

# a logic for synchronous relations

varun ramanathan

ANR DeLTA Meeting, LaBRI 2018

joint work with Pascal Weil and Diego Figueira

# overview

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# languages and relations

regular languages of finite words are well studied. We use different tools: automata, logic, algebra. But what about relations?

*Reverse*:  $\{(a, a), (b, b) \dots (baaba, abaab) \dots\}$

*Prefix*:  $\{(a, a), (b, b) \dots (abba, abbababb) \dots\}$

*Subword*:  $\{(a, a) \dots (aba, bbaabbbab) \dots\}$

What are some automata models for studying relations?

**Rational relations**: multi-tape automata with regular synchronizing language

**Synchronous relations**: multi-tape automata with synchronous movement of heads (i.e. synchronizing language  $(12)^*(1^* + 2^*)$ ).

# synchronous relations

Studied under the name *regular relations* (Libkin, et al, 2003) and *automatic relations* (Blumensath, Grädel, 2000).

Applications: Extended Conjunctive Regular Path Queries (ECPRQs) for graph databases.

**Natural question:** logical characterization?

**Starting point:** FO characterization given by Eilenberg et al (1969).

# synchronizing a tuple of words

Representing a tuple of words as a single word:

Let  $\perp \notin A$ , and  $A_\perp = A \cup \{\perp\}$ . *Synchronize* words as follows:

$$(aabab, aba) \longrightarrow \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} a \\ \perp \end{pmatrix} \begin{pmatrix} b \\ \perp \end{pmatrix}$$

$$(bbb, ababab) \longrightarrow \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix}$$

$$(aab, abaabb, baab) \longrightarrow \begin{pmatrix} a \\ a \\ b \end{pmatrix} \begin{pmatrix} a \\ b \\ a \end{pmatrix} \begin{pmatrix} b \\ a \\ a \end{pmatrix} \begin{pmatrix} \perp \\ a \\ b \end{pmatrix} \begin{pmatrix} \perp \\ b \\ \perp \end{pmatrix} \begin{pmatrix} \perp \\ b \\ \perp \end{pmatrix}$$

the *synchronizing word* of  $(w_1, w_2 \dots w_k)$  is denoted by  $w_1 \otimes w_2 \dots w_k$  or simply  $\bar{w}$ .

$\mathbf{R}$  is a synchronous relation if

$$L_{\mathbf{R}} = \{(w_1 \otimes w_2 \cdots \otimes w_k : (w_1, w_2 \dots w_k) \in \mathbf{R}\} \text{ is regular}$$

# synchronous relations

$\text{Sync}_k(A^*)$ : set of synchronous  $k$ -ary relations (of words in  $A^*$ )

$$\mathbf{Sync}(A^*) = \bigcup_{k \in \mathbb{N}} \text{Sync}_k(A^*)$$

Let  $A_\Delta = \{a_1 \otimes a_1 : a_1 \in A\}$  ,  $A_\times = A \times A$ .

- the prefix relation is given by  $A_\Delta^* (\{\perp\} \times A)^*$
- the equal length relation is given by  $A_\times^*$ .

# logical formalism for synchronous relations

First order logic with predicate set  $\sigma_A = (\leq, eq, \{\ell_a\}_{a \in A})$ .

- $(w_1, w_2) \models x \leq y$  iff  $w_1$  is a prefix of  $w_2$
- $(w_1, w_2) \models eq(x, y)$  iff  $|w_1| = |w_2|$ .
- $w \models \ell_a(x)$  iff  $w \in A^*a$

Using usual FO semantics, we can describe relations with **FO** $[\sigma]$  formulae.

$$(w_1, w_2, w_3) : \forall u((u \leq w_1 \wedge u \leq w_2) \iff u \leq w_3)$$

$$\|\varphi\| = \{(w_1, w_2, w_3) : w_3 = w_1 \sqcap w_2\}$$

Theorem (Eilenberg, Shepherdson, Elgot '69)

Let  $A$  be an alphabet with at least 2 letters. Then

$$\mathbf{Sync}(A^*) = \|\mathbf{FO}[\sigma_A]\|$$

# from **FO** formulae to synchronous relations

for all  $\varphi \in \mathbf{FO}[\sigma_A]$ ,  $\|\varphi\| \in \mathbf{Sync}(A^*)$ . Use induction on the structure of  $\varphi$ .

