



Carnegie Mellon University
Language Technologies Institute



Introduction of ESPnet, End-to-End Speech Processing Toolkit

Shinji Watanabe

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Pengcheng Guo

Northwestern Polytechnical University

Sathvik Udupa

Indian Institute of Science

MUCS 2021: MUltilingual and Code-Switching ASR Challenges for Low Resource Indian Languages

12-13 August 2021

Overview of today's tutorial

- **5pm to 6pm:** part I presentation by Shinji
 - Introduction of end-to-end ASR and ESPnet
- **6pm to 6:30 pm:** Q&A for part I and break
- **6:30pm to 7pm:** part II presentation by Pengcheng
 - Advanced techniques in ESPnet
- **7pm to 7:15 pm:** part II espnet mucs recipe by Sathvik
 - espnet mucs recipe, and demo
- **7:15pm to 7:30pm:** summary and Q&A by Shinji





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Introduction of ESPnet, End-to-End Speech Processing Toolkit

Part I: Introduction of end-to-end ASR and ESPnet

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MUCS 2021: MUltilingual and Code-Switching ASR Challenges for Low Resource Indian Languages

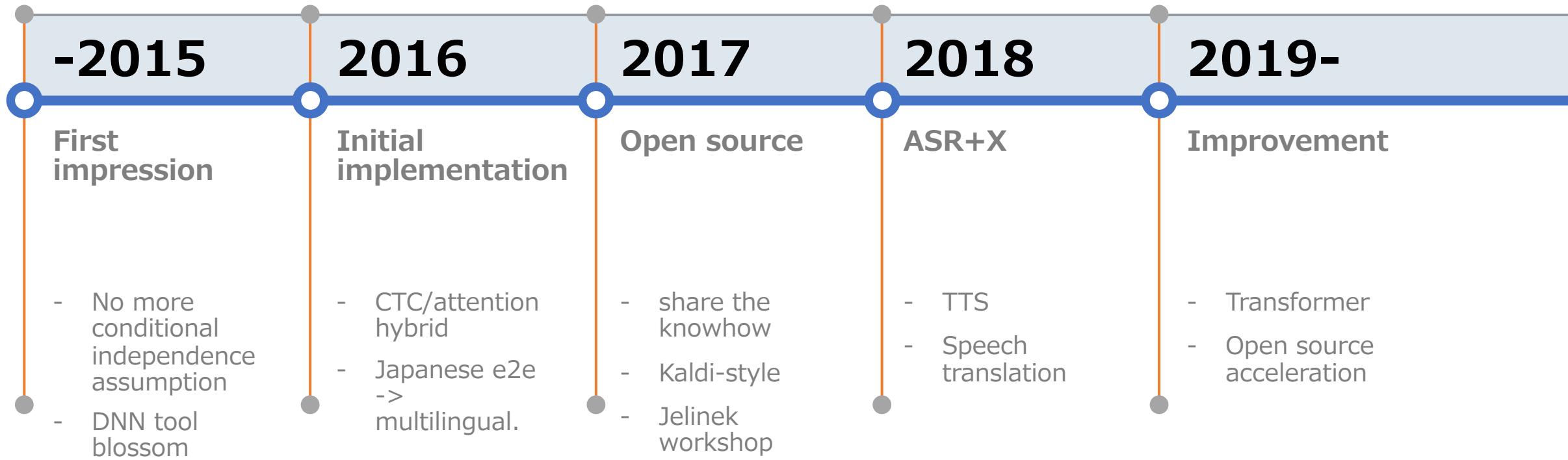
12-13 August 2021

About this presentation

- This is based on my personal experience
- I re-order or re-structure several existing materials based on a chronological order
- I'm assuming people have some end-to-end neural network knowledge

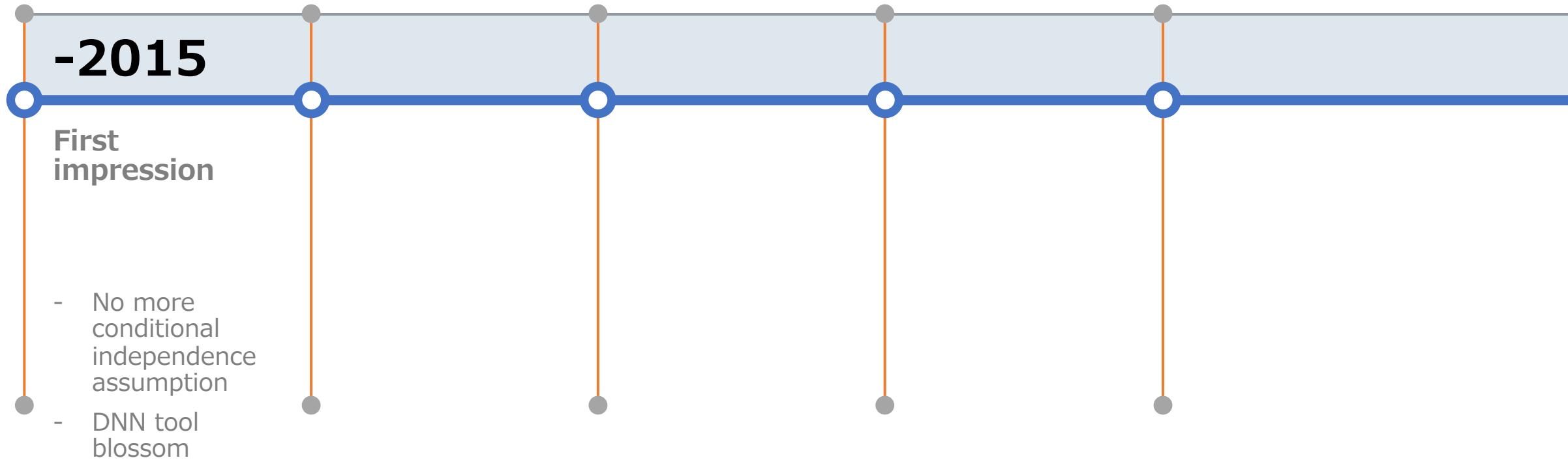
Timeline

Shinji's personal experience for end-to-end speech processing



Timeline

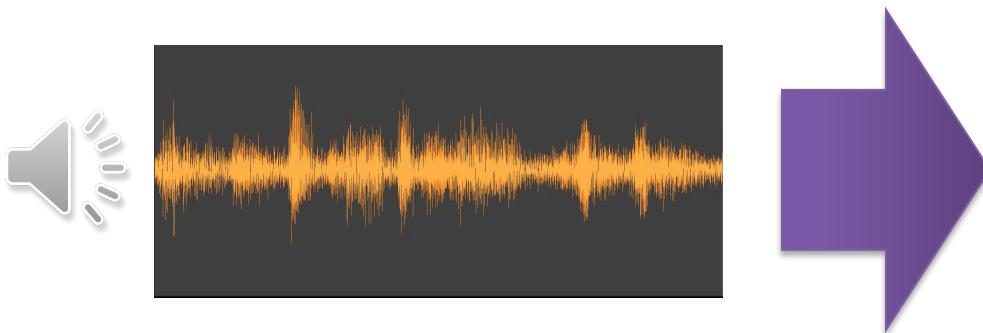
Shinji's personal experience for end-to-end speech processing



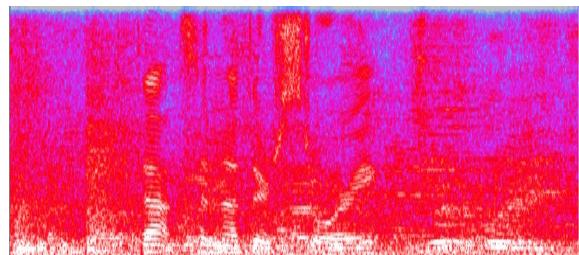
Noisy channel model (1970s-)

Noisy channel model (1970s-)

- Automatic Speech Recognition: Mapping *physical signal sequence* to *linguistic symbol sequence*



$$X = \{x_l \in \mathbb{Z} | l = 1, \dots, L\}$$
$$L = 43263$$



$$X = \{\mathbf{x}_t \in \mathbb{C}^D | t = 1, \dots, T\}$$
$$T = 268$$

"That's another story"

$$W = \{w_n \in \mathcal{V} | n = 1, \dots, N\}$$
$$N = 3$$

Noisy channel model (1970s-)

$$\arg \max_W p(W|X)$$

X : Speech sequence
 W : Text sequence

Noisy channel model (1970s-)

L : Phoneme sequence

$$\begin{aligned}\arg \max_W p(W|X) &= \arg \max_W p(X|W)p(W) \\ &\approx \arg \max_{W,L} p(X|L,W)p(L|W)p(W)\end{aligned}$$

- **Speech recognition**

- $p(X|L)$: Acoustic model (Hidden Markov model)
- $p(L|W)$: Lexicon
- $p(W)$: Language model (n-gram)

Noisy channel model (1970s-)

$$\begin{aligned}\arg \max_W p(W|X) &= \arg \max_W p(X|W)p(W) \\ &\approx \arg \max_{W,L} p(X|L,W)p(L|W)p(W)\end{aligned}$$

- **Speech recognition**

- $p(X|L)$: Acoustic model (Hidden Markov model)
- $p(L|W)$: Lexicon
- $p(W)$: Language model (n-gram)

- Factorization
- Conditional independence (Markov) assumptions

Noisy channel model (1970s-)

$$\arg \max_W p(W|X) = \arg \max_W p(X|W)p(W)$$

- **Machine translation**
 - $p(X|W)$: Translation model
 - $p(W)$: Language model

Noisy channel model (1970s-)

$$\begin{aligned}\arg \max_W p(W|X) &= \arg \max_W p(X|W)p(W) \\ &\approx \arg \max_{W,L} p(X|L,W)p(L|W)p(W)\end{aligned}$$

- **Speech recognition**
 - $p(X|L)$: Acoustic model (Hidden Markov model)
 - $p(L|W)$: Lexicon
 - $p(W)$: Language model (n-gram)
- Continued 40 years

Noisy channel model (1970s-)

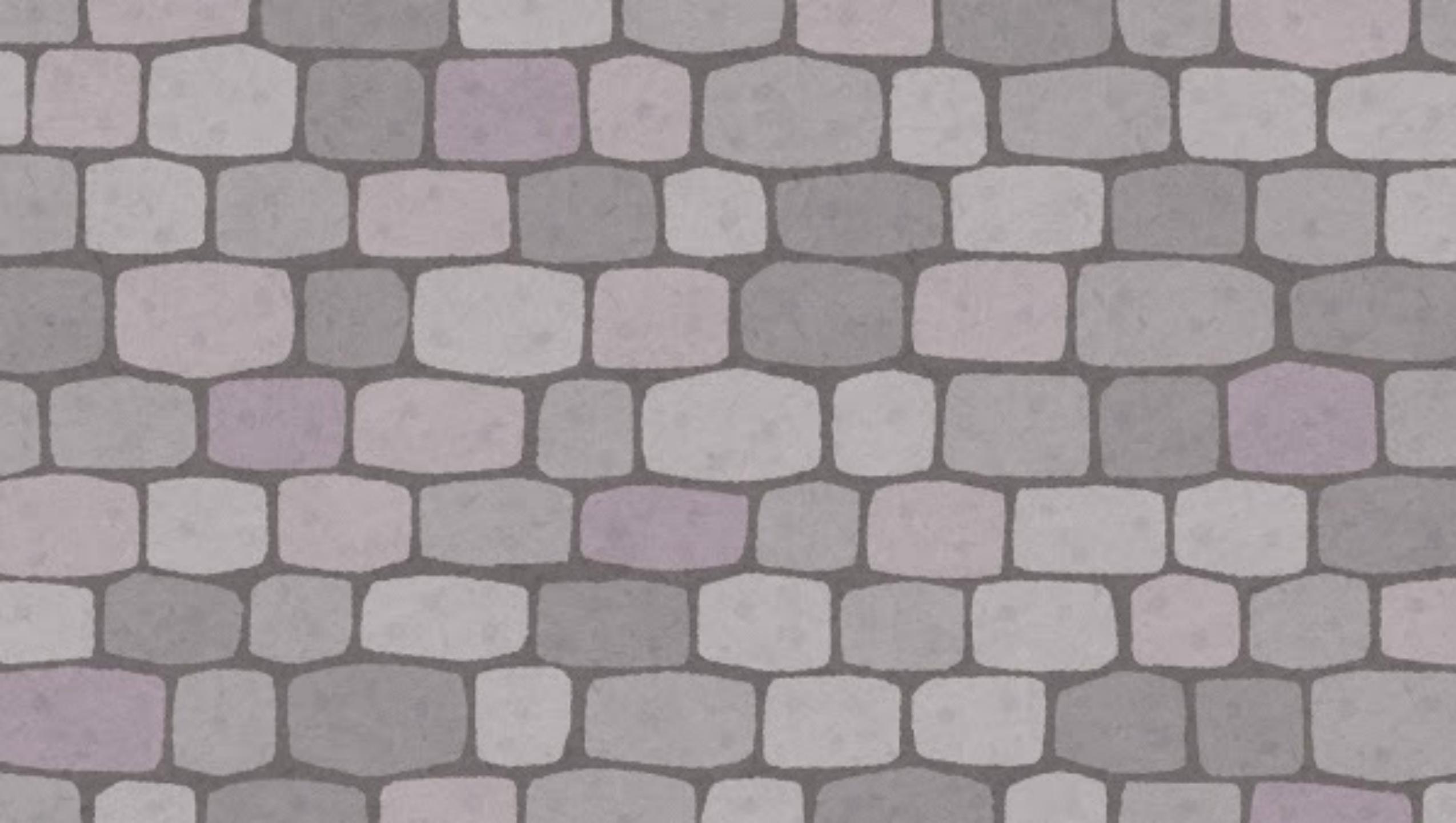
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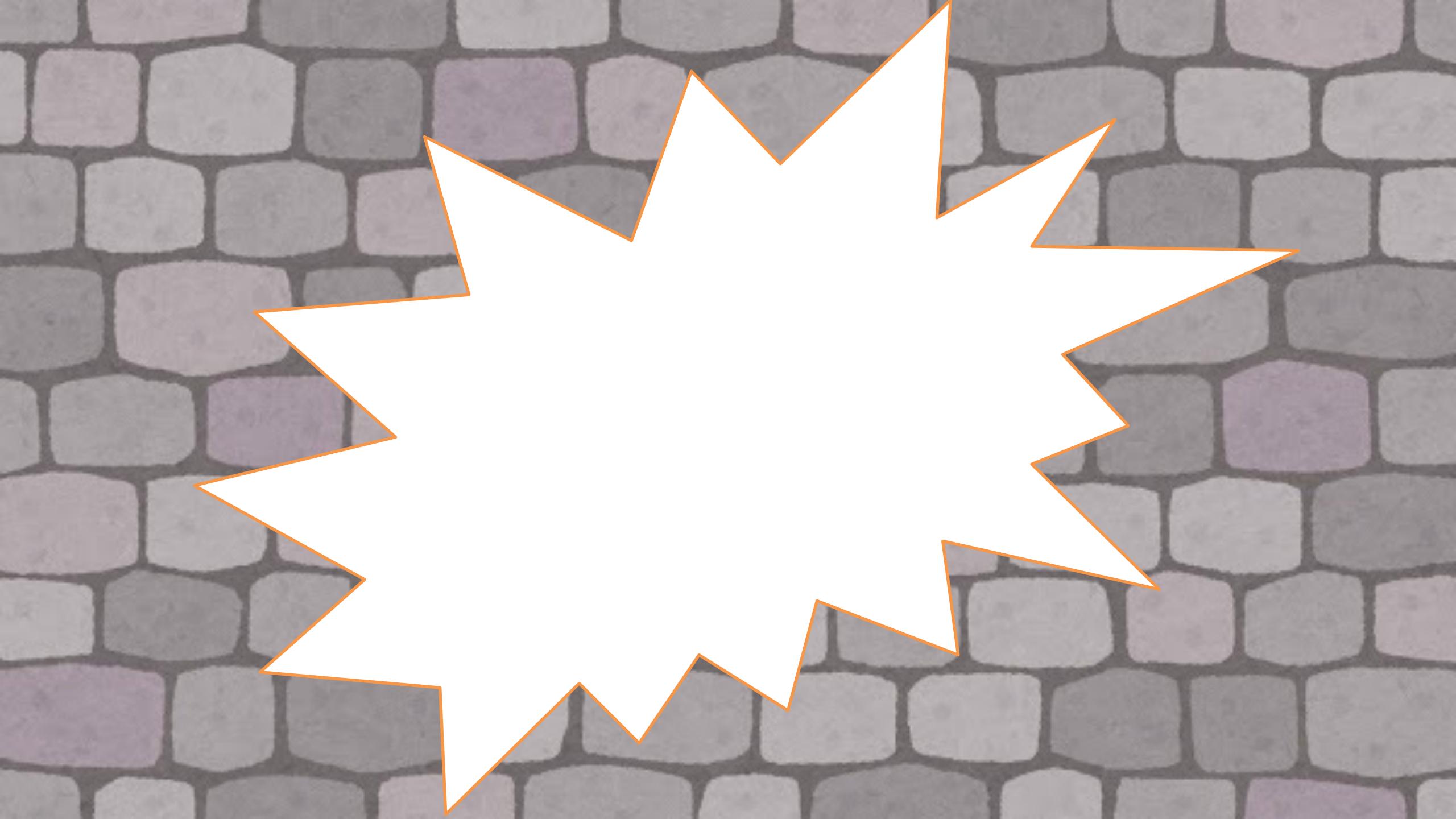


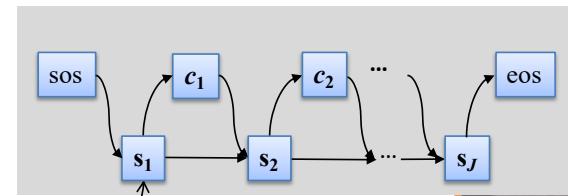
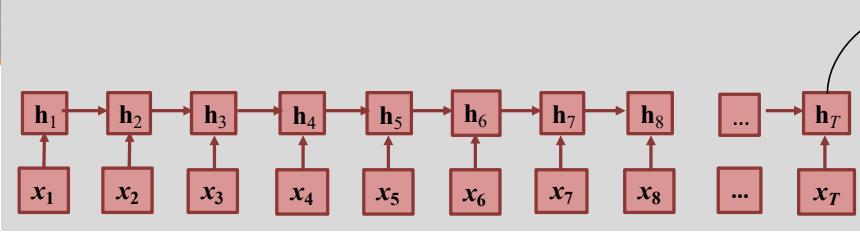
Big barrier:
noisy channel model
HMM
n-gram
etc.

However,

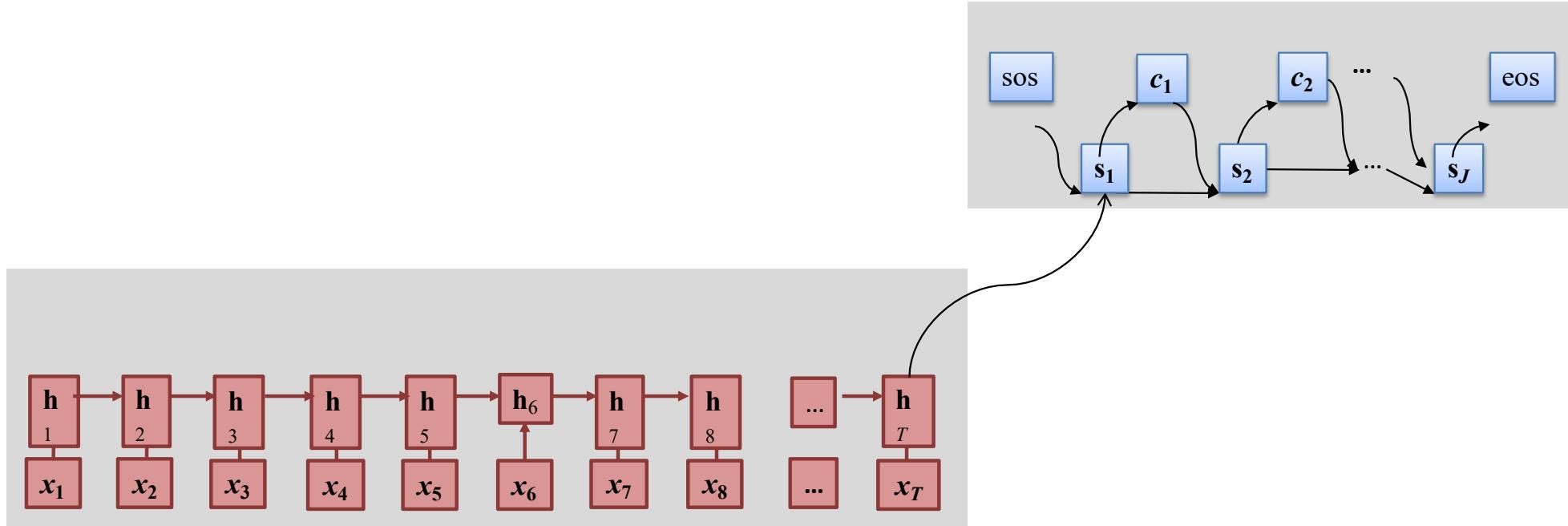








“End-to-End” Processing Using Sequence to Sequence

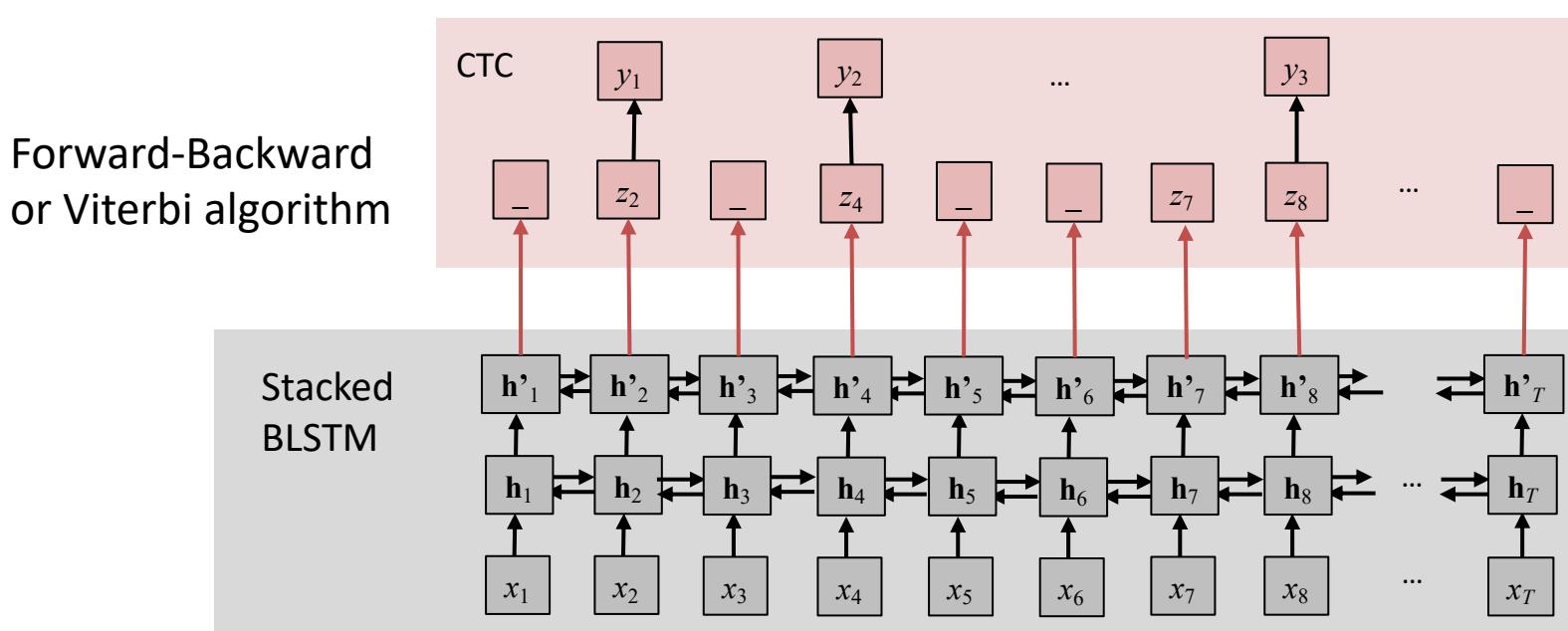


- Directly model $p(W|X)$ with a **single neural network**
 - **Integrate** acoustic $p(X|L)$, lexicon $p(L|W)$, and language $p(W)$ models
- Great success in neural machine translation

Connectionist temporal classification (CTC)

[Graves+ 2006, Graves+ 2014, Miao+ 2015]

- Use bidirectional RNNs or transformer to predict frame-based labels including blanks
- Find alignments between X and Y using dynamic programming

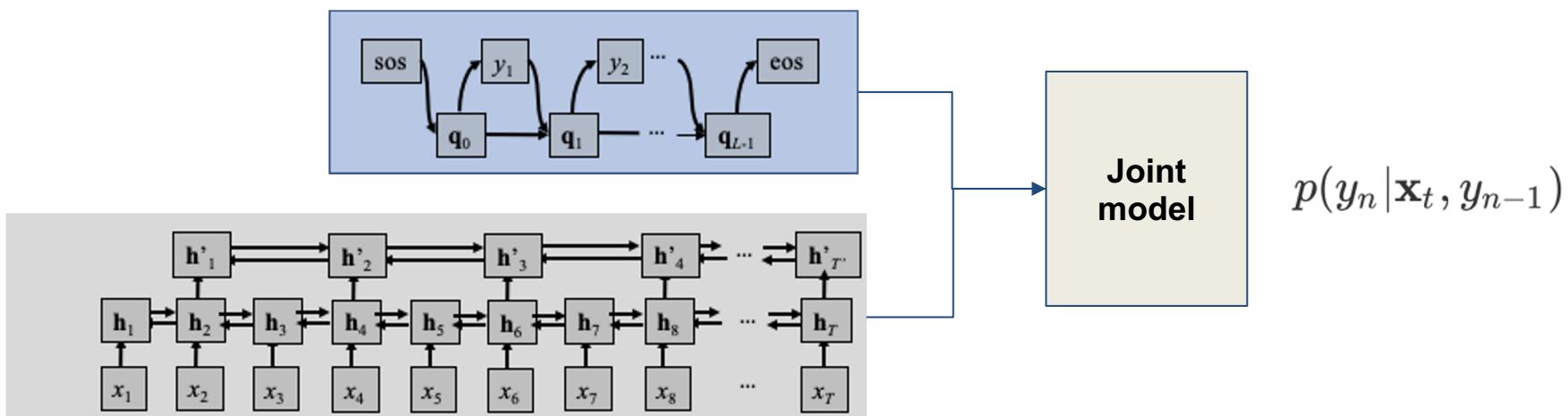


End-to-end ASR (1)

