

Enhanced Due Diligence Report on
MEXICAN MEDICAL TRADING COMPANY

June 2023



REF: XXXX.XXXXXX

CLIENT CONFIDENTIAL

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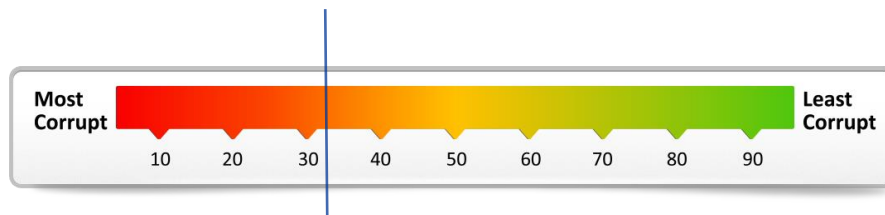
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This is an anonymized sample report used for demonstration purposes. The names of the Subject Company, client, key principals, and other potentially identifying information have all been altered. Any resemblance of the pseudonyms used in this report to real people is unintended.

EXECUTIVE SUMMARY

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- Mexico – 31



- Mexican Medical Trading Company (“Subject Company”) is a Mexican medicine and health supplies trading company incorporated in 20XX. The Subject Company’s website also indicates that it is also a comprehensive health service provider.
- Subject Individual A is the Subject Company’s president and XX% shareholder, while Shareholder 1 is its XX% shareholder. Subject Individual B is a registered spokesperson of the Subject Company, while Subject Individual C is the Subject Company’s operations manager and legal representative according to various open media sources.
- Client-provided information indicated that Affiliate A is an affiliated organization of the Subject Company. While no direct corporate affiliation between Affiliate A and the Subject Company was found, the Subject Company’s registered secretary, Legal Representative 1, is the executive director of Affiliate A, according to Legal Representative 1’s LinkedIn profile.
- The following allegations of public bidding irregularities, corruption, and anti-competitive practices involving the Subject Company warrant **YELLOW FLAGS**:
 - In 20XX, a Mexican news site reported that the Secretariat of Mexican Ministry A named the Subject Company as one of ten companies that repeatedly received contracts to supply medicine to the Mexican Government Agency and the Mexican Federal Institution within the span of six years. The Mexican Secretariat alleged that there was corruption in the awarding of these contracts. PSA notes that the article did not specify how the Subject Company allegedly engaged in corruption to acquire government contracts. No other media source reporting on this allegation was identified.
 - In 20XX, a columnist alleged that the Subject Company was involved in a cartel where they pretend to compete in public tenders to unfairly win public contracts for the supply of medical equipment. The columnist alleged that in the past, the same group of companies also acquired profitable deals with the help of unnamed high-ranking military officers.
 - In 20XX, a Mexican anti-corruption non-profit organization published a report alleging that in 20XX, the Subject Company and several other companies received public contracts worth over MXN XX.X million (USD X.X million) from the Mexican Federal Institution, which supposedly violated a law that prohibits companies to be directly awarded with a government contract worth more than MXN X.X million (USD XXX thousand).

- A 20XX issue of the Mexican Parliamentary Gazette identified that the Subject Company did not complete a 20XX contract from the Mexican Government Agency after failing to deliver XX,XXX medicines. No other information was found. This is a potential issue of concern.
- The Subject Company was involved in XX lawsuits from 20XX to 20XX filed before various Mexican Courts. It was the defendant in XX proceedings concerning unspecified civil, oral, mercantile, and labor issues. The background, results, or current status of these cases could not be determined.
- The Subject Company was also penalized with a MXN XX,XXX (USD X,XXX) fine by Mexican Federal Agency and MXN XXX,XXX (USD XXX,XXX) by Mexican Ministry B. No further information was found regarding the background of these penalties. This may be an issue of concern.
- English and Spanish media searches did not identify any adverse information on Affiliate A, Subject Individual A, Subject Individual B, or Subject Individual C. Similarly, no instances of overt litigation were found on Affiliate A, Subject Individual B, or Subject Individual C.
- The Dow Jones RiskCenter database checks on the Subject Company, Affiliate A, Subject Individual A, Subject Individual B, and Subject Individual C returned no results.

