

Test 1 — takehome portion

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Problem 1. Given are two functions A, B defined as $A(n) = n^{\lg c}$ and $B(n) = c^{\lg n}$ for some constant $c > 1$. Indicate and justify whether $A \in O(B)$, $A \in \Theta(B)$, and $A \in \Omega(B)$, respectively.

Solution. Since for any x and y we have that $x^{\log_b y} = y^{\log_b x}$, we may conclude that $n^{\lg c} = c^{\lg n}$, and we have that $n^{\lg c} \in O(c^{\lg n})$, $n^{\lg c} \in \Theta(c^{\lg n})$, and $n^{\lg c} \in \Omega(c^{\lg n})$.

Problem 2. Show that $\lg(N!) \in \Theta(N \lg N)$.

Solution. Gosper's version of Sterling's approximation of the factorial is

$$n! \approx n^n e^{-n} \sqrt{\left(2n + \frac{1}{3}\right) \pi}. \quad (1)$$

Using (1) to approximate $n!$ in the expression $\lg n!$,

$$\lg n! \approx \lg \left[n^n e^{-n} \sqrt{\left(2n + \frac{1}{3}\right) \pi} \right]. \quad (2)$$

Recalling that $\lg(xy) = \lg x + \lg y$,

$$\lg n! \approx \lg n^n + \lg e^{-n} + \lg \left(\left(2n + \frac{1}{3}\right) \pi \right)^{-1/2}$$

which, using the identity $\lg x^d = d \lg x$, is equivalent to

$$\lg n! \approx n \lg n - n \lg e - \frac{1}{2} \lg \left(\left(2n + \frac{1}{3}\right) \pi \right). \quad (3)$$

Collecting constants in (3),

$$\lg n! \approx n \lg n - n \lg e - \frac{1}{2} \lg \left(2n + \frac{1}{3} \right) - \frac{1}{2} \lg \pi. \quad (4)$$

$$\lg n! \approx n \lg n - n \lg e - \frac{1}{2} \lg \left(\frac{6}{3} \left(n + \frac{1}{6} \right) \right) - \frac{1}{2} \lg \pi. \quad (5)$$

$$\lg n! \approx n \lg n - n \lg e - \frac{1}{2} \lg \frac{6}{3} - \frac{1}{2} \lg \left(n + \frac{1}{6} \right) - \frac{1}{2} \lg \pi. \quad (6)$$

Ignoring constant terms, (6) simplifies to

$$\lg n! \approx n \lg n - n \lg e - \frac{1}{2} \lg \left(n + \frac{1}{6} \right). \quad (7)$$

Problem 3. What is the probability p that a randomly selected array of size n is reverse-sorted, if all elements are distinct?

- A. $p = \Theta(n \lg n)$
- B. $p = 1/(n!)$
- C. $p = O(1)$
- D. None of the above.

Solution. The answer is *B*. Assuming a random distribution with equal probability of each arrangement, there are $n!$ possible arrangements of the array, and each one has $1/n!$ probability.

Problem 4. What does it mean for an algorithm to be correct?

- A. It always terminates.
- B. It always runs within the proven asymptotic bounds.
- C. It always produces the right answer.
- D. None of the above.

Solution. The answer is *C*—*It always produces the right answer.*

Problem 5. An algorithm can do no better than the following summation:

$$T(n) = \sum_{j=3}^n 2j^2 + 11. \quad (8)$$

Represent the summation in closed form, then in asymptotic notation.

Solution.

$$T(n) = \sum_{j=3}^n 2j^2 + 11$$

$$T(n) = 2 \sum_{j=3}^n (j^2) + 11$$

Recalling that

$$\sum_{k=1}^n (k^2) = \frac{n(n+1)(2n+1)}{6} \quad (9)$$

and

$$\sum_{j=3}^n j^2 = \sum_{j=1}^n j^2 - \sum_{j=1}^2 j^2 \quad (10)$$

we can simplify $T(n)$ using (10) into

$$T(n) = 2 \left[\sum_{j=1}^n j^2 - \sum_{j=1}^2 j^2 \right] + 11.$$

Using (9),

$$T(n) = 2 \left[\frac{n(n+1)(2n+1)}{6} - \frac{2(2+1)(2(2)+1)}{6} \right] + 11$$

$$T(n) = 2 \left[\frac{(n^2+n)(2n+1)}{6} - 5 \right] + 11$$

$$T(n) = \frac{2n^3 + 3n^2 + n}{3} + 1$$

leaving us with

$$T(n) = \frac{2n^3}{3} + n^2 + \frac{n}{3} + 1$$

which is $O(n^3)$.

Problem 6. Strassen invented a divided and conquer matrix multiplication algorithm which theoretically beats the standard multiplication algorithm. His algorithm is described by the following recurrence. Give bounds for (solve) the following recurrence:

$$T(n) = 7T(n/2) + \Theta(n^2). \quad (11)$$

Solution. Use the master theorem, $a = 7$, $b = 2$, and $f(n) = \Theta(n^2)$. By case 1, $f(n) \in O(n^{\lg 7 - \epsilon})$ for some $\epsilon > 0$ (note that $\lg 7 \approx 2.8$) and $T(n) \in \Theta(n^{\lg 7})$.

Problem 7. COUNTSORT and RADIXSORT are $O(n+k)$ and $O(nk)$ respectively. If I want to sort 1 million 16-bit integers, what is the difference in the interpretation (value) of k for these two algorithms?

Solution. COUNTSORT is $O(n+k)$. If we are sorting 1 million 16-bit integers, then k refers to $2^{16} = 65536$. RADIXSORT, by contrast, is $O(nk)$. In this case, k refers to the quantity *1 million*.