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Key Points:

- Contemporary perspectives are reviewed on the different components of the Southern Ocean dynamic system from distinct research communities
- Key connections between different components of Southern Ocean dynamics are highlighted
- Cross-cutting priorities for future Southern Ocean physical science are identified

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Closing the Loops on Southern Ocean Dynamics: From the Circumpolar Current to Ice Shelves and From Bottom Mixing to Surface Waves

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Abstract A holistic review is given of the Southern Ocean dynamic system, in the context of the crucial role it plays in the global climate and the profound changes it is experiencing. The review focuses on connections between different components of the Southern Ocean dynamic system, drawing together contemporary perspectives from different research communities, with the objective of closing loops in our understanding of the complex network of feedbacks in the overall system. The review is targeted at researchers in Southern Ocean physical science with the ambition of broadening their knowledge beyond their specific field, and aims at facilitating better-informed interdisciplinary collaborations. For the purposes of this review, the Southern Ocean dynamic system is divided into four main components: large-scale circulation; cryosphere; turbulence; and gravity waves. Overviews are given of the key dynamical phenomena for each component, before describing the linkages between the components. The reviews are complemented by an overview of observed Southern Ocean trends and future climate projections. Priority research areas are identified to close remaining loops in our understanding of the Southern Ocean system.

Plain Language Summary The United Nations has identified 2021–2030 as the Decade of Ocean Science, with a goal to improve predictions of ocean and climate change. Improved understanding of the Southern Ocean is crucial to this effort, as it is the central hub of the global ocean. The Southern Ocean is the formation site for much of the dense water that fills the deep ocean, sequesters the majority of anthropogenic heat and carbon, and controls the flux of heat to Antarctica. The large-scale circulation of the Southern Ocean is strongly influenced by interactions with sea ice and ice shelves, and is mediated by smaller scale processes, including eddies, waves, and mixing. The complex interplay between these dynamic processes remains poorly understood, limiting our ability to understand, model and predict changes to the Southern Ocean, global climate and sea level. This article provides a holistic review of Southern Ocean processes, connecting the smallest scales of ocean mixing to the global circulation and climate. It seeks to develop a common language and knowledge-base across the Southern Ocean physical science community to facilitate knowledge-sharing

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and collaboration, with the aim of closing loops on our understanding of one of the world's most dynamic regions.

1. Introduction

The Southern Ocean is a harsh, dynamic, and remote environment, which profoundly influences Earth's present and future climates. It is home to the global ocean's strongest winds, coldest ocean surface temperatures, largest ice shelves, most voluminous ocean currents, most extreme surface waves, and more. The Southern Ocean acts as a central hub of the global ocean where waters from the Atlantic, Pacific and Indian basins converge and mix. As such, it regulates the uptake of heat and carbon at a global scale. To the south, the unique dynamics of the Southern Ocean control the flux of heat to Antarctica's fringes, thus controlling the stability of the Antarctic Ice Sheet (which holds the volumetric equivalent of about 60 m in global mean sea level; Fretwell et al., 2013; Morlighem et al., 2020). However, the Southern Ocean is experiencing profound, large-scale changes, many at unprecedented and accelerating rates. These include the lowest ever recorded sea ice minima in the past two Austral summers (Fetterer et al., 2017), rapid melting of the West Antarctic ice shelves (Paolo et al., 2015), and the warming and freshening of the abyssal waters formed in the Southern Ocean (Purkey & Johnson, 2013).

The observed large-scale changes in the Southern Ocean climate encompass a rich spectrum of dynamics, spanning thousand-kilometer scale ocean currents, tens- to hundred-kilometer scale polynyas, ten-kilometer wide eddies on the continental shelf, kilometer-scale convection, hundred-meter scale surface waves, meter-scale pancake sea ice formation and millimeter-scale turbulent mixing. The network of linkages and feedbacks between the different components of the Southern Ocean dynamic system creates challenges in understanding and predicting this vitally important region and its role in the global climate and ecosystems. The objective of this review is to close loops in understanding of the Southern Ocean dynamic system by drawing together contemporary perspectives on the different components of the system from different research communities within the broader field of Southern Ocean physical science. It aims to help the broad range of Southern Ocean researchers understand the context of their own work within the overall field, thereby facilitating better informed collaborations. As such, the focus is on a holistic physical understanding of the Southern Ocean, rather than associated aspects of atmospheric dynamics, land-based ice, and dynamical interactions with biogeochemistry. Instead, the reader is directed to reviews by Noble et al. (2020) for Antarctic Ice Sheet dynamics and Henley et al. (2020) for Southern Ocean biogeochemistry. In addition, there exist a number of reviews into different aspects of atmospheric dynamics and air-sea coupling, including the Southern Annular Mode (Fogt & Marshall, 2020), Southern Ocean precipitation (Siems et al., 2022) and air-sea-ice exchanges (S. Swart et al., 2019).

There are several definitions of the Southern Ocean extent; we take a dynamical perspective and consider the Southern Ocean system to be bounded by the northern extent of the Antarctic Circumpolar Current, and that its southern boundary includes the sub-ice-shelf cavities fringing the Antarctic continent, which terminate at the glacial ice shelf grounding zone. In the vertical direction, we consider dynamics stretching from the ocean surface, which is occupied by surface gravity waves and/or sea ice, to the ocean bottom, which is a key region for the generation of internal waves and subsequent mixing. We divide the Southern Ocean dynamic system into four main components: large-scale circulation; cryosphere; turbulence; and gravity waves. Large-scale circulation incorporates the Antarctic Circumpolar Current, Antarctic Slope Current, sub-polar gyres, and the meridional overturning circulation. The cryosphere includes sea ice and glacial ice shelves, as well as dynamic phenomena in the sub-ice-shelf cavities. We define turbulence as chaotic dynamics spanning from mesoscale eddies and polynya convection at the largest end, down to millimeter-scale diapycnal mixing. Gravity waves includes surface waves, internal waves and tides. Figure 1 gives a spatio-temporal perspective of the phenomena reviewed, which shows the broad range of scales covered. We focus the review on the connected nature of interactions between the different phenomena.

We structure the review around the four main dynamical components identified above, commencing with large-scale circulation (Section 2) to provide a global perspective on the Southern Ocean dynamical environment, followed by cryosphere (Section 3), turbulence (Section 4) and gravity waves (Section 5). In each section, we give an overview of the fundamental physics of the dynamical component being considered, before describing the linkages between these components. In prioritizing these linkages, we focus on the most impactful, those in areas

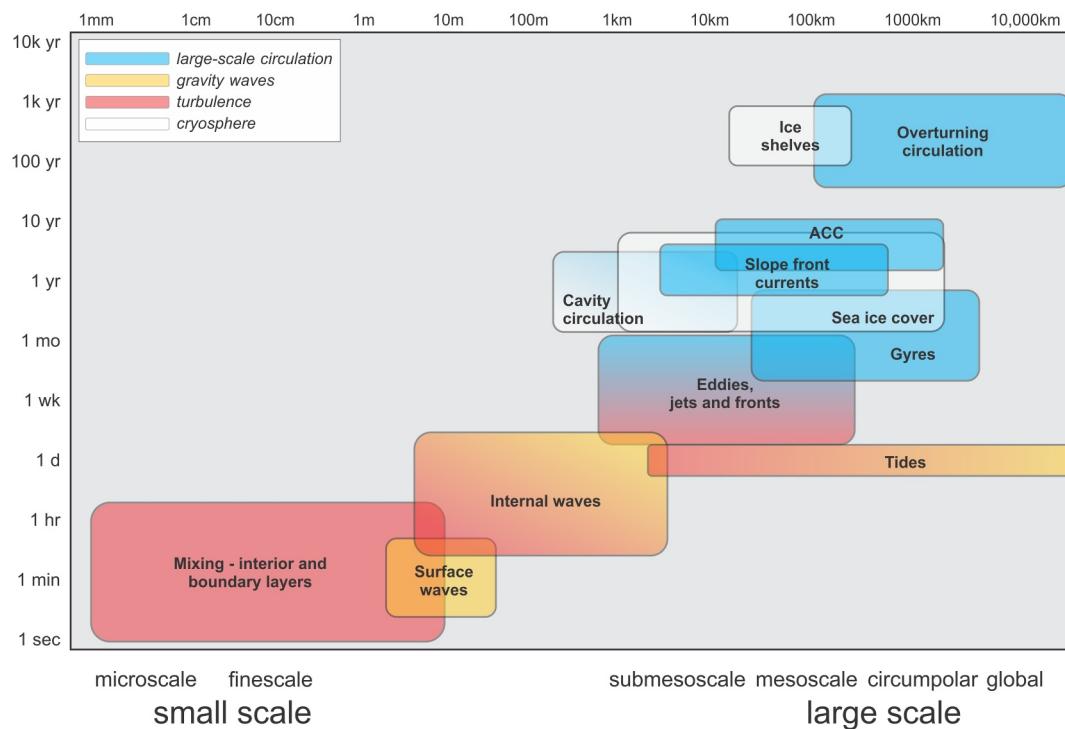


Figure 1. Spatio-temporal perspective of key dynamic phenomena reviewed, where colors indicate association to the four key dynamical components. The scales represented in this diagram indicate the time (and space) scales of the phenomena themselves, rather than the much broader range of time scales over which these phenomena vary (e.g., internal waves exist at timescales of hours, but internal wave amplitudes vary on daily, seasonal and interannual timescales due to changes in stratification and atmospheric forcing). The ACC refers to the Antarctic Circumpolar Current.

of growing research activity, and those where significant outstanding questions remain. We typically describe the linkages in the section corresponding to the component that is being impacted, thereby minimizing repetition. Each section ends with a short overview of the impacts of the component in the other sections to “close the loops”. The sections dedicated to the four dynamical components are followed by overviews of relevant Southern Ocean climate trends and future climate projections (Section 6) and cross-cutting priorities for future Southern Ocean physical science (Section 7), and brief closing remarks (Section 8).

2. Large-Scale Circulation

Large-scale circulation is here interpreted as flows at horizontal scales larger than mesoscale eddies (greater than ~ 300 km). A schematic representation of the large-scale circulation in the vertical-latitude plane (Figure 2) identifies the meridional overturning circulation, which includes the upper (clockwise in Figure 2; Section 2.4) and abyssal (anticlockwise; Section 2.5) branches. Figure 3 shows a plan view of the entire Southern Ocean to highlight the horizontal circulation features: the Antarctic Circumpolar Current (Section 2.1), Antarctic Slope Current (Section 2.2), and Weddell and Ross gyres (Section 2.3).

The large-scale circulations are broadly in geostrophic balance, although multi-scale interactions play a fundamental role in their variability and response to forcing. The aim of this section is to offer a perspective on the processes involved in sustaining these circulations and the links that bring them together. In broad terms, they are sustained through multi-scale interactions between mean flows, turbulence, topography, dynamic stresses, isopycnal mixing, and buoyancy fluxes. The understanding of how the exchange of tracers, momentum, and vorticity connect the different components of the large-scale Southern Ocean circulation together is rapidly evolving. The reader is referred to previous reviews on Southern Ocean circulation for more details on specific processes. In particular, Rintoul and Naveira Garabato (2013) provide a detailed discussion of the Southern Ocean’s role in the global ocean circulation and climate, while A. F. Thompson et al. (2018) and Vernet et al. (2019) provide detailed reviews of the Antarctic Slope Current and Weddell Gyre, respectively. There is no review article specifically

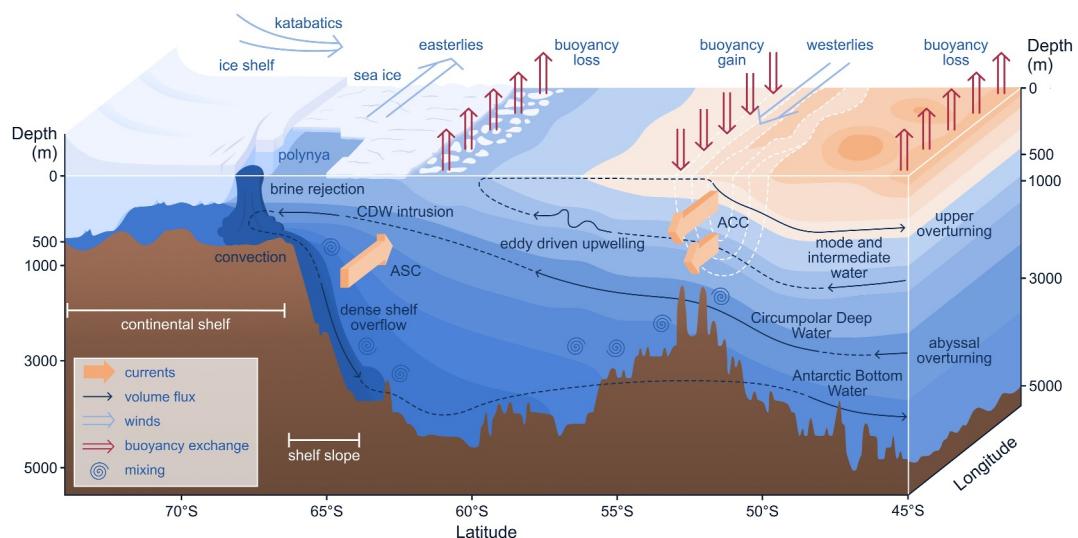


Figure 2. Schematic of the Southern Ocean's large-scale circulation, where the ocean colors indicate the density, ranging from lighter (dark orange) to denser (dark blue) waters, and isopycnal contours are the interfaces between the layers. The horizontal gradients in density are correlated with largely geostrophic currents, including the Antarctic Circumpolar Current (ACC) and Antarctic Slope Current, above the shelf slope/break. Antarctic Bottom Water is generated by convection and brine rejection on the continental shelf, and flows down into the abyssal ocean. Warmer Circumpolar Deep Water is upwelled in the mid-depths and plays a key role in the melt rate of glacial ice shelves. These processes collectively form the Southern Ocean component of the upper and abyssal overturning cells, as indicated by the dashed lines. Farther to the north, at the density fronts of the ACC, are the formation sites of northward flowing mode and intermediate waters. The topography, isopycnals, and glacial ice shelf profile on the southern side of the schematic are from observations in the Ross Sea, although they are artificially extended to the north to represent a more typical condition for the ACC. Note that the depth scale is not linear.

focused on the Ross Gyre; however, the research article of Dotto et al. (2018) provides a focused examination of its strength, forcing and variability.