**base case:** Recall that the prefix and equal length relations are synchronous;  $|\ell_a(x)| = A^*a$  is regular hence synchronous.

**induction step:** Synchronized languages are closed under Boolean set operations. Furthermore, if

$$\varphi(y_1, y_2 \dots y_{m-1}) : \exists y_m \psi(y_1, y_2 \dots y_m)$$

then

$$\mathbf{R}_\varphi = \pi_{\{1 \dots (m-1)\}}(\mathbf{R}_\psi)$$

Synchronous relations are closed under projections on any subset of the components.

Therefore,  $\|\mathbf{FO}[\sigma_A]\| \subseteq \mathbf{Sync}(A^*)$ .



# from synchronous relations to **FO**-formulae

For the converse, let

- **R** synchronous relation
- $\mathcal{A}$  automaton for  $L_R$  with states  $Q = \{q_1, q_2 \dots q_n\}$  and transition relation  $\delta$ .

Let  $\rho$  be a run of  $\mathcal{A}$  on some synchronized word  $\bar{w}$ .

**idea:** to encode  $\rho$  as a synchronized word. For every  $q_i \in Q$ ,  $u_i$  is a word in  $\{0, 1\}^*$  such that:

- $u_i[j] = 1$  if  $\rho$  contains  $q_i$  at position  $j$ , 0 otherwise.
- $|u_i| = |\rho|$

Then  $\bar{u}_\rho = u_1 \otimes u_2 \dots u_n$ .

For example if  $\rho$  is  $q_1 \rightarrow q_2 \rightarrow q_1 \rightarrow q_3 \rightarrow q_4$  then

$$\bar{u}_\rho = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

We want a formula  $\varphi(\vec{x})$  of the form

$$\exists \vec{y} (\psi_{\text{enc}}(\vec{y}) \wedge \psi_{\text{run}}(\vec{x}, \vec{y}))$$

where

- $\psi_{\text{enc}}(\vec{y})$ : for encoding
- $\psi_{\text{run}}(\vec{y}, \vec{x})$ : for stating the encoding is a valid run. (Here, due to the "next state" formula using the **successor** relation,  $\psi_{\text{run}}$  is a  $\Pi_2$  formula.)

Therefore  $\varphi \in \Sigma_3[\sigma_A]$ .

Corollary

$$\mathbf{Sync}(A^*) = \|\mathbf{FO}[\sigma_A]\| = \|\Sigma_3[\sigma_A]\|.$$

# the quantifier alternation hierarchy

**FO** collapses to  $\Sigma_3$ . But what about  $\Sigma_1$ ?

- **Characterization**: What sort of relations are  $\Sigma_1$  definable?
- **Membership**: Given a **Sync** relation is it  $\Sigma_1$ -definable?
- What about  $\Sigma_2$ ,  $\mathcal{B}\Sigma_1$ ,  $\Delta_2$ ?

Let's jog our memories and go back to the classical FO[<] world.

**FO on words:** Let  $B$  be an alphabet. Recall the first order logic  $\mathbf{FO}[<, \mathbf{B}]$  finite total order( $<$ ) and letter predicates ( $\mathbf{B} = \{\mathbf{b}\}_{b \in B}$ ).

$\Sigma_1[<, \mathbf{B}]$  sentences define finite unions of languages of the form

$$B^* b_1 B^* b_2 \dots B^* b_n B^*$$

where  $b_1, b_2 \dots b_n \in B$ .

