

<sub>1</sub> An analysis of the North Sea International Bottom Trawl Survey  
<sub>2</sub> Data

<sub>3</sub>

<sub>4</sub> **Abstract**

<sub>5</sub> In this research we present estimation procedures for calculating abundance at age indices, and inves-  
<sub>6</sub> tigate the sensitivity of these of the resulting estimates with respect to the number of otoliths collected at  
<sub>7</sub> sea. The procedures presented are applied to the North Sea International Bottom Trawls Survey data for  
<sub>8</sub> cod (*Gadus morhua*) and saithe (*Pollachius virens*). We demonstrate how much information would be  
<sub>9</sub> lost if the survey design was defined such that fewer otoliths were collected. Age length keys (ALKs) are  
<sub>10</sub> used to map lengths to age, and we use ALKs with and without the assumption of constant age length  
<sub>11</sub> structures over relatively large areas. All abundance at age indices are presented with variance estimates.

<sub>12</sub>

<sub>13</sub> **1 Introduction**

<sub>14</sub> Fish stock assessments are used by fishery managers for making management decisions regarding catch  
<sub>15</sub> quotas. The assessments provide fundamental information about the status of the stock, for instance,  
<sub>16</sub> whether the stock is increasing and support for increased levels of harvest should be given, or whether the  
<sub>17</sub> stock is decreasing and stricter control on harvest should be implemented. Associated with the parameters  
<sub>18</sub> used in fish stock assessment is their uncertainty, which should not be ignored when formulating management  
<sub>19</sub> policies (Walters and Ludwig, 1981; Ludwig and Walters, 1981; Berg et al., 2014). This uncertainty can arise  
<sub>20</sub> from many sources including natural variability, estimation procedures and lack of knowledge regarding the  
<sub>21</sub> parameter (Ehrhardt and Legault, 1997). The North Sea International Bottom Trawl Survey (IBTS) data,

22 coordinated by the International Council for the Exploration of the Sea (ICES), provides information on  
23 seasonal distribution of stocks and estimates of abundance indices and catch in numbers of fish per age-class  
24 without an assessment of the accuracy of these estimates. As stated by Ludwig and Walters (1981) it is  
25 relevant for managers to take into account the uncertainty related to stock size when making management  
26 polices. The indices of abundance at age from IBTS are based on data obtained from a stratified semi-random  
27 sampling approach of trawl stations, and it is essential to account for the sampling approach so as to produce  
28 reliable variance estimates (Lehtonen and Pahkinen, 2004). If the sampling approach is ignored, the effect on  
29 the variance of the parameters could be substantial. In particular, the variance could be greatly inflated due  
30 to the clustering effect, which involves intra-cluster correlation of the variables (Aanes and Vølstad, 2015;  
31 Lehtonen and Pahkinen, 2004).

32 There are two separate stages for generating abundance indices per age from the North Sea International  
33 Bottom Trawl Survey (IBTS) data. The first consist of calculating indices per *length* class, which are obtained  
34 by trawling in a stratified manner, sorting the catch by taxa and take biological measurement of the sorted  
35 catch. Then that knowledge is transformed to indices with respect to age. The latter part is achieved with  
36 an age-length key (ALK), which is constructed by sampling pairs of otoliths (each fish has a pair of otoliths)  
37 in a stratified procedure from each haul and/or sub-area. To our best knowledge, there has been no research  
38 on how much the uncertainty of the abundance indices is related to these two distinct parts. The main  
39 contribution of this research is to shed light on how the indices estimates and their associated uncertainty  
40 estimates change if less effort was spent on collection of otoliths. We achieve the reduction of otoliths by  
41 mimicking a defined sampling procedure with less effort. We also focus on the spatial distribution of the  
42 ALK, and such spatial structures in the ALK has also been investigated in Berg and Kristensen (2012) and  
43 Hirst et al. (2012).

44 Currently, abundance indices from IBTS are reported in DATRAS (ICES, 2018c) using an age-length key  
45 (ALK) (Fridriksson, 1934) which is assumed to be constant over relatively large areas. In this research we  
46 propose two ALKs which accounts for spatial variation: i) a nonparametric haul based ALK, and ii) a spatial  
47 model based ALK. These ALKs are described in Section 2. A spatial model based ALK (Berg and Kristensen,  
48 2012; Berg et al., 2014) known as the NS-IBTS Delta-GAM index (ICES, 2016b) is currently being used to

49 calculate standardized age-based survey indices used in assessment for the North Sea stock (haddock and  
50 cod). And, as far as we are aware the variance estimates of parameters estimated from NS-IBTS Delta-GAM  
51 index are *only* utilized for assessment of Herring (*Clupea harengus*) in the North Sea.

52 The spatial ALK model introduced in Berg and Kristensen (2012) is similar to the model used in this  
53 paper; the main difference is that we include the spatial structure through a spatial random field (Lindgren  
54 et al., 2011) and not through two dimensional splines (Wood, 2017).

55 An overview of the North Sea International Bottom Trawl Survey is given in Section 1.1. The current  
56 estimators for ALK and catch per unit effort (CPUE) used by ICES in their database for trawl surveys  
57 (DATRAS) and our proposed ALK estimators are given in Section 2. We apply these ALK methods to two  
58 case studies in Section 3, and a discussion is given in Section 4.

### 59 **1.1 Overview of the North Sea International Bottom Trawl Survey**

60 The North Sea International Bottom Trawl Survey was formed in 1991, to combine the International Young  
61 Herring Survey (IYHS) and eight national surveys in the North Sea, Skagerrak and Kattegat areas. These  
62 surveys began in the 1960's, and the 1970's and 1980's, respectively. The IYHS was developed with the aim  
63 of obtaining annual recruitment indices for the combined North Sea herring (*Clupea harengus*) stock (ICES,  
64 2012), but yielded valuable information on other fish species such as cod (*Gadus morhua*) and haddock  
65 (*Melanogrammus aeglefinus*).

66 The North Sea IBTS began with quarterly surveys providing information on seasonal distribution of  
67 stocks sampled, hydrography and the environment, which allows changes in fish stock to be monitored and  
68 abundance of all fish species to be determined. These quarterly surveys, however became difficult to sustain  
69 as countries experienced budget cuts making it impossible to maintain high levels of research vessel effort. As  
70 such, in 1997 countries carried out a survey only twice a year; a first quarter survey (January-February) and  
71 a third quarter survey (July-September). The target species of IBTS fished from 1991-2018 includes standard  
72 pelagic species: Herring (*Clupea harengus*), Sprat (*Sprattus sprattus*) and Mackerel (*Scomber scombrus*); and  
73 standard roundfish species: Cod (*Gadus morhua*), Haddock (*Melanogrammus aeglefinus*), Saithe (*Pollachius*  
74 *virens*), Norway Pout (*Trisopterus esmarkii*) and Whiting (*Merlangius merlangus*). There are also several

75 by-catch species (see for example, ICES, 2006)

76 Research vessels from seven nations in the first quarter (Q1) and six nations in the third quarter (Q3) are  
77 used for conducting surveys on all finfish species in the North Sea during January–February and July–August,  
78 respectively, between 1997–2018 (Table S1.1 in Supplementary Materials S1 gives details of the research ves-  
79 sels). The sampling frame is defined by the ICES index or roundfish areas (RFA) as shown in Figure 1  
80 numbered 1 to 10. These roundfish areas were substratified into small strata defined by non-overlapping  
81 statistical rectangles of roughly  $30 \times 30$  nautical miles ( $1^\circ$  Longitude  $\times$   $0.5^\circ$  Latitude), and were conve-  
82 nient to use for IBTS as they were already being used for fisheries management purposes. Most statistical  
83 rectangles contain a number of possible tows that are deemed free of obstructions (found in databases of  
84 national safe tows or DATRAS or commercial fishing data), and vessels are free to choose any position in  
85 the rectangles as long as the hauls are separated by at least 10 nautical miles within and between rectangles  
86 (ICES, 2018b). However, all countries select tows based on a semi-random approach from datababes of  
87 national safe tows or DATRAS or commercial fishing data, except Sweden who uses fixed stations and in  
88 some cases depth-stratified semi-random sampling design (ICES, 2018b); and England who also uses fixed  
89 stations and only conduct surveys during the third quarter. In some rectangles, sampling may be further  
90 stratified due to significant changes in seabed depth which may, in turn, cause variations in the fish popula-  
91 tion. In particular, the North Sea IBTS herring, saithe and sprat data are weighted by depth strata in the  
92 statistical rectangle (see Table S3.1 in Supplementary Materials S3). But this weighting is not included in  
93 the current estimation procedure in DATRAS. It is also a requirement that countries avoid clustering their  
94 stations between adjacent rectangles in order to reduce positive serial correlation, and thereby maximize  
95 survey precision. The latest major reallocation of rectangles occurred in 1991, but since then the survey  
96 has tried to keep at least one vessel in every subarea in which it had fished in the most recent years. Minor  
97 reallocation of rectangles between Norway, Scotland and Germany was done in 2013. Each rectangle was  
98 typically sampled twice by two different countries before 1997, but after that target coverage of two trawl  
99 hauls per rectangle per survey was introduced because of national financial constraints (ICES, 2015). But  
100 in some rectangles in the Eastern English Channel, Southern North Sea and Central North Sea intensified  
101 sampling is carried out.

102 The recommended standard trawling gear of the North Sea IBTS is the mulitpurpose chalut à Grande  
 103 Ouverture Verticale (GOV) trawl (ICES, 2012), which has been used on all participating vessels since 1992,  
 104 while different pelagic and bottom trawls suitable for fishing finfish species were used before 1992. Standard-  
 105 ized trawling protocols were adopted with a towing speed of 4 knots but depending on vessel performance,  
 106 tide and weather conditions the average towing speed can be at minimum 3.5 and maximum 4.5 knots. From  
 107 2000-2018 trawling is done during the daylight hours, which are considered 15 minutes before sunrise to 15  
 108 minutes after sunset (ICES, 2012). After each trawl the total catch of the different species is weighed on  
 109 board and biological parameters such as length for all fish species caught (to 0.1 cm below for shellfish, to  
 110 0.5 cm below for herring and sprat and to 1 cm below for all other species) are collected. Where the numbers  
 111 of individuals are too large for all of them to be measured to obtain the length distribution, a representative  
 112 subsample of 100 fish is selected. A pair of otoliths are collected on board from a small fraction of all the  
 113 target species from all RFAs (Figure 1) to retrieve age reading. Table S2.1 in Supplementary Materials S2  
 114 gives the minimum sampling levels of otoliths for the target species.

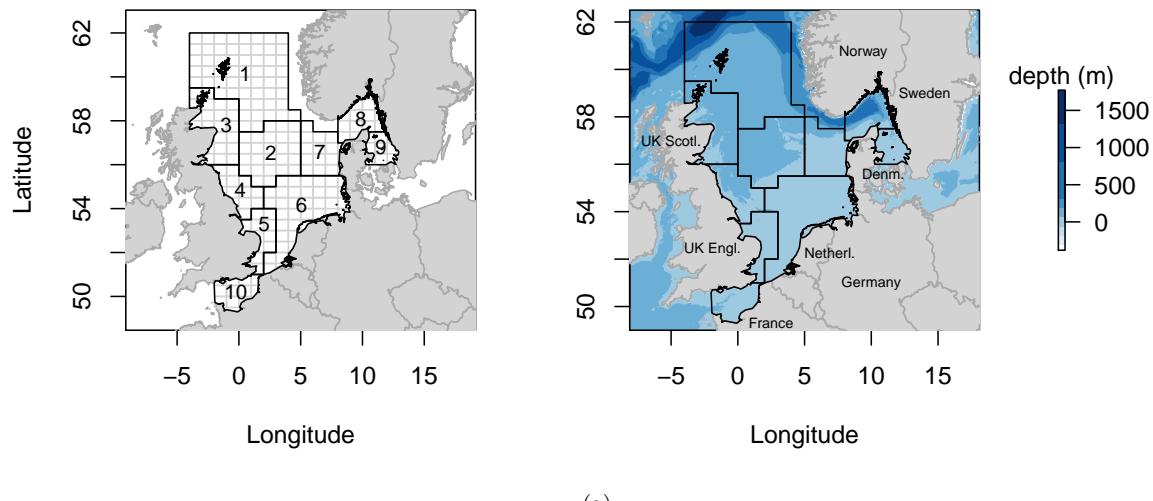


Figure 1: Standard roundfish areas (RFAs) used for roundfish since 1980 and for all standard species since 1991 (left panel). RFA 10 was added in 2009. The number 1, for example, indicates ICES RFA 1. The small grey rectangles in the left panel indicate the statistical rectangles of approximately  $30 \times 30$  nautical miles (these vary from 28 nm wide in the north, to 40 nm wide in the south of North sea) ( $1^\circ$  Longitude  $\times 0.5^\circ$  Latitude). The map in the right panel shows the Norwegian trench and shelf edge (depths 1000-1500).

115

## 2 METHODS

116 This section gives the estimators of abundance indices. The estimators are haul-duration based and utilizes  
 117 an ALK approach. We consider the ALK approach used in DATRAS and we propose two ALK estimators.  
 118 The ALK used in DATRAS for computing abundance indices does not account explicitly for the spatial  
 119 distribution in age-length structures over large areas. As differences in age-length structures may exist over  
 120 large areas, these differences do have the potential to result in a biased ALK (Gerritsen et al., 2006; Kimura,  
 121 1977). These differences may be caused either by variation in length-at-age distributions or by variations in  
 122 the relative abundance of age classes, that is age-at-length distributions (Gerritsen et al., 2006). To account  
 123 for the spatial distribution we propose a design-based ALK estimator that is haul dependent (Section 2.2.2)  
 124 and a model based ALK estimator (2.2.3).