2.1. Antarctic Circumpolar Current

The Antarctic Circumpolar Current is the largest ocean current in the world by volume flux. It encircles Antarctica, in places extending from the surface to the seafloor, connecting the Atlantic, Pacific and Indian Ocean basins, and forming the hub of the global ocean circulation (e.g., see Figure 1 of Meredith, 2022). The sloping density surfaces (isopycnals) associated with the Antarctic Circumpolar Current provide a connection between the ocean surface and the abyss. They allow fluid from the deep ocean to upwell without changing its (neutral) density, which is a crucial component of the global overturning circulation (Sections 2.4 and 2.5). The regions of sharpest meridional density gradient at the surface are described as the (density) fronts of the Antarctic Circumpolar Current, with the northern- and southern-most fronts (Figure 3) enclosing the region of strongest current speed.

The geometry of the Antarctic Circumpolar Current is unique; unlike other ocean currents, there are no continents blocking its quasi-zonal flow around the globe. This unique configuration means that the dynamics of the Antarctic Circumpolar Current cannot be explained using the classical geophysical fluid dynamics theories that govern gyres, although some have tried to apply these concepts, such as the Sverdrup balance (C. W. Hughes, 1997; Stommel, 1957; Webb, 1993). The integrated momentum balance of the Antarctic Circumpolar Current is extremely simple: wind stress at the surface is predominantly balanced by topographic form stress at the bottom (Masich et al., 2015), as originally proposed by Munk and Palmén (1951). However, despite the wind stress being the dominant source of momentum for the Antarctic Circumpolar Current, changing the wind has almost no effect on the total zonal baroclinic transport (Constantinou & Hogg, 2019; Hallberg & Gnanadesikan, 2001; Munday et al., 2013; Straub, 1993; Tansley & Marshall, 2001), and increasing the bottom drag increases the total zonal transport (Constantinou, 2018; D. P. Marshall et al., 2017). Moreover, although mesoscale turbulence is believed to play a crucial role in fluxing momentum downwards from the surface to be dissipated at depth, the momentum budget adjusts to wind changes within a month (Masich et al., 2015; Ward & Hogg, 2011), while the response of

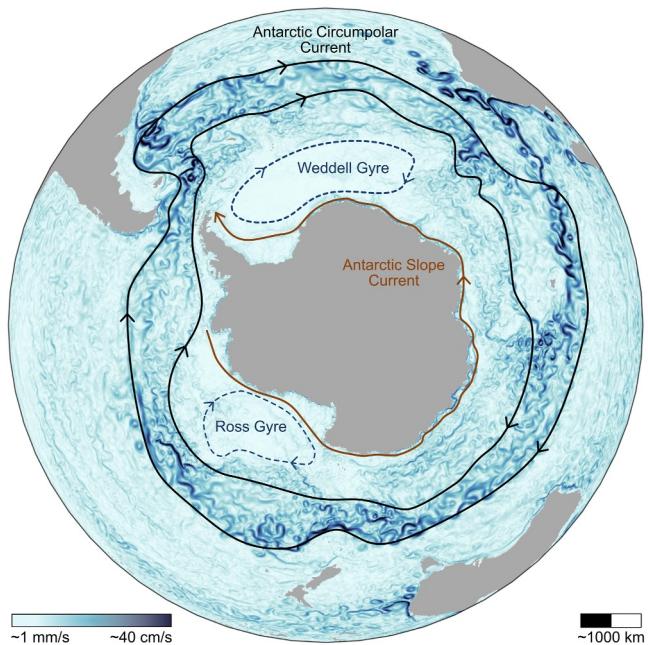


Figure 3. A schematic plan view of the large-scale circulation of the Southern Ocean. The key features are the braided network of eddies and jets, circumnavigating the continent, that comprise the eastward-flowing Antarctic Circumpolar Current (ACC) (for which the northern and southern limits, or fronts, are represented by black contours), the Weddell and Ross gyres (blue dotted lines), and the westward-flowing Antarctic Slope Current (ASC) nearer the continent (brown line). The ASC exists everywhere except along the western side of the Antarctic Peninsula, where the ACC flows very close to the shelf slope. The current/gyre lines represent contours of streamfunction, sketched based on typical time-mean flows in a global ocean model. The background image shows a typical snapshot of daily mean surface flow speed from the ACCESS-OM2-01 global ocean model (Kiss et al., 2020).

vorticity gradient. Consequently, ocean flows in this region are (to first order) oriented in an along-slope direction, and known as the Antarctic Slope Current. The Antarctic Slope Front is the associated front and is manifested by a large cross-slope density gradient; the slope front may at times be composed of multiple individual fronts. The Antarctic Slope Current is strongest in East Antarctica and exists everywhere along the continental slope except for the western Antarctic Peninsula, where the Antarctic Slope Current is replaced by the southernmost edge of the Antarctic Circumpolar Current (Armitage et al., 2018; Huneke et al., 2022; Mathiot et al., 2011; Pauthenet et al., 2021; A. L. Stewart et al., 2019). The Antarctic Slope Current advects tracers, such as heat, salt and nutrients around the continent, and the exchange of distinct water masses across the current is pivotal for the climate system (see Sections 2.5 and 3.1.1). The advancement of numerical ocean model capabilities over the past decade, as well as increased efforts to collect observations (ship-based, moorings/fixed, animal-borne, autonomous vehicles), has led to a rapidly improved understanding of the Antarctic Slope Current dynamics.

The Antarctic Slope Current is driven primarily by winds and buoyancy forcing from both the atmosphere and meltwater. At leading order, easterly winds around Antarctica are oriented in an along-slope direction (Hazel & Stewart, 2019), driving onshore Ekman transport, creating a cross-slope density gradient, and thereby driving an along-slope current in thermal wind balance. The momentum transfer from the atmosphere to the ocean occurs via the sea ice that covers the continental shelf for most of the year. Recent high resolution model simulations indicate that the surface stress over the continental shelf slope vanishes in the presence of sea ice (A. L. Stewart et al., 2019; Si et al., 2021), and the sea ice distributes the momentum input provided by the wind away from the continental slope. In addition to winds, buoyancy fluxes from sea ice, ice shelves and the atmosphere help sustain the cross-slope pressure gradients that support the Antarctic Slope Current. Freshwater forcing from ice shelf melting plays a particularly important role (Fahrbach et al., 1992; Moffat et al., 2008), with new observations

the mesoscale turbulence is much slower, taking months to years to adjust (Hogg et al., 2022; Meredith & Hogg, 2006; Sinha & Abernathey, 2016). Modeling results also suggest that the Antarctic Circumpolar Current responds in different ways to specific spatial patterns of wind stresses, such as those associated with the interplay of the different phases of the Southern Annular Mode and El Niño-Southern Oscillation (Langlais et al., 2015).

The Antarctic Circumpolar Current appears as a single monolithic current in a time-mean view, but the instantaneous current is better described as a complex network of interconnected jets and eddies (Section 4.1). This smaller-scale structure supports a plethora of multiscale interactions: eddy-jet interactions shorten eddy lifetimes (R. Liu et al., 2022); jet-topography interactions can lead to rapid changes in ocean ventilation (Klocker, 2018); and a unique set of interactions occur where the eastward flowing Antarctic Circumpolar Current in the Southern Ocean is fast enough to arrest westward propagating Rossby waves (Klocker & Marshall, 2014). Downstream of large bathymetric features, the time-mean flow field exhibits standing meanders, which are thought to be the result of arrested Rossby waves (A. F. Thompson & Naveira Garabato, 2014). Arrested Rossby waves also affect the stability of the current, allowing instabilities to grow when the wave speed matches or exceeds the flow speed and is oriented in the opposing direction, that is, the wave is traveling upstream (Stanley et al., 2020). These standing meander regions are also highly energetic, with enhanced cross-frontal exchange (A. F. Thompson & Sallée, 2012), eddy heat flux (Foppert et al., 2017) and upwelling (Tamsitt et al., 2017). The Antarctic Circumpolar Current flows along standing meanders, whose curved paths lead to horizontal divergence and vortex stretching that couples the upper and lower water column, modifying deep currents and cross-frontal exchange in patterns locked to the phase of the meander (Meijer et al., 2022).

2.2. Antarctic Slope Current

The steep gradient of the Antarctic continental shelf slope imposes a strong geometric constraint on cross-slope flow as it invokes a large potential

suggesting that glacial melt is especially important for the generation of the Antarctic Slope Current in the Amundsen Sea (Flexas et al., 2022; A. F. Thompson et al., 2020). This mechanism is supported by model simulations with amplified freshwater forcing (to represent basal melting of ice shelves), which show an increased cross-slope density gradient and enhanced Antarctic Slope Current (Beadling et al., 2022; Moorman et al., 2020; Naughten et al., 2018). The Antarctic Slope Current is reinforced by tides through a process called tidal rectification (Section 5.2.1; A. L. Stewart et al., 2019; Si et al., 2021).

The state of the Antarctic Slope Current is closely related to Dense Shelf Water export, which occurs downstream of the Ross Sea, Adelie Land, Prydz Bay, and the Weddell Sea (A. F. Thompson et al., 2018). The presence of dense water lifts the isopycnals at depth, connecting the shelf with the offshore ocean and creating a pathway for eddy-driven cross-slope heat exchange (A. L. Stewart & Thompson, 2015). Further, the Dense Shelf Water descending the continental shelf gives rise to a bottom-intensified Antarctic Slope Current flow in these locations, unlike other regions where it is surface intensified (Heywood et al., 1998; Huneke et al., 2022).

2.3. Weddell and Ross Gyres

The Weddell and Ross gyres are dominant features of the lateral circulation of the Southern Ocean, located south of the Antarctic Circumpolar Current and north of the Antarctic continental shelf (Figure 3). They play a mediating role in the exchange of waters between the relatively warm waters within the Antarctic Circumpolar Current and the cold continental shelves. Both gyres are located adjacent to one of the formation sites of Dense Shelf Water around Antarctica (Meredith, 2013; Purkey et al., 2018). Thus, the properties of exported Antarctic Bottom Water (Bai et al., 2022; Meredith et al., 2014) and the source waters that participate in Dense Shelf Water production (Foster & Carmack, 1976; Narayanan et al., 2019) can be influenced by gyre circulation. Therefore, there is a connection between the Ross and Weddell gyre circulation and processes relevant to global climate, such as ocean heat and carbon uptake (P. J. Brown et al., 2015; MacGilchrist et al., 2019). The circulation of the gyres has also been found to influence polynya formation (Cheon & Gordon, 2019; Cheon et al., 2018; Zhou et al., 2022), sea ice variability (Morioka & Behera, 2021; Neme et al., 2021) and iceberg drift (Barbat et al., 2021; Bouhier et al., 2018).

The average climatological wind field makes gyres regions of divergent Ekman transport, fostering a vertical structure characterized by isopycnals sloping upwards toward the center of the gyre, with local upwelling and mixing of subsurface Circumpolar Deep Water (Jullion et al., 2014). Circumpolar Deep Water is able to enter the gyres through permeable eastern boundaries, where there is no topographic constraint to their circulation (Bebieva & Speer, 2021; Cisewski et al., 2011; Donnelly et al., 2017; Fahrbach et al., 2011; A. H. Orsi & Wiederwohl, 2009; Roach & Speer, 2019; Ryan et al., 2016). Due to the lack of a topographic constraint, the eastern extent of the gyres is highly variable (Dotto et al., 2018; Roach & Speer, 2019; Vernet et al., 2019; Wilson et al., 2022), with eddies and high frequency variability associated with topographic discontinuities playing an important role in the exchange of waters (Bebieva & Speer, 2021; Donnelly et al., 2017; Roach & Speer, 2019; Ryan et al., 2016). Within the gyres, Circumpolar Deep Water is shielded from interaction with the atmosphere by a shallow layer of colder and fresher water that builds up during winter and erodes during summer. Upwelling and entrainment via diaxial mixing of warm and salty Circumpolar Deep Water into the surface layer contributes to sea ice melt (Bebieva & Speer, 2021; Wilson et al., 2019) and polynya formation (Section 4.2.3; Campbell et al., 2019).

As Dense Shelf Water cascades down the continental shelf it enters the gyres and undergoes further transformation as it becomes entrained with ambient waters to produce Antarctic Bottom Water (Akhoudas et al., 2021; Gordon et al., 2009; A. Orsi et al., 1999). In the Weddell Gyre, it has been suggested that the properties and rates of export of Antarctic Bottom Water across gyre boundaries are dependent on the gyre's horizontal circulation due to two different mechanisms. From a baroclinic perspective, an acceleration of the gyre induces an increase in the isopycnal tilt at its northern boundary, effectively trapping the densest varieties of bottom waters that are not able to overflow through the shallow passages (Gordon et al., 2009; Meredith et al., 2008). From a barotropic perspective, an acceleration of the gyre induces changes in the strength of the deep boundary current near the outflow locations (Meredith et al., 2011). The Ross Gyre is more sparsely observed, but there is evidence that its circulation modulates the salinity of the Dense Shelf Water formed in the western Ross Sea (Guo et al., 2020) and induces changes in the properties and volume of Antarctic Bottom Water in the southeastern Pacific Ocean (Bai et al., 2022). Warm intrusions of Circumpolar Deep Water onto the Amundsen and Bellingshausen continental shelf are also related to the Ross Gyre's strength (Nakayama et al., 2018). In

addition to dense waters, meltwater coming from ice shelves in the Ross and Weddell seas (Adusumilli et al., 2020) is also partly distributed within the gyres' circulation (Kusahara & Hasumi, 2014).

