Photon-counting CT thermometry via material decomposition and machine learning

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**Abstract:** Thermal ablation procedures, such as high intensity focused ultrasound (HIFU) and Radiofrequency Ablation (RFA), are often used to eliminate tumors by minimally invasively heating a focal region. For this task, real-time 3D temperature visualization is key to target the diseased tissues while minimizing damage to the surroundings. Current CT thermometry is based on energy-integrated CT, tissue-specific experimental data, and linear relationships between attenuation and temperature. In this letter, we develop a novel approach using photon-counting CT for material decomposition and a neural network to predict temperature based on thermal characteristics of base materials and spectral tomographic measurements of a volume of interest. In our feasibility study, distilled water, 50 mM CaCl2, and 600 mM CaCl2 are chosen as the base materials. Their attenuations are measured in four discrete energy bins at various temperatures. The neural network trained on the experimental data achieves a mean absolute error of 1.80 °C and 3.97 °C on 300 mM CaCl2 and a milk-based protein shake respectively. These experimental results indicate that our approach is promising for handling nonlinear thermal properties for materials that are similar or dissimilar to our base materials.

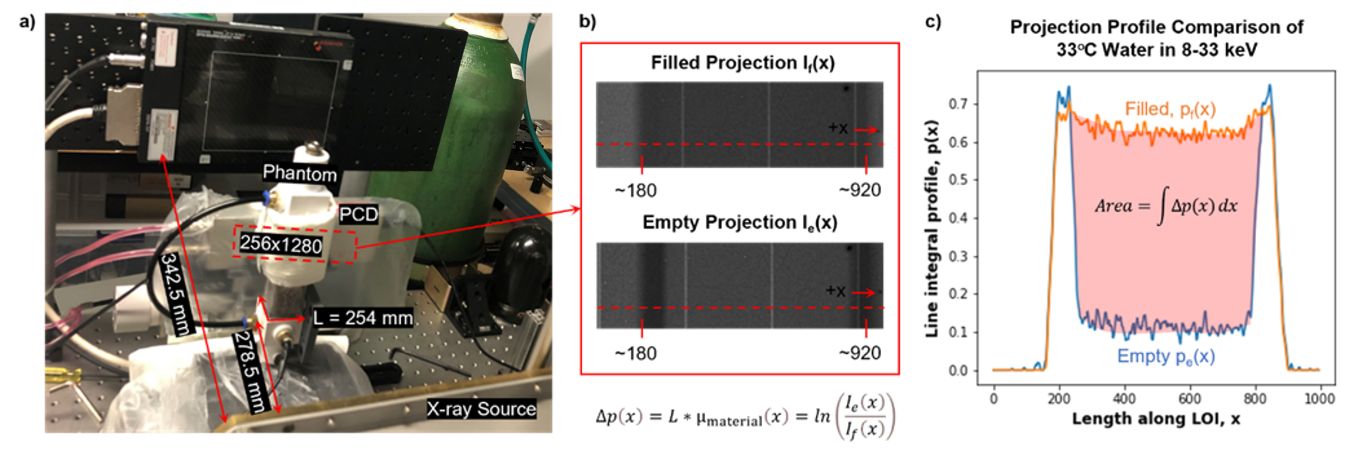
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1. Introduction

Annually, over 100,000 patients undergo thermal ablation procedures for a wide range of benign and malignant tumors [1]. As a primary example, high intensity focused ultrasound (HIFU), which heats a focal region using a concave transducer, is an effective non-invasive treatment for prostate and other cancers [2,3]. Currently, the delivery of the thermal dose is guided by invasive thermistors which can be fragile and only report temperatures from a limited number of points [4,5]. Over the past decades, significant research efforts were devoted to extracting and analyzing thermal data from medical imaging modalities like ultrasound (US), magnetic resonance imaging (MRI), and computed tomography (CT). Among these modalities, CT is particularly advantageous for its real-time acquisition, high spatial resolution, and full-body coverage. In contrast, MRI has significant drawbacks in scanning speed, geometric accuracy, and cost, while US suffers from strong artifacts and restricted penetration through hard tissues and across air-tissue interfaces [6,7].