125 ***2.1 Catch per unit effort***

126 In this research, the catch per unit effort (CPUE) is defined as the number of fish of a certain species and  
 127 age or length which are caught per hour trawl. In this section we define the CPUE mathematically, which  
 128 explains how the index is calculated. For a given species of interest, let  $n_{h,l}$  be the number of fish with  
 129 length  $l$  caught by trawl haul  $h$ . The CPUE for a given length  $l$  by trawl haul  $h$  is defined as

$$\text{CPUE}_{h,l} = \frac{n_{h,l}}{d_h}, \quad (2.1)$$

130 where  $d_h$  is the duration of the trawl in hours. The CPUE per age class is further defined as

$$\text{CPUE}_{h,a} = \sum_{l \in \mathbf{L}} \text{CPUE}_{h,l} \times \text{ALK}_{a,l,h}, \quad (2.2)$$

131 where  $\mathbf{L}$  is the set of all length classes and  $\text{ALK}_{a,l,h}$  is the age length key, which represents the estimated  
 132 proportion of fish with age  $a$  in  $l$ th length class in haul  $h$ . For a given number of trawl hauls in a statistical  
 133 rectangle, the mean CPUE defined as mCPUE in a statistical rectangle can be expressed as the average  
 134 CPUE of the trawl hauls in the statistical rectangle:

$$\text{mCPUE}_{s,a} = \frac{\sum_{h \in H_s} \text{CPUE}_{h,a}}{|H_s|}. \quad (2.3)$$

<sup>135</sup> Here  $H_s$  represents the set of trawl hauls taken in statistical rectangle  $s$ , and  $|H_s|$  is the number of hauls  
<sup>136</sup> taken in the rectangle. The mCPUE in  $p$ th RFA is further defined as

$$\text{mCPUE}_{p,a} = \frac{\sum_{s \in S_p} \text{mCPUE}_{s,a}}{|S_p|} \omega_s, \quad (2.4)$$

<sup>137</sup> where  $S_p$  is the set of all statistical rectangles in RFA  $p$ ,  $|S_p|$  is the number of statistical rectangles in RFA  $p$ ,  
<sup>138</sup> and  $\omega_s$  is a weight factor for each statistical rectangle (see Table S3.1 in Supplementary Materials S3). For  
<sup>139</sup> species such as saithe, herring, and sprat the indices at age are calculated using the mean over rectangles,  
<sup>140</sup> weighted for the percentage of area with water depths between 10m-200m, and for RFAs 8 and 9 water  
<sup>141</sup> depths between 10m-250m (ICES, 2013a).

<sup>142</sup> The mean catch per unit at age in the whole study area is defined as

$$\lambda_a = \frac{\sum_{p \in \mathbf{P}} A_p \text{mCPUE}_{p,a}}{A_{\text{total}}}. \quad (2.5)$$

<sup>143</sup> We refer to (2.5) as the index of abundance at age, where  $\mathbf{P}$  is the set of RFAs,  $A_p$  is the area of RFA  $p$ ,  
<sup>144</sup> and  $A_{\text{total}} = \sum_{p \in \mathbf{P}} A_p$ .

## <sup>145</sup> 2.2 **ALK estimators**

<sup>146</sup> The definition of the CPUE of age includes an ALK, see (2.2), which we described in this section. Three  
<sup>147</sup> ALK estimators are included in this research, which are named as follows: *i*) DATRAS ALK, *ii*) haul based  
<sup>148</sup> ALK and *iii*) model based ALK.

### <sup>149</sup> 2.2.1 *Area based ALK*

<sup>150</sup> We refer to the ALK used in DATRAS to estimate abundance at age for the IBTS data as an area based  
<sup>151</sup> ALK, defined as  $ALK_{a,l,h}^A$ . The area based ALK is defined as constant within each RFA, and is calculated  
<sup>152</sup> for each RFA by aggregating the age observation from each RFA.  $ALK_{a,l,h}^A$  used in equation (2.2) is defined  
<sup>153</sup> as the proportion of observed fish with age  $a$  in length class  $l$  in the RFA  $h$ . If there are no observed  
<sup>154</sup> fish in length class  $l$  in the RFA, ages from length classes close to  $l$  is used. The details of the procedure  
<sup>155</sup> for borrowing age data from neighbouring length classes are given in Supplementary Materials S4.1. The  
<sup>156</sup> underlying assumption of this ALK is that age-length compositions are homogeneous within the RFAs.

157 This is a rather strong assumption, and any violation would have an unknown impact on the estimates of  
 158 abundance indices. Aanes and Vølstad (2015) illustrated that violation of the assumption of constant ALK  
 159 leads to biased estimates of CPUEs.

160 *2.2.2 Haul based ALK*

161 We define a haul dependent ALK by  $ALK^H$ . The  $ALK_{a,l,h}^H$  used in equation (2.2) is defined as the average  
 162 proportion of observed fish with age  $a$  in length class  $l$  in haul  $h$ . If there are no observed ages of fish in a  
 163 length class  $l$  in the haul, ages from the same length class in the haul close by is used (see Supplementary  
 164 Materials S4.2 for the procedure).

165 *2.2.3 Model based ALK*

166 In this section we introduce a spatial model based ALK, which we define as  $ALK^M$ . Using such a model  
 167 enables us to obtain smooth structures in the distribution of age given length. It further enables us to utilize  
 168 spatial latent effects. Spatial model based approach of age-lengths are widely used (Berg and Kristensen,  
 169 2012; Hirst et al., 2012; Rindorf and Lewy, 2001), and are used for stock assessment in the North Sea (Berg  
 170 et al., 2014).

171 Let the response variable of the age group of a fish be  $a = M, \dots, A$  where  $M$  is the youngest age, and  $A$   
 172 is the oldest age which is typically defined as a "plus group". Suppose  $y(l, \mathbf{s})$  is the age of a fish with length  
 173  $l$  caught at location  $\mathbf{s}$ . As in Berg and Kristensen (2012) we use a continuous ratio model for the spatial age  
 174 given length model. However, in our application we assume for each species we know a length  $l^*$  such that  
 175 all fish above length  $l^*$  are above age  $M$ , and all fish with length below  $l^*$  are of age below  $A$ . By including  
 176 such a variable we reduce the number of parameters in the model by removing one linear predictor. Define  
 177 the continuous ratio we are modelling as

$$\pi_a[y(l, \mathbf{s})] = \frac{p_a(l, \mathbf{s})}{p_a(l, \mathbf{s}) + \dots + p_A(l, \mathbf{s}) + p_M(l, \mathbf{s})} \quad \text{for } a = M + 1, \dots, A - 1, \quad (2.6)$$

178 where  $p_a(l, \mathbf{s})$  is the probability of a fish with length  $l$  at location  $\mathbf{s}$  to be of age  $a$ . Note that either  $p_A(l, \mathbf{s})$   
 179 or  $p_M(l, \mathbf{s})$  is known to be equal to zero, and the other is selected such that  $\sum_a p_a = 1$ . We assume the logit

180 link

$$\log \left[ \frac{\pi_a[y(l, \mathbf{s})]}{1 - \pi_a[y(l, \mathbf{s})]} \right] = f_a(l) + \gamma_a(\mathbf{s}), \quad (2.7)$$

181 where  $f_a(l)$  is a continuous function of length and  $\gamma$  is a mean zero Gaussian spatial random field with  
182 Matérn covariance function (Stein, 2012). The spline  $f_a(l)$  is intended to account for the fact that longer  
183 fish are typically older, and the spatial random field,  $\gamma$ , is intended to account for spatial variation in the  
184 ALK. The continuous function  $f_a(l)$  in (2.7) is modelled with usage of P-splines (Wood, 2017), and these  
185 spline regression coefficients are included as a mean zero Gaussian random effect. The precision matrix for  
186 the spline regression coefficients is constructed such that wiggleness is penalized, see Wood (2017, page 239)  
187 for details. The R package mgcv (Wood, 2015) is used for extracting the precision matrix needed for the  
188 spline regression coefficients. The marginal variance of the P-splines regression coefficients,  $\sigma_f^2$ , is estimated  
189 in our inference procedure.

190 We assume that the spatially Gaussian random field in (2.7),  $\gamma$ , follows a stationary Matérn covariance  
191 structure defined as

$$\text{Cov}(\gamma(\mathbf{s}_1), \gamma(\mathbf{s}_2)) = \frac{\sigma_\gamma^2}{2^{\nu-1}\Gamma(\nu)} (\kappa \|\mathbf{s}_1 - \mathbf{s}_2\|)^\nu K_\nu(\kappa \|\mathbf{s}_1 - \mathbf{s}_2\|), \quad (2.8)$$

192 where  $\sigma_\gamma^2$  is the marginal variance of the spatial field;  $\|\mathbf{s}_1 - \mathbf{s}_2\|$  is the distance between  $\mathbf{s}_1$  and  $\mathbf{s}_2$  in kilometres;  
193  $\kappa$  is a spatial scale parameter of the spatial field;  $\nu$  is a smoothing parameter and  $K_\nu(\cdot)$  is the modified Bessel  
194 function of the second kind with  $\nu = 1$ . The spatial field is estimated with the stochastic partial differential  
195 equation (SPDE) procedure described in Lindgren et al. (2011). The main concept behind the SPDE  
196 procedure is that the precision matrix of a spatial field with Matérn covariance function can be approximated  
197 by a sparse matrix on a grid covering the area of interest. Such a grid and sparse precision matrix are  
198 constructed with use of the R-INLA package (Rue et al., 2009). Figure 2 gives an illustration of the mesh used  
199 for approximating (2.8) for one of our focal species (cod), which we describe in Section 3. Detail regarding the  
200 construction of the mesh can be found at github (<https://github.com/OlavNikolaiBreivik/IBTSspatialALK>).

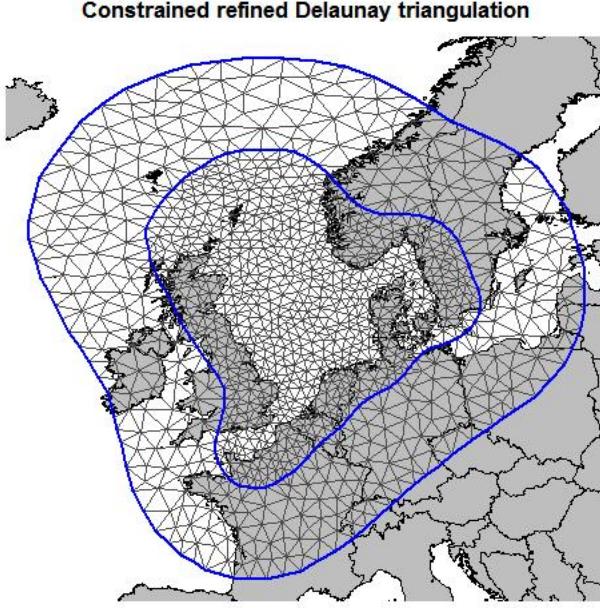


Figure 2: Mesh used in the case study for cod in Section 3 for approximating (2.8) with the SPDE-procedure. The species specific constant  $l^*$  is selected as the mid point between the shortest fish of age A and the longest fish of age M in the corresponding year and quarter. A sensitivity analysis of this constant were performed by adjusting it up and down 5 cm for cod in year 2018 in Q1. The point estimate of the mCPUEs then changed in the forth decimal, which we will consider negligible.

201     The model based ALK estimate is obtained by maximizing the likelihood. We maximize the likelihood  
 202    with use of an R-Package called Template Model Building **TMB** (Kristensen et al., 2015), combined with the  
 203    optimizing function **nlnmb** in R. In this application **TMB** is advantageous as it uses Laplace approximation  
 204    for the latent fields gaining computational efficiency, it also utilizes sparse structures in the latent fields, and  
 205    uses automatic derivation.

206    **2.3    Uncertainty estimation**

207    In this section we describe how the uncertainty of the CPUE estimates are calculated. We use nonparametric  
 208    bootstrapping to quantify the uncertainty of the CPUEs. In nonparametric bootstrapping independent sam-  
 209    ples of lengths and age are drawn with replacement from the original data and approximate 95% confidence  
 210    intervals are obtained using bias-corrected percentile method (Carpenter and Bithell, 2000). Nonparamet-  
 211    ric resampling allows us to estimate the sampling distribution of the CPUE empirically without making  
 212    assumptions concerning the data. The bias-Corrected method adjusts for the bias and skew of the sam-  
 213    pling distribution of the data (Puth et al., 2015; Karlsson, 2009). The bootstrap procedure is given in

214 Supplementary Materials S5.

215 A bootstrap procedure for estimating the uncertainty of CPUEs in the North Sea is suggested in ICES  
216 (2006). This procedure is given in Supplementary Materials S5 ([The procedure in S5 is a general description](#)  
217 [of a bootstrap procedure, this should be just included with a reference.](#)). In the rest of this research, we  
218 refer to this procedure as DATRAS bootstrap procedure, as it is the current procedure outlined in DATRAS  
219 for uncertainty estimation of IBTS indices. However, this bootstrap procedure has never been implemented  
220 in DATRAS. The DATRAS procedure is divided into two parts; one part which samples CPUE per length  
221 (2.1), and another part which samples the ALK used in (2.2). The DATRAS bootstrap procedure is based  
222 on the assumption of homogeneous CPUE within RFAs. This assumption is likely to be wrong, and would  
223 typically cause an overestimation of the uncertainty. Therefore, we have included a bootstrap procedure,  
224 defined as the stratified bootstrap procedure, which instead assumes constant CPUE within each statistical  
225 rectangle.