There are few studies addressing the variability of the Ross and Weddell gyres across different time scales in connection to possible forcing mechanisms. Satellite-based studies have found links between the gyres' sea surface height and wind stress curl (Armitage et al., 2018; Auger, Sallée, et al., 2022). However, the extensive sea ice coverage in the region modulates the transfer of momentum from the wind to the ocean surface, which has to be taken into account when considering surface stresses (Dotto et al., 2018; Naveira Garabato, Dotto, et al., 2019; Neme et al., 2021). By including sea ice in the total stress over the ocean surface, the correlation with sea surface height breaks down (Auger, Sallée, et al., 2022), as it does with gyre strength on both seasonal and interannual timescales (Neme et al., 2021). There are different processes within the gyres that could be playing a role in their variability, thus obscuring a direct relation with surface stress, such as variability of water mass exchange across gyre boundaries or variability of dense water formation. Fahrbach et al. (2011) suggested that the northern and southern limbs of the Weddell Gyre can vary independently due to variations in wind forcing across the gyre. Moreover, there are studies suggesting that ocean gyres can develop in response to surface buoyancy fluxes (Bhagtani et al., 2023; Hogg & Gayen, 2020). In support of this hypothesis, the Ross and Weddell gyre strengths in climate models have been found to be correlated with the near-surface meridional density gradients generated by gradients in surface buoyancy fluxes, whilst being largely independent of wind stress curl (Z. Wang & Meredith, 2008).

2.4. Upper Overturning Circulation

The upper overturning circulation of the Southern Ocean consists of southward upwelling flow along steeply tilted isopycnals in the mid-depths and a return northward flow of lighter waters (called "mode" or "intermediate" waters, due to their density being intermediate between abyssal and surface waters) in the upper ocean (Figure 2). The lifting of deep waters to the surface and subsequent subduction north of the Antarctic Circumpolar Current has a large impact on the global climate by enabling rapid exchange of heat and carbon between the atmosphere and interior ocean (Morrison et al., 2015). A large fraction of the global ocean uptake of anthropogenic heat (~70%) and carbon (~40%) has occurred in the Southern Ocean, due to the constant replenishment of surface waters with colder and carbon-depleted water from below (Frölicher et al., 2015; Khatiwala et al., 2009; Zanna et al., 2019).

Buoyancy fluxes (i.e., the combined effect of sensible, latent, radiative, and freshwater fluxes) and wind stresses at the ocean surface have a strong control over the strength and structure of the upper overturning circulation. The gradients of westerly winds drive Ekman upwelling south of the maximum wind stress ($\sim 55^{\circ}\text{S}$) and downwelling to the north (J. Marshall & Speer, 2012; Speer et al., 2000; Toggweiler & Samuels, 1993). In the absence of additional diabatic processes, this Ekman pumping at the surface drives along-isopycnal flows below the mixed layer (Wolfe & Cessi, 2015). The overturning transport increases with increasing wind stress (Bishop et al., 2016; Viebahn & Eden, 2010), although the sensitivity is less than the Ekman transport response due to the additional impact of buoyancy forcing and eddies on the dynamics (Abernathey et al., 2011). Buoyancy input, predominantly from sea ice melt and precipitation, transforms the dense upwelled waters into lighter, northward-flowing waters at the surface (Abernathey et al., 2016). Surface buoyancy forcing also plays a critical role in the formation of mode waters on the northern edge of the Antarctic Circumpolar Current in the Indian and Pacific sectors (Sallée et al., 2010; Sloyan & Rintoul, 2001; Wong, 2005). In particular, surface cooling and evaporation drive strong wintertime convection, forming Subantarctic Mode Water (Abernathey et al., 2016; Hanawa & Talley, 2001). The shoaling of the deep mixed layers during spring then results in a net subduction of waters from the mixed layer to beneath the permanent pycnocline (Z. Li et al., 2022; Morrison et al., 2022).

Eddies (Section 4.1) play a critical role in the upwelling branch of the overturning circulation. Southward flow in the mid-depths of the Southern Ocean is dominated by eddy transport along isopycnals, due to the lack of land barriers required for zonal mean geostrophic flows in the meridional direction (J. Marshall & Speer, 2012). The generation of eddy kinetic energy through baroclinic instability extracts available potential energy from the sloping isopycnals. This energy conversion results in a flattening of the isopycnals and, therefore, a net southward (and upwards) transport in the upper and mid-depth ocean (Morrison et al., 2015). The southward flow has a highly heterogeneous spatial distribution around the Southern Ocean, with southward volume transport collocated with baroclinic eddy generation downstream (eastward) of topographic hotspots (Barthel et al., 2022; Tamsitt

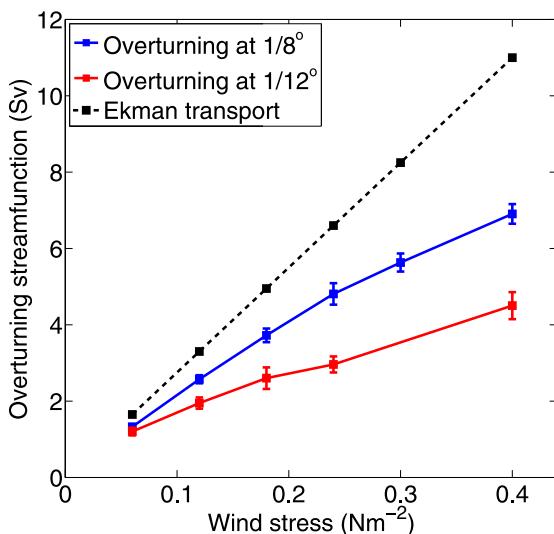


Figure 4. Simulated eddy compensation of the upper overturning circulation in a numerical model with no eddy parameterization. With no eddy compensation (by resolved or parameterized eddies), the overturning would linearly increase with the magnitude of the westerly wind stress following the surface Ekman transport (black dashed line). As model resolution is increased such that mesoscale eddies become fully resolved (red line), the sensitivity of the overturning circulation to wind stress decreases, but remains non-zero. Figure reproduced from Morrison and Hogg (2013).

salinity yields a mixture that is denser than the original parcels. This process, known as cabling, is particularly strong in the Southern Ocean where mesoscale eddies stir the strong along-isopycnal temperature and salinity gradients. Cabling is essential to the formation of Antarctic Intermediate Water (part of the northward return limb of the upper overturning circulation; Figure 2), and numerical models that use a linear equation of state, and therefore lack cabling, do not reproduce the salinity minimum associated with Antarctic Intermediate Water (Figure 5; Groeskamp et al., 2016; Z. Li et al., 2022; Nycander et al., 2015). Second, mixing two water parcels having different pressures but the same density when brought to their average pressure (i.e., isopycnal mixing of

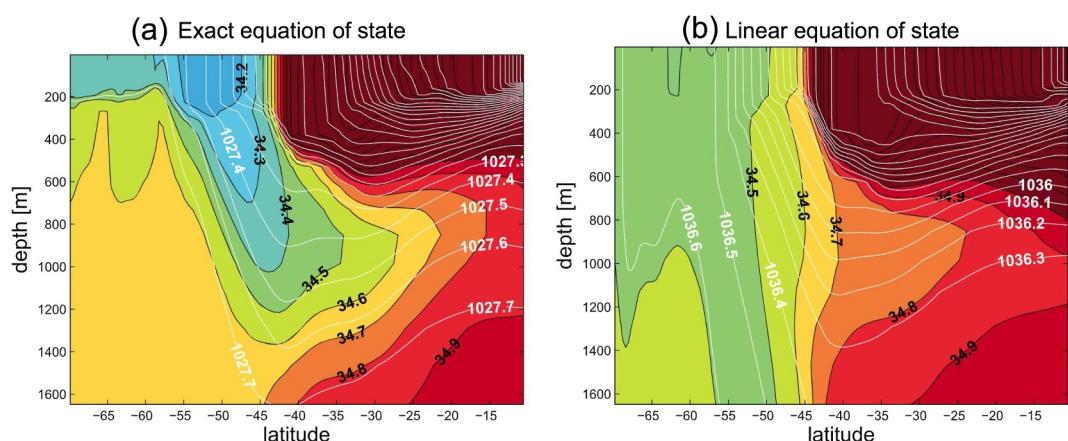


Figure 5. Impact of the nonlinear equation of state (i.e., the equation describing the dependence of the density of seawater on temperature, salinity and pressure) on simulated Antarctic Intermediate Water formation. (a, b) Latitudinal transects along 23.5°W of salinity (color, with contours labeled in black) and potential density (white labeled contours) in the South Atlantic. The simulation shown in (a) uses a full non-linear equation of state, while (b) uses a linear equation of state. Antarctic Intermediate Water (blue to green freshwater pathway shown in a) forms through isopycnal mixing leading to cabling and is only able to form in the model configuration using a nonlinear equation of state. Figure reproduced from Nycander et al. (2015).

two parcels with an isopycnal pressure gradient) yields a mixture that may be either denser or lighter than the original parcels. This process, known as thermobaricity, occurs (primarily) because the thermal expansion coefficient of seawater depends on pressure. This thermobaric effect is responsible for making North Atlantic Deep Water lie above Antarctic Bottom Water: the density of the relatively colder yet fresher Antarctic Bottom Water increases more rapidly with depth (pressure) than does the density of North Atlantic Deep Water (Nylander et al., 2015).

2.5. Abyssal Overturning Circulation

The Southern Ocean abyssal overturning circulation is considered, in a zonally integrated sense, to consist of two compensating flows: (a) a poleward flow of Circumpolar Deep Water; and (b) an equatorward flow of Antarctic Bottom Water (Figure 2). Circumpolar Deep Water is modified by mixing as it travels poleward to the Antarctic continental shelf, where it is transformed into Dense Shelf Water through surface buoyancy fluxes and brine rejection due to sea ice formation. Dense Shelf Water mixes with and entrains Circumpolar Deep Water as it descends into the abyssal ocean to form Antarctic Bottom Water (A. Orsi et al., 1999). The resulting water mass accounts for 30%–40% of the global ocean's total volume, and fills the abyssal depths of the Atlantic, Pacific and Indian Ocean with carbon- and oxygen-rich water (Johnson, 2008). It is estimated that the maximum northward Antarctic Bottom Water transport is about 20–30 Sv near 30°S (Ganachaud et al., 2000; Lumpkin & Speer, 2007; Talley, 2008, 2013; Talley et al., 2003).

The cryosphere influences abyssal overturning by modulating Dense Shelf Water formation through three main pathways: ice shelves, sea ice, and major cryospheric events (i.e., major changes in the cryosphere). Regions of strong ice shelf basal melting support the formation of polynyas within areas of landfast ice (Nihashi & Ohshima, 2015), and glacial meltwater has been connected to changes in Antarctic Bottom Water properties (Section 6.1). Brine rejection during sea ice formation influences the amount of Dense Shelf Water formation, and its salinity and density (Abernathy et al., 2016; Iudicone et al., 2008; Jacobs, 2004; Silvano et al., 2020). Large cryospheric events, such as the calving of the Mertz Glacier Tongue (Aoki et al., 2017; Shadwick et al., 2013; Snow et al., 2018; Tamura et al., 2012) or the opening of the Weddell Sea polynya (Akhoudas et al., 2021; Martinson, 1991), reorganize the circulation and stratification and, therefore, alter Dense Shelf Water formation (see the discussion of polynya convection in Section 4.2.2).

The export of Dense Shelf Water occurs predominantly in submerged canyons that cross the continental shelf (Nakayama, Ohshima, et al., 2014). Dense Shelf Water accumulates in these deeper sections of the shelf and eventually spills down the continental slope, sometimes in short bursts lasting a few days (Foppert et al., 2021). The export of Dense Shelf Water is modulated by tidal mixing and rectification (Bowen et al., 2021; Muensch et al., 2009; Q. Wang et al., 2013), which modifies the water mass properties and helps to bring Circumpolar Deep Water onshore. Morrison et al. (2020) find that the Circumpolar Deep Water inflow is partly forced by a pressure gradient set up by the overflowing Dense Shelf Water. Eddies are also a major contributor to Dense Shelf Water and Circumpolar Deep Water transport across the continental slope (Nakayama, Ohshima, et al., 2014; Nøst et al., 2011; A. L. Stewart & Thompson, 2015; Q. Wang et al., 2009). The Dense Shelf Water component of Antarctic Bottom Water is primarily formed in the Weddell Sea, Prydz Bay, Ross Sea, and Adelie Coast regions (Purkey et al., 2018), which links the properties of Antarctic Bottom Water globally to conditions in these small formation regions. The degree of mixing of the exported Antarctic Bottom Water is unclear from observations (Purkey et al., 2018), but high-resolution modeling shows the export is split by the topography of Drake Passage and Kerguelen Plateau to form distinct Weddell-Prydz-sourced and Ross-Adelie-sourced mixtures in the Atlantic-Indian and Indian-Pacific sectors, respectively (Solodoch et al., 2022). This result suggests that regional changes in Dense Shelf Water formation could produce planetary-scale contrasts in Antarctic Bottom Water properties, and associated changes in the three-dimensional structure of the global overturning circulation.