Risk Factors		●	●	●
Overt Regulatory Risks	Bribery and Corruption		●	
	Public Bidding Irregularities		●	
	Politically Exposed Persons/Government Employees			●
	Government Entities/State-Owned Enterprises			●
	Sanctions or Export Control Issues			●
	Money Laundering			●
	Terrorism Financing or Support			●
	Arms Trafficking			●
Criminal or Regulatory Violations	Fraud, Collusion, or Embezzlement			●
	Anticompetitive Practices		●	
	Intellectual Property Violations			●
	Financial Violations			●
	Other Criminal Investigation or Prosecution			●
	Other Regulatory Issues			●
Operational Risks	Liquidated, Delisted, or Dissolved Entity			●
	Bankruptcy or Significant Financial Issues			●
	Debarred, Disqualified, or Prohibited Person/Entity			●
	Significant Labor Issues			●
	Significant Civil Litigation			●
	Political Risks			●
Sustainability, Ethics, and Governance Issues	Human Rights Violations			●
	Social Responsibility Issues			●
	Environmental Issues			●
	Significant Internal Controls or Governance Issues			●
	Reputational Risks			●
Other Risk Factors				●

- Derogatory information found
- Potentially/moderately derogatory information found
- No relevant information found

PRIMARY SUBJECT: MEXICAN MEDICAL TRADING COMPANY

Mexican Medical Trading Company (“Subject Company”) is a medicine and health supplies trading company established in 20XX in Mexico.¹ The Subject Company’s operational address is located at Mexico City, Mexico, according to the Subject Company’s website and third-party databases.^{2 3 4 5}

Official records indicate that the Subject Company is 90% owned by its president Subject Individual A and 10% owned by Shareholder 1. In addition, Legal Representative 1 is the Subject Company’s registered secretary and legal representative, while Spokesperson 1 and Subject Individual B are registered as its spokespersons.⁶

The Subject Company’s website indicates that it also provides various comprehensive health services related to nephrology, fluid therapy, ophthalmology, and blood storage as well as complementary services such as delivery and medical equipment training.^{7 8} A list of the Subject Company’s suppliers and partner companies was also found on its website.⁹

Corporate Registration Information

OFFICIAL REGISTRATION INFORMATION ¹⁰		
Company Name	Mexican Medical Trading Company	
Status	Active	
Date of Incorporation	March 4, 20XX	
Date of Establishment	April 5, 20XX	
Registered Address	Mexico City, Mexico	
Legal Form	Variable Capital Corporation	
Company Registration No.	2XXXXX-X	
Shareholding Capital	Fixed Capital	MXN X,XXX,XXX (USD XXX,XXX) ¹¹
	Number of Shares	X,XXX
	Share Value	MXN X,XXX (USD XXX)
Line of Business	Purchase, sale, import, export, distribution, wholesale, and retail of all kinds of medicines and health supplies	

¹ Public Registry of Property and Commerce of the Federal District - Mexico

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⁶ Public Registry of Property and Commerce of the Federal District - Mexico

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⁹ Link

¹⁰ Public Registry of Property and Commerce of the Federal District - Mexico

¹¹ Conversion Rate used: MXN 1 = USD X.XXX

COMPANY SHAREHOLDING ¹²			
Name	Capital Contribution	No. of Shares	Shareholding %
Subject Individual A	MXN X,XXX,XXX (USD XXX,XXX)	XXX	XX%
Shareholder 1	MXN XXX,XXX (USD XX,XXX)	XXX	XX%
TOTAL	MXN X,XXX,XXX (USD XXX,XXX)	X,XXX	100%

MANAGEMENT ¹³	
Name	Position
Subject Individual A	President and Legal Representative
Legal Representative 1	Secretary and Legal Representative
Spokesperson 1	Spokesperson
Subject Individual B	Spokesperson

English Language Media Searches

English language open-source research was conducted to identify any negative or potentially damaging information related to the Subject Company. No adverse information was found.