$\Sigma_1[<, \mathbf{B}, \text{last}]$  sentences define finite unions of languages of the form

$$B^* b_1 B^* b_2 \dots B^* b_n$$

$w = b_1 b_2 \dots b_n$  is called a *subword* of  $w'$ , ( $w \sqsubseteq w'$ ) iff  $w' \in B^* b_1 B^* b_2 \dots B^* b_n B^*$

**back to relations:**  $\Sigma_1[\sigma_A]$ -definable relations are connected to classical  $\Sigma_1[<]$ -definable languages.

# $\Sigma_1$ definable relations

Recall the alphabets

$$A_{\Delta} = \{a \otimes a : a \in A\}$$

$$A_{\times} = A \times A$$

$$A_1 = A \times \{\perp\}$$

$$A_2 = \{\perp\} \times A$$

Theorem

If  $\varphi(x_1, x_2) \in \Sigma_1[\sigma]$ , then synchronization language of  $\|\varphi\|$  is a finite union of languages of the form

$$L_{\Delta} L_{\times} L_{\perp}$$

where

- $L_{\Delta} \in \|\Sigma_1[<, \mathbf{A}_{\Delta}]\|$
- $L_{\times} \in \|\Sigma_1[<, \mathbf{A}_{\times}, last]\|$
- $L_{\perp} \in \|\Sigma_1[<, \mathbf{A}_1, last]\| \cup \|\Sigma_1[<, \mathbf{A}_2, last]\|$

**Key idea:** The notion of subwords can be generalized from words to pair of words.

# synchronized subwords

$$\bar{w} = \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix}$$

$$\downarrow$$

$$\underbrace{\begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix}}_{A_{\Delta}^* \text{ part}} \cdot \underbrace{\begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix}}_{A_{\times}^* \text{ part}} \cdot \underbrace{\begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix}}_{A_{\perp}^* \text{ part}}$$

$$\downarrow$$

$$\underbrace{\begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix}}_{A_{\Delta}^* \text{ superword}} \cdot \underbrace{\begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix}}_{A_{\times}^* \text{ superword}} \cdot \underbrace{\begin{pmatrix} \perp \\ b \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix}}_{A_{\perp}^* \text{ superword}}$$

$$\downarrow$$

$$\bar{w}' = \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} a \\ a \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix} \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ a \end{pmatrix} \begin{pmatrix} \perp \\ b \end{pmatrix}$$

then  $\bar{w}$  is a synchronized subword of  $\bar{w}'$ , denoted by  $\bar{w} \triangleleft \bar{w}'$ .

# some corollaries

$\Sigma_1[<]$  sentences describe words which contain some specific subwords.

$\Sigma_1[\sigma_A]$  formulae describe pairs whose synchronized word contains specific synchronized subwords.

$\mathcal{B}\Sigma_1[<]$  sentences describe words having the same set of bounded subwords.

$\mathcal{B}\Sigma_1[\sigma_A]$  formulae describe pairs whose synchronized words have the same set of bounded synchronized subwords.

Corollaries:

- binary  $\|\Sigma_1[\sigma_A]\|$  membership decidable
- binary  $\mathcal{B}\Sigma_1[<]$  membership decidable

# conclusion and future work

To conclude

- $\mathbf{FO}[\sigma_A]$  completely characterizes synchronous relations
- $\mathbf{FO}[\sigma_A]$  collapses to its  $\Sigma_3$  fragment
- $\Sigma_1$  and  $\mathcal{BS}_1$  membership decidable in the binary case

Future work:

- Generalizing the  $\Sigma_1$  characterization to greater arities.
- Characterizing  $\|\Sigma_2\|$  relations as projections of  $\|\Pi_1\|$  relations.
- Undecidable to check if a given rational relation is synchronous. But is it decidable to check if it is in  $\Sigma_1$ ? This is unknown.



$$\begin{pmatrix} T \\ y \end{pmatrix} \begin{pmatrix} h \\ o \end{pmatrix} \begin{pmatrix} a \\ u \end{pmatrix} \begin{pmatrix} n \\ \perp \end{pmatrix} \begin{pmatrix} k \\ \perp \end{pmatrix}$$