
- Real time access to database
- Search for newly assigned identifiers or search for an issuer's securities using 15 predefined parameter's (issuer name, security description, dated date, coupon, maturity date, update date, etc.)
- Data retrieved in XML format
- Access to database without hosting in house
- Access to additional data elements not found in CUSIP Master File

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## About CUSIP®

CUSIP® is the universally accepted standard for uniquely classifying financial instruments across institutions and exchanges worldwide. The CUSIP system provides identification and descriptive information for over 14 million financial instruments supporting all phases of securities processing and analysis. From the front-office to the back-office, financial professionals rely on the origin and accuracy of CUSIP information to run their businesses smoothly and reliably.

## **CGS CUSIP Master File Data Elements**

### **Debt – General**

- |                                 |                                |
|---------------------------------|--------------------------------|
| ▪ Activity Date                 | ▪ Interest Payment Frequency   |
| ▪ Auditor                       | ▪ Issuer and Issue Description |
| ▪ Callable Indicator            | ▪ Legal Advisor                |
| ▪ CFI Code (ISO and U.S.)       | ▪ Maturity Date                |
| ▪ Closing Date                  | ▪ Paying Agent                 |
| ▪ Coupon Rate                   | ▪ Put Indicator                |
| ▪ Currency                      | ▪ Redemption Features          |
| ▪ Dated Date                    | ▪ Sinking Fund Indicator       |
| ▪ Domicile                      | ▪ Tender Agent                 |
| ▪ Depository Eligible Indicator | ▪ Rate Type                    |
| ▪ Enhancements                  | ▪ Secondly Insured             |
| ▪ Financial Advisor             | ▪ Source Document              |
| ▪ First Coupon Date             | ▪ State Code                   |
| ▪ Form                          | ▪ Transfer Agent               |
| ▪ Issue Status                  | ▪ Underwriter                  |

### **Debt – Municipal**

- |                                     |                            |
|-------------------------------------|----------------------------|
| ▪ Alternative Minimum Tax Indicator | ▪ Bank Qualified Indicator |
| ▪ Competitive/Negotiated            | ▪ Pre-refunded Indicator   |
| ▪ Refundable Indicator              | ▪ Remarketed Indicator     |
| ▪ Sale Date                         | ▪ Taxable Indicator        |
| ▪ Offering Amount                   |                            |

### **Equity Issues**

- |                                     |                                 |
|-------------------------------------|---------------------------------|
| ▪ ADR Program Type                  | ▪ Activity Date                 |
| ▪ Auditor                           | ▪ CFI Codes (ISO and U.S.)      |
| ▪ Currency                          | ▪ Depository Eligible Indicator |
| ▪ Domicile                          | ▪ Exchange Traded               |
| ▪ Financial Advisor                 | ▪ Form                          |
| ▪ Initial Public Offering Indicator | ▪ Issue Status                  |
| ▪ Ownership Restrictions            | ▪ Legal Advisor                 |
| ▪ Payment Status                    | ▪ Source Document               |
| ▪ Ticker Symbol                     | ▪ State Code                    |
| ▪ Underwriter                       | ▪ Transfer Agent                |
| ▪ Type of Preferred Dividend Income | ▪ Voting Rights                 |
| ▪ Warrant:                          | ▪ Fund:                         |
| - Type                              | - Open/Closed End               |
| - Underlying Assets                 | - Investment Policy             |
| - Call/Put Indicator                | - Income Distrib. Policy        |
|                                     | - Load/No Load                  |

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