Local Language Media Searches

Spanish language open-source research was conducted to identify any negative or potentially damaging information related to the Subject Company. Searches identified the following issues:

Alleged involvement in corrupt activities and public bidding irregularities

In 20XX, Mexican news site Mexico News reported that the Subject Company was listed amongst the top companies identified by Mexican Ministry A that repeatedly received contracts from Mexican Government Agency and Mexican Federal Institution within the span of six years. The Mexican Secretariat alleged that corruption was present in the awarding of these contracts. The same article reported that the Subject Company acquired MXN XXX million (USD X.X million) worth of contracts from the Mexican Government Agency and Mexican Federal Institution.¹⁴ PSA notes that the article did not specify how the Subject Company engaged in corruption to acquire government contracts. No other media sources reporting on this allegation were identified.

Furthermore, a Mexican columnist alleged that in 20XX and 20XX, the Subject Company is one of six companies involved in a cartel that colluded to create an appearance of competition in public tenders to unfairly win public contracts for the supply medical equipment. According to the article, the

¹² Public Registry of Property and Commerce of the Federal District - Mexico

¹³ Public Registry of Property and Commerce of the Federal District - Mexico

¹⁴ Link

companies acquired profitable deals in the past with the help of unnamed high-ranking military officers.^{15 16}

In 20XX, a Mexican anti-corruption non-profit organization Nonprofit Organization A reported that the Subject Company received two contracts worth MXN XX.X million (USD X.X million) from Mexican Government Agency in 20XX, which supposedly violated a law that prohibits the direct awarding of contracts to companies exceeding MXN X.X million (USD XXX,XXX). Several other Mexican companies were also identified receiving contracts from Mexican Government Agency that exceed the allowed amount.¹⁷

While no further information was found on whether the Subject Company was formally charged in relation to these allegations, the Subject Company's alleged involvement in corruption, public bidding irregularities, and anti-competitive practices warrant a **YELLOW FLAG**.

Other issues

A 20XX edition of the Mexican Parliamentary Gazette identified that the Subject Company failed to deliver XX,XXX medicines or XX.X% of the total ordered quantity by Mexican Government Agency in 20XX.¹⁸ No further information was found. This is a potential issue of concern.

Searches also found a 20XX opinion article alleging that pharmaceutical companies sold overpriced HIV & AIDS medicines. However, the article was unclear on whether the Subject Company was involved in any wrongdoing.^{19 20 21}

Litigation, Bankruptcy, and Regulatory Checks

Litigation, bankruptcy, and regulatory checks conducted on the Subject Company identified the following results:

Litigation checks on the Subject Company identified XX lawsuits filed between 20XX and 20XX before various Mexican Courts. The Subject Company was named as a defendant in XX of these lawsuits which are mostly ordinary civil trials, oral judgements, mercantile judgements, and labor judgements. No further information was found regarding these cases' backgrounds, results, or current status. PSA notes that details and results of Mexican case records are only disclosed to interested and involved parties.

The Subject Company is listed on the Mexican Federal Agency's list of entities with reduced fines. The Subject Company's penalty amounting to MXN XXXXX (USD X,XXX) was published by the Medical

¹⁵ Link

¹⁶ Link

¹⁷ Link

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¹⁹ Link

²⁰ Link

²¹ Link

Federal Agency in April 20XX.²² Regulatory checks also identified that the Subject Company was sanctioned and fined with MXN XXX,XXX (USD XX,XXX) by Mexican Ministry B in 20XX. The Subject Company is no longer sanctioned as of May 20XX.²³ No further information was found regarding the background of the Subject Company's tax infraction, sanction, and regulatory violation. This may be considered noteworthy information.