In contrast to upper overturning circulation, which is largely adiabatic at depth with upwelling along sloped isopycnals in the Southern Ocean (Toggweiler & Samuels, 1995), abyssal overturning circulation fundamentally requires diabatic transformation below the sea surface, because the northward-flowing Antarctic Bottom Water must reduce its density and upwell across stable (albeit weak) stratification in the abyss before it can return to the sea surface (Ganachaud & Wunsch, 2000; Talley, 2013). Diapycnal mixing is the main process that lightens water masses in the abyssal ocean, with geothermal heating a secondary contribution accounting for perhaps 20% (Emile-Geay & Madec, 2009; Hofmann & Morales Maqueda, 2009). Thus, the planetary-scale

abyssal overturning is supported by turbulent processes at the Batchelor scale (i.e., the scale on the order of millimeters at which molecular diffusion effectively smooths tracer gradients; Munk, 1966; Ferrari et al., 2016). How and where this buoyancy gain occurs is poorly understood, in part because the interaction between these largest and smallest scales is mediated on intermediate scales, notably by eddies (Section 4.1) and internal gravity waves (Section 5.3).

Diapycnal mixing (Section 4.3.2) of Antarctic Bottom Water is thought to primarily occur where abyssal flows encounter rough bathymetry (Bryden & Nurser, 2003; Fukamachi et al., 2010). Observations near Southern Ocean bathymetry find diapycnal diffusivities that are 10–1,000 times greater than upper ocean values (Garabato et al., 2004; Heywood et al., 2002; Polzin, Naveira Garabato, Abrahamsen, et al., 2014). This rapid increase of diapycnal diffusivity with depth causes downwelling in the ocean interior, as water mixes rapidly with denser water beneath it and mixes more slowly with lighter water above it. However, this is compensated by upwelling in the bottom boundary layer where the diapycnal diffusivity goes to zero at the seafloor (Cimoli et al., 2019; de Lavergne et al., 2016, 2017; Holmes & McDougall, 2020; McDougall & Ferrari, 2017; Stanley, 2013). Diapycnal mixing is thought to be sustained by breaking internal gravity waves created from two primary sources: barotropic tides and lee waves resulting from currents interacting with rough topography (Section 5.3.1). Estimates of the amount of Antarctic Bottom Water upwelling driven by tides and lee waves ranging from 7 to 25 Sv (de Lavergne et al., 2016; Melet et al., 2014; Nikurashin & Ferrari, 2013). Meanwhile, geothermal heat fluxes are estimated to sustain roughly 2–6 Sv of the abyssal flow (Emile-Geay & Madec, 2009; Hofmann & Morales Maqueda, 2009). These two upwelling effects are offset by a net downwelling driven by the cabbeling and thermobaric effects of the nonlinear equation of state of seawater of 6–10 Sv, occurring primarily in the Southern Ocean (Klocker & McDougall, 2010). For the purposes of rough comparison, assuming that the mixing and geothermal upwelling occurs north of 30°S and the non-linear equation of state driven downwelling occurs south of 30°S, gives a mass flux of 9–31 Sv, which is broadly consistent with the maximum northward Antarctic Bottom Water transport of 20–30 Sv near 30°S estimated from observations (Talley, 2013).

Accounting for multiscale processes can alter our fundamental understanding of the dynamics of the abyssal overturning circulation, such as its response to changing the westerly winds over the Southern Ocean. The classic view is that stronger Southern Hemisphere westerly winds, by steepening Southern Ocean isopycnals and altering the abyssal stratification, should weaken the abyssal overturning (Ito & Marshall, 2008; Nikurashin & Val-lis, 2011; Shakespeare & Hogg, 2012). However, there is an energetic pathway through which some of the extra wind energy input at the surface leads to enhanced diapycnal diffusion in the abyss, thereby strengthening the abyssal overturning; specifically, stronger winds steepen isopycnals, driving more baroclinic instability and stronger mesoscale eddies. In the Southern Ocean, these mesoscale eddies are deep-reaching and lead to larger eddy bottom velocities that interact with rough bathymetry to generate lee waves and, ultimately, diapycnal mixing that strengthens the abyssal overturning (D. P. Marshall & Naveira Garabato, 2008; Saenko et al., 2012). When this energetic link is included in idealized models, stronger Southern Ocean westerly winds can actually drive a stronger abyssal overturning (Stanley & Saenko, 2014). Using an estimated climatology of wave energy fluxes, Melet et al. (2014) also found that accounting for lee wave-driven mixing accelerates the abyssal overturning in a realistic global ocean model.

2.6. Closing the Loops

The large-scale circulation of the Southern Ocean exerts a major control on the global climate state. In particular, the meridional overturning circulation in the Southern Ocean regulates heat transfer across the Antarctic margin, the strength of bottom water and mode water formation, and heat and carbon uptake by the global oceans. This section has described how this meridional circulation is closely coupled to the other components of the large-scale circulation (the subpolar gyres and Antarctic Circumpolar Current) and, crucially, other components of the Southern Ocean dynamic system. These connections include the role of mesoscale turbulence (Section 4.1) and associated seafloor interactions (Section 4.1.5) in regulating the response of the circulation to forcing changes, the role of brine rejection during sea ice formation in supporting the formation of the dense water that fills the Southern Ocean abyss (Section 3.2.3), and the role of diapycnal mixing in supporting the upwelling of abyssal water and closing the global overturning circulation (Section 4.3). These dynamics will be described in more detail in subsequent sections, starting with the Cryosphere in Section 3.

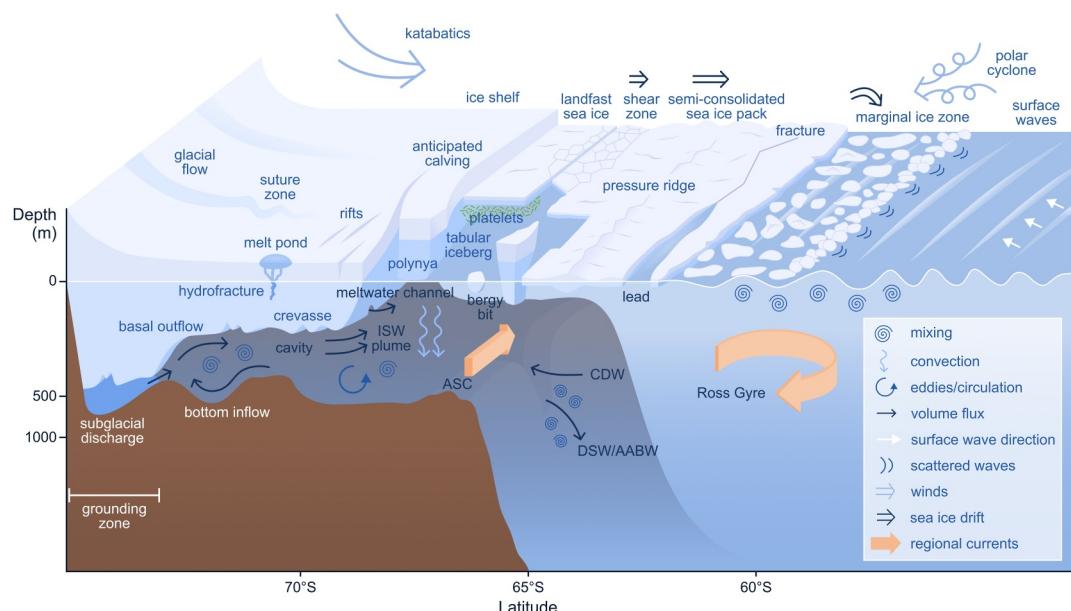


Figure 6. Schematic of the oceanic margin of the southern cryosphere, including key dynamic connections with the Southern Ocean. The ice shelf is the floating extension of the Antarctic Ice Sheet formed from glaciers flowing onto the ocean surface. Adjacent glaciers may fuse together as suture zones. The ice shelf contains features, such as melt ponds at its surface (that can result in hydrofracture), crevasses and meltwater channels at its base, and rifts that extend throughout the shelf depth and propagate to the shelf front to calve tabular icebergs, from which bergy bits break off. Here, the giant ice shelf partially encloses a cold-water cavity that experiences Mode One circulation (Section 3.1.1), involving bottom inflow of cold water fed by dense shelf water created in a polynya, and outflow of basal meltwater that exits the cavity as a plume of Ice Shelf Water that can create platelets of marine ice that attach to the underside of local sea ice. At the ice shelf grounding zone, subglacial discharge of ice sheet meltwater can accelerate melting and create subglacial meltwater channels. The shelf front is occupied by a polynya (created by katabatic winds) and immobile landfast sea ice (attached to the ice shelf). Pack ice bounds the polynya and landfast sea ice toward the ocean. The pack ice consists predominantly of semi-consolidated sea ice with features such as pressure ridges, leads, and fractures, but with a shear zone at its boundary with the landfast sea ice and a marginal ice zone at its boundary with the open ocean, where floe sizes are relatively small due to the presence of surface waves. Large-scale sea ice drift is dictated by winds, such as those associated with polar cyclones, as well as ocean tides, currents and gyres.

3. Cryosphere

A major characteristic of the Southern Ocean is that its waters interact with segments of an icy shell created from both freshwater and salt water; respectively, ice shelves and sea ice (Figure 6). Ice shelves and sea ice (along with icebergs and polynyas) form an oceanic margin of the cryosphere that interacts with the Southern Ocean at a number of scales, ranging from large-scale circulation to the small diffusive-viscous scales influencing melt and dissolution rates. There are several existing monographs on sea ice, such as Weeks (2010) and Leppäranta (2011), which include the fundamental governing equations of sea ice dynamics. There are also collections of reviews including its dynamic interactions with the ocean, such as D. N. Thomas (2017), although often focused on Arctic sea ice. In addition, there are review articles and collections on specific components of sea ice, including its rheology (Feltham, 2008), engineering properties (Timco & Weeks, 2010), landfast sea ice (Fraser et al., 2023), and marginal ice zone dynamics (Bennetts, Bitz, et al., 2022b). Wadhams (2000) covers both sea ice and icebergs and their role in the climate system. In contrast to sea ice, there is little synthesis information on ice shelves (and/or ice shelf cavities), other than in the context of numerical modeling (Dinniman et al., 2016) or basal melt (Burgard et al., 2022). D. M. Holland and Jenkins (1999) give the governing equations of ocean/ice-shelf interactions.

3.1. Ice Shelves and Sub-Ice Shelf Cavities

Ice shelves (and ice tongues) comprise extensions onto the ocean of glacial flows, which may fuse together in suture zones (Figure 6). Ice shelves create unique ocean environments in the sub-ice-shelf water cavities they enclose. The cavities are bound on the landward side at the “grounding zone” where the ice sheet leaves the land

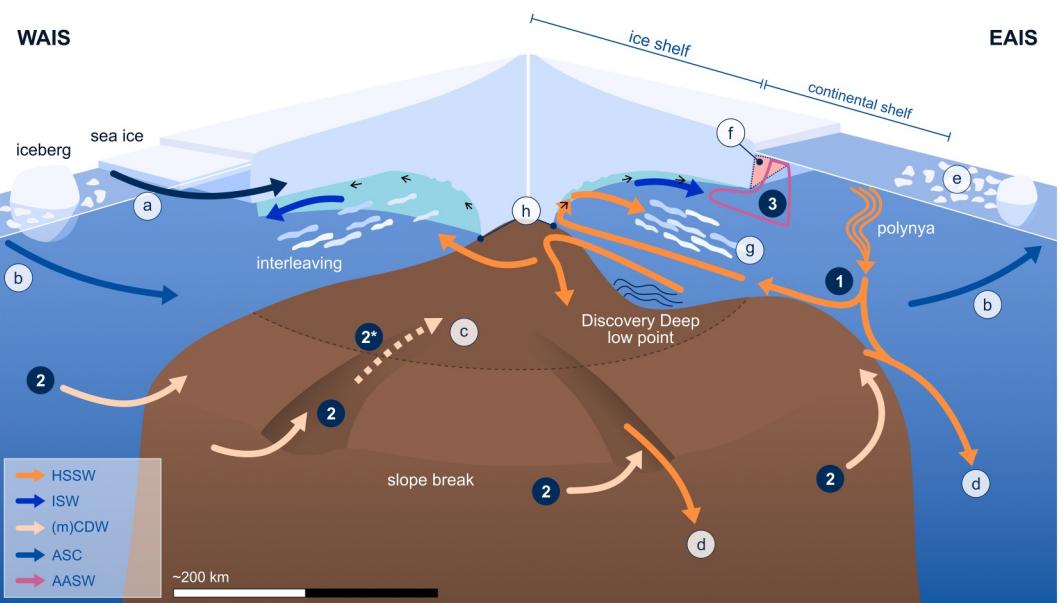


Figure 7. Idealized modes of cavity circulation using the Ross sector as an exemplar (Jacobs et al., 1992; Tinto et al., 2019) and showing the effect of polynyas. The ice sheet domain comprises the East and West Antarctic Ice Sheets (EAIS and WAIS, respectively), and the Discovery Deep is the lowest known point in the Ross cavity. The light-brown band denotes the extension of the ice shelf and sub-ice-shelf water cavity onto the continental shelf. Modes One, Two, and Three are shown together for convenience but do not necessarily co-exist nor is there a substantial amount of direct observation of these modes. Mode 2* indicates the uncertainty regarding penetration of modified Circumpolar Deep Water (mCDW) into the cavity. Additional features include (a) melt water from the east (Nakayama, Timmermann, et al., 2014), (b) Antarctic Slope Current (A. L. Stewart et al., 2019), (c) continental shelf troughs and possible penetration of mCDW, and (d) high salinity shelf water draining off the continental shelf. On the continental shelf itself there are (e) sea ice driving a polynya and convection, and (f) the shelf front wedge, which is a buoyant front associated with summer warming that interacts with the Mode Three circulation (Malyarenko et al., 2019). Within the cavity, there are (g) cavity interleaving (Stevens et al., 2020) affecting the cavity circulation, and (h) subglacial discharge flows at the grounding line.

and begins to float. The oceanward open boundary is beneath the “shelf front”, that is, the terminal face of the ice shelf, which is typically a sharp vertical wall formed by calving of icebergs from the ice shelf (Figure 6). The ice shelf–ocean basal interface is the upper boundary of the cavity, where melting and re-freezing take place. Total ice shelf mass loss is roughly equally divided between melting and iceberg calving (Depoorter et al., 2013; Greene et al., 2022; Rignot et al., 2013). The rate and distribution of melting is determined by a complex set of processes (Sections 3.1.1–3.1.6), which start with the transport of ocean heat into and within sub-ice-shelf cavities.

