# Handwrittern Digits Classification

 $\ensuremath{\mathrm{CSE574}}$  - Intro to Machine Learning

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# Introduction

For this project, our group is required to implement a neural network to classify images. For the first portion of this project, we are given a subset of the MNIST handwritten digits dataset (which consists of black and white, 28x28 images of the numbers 0 through 9) for which we need to code and train a neural network with a single hidden layer to accurately classify. In the second portion of this project, we transition our neural network to train on the CelebFaces Attributes Dataset (CelebA) to classify pictures of faces as either having glasses or no glasses. We then implement a multi-layered deep neural network, trained on the CelebA set as well, using Tensorflow to compare the affect of the number of layers on training time and accuracy.

In order to do this, we need to implement the forward pass and back propagation algorithms for a neural network, incorporate regularization on the weights to account for over fitting, find and ignore features that don't contribute usable data to our network, and use a validation data set to tune hyper-parameters for the network (which, in this case, is the number of hidden units and  $\lambda$  coefficient for regularization).

# MNIST Handwritten Digits

For the first part of this project, we work with the MNIST handwritten digits dataset. Our focus is on building a neural network and choosing optimal hyperparameters for training.

#### Implementing a Feed-Forward Neural Network

Before training on the MNIST dataset, we implement a feed-forward neural network with one hidden layer and use backpropagation in order to calculate the gradient of the weights throughout the neural network. We then use the gradients to adjust the weights so that our objective function is minimized. We use the minimize function from the Python library Scipy to run the actual optimization process.

In this implementation, we use the sigmoid function

$$\sigma(z) = \frac{1}{1 + e^{-z}} \tag{1}$$

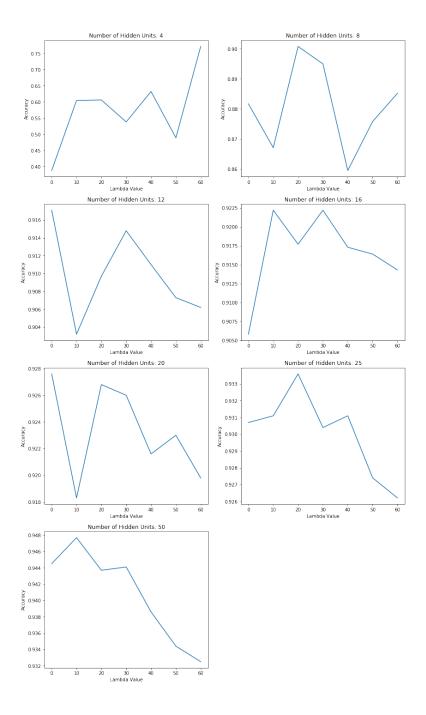
as our activation function throughout the network. The objective function our network minimizes is the negative log-likelihood error function, and we incorporate regularization to reduce complexity, and thus overfitting, of our neural network.

#### Tuning Hyper-Parameters for our Neural Network

Once we have implemented the neural network, we need to decide which hyperparameters to choose in order to find the best results. In this project, we need to only concern ourselves with the number of hidden units and  $\lambda$ , the regularization coefficient. We also remove features from the dataset that do not contribute useful data, i.e., if the nth feature of every training example is the same, then we remove it from each input as it can't contribute to learning to classify different examples.

For the process of feature selection, we considered the input data given from our training set. In this case, every training example is a vector of length 784 (which comes from the flattened matrix representing the 28x28 images of each handwritten digit). We noted every index whose value was the same throughout the training set, and removed those features from the training set altogether. This process assures that every input feature contributes to the neural networks training, so as to reduce computation time and complexity be eliminating meaningless input.

We chose to test combinations of number of hidden units and  $\lambda$  and compare results. We tested 4, 8, 12, 16, 20, 25, and 50 for the number of hidden layers and 0, 10, 20, 30, 40, 50, and 60 for  $\lambda$ . The following our the plots of the resulting accuracy for the MNIST validation data set for each model:



We notice two things: as  $\lambda$  goes up, accuracy tends to go down, and as number of hidden units goes up, accuracy goes up. It should also be noted that these values can change depending on what subset of the data we choose for training and validation, however we typically find values similar to these.

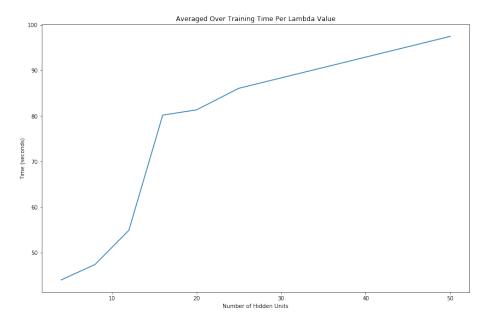
Ultimately, it is clear that the more hidden units we have (at least up to 50), the better the accuracy. For  $\lambda$ , an optimal value isn't as clear from these plots, but we consider a value of 30 to be generally good given these examples.

