

## 1. Automata and Languages

### 1.1. Regular Languages

**Def.** A (deterministic) **finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_o, F)$ , where

1.  $Q$  is a finite set called the **states**,
2.  $\Sigma$  is a finite set called the **alphabet**,
3.  $\delta : Q \times \Sigma \rightarrow Q$  is the **transition function**,
4.  $q_o \in Q$  is the **start state**, and
5.  $F \subseteq Q$  is the set of **accepted/final states**

**Def.** A language is called a **regular language** if some finite automaton recognizes it.

Ex. A language that has strings ending with 0; A language that has strings with substring 010.

The class of regular languages is closed under the following operations:

1. **Union:**  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ .
2. **Concatenation:**  $A \circ B = \{xy \mid x, y \in A, B\}$ .
3. **Star:**  $A^* = \{x_1, x_2, \dots, x_k \mid k \geq 0 \text{ and each } x_i \in A\}$ .

**Determinism-** When the machine is in a given state and reads the next input symbol, the next state is unique and already determined.

**Nondeterminism-** Several choices may exist for the next state at any point.

**Def.** A **nondeterministic finite automaton** is the same 5-tuple, except

$$\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$$

**Theorem.** Every NFA has an equivalent DFA.

**Corollary.** A language is regular if and only if some NFA recognizes it.

**Def.** R is a **regular expression** if R is

1.  $a$  for some  $a$  is the alphabet  $\Sigma$ ,
2.  $\epsilon$ ,
3.  $\phi$ ,
4.  $(R_1 \cup R_2)$ , where  $R_1$  and  $R_2$  are regular expressions,
5.  $(R_1 \circ R_2)$ , where  $R_1$  and  $R_2$  are regular expressions,
- or
6.  $(R_1^*)$ , where  $R_1$  is a regular expression.

**Theorem.** A language is regular iff some regular expression describes it.

**Note.** Every regular language can be converted into an

NFA.

**Note.** DFAs can be reduced to minimized DFAs.

**Nonregular languages** are those that cannot be recognized by DFAs.

Ex.  $\{0^n 1^n \mid n \geq 0\}$ .

**Theorem. Pumping Lemma** If  $A$  is a regular language, then  $\exists$  a number  $p$  (the pumping length) where if any  $s \in A$  s.t.  $|a| \geq p$ , then  $s$  can be divided into 3 pieces,  $s = xyz$ , s.t.

1. for each  $i \geq 0$ ,  $xy^i z \in A$ ,
2.  $|y| > 0$ , and
3.  $|xy| \leq p$ .

Pumping Lemma can help differentiate between regular and nonregular languages.

### 1.2. Context-free grammars

**Def.** A CFG is a 4-tuple  $(V, \Sigma, R, S)$ , where

1.  $V$  is a finite set called the **variables**,
2.  $\Sigma$  is a finite set, disjoint from  $V$ , called the **terminals**,
3.  $R$  is a finite set of **rules**, with each rule being a variable and a string of variables and terminals, and
4.  $S \in V$  is the **start variable**.

A left hand derivation exists for every string  $s \in L(CFG)$ .

The language of the grammar is  $\{w \in \Sigma^* \mid S \Rightarrow^* w\}$ .

Grammars can be unambiguous (i.e. each string has a unique LH derivation) or ambiguous (i.e. string has two or more LH derivations), or even inherently ambiguous.

**Note.** A context-free grammar is in Chomsky normal form if every rule is of the form

$$\begin{aligned} A &\rightarrow BC \\ A &\rightarrow a \\ S &\rightarrow \epsilon \end{aligned}$$

**Def.** A (nondeterministic) **pushdown automaton** is a 6-tuple  $(Q, \Sigma, \varsigma, \delta, q_0, F)$ , where

1.  $Q$  is the set of **states**,
2.  $\Sigma$  is the **input alphabet**,
3.  $\varsigma$  is the **stack alphabet**,
4.  $\delta : Q \times \Sigma_\epsilon \times \varsigma_\epsilon \Rightarrow P(Q \times \varsigma_\epsilon)$  is the **transition function**,
5.  $q_0 \in Q$  is the **start state**, and

6.  $F \subseteq Q$  is the set of **accept states**.