226 *2.3.1 DATRAS and Stratified bootstrap procedure*

227 In this section we describe the two bootstrap procedures used in this research. The two procedures differ by  
228 how the ages are sampled. Assume there are  $N_s$  trawl hauls in a statistical rectangle. The DATRAS and  
229 stratified bootstrap procedure are constructed as follows:

230 1. For each statistical rectangle sample  $N_s$  hauls with replacement from the data in a given RFA. If there  
231 is only one haul within a statistical rectangle, sample either that haul or the closest haul.

232 2. The DATRAS procedure does (a) and the stratified procedure does (b):

233 (a) Sample with replacement age observations from the resampled hauls in step 1 within each RFA  
234 stratified with respect to length. If there is only one observed age from a given length class within  
235 the RFA, we sample either that age or an age in the closest length class with observed ages within  
236 the RFA.

237 (b) Sample age observations from the resampled hauls in step 1 within each RFA stratified with  
238 respect to length and haul [using a pseudo-population bootstrap procedure](#) (Mashreghi et al.,

239 2016) (TODO). If there is only one observed age within a length group in a haul, that age is  
240 sampled.

241           3. Repeat 1-2 B times.

242 2.4 Reducing sampling effort

In this Section we investigate the effect on the estimated index of abundance,  $\hat{\lambda}_a$ , and its variance if the sampling procedure of otoliths changes such that fewer otoliths were collected. Recall that the proposed sampling procedure for the North Sea IBTS data is *one* pair of otoliths per fish from every observed length group in every haul (see Table S2.1 in Supplementary Materials S2). While some nations have adopted this sampling procedure in 2018 Q1, other nations such as Scotland for example, sampled more than one pair of otoliths per fish per length group per species, particularly for the longer length groups (in cm). Furthermore, nations were required to sample 8 pairs of otoliths per length group per species prior to year 2018 Q1 as outlined by ICES (2006). So it is expected that some length groups would consist of only one pair of otolith while others would consist of several pairs of otoliths.

To determine the effect of sampling fewer otoliths on estimated relative abundance, we resampled otoliths in a stratified manner, mimicking a sampling procedure in practice. For sampling fewer otoliths, we define wider length groups, for example 1 cm, 2 cm, 3 cm, and so on, and resample otoliths such that only *one* pair of otoliths is taken from every wider length group. In principle, we are free to define any length bin to reduce the number of observed otoliths, but to determine whether there is an obvious change in estimated indices of abundance and its uncertainty we resample, at random, *one* pair of otoliths per fish from the following length bins: 1 cm, 2 cm, 3 cm, 4 cm or 5 cm as these would provide reasonable assurance of variability captured within a length bin. Also, wider length bins would reduce the probability of borrowing from neighbour hauls to fill missing age-length keys. For each pre-defined length bin above we estimate relative abundance at age and its uncertainty, using bootstrapping. It is important to re-iterate here that the proposed IBTS sampling procedure from 2018 Q1 is *one* pair of otoliths per 1 cm length bin but this is not adopted by all nations. We refer to this procedure as the *current* IBTS sampling procedure and the estimates from this procedure is compared with the estimates from the five procedures we have proposed above, to determine whether there

265 is any difference in the estimates if fewer otoliths are used. We also wish to highlight two issues that are  
266 associated with the proposed IBTS sampling procedure of *one* pair of otoliths per 1 cm length bin; the first  
267 is that the variability in age-length data would not be captured, for example, it is likely that a fish of length  
268 20 cm could be age 1 or age 2 as we have demonstrated in Section 3.1; and the second is that additional  
269 uncertainty would be introduced if neighbouring hauls do not contain same age-length data to fill missing  
270 age-length keys. We further investigate an alternative sampling strategy of resampling *two* pairs of otoliths  
271 per 4 cm length bin, and we compare these estimates with the estimates from resampling *one* pair of otoliths  
272 per 2 cm length bin as described above, as well as with estimates from the *current* IBTS sampling procedure.

273 The bootstrapping is carried out as follows:

274 We define  $N_s$  as the number of hauls in a given statistical rectangle as in Section 2.3.1.

275 1. Create a sample of bootstrap hauls, i.e., for each statistical rectangle sample  $N_s$  hauls with replacement  
276 from the data in a given RFA

277 2. To estimate an ALK using either the haul based ALK or model based ALK, we do the following and  
278 convert length to age:

279 (a) Haul based ALK ( $\text{ALK}_{a,l,h}^H$ )

280 • Olav would you please fill this with the procedure, please remember resampling with proba-  
281 bilities proportional to length-bin counts

282 (b) Model based ALK ( $\text{ALK}_{a,l,h}^M$ )

283 • Olav would you please fill this with the procedure

284 3. Olav would you please fill this with the estimation of abundance by age from the bootstrapped data  
285 set

### 286 3 Case studies

287 In this section we apply the methods described in Section 2 to data from the International Bottom Trawl  
288 Survey for the years 2014-2018, which is obtained from the DATRAS database (ICES, 2018c). These years

289 are chosen for two reasons. The first is that in year 2018 new sampling procedures proposed by ICES for the  
290 collection of otoliths were introduced in the surveys. For instance, *one* pair of otoliths per length group is  
291 sampled for most target species (see Table S2.1 in Supplementary Materials S2 for the sampling procedures  
292 for each target species), and this data is appropriate for the application of our proposed sample optimization  
293 procedure described in Section 2.4. The second is that IBTS included age 0 in Q3 surveys, which are available  
294 for years 2014-2017. Also, some species such as saithe that occupies the deeper waters in the northern part of  
295 the North Sea and in the Skagerrak and Kattegat, along the shelf edge (ICES, 2018a), the IBTS Q3 data is  
296 relevant for analyses compared with data from IBTS Q1 surveys, which do not adequately cover these areas  
297 where saithe is distributed (see Figure 1). Note that both IBTS Q1 and Q3 surveys do not adequately cover  
298 the whole stock distribution of saithe but the data collected is considered generally representative (ICES,  
299 2016a). In this research, the species of interest are cod and saithe. Table S6.1 in Supplementary Materials  
300 S6 briefly describes the data for the years 2014-2018.

### 301 ***3.1 Estimated indices of abundance and variability for cod and saithe***

302 In this section we apply the three ALK methods given in section 2.2 for abundance estimation, and the  
303 bias-corrected bootstrap method, given in Section 2.3.1 for estimating variability of estimated indices of  
304 abundance. The main assumption of DATRAS ALK is that the age-length compositions of species over large  
305 areas are the same. In this section we apply the three ALK methods, given in section 2.2, for estimating  
306 abundance at age and the bias-corrected bootstrap method, given in Section 2.3.1, for estimating variability of  
307 estimated indices of abundance. The main assumption of DATRAS ALK is that the age-length compositions  
308 of species over large areas are the same. Figure 3 illustrates the predicted probability of age of cod given  
309 length using the spatial model based ALK (2.7). Figure 3 illustrates that the main assumption of the area  
310 based ALK of constant age-length compositions over large areas is not valid as a fish of length 20 cm could  
311 be of age 1 or age 2 in Skagerak area. see Figure 3. This trend can be seen over several years of IBTS time  
312 series, which further discredit the assumption of constant ALK over large areas in the North sea. Figure S2  
313 in Supplementary Materials S7.1 illustrates that age given length of 20 cm long cod varies over large areas  
314 in years 2014-2018, while FigureS3 provides more illustrative examples of different length groups further

315 discrediting the assumption of constant ALK over large areas in the North Sea.

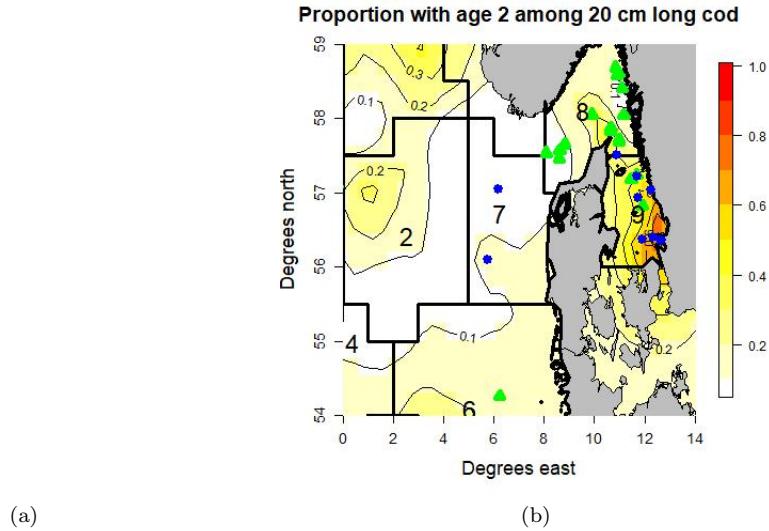
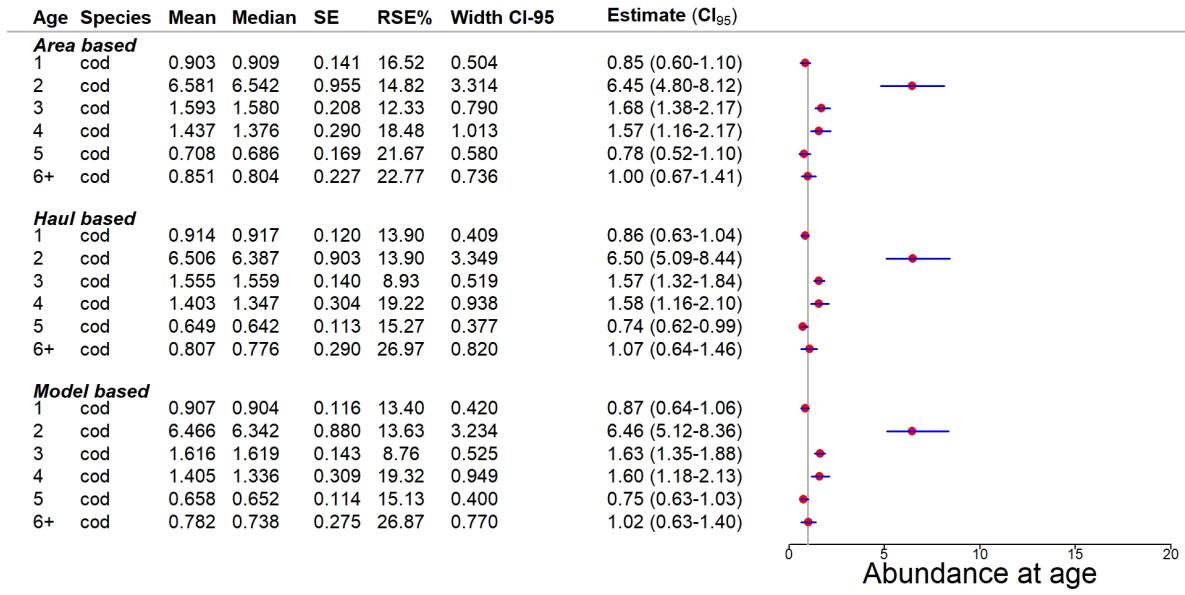


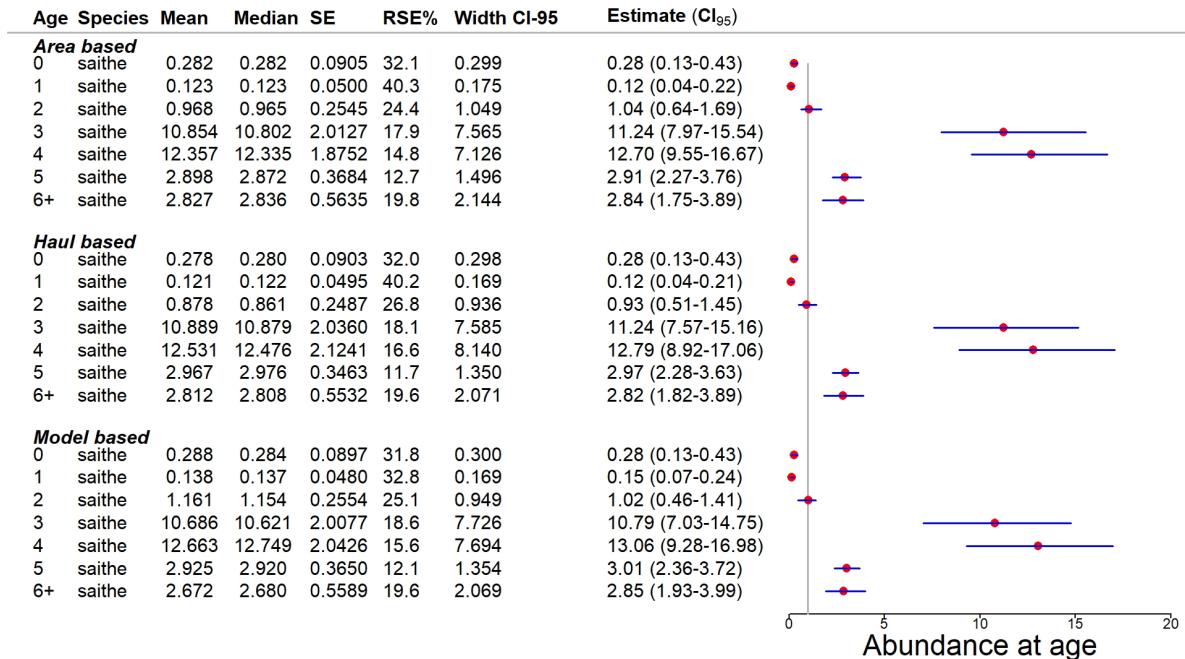
Figure 3: Estimated proportion of age 1 and 2 year-old cod of length 20 cm long in Skagerak. The green triangles ( $\blacktriangle$ ) and blue circles ( $\bullet$ ) are observations of one and two year old cod, respectively, which are in the length interval 19 cm to 21 cm.