The best model we produced used 50 hidden units with a  $\lambda$  of 10. This is what we used in our *params.pickle* file, and, in our tests, yields a training set accuracy of 95.4%, a validation set accuracy of 94.8%, a test set accuracy of 95.0%, all with a training time of about 1 minute and 38 seconds.

#### Training Time Versus Number of Hidden Units

As we tune our hyper-parameters for our model, the time it takes to train the model changes. Although there can be changes in time to convergence based on properties such as the training examples used and inital weights, we find that changing the number of hidden units can result in reltively drastic changes to the execution time of our code:

Time of Execution



This is simply the result of having to make more computations in our algorithm, but the cost can be significant. We see that our training time has almost doubled from 4 hidden units to 50, which can be compounded when considering adding more hidden layers, as we will see in the next section.

### **Further Explorations**

The scope of this project limits us to only focus on the number of hidden units and  $\lambda$  for tuning hyper-parameters of our neural network. However, there are many more aspects of our model that could be adjusted to find better accuracy, including varying our activation function (we use sigmoid in our implementation, but ReLU is a popular choice), changing the number of layers (which we will discuss next), varying learning rate, and so on. Even design choices of using convolutional neural networks (which are typically better in terms of accuracy and learning time for images) can be introduced to compare how these implementations perform.

## CelebFaces Attributes Dataset

In the next part of this project, we use the CelebFaces Attributes Dataset. We focus on comparing how the number of hidden *layers* in a neural network affects execution time and accuracy.

#### Implementing a Single Hidden-Layer Network

First, we use the functions we built in the first part of this project to build a model that will be trained on the CelebA dataset to classify images of faces with or without glasses.

In order to properly compare the difference in number of layers of the model, we use the number of hidden units present in the code given to us, 256. For the regularization coefficient  $\lambda$ , we use the value we found to give good generalized results in the previous part, 30.

For the deep model, we use Tensorflow and the parameters present in the code given to us (so each hidden layer has 256 units). We train three versions of this model: one with 3 hidden layers, one with 5 hidden layers, and one with 7 hidden layers.

We log the training set and validation set accuracy as well as the time it takes to execute the training:

Our Neural Network Results
Execution Time: 0:06:48.717797
Training Accuracy: 0.845781990521327
Validation Accuracy: 0.8318949343339587

Deep Neural Network Results (3 Layers)

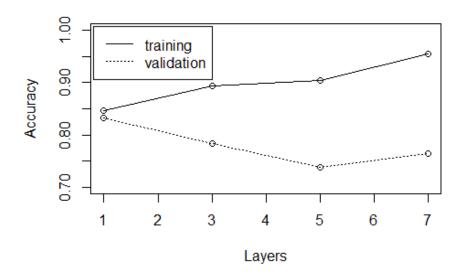
Execution Time: 0:08:02.704112 Training Accuracy: 0.89398104 Validation Accuracy: 0.78311443

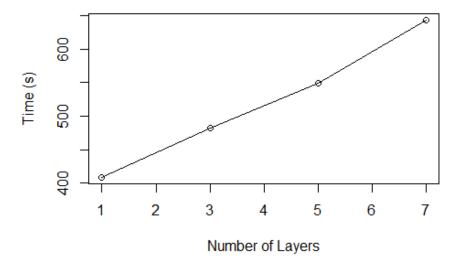
Deep Neural Network Results (5 Layers)

Execution Time: 0:09:08.684698 Training Accuracy: 0.9033175 Validation Accuracy: 0.73846155

Deep Neural Network Results (7 Layers)

Execution Time: 0:10:43.644846 Training Accuracy: 0.9543602 Validation Accuracy: 0.76472795





The results are follow our intuition:

Our neural network takes the least amount of time to train (almost 7 minutes), has the poorest training set accuracy of about 84.6%, but has the best validation set accuracy of about 83.2%.

As the number of layers increase, the execution time increases with 3 hidden layers taking 8 minutes to execute, 5 hidden layers taking 9 minutes, and 7 hidden layers taking almost 11 minutes to execute.

Also, as the number of layers increase, the training set accuracy increases (from 89.4% at 3 hidden layers, 90.3% at 5 hidden layers, and 95.4% at 7 hidden layers), but the validation set accuracy tends to decrease (from 78.3% at 3 hidden layers, 73.8% at 5 hidden layers, and an increase to 76.5% at 7 hidden layers).

What's happening is that the more hidden layers we introduce, the more closely the neural network can model the function to predict the training data. However, this is at the cost of computation time (more layers means more calculations in the forward pass and back propagation) as well as over fitting (which is what is most likely causing the increase in training accuracy but decrease in validation accuracy).

## **Further Explorations**

Adding more layers to a neural network doesn't necessarily mean that we will always see results with better training set accuracy at the cost of validation set accuracy. If we were to tune the hyper-parameters of the deep neural network (e.g., add regularization), then we might find that we can increase validation accuracy.

Execution time, however, will almost always increase the more complex a model becomes. We can mitigate increases in time to execute with "smarter" algorithms (such as choosing our initial weights better, choosing better learning rates, etc.), but for the scope of this project, we see that blindly adding layers doesn't necessarily lead to more accurate predictions.