RNN transducer

[Graves+ 2006, Graves+ 2014, Miao+ 2015]

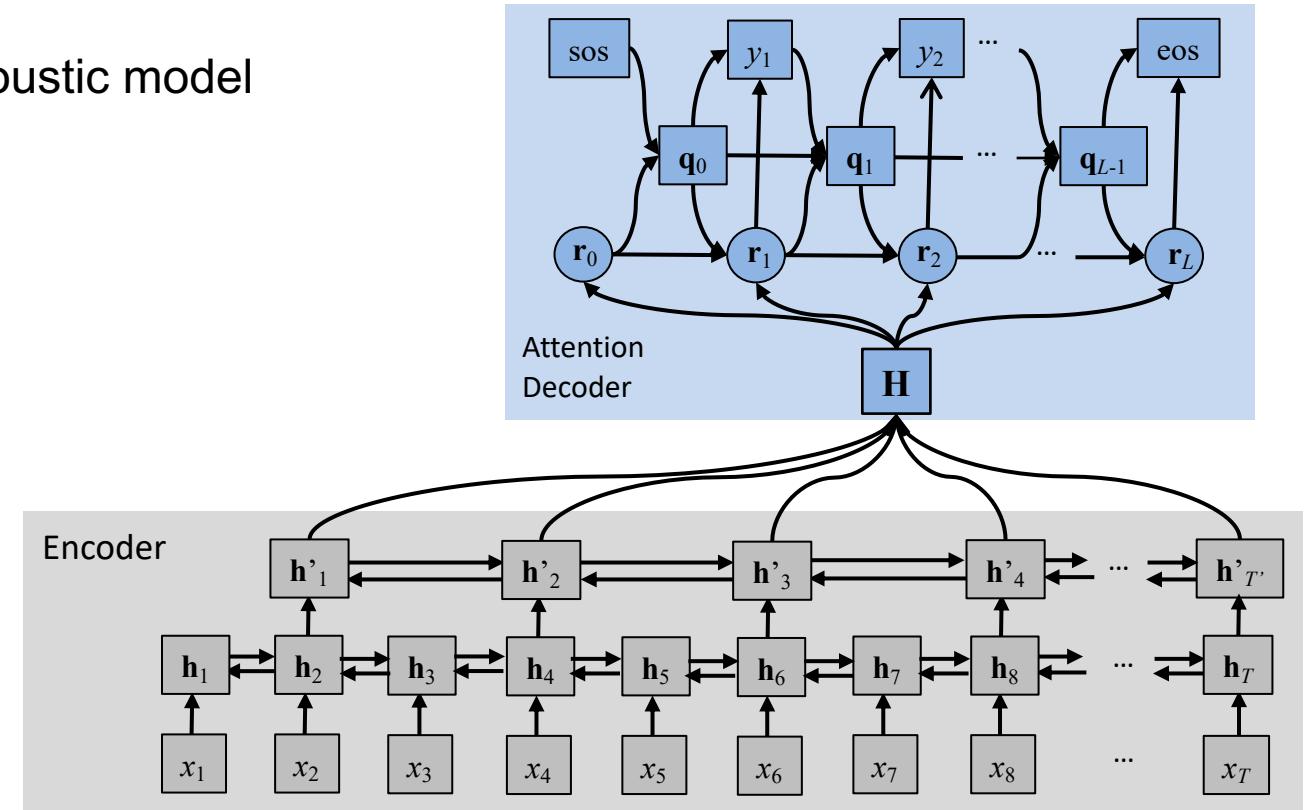
- Encoder to capture the acoustic information
- Label prediction similar to an LM
- Joint model to integrate both information



Attention-based encoder decoder

[Chorowski+ 2014, Chan+ 2015]

- Combine acoustic and language models in a single architecture
 - Encoder: DNN part of acoustic model
 - Decoder: language model
 - Attention: HMM part of acoustic model



First impression in -2015

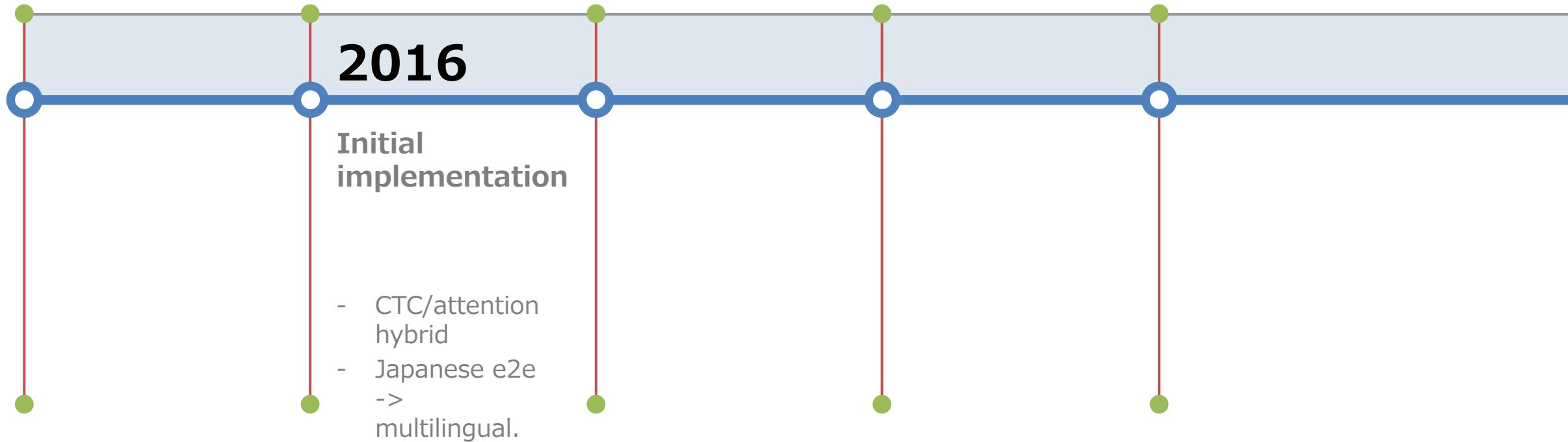
- Attention based encoder decoder

$$\arg \max_W p(W|X) = \arg \max_W \prod_j p(w_j|w_{<j}, X)$$

- No conditional independence assumption unlike HMM/CTC
 - More precise seq-to-seq model
 - This is what I have been struggling for 15 years!
- Attention mechanism allows too flexible alignments
 - Hard to train the model from scratch

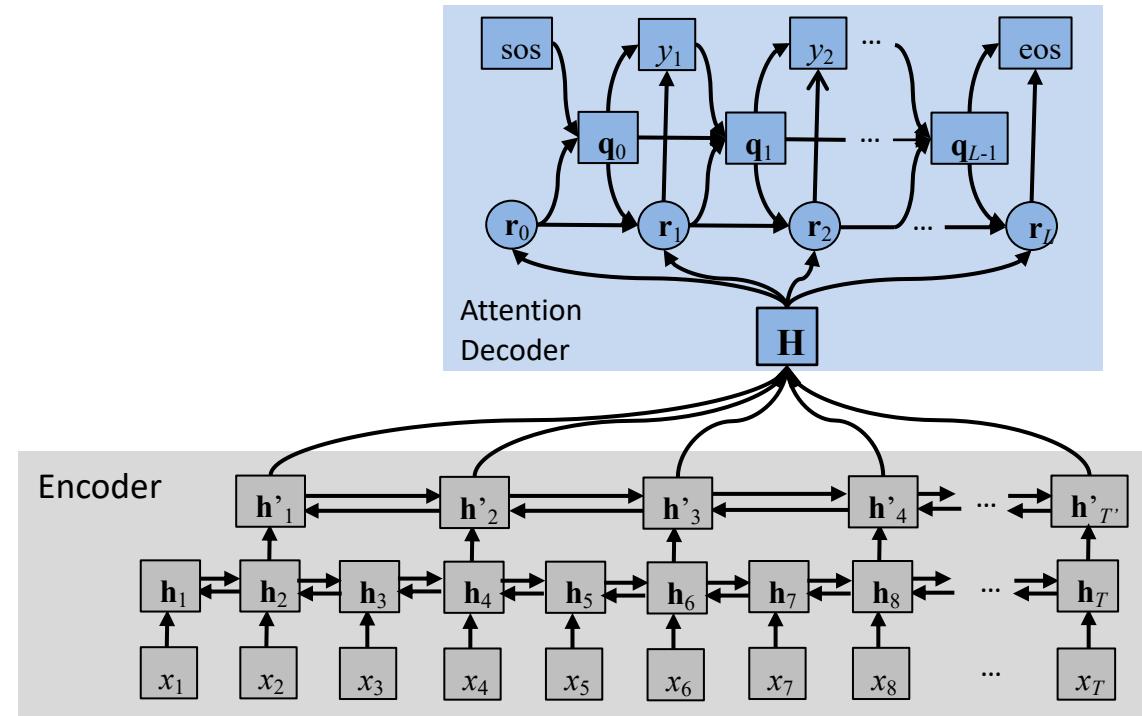
Timeline

Shinji's personal experience for end-to-end speech processing



Initial implementation in 2016

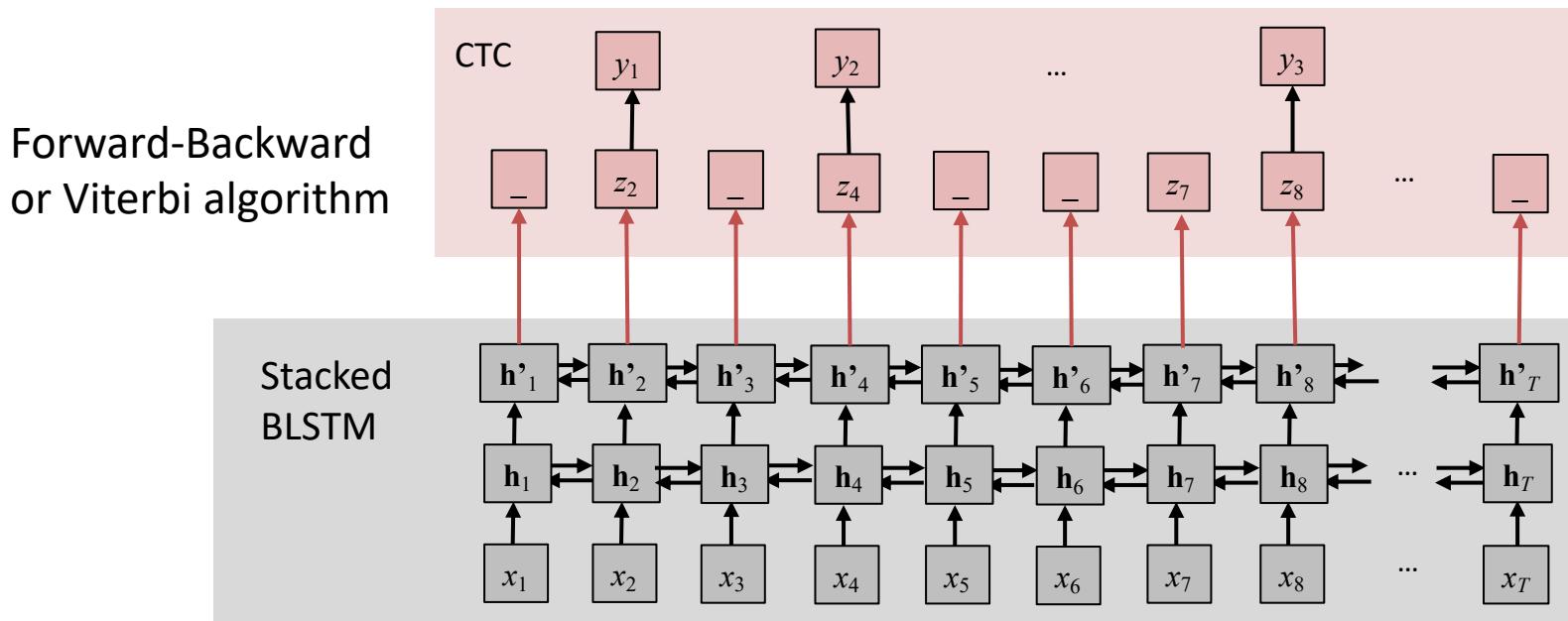
- Suyoun Kim (CMU), Takaaki Hori, John Hershey, and I started an E2E project at MERL with some interns
- First, we implemented both
 - CTC
 - Attention-based encoder/decoder
- We found some pros. and cons.



Connectionist temporal classification (CTC)

[Graves+ 2006, Graves+ 2014, Miao+ 2015]

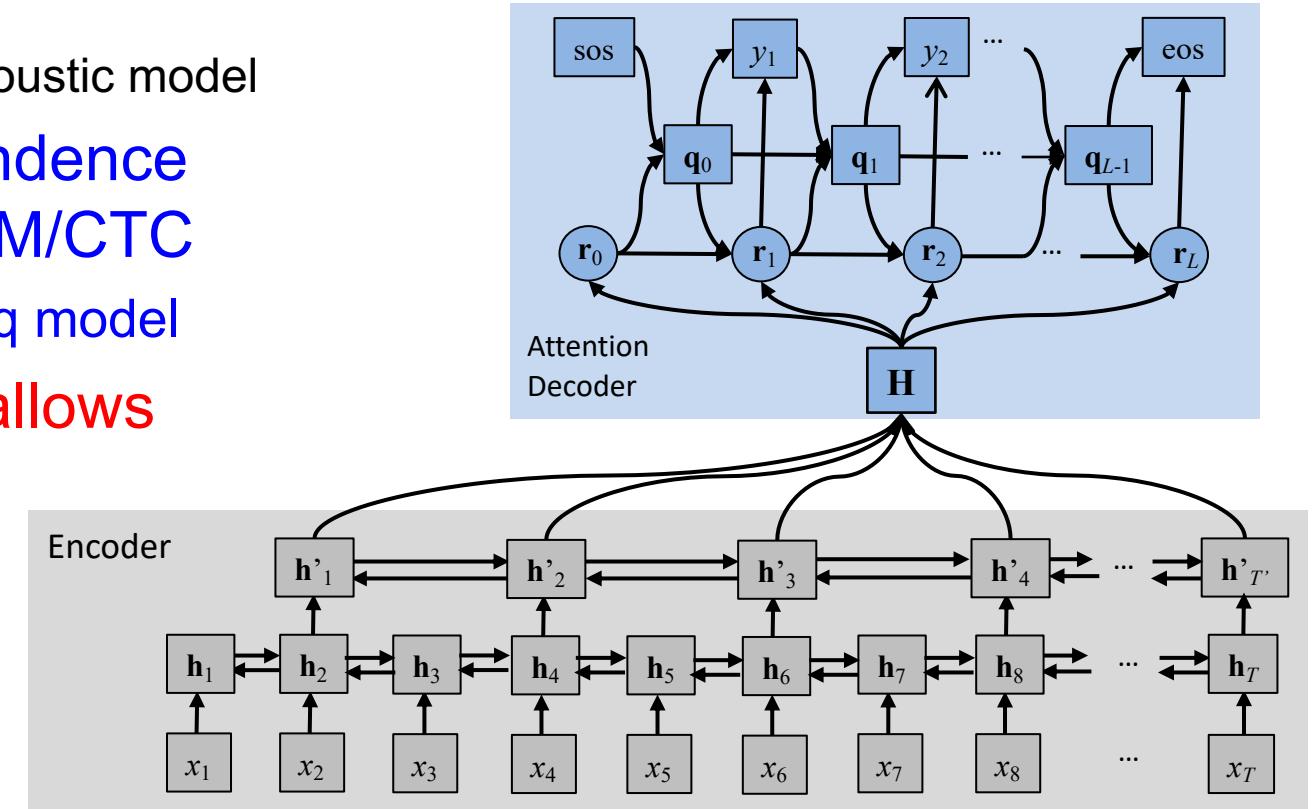
- Use bidirectional RNNs to predict frame-based labels including blanks
- Find alignments between X and Y using dynamic programming
- **Relying on conditional independence assumptions (similar to HMM)**
- **Output sequence is not well modeled (no language model)**



Attention-based encoder decoder

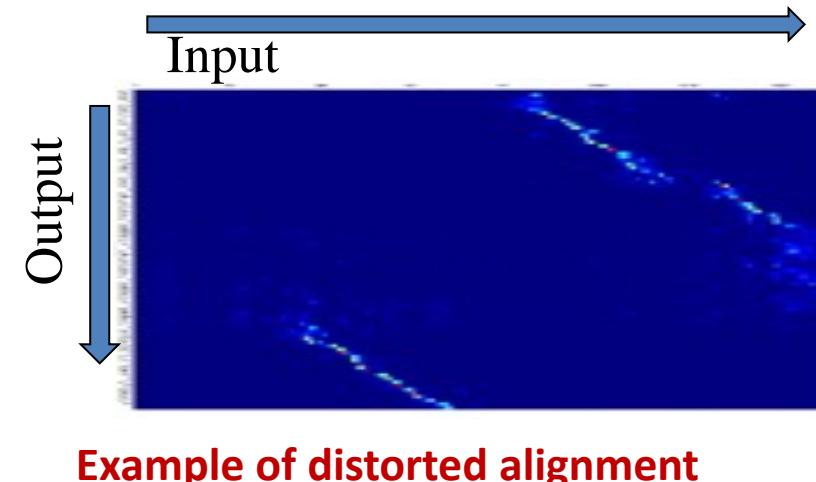
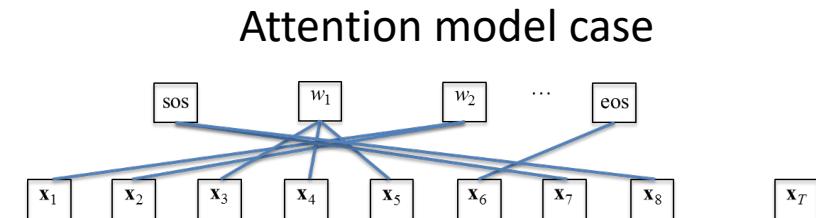
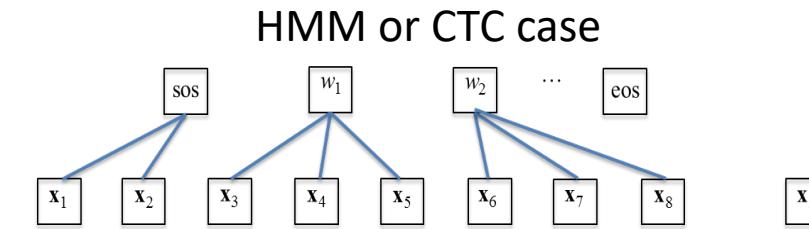
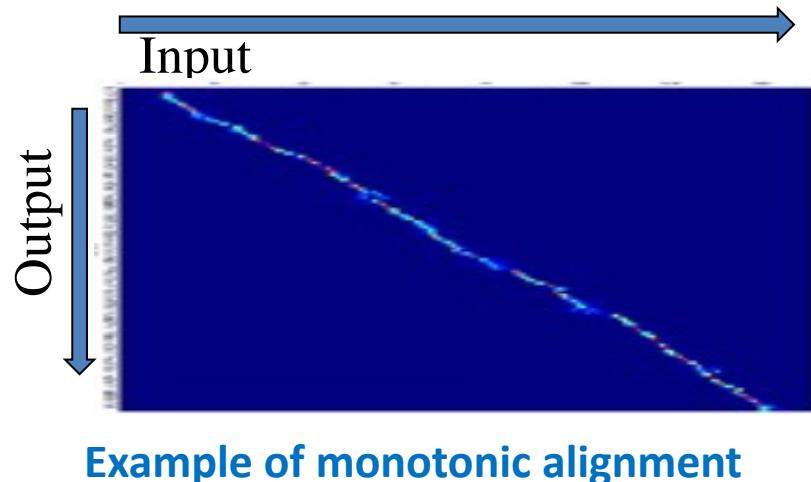
[Chorowski+ 2014, Chan+ 2015]

- Combine acoustic and language models in a single architecture
 - Encoder: DNN part of acoustic model
 - Decoder: language model
 - Attention: HMM part of acoustic model
- No conditional independence assumption unlike HMM/CTC
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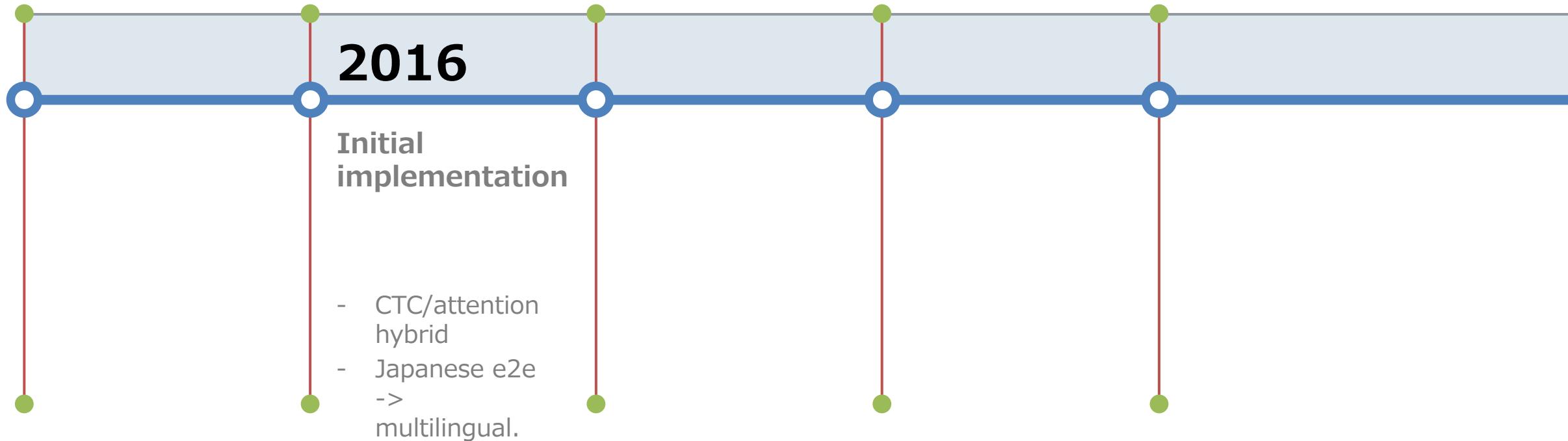
Input/output alignment by temporal attention

- Unlike CTC, attention model does not preserve order of inputs
- Our desired alignment in ASR task is **monotonic**
- Not regularized alignment makes the model **hard to learn** from scratch



Timeline

Shinji's personal experience for end-to-end speech processing



How to solve this unstable attention issues

It was **too unstable** to move to the next step...

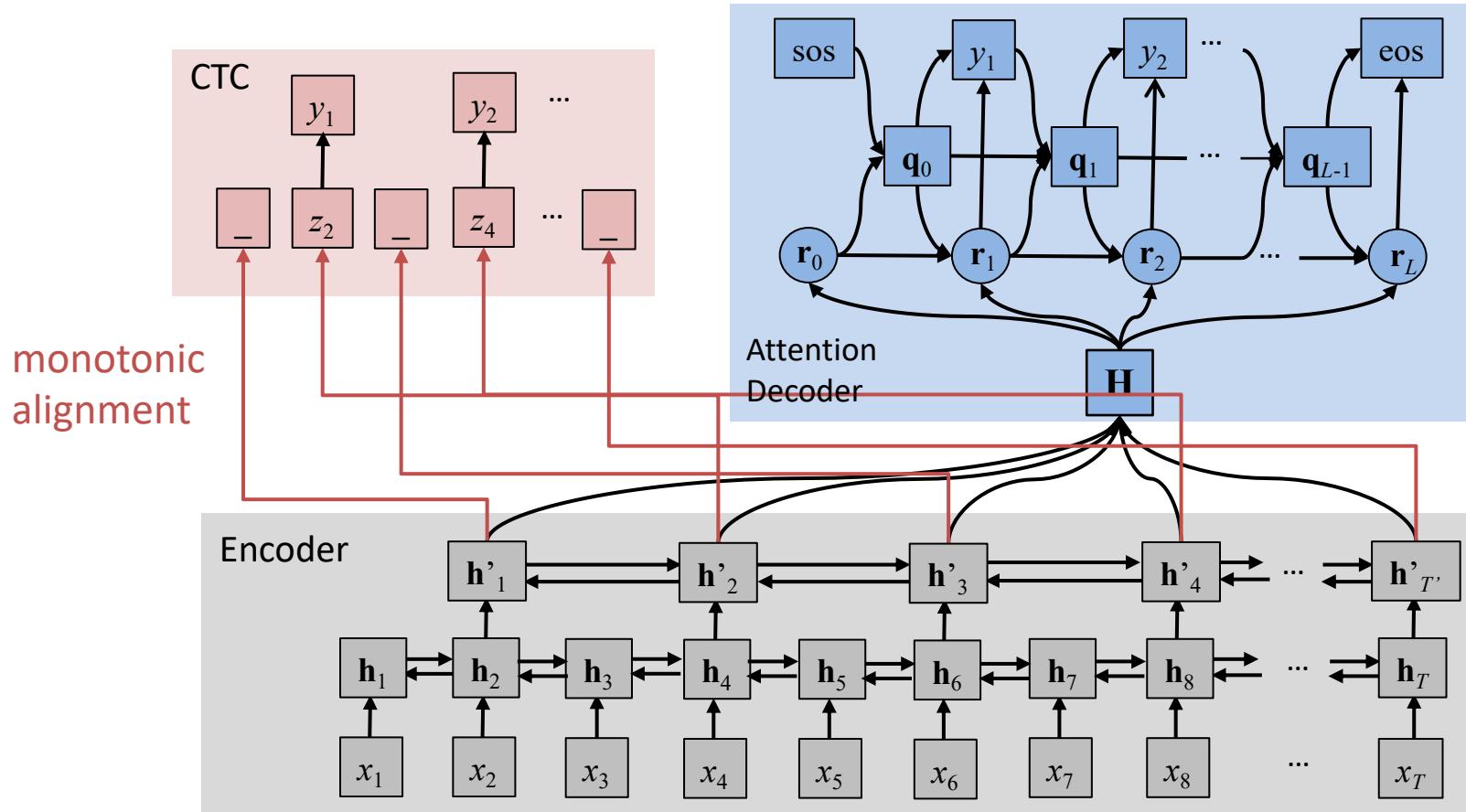
- We had a lot of ideas but those were pending due to that
- Probably we should try to use **both benefits of CTC and attention**

How to combine both?

