



FUND ADMINISTRATION GROUP
NAV CONSULTING • NAV BACKOFFICE • NAV AUSTRALIA • NAV CAYMAN • NAV SINGAPORE



HEDGE WEEK
US DIGITAL ASSETS AWARDS 2022

Best Fund Administrator - Overall

with.
Intelligence

HFM US Services
Awards 2022

Best Fund Administrator - Technology

TECHNOLOGY
OVERVIEW

PORTFOLIO
RECONCILIATION

ACCOUNTING
WEB PORTAL

PORTFOLIO
DASHBOARD

INVESTOR
WEB PORTAL

RISK AND
ATTRIBUTION

TRANSFER AGENT
WEB PORTAL

TECHNOLOGY OVERVIEW

NAV utilizes its own proprietary fund administration technology to support every fund structure and asset class traded. Our internal team of 200 IT professionals focuses on optimizing operational efficiency, maintaining strong network security, and providing comprehensive best-in-class reporting solutions. This strategy eliminates third-party software costs and time spent integrating outside technology, enabling us to offer solutions that are rapidly agile at an excellent industry-leading cost for value. With teams in the U.S. and India, we are able to provide clients with 100% uptime and 24/7 support. All NAV operations are ISAE 3402 Type 2 certified.

The NAV Portal is available in a web-based desktop version and via mobile apps for Android™ and iOS® platforms at no added cost.

The screenshot displays the NAV Status web portal and its mobile app interface. The web portal features a sidebar with navigation options: NAV STATUS, FUND ACCOUNTING, NAV PACKAGE, CUSTOM REPORTS, INVESTOR REPORTS, PORTFOLIO & ATTRIBUTION, ALL DOCUMENTS, LOGIN MANAGEMENT, and NAVPS. The main content area shows the NAV Status page with filters for Daily/Official NAV Package, Select Funds, Start Date, and End Date. A table lists funds ready for review, including NAV Sample Fund IX, with a download icon and an 'Approve' checkbox. Below this, the 'ACTIVITIES' section shows a timeline of events for NAV Sample Fund IX and NAV Sample Fund XV, including Trades Reviewed, Portal Updated, and Reports Generated, each with a timestamp and a status icon. The mobile app interface on the right shows the NAV Status page with a table of fund performance metrics for NAV Sample Fund IX, including Beginning Capital, Additions, Redemptions, Net Income, Ending Capital, YTD, and PTD. A bar chart titled 'Net Capital Activity' is also visible.

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PORTFOLIO RECONCILIATION

- DAILY TRADE BREAK REPORTING BY 4AM EST ON T+1
- COMPLETE 3-WAY PORTFOLIO RECONCILIATION
- EXCEPTION-BASED MODEL DESIGNED TO MITIGATE ERRORS
- COMPREHENSIVE SECURITY MASTER
- INTEGRATED WITH ALL THIRD-PARTY BROKERS AND PRICING VENDORS

Detailed Performance							
Security	Country	Currency	Category	Sector	Quantity	Period Gain (\$)	Period ROR (%)
Abengoa	SPAIN	EUR	Bond	INDUSTRIALS	-900,000.00	48,620.84	0.03%
Abengoa Sa Ecp 12/06/15	SPAIN	EUR	Bond	INDUSTRIALS	352,000.00	-7,267.39	(0.00)%
Abengoa Sa Ecp 14/04/15	SPAIN	EUR	Bond	INDUSTRIALS	320,000.00	-6,772.13	(0.00)%
Abengoa Sa Ecp 20/05/15	SPAIN	EUR	Bond	INDUSTRIALS	784,000.00	-14,469.83	(0.01)%
Abengoa Sa Ecp 26/03/15	SPAIN	EUR	Bond	INDUSTRIALS	0.00	-1,943.70	(0.00)%
Abengoa Sa- B Shares	SPAIN	EUR	Equity	INDUSTRIALS	-289,934.00	-109,524.52	(0.07)%
Abengoa Yield PLC	UNITED KINGDOM	USD	Equity	INDUSTRIALS	-16,928.00	-15,065.92	(0.01)%
Abengoa Yield PLC	UNITED KINGDOM	USD	Equity	INDUSTRIALS	-20,450.00	-18,200.50	(0.01)%
Abgsm 8 7/8 02/05/18 Regs	SPAIN	EUR	Bond	INDUSTRIALS	-1,640,000.00	89,708.81	0.06%
Abgsm 9 5/8 02/25/15	SPAIN	EUR	Bond	INDUSTRIALS	0.00	1,571.68	0.00%
Afren PLC	UNITED KINGDOM	USD	Bond	ENERGY	200,000.00	18,229.44	0.01%