The stack gives the PDA a power of small storage.

**Theorem.** A language  $A$  is a CFL iff  $\exists$  a PDA  $P$  s.t.  $L(P) = A$ .

Ex.  $\{0^i 1^j 2^k \mid i \neq j \text{ or } j \neq k, i, j, k \geq 0\} \in CFL$ .

**Def.** A **deterministic PDA** is the same 5-tuple, except

$$\delta : Q \times \Sigma_\varepsilon \times \varsigma_\varepsilon \Rightarrow Q \times \varsigma_\varepsilon \cup \{\phi\}.$$

s.t.  $\forall q \in Q, a \in \Sigma, b \in \varsigma$  we have exactly one of the following to be non-empty:

$$\delta(q, a, b), \delta(q, a, \varepsilon), \delta(a, \varepsilon, b), \delta(\varepsilon, \varepsilon, \varepsilon)$$

**Theorem.** A language  $A$  is a DCFL iff  $\exists$  a DPDA  $D$  s.t.  $L(D) = A$ .

## 2. Computability Theory

**Def.** A **Turing Machine** is a 7-tuple  $(Q, \Sigma, \tau, \delta, q_o, q_a, q_r)$  where

1.  $Q$  is a finite set called the **states**,
2.  $\Sigma$  is a finite set called the **alphabet** and  $B \notin \Sigma$ ,
3.  $\tau$  is the **tape alphabet** where  $B \in \tau$  and  $\Sigma \subseteq \tau$
4.  $\delta : Q \times \tau \rightarrow Q \times \tau \times \{L, R\}$  is the **transition function**,
5.  $q_o \in Q$  is the **start state**,
6.  $q_a \in Q$  is the **accept state**, and
7.  $q_r \in Q$  is the **reject state**.

**Def.** The **configuration** of a machine is of the form  $u_1 u_2 \dots u_{i-1} q u_i \dots u_n$  where  $u_j \in \tau$ . We say the machine is at state  $q$  and pointing to  $u_i$ .

1. **Start:**  $q_o u_1 u_2 \dots u_n$
2. **Accept:**  $u_1 u_2 \dots u_{i-1} q_a u_i \dots u_n$ .
3. **Reject:**  $u_1 u_2 \dots u_{i-1} q_r u_i \dots u_n$ .

**Note.** During it's running, at any point if TM enters  $q_{accept}$  or  $q_{reject}$ , it breaks and accepts or rejects respectively. This is known as accepting/rejecting by halting. If TM does not halt, a string is rejected by looping.

**Church-Turing Thesis.** Turing machines capture all algorithms, i.e. existence of an algorithm  $\Rightarrow \exists$  a turing machine that can run it.

**Def.** A Language  $A$  is a **turing recognizable language** if  $\exists$  a TM  $M$  such that  $L(M) = A$ .

**Def.** A Turing Machine  $M$  is a **Decider** if  $M$  halts for all input strings.

**Def.** A Language  $A$  is a **turing decidable language** if

Ex.  $\{0^n 1^n \mid n \geq 0\} \in DCFL \setminus RL$ .

**Note.** PDAs can either accept by final state or by emptying the stack.

**Note.** Every DPDA has an equivalent DPDA that always reads the entire input string.

**Note.** If  $A = N(P)$  ,i.e. accepted by emptying the stack, then  $A$  is prefix-free.

**Note.** CFLs are closed under union, intersections and complement.

**Note.** DCFLs are closed under complement.

**Def.** A **DCFG** is a CFG such that every valid string has a forced handle.  $\exists$  a similar pumping lemma for non-context-free languages where the string can be divided into five pieces instead,  $s = uv^i xy^i z$ .

$\exists$  a decider  $M$  such that  $L(M) = A$ .

**Note.** Turing Decidable  $\Rightarrow$  Turing Recognizable.

**Theorem.** All CFLs are decidable Turing Languages.

**Note.**  $A_{TM} = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$  is Turing recognizable but not Turing decidable, i.e., it is undecidable.