316 Figures 4 gives estimates of indices of abundance for cod in 2018 Q1 and for saithe in 2017 Q3. Ap-  
317 proximate 95% confidence intervals from the bias-corrected bootstrap method for 200 bootstrap replication  
318 are estimated from the three ALK methods I think we need to run 'production' run on larger number of  
319 iterations before interpreting too much. The stratified procedure described in 2.3.1 is used in the sampling  
320 process to estimate bootstrap confidence intervals. Figures 4 shows that the resulting indices of abundance  
321 for cod and saithe turned out to be similar for all ALKs. IBTS is a complex multistage survey design,  
322 and since the ALKs are estimated from cluster-correlated data the resulting effective sample for estimating  
323 age-composition of fish would be lower than the number of fish measured (ICES, 2013b). Hence, the ALKs  
324 are subject to large sampling errors. For example, the estimated percentage relative standard errors from  
325 the spatial ALKs for the plus group (6+) for cod are  $> 25\%$ , suggesting high sampling error in the ALKs.  
326 (Which parameter is tested here (age, length or something else)? Could the observation also be explained by  
327 high natural variation and the collapsing of potentially heterogeneous length and ages into one group? Also,  
328 it should be remembered that DATRAS ALK is constant. Aanes and Vølstad (2015) showed that in such  
329 cases, and where only the variability of length compositions are allowed for, the estimated age-distributions  
330 may appear to be more precise than they truly are since the ALK itself is subject to sampling errors, see

<sup>331</sup> for example the estimated relative standard standard errors for ages 2, and the older fish (4, 5 and 6+) for  
<sup>332</sup> both species.



(a) Cod in year 2018 Q1



(b) Saithe in year 2017 Q3

Figure 4: Estimated confidence intervals (CI<sub>95</sub>) from bias-corrected bootstrap method for cod in year 2018 Q1 and saithe in year 2017 Q3. Estimated indices of abundance (Estimate), and its standard error (SE), percentage relative standard error (RSE%), bootstrap mean (Mean) and Median estimates and the width of the confidence interval (Width CI-95) are also given.

333 As regards to which spatial ALK method to adopt, it is difficult to identify a method that gives the  
 334 best performance over all age groups. While both methods seem to give reasonable estimates, the spatial

335 model based ALK generally gave shorter interval widths for both species (Figure 4). Furthermore, compared  
 336 with DATRAS ALK and the haul based ALK, the spatial model based ALK allows smooth functions of the  
 337 spatial effects predicting numbers-at-age. Figure 5 illustrates the estimated age compositions as a function  
 338 of length for a given haul in RFA 1. The haul selected is the haul with the most number of observed ages  
 339 of cod in 2018 Q1. Notice that the the model based ALK is smooth, while the DATRAS ALK and the haul  
 340 based ALK are not. This is an important advantage of the model based ALK, and it is surprising that it  
 341 did not result in a larger difference in the estimated index of abundance as shown Figure 4. An intuitive  
 342 reason for this is presumably because there are enough observed ages per length group for the haul based  
 343 ALK to be representative. But, there are some limitations of the spatial model based ALK. For instance,  
 344 the uncertainty of relative abundance from the spatial model based ALK is calculated using bootstrapping,  
 345 as approximation of the joint distribution of the regression coefficient and spatial effect, in some cases, fails  
 346 to account for the negative correlations between ages. Also, estimating relative abundance at age and its  
 347 precision from the spatial ALK model can be computationally intensive.

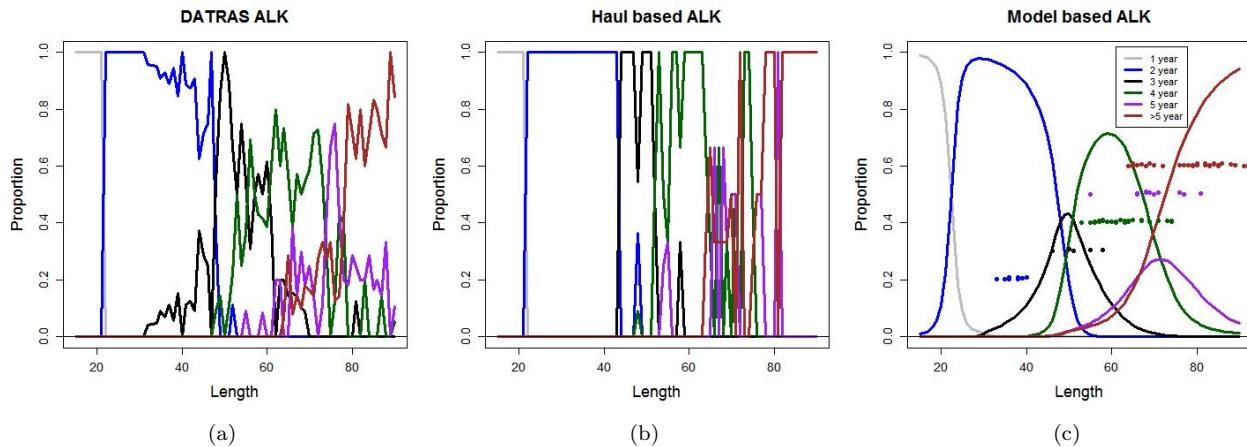


Figure 5: Estimated age compositions of cod as a function of length in a given haul in RFA 1 using a) DATRAS ALK, b) haul based ALK and c) model based ALK. Note that explanation of the colours are only given in c). Each coloured point in c) defines an observed cod with the corresponding length and age in the haul. The haul selected is the haul with most observed ages of cod in 2018 Q1.

348 We also demonstrate the implications of using DATRAS bootstrap procedure for estimating the uncer-  
 349 tainty around indices of abundance (see Figure S4 in Supplementary Materials S7.2). Compared with the  
 350 stratified bootstrap procedure, DATRAS bootstrap procedure gives an overestimation of the uncertainty for

<sup>351</sup> all age groups, suggesting that it is highly relevant to account for the variation in the data over large areas.

<sup>352</sup> ***3.2 Alternative sampling procedure for North Sea Cod and Saithe***

Table 1: Comparison of estimated uncertainty from proposed sampling procedures with estimated uncertainty from original data

age	mCPUE	SE.resampled	SE	RSE.resampled	RSE
<b>1 cm (85.9% of otoliths)</b>					
1	0.86	0.122	0.119	14.179	13.895
2	6.50	1.002	0.902	15.426	13.897
3	1.57	0.180	0.140	11.518	8.934
4	1.58	0.294	0.304	18.611	19.223
5	0.74	0.122	0.113	16.560	15.269
6+	1.07	0.275	0.289	25.623	26.968
<b>3 cm (62.1% of otoliths)</b>					
1	0.86	0.133	0.119	15.478	13.895
2	6.50	1.059	0.902	16.311	13.897
3	1.57	0.192	0.140	12.279	8.934
4	1.58	0.298	0.304	18.714	19.223
5	0.74	0.132	0.113	17.767	15.269
6+	1.07	0.311	0.289	29.178	26.968
<b>5 cm (51.0% of otoliths)</b>					
1	0.86	0.129	0.119	15.126	13.895
2	6.50	0.995	0.902	15.338	13.897
3	1.57	0.163	0.140	10.381	8.934
4	1.58	0.320	0.304	20.095	19.223
5	0.74	0.208	0.113	27.857	15.269
6+	1.07	0.289	0.289	27.193	26.968

353 In this section we investigate the effect of sampling fewer otoliths on the estimated indices of abundance  
 354 for the North Sea IBTS saithe and cod. **We use the spatial ALK model based approach, although**  
 355 **the haul based could also be used (see Supplementary Materials.....).** The removal procedure for  
 356 otolith sampling described in Section 2.4 is applied to data in year 2018 Q1 for cod and year 2017 Q3 for  
 357 saithe. We sample one pair of otoliths per length group described in Section 2.4: 1 cm, 2 cm, 3 cm, 4 cm,  
 358 5 cm, 6 cm or 7 cm. Recall that prior to 2018 the standardized IBTS sampling procedure was 8 pairs of  
 359 otoliths per length group but some nations such as Norway and Netherlands sampled one pair of otoliths  
 360 per length group from every haul. Although the revised standardized IBTS sampling procedure is one pair  
 361 of otolith per 1 cm length group for standard round fish as of year 2018 Q1, except for haddock and Norway  
 362 Pout where 2 otoliths per cm is to be sampled, some nations (Scotland and Sweden) continue to sample  
 363 more than one pair of otoliths, particularly for older age groups (see Table S2.1 in Supplementary Materials  
 364 S2).  
 365 Figure 6 gives the percentage relative standard error of estimated indices of abundance and mean square

366 error for cod and saithe from the seven different sampling procedures described above. Estimates are com-  
367 puted from 1000 simulations and 1000 bootstrap replication A total of 1600 pairs of otoliths were sampled  
368 for cod in year 2018 Q1, while 2163 pairs of otoliths were sampled for saithe in year 2017 Q3 (see Table  
369 S6.2 in Supplementary Materials S6). The proportion of otoliths removed for cod from each of the sam-  
370 pling procedures stated above is: 14.4%, 28.6%, 38.4%, 44.5%, 49.3%, 52.6% or 55.6%, respectively, while  
371 for saithe the following proportions of otoliths are removed: 27.1%, 48.9%, 59.5%, 65.6%, 69.8%, 73.1% or  
372 75.2%, respectively. Notice that 14% of the cod data in year 2018 Q1 is removed for the sampling procedure  
373 of a pair of otoliths per 1 cm length group. This should be 0% if all nations followed the revised standardized  
374 IBTS sampling procedure of year 2018 Q1.

375

376 **Tables S7.2 and S7.3 in Supplementary Materials S7.3 give results of the estimated indices**  
377 **of abundance and approximate 95% bias-corrected bootstrap confidence intervals**  
378 **discuss graph**

379

380 • **We discuss and include these in explanations below**

381 • Accuracy of estimates of reduced data compared with estimates from full data  
382 • Precision in estimates is measured by standard error (SE) and relative standard error (RSE)  
383 • accuracy is measured by root mean square error (RMSE) =  $\sqrt{SE^2 + (\text{bias})^2}$ . Measures how close, on  
384 average, a fitted line is to the data points (measure of goodness of fit). One can compare the RMSE to  
385 observed variation in measurements of a typical point (**the two should be similar for a reasonable**  
386 **fit**). Can we use this even though we do not have a "true value", which we would never know from  
387 large survey data and since we did not simulate synthetic data? Can we consider  $\hat{\lambda}_a$  as a "true value"?

388 The nonparametric bias-corrected bootstrap method is adopted for estimating confidence intervals of  
389 indices of abundance, and although this method has the advantage of correcting for the bias and skew of  
390 the sampling distribution of the data; accounting for some of the variability in the sampling distribution of  
391 the CPUE; and does not assume any distribution for the data, there are some limitations of the bootstrap

392 approach. The most important limitation is the assumption that the distribution of the data represented  
393 by the sample is a reasonable estimate of the population function from which the data are sampled. If this  
394 assumption is violated the random sampling performed in the bootstrap procedure may add another level  
395 of sampling error, resulting in invalid statistical estimations (Haukoos and Lewis, 2005). As discussed in  
396 Section 1.1 the selection of the trawling locations for IBTS surveys is semi-random where cruise leaders  
397 selects "clear" tow locations or "blind" tow locations if no clear tow exists by checking the proposed trawl  
398 track for hazardous seabed obstructions with acoustic methods. More recently, selection of tow locations is  
399 based on pre-proposed valid tow locations with start and end positions executed in the period 2000-2018.  
400 Hence, the lack of a fully randomized sampling process has the potential to result in biased estimates of  
401 parameters and their uncertainty. Additionally, prior to 2013, all nations were sampling 8 pairs of otoliths  
402 per 1 cm length group for our focal species (Table S2.1 in Supplementary Materials S2), and these samples  
403 could be acquired from, for example the first haul (or first few trawl hauls), resulting in an unrepresentative  
404 sample of the population. From 2013, some nations adopted the current sampling procedure outlined by  
405 ICES for IBTS 2018 surveys of 1 pair of otolith per 1 cm length group from each haul, while other nations  
406 continued with sampling 8 pairs of otoliths per 1 cm length group. So, bias was still introduced via the  
407 sampling procedure. Another limitation of the bootstrap is the smaller the original sample the less likely it  
408 is to represent the entire population, thus the more difficult it becomes to compute valid confidence intervals.  
409 Note that the bootstrap relies heavily on the tails of the estimated sampling distribution when computing

410

411       **these results in the graph are from the haul based ALK procedure. The model based**  
412       **ALK procedure gave an error, when it's working those will be here and haul based will go in**  
413       **supplementary materials**