3.1.1. Ice Shelf Cavity Exchange With the Southern Ocean

Water mass exchange between the Southern Ocean and ice shelf cavities is typically divided into three modes of circulation (Figure 7), resulting in the “cold” or “warm” cavity descriptor, based on the absence or presence of water well above the local freezing point (typically Circumpolar Deep Water) in the cavity (Jacobs et al., 1992; Joughin et al., 2012; Silvano et al., 2016). The giant cold cavities of the Filchner-Ronne, Ross and Amery ice shelves span hundreds of kilometers across and are typically dominated by Mode One circulation. In this situation, katabatic winds (cold, dense air masses flowing off the polar plateau; Gutjahr et al., 2022; L. Thompson et al., 2020) drive sea ice production in coastal polynyas (Section 4.2.2) at the ice shelf front. This creates Dense Shelf Water, which floods the cavity and ensures relatively low average melt rates, with some areas of the ice shelf underside re-freezing (Galton-Fenzi et al., 2012). In addition, the circulation provides protection from warm water inflow (Darelius et al., 2016; Hattermann et al., 2021). Results from smaller ice shelves, such as the Nansen (Friedrichs et al., 2022) and Sorsdal (Gwyther et al., 2020) ice shelves, indicate cold conditions and Mode One circulation can also exist at these scales.

As well as being closer to the Antarctic Circumpolar Current, warm water cavities lack the protection of wide, shallow continental shelves, so that relatively warm Circumpolar Deep Water has direct access to the underside of

the ice shelves. Warm water cavities typically sustain Mode Two circulation (Figure 7), where the inflow of Circumpolar Deep Water leads to high melt rates deep within the cavity. Ice shelves of the Amundsen and Bellingshausen seas (e.g., Thwaites, Pine Island, Dotson, Crosson, and Getz ice shelves) are particularly vulnerable and have been observed to have the highest basal melt rates around Antarctica (Adusumilli et al., 2020; Rignot et al., 2013).

Mode Three cavity circulation is associated with the melting that results from an accumulation of warm water along the shelf front. This tends to be more variable than the other modes. In the Amundsen Sea region, Mode Three circulation is associated with Circumpolar Deep Water circulation near the ice shelf front (Davis et al., 2022). Recent observations from the frontal region of the Ross Ice Shelf cavity have shown evidence of high melt rates caused by surface water inflow in the frontal zone directly connected with summer surface ocean warming (Aoki et al., 2022; C. L. Stewart et al., 2019). This buoyant water can potentially pool against the shelf terminal face and form a blocking “wedge” that can influence how waters offshore of the wedge are advected beneath the ice shelf (Malyarenko et al., 2019). While localized, this circulation mode may still have a profound effect on the entire ice shelf system, depending on where the warming is happening. For example, increased melt rates near Ross Island influence the flow rate of the entire ice shelf (E. Klein et al., 2020; Reese et al., 2018). Meltwater from ice shelves moves westward in the Antarctic Slope Current (Section 2.2), and may affect vertical mixing, sea ice production and downstream cavities (Flexas et al., 2022; Nakayama, Timmermann, et al., 2014; Silvano et al., 2018).

3.1.2. Cavities, Gyres, and Eddies

The three modes of large-scale cavity circulation (Section 3.1.1; Figure 7) need to be augmented with improved understanding of mesoscale variability. Here, the literature uses terms like “gyre” and “eddy” inconsistently. The terms describe rotating coherent horizontal-plane motions, with gyres being larger, wind-driven and relatively stationary compared to the smaller, mobile eddying motions. These structures have been observed to influence cavity–open ocean exchange, where the circulation and associated influence on mixing increases the heat flux into the cavity, thus enhancing basal melting and ultimately resulting in greater freshwater flux into the ocean. This is seen in both warm cavities (Naveira Garabato et al., 2017; Yoon et al., 2022) and cold cavities (Friedrichs et al., 2022). The Pine Island Glacier Ice Shelf is a warm cavity example, which shows a system dominated by a gyre that fills the bay in front of the glacier. The Nansen Ice Shelf is an example of a small cold cavity influenced by eddies, which act as a pump for moving warm water into the cavity (Friedrichs et al., 2022). In this case, the eddies are associated with regional topography, including the large Drygalski Ice Tongue.

Topographically-influenced gyres, such as those discussed above, are relatively large (several tens of kilometers in scale) and stationary, whereas in large cavities and/or away from direct topographic control, eddies are smaller and free to move. Freely moving eddies are typically at the scale of the local Rossby radius of deformation at which rotation effects are comparable to buoyancy effects (typically around a few kilometers). Numerical modeling has been the primary way to examine eddy processes within cavities (Mack et al., 2019). However, there are a few recent direct observations of the ocean within sub-ice-shelf cavities, via boreholes (Stevens et al., 2020) or using robotic technology to provide a view of the vertical structure and its spatial variations (Davis et al., 2022; Graham et al., 2022; Gwyther et al., 2020). Data of this type provide direct evidence of water masses, melt-rate drivers and mixing in these under-observed environments. As models have been developed with little direct data, even modest departures from modeled diffusion can result in a different outcomes for the cavity, because of the long circulation timescales (several years in some cases) and limited set of drivers. This is in contrast to boundary-driven mixing in the Southern Ocean with many coincident driving processes (Section 4.3.3).

3.1.3. Tidal Influence on Cavities

Due to the absence of direct weather forcing within a sub-ice-shelf cavity, ocean tides (both internal and surface; Section 5.2) are the primary forcing at periods within the 0.5–10 day range. The elastic response of an ice shelf to any large-scale perturbation means that, other than close by the shore (at the grounding zone), the ice shelf responds hydrostatically and rapidly. Thus, determination of tidal excursions and currents can be achieved in the same way as elsewhere in the oceans, by combining water column height observations, knowledge of the bathymetry and numerical tools to extrapolate to any location in space and time (Padman et al., 2018). There are subtleties to tidal mechanics at such high latitudes, as the influence of tides on ice shelf melting is related to the latitude of an ice shelf relative the semidiurnal critical latitude, where the tidal frequency equals the inertial

frequency (Section 5.3.3; Robertson, 2013). In general, tides can be important drivers of meltwater production for ice shelves on cold water cavities (Arzeno et al., 2014; Hausmann et al., 2020; Makinson et al., 2011; Mueller et al., 2018), but are less important for ice shelves on warm water cavities (Jourdain et al., 2020; Robertson, 2013).

Accepted melt rate parameterizations involve the local under-ice velocity (D. M. Holland & Jenkins, 1999; Rosevear, Galton-Fenzi, & Stevens, 2022). However, including tides in regional/cavity scale models is computationally expensive due to the short timesteps required. Despite this, recent regional (Hausmann et al., 2020; Mueller et al., 2018) and pan-Antarctic (Richter et al., 2022) modeling studies have shown that tide-enhanced melting significantly increases boundary layer turbulence, and the increase can be offset by the cooling associated with the increased meltwater (which is exported slowly). In addition, there is the potential for tides interacting with the basal underside to drive internal waves (Section 5.3) within the cavity (Foster, 1983), which would influence overall thermal dynamics (Stevens et al., 2020) and requires more advanced approaches to modeling cavity circulation (Mack et al., 2019; Robertson, 2013).

3.1.4. Meltwater Plumes and Marine Ice

In the far reaches of a sub-ice-shelf cavity, once the inflowing oceanic water mass comes in contact with the ice shelf, production of meltwater results in a buoyant plume at the ice shelf base (Figure 6). The meltwater plume typically ascends as it travels oceanwards, steered by the ice base topography and coastlines, and drives cavity-scale convective circulation. The evolution of the meltwater plume is governed by friction, planetary rotation and the entrainment of underlying watermasses (Jenkins, 1991). The *in situ* melting point is reduced by approximately 0.75°C per kilometer of depth. Therefore, even cold water, such as Dense Shelf Water that is typically at the surface freezing temperature, can drive rapid melting at depth.

For cold cavities, rapid melting at deep grounding zones can lead to potentially “supercooled” plumes that rise along the ice base to a point where *in situ* freezing occurs—the so-called “ice pump.” This occurs when basal melting at the grounding zone results in a meltwater plume that then can re-freeze at shallower depths (Lewis & Perkin, 1986; Schodlok et al., 2016), sometimes through the formation of platelet ice crystals (Hoppmann et al., 2020). At that point, ice forms and rises to accrete to the ice shelf base as “marine ice” (Stevens et al., 2020). The spatial patterns of melting and refreezing can be seen in satellite altimetry data (Adusumilli et al., 2020). Under warm cavities, not all in-flowing ocean heat is consumed. Instead, the meltwater plume brings ocean heat to the surface and forms near-ice-front sensible heat polynyas (Mankoff et al., 2012).

The characteristics of the meltwater plumes are influenced by ice base topography, with basal channels being sites of enhanced basal melting (W. Wei et al., 2020), and the presence of other forcing (primarily tides; Section 5.2) of turbulent mixing at the ice shelf–ocean interface. Plume circulation and melt rates are expected to be altered by the presence of tides, but the direction and magnitude of the change depends on the balance between tide-enhanced drag, entrainment and melting (Anselin et al., 2023).

3.1.5. Subglacial Discharge

Subglacial discharge is the flow of meltwater from the base of the grounded ice sheet that finds its way into the cavity coastal zone (Figure 8a). The meltwater is formed, at the ice shelf base, by pressure and geothermal warming (Fricker et al., 2016). While difficult to access in Antarctica, subglacial discharges of meltwater have been extensively studied in the context of the Greenland Ice Sheet, where they are often linked to seasonally elevated surface melt rates (I. J. Hewitt, 2020). Satellite observations provide evidence for a large number of active subglacial lakes across Antarctica, which experience sporadic but rapid drainage events (Fricker et al., 2007; Siegfried & Fricker, 2018). As in Greenland, it is likely that these drainage events alter the water properties at the edge of the ice sheet and have a significant effect on ice shelf–ocean processes (Jouvet et al., 2018; Miles et al., 2018). However, the nature, frequency, and location of these subglacial drainage events remain unclear, largely due to challenges in making oceanographic observations deep within the ice shelf cavity.

The injection of freshwater, either from sub-glacial discharge or from basal melt, causes the water column near grounding lines to exhibit aspects of an estuary with landward-flowing deeper water exchanging with this freshwater flux (Horgan et al., 2013). The resulting stratification is influenced by tides through both mixing and baroclinic waves (Figure 8a), with a key question being at what point the tidal mixing becomes sufficient to homogenize the water column (P. R. Holland, 2008). The few observations available suggest stratification can

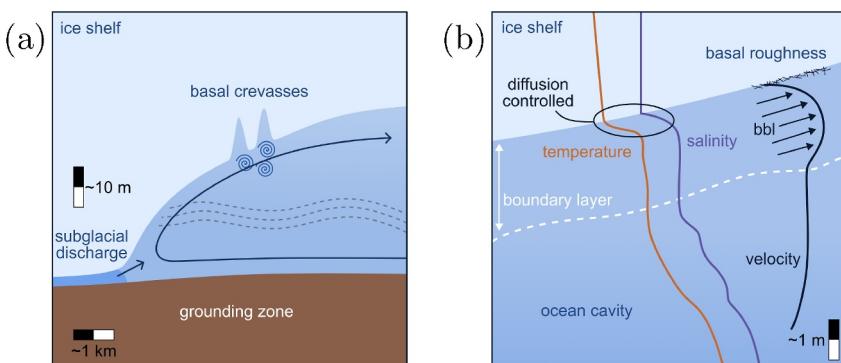


Figure 8. Small-scale views of an ice shelf and sub-ice-shelf water cavity showing some of the under-observed but critical processes likely to be present. (a) Grounding zone region including subglacial discharge of meltwater from beneath the ice sheet, basal crevasses, stratification/baroclinic waves (dashed lines), in/outflow. (b) The basal boundary layer (bbl), temperature and salt stratification and roughness variations. The basal boundary layer shows the 1–10 m thick region close to the ice-shelf base, where the fluid velocity and turbulence is affected by the presence of buoyant meltwater. Temperature and salinity increase rapidly through the boundary layer from diffusion-controlled melting conditions at the ice-ocean interface through to the boundary layer itself and then to ocean-cavity conditions at the edge of the boundary (the circulation of which is not well known).

persist in even quite thin water columns (e.g., 10–30 m; Begeman et al., 2018; Davis et al., 2023; Lawrence et al., 2023). This suggests that inflowing warm water can directly access the basal boundary layer right at the formation of the ice shelf meltwater plume.

3.1.6. Cavity Basal Boundary Layers

The basal boundary layer is the oceanic boundary layer just beneath the base of an ice shelf (Figure 8b), which is responsible for setting the ice shelf basal melt rate and drives the basal meltwater outflow from the cavity. The archetypal model of the ice shelf basal boundary layer (Figure 8b) is of a boundary layer formed by velocity shear due to friction between the ice shelf base and ocean currents. These currents may be buoyant meltwater plumes, tidal currents, eddies, or other mean circulation within the cavity (Padman et al., 2018; Stanton et al., 2013), all of which are poorly known in cavities (Figure 8b). In this “shear-driven” regime, the basal melt rate depends on the friction velocity (a turbulent velocity scale related to the current speed) and ocean temperature (Davis & Nicholls, 2019; Rosevear, Gayen, & Galton-Fenzi, 2022; Vreugdenhil & Taylor, 2019). This model forms the basis of common ice shelf–ocean parameterizations (e.g., D. M. Holland & Jenkins, 1999; Jenkins et al., 2010). However, comparisons between observed and predicted melt rates of ice shelves, as well as idealized models, have brought into question the appropriateness of this approach when current velocities are low (Malyarenko et al., 2020; Rosevear, Galton-Fenzi, & Stevens, 2022) or the near-ice stratification is strong (Vreugdenhil & Taylor, 2019).