While ionizing radiation to the patient is the main problem associated with CT, solutions are being rapidly developed over the past years. For instance, interior tomography allows for targeted imaging of a region of interest (ROI) [8]. Also, data-driven methods (i.e., machine learning and deep learning) have been applied to low-dose image reconstruction and denoising [9]. Synergistically, hardware-based innovations enabled photon counting CT (PCCT), which is a new frontier of medical imaging. PCCT can reduce radiation dose by eliminating electron noise, minimizing sensitivity to beam hardening through optimal X-ray photon weighting, increasing spatial resolution with fine detector pitch, and performing multiple material decomposition beyond the capabilities of dual energy CT [10,11]. With FDA approval, these advancements have already been used in multiple clinical applications.

The ability for CT to measure temperature changes is based on the induced change in X-ray linear attenuation coefficient (LAC) as the result of thermal expansion. In general, heat applied to a tissue causes an increment in volume and thus decrement in density, which is observed as a drop in the LAC. The relationship between CT number, which is a normalized measure of the LAC expressed in Hounsfield units (HU), and temperature is modeled as Equation 1.



(1)

where *T0* is a baseline temperature and *α* is the material-specific thermal expansion coefficient [12]. The change in HU per degree Celsius is called the thermal sensitivity and is often approximated as a constant over the relevant temperature range (~30 °C to ~90 °C). This linear trend is confirmed in the prior studies which examined substances including water, fat, liver, kidney, and so on [13,14]. While this principle of CT thermometry is conceptually simple, the variability in thermal sensitivity between different tissues, different patients, and under different scanning protocols is a critical challenge [1]. It would be difficult or impossible to obtain these highly specific measures in-vivo, and clearly there are substantial differences between in-vivo and ex-vivo measurements because of the different physiological conditions. Furthermore, exposure to intense heat during thermal ablation may alter the thermal properties of the target region, introducing additional errors.

Figure 1. Illustration of the experimental setup and procedure. a) Photo of the photon counting CT configuration used to take 2D projections (256x1280 pixels) of the phantom. b) the 200th row in the projection is selected as the LOI and used to obtain the difference between the projection profiles of the phantom when it is filled and when it is empty. The projections have been contrast enhanced for better viewing and the vertical white lines corresponding to gaps between detector chips are removed during processing. c) The difference in area between the projection profiles of the empty and filled phantom are used to determine the LAC of the liquid material.

To address these significant problems with CT thermometry, here we present the first approach for PCCT thermometry that allows for superior material decomposition and data-driven temperature mapping relying on basis material data that do not need patient-specific calibration. Using PCCT to simultaneously capture the LAC of a substance at several energy levels, we can perform material decomposition, which is demonstrated in Equation 2 for three base materials without loss of generality [15,16].

(2)

µ1, µ2, and µ3 are the known energy-dependent LACs of the bases and V1, V2, and V3 are the corresponding unknown volume fractions. Physically speaking, the LAC of a mixture of the base materials must be the linear combination of the LACs of the components with the corresponding volume fractions as the weighting factors.

Given the above, one might reasonably expect that thermal sensitivity could be linearly computed according to the material composition. In other words, given that where *T0* is a reference temperature and *αi* is the thermal sensitivity, a linear model for the LAC for *n* base materials would be as follows:

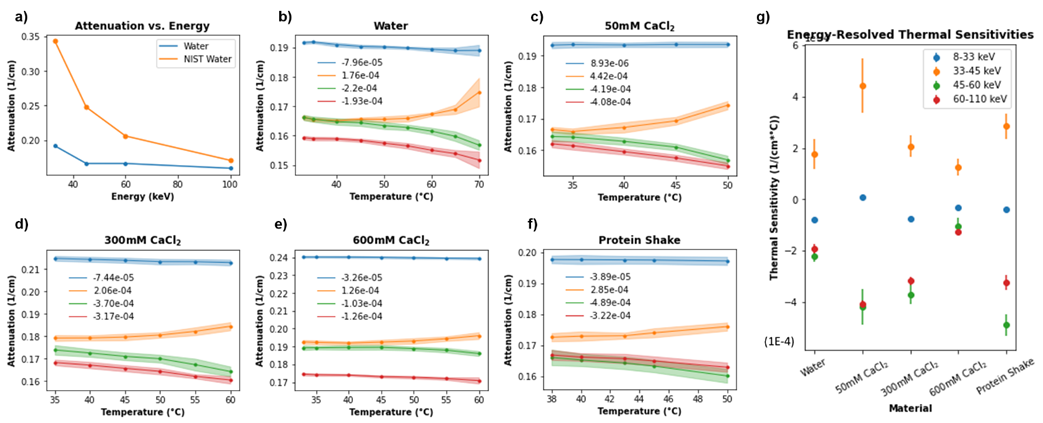
(3)