414

## 4 DISCUSSION

415 In this research we have determined optimal sampling efforts of otoliths for target species of the North Sea  
416 International Bottom Trawl Survey (IBTS). This was achieved by testing different sampling procedures that  
417 mimic the real data collection procedure but with a reduced number of otoliths. The estimated indices of

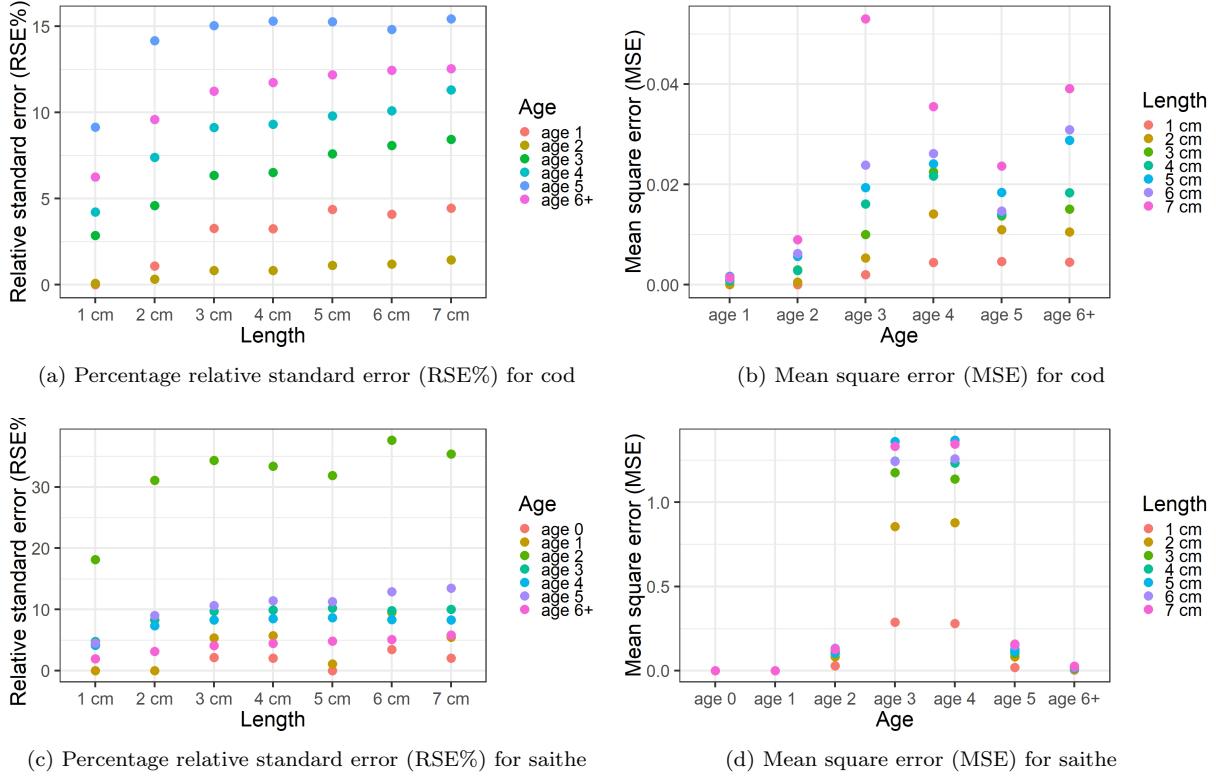


Figure 6: Percentage relative standard error (RSE%) and mean square error (MSE) for age given seven length group sampling procedures of otolith collection for cod in year 2018 Q1 and saithe in year 2017 Q3.

abundance and their estimated uncertainty were investigated to determine if there is any real change in the precision of the estimates. Abundance indices were estimated using age-length keys (ALKs). The database for trawl surveys (DATRAS) manned by ICES includes an ALK that uses the raw proportions of age given length assuming constant age-length compositions over relatively large areas. We have developed two spatial ALK methods to estimate abundance indices and their variance that accounts for spatial variation in the data: 1) a haul based ALK that produces an ALK for each trawl haul, and which uses the raw proportions of age given length, and 2) a spatial ALK model that uses logits for modelling the age distribution in catch data from the length-stratified subsamples. Several studies have used spatial ALK modelling for estimating abundance indices of the North Sea stocks used in assessments (Berg and Kristensen, 2012; Berg et al., 2014; Gerritsen et al., 2006). These studies used continuous ratio logits with General Linear Model (GLM) or General Additive Models (GAMs) to model the spatial effects and found large spatio-temporal variability of the ALK and relative abundance at age. We proposed to use Gaussian Random Field Theory to model the

430 spatial effects as a smooth surface to estimate age-at-length and relative abundance for the IBTS data. The  
431 spatial model based ALK and the design based spatial ALK (haul based) gave similar estimates as DATRAS  
432 estimator for relative abundance at age but the spatial ALK estimators gained better precision.

433 The spatial ALK model based estimator appears to be a useful tool to detect significant differences  
434 between ALKs over large areas, although estimation of the uncertainty in the ALK from the joint precision  
435 matrix is problematic. Including the uncertainty of the ALK in the model requires an approximation of the  
436 joint distribution of the regression coefficient and the spatial effect, but this approximation is only as good as  
437 the quality of the data in a given year and quarter. For instance, the approximation of the ALK can predict  
438 juvenile ages given longer lengths, which goes against the natural biology. This occurs presumably because  
439 the approximation fails to account for the negative correlation structures between ages. So the uncertainty  
440 in the relative abundance was, therefore, calculated using bootstrapping as done by Berg and Kristensen  
441 (2012); Berg et al. (2014). In future, the model might be expanded to include the probability of recording  
442 inaccurate age-at-length data, so that uncertainty in the ALK could be estimated using the joint precision  
443 matrix. The model might also be expanded to include covariates such as trawl hauls to capture any haul  
444 variation, for example a trawl haul may "hit" a school of fish of a certain age.

445 With regards to how many otoliths to sample per length group, the evidence is clear that .....

446

447 **discuss DATRAS and Haul based ALK and recommended optimum sampling level of**  
448 **otoliths per length group**

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523 **Supplemental Materials: Optimizing sampling effort of the North**  
 524 **Sea International Bottom Trawl Survey.**

525 **S1 Areas fished by different countries in the North Sea IBTS**

526 Typically, two different countries fish each rectangle so that at least two trawl hauls are made per rectangle,  
 527 but intensified sampling is carried out in the following areas: at least 3 hauls per rectangle are taken in  
 528 statistical rectangles 31F1, 31F2, 32F1, 33F4, 34F2, 34F3, 34F4, 35F3, 35F4; while six or more hauls per  
 529 rectangle are taken in statistical rectangles 30F1, 32F2, 32F3, 33F2, 33F3 (ICES 1999). The Skagerrak  
 530 and Kattegat is fished solely by Sweden, who sample more than once in every rectangle while the west of  
 531 Shetland (in Q1 and Q3) and inshore areas (Q3) is fished solely by Scotland. The edge of the Norwegian  
 532 Trench is fished solely by Norway, but inshore areas near Denmark is fished by Denmark. The southern  
 533 North Sea is fished by Denmark, Germany and England. France, typically, is the only country that surveys  
 534 the western English Channel. Areas are surveyed by a single country because of the large proportion of  
 535 untrawalable area (and subsequent gear damage issues experienced by other nations) for efficient logistical  
 536 purposes. Table S1.1 gives the countries and research vessels participating the North Sea IBTS.

Table S1.1: Survey countries, vessel name, and period research vessels participating in first quarter (Q1) and third quarter (Q3) during 1997-2017.

Country	First Quarter (Q1)		Third Quarter (Q3)	
	Vessel name	Period	Vessel name	Period
Denmark	Dana	January-February	Dana	July-August
France	Thalassa II	January-February	-	-
Germany	Walther Herwig III	January-February	Walther Herwig III	July-August
Netherlands	Tridens 2	January-February	-	-
Norway	G.O. Sars	January-February	Johan Hjort	July
UK England	-	-	Endeavour	August-September
UK Scotland	Scotia III	January-February	Scotia III	July-August
Sweden	Dana	January-February	Dana	August

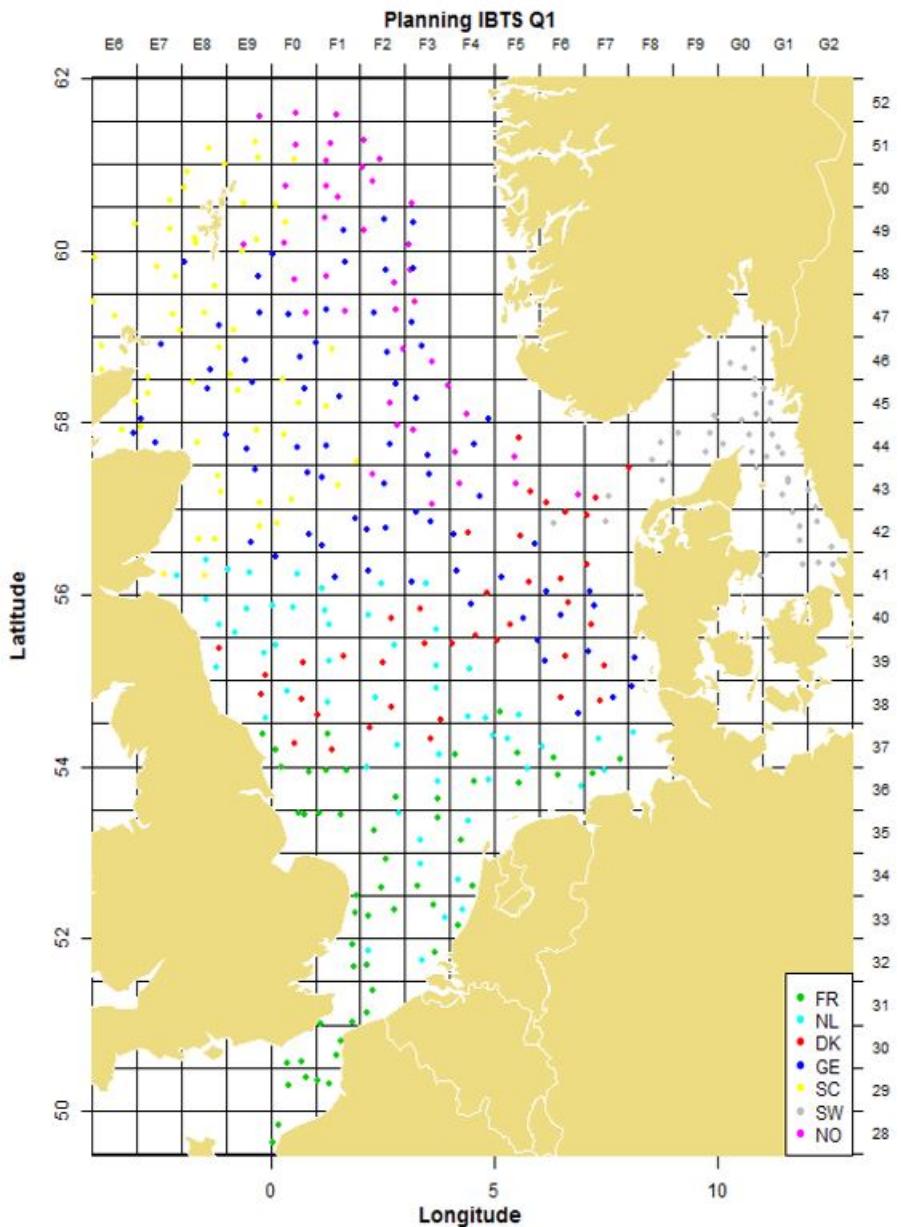


Figure S1: North Sea IBTS 2018 sampling program for nations. Seven nations carry out sampling: France (FR), Netherlands (NL), Denmark (DN), Germany (GE), Scotland (SC), Sweden (SW) and Norway (NO).

538

## S2 Otolith sampling per fish species

- 539 From 1991-2017, most countries conducted quota sampling of otoliths per length group in a RFA. But from  
 540 2013 Norway has been sampling one otolith per length class from each trawl haul (to 0.1cm below for shellfish,

541 to 0.5cm below for herring and sprat and to 1cm below for all other species). From the first quarter in 2018  
 542 all countries are required to sample one otolith per length class per trawl haul. Table S2.1 gives the minimum  
 543 sampling levels of otoliths for the target species. However, for the smallest size groups, that presumably  
 544 contain only one age group, the number of otoliths per length class may be reduced, and more otoliths per  
 length are required for the larger length classes.

Table S2.1: Minimum sampling levels of otoliths by species for RFA or per trawl haul.