- One possible solution: RNN transducer
 - Try to find another solution
 - Finally came up with a simple idea (or we decided to use this simple idea)
- **Hybrid CTC/attention**

Hybrid CTC/attention network [Kim+'17]

Multitask learning: $\mathcal{L}_{\text{MTL}} = \lambda \mathcal{L}_{\text{CTC}} + (1 - \lambda) \mathcal{L}_{\text{Attention}}$ λ : CTC weight

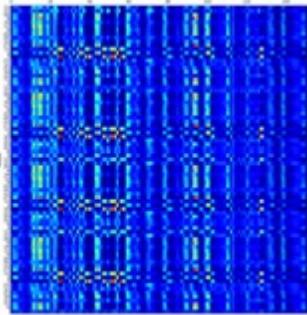


CTC guides attention alignment to be monotonic

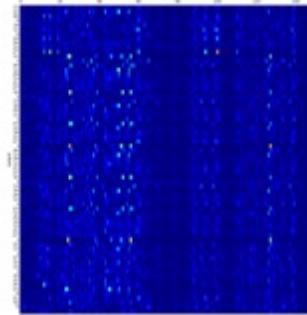
More robust input/output alignment of attention

- Alignment of one selected utterance from CHiME4 task

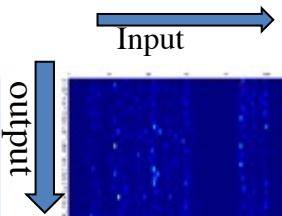
Attention Model



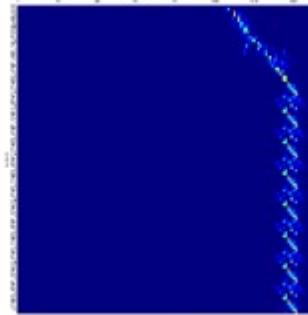
Epoch 1



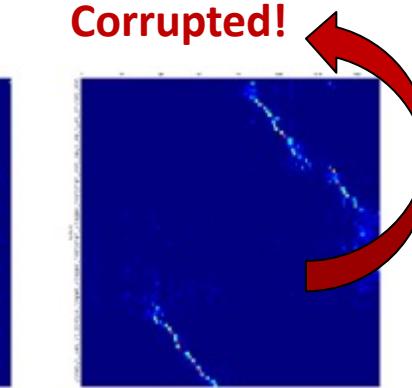
Epoch 3



Epoch 5



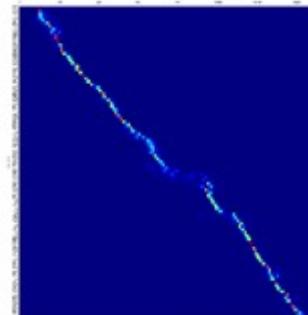
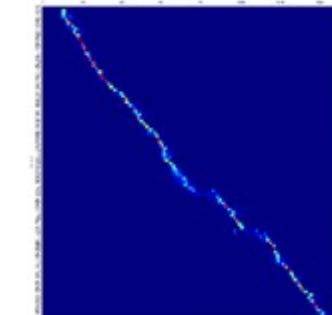
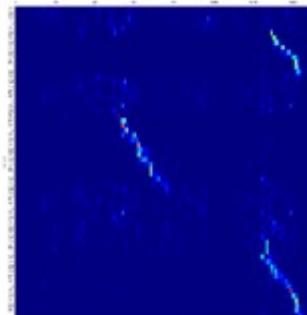
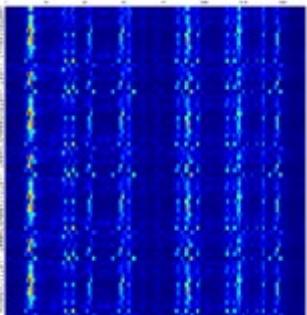
Epoch 7



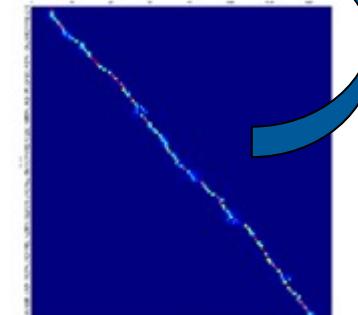
Epoch 9

Corrupted!

Our joint CTC/attention model



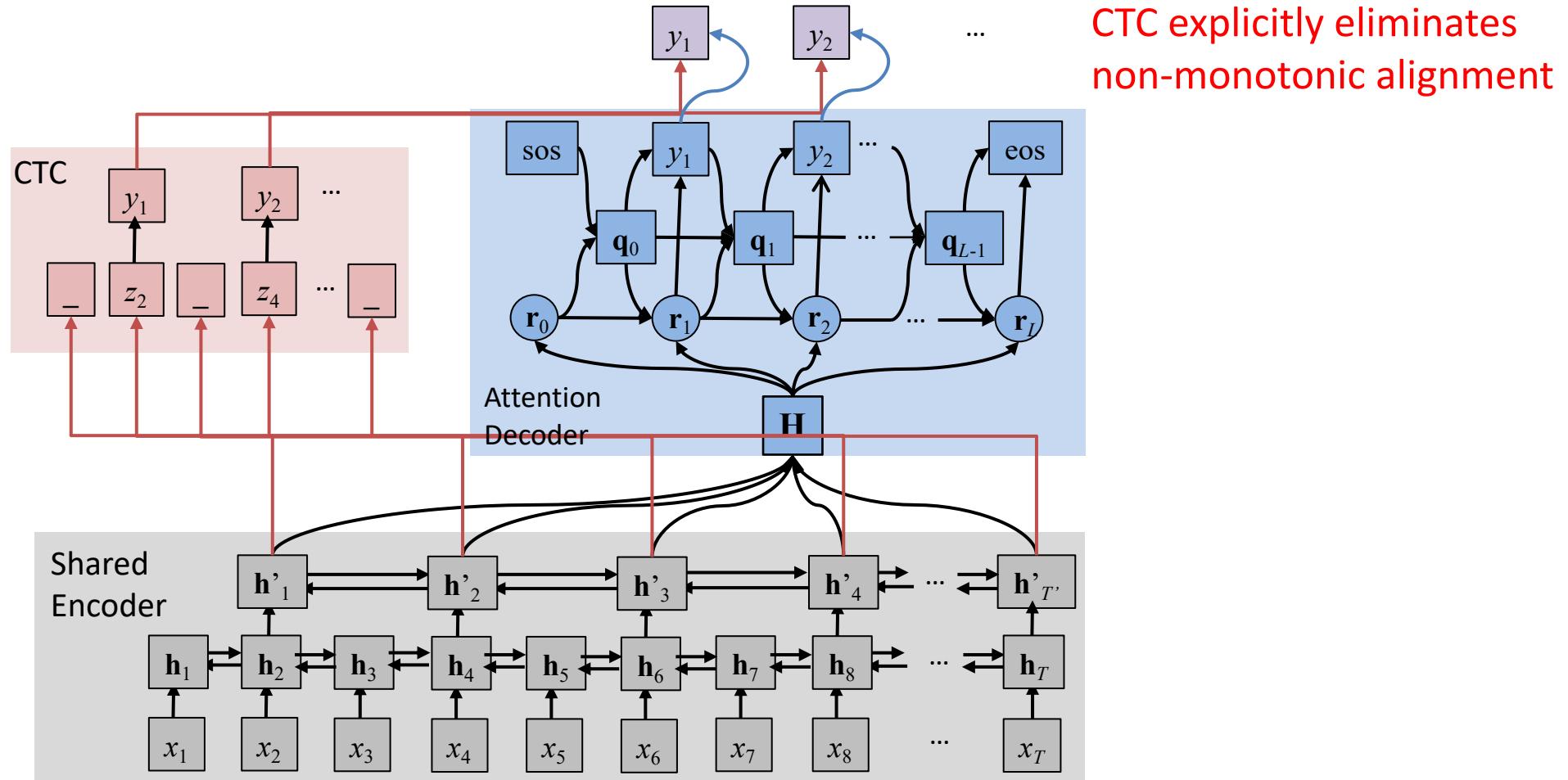
Monotonic!



Faster convergence

Joint CTC/attention decoding [Hori+'17]

Use CTC for decoding together with the attention decoder



Experimental Results

Character Error Rate (%) in **Mandarin Chinese Telephone Conversational** (HKUST, 167 hours)

Models	Dev.	Eval
Attention model (baseline)	40.3	37.8
CTC-attention learning (MTL)	38.7	36.6
+ Joint decoding	35.5	33.9

Character Error Rate (%) in **Corpus of Spontaneous Japanese** (CSJ, 581 hours)

Models	Task 1	Task 2	Task 3
Attention model (baseline)	11.4	7.9	9.0
CTC-attention learning (MTL)	10.5	7.6	8.3
+ Joint decoding	10.0	7.1	7.6

Example of recovering insertion errors (HKUST)

id: (20040717_152947_A010409_B010408-A-057045-057837)

Reference

但是如果你想如果回到了过去你如果带着这个现在的记忆是不是很痛苦啊

Hybrid CTC/attention (w/o joint decoding)

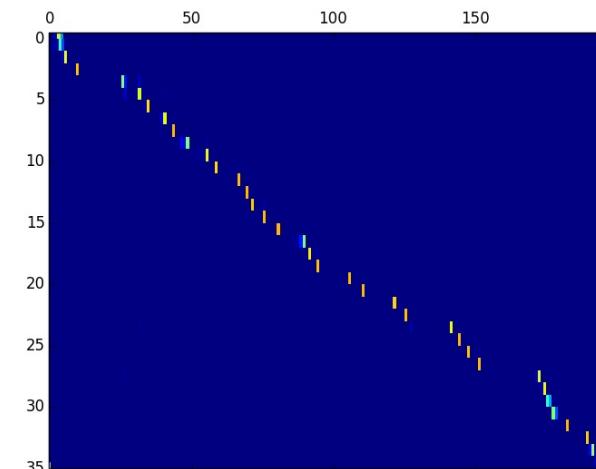
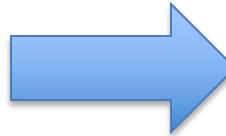
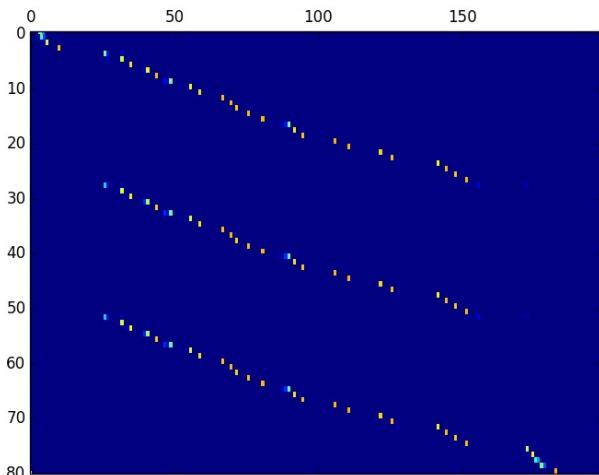
Scores: (#Correctness #Substitution #Deletion #Insertion) 28 2 3 45

但是如果你想如果回到了过去你如果带着这个现在的节如果你想如果回到了过去你如果带着这个现在的节如果你想如果回到了过去你如果带着这个现在的机是不是很 · · ·

w/ Joint decoding

Scores: (#Correctness #Substitution #Deletion #Insertion) 31 1 1 0

HYP: 但是如果你想如果回到了过去你如果带着这个现在的 · 机是不是很痛苦啊



Example of recovering deletion errors (CSJ)

id: (A01F0001_0844951_0854386)

Reference

またえ飛行時のエコーコーデーション機能をより詳細に解明する為に超小型マイクロホンおよび生体アンプをコウモリに搭載することを考えておりますそうすることによって

Hybrid CTC/attention (w/o joint decoding)

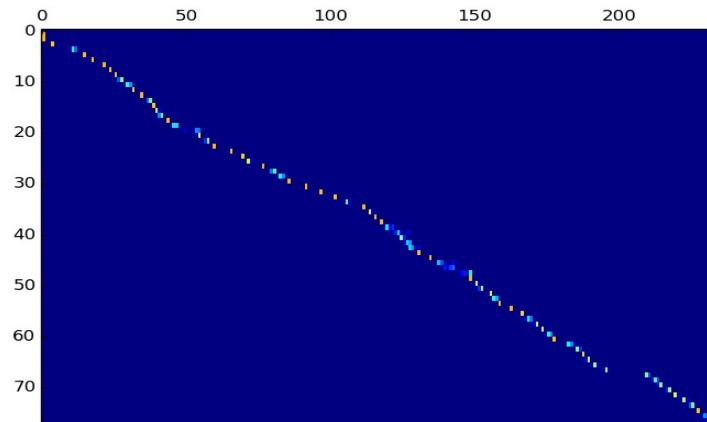
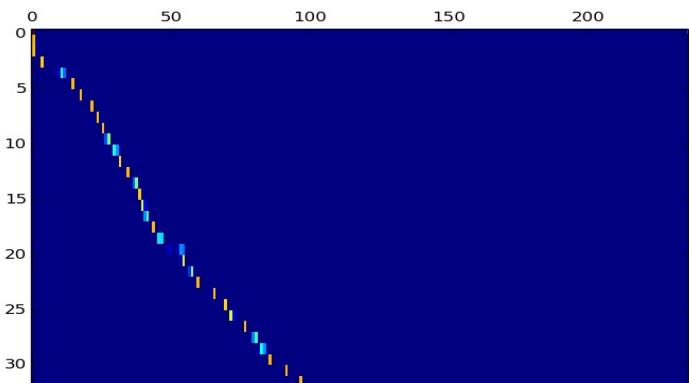
Scores: (#Correctness #Substitution #Deletion #Insertion) 30 0 47 0

またえ飛行時のエコーコーデーション機能をより詳細に解明する
為 …
… … … … … … … に … …

w/ Joint decoding

Scores: (#Correctness #Substitution #Deletion #Insertion) 67 9 10

またえ飛行時のエコーコーデーション機能をより詳細に解明する為に長国型マイ
クロホンお・いく声単位方をコウモリに登載することを考えておりますそうす
ることによって

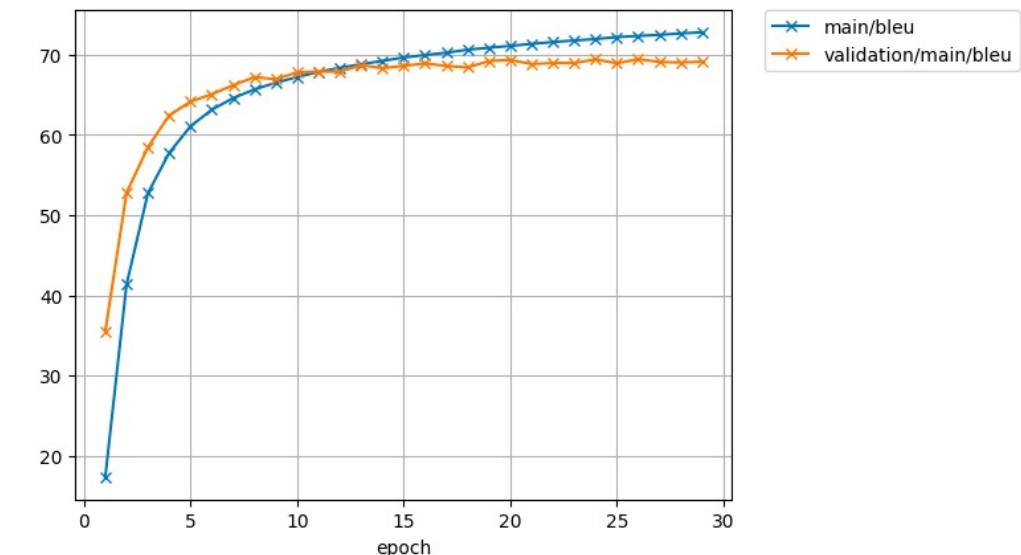
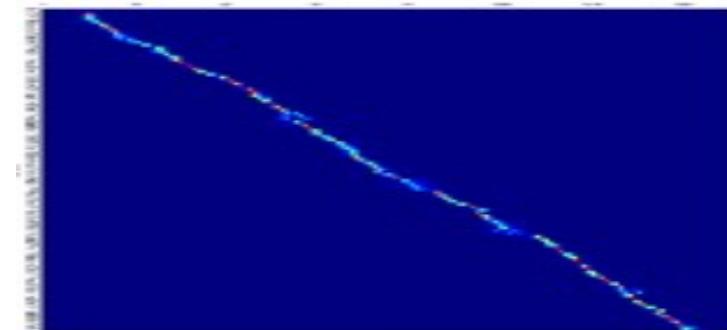


Discussions

- Hybrid CTC/attention-based end-to-end speech recognition
 - Multi-task learning during training
 - Joint decoding during recognition
- Now we have a good end-to-end ASR tool
 - **Make use of both benefits, completely solve alignment issues**
- **NOTE:** This can be solved by large amounts of training data and a lot of tuning. This is one solution (but quite academia friendly)

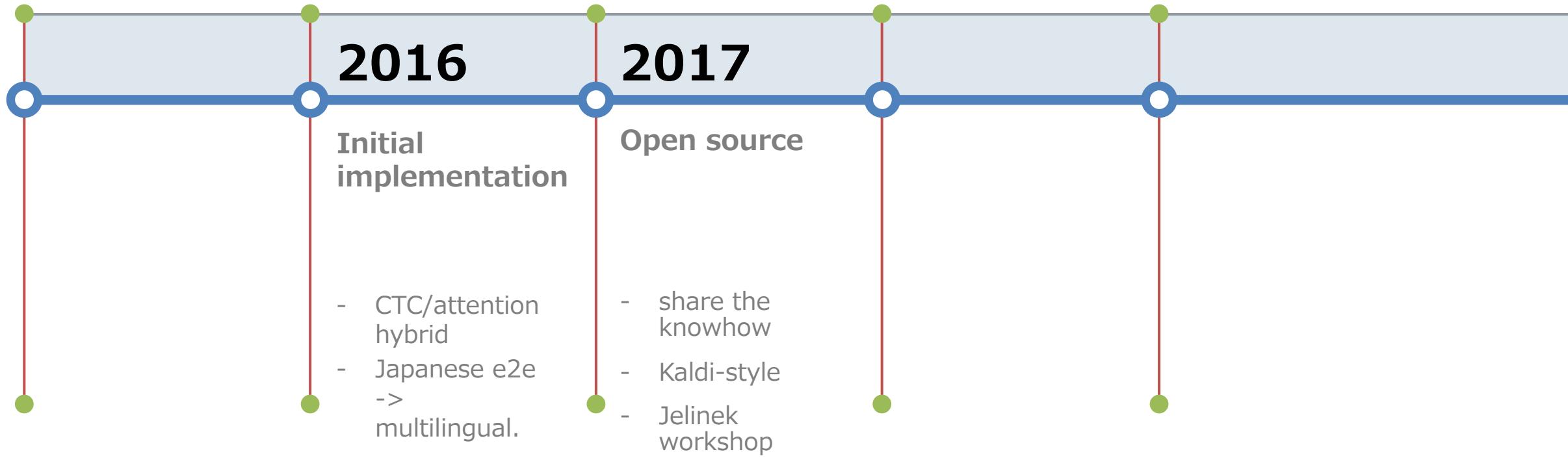
FAQ

- How to debug attention-based encoder/decoder?
- Please check
 - Attention pattern!**
 - Learning curves!**
- It gives you a lot of intuitive information!

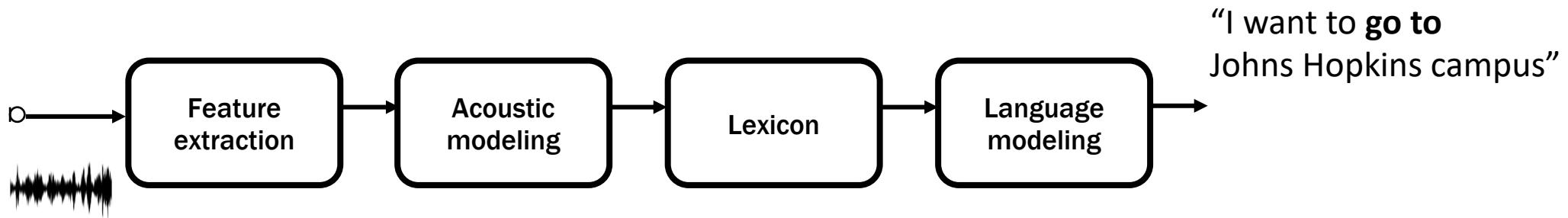


Timeline

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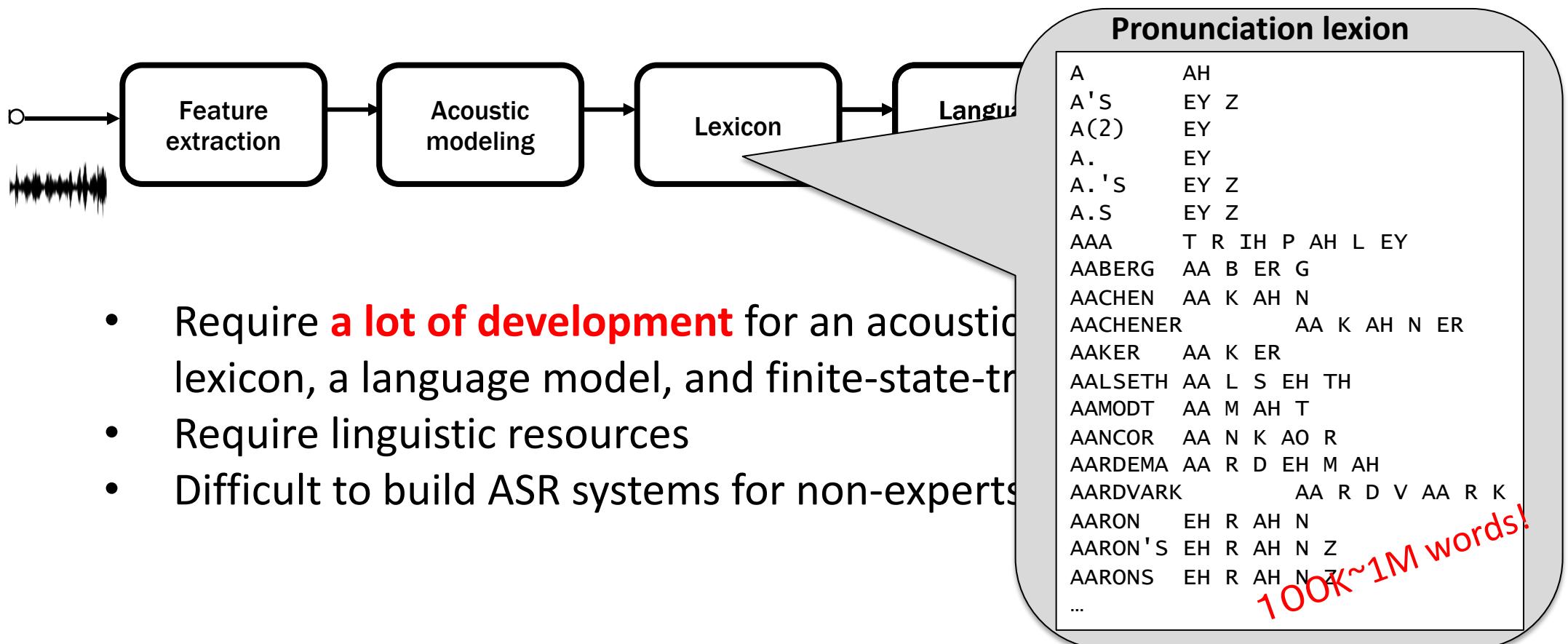


Speech recognition pipeline

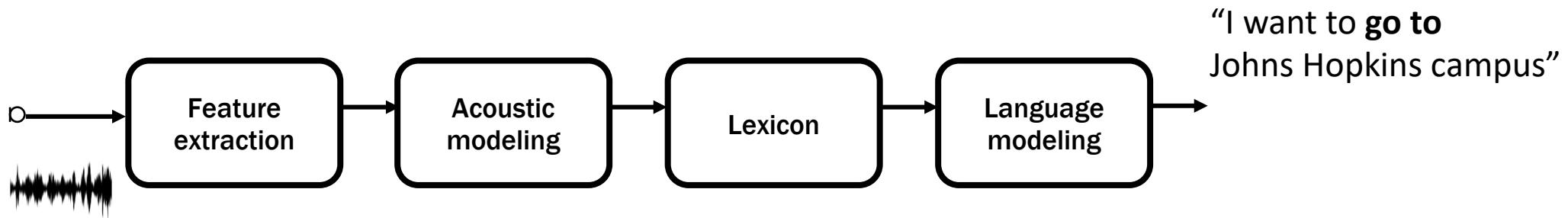


- Require **a lot of development** for an acoustic model, a pronunciation lexicon, a language model, and finite-state-transducer decoding
- Require linguistic resources
- Difficult to build ASR systems for non-experts

Speech recognition pipeline

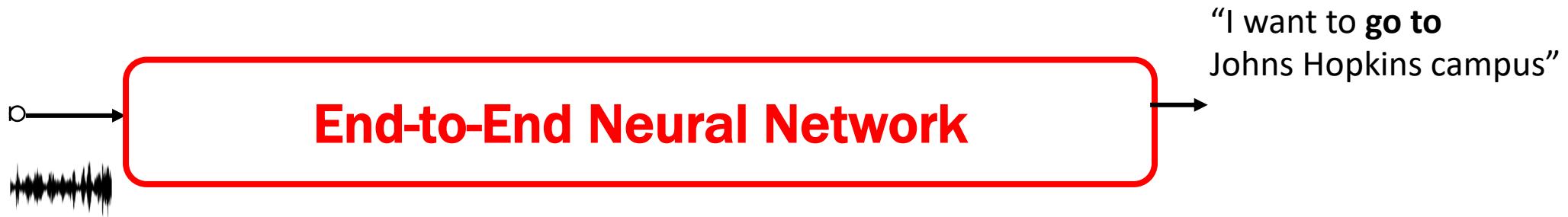


Speech recognition pipeline



- Require **a lot of development** for an acoustic model, a pronunciation lexicon, a language model, and finite-state-transducer decoding
- Require linguistic resources
- Difficult to build ASR systems for **non-experts**

From pipeline to integrated architecture



- Train a deep network that directly maps speech signal to the target letter/word sequence
- Greatly simplify the complicated model-building/decoding process
- Easy to build ASR systems for new tasks **without expert knowledge** ([Example by Sathvik](#))
- Potential to outperform conventional ASR by **optimizing the entire network** with a single objective function

Japanese is **not** an ASR friendly language

“二つ目の要因は計算機資源・音声データの増加及びKaldiやTensorflowなどのオープンソースソフトウェアの普及である”

- **No word boundary**
- **Mix of 4 scripts** (Hiragana, Katakana, Kanji, Roman alphabet)
- Frequent **many to many pronunciations**
 - A lot of homonym (same pronunciations but different chars.)
 - A lot of multiple pronunciations for each char
- **Very different phoneme lengths per character**
 - “ン”: /n/, “侍”: /s/ /a/ /m/ /u/ /r/ /a/ /i/ (from 1 to 7 phonemes per character!)