Meltwater is less dense than ambient seawater, primarily due to salinity differences, and will drive convection in the form of a buoyant plume if the ice shelf base is sloped (Figures 6 and 8). This gives rise to a convective melting regime (seen in laboratory experiments and simulations), in which melting is driven by gravitational instability (Gayen et al., 2016; Kerr & McConnachie, 2015; McConnachie & Kerr, 2017b). Antarctic ice shelves typically have low slope angles, which inhibits the gravitational instability. Thus, convective melting may be more relevant to near-vertical ice, such as icebergs and shelf fronts. A transition from convective- to shear-driven melting is expected as a buoyant plume gains momentum (Malyarenko et al., 2020; McConnachie & Kerr, 2017a). However, this transition is poorly constrained and may vary over only small scales (Schmidt et al., 2023). A general description of this important boundary condition has yet to be derived. The role played by buoyant meltwater depends on whether the ice shelf base is sloped or horizontal, and what other forcing is present. For a shear-dominated boundary layer beneath a horizontal ice shelf base, meltwater is expected to stratify the boundary layer and suppress turbulence. Recent numerical simulations have shown that buoyancy inhibits melting by decreasing the efficiency of heat and salt transport to the ice shelf boundary (Vreugdenhil & Taylor, 2019) and insulating the ice shelf from warmer water below (Rosevear, Gayen, & Galton-Fenzi, 2022). When shear is weak, the heat and salt fluxes associated with basal melting provide an opportunity for double-diffusive convection to occur, and the formation of well mixed layers separated by thin interfaces called “thermohaline staircases”

(Radko, 2013). Observations from beneath the George VI Ice Shelf show a persistent staircase (Kimura et al., 2015), and weak dissipation, which is uncorrelated to current speed (L. Middleton et al., 2022), suggesting that diffusive-convection is the primary driver of turbulence. There is also evidence of diffusive-convection-susceptible conditions beneath the Ross Ice Shelf (Begeman et al., 2018).

Smaller-scale basal texture or “roughness” (Figure 8b) is expected to enhance boundary-layer turbulence, leading to higher melt rates (Gwyther et al., 2015), and sapping momentum from buoyant plumes through increased drag (Smedsrød & Jenkins, 2004). There are very few direct measurements of turbulence or drag beneath ice shelves (Davis & Nicholls, 2019; L. Middleton et al., 2022; Stanton et al., 2013; Venables et al., 2014). This is in part because boreholes can affect the boundary layer making undisturbed measurement challenging. Autonomous vehicles are providing a platform that circumvents this challenge (Davis et al., 2022). Beneath the warm Larsen C Ice Shelf, a relatively low drag coefficient was observed (Davis & Nicholls, 2019). However, sea ice analogs for marine ice zones (refreezing regions formed by the accretion of frazil ice) suggest that drag coefficients up to two orders of magnitude higher than typically observed for smoother ice are possible (N. J. Robinson et al., 2017).

3.1.7. Iceberg Calving

Ice shelf calving events are a consequence of the propagation of rifts (crevasses that penetrate the full shelf thickness) to the shelf front, such that they isolate ice blocks from the main shelf (an anticipated calving site is represented in Figure 6). Spatial variations in ice shelf velocity are the “first-order control” on calving, as they cause strain rates that determine the location and depth of crevasses and, subsequently, propagate the crevasses and resulting rifts (Benn et al., 2007). These phenomena occur at the scale of the ice shelf flow structure (Meier, 1997). However, smaller scale processes are also present, such as “hydrofracturing,” where the water in surface melt ponds flows into and expands surface crevasses, which can significantly reduce ice shelf resilience (Figure 6; Lai et al., 2020; Scambos et al., 2000).

Once crevasses or rifts have formed in ice shelves, force imbalances due to the surrounding water also drive crevasse and rift propagation (Benn et al., 2007). Hence, dynamic couplings between ice shelves and the Southern Ocean exert important “second-order controls” on iceberg calving (i.e., superimposed on the first-order control; Benn et al., 2007; Y. Liu et al., 2015). There is evidence that this only occurs once the ice shelf has thinned sufficiently or for a rift system that is close to detachment (Bassis et al., 2008). Moreover, if present, landfast ice or mélange (a consolidated agglomeration of icebergs and fast ice) exerts a backstress on ice shelves (Greene et al., 2018; Massom et al., 2010), which can delay or prevent iceberg calving (Arthur et al., 2021; Gomez-Fell et al., 2022; Massom et al., 2015, 2018; Stevens et al., 2013).

In addition to these slowly varying drivers of iceberg calving, there are surface wave-driven mechanisms of relevance. Ice shelf flexure has been detected in response to swell (Section 5.1), as well as tides (Section 5.2), infragravity waves and tsunamis (Bromirski et al., 2010; Brunt et al., 2011; Padman et al., 2018). Flexure due to swell is greatest in the outer shelf margins (Bennetts, Liang, & Pitt, 2022; Chen et al., 2018) and during summer when the sea ice barrier is at its weakest or absent (Chen et al., 2019; Massom et al., 2018). Swell-induced ice shelf stresses peak at crevasses (Bennetts, Liang, & Pitt, 2022), and they have been associated with crevasse and rift propagation (Banwell et al., 2017; Lipovsky, 2018), iceberg calving (Cathles et al., 2009; MacAyeal et al., 2006) and triggering catastrophic ice shelf disintegration events (Massom et al., 2018).

Surface waves also initiate small-scale calving through a combination of warm surface water and forced convection. The combination of conditions causes a relatively high rate of melting at the shelf front waterline and a so-called “wavecut.” The wavecut isolates the overhanging ice, which becomes unstable and collapses (Orheim, 1987; T. Hughes, 2002), leaving behind a protruding “ice bench” (or “ice foot”) at the shelf front. The bench exerts a buoyant vertical force, deforming the shelf front into a so-called “rampart moat” structure (M. K. Becker et al., 2021; Wagner et al., 2014). The associated internal ice stresses can propagate basal crevasses and, hence, calve relatively small but full-thickness icebergs along the crevasse.

3.2. Sea Ice

The Antarctic sea ice zone is divided into four seasonally changing areas: (a) the largely immobile landfast sea ice (or fast ice), which is attached to many stretches of the coastline, including ice shelf fronts; (b) the shear zone that sits between the coastline/fast ice and (c) the semi-consolidated ice pack; and (d) the highly dynamic outer tens to

hundreds of kilometers of the ice cover, known as the marginal ice zone, which is characterized by the presence of surface waves (Figure 6). Sea ice forms a nearly-continuous torus (interrupted by the Antarctic Peninsula) around Antarctica, which usually expands to an annual maximum of 18–19 million km² in extent during winter and contracts to a minimum of 2–4 million km² during summer. The majority of Antarctic sea ice is less than 1 year old, and only about half a meter to two m thick on average (Kacimi & Kwok, 2020; Magruder et al., 2024), with the thickest ice resulting from mechanical deformation, for example, into pressure ridges (Figure 6). From the global climate perspective, there is a focus on circumpolar Antarctic sea ice extent and volume metrics. A range of large- to small-scale dynamic (and thermodynamic) ocean processes directly determine the Antarctic sea ice distribution and properties relevant to the global scale.

Polynyas are additional phenomena that form around the Antarctic margin. They are typically large openings within the sea ice (i.e., not leads or fractures) where sea ice would be expected for thermodynamic reasons alone, but local melting of sea ice by warm water upwelling or katabatic winds drives freshly formed sea ice offshore from near-coastal areas. Coastal polynyas range from small, ephemeral polynyas to the very large and persistent Ross Sea and Cape Darnley polynyas. Intermittent open ocean polynyas can also form, such as over Maud Rise. In winter, they are “sea ice factories,” in which ≈10% of Antarctic sea ice is produced, with the Ross Sea Polynya being by far the most productive (Ohshima et al., 2016; Tamura et al., 2008; Zhou et al., 2023). A contemporary review of polynyas is given in Sections 4.2.2 and 4.2.3, rather than in Section 3.2, due to their important influence on turbulent convection.

3.2.1. Sea Ice Drift

Sea ice away from the coast, islands or grounded icebergs (where it is usually found as fast ice) drifts under forcing from the atmosphere and ocean, and is known as “drift ice” or “pack ice.” This drift redistributes the pack, and influences sea ice extent, with changes in concentration and thickness being the result of differential ice velocities. Generally slower speeds are found in the shear zone, where grinding, rafting and locking between ice floes (discrete chunks of sea ice) create internal stresses that slow the drift. Faster drift speeds occur at more equatorward latitudes, where the sea ice cover follows the Antarctic Circumpolar Current (Section 2.1). The fastest speeds are found in the unconsolidated outer margins of the ice cover, that is, in the marginal ice zone (Alberello et al., 2020; Doble & Wadhams, 2006; Heil & Allison, 1999).

On time scales of hours or less, atmospheric stress due to winds is generally the dominant driver of sea ice drift (Weeks, 2010), with the motion opposed by oceanic stress. Both atmospheric and oceanic stresses are usually modeled using quadratic drag laws (Weeks, 2010). The drag coefficients can be decomposed into viscous “skin” drag and “form” drag, where the latter depends on the sea ice roughness, created by an accumulation of relatively small-scale features, particularly floe edges in the marginal ice zone and pressure ridges in the semi-consolidated sea ice pack (Tsamados et al., 2014). The oceanic stress also involves a turning angle, which represents the difference in direction between the geostrophic flow and the stress on the sea ice surface due to the Coriolis force (counter-clockwise in the Southern Hemisphere; Weeks, 2010). For simplicity, turning angles are often applied directly in the sea ice-ocean drag term, but more sophisticated models derive them from the ocean surface Ekman layer (Park & Stewart, 2016).

Atmospheric and oceanic drag manifest from similar underlying physics (Leppäranta, 2011). However, as typical sea ice motions are much slower than wind speeds but comparable to ocean current speeds, the wind acts as an external force, whereas ice and ocean dynamics are coupled as the sea ice is embedded within the upper ocean (Heil & Hibler, 2002). The coupled ice and ocean dynamics are dependent on the relative sea ice velocity, sea ice basal roughness and the ocean stratification, all under the influence of the Coriolis force (McPhee, 2008). The Coriolis force influences sea ice drift through the sea surface tilt, which has been attributed as the source of sea ice drift rotation close to the inertial frequency in water too deep to be caused by tidal currents (Alberello et al., 2020).

3.2.2. Surface Wave–Floe Interactions in the Marginal Ice Zone

The outer fringes of Antarctic sea ice are in contact with the sea ice-free Southern Ocean and its energetic surface waves (Section 5.1.3). Thus, Antarctic sea ice has a wide and almost circumpolar marginal ice zone (Day et al., 2023). Surface waves affect sea ice in the marginal ice zone (Figure 9) by (a) breaking up larger floes (see below), (b) herding the floes into bands (Shen & Ackley, 1991; Wadhams, 1983), (c) promoting growth of new ice (e.g., frazil) during freezing conditions, (d) forcing ice drift through momentum transfer (radiation stress;

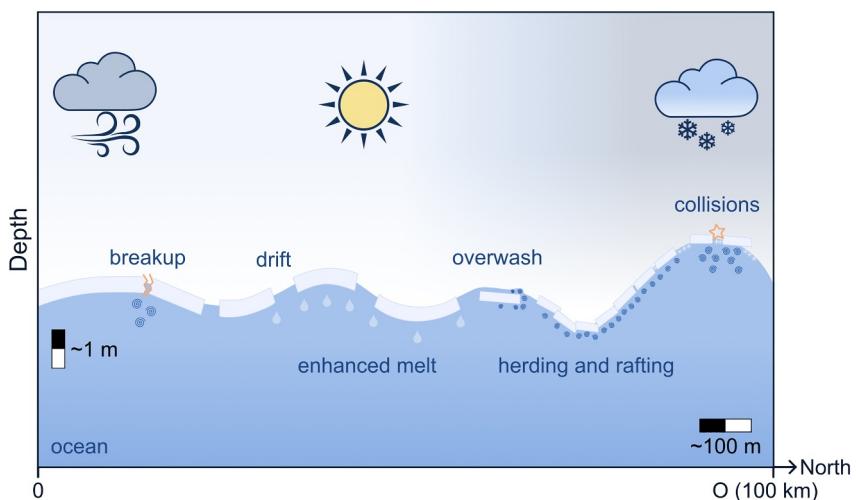


Figure 9. Schematic of surface wave–ice floe interaction processes in the marginal ice zone, including (from left to right): wave-induced breakup of a large floe; subsequent northward drift of small broken floes due to off-ice winds, and enhanced melt in summer (indicates by white tear drops below ice floes); wave overwash of a floe; herding and rafting of small floes; floe–floe collisions; production of frazil in the open water created between floes during winter. The spirals indicate turbulent mixing.