Period	Species	Minimum sampling levels of otoliths per length class
<b>1991-2017</b>		
		<b>Number of otoliths per length class in a RFA</b>
	herring	8 otoliths per $\frac{1}{2}$ cm group
	sprat	16 otoliths per $\frac{1}{2}$ cm length class 8.0 – 11.0 cm
		12 otoliths per $\frac{1}{2}$ cm length class $\geq$ 11.0 cm
	mackerel	8 otoliths per $\frac{1}{2}$ cm length class
	cod	8 otoliths per 1 cm length class
	haddock	8 otoliths per 1 cm length class
	whiting	8 otoliths per 1 cm length class
	Norway pout	8 otoliths per 1 cm length class
	saithe	8 otoliths per 1 cm length class
	All target species	From 2013 Norway and Scotland, and Netherlands from 2016 have been sampling 1 otolith per length class from each trawl haul (to 0.1cm below for shellfish, to 0.5cm below for herring and sprat, and to 1cm below for all other species).
<b>2018</b>		
		<b>Number of otoliths per length class per trawl haul</b>
	herring	1 otolith per $\frac{1}{2}$ cm group
	sprat	1 otolith per $\frac{1}{2}$ cm length class 8.0 – 11.0 cm
		1 otolith per $\frac{1}{2}$ cm length class $\geq$ 11.0 cm
	mackerel	1 otolith per 1 cm length class
	cod	1 otolith per 1 cm length class
	haddock	2 otoliths per 5 cm length class 11 – 15, 16 – 20, 21 – 25, 26 – 30 cm
	Norway pout	2 otoliths per 5 cm length class 5 – 10, 11 – 15 cm 2 otoliths per 1 cm length class > 15 cm
	saithe	1 otolith per 1 cm length class
	plaice	1 otolith per 1 cm length class

### S3 Weightings of Statistical Rectangles

547 The weightings of the some statistical rectangles are allotted to species such as sprat, saithe and herring by  
 548 depth strata between 10m -200m and for RFA 8 and 9 water depths between 10m-250m. Table S3.1 gives  
 549 these weights, which are used in the analysis of the saithe data (ICES, 2013a).

Table S3.1: Weights used for *Pollachius virens* in equation (2.3).

StatRec	Weight								
31F1	0.6	38F0	1	41F7	1	44F3	1	48E7	1
31F2	0.8	38F1	1	41F8	0.1	44F4	1	48E8	0.9
31F3	0.05	38F2	1	41G0	0.2	44F5	0.9	48E9	1
32F1	0.8	38F3	1	41G1	0.97	44F8	0.25	48F0	1
32F2	1	38F4	1	41G2	0.53	44F9	0.8	48F1	1
32F3	0.8	38F5	1	42E7	0.4	44G0	0.94	48F2	1
32F4	0.01	38F6	1	42E8	1	44G1	0.6	48F3	0.5
33F1	0.3	38F7	1	42E9	1	45E6	0.4	48G0	0.02
33F2	1	38F8	0.3	42F0	1	45E7	1	49E6	0.8
33F3	1	39E8	0.5	42F1	1	45E8	1	49E7	1
33F4	0.4	39E9	1	42F2	1	45E9	1	49E8	0.4
34F1	0.4	39F0	1	42F3	1	45F0	1	49E9	1
34F2	1	39F1	1	42F4	1	45F1	1	49F0	1
34F3	1	39F2	1	42F5	1	45F2	1	49F1	1
34F4	0.6	39F3	1	42F6	1	45F3	1	49F2	1
35F0	0.8	39F4	1	42F7	1	45F4	0.6	49F3	0.5
35F1	1	39F5	1	42F8	0.2	45F8	0.3	50E6	0.1
35F2	1	39F6	1	42G0	0.32	45F9	0.02	50E7	0.6
35F3	1	39F7	1	42G1	0.89	45G0	0.24	50E8	0.7
35F4	0.9	39F8	0.4	42G2	0.64	45G1	0.55	50E9	0.9
35F5	0.1	40E7	0.04	43E7	0.03	46E6	0.4	50F0	1
36F0	0.9	40E8	0.8	43E8	0.9	46E7	0.9	50F1	1
36F1	1	40E9	1	43E9	1	46E8	1	50F2	1
36F2	1	40F0	1	43F0	1	46E9	1	50F3	0.2
36F3	1	40F1	1	43F1	1	46F0	1	51E6	0
36F4	1	40F2	1	43F2	1	46F1	1	51E7	0
36F5	1	40F3	1	43F3	1	46F2	1	51E8	0.5
36F6	0.9	40F4	1	43F4	1	46F3	0.8	51E9	1
36F7	0.4	40F5	1	43F5	1	46F9	0.3	51F0	1
36F8	0.5	40F6	1	43F6	1	46G0	0.52	51F1	1
37E9	0.2	40F7	1	43F7	1	46G1	0.2	51F2	0.5
37F0	1	40F8	0.1	43F8	0.94	47E6	0.8	51F3	0
37F1	1	41E6	0.03	43F9	0.41	47E7	0.6	52E6	0
37F2	1	41E7	0.8	43G0	0.21	47E8	1	52E7	0
37F3	1	41E8	1	43G1	0.7	47E9	1	52E8	0
37F4	1	41E9	1	43G2	0.3	47F0	1	52E9	0.1
37F5	1	41F0	1	44E6	0.5	47F1	1	52F0	0.2
37F6	1	41F1	1	44E7	0.5	47F2	1	52F1	0.5
37F7	1	41F2	1	44E8	0.9	47F3	0.6	52F2	0.1
37F8	0.8	41F3	1	44E9	1	47F9	0.01		
38E8	0.2	41F4	1	44F0	1	47G0	0.3		
38E9	0.9	41F5	1	44F1	1	47G1	0.02		
52F3	0	41F6	1	44F2	1	48E6	1		

551

## S4 Imputation for missing age samples

552 Catches of the target species are sampled (or subsampled with a size of 100 if the catches are too large) for  
 553 length, and otoliths are typically collected from a subsample of the individuals sampled for length in the  
 554 RFA, or per trawl haul as in the case of Norway for determining age of the fish (see Table ??). In the case of  
 555 Norway where all trawl hauls are sampled for otoliths, missing age samples would still occur for the following  
 556 two reasons: 1) the fish is below minimum length for otolith sampling (unreadable otoliths) or 2) otoliths are  
 557 misplaced. Abundance indices by age group are estimated based on three age-length-keys (ALK): 1) Area  
 558 based ALK estimator, 2) Haul based ALK estimator, and 3) Model based ALK estimator.

559 ***S4.1 Area based ALK Borrowing Approach***

560 The ALK proposed in DATRAS (ICES, 2013a), which is an aggregation of individual samples from a haul  
 561 combined over a round fish area (RFA), and missing age samples are imputed as follows:

- 562 1. If there is no ALK for a length in the CPUE dataframe, age information is obtained accordingly
- 563     • If length class (CPUE) < minimum length class (ALK), then age=1 for the first quarter and
  - 564         age=0 for all other quarters
  - 565     • If minimum length class (ALK) < length class (CPUE) < maximum length (ALK) then age is
  - 566         set to the nearest ALK. If the ALK file contains values at equal distance, a mean is taken from
  - 567         both values.

- 568 2. If length class (CPUE) > maximum length (ALK) age is set to the plus group.

569 The underlying assumption of this ALK approach is that age-length compositions are homogeneous within  
 570 the RFA.

571 ***S4.2 Haul based ALK Borrowing Approach***

572 The second is an a haul dependent ALK estimator which we propose, and is denoted by  $\text{ALK}^H$ . Since the age-  
 573 length composition of fish may be space-variant, that is, there may be variation in age-length compositions  
 574 between trawl stations within a RFA, the spatial dependence of the age-length composition must be accounted

575 for to produce reliable estimates of the CPUE per age estimates. If this spatial dependence is ignored not  
 576 only will estimates of abundance be biased but the impact on the variance may be substantial. So for each  
 577 trawl haul an ALK<sup>H</sup> is produced. To replace missing values for the age distribution in a length class the  
 578 method of "borrowing" ages from the same length from neighbouring trawl hauls of maximum radius of two  
 579 statistical rectangles within the RFA. If there are no observed ages in the length class from the neighbour  
 580 hauls in the RFA, missing values for the age distribution are replaced following the procedure outlined in  
 581 the DATRAS ALK procedure (S4.1) in step 1.

## 582 S5 Nonparametric Bootstrap Sampling procedure

583 Nonparametric bootstrapping is attractive as it makes no distributional assumption, and is suitable for  
 584 estimating confidence interval for indices of abundance. Suppose we have a vector  $\mathbf{x}$  of  $m$  independent obser-  
 585 vations, and we are interested in estimating a parameter  $\hat{\theta}(\mathbf{x})$  and its variance. The general nonparametric  
 586 bootstrap algorithm is as follows:

- 587 1. Sample  $m$  observations randomly with replacement from  $\mathbf{x}$  to obtain a bootstrap data set, denoted by  
 588  $\mathbf{x}^*$ .
- 589 2. Calculate the bootstrap version of the statistic of interest,  $\theta^* = \hat{\theta}(\mathbf{x}^*)$ .
- 590 3. Repeat steps 1 and 2 a large number of times, say  $B$ , to obtain an estimate of the bootstrap distribution
- 591 4. calculate the average of the bootstrapped statistics,  $\sum_{b=1}^B \theta^*_{(b)} / B$
- 592 5. compute the variance of the estimator  $\hat{\theta}(\mathbf{x})$  through the variance of the set  $\theta^*_{(b)}$ ,  $b = 1, 2, \dots, B$ , given  
 593 by

$$\frac{\sum_{b=1}^B (\theta^*_{(b)} - \theta^*_{(.)})^2}{(B - 1)} \quad (\text{S5.1})$$

594 where  $\theta^*_{(.)} = \sum_{b=1}^B \theta^*_{(b)} / B$ .

595 The Bias-Corrected method assumes that there is a montonic increasing function and the estimator  $\hat{\lambda}_a$  has  
 596 a monotonic increasing function  $f()$  such that the transformed values  $f(\hat{\lambda}_a)$  are normally distributed with  
 597 mean  $f(\lambda_a) - z_0$  and standard deviation one, where  $z_0$  are the standard normal limits (Puth et al., 2015;

<sup>598</sup> Karlsson, 2009). Now, let  $P^* \left( \hat{\theta}(\mathbf{x}^*) \leq \hat{\theta}(\mathbf{x}) \right)$  denote the proportion of  $\hat{\theta}(\mathbf{x}^*)$ 's in the bootstrap sample that  
<sup>599</sup> have a value lower than the value of the parameter estimate  $\hat{\theta}(\mathbf{x})$ , and let  $z_0$  be defined as

$$z_0 = \Phi^{-1} \left\{ P^* \left( \hat{\theta}(\mathbf{x}^*) \leq \hat{\theta}(\mathbf{x}) \right) \right\}, \quad (\text{S5.2})$$

<sup>600</sup> where  $\Phi$  denotes the cumulative distribution function of the standard normal distribution. Also let  $\tilde{\alpha}_1$  and  
<sup>601</sup>  $\tilde{\alpha}_2$  be defined as

$$\tilde{\alpha}_1 = \Phi(2z_0 + z_\alpha), \quad (\text{S5.3})$$

<sup>602</sup> and

$$\tilde{\alpha}_2 = \Phi(2z_0 + z_{1-\alpha}), \quad (\text{S5.4})$$

<sup>603</sup> respectively. A  $100(1 - 2\alpha)$  percent confidence interval for  $\theta(\mathbf{x})$  is then given by

$$\hat{\theta}_{(\tilde{\alpha}_1(B+1))}(\mathbf{x}^*) \leq \hat{\theta}(\mathbf{x}) \leq \hat{\theta}_{((\tilde{\alpha}_2-1)(B+1))}(\mathbf{x}^*). \quad (\text{S5.5})$$

## <sup>604</sup> S6 IBTS data set for cod and saithe

Table S6.1: Age and length data for saithe and cod . Data collected in the first quarter (Q1) has no age 0 group but this is collected in quarter 3 (Q3) surveys.

Species	Years									
	2014		2015		2016		2017		2018	
	Q1	Q3	Q1	Q3	Q1	Q3	Q1	Q3	Q1	Q3
Trawls			387	367	370	389	385	345	372	
Mean time of hauls (in minutes)		28.55	23.44	28.40	22.47	29.02	29.37	29.26		
<b>cod</b>										
Age range (in years)			0 — 8	1 — 10	0 — 10	1 — 11	0 — 11	1 — 12		
Length range (in cm)			7 — 111	11 — 109	5 — 110	7 — 115	6 — 112	11 — 114		
Total otoliths			2113		2046	1804	2501	2230	1600	
<b>saithe</b>										
Age range (in years)			1 — 16	0 — 19	1 — 17	0 — 19	1 — 15	0 — 11	1 — 12	
Length range (in cm)			13 — 110	NA	16 — 107	12 — 115	15 — 108	6 — 112	11 — 114	
Total otoliths			600	1526	581	1631	1083	2163	822	

Table S6.2: Summary of North Sea IBTS cod and saithe (in parentheses) data for third quarter in year 2017 and first quarter in year 2018.

Data	Description
Trawl hauls	Total of 372 trawl hauls in year 2018 Q1; 238 (83) with length and 230 (81) with age information. In 2017 Q3, a total of 345 trawl hauls were taken; 238 (129) with length and 237 (128) with age information.
Age	The age varied between 1 (1) to 12 (18) years in year 2018 Q1 and 0 (0) to 11 (17) in year 2017 Q3.
Length	Length information in cm varied between 11 (13) to 114 (106) cm in year 2018 Q1 and between 6 (10) to 112 (109) cm in year 2017 Q3.
Date	Date of catch in year 2018 Q1 varied between 15.01.2018 to 28.02.2018 and in year 2017 Q3 between 18.07.2017 to 31.08.2018
Duration of haul	Mean duration is 29.37 minutes, with 30 minutes as 83% coverage interval in year 2018 Q1; and in 2017 Q3 with mean duration of 29.26 minutes with 30 minutes as 88% coverage .
Total count for all ages	1600 (822) in year 2018 Q1 and 2330 (2163) 2017 Q3.