ACCOUNTING WEB PORTAL

- ▶ KEY FUND-LEVEL REPORTS
 - BALANCE SHEET
 - INCOME STATEMENT
 - DETAILED TRIAL BALANCE
- ▶ DAILY PERFORMANCE TABLES
 - DETAILED INCOME BREAKDOWN
 - MANAGEMENT AND PERFORMANCE FEES
- ▶ FULL LIBRARY OF MONTH-END PACKAGES
- ▶ ON-DEMAND SELF-SERVICE REPORT GENERATION USING FILTERABLE DATA
- ▶ NAV STATUS PAGE DISPLAYS PROGRESS OF THE DAILY/MONTHLY REPORTING PROCESS AND REVIEW, APPROVAL, AND REPORTING OPTIONS
- ▶ FUND ONBOARDING PLATFORM IS DESIGNED TO FACILITATE FASTER, EASIER, AND MORE SECURE ONBOARDING OF NEW FUNDS

Balance Sheet				
All		Date		VIEW
	NAV Sample	Sample Fund I	Sample Fund II	Sample Fund III
ASSETS				
L Ledger Balance - Cash	(15,874,851.47)	(127,292,109.56)	765,999.99	1,000.00
L Long Portfolio Value	273,629,355.62	802,211,415.01	0.00	0.00
L Short Portfolio Value	(123,457,975.31)	(320,187,438.08)	0.00	0.00
L Futures / Forex Equity	0.00	0.00	0.00	0.00
L TOTAL EQUITY IN BROKERS' ACCOUNTS (\$)	134,296,375.90			
L Tbills / Bonds				
L Accrued Interest	(24,375.00)			
L Other Receivables	(21,000.00)			
L TOTAL ASSETS (\$)	134,251,000.90			
LIABILITIES				