where and are the volume fraction weighted thermal sensitivity and offset respectively. In reality, thermal sensitivity relies primarily on thermal expansion, which is directly related to the strength of intermolecular bonds. Hence, the above linear model is generally inaccurate. Indeed, our experimental data presented in Figure 2g shows that thermal sensitivity follows a quadratic/higher order relationship with the concentration of CaCl2, indicating that data-driven modelling is suitable for PCCT thermometry. Since a fully connected neural networks with proper activations can approximate any continuous function, it is an ideal choice for nonlinear prediction of temperature given spectrally resolved LAC values, which is essentially a multivariate regression task.

1. Experiments and Materials

In our feasibility study, we selected (1) water and aqueous solutions of (2) 50 mM CaCl2 and (3) 600 mM CaCl2 as our three base materials since the human body is characteristically composed of water and bone. These substances were heated in a hot water bath with precision temperature control and immediately transferred to a custom-built rectangular cuboid phantom with a digital thermometer (DS18B20 thermometer, ±0.25°C). The thermal expansion of the acrylic phantom container is negligible in comparison to the substances being measured. The LAC values of the homogeneous base substances were measured in four energy bins (8-33 keV, 33-45 keV, 45-60 keV, and 60-100 keV) during transient cooling and at approximately every 5°C temperature drop. The system consists of an X-ray source (SourceRay SB-120-350, 75µm focus) and an X-ray photon-counting detector (ADVACAM WidePIX1x5, Medipix3, 55µm pitch, 256x1280 pixels). In our experiments, the source was operated at 100kVp 100µA with 0.1mm copper filtration. The detector was set to the charge-summing mode with two thresholds for each acquisition. After 1 hour of stabilization, projections were collected at 8keV and 45 keV thresholds followed by the same number of projections at thresholds of 33keV and 60keV. All projections were captured within a 1.5 °C change of the digital thermometer reading.

Since the X-ray tube emits photons in a small-angle cone geometry, we cannot assume that all beam paths through the phantom are in parallel. Thus, a weak perspective method was used to compensate for beam divergence. In the 2D projection after removal of a small proportion of unstable pixels (greater than 3 standard deviations from the average), we selected a horizontal line of interest (LOI) that spans the width of the phantom [17]. Using *x* to denote position along the LOI, the difference between the line integral profiles of the phantom when it is filled with liquid and when it is empty was computed according to Equation 4.



(4)

Figure 2. Graphs of attenuation data of all studied materials. a) Attenuation vs. energy plots for water compared to NIST values. The end points of the energy bins (33 keV, 45 keV, 60 keV, and 100 keV) were selected for the figure. Compton scattering of high energy photons accounts for the observed attenuation discrepancy. b-f) attenuation vs. temperature plots for all materials. The positive trend in the 8-33 keV bin is due to the effects of temperature on Compton scattering at high energies. The legend indicates the slope of the regression line for the color-coded trend g) scatterplot summary of thermal sensitivities with error bars. Observe the non-linear trend between thermal sensitivity and composition for 0, 50, 300, and 600 mM solutions of CaCl2.

where *f* stands for filled, *e* stands for empty, *I* are the raw photon counts, and *L* (254 mm) is the external side length of the square cross-section of the phantom. By taking the difference, the attenuation contribution of the phantom enclosure was eliminated. Finally, a sliding average over five pixels and a median filter over seven pixels were sequentially applied to remove noise from the profiles before the attenuation of the material is found in equation 5.

(5)

where the correction factor of 1.23 is the magnification, defined as the ratio of the distances from source to the phantom center (278. 5 mm) and from source to detector (342.5 mm) and 55E-3 mm is the length of a detector pixel. The error in *µmaterial* is theoretically no more than 3% compared to if it were measured with a parallel beam source. Note that our weak perspective method is rotation-invariant and uses all data points in the LOI to yield a high signal to noise ratio. The variance of all measurements was quantified by computing the LAC from 10 adjacent LOI’s.

To predict the temperature changes, we designed a neural network with an input layer of eight nodes, two hidden layers of four nodes, and an output layer of 1 node. The training examples were generated from the base material data. Shown in Equation 6, the first four elements of the input are a material’s baseline LACs at a temperature of 33°C and the last four are the LAC residuals due to heating of the material to some higher temperature. The multiplicative factor of 100 was introduced to scale the residuals into a similar range as the baseline. The network architecture is displayed in Figure 3a.