We need very accurate **tokenizer** (chasen, mecab) to solve the above problems **jointly**

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 - A lot of multiple pronunciations for each char
- **Very different phoneme lengths per character**
 - “ン”: /n/, “侍”: /s/ /a/ /m/ /u/ /r/ /a/ /i/ (from 1 to 7 phonemes per character!)

We need very accurate **tokenizer** (chasen, mecab) to solve the above problems **jointly**

Japanese is **not** an ASR friendly language

“二つ目の要因は計算機資源・音声データの増加及びKaldiやTensorflowなどのオープンソースソフトウェアの普及である”

- **No word boundary**
- **Mix of 4 scripts** (Hiragana, Katakana, Kanji, Roman alphabet)
- Frequent **many to many pronunciations**
 - A lot of homonym (same pronunciations but different chars.)
 - A lot of multiple pronunciations for each char
- **Very different phoneme lengths per character**
 - “ン”: /n/, “侍”: /s/ /a/ /m/ /u/ /r/ /a/ /i/ (from 1 to 7 phonemes per character!)

We need very accurate **tokenizer** (chasen, mecab) to solve the above problems **jointly**

My attempt (2016)

- Japanese NLP/ASR: always go through a tokenizer
 - Additional tool
 - Require a dictionary

MeCab/Unidic Demonstration

Enter Japanese sentence:

二つ目の要因は計算機資源・音声データの増加及びKaldiやTensorflowなどのオープンソースソフトウェアの普及である

Run

Reset

MeCab/Unidic Demonstration

Input Text

二つ目の要因は計算機資源・音声データの増加及びKaldiやTensorflowなどのオープンソースソフトウェアの普及である

MeCab Segmentation

二	フタ	フタ	ニ	名詞-数詞
つ	ツ	ツ	つ	接尾辞-名詞的-助数詞
目	メ	メ	目	接尾辞-名詞的-一般
の	ノ	ノ	の	助詞-格助詞
要因	ヨーイン	ヨウイン	要因	名詞-普通名詞-一般
は	ワ	ハ	は	助詞-係助詞
計算	ケーサン	ケイサン	計算	名詞-普通名詞-サ変可能
機	キ	キ	機	名詞-普通名詞-助数詞可能
資源	シゲン	シゲン	資源	名詞-普通名詞-一般
.	.	.	.	補助記号-一般
音声	オンセー	オンセイ	音声	名詞-普通名詞-一般
データ	データ	データ	データ-data	名詞-普通名詞-一般
の	ノ	ノ	の	助詞-格助詞
増加	ゾーカ	ゾウカ	増加	名詞-普通名詞-サ変可能
及び	オヨビ	オヨビ	及び	接続詞
Kaldi	Kaldi	Kaldi	Kaldi	名詞-普通名詞-一般

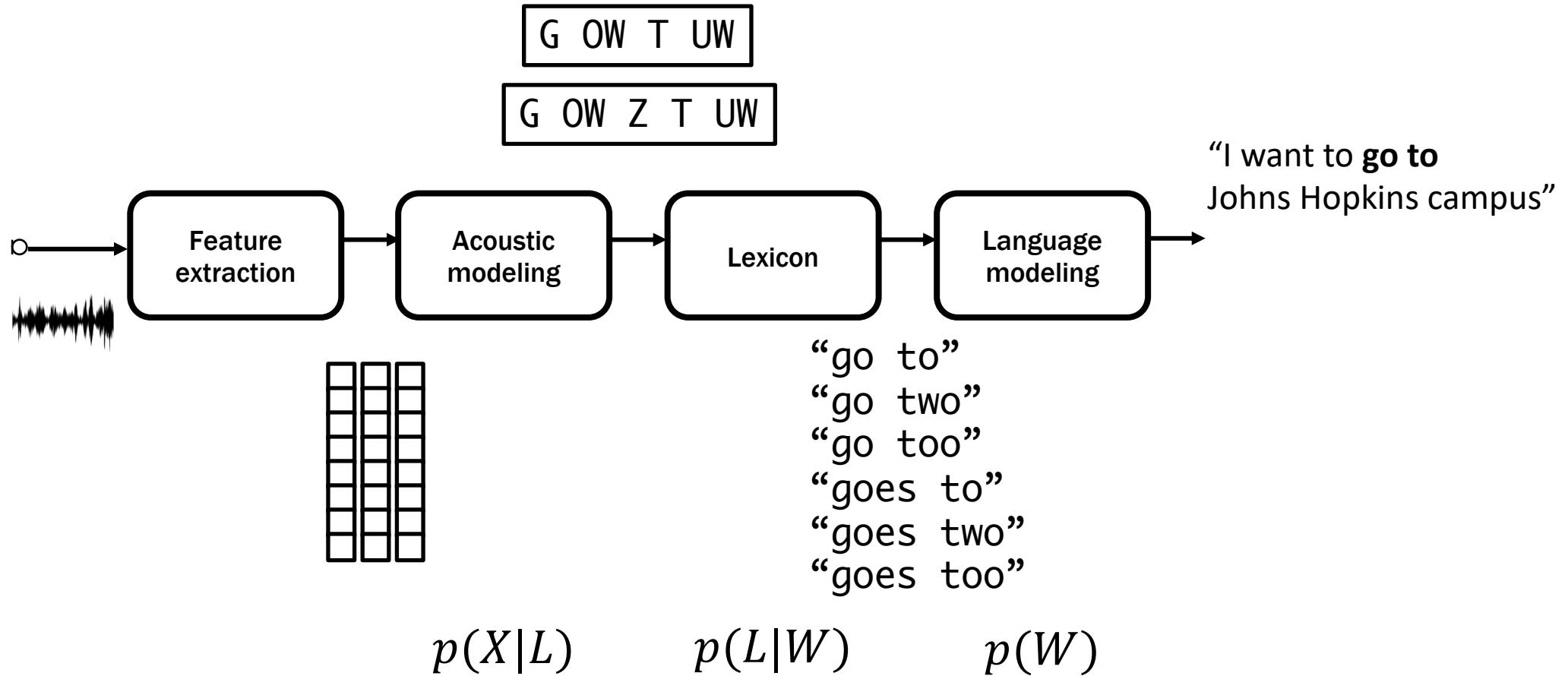
My attempt (2016)

- Japanese NLP/ASR: always go through a tokenizer
 - Additional tool
 - Require a dictionary
- **My goal: remove the tokenizer**
- **Directly predict Japanese text only from audio**
- Surprisingly working very well. Our initial attempt reached Kaldi state-of-the-art with a tokenizer (CER~10% (2016) cf. ~5% (2020))
- This was the first Japanese ASR without using tokenizer (one of my dreams)

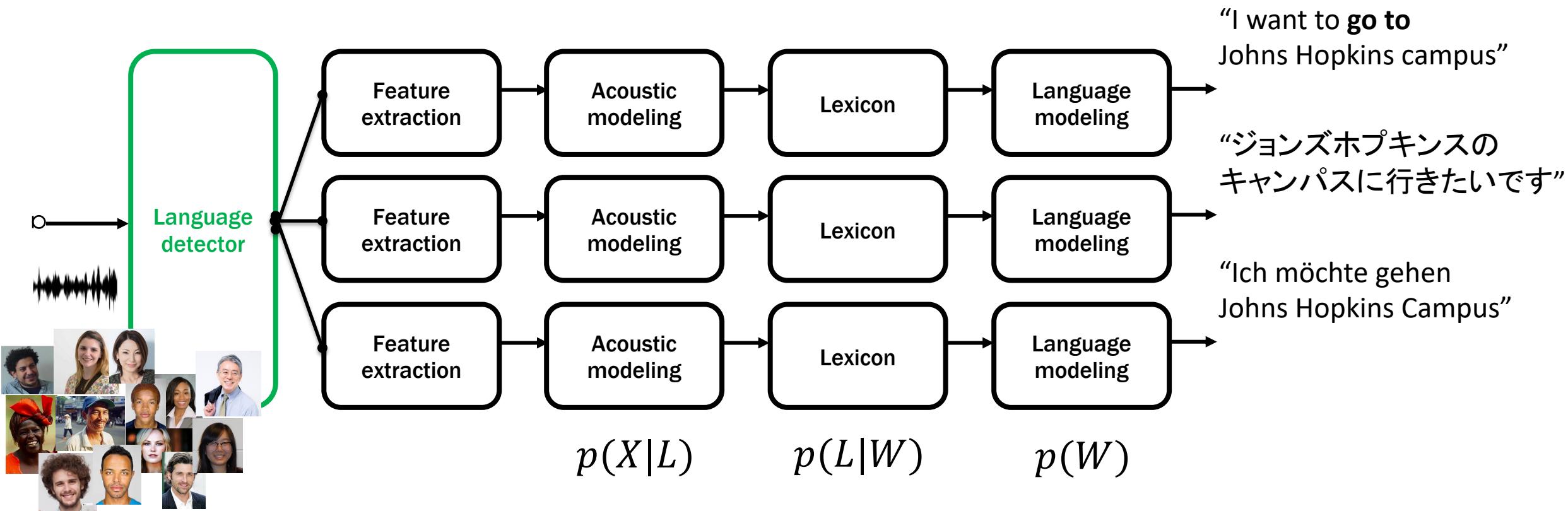
Multilingual e2e ASR

- Given the Japanese ASR experience, I thought that e2e ASR can handle mixed languages with a single architecture
 - ➔ Multilingual e2e ASR (2017)
 - ➔ Multilingual code-switching e2e ASR (2018)

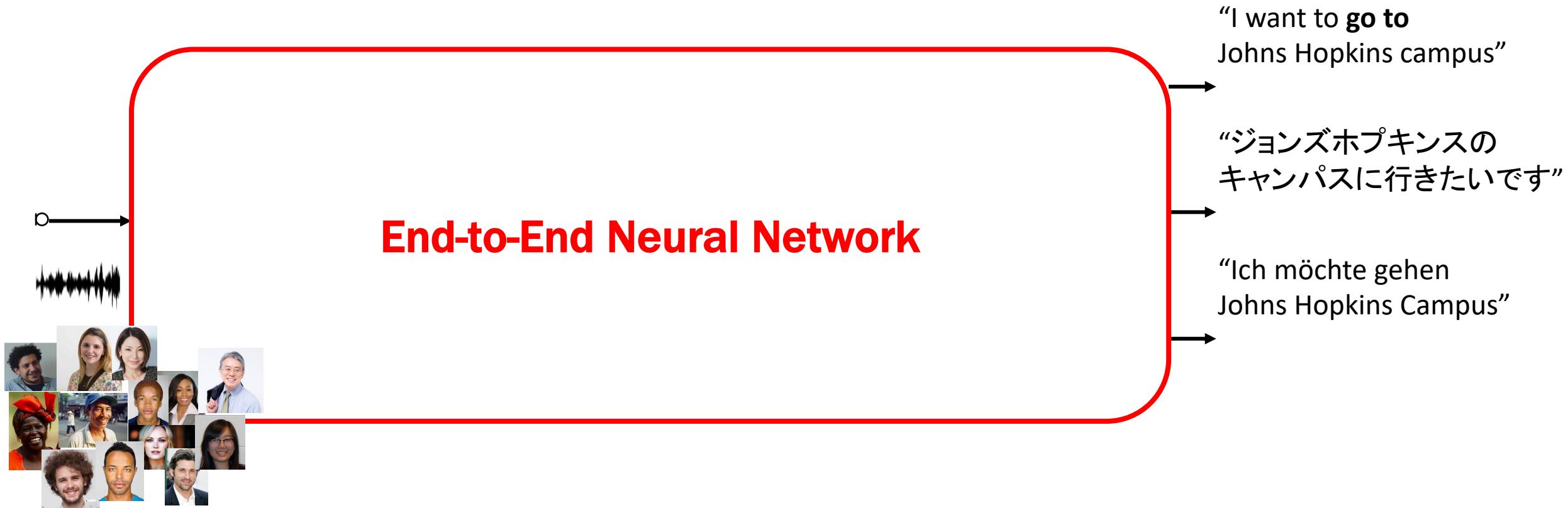
Speech recognition pipeline



Multilingual speech recognition pipeline



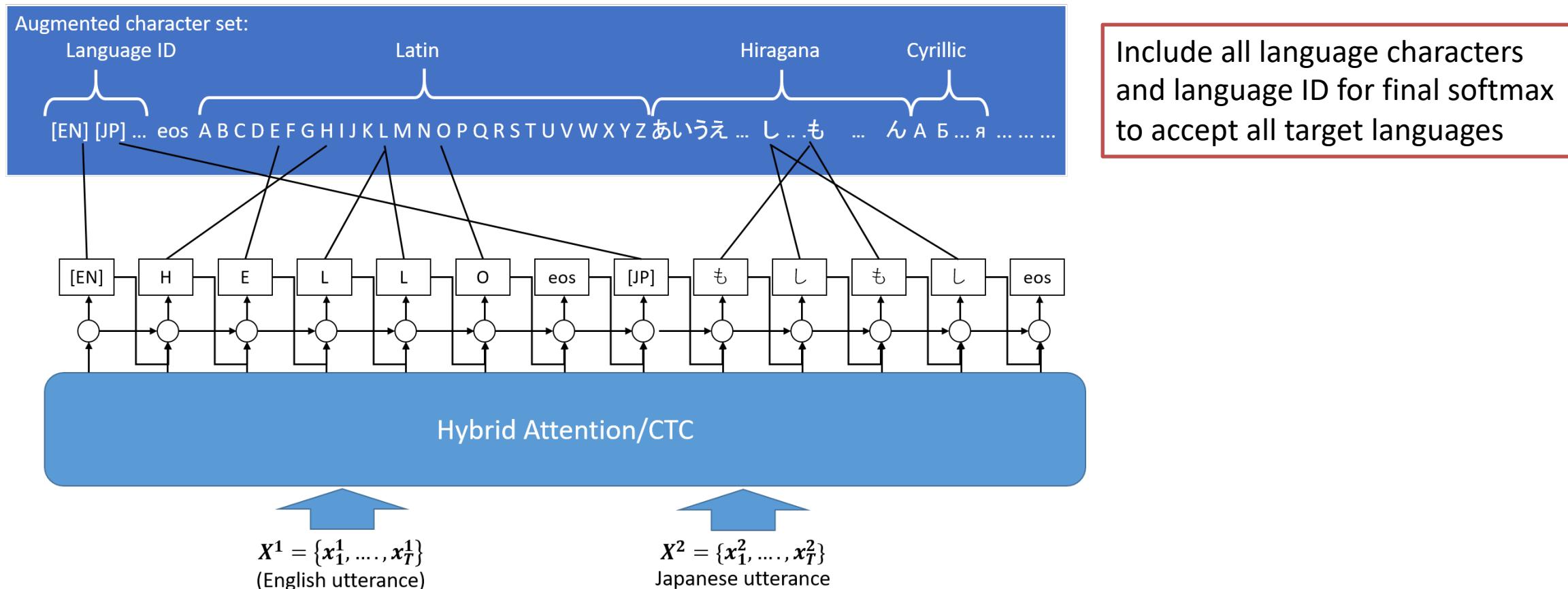
Multilingual speech recognition pipeline



Multi-lingual end-to-end speech recognition

[Watanabe+'17, Seki+'18]

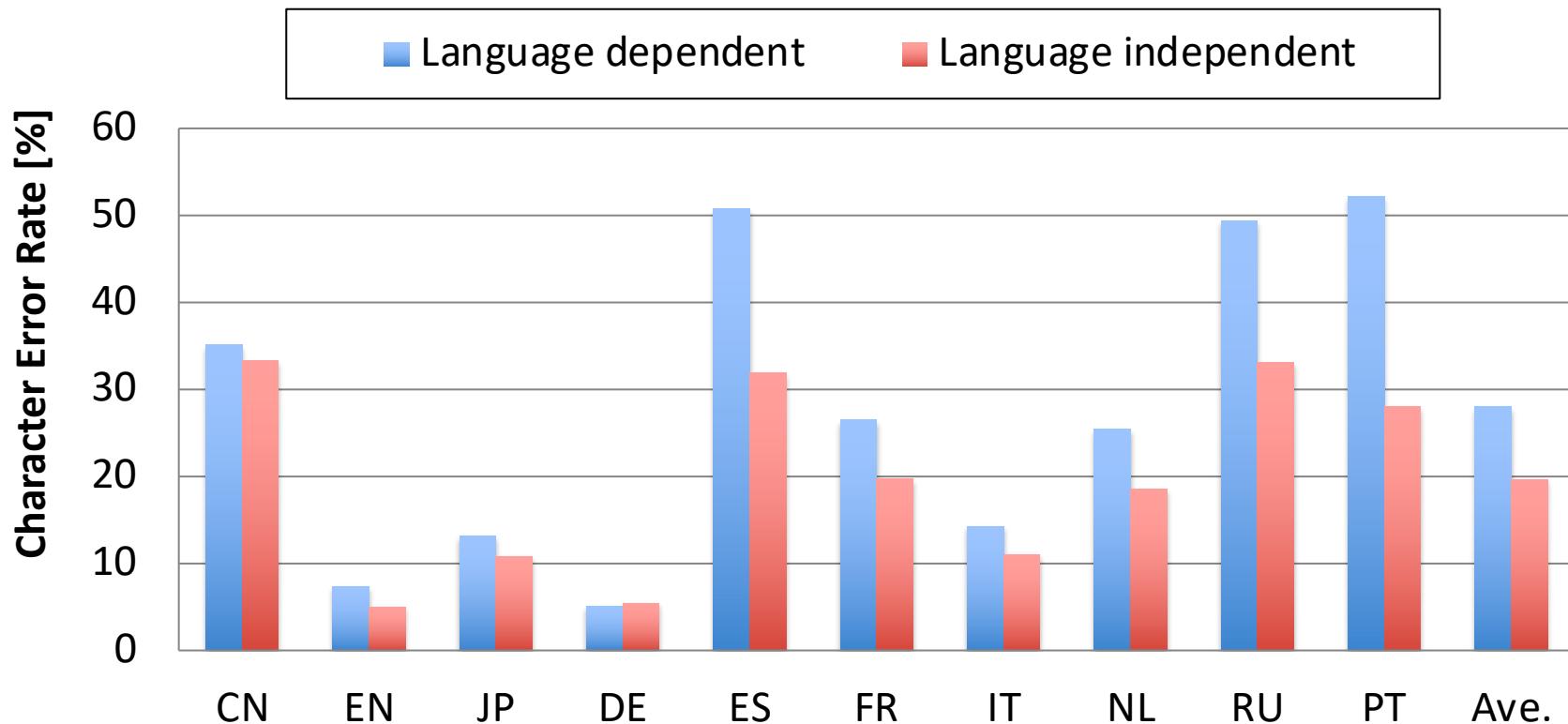
- Learn a single model with multi-language data (10 languages)
- **Integrates** language identification and 10-language speech recognition systems
- **No pronunciation lexicons**





ASR performance for 10 languages

- Comparison with language dependent systems
- Language-independent single end-to-end ASR works well!



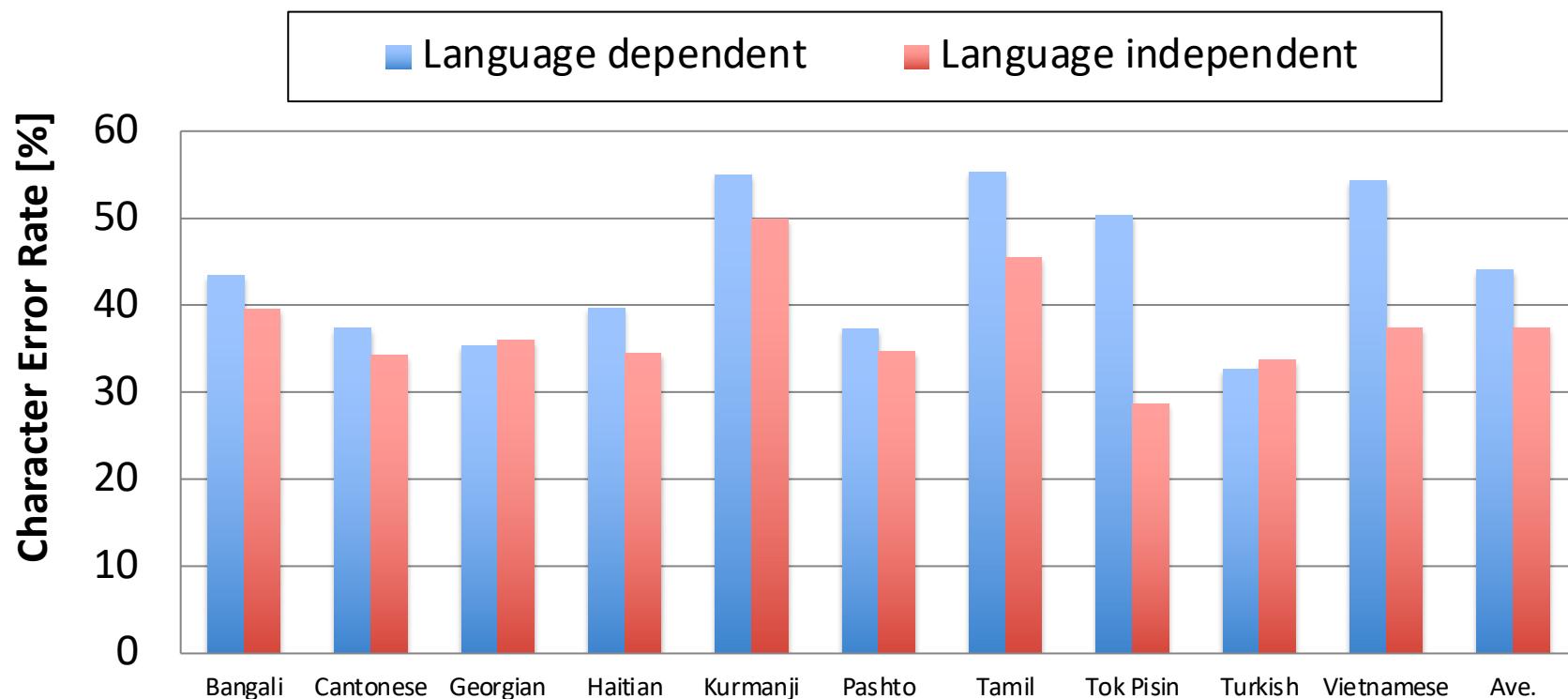
你好
Hello
こんにちは
Hallo
Hola
Bonjour
Ciao
Hallo
Привет
Olá

Language recognition performance

		CH	EN	JP	DE	ES	FR	IT	NL	RU	PT
CH	train_dev	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	dev	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
EN	test_eval92	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	test_dev93	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
JP	eval1_jpn	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	eval2_jpn	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
DE	eval3_jpn	0.0	0.0	99.9	0.0	0.0	0.0	0.1	0.0	0.0	0.0
	et_de	0.0	0.0	0.0	99.7	0.0	0.0	0.0	0.3	0.0	0.0
ES	dt_de	0.0	0.0	0.0	99.7	0.0	0.0	0.0	0.3	0.0	0.0
	dt_es	0.0	0.0	0.0	0.0	67.9	0.0	31.9	0.0	0.0	0.2
FR	et_es	0.0	0.0	0.0	0.1	91.1	0.0	8.4	0.1	0.0	0.2
	dt_fr	0.0	0.0	0.0	0.1	0.0	99.4	0.0	0.2	0.0	0.3
IT	et_fr	0.0	0.0	0.0	0.1	0.0	99.5	0.0	0.1	0.0	0.3
	dt_it	0.0	0.0	0.0	0.0	0.3	0.4	99.1	0.0	0.0	0.3
NL	et_it	0.0	0.0	0.0	0.0	0.4	0.4	98.3	0.2	0.1	0.7
	dt_nl	0.0	0.0	0.0	1.3	0.0	0.1	0.1	97.2	0.0	1.3
RU	et_nl	0.0	0.0	0.0	1.0	0.0	0.2	0.2	97.6	0.0	0.9
	dt_ru	0.2	0.0	0.0	0.0	0.2	0.6	0.5	0.0	97.9	0.8
PT	et_ru	0.0	0.0	0.0	0.2	0.2	0.3	4.3	0.0	94.7	0.3
	dt_pt	0.0	0.0	0.0	0.3	0.3	2.6	1.7	3.4	0.6	91.2
PT	et_pt	0.0	0.3	0.0	0.3	0.0	0.0	3.9	3.6	0.3	91.5

ASR performance for low-resource 10 languages

- Comparison with language dependent systems

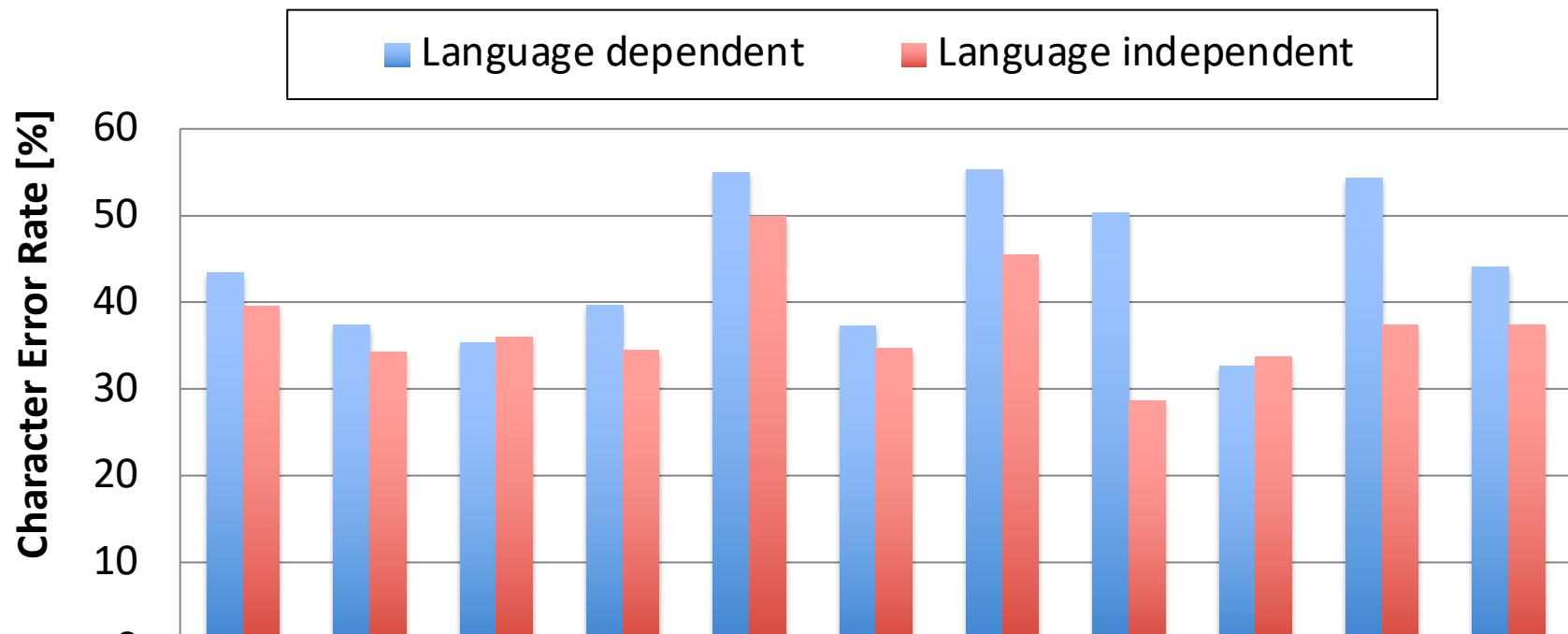


শ্যালো
你好
ଧାର୍ମାର୍ଜନରୀ
hello
???
سلام
வணக்கம்
???
Merhaba
xin chào

Some MUCS languages (e.g., Tamil) is included in this work

ASR performance for low-resource 10 languages

- Comparison with language dependent systems



~100 languages with CMU Wilderness Multilingual Speech Dataset
[Adams+(2019)]

ଶ୍ୟାଳୋ
你好
ଧାର୍ମାର୍ଜନରୀ
hello
???