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VISIT OUR WEBSITE

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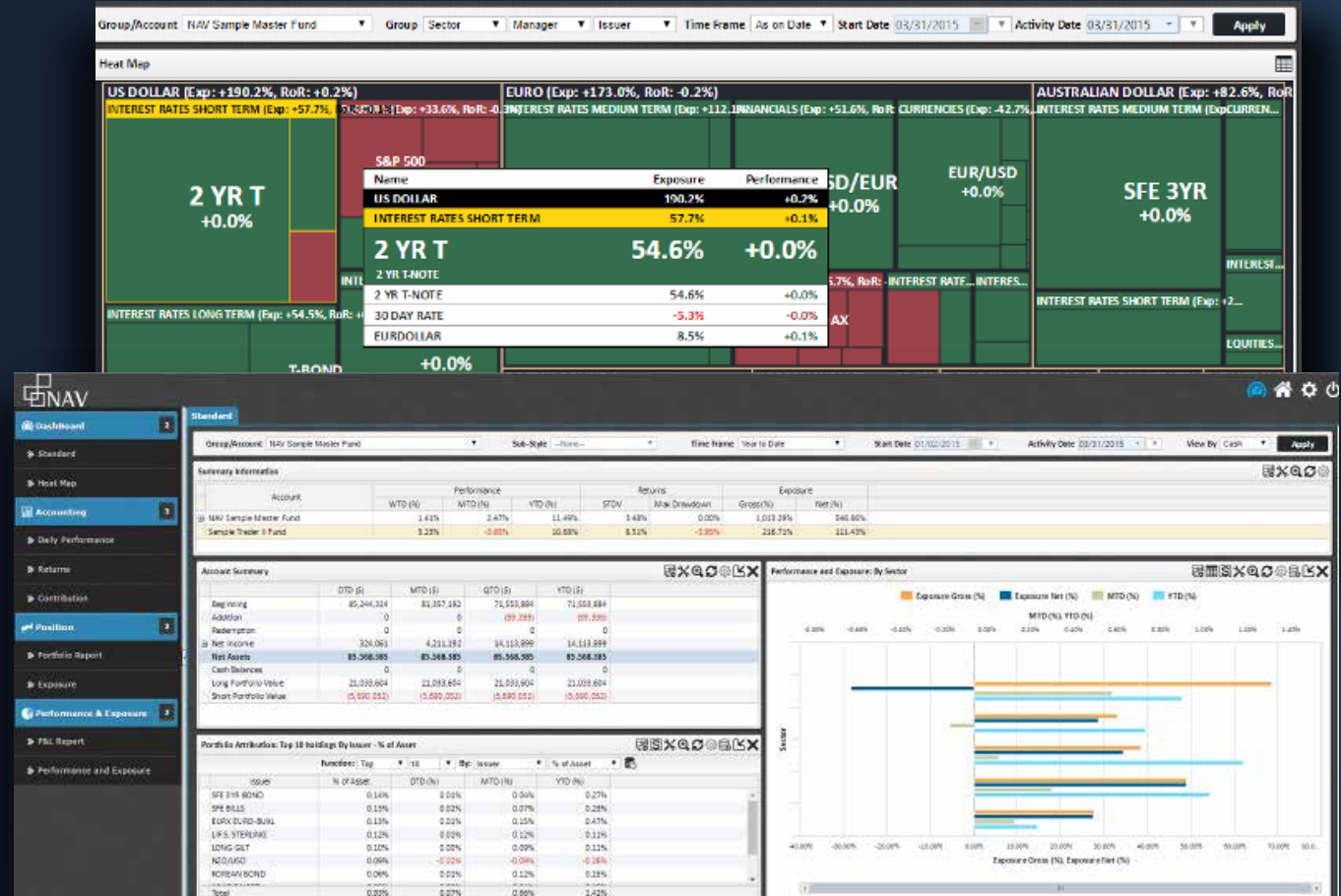
INVESTOR
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PORTFOLIO DASHBOARD

- ▶ FUND AND INVESTOR LEVEL ROLL FORWARDS SINCE INCEPTION
- ▶ INVESTOR-LEVEL GROUPING WITHIN AND ACROSS FUNDS
- ▶ ACCOUNT SUMMARY AT FUND, ADVISOR, AND INVESTOR LEVELS
- ▶ CONTROLLED PORTAL ACCESS
- ▶ FILE MANAGEMENT SYSTEM
- ▶ CUSTOMIZABLE TEMPLATES TO REINFORCE BRAND CONSISTENCY