605

## S7 Analysis of real data

### 606 *S7.1 Proportion of age given length of cod*

607 Figure S2 shows that age-length structure of cod varies over several years: the first quarter (Q1) in years  
 608 2014-2017. This indicates that the assumption of constant ALK over large areas proposed in DATRAS is  
 609 invalid. The plots in Figure S3, which shows the proportion of age given different lengths of cod in 2018 Q1  
 610 further discredit this assumption as a fish of length 30 cm can be of age 2 (green triangle ▲) or age 3 (blue  
 611 circle ●) in the northern North Sea; see Figure S3 (a).

Table S6.3: Age and length data for saithe in year 2017 Q3 and cod in year 2018 Q1. Data collected in the first quarter (Q1) has no age 0 group but this is collected in quarter 3 (Q3) surveys.

Age	saithe in year 2017 Q3						cod in year 2018 Q1					
	Numbers aged	L <sub>min</sub>	L <sub>max</sub>	L <sub>mean</sub>	Sd(L)	CV(L)	Numbers aged	L <sub>min</sub>	L <sub>max</sub>	L <sub>mean</sub>	Sd(L)	CV(L)
0	21	10	14	12.143	1.195	0.098						
1	26	23	32	27.654	2.297	0.083	149	11	30	18.407	3.693	0.201
2	65	27	47	38.077	3.337	0.088	814	17	53	33.180	6.290	0.190
3	531	34	56	42.041	3.785	0.090	222	30	81	50.654	10.185	0.202
4	767	35	73	48.261	4.521	0.094	189	43	92	64.479	8.399	0.130
5	334	46	78	56.876	6.105	0.107	102	54	96	76.627	9.594	0.125
6	159	50	91	66.025	7.137	0.108	84	54	100	80.871	9.456	0.117
7	127	57	93	73.976	7.163	0.097	28	58	110	84.086	11.308	0.134
8	69	63	94	77.725	7.010	0.090	4	80	94	85.500	6.455	0.075
9	18	64	97	85.333	7.499	0.088	5	66	96	83.400	11.305	0.061
10	22	84	107	92.364	5.803	0.063	1	87	87	-	-	-
11	5	79	102	92.800	9.311	0.100	1	106	106	-	-	-
12	7	91	109	99.429	6.554	0.066						
13	5	94	104	98.800	4.550	0.046						
14	1	108	108	108	-	-						
15	1	105	105	108	-	-						
16	4	93	106	100.250	5.439	0.054						
17	1	109	109	109	-	-						

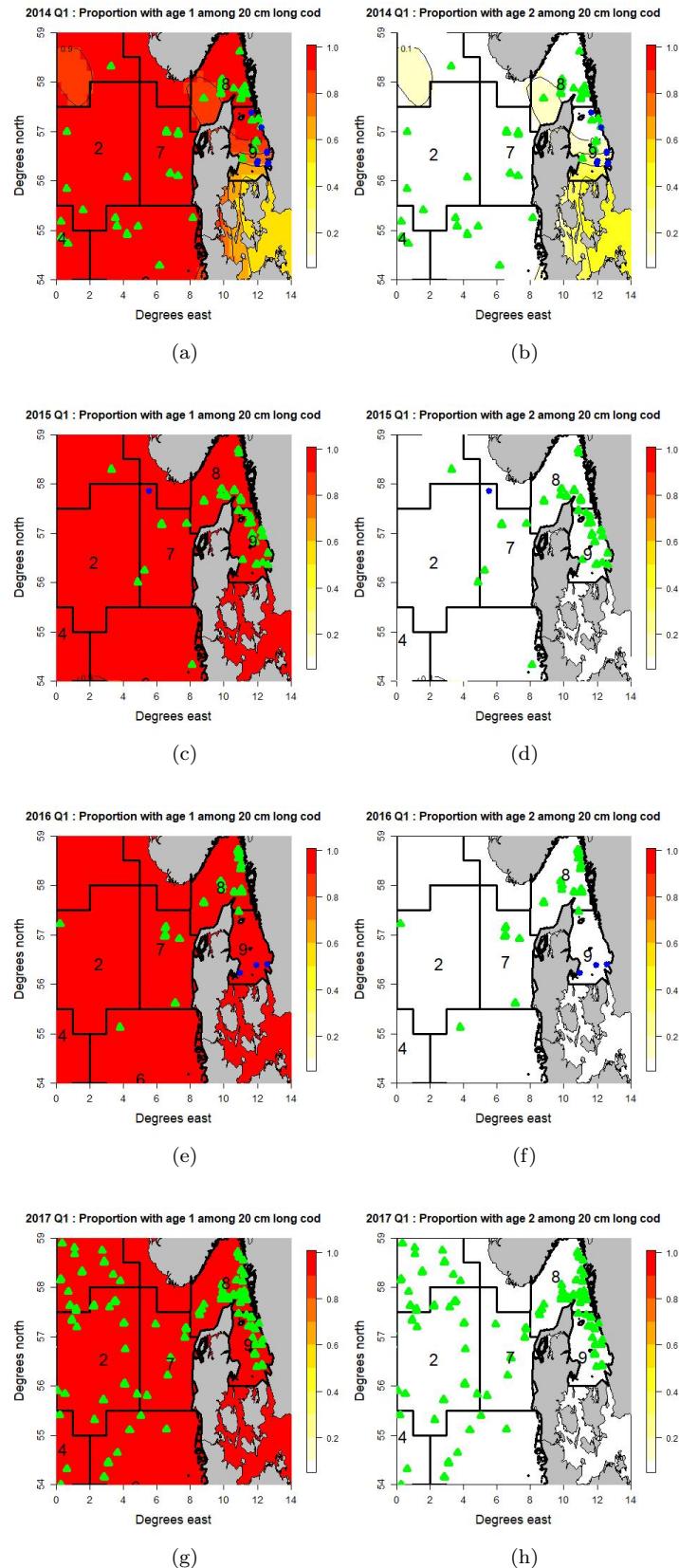
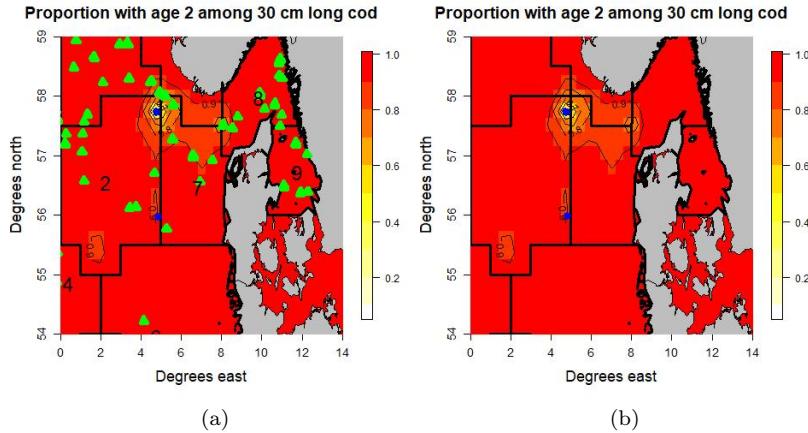
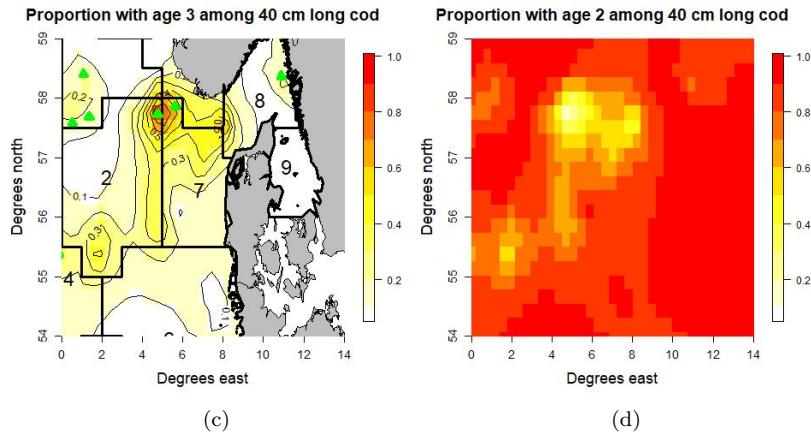


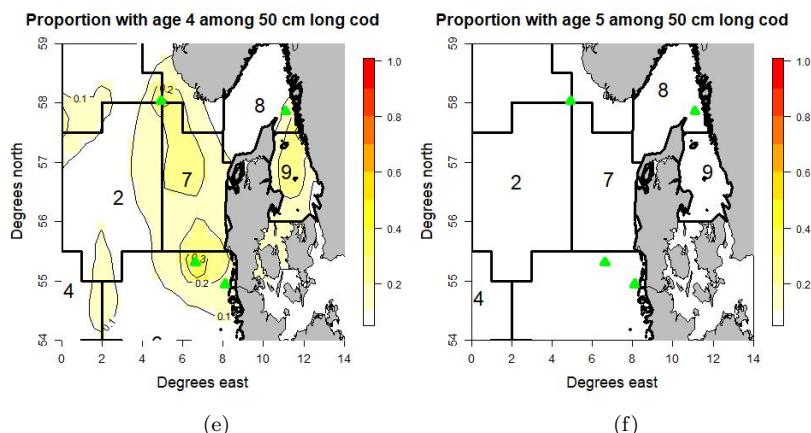
Figure S2: Estimated proportion of age 1 and 2 year-old cod of length 20 cm in the North Sea in the first quarter (Q1) in years 2014-2017. The green triangles ( $\blacktriangle$ ) are observations of 1-year olds and the blue circles ( $\bullet$ ) are observations of 2-year olds in the length interval 19 cm to 21 cm.



Estimated proportion of age 2 and 3 year-old cod of length 30 cm in the North Sea, where ▲ are observations of 2-year olds and ● are 3-year olds in the length interval 29 cm to 31 cm in 2018 Q1.



Estimated proportion of age 3 and 4 year-old cod of length 40 cm in North Sea, where ▲ are 3-year olds in the length interval 39 cm to 41 cm in 2018 Q1.



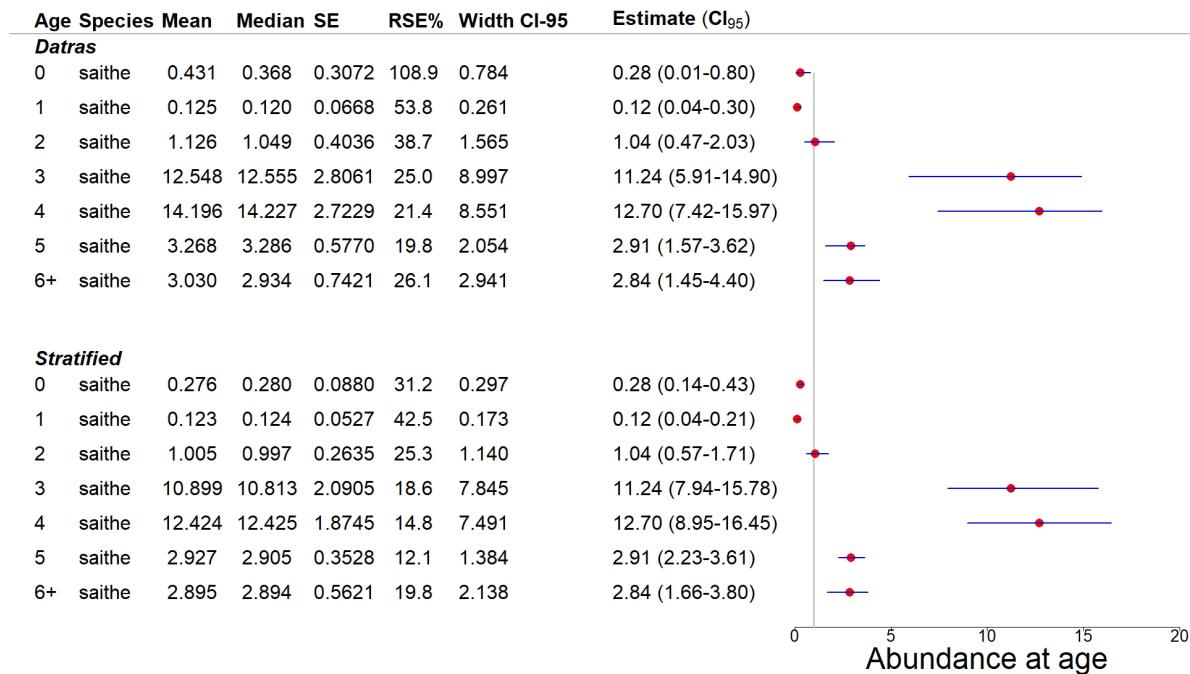
Estimated proportion of age 4 and 5 year-old cod of length 50 cm in Skagerak, where ▲ are 4-year olds in the length interval 49 cm to 51 cm in 2018 Q1.

Figure S3: Proportion of age given lengths 20 cm, 40 cm or 50 cm of cod in 2018 Q1 in the North Sea.

## 612 *S7.2 Estimates from DATRAS and Stratified bootstrap procedures*

- 613 The bootstrap procedure proposed by DATRAS lacks the potential to account for the spatial variation in the data.
- 614 The DATRAS bootstrap procedure ignores the fine-scale stratification in the sampling process, leading to an overesti-
- 615 mation of the uncertainty; and ignores the age-length data collected at the haul level, resulting in an underestimation
- 616 of the uncertainty. The results (FigureS4) shows an overestimation of the uncertainty for all age groups, suggesting
- 617 that it is relevant to account for the fine-scale stratification when resampling the data.