Dumont, 2022; P. Sutherland & Dumont, 2018; T. D. Williams et al., 2017), (e) causing floes to collide and raft (Bennetts & Williams, 2015; Dai et al., 2004; Herman et al., 2019; S. Martin & Becker, 1987, 1988; Rottier, 1992; Yiew et al., 2017), which may erode the floe edges and produce ice rubble, (f) overwashing the floes (Nelli et al., 2020; Pitt et al., 2022; Skene et al., 2015), which influences thermodynamic ice properties by removing snow cover and creating saline ponds on the floe surfaces (Ackley & Sullivan, 1994; Massom et al., 1997, 2001), and (g) generating turbulence in the water below floes that increases basal melt (M. Smith & Thomson, 2019; Wadhams et al., 1979). Overall, the waves create a fragmented ice cover in the marginal ice zone, containing a mixture of floes (smaller than in the semi-consolidated sea ice pack) and unconsolidated sea ice (grease, pancakes, etc.). Sea ice in the marginal ice zone is highly mobile and responds rapidly to forcing by the strong winds over the Southern Ocean (Alberello et al., 2020; Vichi et al., 2019).

Breakup of large floes is considered to be the primary effect of waves on sea ice. Ice floes larger than prevailing wavelengths experience a hydroelastic response to wave motion (Meylan et al., 2015; Montiel, Bennetts, et al., 2013; Montiel, Bonnefoy, et al., 2013), creating so-called “flexural-gravity waves” (Bennetts et al., 2007; Vaughan et al., 2009). Sea ice is a brittle material (Timco & Weeks, 2010), which fractures when the flexural stresses/strains exceed the material strength (Montiel & Mokus, 2022). The generally held view of the wave-induced breakup process (Squire et al., 1995) is of a large wave event breaking up a quasi-continuous sea ice (e.g., a very large floe) into smaller floes that then form or expand the marginal ice zone, in which floes larger than the prevailing wavelengths are broken up further, thus forming a marginal ice zone where mean floe sizes increase away from the sea ice edge as wavelengths increase (Squire & Moore, 1980). The standard theoretical description of the wave-induced breakup process is of regular (unidirectional and monochromatic) flexural-gravity waves in a homogeneous floating elastic plate causing stresses/strains that exceed a critical threshold (Kohout & Meylan, 2008; Mokus & Montiel, 2021; Montiel & Mokus, 2022; Vaughan & Squire, 2011). Experiments in ice tanks (Dolatshah et al., 2018; Herman et al., 2018; Passerotti et al., 2022) and in a “natural laboratory” (in a bay of the Gulf of St Lawrence using ship generated waves; Dumas-Lefebvre & Dumont, 2023) have given new understanding of the breakup process. However, measuring breakup in the marginal ice zone remains challenging, despite it being identified as a priority three decades ago (Squire et al., 1995).

Wave–floe interactions potentially link directly to sea ice extent and, hence, the large-scale climate, through a positive (summer) feedback (Bennetts et al., 2010; Horvat, 2022; Montiel & Squire, 2017). The positive feedback involves an initial weakening of the sea ice that allows waves to travel farther into the sea ice-covered ocean, so that a wave event can break the ice cover at a deeper location than prior to the initial weakening. The breakup leaves the floes more susceptible to lateral melting during the summer (Steele, 1992), which further weakens the

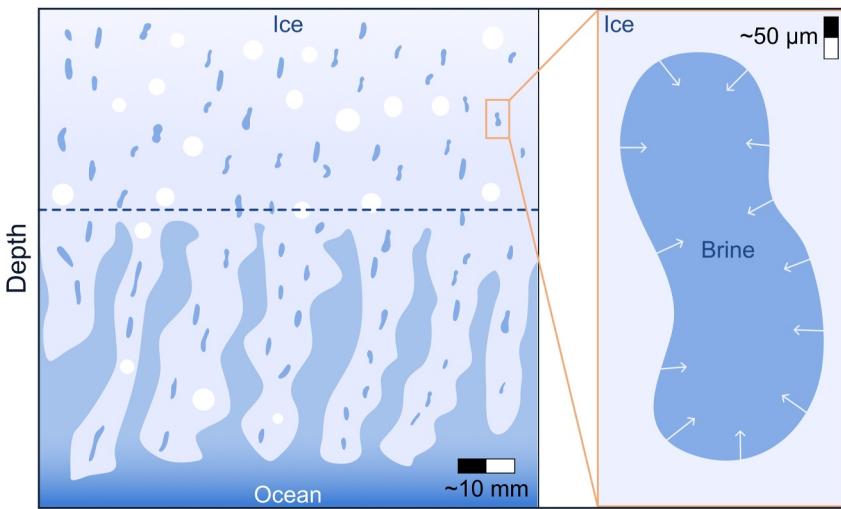


Figure 10. The main panel (left-hand side) is a schematic of sea ice at finescale. Above the dashed line, air bubbles (white circles) and brine inclusions (elongated blue shapes) are trapped within the impermeable, solid ice (sky blue). Below the line the ice is permeable, allowing brine drainage and fresh water inflow, that is, a mushy layer. A zoom in on the microscale for a brine inclusion is given (right). The liquid brine region is surrounded by ice, and the arrows point in the direction of the salt flux during the freezing process.

sea ice and allows waves to travel even deeper, and so on. It has been suggested that the positive feedback has already been triggered in the Arctic due to initial weakening by warming temperatures (Squire, 2011), although this has not yet been quantified through direct measurements. However, the feedback is implicit in the comparison between trends in Antarctic ice edge latitude and local significant wave heights (Kohout et al., 2014). A negative (winter) feedback has also been proposed, in which wave-induced breakup creates openings in the ice cover (leads; Figure 6) that freeze over to strengthen the sea ice and protect the location against future wave events (Horvat, 2022; Squire, 2011).

3.2.3. Brine Inclusions to Convective Channels

The small-scale structure of sea ice alters its thermal and physical properties, which is important to understand how it interacts with the Southern Ocean (and the atmosphere). Sea ice is a complex blend of solid H₂O crystals, liquid brine inclusions, air bubbles and precipitated salts (Figure 10), whose volume fractions, distributions and connectedness depend strongly on temperature, salinity, and depth (Golden, 2009; Golden et al., 2007; Kraitzman et al., 2022; Light et al., 2003; Perovich & Gow, 1996; D. N. Thomas, 2017). Moreover, sea ice usually consists of a layer of “granular textured ice” with random crystal orientations, above a layer of “cylindrical textured ice” with well-ordered ice crystals, separated by a transition layer (Eicken, 2003; Lund-Hansen et al., 2020; Oggier & Eicken, 2022). Due to dynamic growth conditions in the Southern Ocean, more than 60% of the total thickness of Antarctic sea ice is primarily composed of frazil ice, and, in the upper layer of the ocean, frazil ice tends to form floes that contain a significant amount of ice with a granular texture. This leads to the dominant layer of Antarctic sea ice being characterized by a granular texture (Lange et al., 1989; D. N. Thomas, 2017).

During melting, brine inclusions in the sea ice (micrometer–centimeter length scale regions of high salt concentration; Figure 10 zoom; Kraitzman et al., 2022) expand and merge to form up to meter-long brine channels, which allow fluid, nutrients and salt to exchange between the ocean and the ice (Golden, 2001; Golden et al., 1998). For Antarctic sea ice, brine channels are vertically oriented with diameters \approx 200 μm (Weissenberger et al., 1992), and the brine fluid flow in the channels is a critical factor in the facilitation of thermal fluxes, which leads to an enhancement in the thermal conductivity (Lytle & Ackley, 1996; Trodahl et al., 2001). Moreover, the brine drainage leads to the formation of air bubbles, which result in greater sea ice albedo (Perovich, 1996).

On the centimeter-meter length scale, sea ice is commonly described as a “mushy layer” (solid ice crystals mixed with interstitial liquid brine), bounded from above by an impermeable layer and from below by a fully liquid layer (Figure 10; Feltham et al., 2006). The dense, salty interstitial fluid is trapped and stagnant within the ice matrix

and is assumed to be in local thermodynamic equilibrium, which prevents the solid ice from melting. As sea ice grows, the interstitial liquid in the mushy layer undergoes convection due to differences in temperature and density, leading to the release of salt into the ocean. This brine drainage phenomenon is accompanied by inflow of less saline seawater from the surrounding mushy layer (Wells et al., 2011, 2019; Worster & Jones, 2015; Worster et al., 2000). With a local convective flow partially occupying the mushy layer, brine drainage occurs in only part of the sea ice. However, as the temperature increases and the sea ice becomes more porous, the convective flow eventually spreads throughout the entire sea ice depth, utilizing the network of brine channels. The interface layer between the sea ice and ocean is characterized by under-ice flows, which exert pressure on the sea ice and affect convective brine flow within it (Feltham et al., 2002). The brine rejection process is crucial in the formation of Dense Shelf Water and ultimately the Antarctic Bottom Water that fills the abyss of the global oceans (see Section 2.5).

3.3. Closing the Loops

The Southern Ocean connects to the southern cryosphere through Antarctic ice shelves (Section 3.1) and sea ice cover (Section 3.2). The Southern Ocean influences the extents and strengths of both ice shelves and sea ice. Exchanges between the Southern Ocean and sub-ice-shelf water cavities over a range of scales dictate basal melting of ice shelves (Sections 3.1.1–3.1.6). The Southern Ocean also plays a role in ice shelf mass loss via iceberg calving, and through ice shelf flexure forced by surface waves, although this phenomenon is suppressed in the presence of surrounding sea ice cover (Section 3.1.7). Southern Ocean circulation influences the large-scale redistribution of sea ice via drift (Section 3.2.1) and heat flux from the ocean connects with sea ice microstructure to control sea ice melt (Section 3.2.3). Surface waves have a major impact on the outer part of the sea ice cover (the marginal ice zone), which modulates its dynamics and thermodynamic coupling with the ocean (and atmosphere; Section 3.2.2). In turn, ice shelves and sea ice have a major influence on Southern Ocean dynamics, by reducing or eliminating momentum transfer between the atmosphere and ocean, which affects large-scale circulation (Section 2), although sea ice drift can have the opposite effect and increase internal ocean stresses (Section 4.1.1). Ice shelves and sea ice can generate and trap internal waves (Section 5.3). In contrast, sea ice also suppresses or eliminates the generation of surface waves by winds and attenuates waves over distance traveled through the sea ice-covered ocean (Section 5.1.3), which reduces upper ocean mixing in these regions (Section 4.3.1). Ice shelves also influence upper ocean mixing (in combination with tides; Section 4.3.1), as well as mesoscale turbulence (Section 4.1.5). Another major influence of Antarctic sea ice is through ice melt, which creates buoyancy forcing to support large-scale circulation (as already described; Section 2) and turbulence, such as convection in coastal polynyas (Section 4.2.2). These turbulence processes will be described in detail in the next section (Section 4), with wave processes to follow in Section 5.

4. Turbulence

Southern Ocean turbulence is driven by a wide range of processes and acts on many different scales. Turbulence is inherently characterized by nonlinear and chaotic motions. It is often difficult to establish the drivers of turbulence, which makes quantifying and categorizing turbulence a challenge. Here, Southern Ocean turbulence is broadly categorized into eddies, jets and fronts (Section 4.1), convection (Section 4.2) and mixing (Section 4.3). Eddies, jets and fronts lie broadly in the realm of mesoscale turbulence, close to geostrophic and hydrostatic balance. Mesoscale turbulent processes are affected by a large range of factors, such as wind and buoyancy forcing, along with interactions with the mean flow, eddies, topography and more. Convection is driven by vertical buoyancy differences and is characterized by vigorous vertical motion and turbulent plumes. It can be confined to the upper ocean or extend to depth as polynya convection (Sections 4.2.2 and 4.2.3). Mixing refers to three-dimensional turbulent processes that act to blend waters of different properties. To help categorize the wide range of processes that contribute to turbulence, we break the Southern Ocean into upper, interior and bottom layers (Figure 11).

There exist past reviews and books on various aspects of ocean turbulence. For eddies, jets and fronts, the review by A. F. Thompson et al. (2018) (also mentioned in Section 2) considers the Antarctic Slope Current, which is an area of strong mesoscale turbulence processes, Ferrari and Wunsch (2009) discuss the energy framework for oceans, and McGillicuddy (2016) examines a range of interactions at the oceanic mesoscale. For convection, J. Marshall and Schott (1999) review open ocean convection across the whole of the Earth's oceans, while Morales Maqueda et al. (2004) review polynyas, including polynya convection and dense water formation. For more detailed reviews of mixing processes, the reader is referred to Whalen et al. (2020), Moum (2021), and Gille