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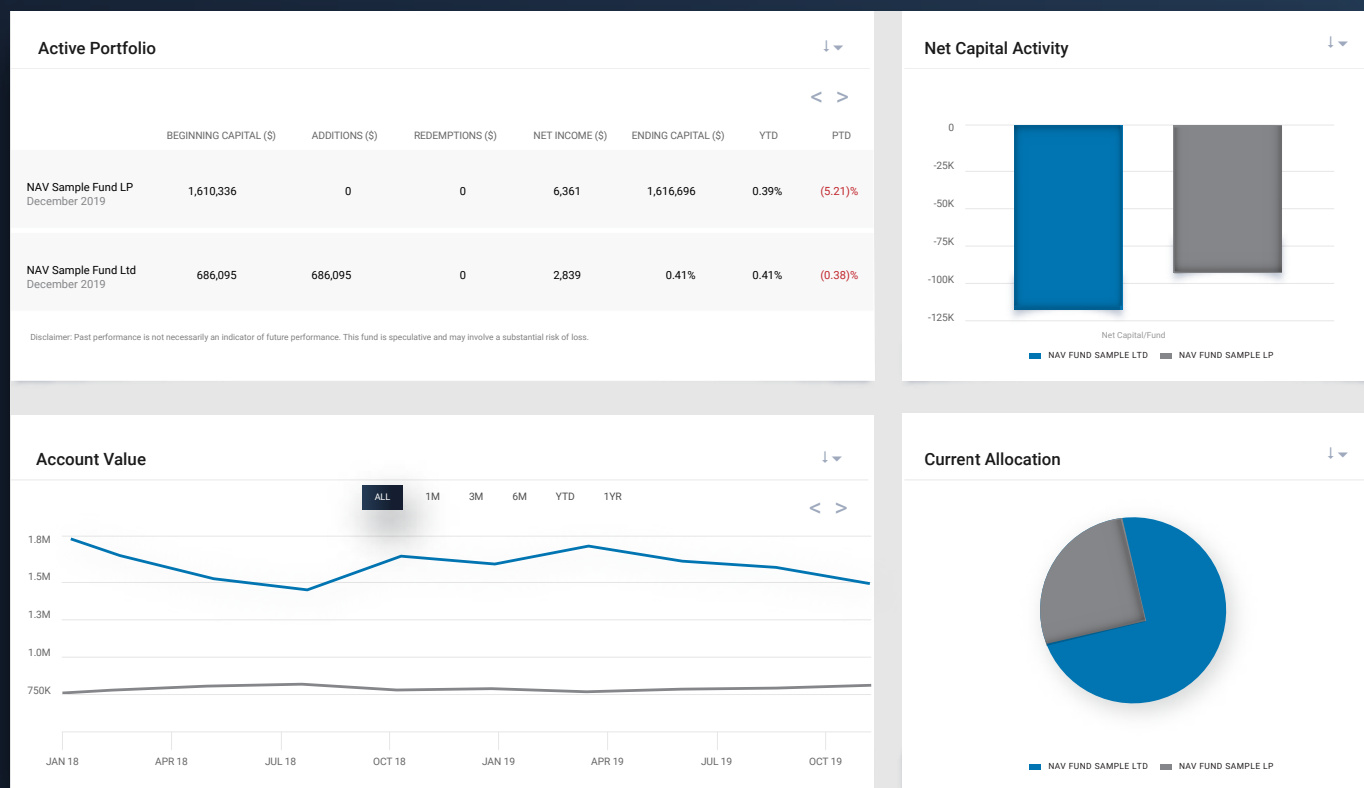
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INVESTOR WEB PORTAL

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- CUSTOMIZABLE TEMPLATES TO REINFORCE BRAND CONSISTENCY
- AVAILABLE SECURITY OPTIONS INCLUDING:
 - DOCUMENT WATERMARKING
 - TWO-FACTOR AUTHENTICATION
 - BIOMETRIC LOGINS