618



(a) Datras and Stratified bootstrap Procedures

Figure S4: Comparison of estimated confidence intervals (CI<sub>95</sub>) from DATRAS and stratified bootstrap procedures. The bias-corrected bootstrap method is used to give estimates for saithe in year 2017 Q3. Estimated indices of abundance (Estimate), and its standard error (SE), bootstrap mean (Mean), Median estimates, percentage relative standard error (RSE %) and width of confidence intervals are also given.

## 619 *S7.3 Estimates from different sampling procedures*

Table S7.1: Comparison of estimated uncertainty from proposed sampling procedures with estimated uncertainty from original data

age	mCPUE	SE.resampled	SE	RSE.resampled	RSE
<b>1 cm</b>					
1	0.86	0.122	0.119	14.179	13.895
2	6.50	1.002	0.902	15.426	13.897
3	1.57	0.180	0.140	11.518	8.934
4	1.58	0.294	0.304	18.611	19.223
5	0.74	0.122	0.113	16.560	15.269
6+	1.07	0.275	0.289	25.623	26.968
<b>2 cm</b>					
1	0.86	0.126	0.119	14.697	13.895
2	6.50	1.048	0.902	16.149	13.897
3	1.57	0.161	0.140	10.239	8.934
4	1.58	0.299	0.304	18.769	19.223
5	0.74	0.141	0.113	19.257	15.269
6+	1.07	0.263	0.289	24.643	26.968
<b>3 cm</b>					
1	0.86	0.133	0.119	15.478	13.895
2	6.50	1.059	0.902	16.311	13.897
3	1.57	0.192	0.140	12.279	8.934
4	1.58	0.298	0.304	18.714	19.223
5	0.74	0.132	0.113	17.767	15.269
6+	1.07	0.311	0.289	29.178	26.968
<b>4 cm</b>					
1	0.86	0.132	0.119	15.384	13.895
2	6.50	0.938	0.902	14.476	13.897
3	1.57	0.187	0.140	11.844	8.934
4	1.58	0.349	0.304	21.842	19.223
5	0.74	0.159	0.113	21.702	15.269
6+	1.07	0.280	0.289	26.335	26.968
<b>5 cm</b>					
1	0.86	0.129	0.119	15.126	13.895
2	6.50	0.995	0.902	15.338	13.897
3	1.57	0.163	0.140	10.381	8.934
4	1.58	0.320	0.304	20.095	19.223
5	0.74	0.208	0.113	27.857	15.269
6+	1.07	0.289	0.289	27.193	26.968

Table S7.2: Estimated abundance ( $\hat{\lambda}_a$ ) for cod from the original data in year 2018 Q1 compared with estimated abundance ( $\hat{\lambda}_a^*$ ) from the reduced data for different sampling procedures of length groups ( $l$ ). The median estimated indices, estimated standard error of  $\hat{\lambda}_a^*$  ( $SE(\hat{\lambda}_a^*)$ ), the percentage relative standard error (RSE%) and mean square error (MSE) are also given.

$l$	$\hat{\lambda}_a$	$\hat{\lambda}_a^*$	(median) $\hat{\lambda}_a^*$	$SE(\hat{\lambda}_a^*)$	RSE%	MSE	CI-95 ( $\hat{\lambda}_a^*$ )
<b>age 1</b>							
1 cm	0.863	0.863	0.863	0.00910	0.000	0.0000	(0.86, 0.86)
2 cm	0.863	0.865	0.867	0.00939	1.085	0.00009	(0.84, 0.88)
3 cm	0.863	0.856	0.861	0.02803	3.274	0.00083	(0.80, 0.90)
4 cm	0.863	0.857	0.859	0.02791	3.257	0.00082	(0.81, 0.91)
5 cm	0.863	0.845	0.847	0.03694	4.370	0.00044	(0.81, 0.92)
6 cm	0.863	0.860	0.861	0.03514	4.088	0.00125	(0.79, 0.93)
7 cm	0.863	0.854	0.853	0.03803	4.454	0.00153	(0.80, 0.93)
<b>age 2</b>							
1 cm	6.496	6.496	6.491	0.00552	0.085	0.00003	(6.49, 6.50)
2 cm	6.496	6.486	6.486	0.02073	0.320	0.00053	(6.46, 6.53)
3 cm	6.496	6.504	6.506	0.05414	0.832	0.00299	(6.38, 6.60)
4 cm	6.496	6.498	6.500	0.05351	0.823	0.00287	(6.38, 6.60)
5 cm	6.496	6.514	6.517	0.07322	1.124	0.00567	(6.32, 6.65)
6 cm	6.496	6.503	6.507	0.07862	1.209	0.00623	(6.30, 6.65)
7 cm	6.496	6.486	6.491	0.09414	1.452	0.00897	(6.31, 6.64)
<b>age 3</b>							
1 cm	1.571	1.572	1.571	0.04499	2.861	0.00203	(1.49, 1.66)
2 cm	1.571	1.578	1.572	0.07268	4.605	0.00533	(1.45, 1.74)
3 cm	1.571	1.557	1.554	0.09893	6.353	0.00999	(1.41, 1.77)
4 cm	1.571	1.640	1.632	0.10687	6.517	0.00161	(1.38, 1.86)
5 cm	1.571	1.634	1.632	0.12411	7.593	0.01940	(1.31, 1.87)
6 cm	1.571	1.649	1.643	0.13337	8.086	0.02390	(1.31, 1.93)
7 cm	1.571	1.748	1.740	0.14741	8.432	0.05300	(1.28, 2.06)
<b>age 4</b>							
1 cm	1.584	1.581	1.581	0.06670	4.219	0.00446	(1.45, 1.71)
2 cm	1.584	1.597	1.596	0.11810	7.397	0.01410	(1.35, 1.83)
3 cm	1.584	1.613	1.619	0.14715	9.123	0.02250	(1.25, 1.89)
4 cm	1.584	1.563	1.568	0.14581	9.326	0.02170	(1.30, 1.84)
5 cm	1.584	1.586	1.581	0.15534	9.794	0.02410	(1.30, 1.90)
6 cm	1.584	1.596	1.595	0.16125	10.104	0.02620	(1.26, 1.93)
7 cm	1.584	1.502	1.500	0.16988	11.311	0.03550	(1.33, 1.83)
<b>age 5</b>							
1 cm	0.742	0.746	0.751	0.06817	9.1440	0.00466	(0.61, 0.87)
2 cm	0.742	0.738	0.729	0.10457	14.170	0.01100	(0.58, 0.96)
3 cm	0.742	0.765	0.756	0.11506	15.040	0.01380	(0.53, 1.00)
4 cm	0.742	0.764	0.757	0.11686	15.299	0.01410	(0.54, 1.00)
5 cm	0.742	0.801	0.787	0.12230	15.270	0.01840	(0.55, 1.07)
6 cm	0.742	0.779	0.765	0.11546	14.817	0.01470	(0.58, 1.02)
7 cm	0.742	0.828	0.814	0.12779	15.435	0.02360	(0.54, 1.11)
<b>age 6+</b>							
1 cm	1.074	1.073	1.065	0.06707	6.251	0.00450	(0.95, 1.20)
2 cm	1.074	1.067	1.060	0.10236	9.595	0.01050	(0.90, 1.28)
3 cm	1.074	1.036	1.028	0.11648	11.247	0.01510	(0.90, 1.26)
4 cm	1.074	1.009	1.003	0.11837	11.735	0.01830	(0.90, 1.25)
5 cm	1.074	0.950	0.944	0.11578	12.184	0.02880	(0.96, 1.19)
6 cm	1.074	0.944	0.930	0.11745	12.446	0.03090	(0.95, 1.20)
7 cm	1.074	0.913	0.905	0.11462	12.553	0.03910	(1.00, 1.14)

Table S7.3: Estimated abundance ( $\hat{\lambda}_a$ ) for saithe from the original data in year 2017 Q3 compared with estimated abundance ( $\hat{\lambda}_a^*$ ) from the reduced data for different sampling procedures of length groups ( $l$ ).

$l$	$\hat{\lambda}_a$	$\hat{\lambda}_a^*$	(median) $\hat{\lambda}_a^*$	SE( $\hat{\lambda}_a^*$ )	RSE%	MSE	CI-95 ( $\hat{\lambda}_a^*$ )
<b>age 0</b>							
1 cm	0.282	0.282	0.282	0.00000	0.00	0.00000	(0.28, 0.28)
2 cm	0.282	0.282	0.282	0.00000	0.00	0.00000	(0.28, 0.28)
3 cm	0.282	0.289	0.295	0.00626	2.17	0.00008	(0.28, 0.29)
4 cm	0.282	0.290	0.295	0.00592	2.04	0.00010	(0.28, 0.29)
5 cm	0.282	0.282	0.282	0.00000	0.00	0.00000	(0.28, 0.28)
6 cm	0.282	0.297	0.295	0.01022	3.44	0.00030	(0.28, 0.31)
7 cm	0.282	0.290	0.295	0.00594	2.05	0.00010	(0.28, 0.29)
<b>age 1</b>							
1 cm	0.123	0.123	0.123	0.00000	0.00	0.00000	(0.12, 0.12)
2 cm	0.123	0.123	0.123	0.00000	0.00	0.00000	(0.12, 0.12)
3 cm	0.123	0.117	0.111	0.00626	5.36	0.00008	(0.11, 0.12)
4 cm	0.123	0.118	0.115	0.00673	5.71	0.00008	(0.11, 0.13)
5 cm	0.123	0.125	0.123	0.00139	1.12	0.000003	(0.12, 0.13)
6 cm	0.123	0.112	0.114	0.01059	9.46	0.00024	(0.11, 0.13)
7 cm	0.123	0.116	0.114	0.00628	5.43	0.00009	(0.11, 0.13)
<b>age 2</b>							
1 cm	0.929	0.930	0.923	0.16851	18.13	0.02840	(0.64, 1.28)
2 cm	0.929	0.916	0.861	0.28468	31.06	0.08120	(0.55, 1.53)
3 cm	0.929	0.966	0.902	0.33158	34.32	0.11000	(0.53, 1.71)
4 cm	0.929	0.955	0.900	0.31885	33.38	0.10200	(0.49, 1.66)
5 cm	0.929	0.992	0.942	0.31609	31.85	0.10400	(0.48, 1.75)
6 cm	0.929	0.966	0.893	0.36374	37.66	0.13400	(0.47, 1.83)
7 cm	0.929	0.989	0.933	0.34996	35.40	0.12600	(0.45, 1.80)
<b>age 3</b>							
1 cm	11.238	11.270	11.249	0.53506	4.75	0.28700	(10.19, 12.30)
2 cm	11.238	11.179	11.187	0.92312	8.26	0.85600	(9.57, 13.11)
3 cm	11.238	11.109	11.082	1.07691	9.69	1.18000	(9.30, 13.27)
4 cm	11.238	11.000	11.009	1.08989	9.91	1.24000	(9.21, 13.15)
5 cm	11.238	10.891	10.871	1.11346	10.22	1.36000	(9.41, 13.03)
6 cm	11.238	10.920	10.905	1.06856	9.79	1.24000	(9.46, 13.04)
7 cm	11.238	10.840	10.839	1.08304	9.99	1.33000	(9.53, 13.05)
<b>age 4</b>							
1 cm	12.789	12.757	12.754	0.52780	4.14	0.28000	(11.79, 13.73)
2 cm	12.789	12.816	12.827	0.93741	7.31	0.87900	(10.76, 14.60)
3 cm	12.789	12.863	12.856	1.06438	8.27	1.14000	(10.68, 14.93)
4 cm	12.789	12.950	12.954	1.09842	8.48	1.23000	(10.56, 15.14)
5 cm	12.789	13.096	13.087	1.12912	8.62	1.37000	(10.51, 15.31)
6 cm	12.789	13.061	13.051	1.08819	8.33	1.26000	(10.42, 15.11)
7 cm	12.789	13.176	13.187	1.09385	8.30	1.35000	(10.33, 15.18)
<b>age 5</b>							
1 cm	2.971	2.971	2.966	0.13399	4.51	0.01800	(2.72, 3.24)
2 cm	2.971	3.048	3.037	0.27486	9.02	0.08150	(2.52, 3.62)
3 cm	2.971	3.000	2.974	0.31856	10.62	0.10200	(2.42, 3.65)
4 cm	2.971	3.038	3.005	0.34723	11.43	0.12500	(2.40, 3.77)
5 cm	2.971	2.971	2.968	0.33433	11.25	0.11200	(2.35, 3.64)
6 cm	2.971	2.980	2.964	0.38418	12.89	0.14800	(2.28, 3.77)
7 cm	2.971	2.940	2.922	0.39677	13.49	0.15800	(2.32, 3.76)
<b>age 6+</b>							
1 cm	2.819	2.818	2.820	0.05409	1.92	0.00293	(2.71, 2.92)
2 cm	2.819	2.787	2.784	0.08700	3.12	0.00860	(2.68, 2.96)
3 cm	2.819	2.808	2.808	0.11451	4.08	0.01320	(2.60, 3.04)
4 cm	2.819	2.800	2.795	0.12424	4.44	0.01580	(2.61, 3.06)
5 cm	2.819	2.793	2.791	0.13520	4.84	0.01890	(2.58, 3.07)
6 cm	2.819	2.814	2.823	0.14353	5.10	0.02060	(2.54, 3.10)
7 cm	2.819	2.800	2.794	0.16239	5.80	0.02670	(2.55, 3.14)