Overt litigation checks on the Subject Company through third-party legal database Mexico Law also found a 20XX ordinary civil lawsuit filed against the Subject Company and Subject Individual A by Mexican storage company Mexico Advanced Storage.²⁴ No further information was found regarding the case's background, result, or status. Searches also found a domain name dispute initiated by the Subject Company against Chinese resident Defendant 1. The International Agency A decided in favor of the Subject Company.²⁵ No overt risks were identified within these litigation instances.

MEXICO	
No.	Type of Check
1	Litigation
1.1	Suprema Corte de Justicia de la Nación (Supreme Court of Justice)
1.2	Juzgados Laborales (Labor Courts)
1.3	Tribunal Fiscal de la Federación (Federal Tax Court)
1.4	Juzgados de Arrendamiento Inmobiliario (Courts of Property Lease Contracts)
1.5	Juzgados Familiares (Family Courts)
1.6	State Court of Aguascalientes
1.7	State Court of Baja California Norte
1.8	State Court of Baja California Sur
1.9	State Court of Campeche
1.10	State Court of Chiapas
1.11	State Court of Coahuila
1.12	State Court of Colima
1.13	State Court of Chihuahua
1.14	State Court of the Federal District
1.15	State Court of Durango
1.16	State Court of Estado de México
1.17	State Court of Guanajuato
1.18	State Court of Guerrero
1.19	State Court of Hidalgo
1.20	State Court of Jalisco
1.21	State Court of Michoacán
1.22	State Court of Morelos
1.23	State Court of Nayarit
1.24	State Court of Nuevo León
1.25	State Court of Oaxaca

²² Link

²³ Link

²⁴ Link

²⁵ Link

MEXICO	
1.26	State Court of Puebla
1.27	State Court of Querétaro
1.28	State Court of Quintana Roo
1.29	State Court of San Luis Potosí
1.30	State Court of Sinaloa
1.31	State Court of Sonora
1.32	State Court of Tabasco
1.33	State Court of Tamaulipas
1.34	State Court of Tlaxcala
1.35	State Court of Veracruz
1.36	State Court of Yucatán
1.37	State Court of Zacatecas
2	Bankruptcy and Debt
2.1	Servicio de Administración Tributaria (Tax Administration Service)
3	Regulatory
3.1	Comisión Federal de Competencia Económica (Federal Commission on Economic Competition)
3.2	Comisión Nacional Bancaria y de Valores (National Banking and Securities Commission)
3.3	Directorio de Proveedores y Contratistas Sancionados (Directory of Sanctioned Suppliers and Contractors)
3.4	Comisión Federal Para la Protección Contra Riesgos Sanitarios, 2022 (Federal Commission for Protection Against Sanitary Risks, 2022)
3.5	Procuraduría Federal del Consumidor – Buró Comercial (Office of the Federal Consumer Protection Prosecutor – Commercial Bureau)
3.6	Instituto Mexicano del Seguro Social (Mexican Institute of Social Security)
3.7	Secretaría de la Contraloría General – Gobierno de la Ciudad de México (Secretariat of the Comptroller General – Government of the City of Mexico)

Only a fraction of all court cases are made publicly available or entered into open source databases. PSA utilizes a range of open source databases, subscription-based media search engines, and proprietary civil and criminal litigation databases that chronicle all available court litigation cases. The extracted results are not comprehensive, should not be viewed as complete, and reflect the most up-to-date information available to the public.

Mexican Court A

CASE NUMBER	PARTY TYPE	INITIATED	NATURE OF CASE
XXX/20XX	Plaintiff	20XX	Revision
XXXX/20XX	Plaintiff	20XX	Habeas Corpus
XXX/20XX	Plaintiff	20XX	Revision
XXX/20XX	Plaintiff	20XX	Habeas Corpus
XXX/20XX	Plaintiff	20XX	Direct
XX/20XX	Plaintiff	20XX	Revision
XXX/20XX	Plaintiff	20XX	Revision
XXXX/20XX	Plaintiff	20XX	Habeas Corpus