**Def.** A language  $A$  is called **Co-Turing recognizable** if  $A^c$  is Turing recognizable.

**Theorem.** A language  $A$  is decidable  $\Leftrightarrow A$  is Turing Recognizable and Co-Turing Recognizable.

**Corollary.**  $\overline{A_{TM}}$  is Turing Unrecognizable.

**Def.** For two languages  $A$  and  $B$ , **A reduces to B** means that  $\exists$  a decider for  $B \Rightarrow \exists$  a decider for  $A$ .

**Note.** If  $A$  reduces to  $B$  and  $B$  is decidable  $\Rightarrow A$  is decidable.

**Note.** If  $A$  reduces to  $B$  and  $A$  is undecidable  $\Rightarrow B$  is undecidable.

**Ex.**  $A_{HALT} = \{ \langle M, w \rangle \mid M \text{ halts on } w \}$ .  $A_{TM}$  reduces to  $A_{HALT}$  and  $A_{TM}$  is undecidable  $\Rightarrow A_{HALT}$  is undecidable.

**Def.** A function  $f : \Sigma^* \rightarrow \Sigma^*$  is a **computable function** if  $\exists$  a TM  $M$  such that  $\forall w \in \Sigma^*$ ,  $M$  halts with tape content as  $f(w)$ .

**Def.** A language  $A$  is **Mapping Reducible** to language  $B$  ( $A \leq_M B$ ) if  $\exists$  a computable function  $f : \Sigma^* \rightarrow \Sigma^*$  such that  $\forall w \in \Sigma^*$  and  $w \in A \Leftrightarrow f(w) \in B$ .

**Theorem.**  $A \leq_M B$  and  $B$  is decidable  $\Rightarrow A$  is decidable.

**Theorem.**  $A \leq_M B$  and  $A$  is undecidable  $\Rightarrow B$  is undecidable.

### 3. Complexity Theory

**Def.** The **running time** of Turing Machine  $M$  is the function  $f : N \Rightarrow N$ , where  $f(n)$  is the max number of steps that  $M$  uses on any input of length  $n$ .

**Note.** We say  $f(n) = O(g(n))$  if positive integers  $c$  and  $n_0$  exist such that for every integer  $n \geq n_0$ ,  $f(n) \leq c \cdot g(n)$ .

**Def.** The time complexity class,  $TIME(t(n))$ , is the collection of all languages that are decidable by an  $O(t(n))$  time Turing Machine.

**Note.** All reasonable deterministic computational models are polynomially equivalent, i.e., any one of them can simulate another with only a polynomial increase in running time.

**Def.**  $P$  is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine,

$$P = \bigcup_k TIME(n^k).$$

Ex.  $PATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$ ;  $RELPRIME = \{ \langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime} \}$ .

**Theorem.** Every CFL is a member of  $P$ .

**Def.** A **verifier** for a language  $A$  is an algorithm  $V$ , where

$$A = \{ w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c \}.$$

Here,  $c$  is additional information, also called a **certificate**.

A **polynomial time verifier** runs in polynomial time in the length of  $w$ .

**Theorem.**  $NP$  is the class of languages that have polynomial time verifiers.

Ex.  $HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$ .

**Theorem.** A language is in  $NP$  iff it is decided by some nondeterministic polynomial time Turing machine.

**Def.**

$$NTIME(t(n)) = \{ L \mid L \text{ is a language decided by an } O(t(n)) \text{ time nondeterministic Turing machine} \}.$$

**The P vs. NP Problem.**

$P$  = the class of languages for which membership can be decided quickly.

$NP$  = the class of languages for which membership can be verified quickly.

$$A \in P \Rightarrow A \in NP$$

**Def.** Language  $A$  is **polynomial time reducible** to language  $B$  ( $A \leq_P B$ ), if  $\exists$  a polynomial time computable function  $f : \Sigma^* \rightarrow \Sigma^*$  s.t.

$$w \in A \text{ iff } f(w) \in B \forall w \in A$$

**Note.**  $B$  is called  $NP$  – *hard* if  $A \leq_P B \forall A \in NP$ .

**Note.**  $B$  is called  $NP$  – *complete* if  $B$  is  $NP$  – *hard* and  $B \in NP$ .

Ex.  $SAT, K SAT \in NP$  – *complete*.