سلام
வணக்கம்
???

Merhaba
xin chào

Actually it was one of the easiest studies in my work

Q. How many people were involved in the development?

A. 1 person

Q. How long did it take to build a system?

A. Totally ~1 or 2 day efforts with bash and python scripting (no change of main e2e ASR source code), **then I waited 10 days to finish training**

Q. What kind of linguistic knowledge did you require?

A. Unicode (because python2 Unicode treatment is tricky. If I used python3, I would not even have to consider it)

ASRU'17 best paper **candidate (not best paper ☹)**

Multi-lingual ASR

(Supporting 10 languages: CN, EN, JP, DE, ES, FR, IT, NL, RU, PT)

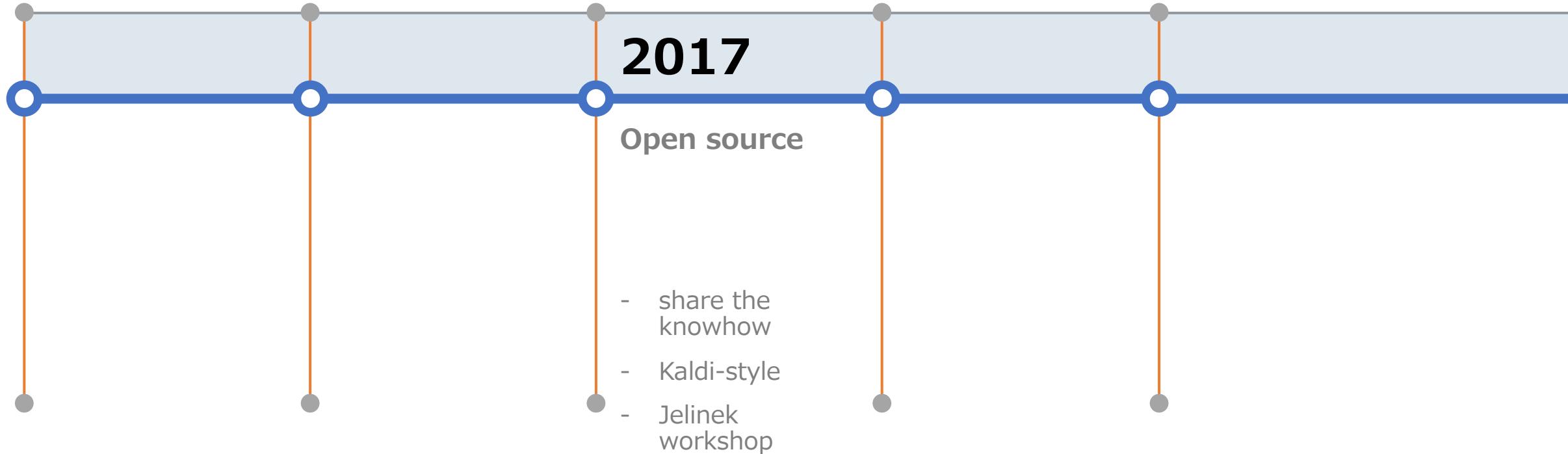
ID	a04m0051_0.352274410405	
	<p>REF: [DE] bisher sind diese personen rundherum versorgt worden [EN] u. s. exports rose in the month but not nearly as much as imports</p> <p>ASR: [DE] bisher sind diese personen rundherum versorgt worden [EN] u. s. exports rose in the month but not nearly as much as imports</p>	

ID	csj-eval:s00m0070-0242356-0244956:voxforge-et-fr:mirage59-20120206-njp-fr-sb-570	
	<p>REF: [JP] 日本でもニュースになったと思いますが [FR] le conseil supérieur de la magistrature est présidé par le président de la république</p> <p>ASR: [JP] 日本でもニュースになったと思いますが [FR] le conseil supérieur de la magistrature est présidé par le président de la république</p>	

ID	voxforge-et-pt:insinfo-20120622-orb-209:voxforge-et-de:guenter-20140127-usn-de5-069:csj-eval:a01m0110-0243648-0247512	
	<p>REF: [PT] segunda feira [DE] das gilt natürlich auch für bestehende verträge [JP] え同一人物による異なるメッセージを示しております</p> <p>ASR: [PT] segunda feira [DE] das gilt natürlich auch für bestehende verträge [JP] え同一人物による異なるメッセージを示しております</p>	

Timeline

Shinji's personal experience for end-to-end speech processing





ESPnet: End-to-end speech processing toolkit

Shinji Watanabe
Center for Language and Speech Processing
Johns Hopkins University

Joint work with Takaaki Hori , Shigeki Karita, Tomoki Hayashi, Jiro Nishitoba, Yuya Unno, Nelson Enrique Yalta Soplin, Jahn Heymann, Matthew Wiesner, Nanxin Chen, Adithya Renduchintala, Tsubasa Ochiai,

and more and more

ESPnet

- Open source (Apache2.0) end-to-end speech processing toolkit developed at Frederick Jelinek Memorial Summer Workshop 2018
- >3000 GitHub stars, ~100 contributors
- Major concept

Reproducible end-to-end speech processing studies for speech researchers

Keep simplicity

- Follows the **Kaldi style**
 - Data processing, feature extraction/format
 - Recipes to provide a complete setup for speech processing experiments

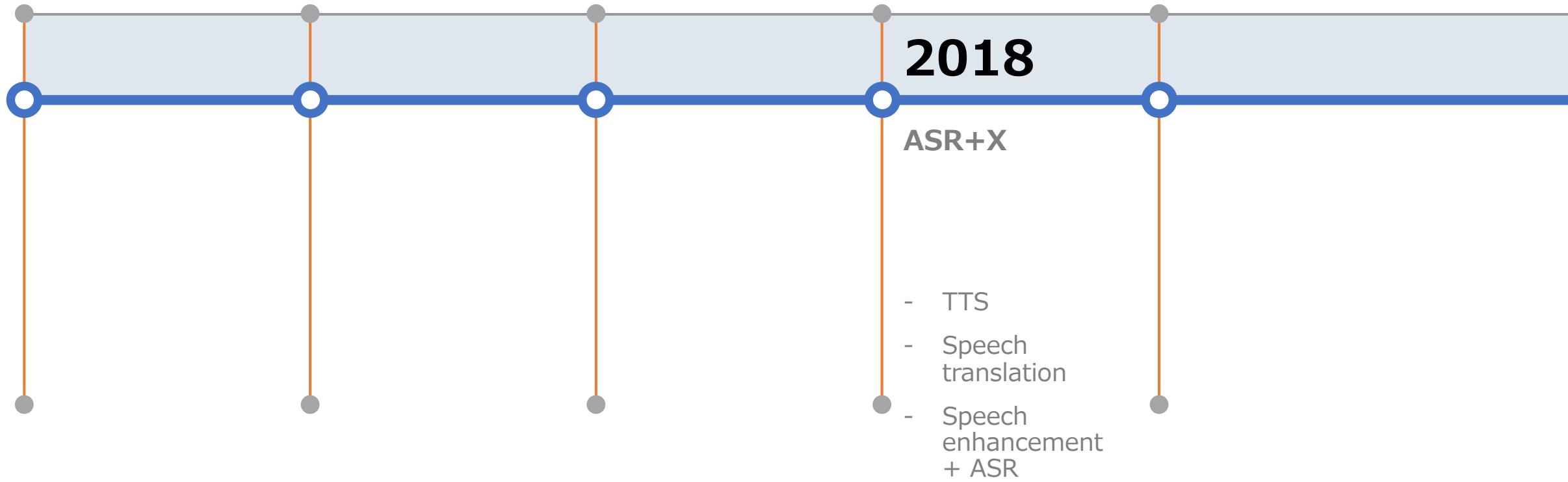
I personally didn't like pre-training fine-tuning strategies (but I changed my mind)

Functionalities

- Kaldi style data preprocessing
 - 1) fairly comparable to the performance obtained by Kaldi hybrid DNN systems
 - 2) easily porting the Kaldi recipe to the ESPnet recipe (**Part II by Pengcheng and Sathvik covers more examples**)
- Attention-based encoder-decoder
 - Subsampled BLSTM and/or VGG-like encoder and location-based attention (+10 attentions)
 - beam search decoding
- CTC
 - WarpCTC, beam search (label-synchronous) decoding
- **Hybrid CTC/attention**
 - Multitask learning
 - Joint decoding with label-synchronous hybrid CTC/attention decoding (solve monotonic alignment issues)
- RNN transducer
 - Warptransducer, beam search (label-synchronous) decoding
- Use of language models
 - Combination of RNNLM/n-gram trained with external text data (shallow fusion)
- **Part II (by Pengcheng) covers more concrete descriptions about the recipe and new functions**

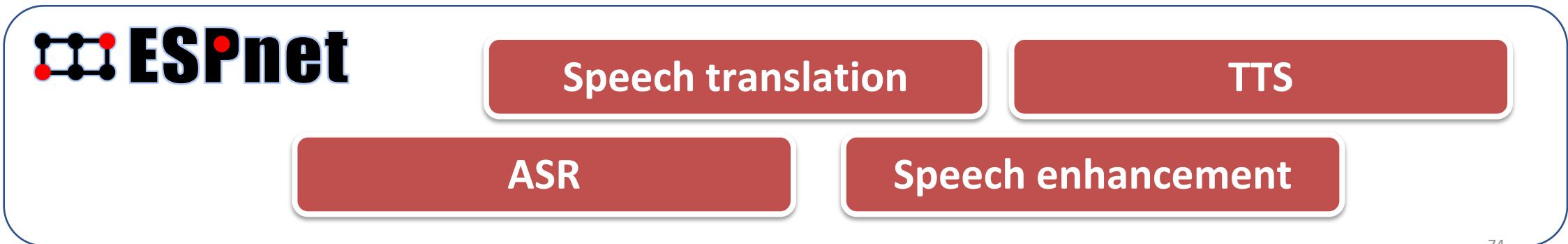
Timeline

Shinji's personal experience for end-to-end speech processing



ASR+X

- This toolkit (**ASR+X**) covers the following topics complementally



74

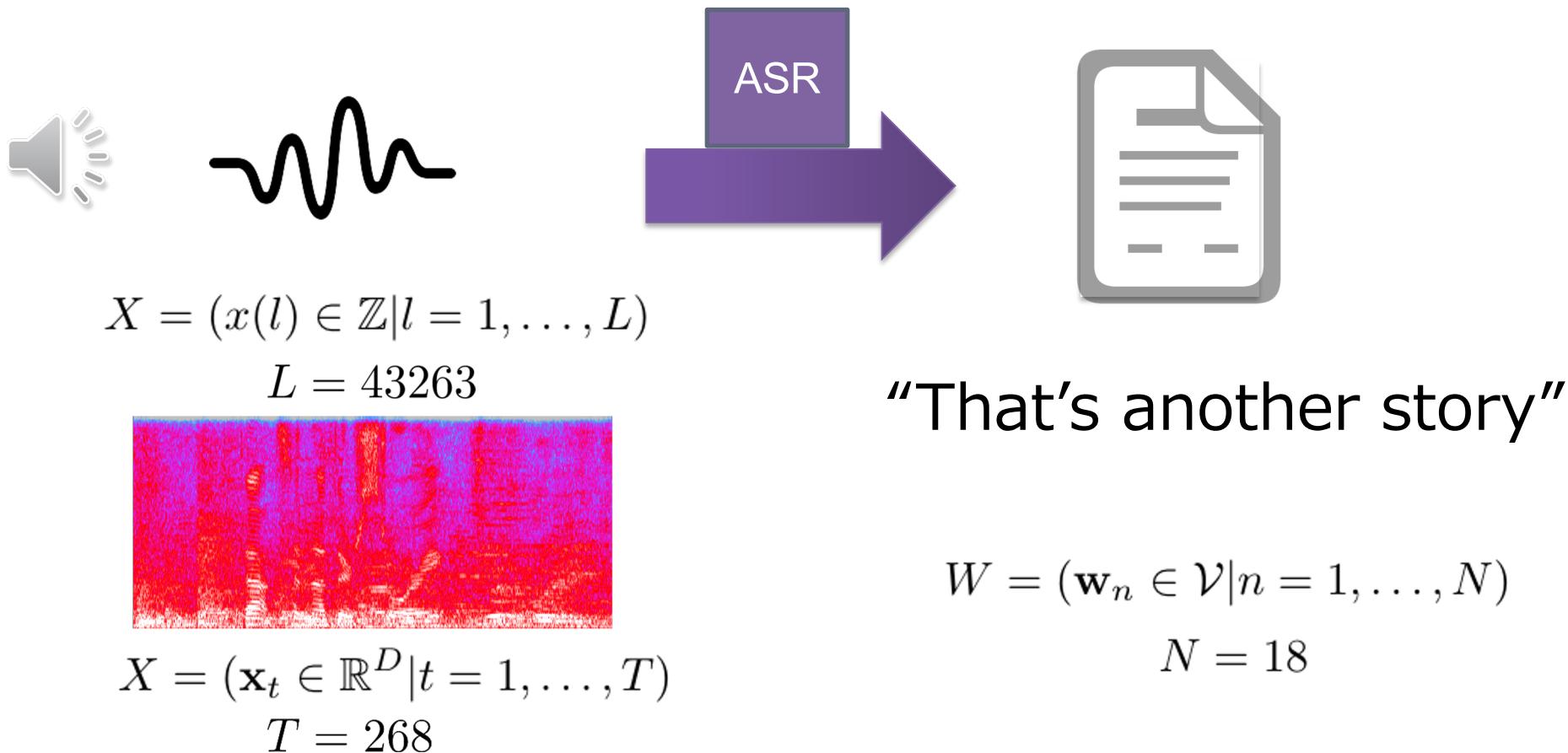
- Why we can support such wide-ranges of applications?

High-level benefit of e2e neural network

- **Unified** views of multiple speech processing applications based on end-to-end neural architecture
- **Integration** of these applications in a single network
- **Implementation** of such applications and their integrations based on an open source toolkit like ESPnet, nemo, espresso, ctc++, fairseq, opennmtpy, lingvo, speechbraing, etc. etc., in an unified manner

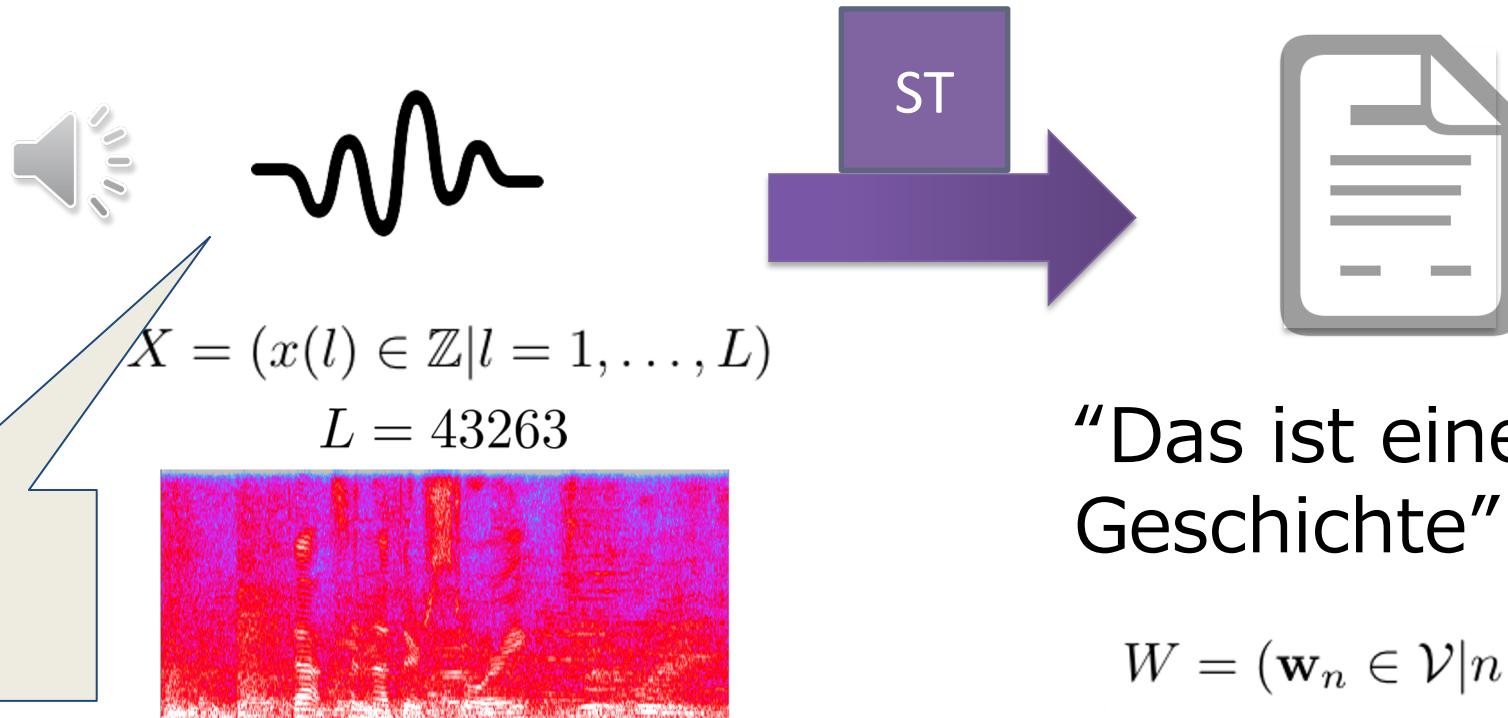
Automatic speech recognition (ASR)

- Mapping **speech** sequence to **character** sequence



Speech to text translation (ST)

- Mapping **speech** sequence in a **source** language to **character** sequence in a **target** language



$$X = (\mathbf{x}_t \in \mathbb{R}^D | t = 1, \dots, T)$$
$$T = 268$$

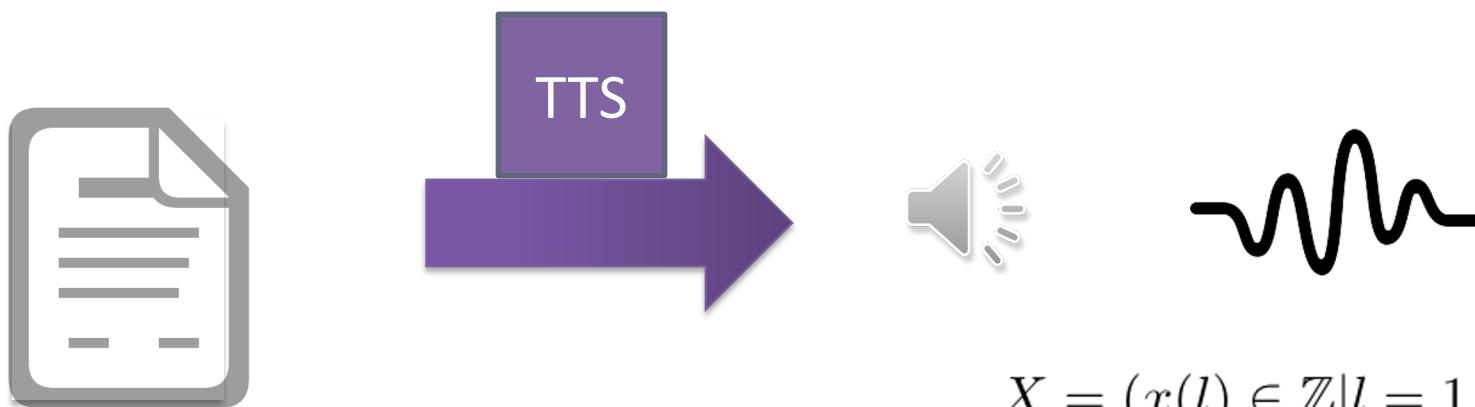
“Das ist eine andere
Geschichte”

$$W = (\mathbf{w}_n \in \mathcal{V} | n = 1, \dots, N)$$

$$N=31$$

Text to speech (TTS)

- Mapping **character** sequence to **speech** sequence

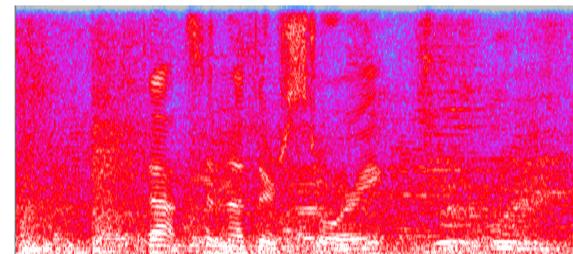


$$X = (x(l) \in \mathbb{Z} | l = 1, \dots, L)$$
$$L = 43263$$

“That’s another story”

$$W = (\mathbf{w}_n \in \mathcal{V} | n = 1, \dots, N)$$

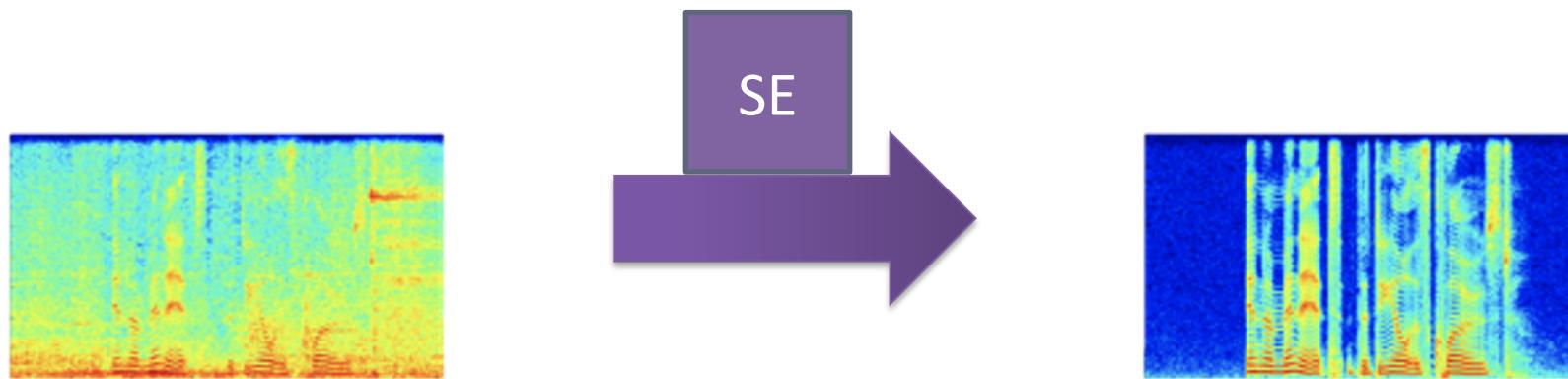
$$N = 18$$



$$X = (\mathbf{x}_t \in \mathbb{R}^D | t = 1, \dots, T)$$
$$T = 268$$

Speech enhancement (SE)

- Mapping **noisy** speech sequence to **clean** speech sequence



$$X = (\mathbf{x}_t \in \mathbb{R}^D | t = 1, \dots, T)$$
$$T = 268$$

$$X' = (\mathbf{x}'_t \in \mathbb{R}^D | t = 1, \dots, T)$$
$$T = 268$$

All of the problems

$$X = (x_1, x_2, \dots, x_T) \xrightarrow{f} Y = (y_1, y_2, \dots, y_N)$$

Unified view with sequence to sequence

- All the above problems: find a mapping function from *sequence to sequence* (**unification**)

$$X = (x_1, x_2, \dots, x_T) \xrightarrow{f} Y = (y_1, y_2, \dots, y_N)$$

- ASR: $X = \text{Speech}$, $Y = \text{Text}$
- TTS: $X = \text{Text}$, $Y = \text{Speech}$
- ST: $X = \text{Speech (EN)}$, $Y = \text{Text (JP)}$
- Speech Enhancement: $X = \text{Noisy speech}$, $Y = \text{Clean speech}$
- Mapping function $f(\cdot)$
 - Sequence to sequence (seq2seq) function
 - ASR as an example

Seq2seq end-to-end ASR

$$X = (x_1, x_2, \dots, x_T) \xrightarrow{f} Y = (y_1, y_2, \dots, y_N)$$

Mapping seq2seq function $f(\cdot)$

1. Connectionist temporal classification (CTC)
2. Attention-based encoder decoder
3. Joint CTC/attention (Joint C/A)
4. RNN transducer (RNN-T)
5. Transformer

Unified view

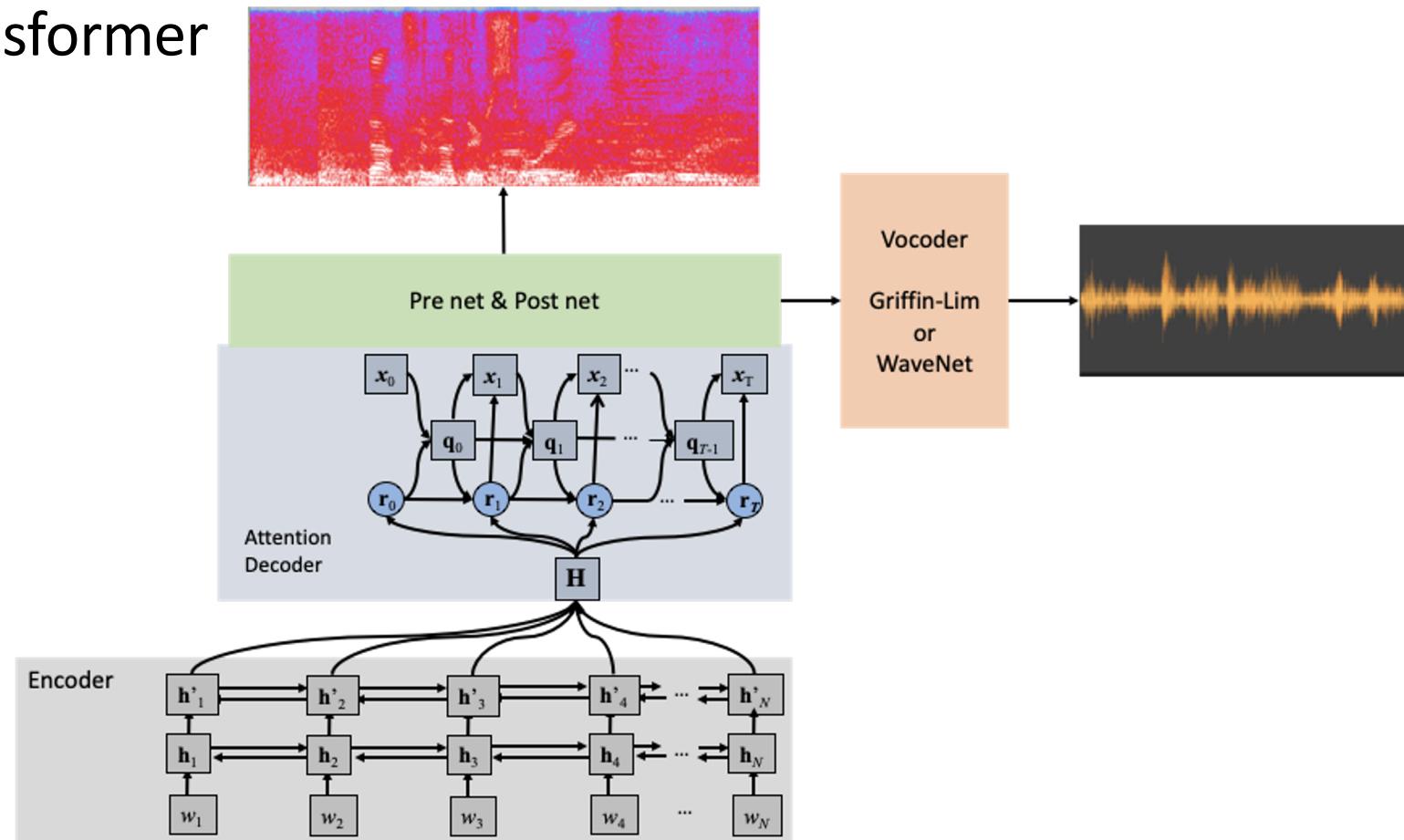
- Target speech processing problems: find a mapping function from *sequence to sequence* (**unification**)

$$X = (x_1, x_2, \dots, x_T) \xrightarrow{f} Y = (y_1, y_2, \dots, y_N)$$

- ASR: X = Speech, Y = Text
- TTS: X = Text, Y = Speech
- ...
- Mapping function (f)
 - Attention based encoder decoder
 - Transformer
 - ...