(6)

In total, 333 unique training inputs representing a reasonable range of temperatures were generated for each of the three base materials where a small amount of Gaussian random noise was added to each input. The ReLU activation was used for all layers, mean squared error acted as the loss function, and stochastic gradient descent with a learning rate of 1E-5 was used as the optimizer. The dataset was split 80% for training and 20% for validation. The testing set consisted of data collected from 300 mM aqueous CaCl2, which is similar in composition the base materials, and from a milk-based protein shake (30g protein, 4g carbohydrates, 2.5g fat per 340 mL), which is organic and dissimilar to the base materials.

1. Results and Discussion

All the collected data is illustrated in Figure 2 and the raw data and code are made openly available [18]. Figure 2a depicts the trend of X-ray LAC with increasing energy levels, which is generally expected. However, at low energies, our measured attenuation coefficients for water are lower than those reported by NIST [19]. This discrepancy is due to Compton scattering of high energy photons from our polychromatic source which were recorded as low energy photons.

Graphical user interface

Description automatically generated Figure 2b-f shows the relationship between attenuation and temperature, which is a negative trend in all except the 33-45 keV channel. The reduced attenuation of a material due to thermal expansion leads to two competing effects: fewer high energy (45-60 keV and 60-110 keV) photons are Compton scattered while more low energy (33-45 keV) photons pass through. It is hypothesized that the former phenomenon has a greater effect since it occurs over a wider energy range. Hence, the net effect is that attenuation is increased with increasing temperature in the 33-45 keV bin. Despite this effect, the data in the 33-45 keV channel is still informative and is incorporated into the network.

Figure 3. Summary of experiment results. a) The fully connected neural network architecture used to non-linearly model the relationship between attenuation and temperature. The input to the network are the spectral attenuations of a material at a baseline temperature concatenated with the attenuation residuals due to heating. b) Visualization for network performance for predicting temperature on 300 mM CaCl2 and a milk-based protein shake. The data points are labeled in the (xx, yy) format where xx is the predicted temperature and yy is the ground truth temperature synchronously measured with a digital thermometer. Data from the testing samples were not included in the training data.

After 73 epochs of training, the mean absolute error (MAE) on the validation data smoothly converged from 43.13 °C to 3.40 °C. The network is evaluated by on the testing materials by taking a baseline scan and computing the residuals from heating in an identical fashion as described in Equation 6 for the training data. On the testing set, the network achieves a MAE of 3.97 °C on 300 mM CaCl2 over a temperature range of 35 °C to 60 °C and an MAE of 1.80 °C on a milk-based protein shake over a temperature range of 38 °C to 50 °C. Note that 300 mM CaCl2 can be directly made from the bases (i.e., 50% water and 50% 600 mM CaCl2) while the protein shake must be indirectly modeled since it contains significant amounts of other substances. In both cases, the network is highly accurate. These results are displayed in Figure 3b and 3c.

1. Conclusion

In future studies, an active temperature measure (as opposed to passive cooling) could be used to ensure better thermal accuracy of the data points. A better calibrated PCD and increased source filtration can also reduce the adverse effects of fluorescence escape and beam hardening effects respectively [20,21]. Additionally, more material bases can be incorporated for the neural network to cover more material types and better neural networks can be designed to improve temperature prediction. Furthermore, tomographic PCCT on human tissue samples are necessary before in-vivo studies can be planned. For preclinical evaluation, mouse experiments can be used to compare the efficacy of thermal ablation using classical approaches (e.g., thermistors) and the novel PCCT thermometry imaging presented in this letter. Clearly, PCCT thermometry will offer a thermal dimension to a spectral CT volume and may potentially bring new diagnostic and therapeutic tools to clinical practice.

In this study, we demonstrate a data driven PCCT thermometry algorithm that can accurately predict the temperature of unknown materials given spectrally resolved LACs of a set of known, base materials at various temperatures. This is an important result toward surgical translation as it presents a solution for handling variability in tissue property without direct calibration to the tissue in vivo.

1. Declarations

**Disclosures.** The authors declare no conflicts of interest.

**Data availability.** Data and code underlying the results presented in this paper are available in Dataset 1, Ref [18].

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