Mexican Court B

COURT	CASE NUMBER	PARTY TYPE	INITIATED	NATURE OF CASE
6	XXX/20XX	Defendant ²⁶	20XX	Labor Judgement
15	XXX/20XX	Defendant ²⁷	20XX	Labor Judgement
Legal Department	XXXX/20XX	Plaintiff	20XX	Labor Judgement
Legal Department	XXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Legal Department	XXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement
Auxiliary Department	XXXXX/20XX	Plaintiff	20XX	Labor Judgement

Mexican Court C

COURT	CASE NUMBER	PARTY TYPE	INITIATED	NATURE OF CASE
43	XXX/20XX	Defendant ²⁸	20XX	Oral Judgement
37	XXX/20XX	Defendant ²⁹	20XX	Oral Judgement
52	XXX/20XX	Defendant ³⁰	20XX	Mercantile Executive Judgment
12	XXX/20XX	Defendant ³¹	20XX	Ordinary Mercantile Judgement
52	XXX/20XX	Defendant ³²	20XX	Judgement of Voluntary Jurisdiction
6	XXX-20XX-XXX	Defendant ³³	20XX	Mercantile Executive Judgment

²⁶ Plaintiff

²⁷ Plaintiff

²⁸ Plaintiff

²⁹ Plaintiff

³⁰ Plaintiff

³¹ Plaintiff

³² Plaintiff

³³ Plaintiff

COURT	CASE NUMBER	PARTY TYPE	INITIATED	NATURE OF CASE
54	XXX/20XX	Defendant ³⁴	20XX	Executive Civil Judgement
44	XXX/20XX	Defendant ³⁵	20XX	Executive Civil Judgement
8	XXX-20XX	Defendant ³⁶	20XX	Ordinary Mercantile Judgement
12	XXX/20XX	Defendant ³⁷	20XX	Ordinary Civil Trial
58	XXXX/20XX	Defendant ³⁸	20XX	Mercantile Executive Judgment
14	XXXX/20XX	Defendant ³⁹	20XX	Ordinary Civil Trial
45	XXX/20XX	Defendant ⁴⁰	20XX	Ordinary Civil Trial
55	XXX/20XX	Defendant ⁴¹	20XX	Judgement of Voluntary Jurisdiction
12	XXXX/20XX	Defendant ⁴²	20XX	Unspecified

Mexican Federal Agency

CASE 37	
Case No.	Unspecified
Court	Mexican Federal Agency
Defendant	Mexican Medical Trading Company
Date Filed	20XX
Court-assessed value	MXN XX,XXX (USD X,XXX)
Nature of Case	Tax-related Fine
Description	The Subject Company is listed in the Medical Federal Agency database of taxpayers with a reduced fine. The Subject Company's reported penalty was published in April 20XX. No information on the Subject Company's specific tax infraction was found.

Mexican Ministry B

CASE 38	
Case No.	M XXX/XX
Regulatory Authority	Mexican Ministry B
Defendant	Mexican Medical Trading Company
Date Published	June 20XX
Court-assessed value	MXN XXX,XXX (USD XX,XXX)

³⁴ Plaintiff

³⁵ Plaintiff

³⁶ Plaintiff

³⁷ Plaintiff

³⁸ Plaintiff

³⁹ Plaintiff

⁴⁰ Plaintiff

⁴¹ Plaintiff

⁴² Plaintiff

Nature of Case	Sanction
Description	The Subject Company is listed on Mexican Ministry B's list of entities with sanction procedures. The Subject Company's reported sanction and penalty was published in June 20XX. No further information on the Subject Company's regulatory violation was found.
Result	The sanction concluded on May 20XX

Global Database Checks

A search on the Subject was conducted in the Dow Jones RiskCenter database to identify any sanctions listings, political exposure, SOE status or affiliation, or involvement in human rights violations, fraud, money laundering, financial issues, price fixing, terrorism, or other criminal activities. No adverse results were found.