Seq2seq TTS (e.g., Tacotron2) [Shen+ 2018]

- Use seq2seq generate a spectrogram feature sequence
- We can use either attention-based encoder decoder or transformer



Unified view → Unified software design

We design a new speech processing toolkit based on

$$X = (x_1, x_2, \dots, x_T) \xrightarrow{f} Y = (y_1, y_2, \dots, y_N)$$

Unified view → Unified software design

We design a new speech processing toolkit based on

$$X = (x_1, x_2, \dots, x_T)$$

$$Y = (y_1, y_2, \dots, y_N)$$

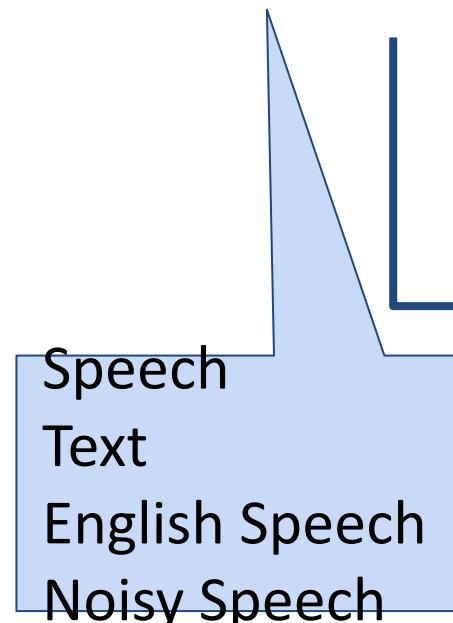


$$f(\cdot)$$

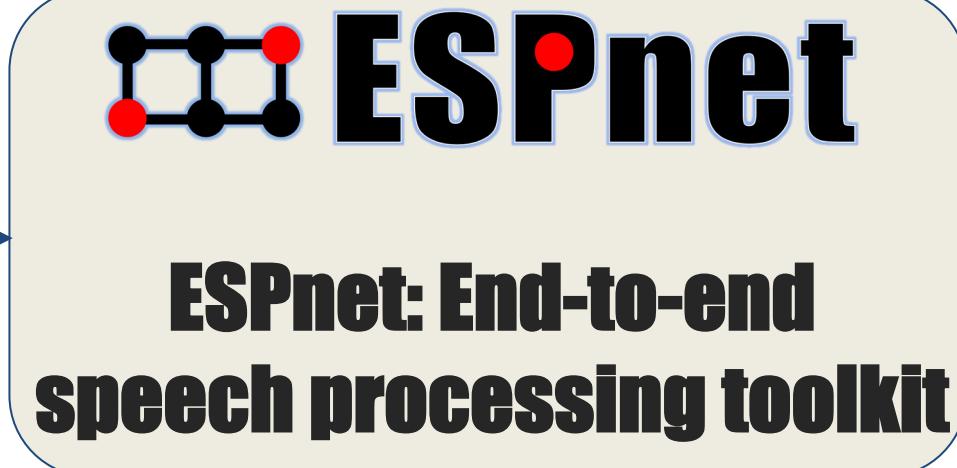
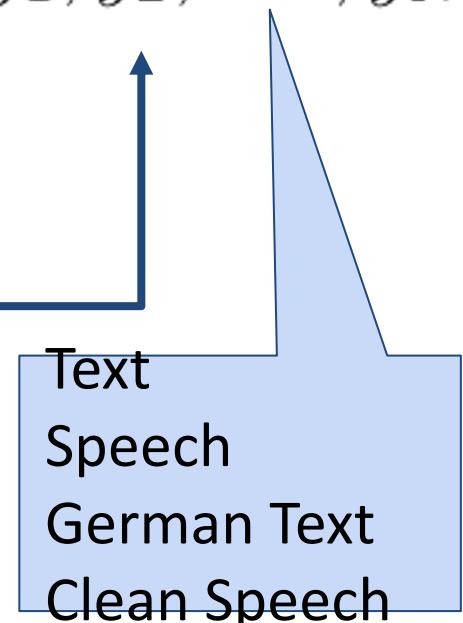
Unified view → Unified software design

We design a new speech processing toolkit based on

$$X = (x_1, x_2, \dots, x_T)$$



$$Y = (y_1, y_2, \dots, y_N)$$



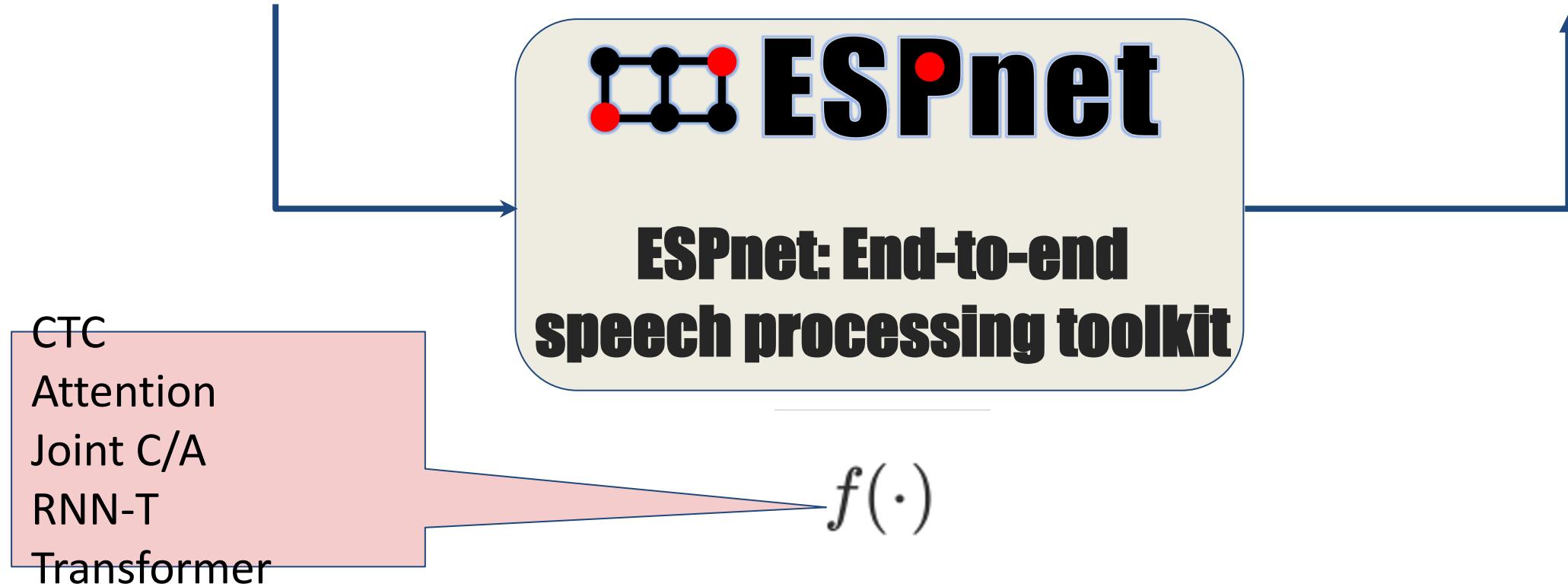
$$f(\cdot)$$

Unified view → Unified software design

We design a new speech processing toolkit based on

$$X = (x_1, x_2, \dots, x_T)$$

$$Y = (y_1, y_2, \dots, y_N)$$

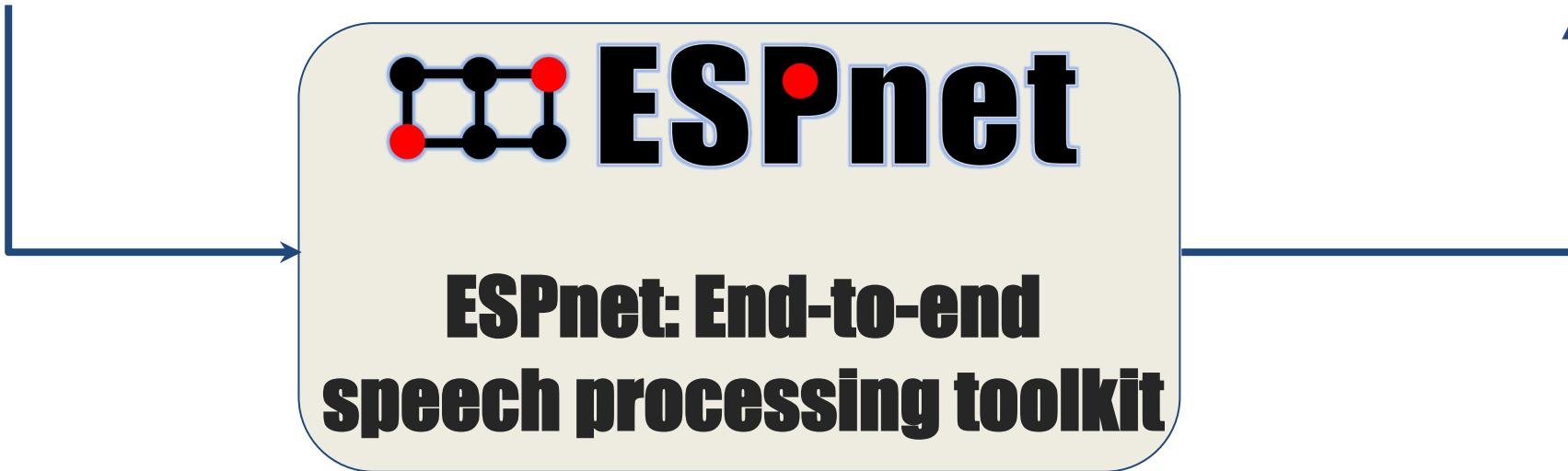


Unified view → Unified software design

We design a new speech processing toolkit based on

$$X = (x_1, x_2, \dots, x_T)$$

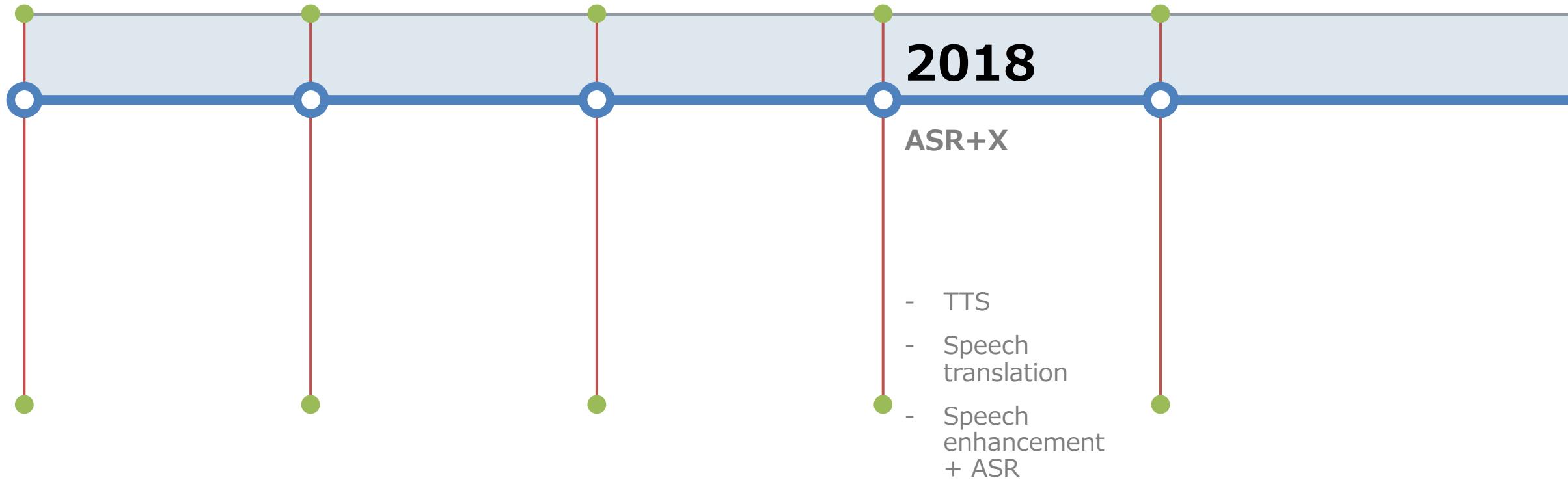
$$Y = (y_1, y_2, \dots, y_N)$$



- Many speech processing applications can be **unified** based on seq2seq
- Again, **Espresso**, **Nemo**, **Fairseq**, **Lingvo**, **SpeechBrain** and other toolkits also fully make use of these functions.

Timeline

Shinji's personal experience for end-to-end speech processing



Examples of integrations

Dereverberation + beamforming + ASR

□ Multichannel end-to-end ASR framework

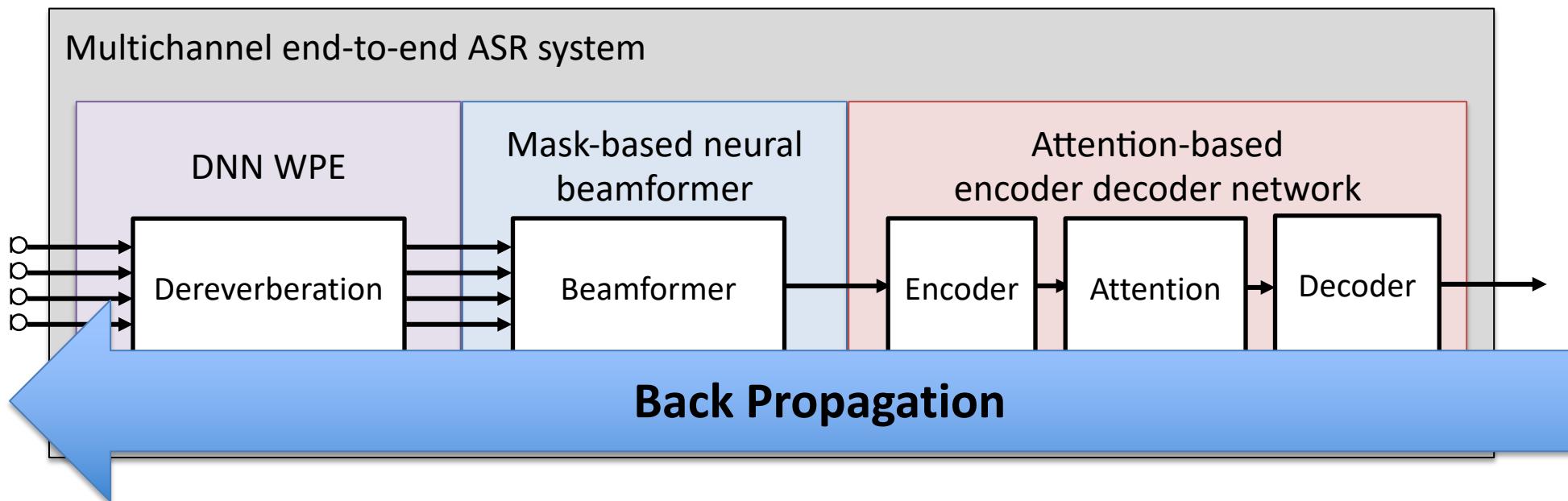
- integrates entire process of **speech dereverberation (SD)**, **beamforming (SB)** and **speech recognition (SR)**, by single neural-network-based architecture



SD : DNN-based weighted prediction error (DNN-WPE) [Kinoshita et al., 2016]

SB : Mask-based neural beamformer [Erdogan et al., 2016]

SR : Attention-based encoder-decoder network [Chorowski et al., 2014]

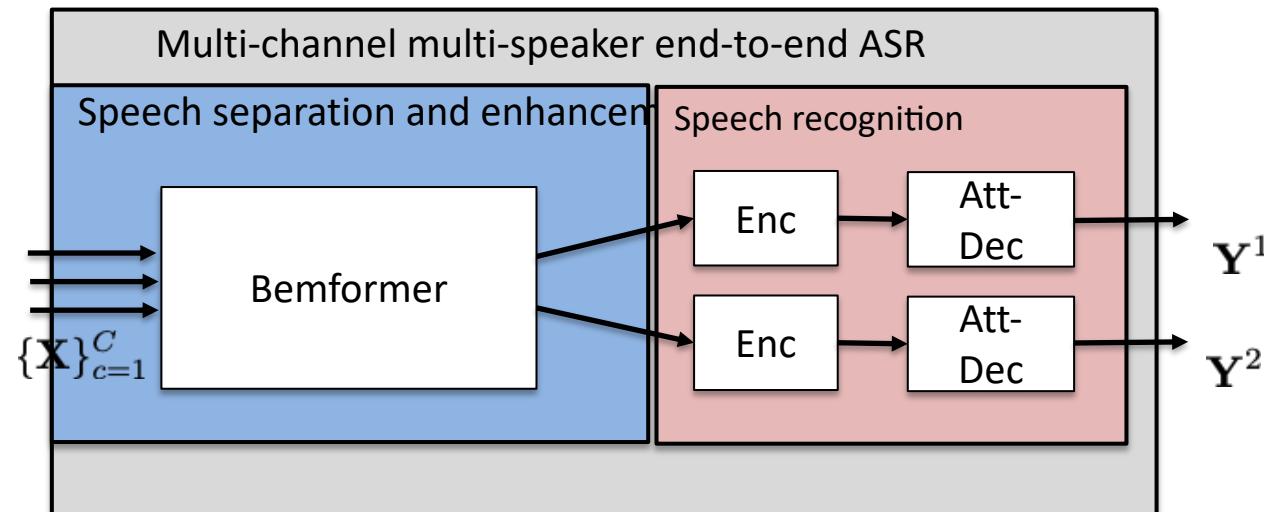


Beamforming + separation + ASR

[Xuankai Chang., 2019, ASRU]

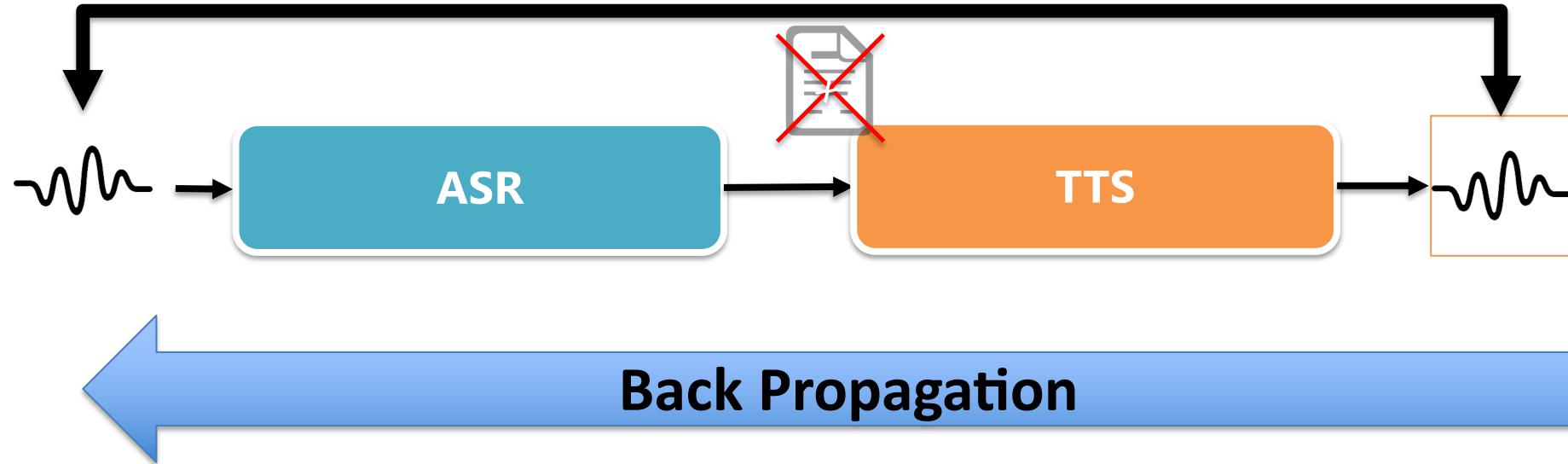
- Multi-channel (MI) multi-speaker (MO) end-to-end architecture
 - Extend our previous model to **multispeaker end-to-end network**
 - Integrate the **beamforming-based speech enhancement and separation networks** inside the neural network

We call it **MIMO speech**



Back Propagation

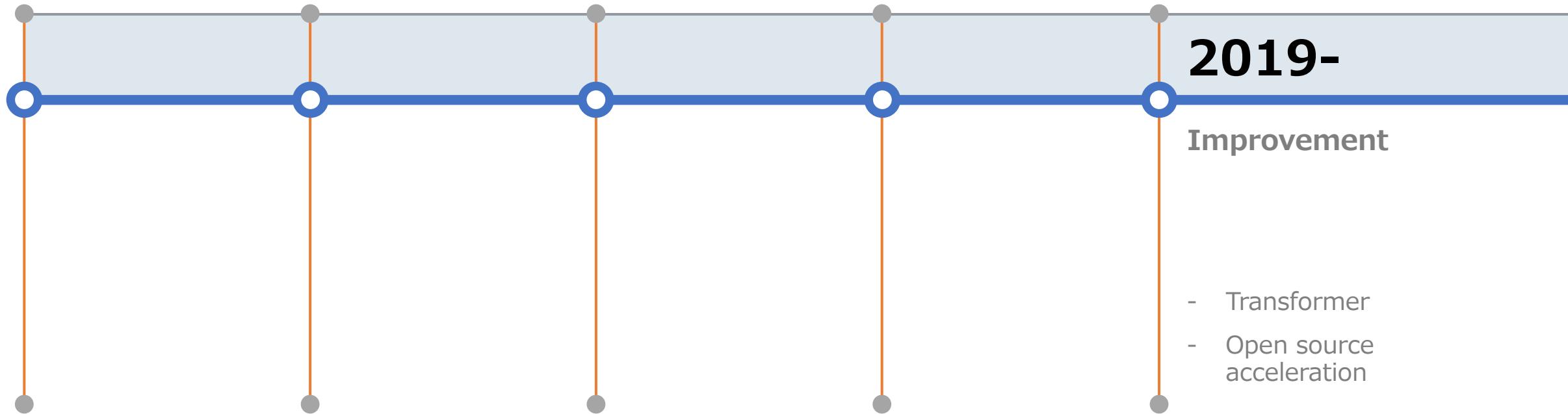
ASR + TTS feedback loop → Unpaired data training



Only audio data to train both ASR and TTS
We do not need a pair data!!!

