

Amit Kumar R.Pahuja

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SUMMARY

Detail-oriented Assistant Manager – Forensic & Dispute services with a strong background in conducting in-depth client reviews and assessing high-risk profiles. Seeking a challenging position to apply my expertise in Corporate Intelligence, KYC and AML (Anti-Money Laundering) compliance to mitigate risks effectively.

Certification

- **Certified Anti-Money Laundering Specialist (CAMS) by ACAMS** 04 October 2022 – No Expiry

PROFESSIONAL EXPERIENCE

Deloitte Touche Tohmatsu India LLP

Assistant Manager, Forensic & Dispute Services

Mumbai, Maharashtra/India

09 January 2023 - Present

- Conduct regular compliance audits and risk assessments to identify and address potential issues.
- Review all information, emails and documentation ensuring compliance with local regulation.
- Led a team of KYC executives, providing guidance and training on best practices.
- Conduct investigative research using appropriate investigative techniques to determine risk.
- Prepare clear, concise and extremely robust reports within tight timelines.
- Use advanced tools (Intella, Factiva, dowjones, world compliance etc.) and business intelligence techniques in proactive identification of likely issues and events.
- Review client relationships to ensure that client due diligence was complete and accurate within agreed onboarding protocols and timeframes.
- Achieve operational goals set, and deliver against agreed target in terms of quality and productivity.

Genpact Enterprise Risk Consulting LLP

Consultant, Third Party Due Diligence

Mumbai, Maharashtra/India

06 April 2022 – December 2022

- Manage and mitigate risk for an insurance client according to their compliance requirements.
- Review files submitted by Underwriter to make recommendations based upon compliance program guidelines.
- Performed the required KYC screenings on third party, documenting the information obtained on the third party as required by client KYC procedures.
- Followed up with the underwriter for outstanding information or documents.
- Performed research on various clients to understand the company structure, exposure to high-risk territories, have any government holding in the client company etc.
- Organized all client documents to the compliance database.
- Performed checks on various databases including Dow Jones, Factiva, Lexis Nexis, among others.

ICICI Prudential Life Insurance Company Limited

Sales Manager, National Pension Scheme, B2B

Mumbai, Maharashtra/India

13 November 2021 – 03 April 2022

- Managed distributors and business relationship with all the clients by gaining domain expertise knowledge of the product.
- Obtained and verified the required documents of clients/customers for purpose of conducting KYC checks.

- Assisted new distributors/ partners with system development, implementation, and process knowledge of the National Pension Scheme to all the teams of distributors.
- Prepared and circulated weekly dashboards and reports to internal stakeholders.
- Derived retail business through internal channels. Managed team to drive business for ICICI Prudential Pension Fund.
- Partnered with more than 70 mutual fund and insurance distributors/ agents for NPS.

PricewaterhouseCoopers Private Limited

Mumbai, Maharashtra/India

Senior Analyst, Integrity Due Diligence, Forensic Services 01 October 2020 – 12 November 2021

Analyst, Integrity Due Diligence, Forensic Services

17 December 2018 – 30 September 2020

I was a member of PwC's Forensic Due Diligence team (a subset of PwC's Forensic Advisory practice) which typically performs background checks, sanctions screening. EDD/CDD/IDD (which typically include Banking, Insurance Companies, Private Equity firms and conglomerates). My responsibilities include assisting our clients with the following:

- Conduct in-depth due diligence investigations on potential business partners, vendors, and individuals to assess their integrity and reputation.
- Utilize a variety of sources and investigative techniques to gather information, including media reports, public records, and interviews
- Navigated through various Corporate Registry, global sanction watchlists such as OFAC, HM Treasury, EU Sanctions, UN Sanctions, along with others.
- Deep domain knowledge on Sanction regulations of assigned geographies and prepared dashboard on various risk drivers.
- Customized strings searches to identify red flags and adverse information pertaining to customers and vendors.
- Performed checks using databases like Dow Jones, Factiva, Lexis Nexis, World Check among others.
- Conducted extensive EDD reviews on high-risk customers, including complex corporate structures and politically exposed persons (PEPs).
- Systematically maintained information of the third parties in a structured database for senior management.
- Worked closely with clients to understand their specific due diligence needs.
- Prepare comprehensive reports and recommendations for clients, highlighting any red flags or concerns.

Assistance in Market Intelligence

- Provide necessary assistance to the market intelligence team to collect relevant intelligence on the integrity, track record and reputation of target entities, UBO and KMPs.

Valorem Advisors

Mumbai, Maharashtra/India

Associate, Investor Relation

February 2018 – August 2018

- Conducted fundamental analysis of Client Company.
- Pitched Client Company to 50 potential strategic and financial buyers, and assisted management team with preparation for buyer meetings.
- Managed and participated in investor events including conferences, road shows and earning calls.
- Collaborated directly with management teams at companies to craft client presentations, determine optimal way to present business highlights to investors financial news release and investor factsheet
- Assisted senior management in preparing annual reports for the clients.
- Prepared weekly analysis report and conference call highlights for the peer companies of the client.

Technical Skills

Microsoft Office (Word, Excel, PowerPoint), **Factiva**, **Dow Jones**, **Lexis Nexis**, Saverisk, **Sanction Screening**, Risk Management, Ratio Analysis, Report Writing, Corporate Finance.

EDUCATION

IES Management College and Research Centre <i>Master in Management Studies, Finance [MMS]</i>	Mumbai, Maharashtra/India May 2018
R.D. National College <i>Bachelor of Commerce in Accounts and Finance [BAF]</i>	Mumbai, Maharashtra/India April 2015
M.M.K College <i>Higher Secondary Certificate [HSC]</i>	Mumbai, Maharashtra/India May 2012
A. M. Kewalramani Premier High School <i>Secondary School Certificate [SSC]</i>	Mumbai, Maharashtra/India June 2010

SKILLS, ACTIVITIES & INTERESTS

Languages: Fluent in English and Hindi

Activities: Organized inter collegiate event Meter Down 2015, Worked as Chairperson in inter collegiate event Meter Down and managed team of 200 people, selected key people to manage various departments of event, which resulted in successful event, Worked as Vice Chairperson for inter collegiate event Meter Down 2014 and Certified CSR activity under Vidyavardhini Foundation (October and November 2016).

Interests: Reading newspaper, financial market, stocks, cricket and football.