SECONDARY SUBJECT: AFFILIATE A

According to client-provided information, Affiliate A is an affiliated organization of the Subject Company. While no direct corporate affiliation between Affiliate A and the Subject Company was found in official records or in the public domain, the Subject Company's registered secretary Legal Representative 1 also works as the executive director of Affiliate A, according to Legal Representative 1's LinkedIn profile.⁴³

Open-media searches also found public bidding records indicating that Affiliate A and the Subject Company were both awarded by contracts for medical supplies by the Mexican Procurement Office in 20XX and by the Mexican General Directorate of Material Resources and General Services in 20XX.⁴⁴

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Affiliate A's website indicates that it is a medical supplies trading company that also offers storage services located in Mexico City, Mexico.⁴⁶ The Subject has a low media footprint limited to third-party database listings.^{47 48 49}

English Language Media Searches

English language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Local Language Media Searches

Spanish language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Overt Litigation (from Media)

Online searches were conducted to identify any instances of overt litigation pertaining to Affiliate A. No instances of overt litigation were identified.

Global Database Checks

A search on the Subject was conducted in the Dow Jones RiskCenter database to identify any sanctions listings, political exposure, SOE status or affiliation, or involvement in human rights violations, fraud, money laundering, financial issues, price fixing, terrorism, or other criminal activities. No adverse results were found.

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⁴⁷ Link

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⁴⁹ Link

SECONDARY SUBJECT: SUBJECT INDIVIDUAL A

Subject Individual A is registered as the Subject Company's president and XX% shareholder.⁵⁰ The Subject has a low media footprint limited to a third-party corporate database entry which identified the Subject as a key principal of the Subject Company.⁵¹ No further information was found on the Subject in relation to the Subject Company.

English Language Media Searches

English language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Local Language Media Searches

Spanish language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Overt Litigation (from Media)

Online searches were undertaken to identify any instances of overt litigation pertaining to the Subject. Searches on a third-party legal database found that the Subject is a co-defendant in the 20XX ordinary civil lawsuit initiated by Mexican storage company Mexico Advanced Storage, which is discussed in the Subject Company's section above. No overt risks were identified within the available information.

Global Database Checks

A search on the Subject was conducted in the Dow Jones RiskCenter database to identify any sanctions listings, political exposure, SOE status or affiliation, or involvement in human rights violations, fraud, money laundering, financial issues, price fixing, terrorism, or other criminal activities. No adverse results were found.

⁵⁰ Public Registry of Property and Commerce of the Federal District - Mexico

⁵¹ Link

SECONDARY SUBJECT: SUBJECT INDIVIDUAL B

Subject Individual B is registered as the Subject Company's spokesperson.⁵² No further information was found on the Subject in relation to the Subject Company in the public domain. PSA notes that "Subject Individual B" is a relatively common name in Mexico and the US.

English Language Media Searches

English language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Local Language Media Searches

Spanish language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Overt Litigation (from Media)

Online searches were undertaken to identify any instances of overt litigation pertaining to the Subject. No instances of overt litigation were identified.

Global Database Checks

A search on the Subject was conducted in the Dow Jones RiskCenter database to identify any sanctions listings, political exposure, SOE status or affiliation, or involvement in human rights violations, fraud, money laundering, financial issues, price fixing, terrorism, or other criminal activities. No adverse results were found.

⁵² Public Registry of Property and Commerce of the Federal District - Mexico

SECONDARY SUBJECT: SUBJECT INDIVIDUAL C

Subject Individual C is a legal representative of the Subject Company, according to a 20XX and 20XX acquisition contract published by the Secretariat of Health of Mexico City.⁵³ ⁵⁴ The Subject is also the Subject Company's operations manager, as indicated in a feature article published in the December 20XX edition of the Mexico Lifestyle magazine.⁵⁵

Third-party legal database Mexico Law indicates that the Subject obtained a master's degree in Administration from the Mexico Valley University in 20XX.⁵⁶ In addition, a third-party corporate database also identified the Subject as the key principal of a similarly named "Mexican Medical Trading Company in Bajio."⁵⁷

English Language Media Searches

English language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Local Language Media Searches

Spanish language open-source research was conducted to identify any negative or potentially damaging information related to the Subject. No adverse information was found.