Timeline

Shinji's personal experience for end-to-end speech processing



Experiments (~ 1000 hours) Librispeech (Audio book)

Toolkit	dev_clean	dev_other	test_clean	test_other
Facebook wav2letter++	3.1	10.1	3.4	11.2
RWTH RASR	2.9	8.8	3.1	9.8
Nvidia Jasper	2.6	7.6	2.8	7.8
Google SpecAug.	N/A	N/A	2.5	5.8

- Very impressive results by Google

Experiments (~ 1000 hours)

Librispeech

Toolkit	dev_clean	dev_other	test_clean	test_other
Facebook wav2letter++	3.1	10.1	3.4	11.2
RWTH RASR	2.9	8.8	3.1	9.8
Nvidia Jasper	2.6	7.6	2.8	7.8
Google SpecAug.	N/A	N/A	2.5	5.8
ESPnet	2.2	5.6	2.6	5.7

- Reached Google's best performance by community-driven efforts (on September 2019)



GAFAM





Good example of “Collapetition”

= Collaboration + Competition

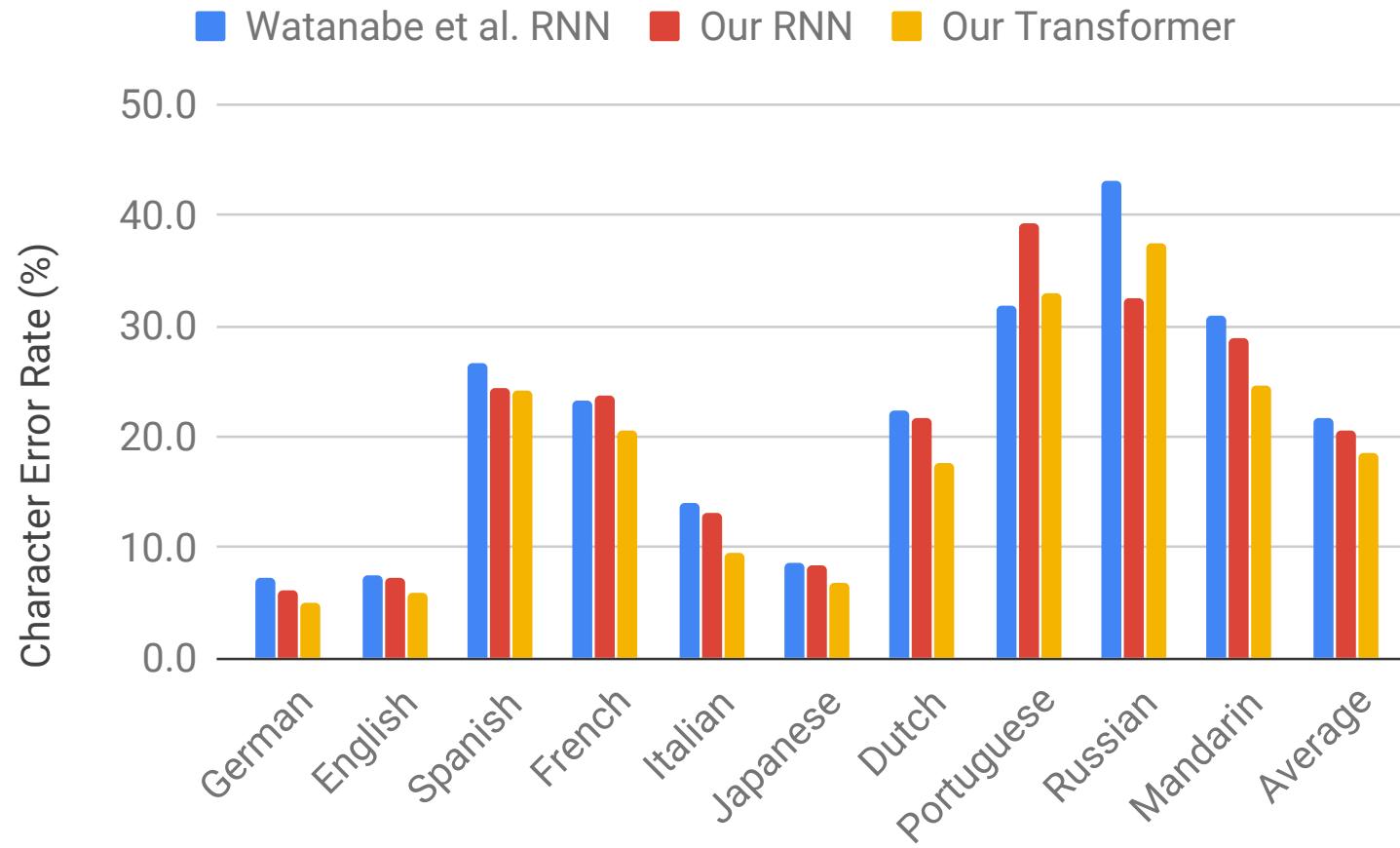
Experiments (~ 1000 hours)

Librispeech

Toolkit	dev_clean	dev_other	test_clean	test_other
Facebook wav2letter++	3.1	10.1	3.4	11.2
RWTH RASR	2.9	8.8	3.1	9.8
Nvidia Jasper	2.6	7.6	2.8	7.8
Google SpecAug.	N/A	N/A	2.5	5.8
ESPnet	2.2	5.6	2.6	5.7
MS Semantic Mask (ESPnet)	2.1	5.3	2.4	5.4
Facebook wav2letter Transformer	2.1	5.3	2.3	5.6

- Just after a few months... **And more results in Part II by Pengcheng**

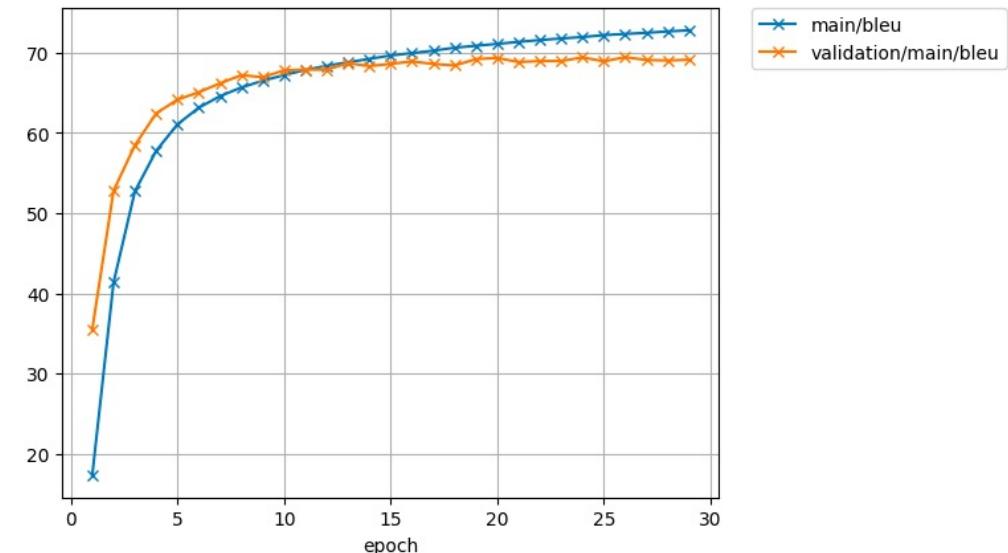
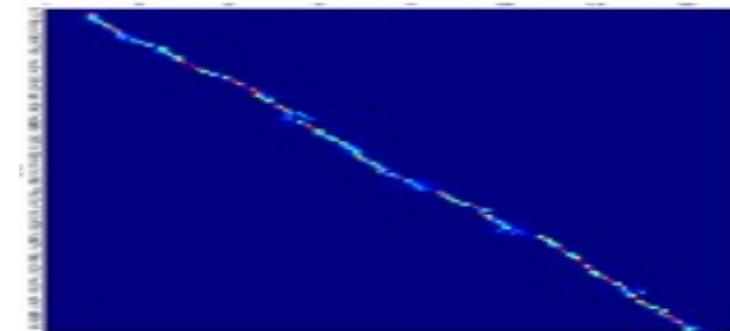
Transformer is powerful for multilingual ASR



One of the most stable and biggest gains compared with other multilingual ASR techniques

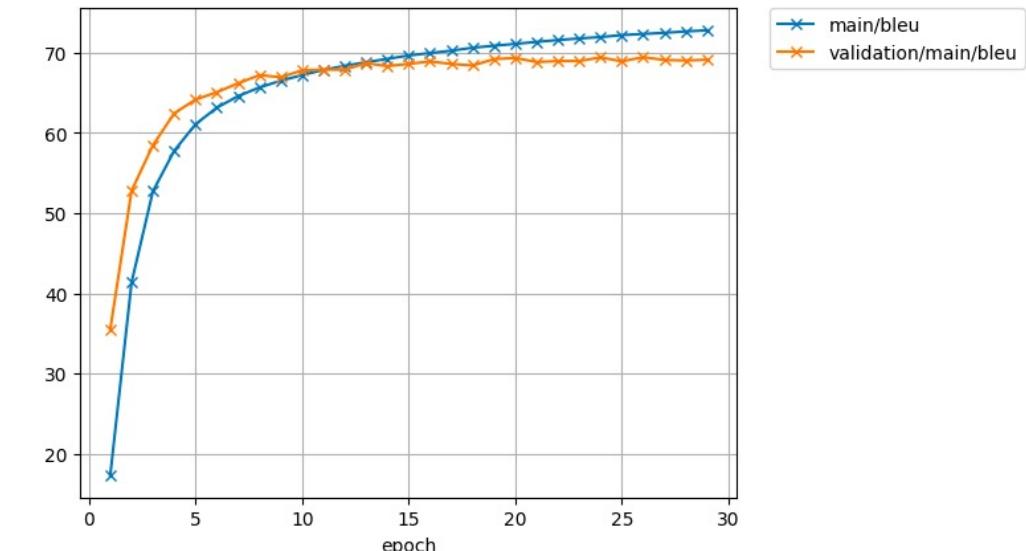
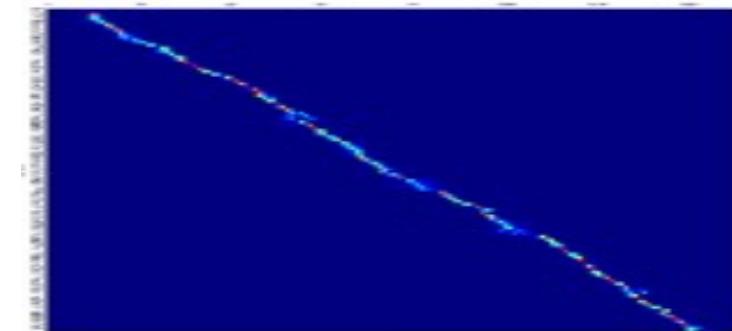
FAQ (before transformer)

- How to debug attention-based encoder/decoder?
- Please check
 - Attention pattern!**
 - Learning curves!**
- It gives you a lot of intuitive information!



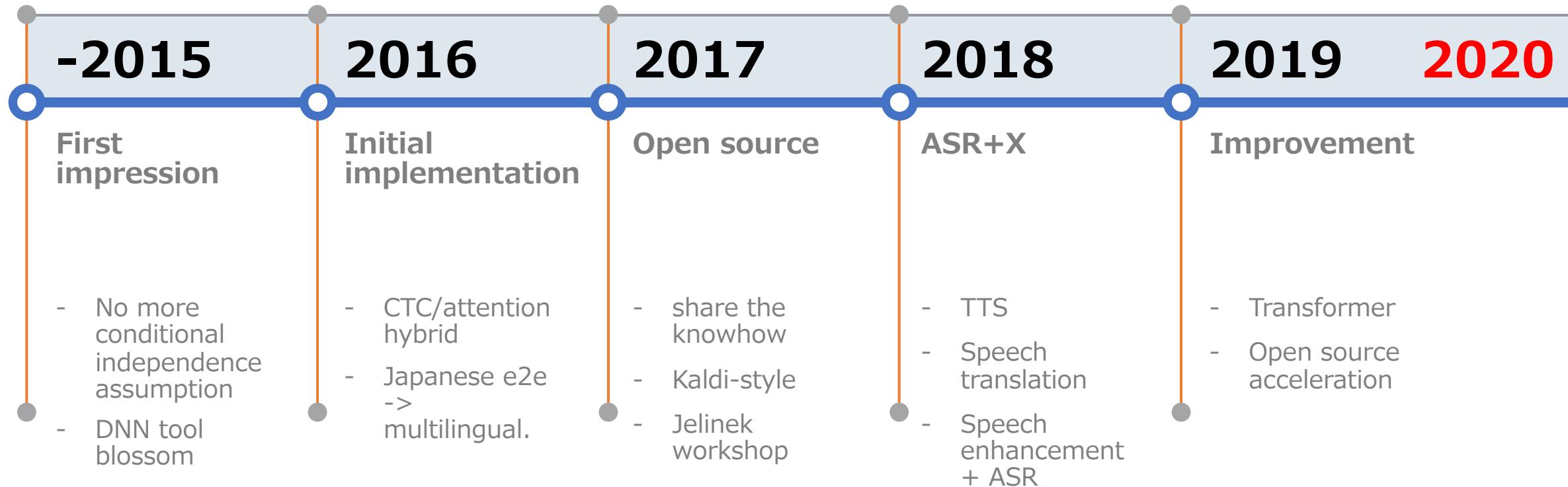
FAQ (after transformer)

- How to debug attention-based encoder/decoder?
- Please check
 - Attention pattern (including self attention)!**
 - Learning curves!**
- It gives you a lot of intuitive information!
- **Tune optimizers!**



Timeline

Shinji's personal experience for end-to-end speech processing



What's next?

- **Non autoregressive ASR**
- **Time-domain processing** (real end-to-end including feature extraction and speech enhancement)
- **Differentiable WFST**
- **New architecture**
 - Conformer
- **Self-supervised training**
 - Wav2vec2, HuBERT

By Pengcheng in Part II

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 - espnet mucs recipe, and demo
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Carnegie Mellon University
Language Technologies Institute



Introduction of ESPnet, End-to-End Speech Processing Toolkit

Shinji Watanabe

Carnegie Mellon University

Pengcheng Guo

Northwestern Polytechnical University

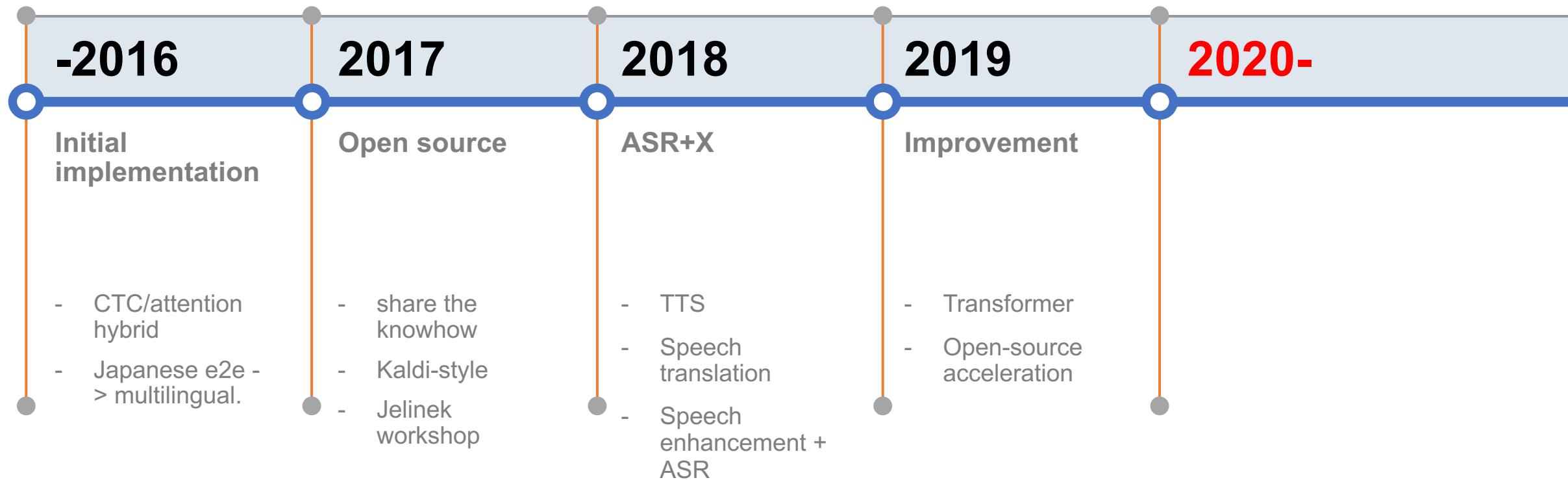
Sathvik Udupa

Indian Institute of Science

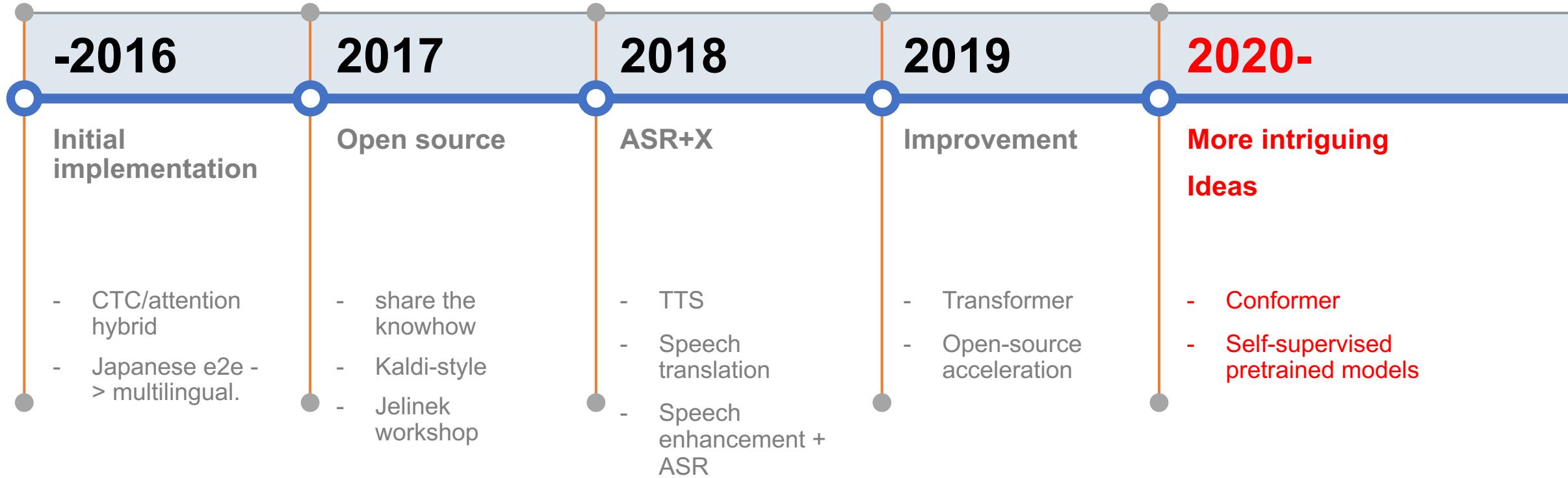
MUCS 2021: MUltilingual and Code-Switching ASR Challenges for Low Resource Indian Languages

12-13 August 2021

Timeline of ESPnet



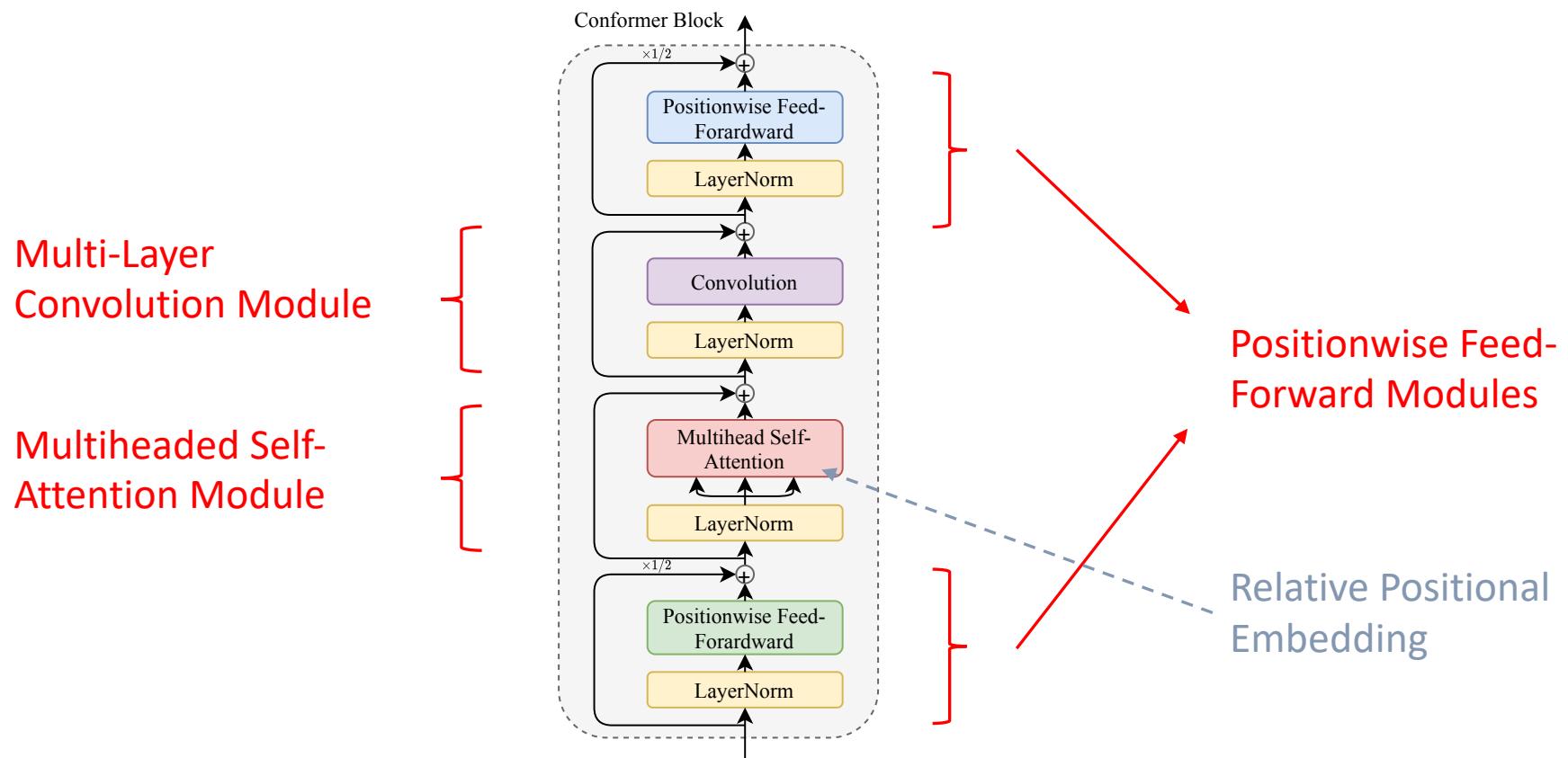
Timeline of ESPnet



Conformer: Covolution-augmented Transformer

[Gulati+ 2020]

- Combine the multi-headed self-attention layer with the convolutional layer in the encoder



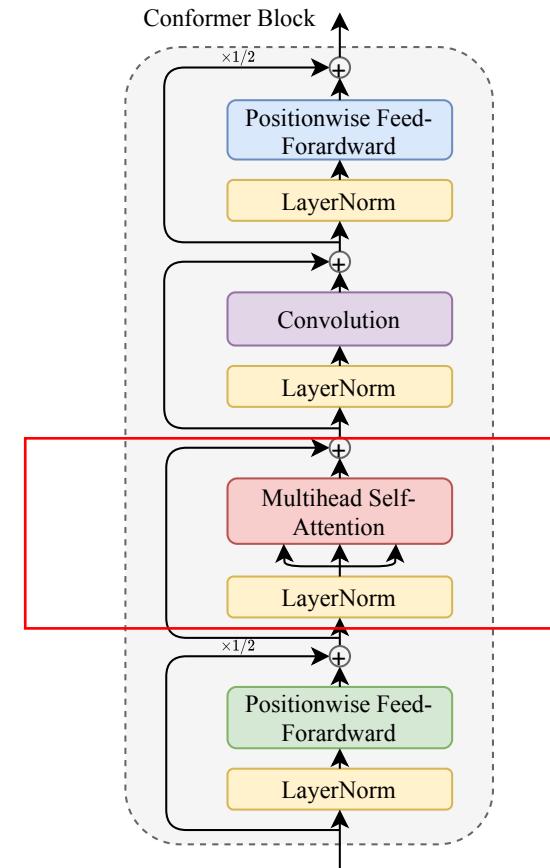
Conformer: Covolution-augmented Transformer

[Gulati+ 2020]

- Multiheaded self-attention module
 - Aim to learn the global context

$$\text{MHSA}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{Concat}(\text{head}_1, \dots, \text{head}_H) \mathbf{W}^o$$

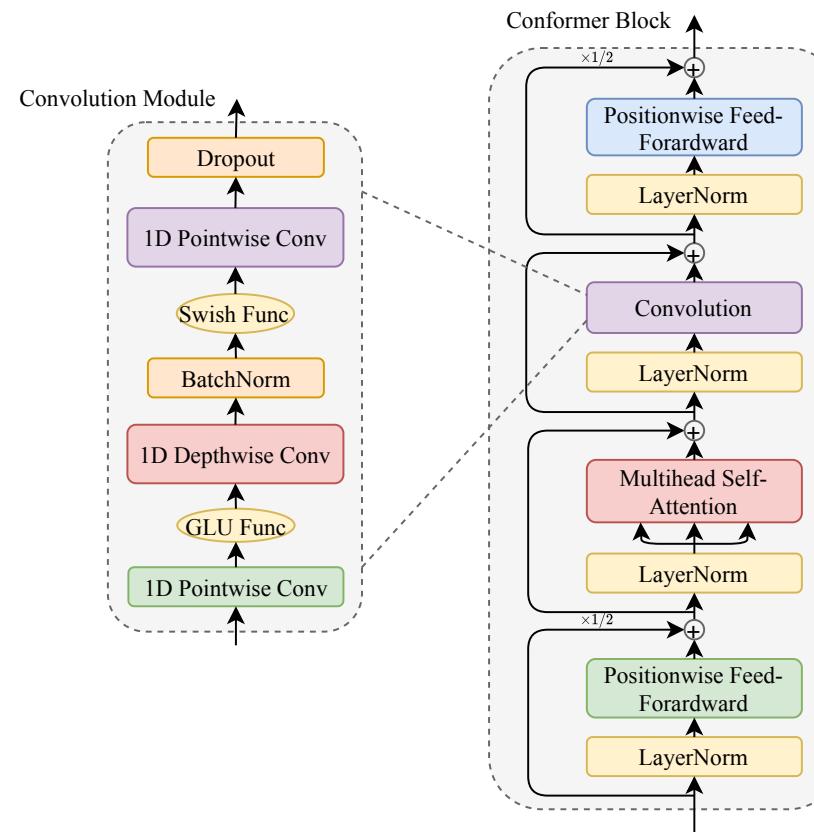
$$\text{head}_i = \text{Attention}(\mathbf{Q}_h, \mathbf{K}_h, \mathbf{V}_h)$$



Conformer: Covolution-augmented Transformer

[Gulati+ 2020]

- Multi-layer convolution module
 - Efficiently capture the local correlations



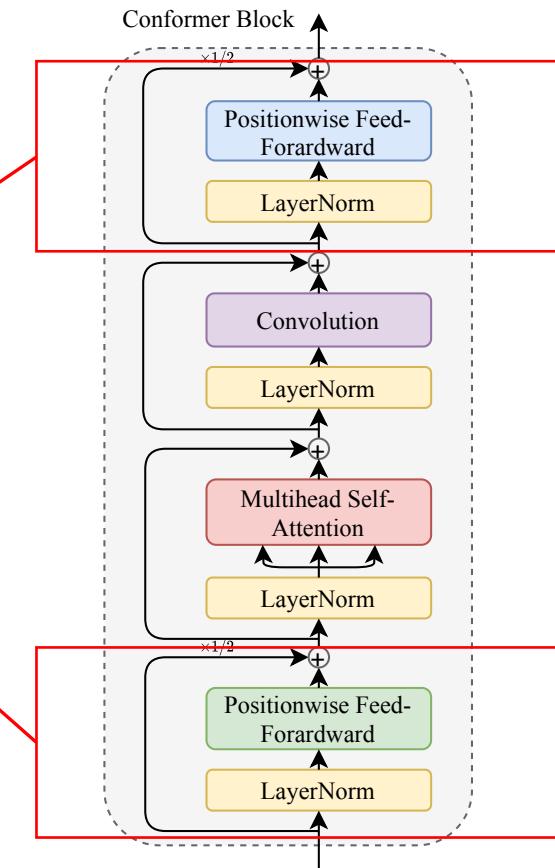
Conformer: Covolution-augmented Transformer

[Gulati+ 2020]

- Pointwise feed-forward module
 - Consists of two linear transformations with a ReLU activation in the between.