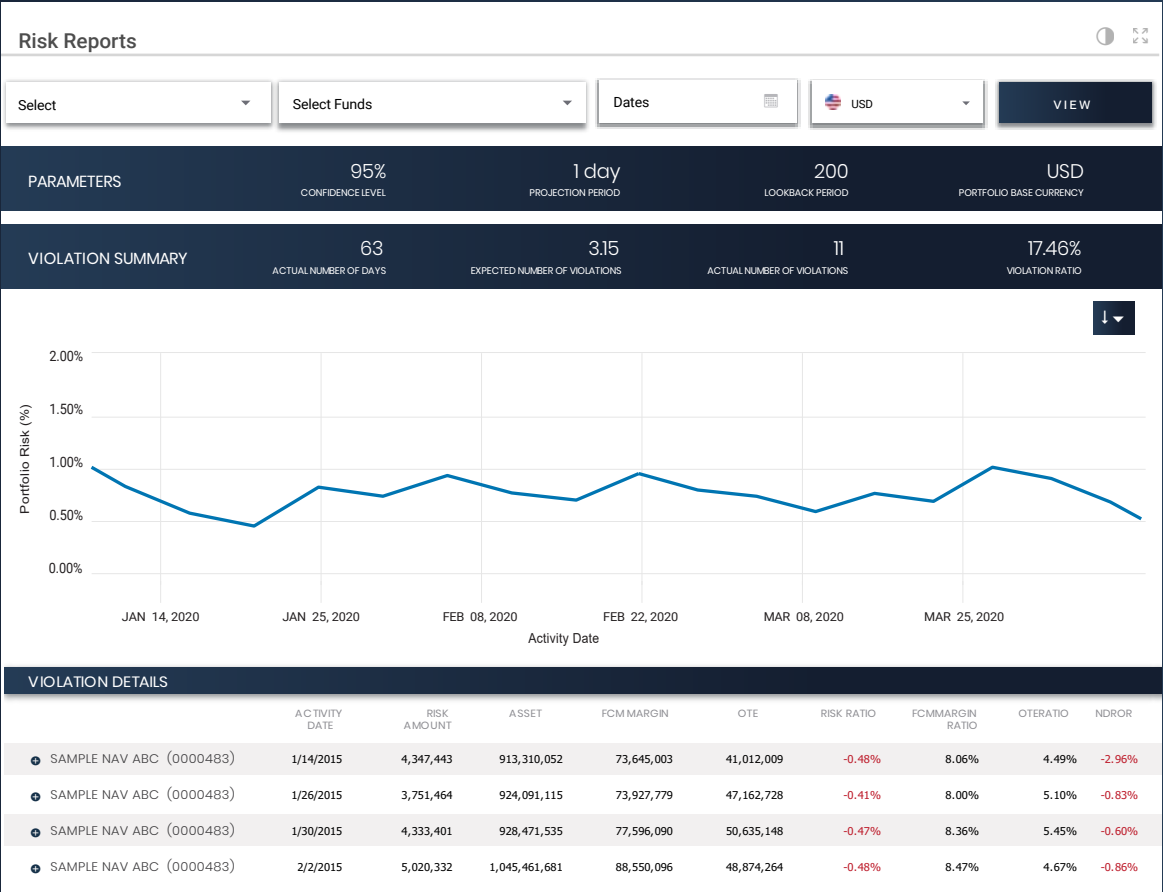




TECHNOLOGY OVERVIEW	PORTFOLIO RECONCILIATION	ACCOUNTING WEB PORTAL	PORTFOLIO DASHBOARD	INVESTOR WEB PORTAL	RISK AND ATTRIBUTION	TRANSFER AGENT WEB PORTAL
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RISK AND ATTRIBUTION

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TRANSFER AGENT PORTAL

Facilitates streamlined workflow processing
between the fund and administrator:

- PROCESS WIRES
- APPROVE SUBSCRIPTION AND REDEMPTION WAIVERS
- ACCESS CENTRALIZED INVESTOR DIRECTORY
- REVIEW MISSING AML DOCUMENTATION
- VIEW THE LEDGER OF BANK TRANSACTIONS MAPPED
TO ACCOUNTING ENTRIES

Dashboard



There are 3 waiver(s) pending for approval

View Waivers

Approve All

UNRECOGNIZED TRANSACTIONS

UNFUNDED CONTRIBUTIONS

Sample Global Equity, LLC

June 03, 2020

Sample Global Equity, LLC (XX0000)

\$2,000,500.00

ORG=ACC-0000000000 | THE SAMPLE FUND TRUST | 0000

The Sample Fund Trust

Jun 03, 2020

The Sample Fund Trust (XX0000)

\$341.60

Miscellaneous Credits/INTERESTPAYMENT

Jun 03, 2020

The Sample Fund Trust (XX0000)

\$16.24

Miscellaneous Credits/INTERESTPAYMENT

Event Feed

All Activities Info Changes Fee Changes

ACTIVITIES

JUNE 22, 2020

Investor Name LLC Trust Investor ABC (2323)

Fund Ltd. ABC Test Fund Name | Class

Full Redemption (0.00)

ACTIVITIES

JUNE 22, 2020

Investor Name LLC Trust Investor ABC (2323)

Fund Ltd. ABC Test Fund Name | Class

Full Redemption (0.00)

FEE CHANGES

JUNE 22, 2020

Investor Name LLC Trust Investor ABC (2323)

Fund Ltd. ABC Test Fund Name | Class

Management Fee changed from 1% to 2%. Effective 8/15/2019

INFO CHANGES

JUNE 22, 2020

Investor Name LLC Trust Investor ABC (2323)

Fund Ltd. ABC Test Fund Name | Class

Bank Name changed from Bank 1 to Bank Company Inc.

INFO CHANGES

JUNE 22, 2020

Investor Name LLC Trust Investor ABC (2323)

Fund Ltd. ABC Test Fund Name | Class

Address changed from 1107 Sample Street to 000 Sample Road