Overt Litigation (from Media)

Online searches were undertaken to identify any instances of overt litigation pertaining to the Subject. No instances of overt litigation were identified.

Global Database Checks

A search on the Subject was conducted in the Dow Jones RiskCenter database to identify any sanctions listings, political exposure, SOE status or affiliation, or involvement in human rights violations, fraud, money laundering, financial issues, price fixing, terrorism, or other criminal activities. No adverse results were found.

⁵³ [Link](#)

⁵⁴ [Link](#)

⁵⁵ [Link](#)

⁵⁶ [Link](#)

⁵⁷ [Link](#)

ANNEX A: GLOBAL DATABASE CHECKS DETAILS

GLOBAL DATABASE CHECK DETAILS	
1. International PEP Databases	2. Government Prohibited Persons and Entities
Legislation such as the FCPA and Section 312 of the USA PATRIOT Act require the identification of foreign Politically Exposed Persons (PEPs). The PEP databases used contain records of current or former senior ranking public officials, including government officials and politicians. Where possible, searches cover local public officials, as well as relatives, close associates, and corporate affiliations of PEPs.	The entities in this database are under international sanctions or are otherwise prohibited as business partners due to their connection to terrorism, money laundering, arms trafficking, rogue regimes, or similar activities. Datasets include sanctions lists from the United Nations, EU, and 37 countries, as well as additional exclusion lists from the World Bank, Interpol, the International Criminal Court, and 62 countries.
3. Corruption and Bribery Databases	4. Terrorism Risk Database
Databases focus on individuals in public positions who have allegedly abused their position and are reported to have been arrested on suspicion of, charged with, or convicted of corrupt activities, including bribery, kickbacks, and breaches of trust. This includes information from corruption commissions, crime stoppers programs, attorney-general's offices, interior ministries, intelligence agencies, and lists such as the World Bank Debarred Party List.	Databases used contains individuals arrested on suspicion of, charged with, or convicted of acts relating to terrorism. This category includes individuals associated with or influenced by terrorist organizations not necessarily acting on those organizations' behalf. Activities also include financing of terrorist acts or organizations. Individuals are further identified through extensive and up-to-date adverse media databases and additional searches.
5. Financial Regulatory Risk	6. Serious and Organized Crime Databases
Databases include individuals and entities involved in corruption, fraud, sanctions, and regulatory issues, as well as any related adverse media. This also includes enforcement orders, warnings, reports, investigations, and allegations issued by regulatory authorities and supervisory bodies.	Databases include lists of individuals and entities associated with potentially serious crimes and high-level cases, including organized crime, trafficking, and narcotics offenses. The dataset includes more than 50,000 "wanted" notices worldwide.
7. Global Social and Environmental Misconduct	8. Corporate Fraud and Financial Risk
Databases include individuals and entities connected to misconduct including, but not limited to, discrimination and workforce rights, human rights abuses, workplace health and safety issues, environmental issues, product and service quality issues, and production and supply chain issues.	Databases include corporate entities and private individuals involved in financial crime activities such as fraud, theft, money laundering, tax evasion, and other tax-related offences. These results are then enhanced by adverse media databases and to further identify potential misconduct and risks.
9. State Owned Entities and Connections	10. Competition and Infringement Risks
Databases used track business organizations with a corporate structure for which the supra-national, national, region, provincial, or local governments hold >1% of issued shares, majority voting control, and/or have the power to appoint positions. This includes subsidiaries, assets, branches, and board members associated with SOEs.	Searches include official reports and media sources related to allegations or evidence of monopolies, antitrust, restrictive, and other unfair competition issues. These searches also include reported disagreements over patents, copyrights, and intellectual property, allegations of trade secret theft, and abuses of privacy laws.



PACIFIC
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Due Diligence | Investigations | Advisory

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