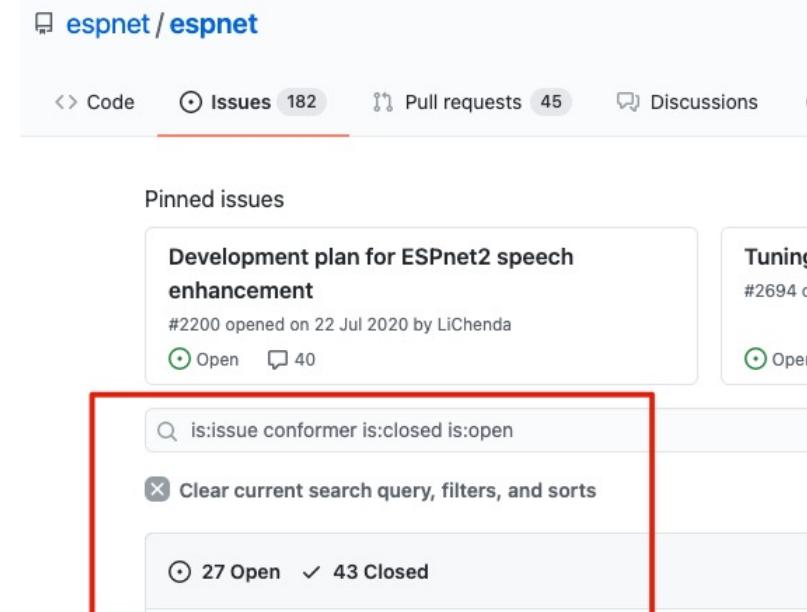
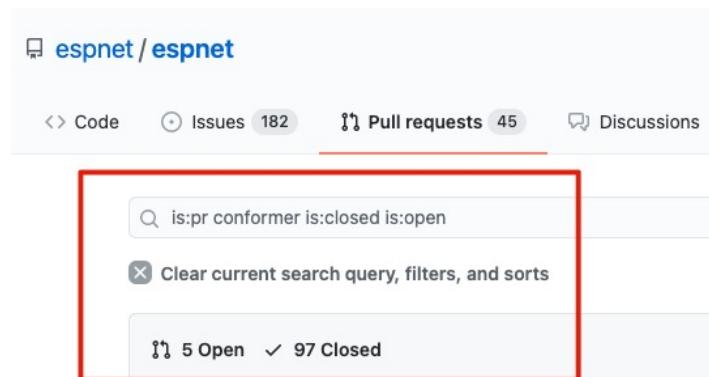
Macaron-Net Style

$$\text{FFN}(\mathbf{X}) = \mathbf{W}_2 \text{ReLU}(\mathbf{W}_1 \mathbf{X} + b_1) + b_2$$



How to Implement the Conformer in ESPnet

- Initial implementation (Jun 2020)
 - GLU activation takes 2 tensors for the element-wise product
 - Increase the channel dimension?
 - Use 2 different 1D Pointwise Conv layers?
 - The usage of relative positional embeddings
 - Share the hyper-parameters or not?
 - Can't reproduce Google's results, etc.
- First Pull Request (Jul 2020)

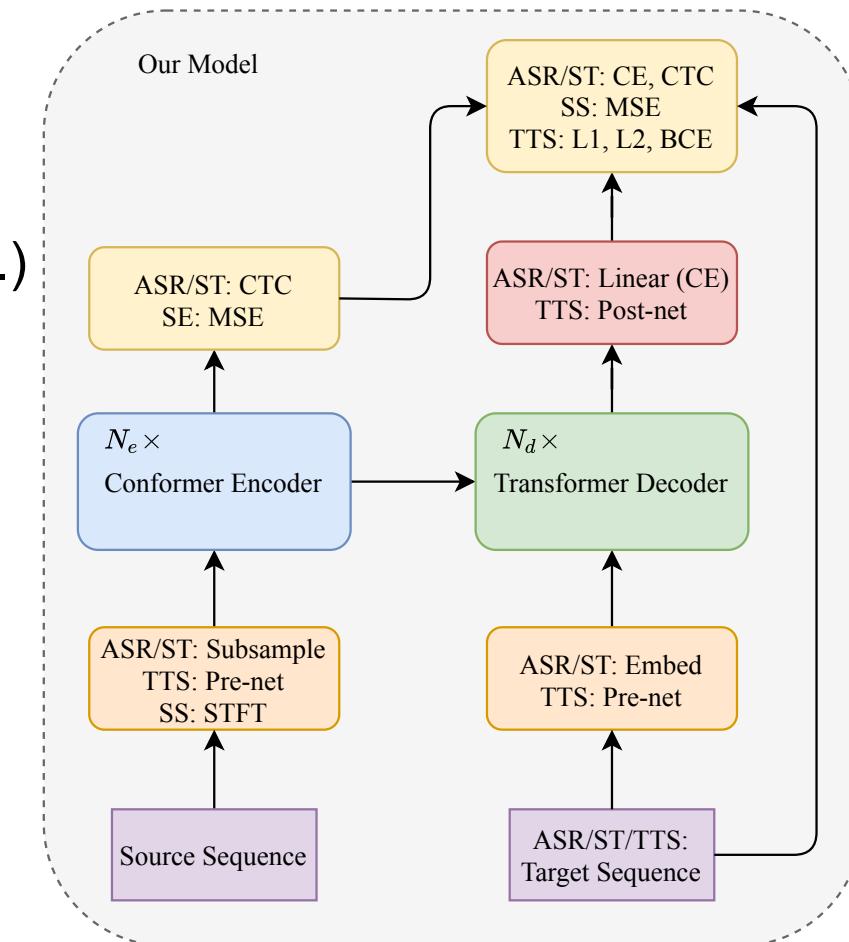


Conformer Model in ESPnet

[Watanabe+ 2018, Guo+ 2020]

- Conformer Encoder + Transformer Decoder

- 😊 Efficiently capture both global and local context in the encoder
- 😊 Very good performance on various speech processing tasks (ASR, ST, TTS, etc.)
- 😞 Off-line, slow inference



ASR Experiments (178 hours Mandarin task)

- Character Error Rate (%) on AISHELL-1 corpus

Models	dev	test
Kaldi Chain Model	N/A	7.4
Tsinghua CTC-CAT	N/A	6.3
Mobvoi U2	N/A	4.7
ESPnet Transformer	6.0	6.7
ESPnet Conformer	4.4	4.7

Achieve the state-of-art results (on October 2020)

ASR Experiments (960 hours English task)

- Word Error Rate (%) on Librispeech corpus

Toolkit	dev_clean	dev_other	test_clean	test_other
Kaldi Chain Model	3.9	10.4	4.3	10.8
Facebook wav2letter++	3.1	10.1	3.4	11.2
RWTH RASR	2.9	8.8	3.1	9.8
Nvidia Jasper	2.6	7.6	2.8	7.8
Google SpecAug.	N/A	N/A	2.5	5.8
Google Conformer	2.1	4.3	1.9	3.9
ESPnet Conformer	1.9	4.9	2.1	4.9

Reached Google's best performance.

ASR Performance boosted by Conformer

[Guo+ 2020]

- ASR performance was improved on 14/17 corpora
- Show better results on the multi-speaker WSJ-2mix task

Dataset	Vocab	Metric	Evaluation Sets	Transformer	Conformer
AIDATATANG	Char	CER	dev / test	(†) 5.9 / 6.7	4.3 / 5.0
AISHELL-1	Char	CER	dev / test	(†) 6.0 / 6.7	(*) 4.4 / 4.7
AISHELL-2	Char	CER	android / ios / mic	(†) 8.9 / 7.5 / 8.6	7.6 / 6.8 / 7.4
AURORA4	Char	WER	dev_0330 (A / B / C / D)	3.3 / 6.0 / 4.5 / 10.6	4.3 / 6.0 / 5.4 / 9.3
CSJ	Char	CER	eval{1, 2, 3}	(*) 4.7 / 3.7 / 3.9	(*) 4.5 / 3.3 / 3.6
CHiME4	Char	WER	{dt05, et05}_{simu, real}	(†) 9.6 / 8.2 / 15.7 / 14.5	9.1 / 7.9 / 14.2 / 13.4
Fisher-CallHome	BPE	WER	dev / dev2 / test / devtest / evltest	22.1 / 21.5 / 19.9 / 38.1 / 38.2	21.5 / 21.1 / 19.4 / 37.4 / 37.5
HKUST	Char	CER	dev	(†) 23.5	(†) 22.2
JSUT	Char	CER	our split	(†) 18.7	14.5
LibriSpeech	BPE	WER	{dev, test}_{clean, other}	2.1 / 5.3 / 2.5 / 5.5	1.9 / 4.9 / 2.1 / 4.9
REVERB	Char	WER	et_{near, far}	(†) 13.1 / 15.4	(†) 10.5 / 13.9
Switchboard	BPE	WER	eval2000 (callhm / swbd)	17.2 / 8.2	14.0 / 6.8
TEDLIUM2	BPE	WER	dev / test	9.3 / 8.1	8.6 / 7.2
TEDLIUM3	BPE	WER	dev / test	10.8 / 8.4	9.6 / 7.6
VoxForge	Char	CER	our split	(§) 9.4 / 9.1	(§) 8.7 / 8.2
WSJ	BPE	WER	dev93/ eval92	(‡) 7.4 / 4.9	(‡) 7.7 / 5.3
WSJ-2mix	Char	WER	tt	(§) 12.6	(§) 11.7

ASR Performance boosted by Conformer [Guo+ 2020]

- Achieve more than 15% rel. improvement on low-resource language corpora

Dataset	Transformer	Conformer + Data Augmentation
Yoloxóchitl-Mixtec	23.0 / 23.2	16.0 / 16.1
Puebla-Nahuatl	27.9 / 26.0	23.5 / 21.7
Commonvoice-Czech	38.2 / 44.3	15.3 / 20.6
Commonvoice-Welsh	32.0 / 21.8	20.0 / 14.2
Commonvoice-Russian	22.0 / 27.3	6.9 / 8.5
Commonvoice-Italian	31.8 / 33.7	15.6 / 17.0
Commonvoice-Persian	8.5 / 10.2	1.4 / 2.1
Commonvoice-Polish	24.1 / 15.1	8.8 / 2.6

ASR Performance boosted by Conformer [Guo+ 2020]

- Both Conformer-CTC and Conformer-Transducer show consistent improvement
- Conformer-CTC model even achieves competitive results over Transformer model w/ decoder
- More results: Boyer et al. “A Study of Transducer based End-to-End ASR with ESPnet: Architecture, Auxiliary Loss, and Decoding Strategies”

CER/WER results of pure CTC models.

Dataset	Transformer-CTC	Conformer-CTC
CSJ	6.0 / 4.2 / 4.8	4.8 / 3.7 / 3.8
TEDLIUM2	16.7 / 16.6	9.3 / 8.7
VoxForge	14.0 / 14.1	9.2 / 8.4
WSJ	19.4 / 15.5	12.9 / 10.9

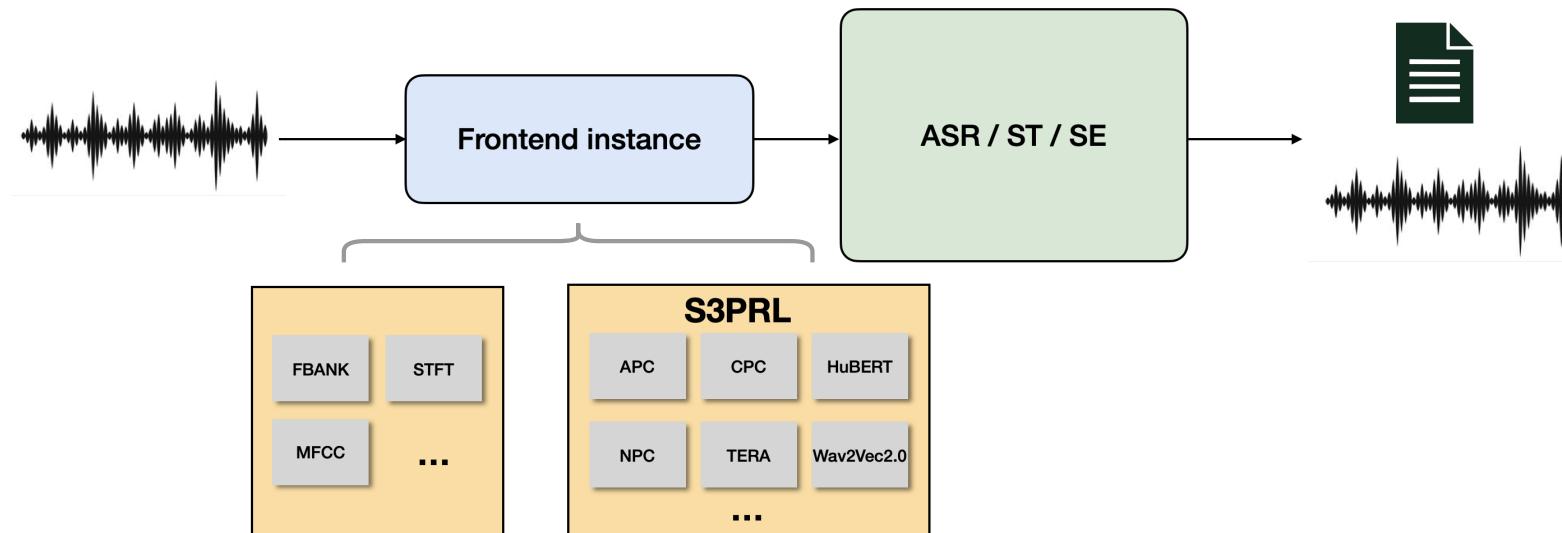
CER results of different Transducer models on the VIVOS corpus.

Model	dev	test
Transformer-Transducer	17.2	17.1
Conformer-Transducer	13.7	14.0
TDNN-Conformer-Transducer	11.6	13.1

Combine ESPnet with S3PRL

[Yang+ 2021, Chang+ 2021]

- Self-supervised pretraining on speech data have achieved a lot of progress, like wav2vec2.0 [Baevski+ 2020], Hubert [Hsu+ 2021], etc.
- S3PRL^{*} toolkit provides an integration of pretrained speech representation models and speech tasks, e.g., wav2vec2.0 + LSTM acoustic model for ASR.
- Support combine the pretrained models with advanced end-to-end speech processing models in a simple way.



^{*}: <https://github.com/s3prl/s3prl>

ASR Experiments (80 hours English task)

- Word Error Rate (%) on WSJ corpus

Models	dev93	dev92
Kaldi Chain Model	4.3	2.3
ESPnet Conformer	6.6	4.4
ESPnet Conformer + wav2vec2.0	2.8	1.8
ESPnet Conformer + Hubert	3.1	1.8

Reach the state-of-the-art results.

ASR Experiments (960 hours English task)

- Word Error Rate (%) on Librispeech corpus

Toolkit	dev_clean	dev_other	test_clean	test_other
Google Conformer	2.1	4.3	1.9	3.9
ESPnet Conformer	1.9	4.6	2.1	4.7
Facebook wav2vec2.0 (60k LibriVox)	1.6	3.0	1.8	3.3
Facebook Hubert	1.7	3.0	1.9	3.5
ESPnet Conformer + wav2vec2.0	1.9	5.4	2.2	5.2
ESPnet Conformer + Hubert	1.7	3.4	1.8	3.6

Obtain further improvements with the help of self-supervised pretrained models.

Future Work on Self-Supervised Pretrained Models

- Conduct comprehensive experiments on more corpora and more tasks
- Investigate the efficiency of self-supervised pretrained models on multilingual datasets
- Explore different scenarios, like domain mismatch, low-resource, etc.

How to build an ASR system with ESPnet

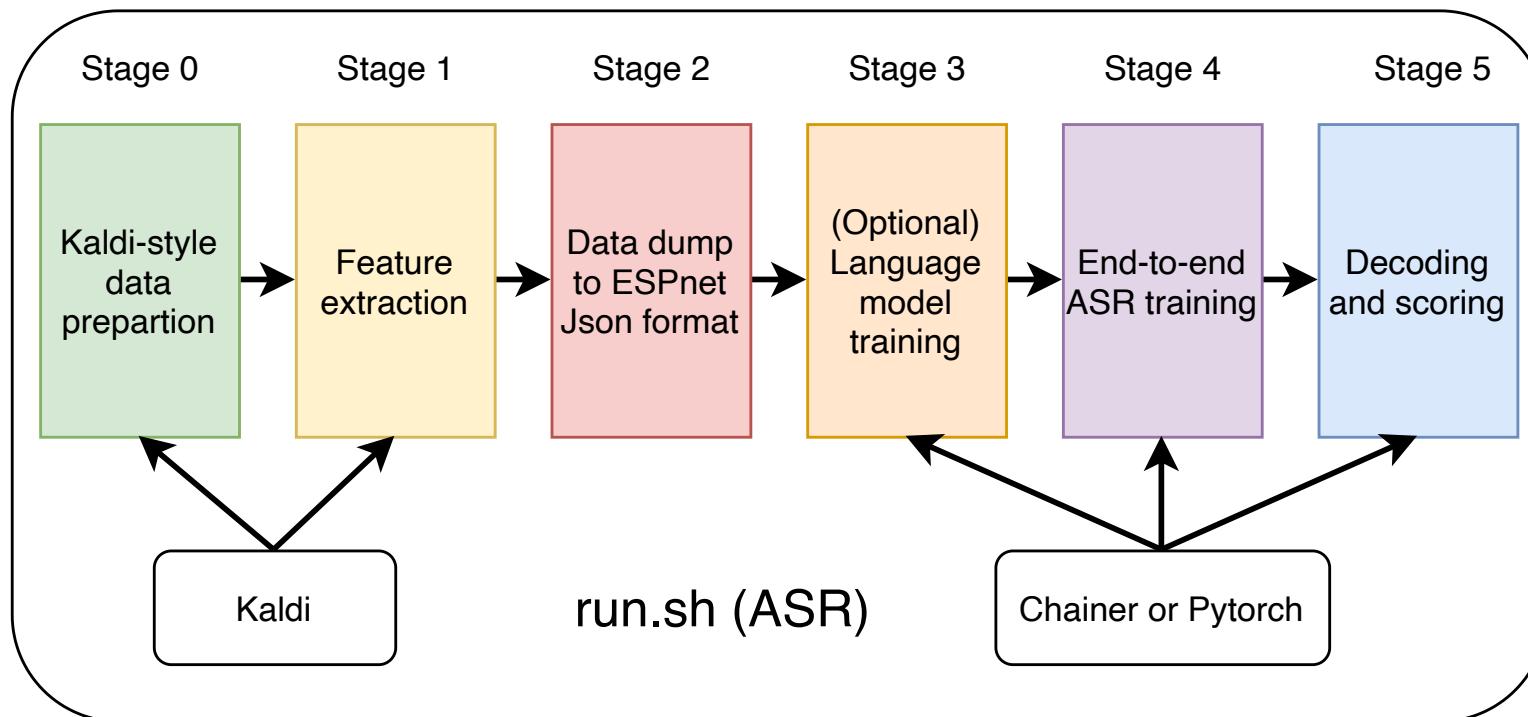
- Each recipe is organized as “`egs/**/asr1/run.sh`”
- The most import directories:
 - “`conf/`”: configurations for stages and computation clusters
 - “`data/`”: raw data prepared by Kaldi, e.g., wav.scp, text, utt2spk, etc.
 - “`dump/`”: dumped json format data for ESPnet
 - “`exp/`”: saved model parameters and log files

```
!tree -L 1 espnet/egs/librispeech/asr1
```

```
espnet/egs/librispeech/asr1
├── cmd.sh
├── conf
├── local
├── path.sh
├── RESULTS.md
├── run.sh
└── steps    -> ../../..../tools/kaldi/egs/wsj/s5/steps
               utils -> ../../..../tools/kaldi/egs/wsj/s5/utils
```

How to build an ASR system with ESPnet

- Basic flow of recipes

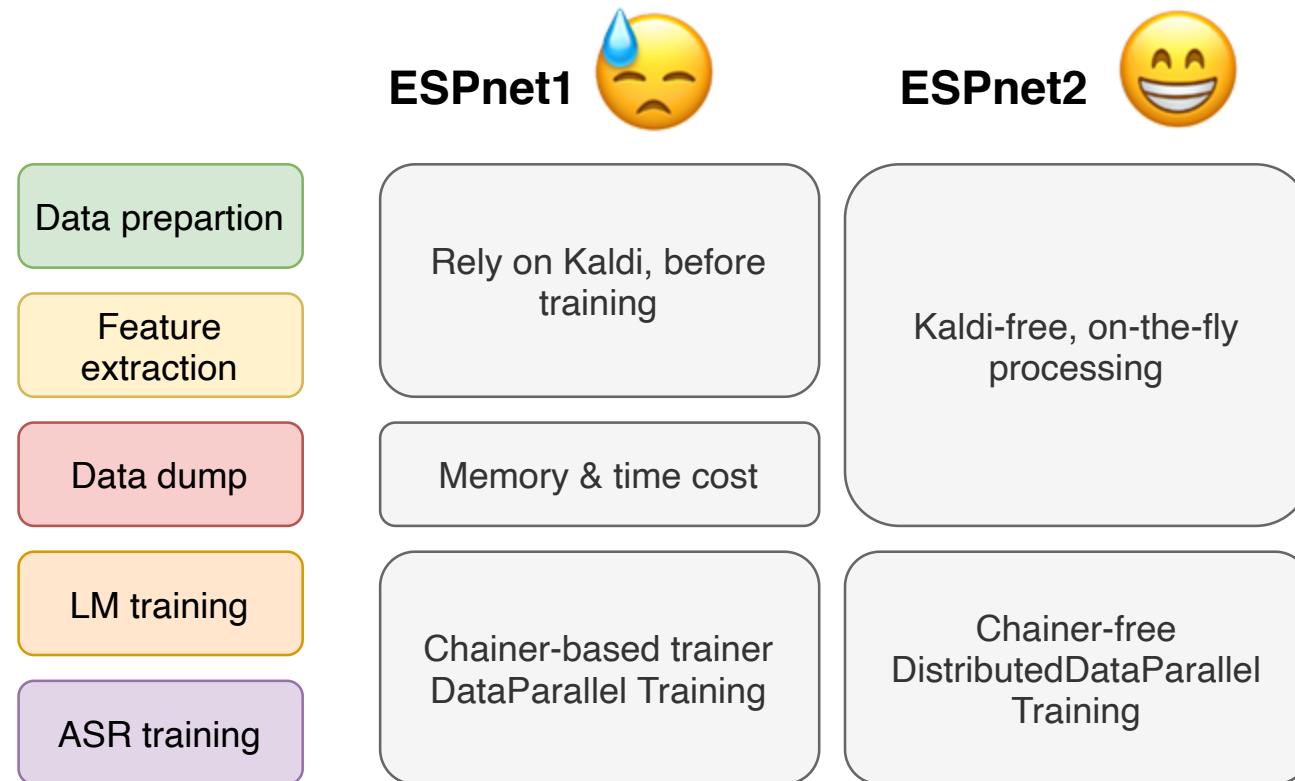


- **Simple Flow**
 - No GMM
 - No FST
 - No alignment
 - No lattice output
- **Easy to expand**
 - Various frameworks
- **All-in-one recipe**
 - Data download
 - Data preparation
 - Training & inference
 - Reproducible results
 - Pretrained models

<https://github.com/espnet/espnet/blob/master/egs/librispeech/asr1/run.sh>

A more flexible structure: ESPnet2

- Main differences between ESPnet1 and ESPnet2



How to combine self-supervised pretrained models

- ESPnet2 has already supported loading the self-supervised pretrained models as the ASR frontends
- All we need to do is change the configuration file

Freeze the params.
of upstream model

```
{  
    freeze_param: [  
        "frontend.upstream"  
    ]  
}
```

Choose an
upstream model

```
{  
    frontend: s3prl  
    frontend_conf:  
        frontend_conf:  
            upstream: hubert_large_ll60k # Note: If the upstream is changed, please change the input_size in the preencoder.  
            download_dir: ./hub  
            multilayer_feature: true  
}
```

Add a feature
transform layer

```
{  
    preencoder: linear  
    preencoder_conf:  
        input_size: 1024 # Note: If the upstream is changed, please change this value accordingly.  
        output_size: 80  
}
```

Next Section by Sathvik

How to build a multilingual and code-switching ASR system for the low resource India languages?

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 - espnet mucs recipe, and demo
- **7:15pm to 7:30pm:** summary and Q&A by Shinji



espnet mucs recipe, and demo

- Materials
 - <https://github.com/bloodraven66/writeup/blob/main/TUTORIAL.MD>
- ASR demo (we'll update MUCS models soon)
 - https://colab.research.google.com/github/espnet/notebook/blob/master/espnet2_asr_realtime_demo.ipynb

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Summary

- End-to-End speech processing has a lot of potentials especially for the multilingual setup
 - But it always has pros and cons
- ESPnet now reaches SOTA again
 - Conformer/self-supervised training
- We can easily build an ESPnet recipe for a new language
- Why I like end-to-end?
 - It becomes very simple

Summary

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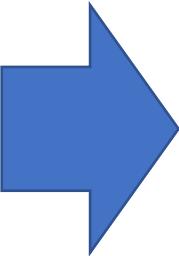
One of my research goals

- Speech recognition as a **simple machine learning** problem
 - Like MNIST, speech recognition would be a tutorial of machine learning toolkit soon with more simplifications
 - Everyone (even high school student) can build an ASR system



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- Do we lose a job?
 - Don't worry. We still have tons of more challenging speech recognition problems noisy speech, multispeaker, understanding, dialogue systems

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(by techniques or open source)
and focus on other challenging issues!

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Thanks!

Special thanks to Prasanta Kumar Ghosh, Anuj Diwan, Sanket Shah,
Shreya Khare, Preethi Jyothi for their great help on this tutorial