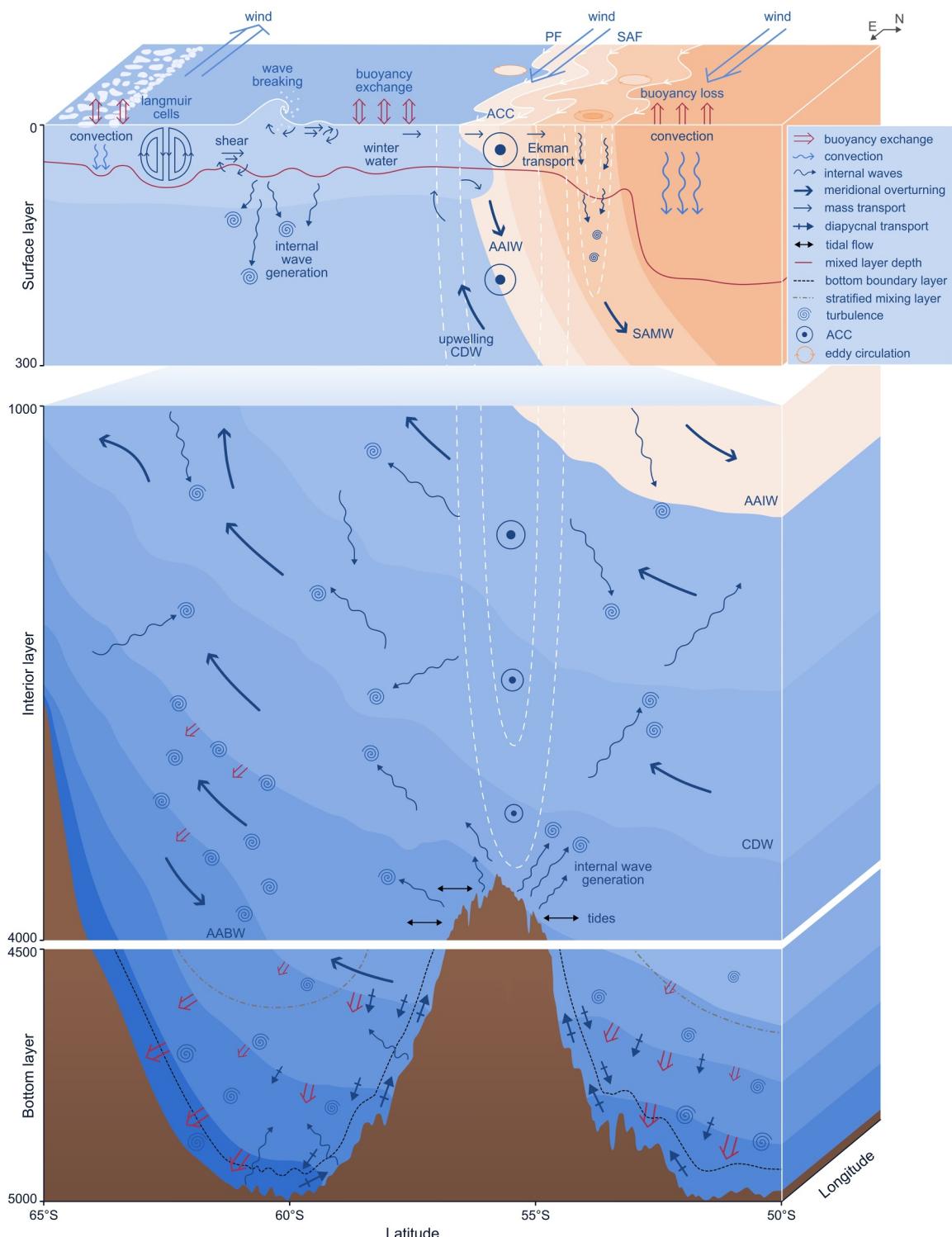


Figure 11. Schematic to illustrate surface, interior, and bottom boundary layers in the Southern Ocean, with a summary of turbulence processes acting in each layer. The ocean colors indicate the density, from lighter (dark orange) to denser (dark blue) waters, and isopycnal contours are the interfaces between the layers. Note that the three layers are offset in latitude and disconnected in the vertical, where the surface layer is 0–300 m in depth, the interior layer is 1,000–4,000 m and the bottom layer is 4,500–5,000 m. The water masses shown are Subantarctic Mode Water (SAMW), Antarctic Intermediate Water (AAIW), Circumpolar Deep Water (CDW), and Antarctic Bottom Water (AABW). Also shown on the top panel are the Antarctic Circumpolar Current (ACC), the Polar Front (PF) and the Subantarctic Front (SAF).

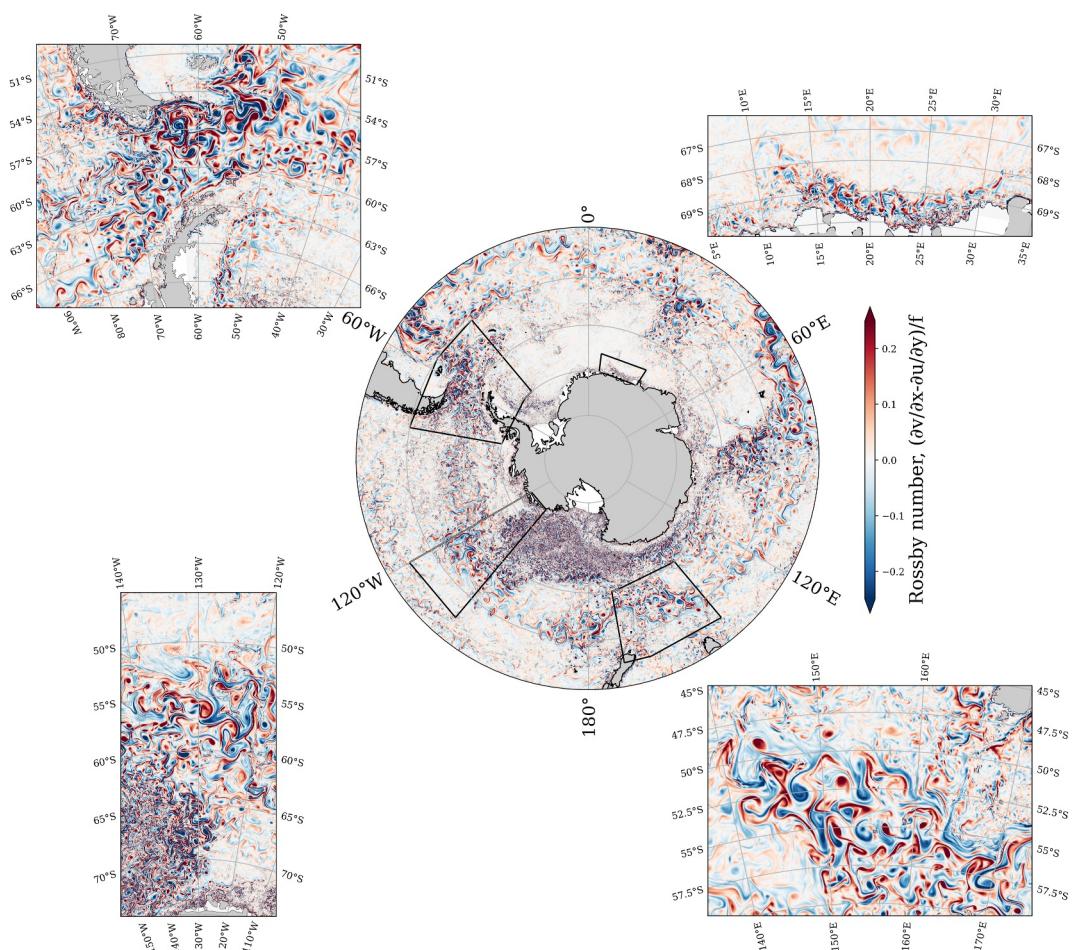


Figure 12. Mesoscale (and submesoscale) turbulent structures are ubiquitous in the Southern Ocean. The Rossby number, defined as the vertical component of relative vorticity ($\partial v / \partial x - \partial u / \partial y$) divided by the planetary vorticity (f), highlights dynamical features. The four zoomed-in panels show: the Antarctic Slope Current (top right), a large-scale meander near the Macquarie Ridge and the associated energetic mesoscale eddy field (bottom right), the spatial variation in the dominant dynamical scale in the Southern Ocean (bottom left), and the highly energetic turbulence in Drake Passage (top left). The velocity fields are snapshots from a regional simulation around Antarctica at a $1/20^\circ$ lateral resolution, performed with the Modular Ocean Model, version 6 (Adcroft et al., 2019) by the Consortium for Ocean and Sea Ice Modeling in Australia (Kiss et al., 2020).

et al. (2022), as well as other relevant chapters of the recent *Ocean Mixing* book by Meredith and Naveira Garabato (2021).

4.1. Eddies, Jets, and Fronts

The Southern Ocean is renowned for having one of the strongest turbulence fields in the global ocean, which has been shown using the metric of eddy kinetic energy (Ferrari & Wunsch, 2009). A common definition of eddy kinetic energy is the kinetic energy of deviations from the time-mean velocity field (A. R. Robinson, 1983). Most of this energy is found in the form of mesoscale turbulence, defined here as nonlinear motion close to geostrophic and hydrostatic balance. Mesoscale turbulence spreads energy across a broad range of length scales through nonlinear interactions, resulting in a complex, highly inhomogeneous and unsteady state of motion (Rhines, 1979). Because of the latitudinal and stratification dependence of the baroclinic Rossby radius of deformation, the mesoscale range varies over the Southern Ocean, from 1 to 10 km near the Antarctic continent to 100–1,000 km in the Antarctic Circumpolar Current (Figure 12).

A ubiquitous feature of mesoscale turbulence is its tendency to form long-lasting, spatially localized features, such as jets (narrow, quasi-zonal currents), fronts (sharp gradients in temperature or salinity), and eddies (spatially

and/or temporally coherent vortices). There is no uniquely accepted definition of eddies, jets or fronts (Chapman et al., 2020). For example, the dominant circulation feature of the Southern Ocean is the Antarctic Circumpolar Current (Section 2.1), which is composed of numerous jets that interact with each other (A. F. Thompson, 2008), coinciding with and flanked by sharp fronts, and co-located with the most active eddy field in the global ocean (Fu et al., 2010). The view is further complicated by the strong feedbacks that exist between these features. For example, jets become baroclinically and/or barotropically unstable to generate eddies, while eddies can flux momentum to sharpen jets (Waterman & Hoskins, 2013). Thus, this review tends toward aggregating these features into the broad category of mesoscale geostrophic turbulence.

In order to provide an overview of the dynamics of Southern Ocean mesoscale turbulence, we examine the sources, interactions and sinks in the eddy kinetic energy budget. The primary source of eddy kinetic energy in the Southern Ocean is the generation of instabilities in the large-scale flow, ultimately powered by energy input from the wind (Section 4.1.1) and buoyancy forcing (Section 4.1.2). The equilibrium value of eddy kinetic energy in any region is governed by the energy source and redistribution of eddy kinetic energy by the background flow and other interactions, and also by the rate at which eddies dissipate their energy (Section 4.1.6). This view of the eddy kinetic energy reservoir as a source-sink problem makes it clear that a full understanding of the eddy field requires knowledge of both eddy formation processes and eddy dissipation dynamics.

The mesoscale turbulence field is influenced directly via exchanges of energy with internal waves (Section 4.1.3). Feedbacks between different features can redistribute and influence energy via self-interaction of the mesoscale turbulence field (Section 4.1.4). Topography plays an important role in modulating the mesoscale dynamics of the Southern Ocean and connecting the large-scale circulation to smaller-scale, faster processes (Section 4.1.5). The geostrophic turbulence field is influenced indirectly by other components of the ocean-atmosphere-cryosphere system via their modulation of energy input by wind and buoyancy forcing. Thus, mesoscale turbulence acts as the bridge between the global-scale circulation and small-scale processes in the Southern Ocean.

4.1.1. Wind Forcing

The power input from the atmosphere into the ocean is determined by the surface wind stress. The wind stress describes an exchange of momentum between the air and the water, which is mediated through the sea surface and includes influences from surface gravity waves (Section 5.1). Wind stress is often calculated via a bulk formula, which implies that it is proportional to $|U_{\text{air}} - \mathbf{u}|(U_{\text{air}} - \mathbf{u})$, where \mathbf{u} is the ocean surface velocity and U_{air} is the wind velocity at a reference height (typically 10 m) above the sea surface. Two features in the wind stress bulk formula are noteworthy. First, the wind stress is quadratic in velocity, which implies that even if the average wind speed is zero in a region, there can still be a net wind stress felt by the ocean. Second, the wind stress depends on the relative flow between the atmosphere and the ocean, $U_{\text{air}} - \mathbf{u}$, and therefore the ocean flow affects how the ocean feels the atmosphere.

For a long time it was thought that most of the wind energy input resulted from the correlation between the mean wind stress and mean currents, and that the time-varying wind and ocean flow variability contribution was negligible (Scott & Xu, 2009; Wunsch, 1998). However, more recent studies have highlighted the important role of the synoptically varying winds (here, this refers to winds varying on “short” timescales of hours to days), which can result in a 70% increase in power input into the ocean from the winds (Zhai et al., 2012). Most of this energy enters the ocean in the winter and in regions with strong synoptic wind variability, such as the Southern Ocean (Torres et al., 2022). The wind stress injects energy into both geostrophic and higher frequency motions (especially near the inertial frequency) and from the latter, near-inertial waves are energized that propagate down below the mixed layer into the deep ocean (Section 5.3).

Generally, ocean velocities are much smaller than wind velocities, and, therefore, one might expect that the relative flow contribution to the wind stress power input would be insignificant. However, ocean flow features appear in much smaller length scales and vary at much longer time scales than the synoptic variability of the winds. If the relative wind and ocean flows are opposing, then winds damp the ocean flow and remove energy from the ocean, particularly in eddy-rich regions like the Southern Ocean (Zhai et al., 2012). The relative wind effect has a particularly large impact on mesoscale turbulence through “eddy killing,” which results in a 20%–40% reduction in mesoscale eddy kinetic energy compared to a formulation of the surface stress that does not take ocean currents into account (e.g., Jullien et al., 2020; Renault et al., 2016).

The presence of sea ice alters the relationship between atmospheric winds and momentum transfer to the ocean surface. In regions with drifting sea ice (Section 3.2.1), the momentum transfer from atmosphere to ocean can be three times that for an ice free interface (T. Martin et al., 2014). However, at higher concentrations, the internal stresses in sea ice can reduce the momentum transfer into the ocean, potentially even resulting in an ice-ocean drag that decelerates ocean currents (Meneghelli et al., 2018; A. L. Stewart et al., 2019). Landfast sea ice and ice shelves are critical elements of the coastal cryosphere through their complete removal of wind stress forcing (Section 3).

4.1.2. Buoyancy Forcing

Buoyancy forcing is another driver of mesoscale geostrophic turbulence in the Southern Ocean. The presence of stratification allows baroclinic modes of instability to generate geostrophic turbulence, while also weakening the barotropic potential vorticity constraints on geostrophic flow (Cushman-Roisin & Beckers, 2011). The large scale meridional sloping of isopycnals across the Antarctic Circumpolar Current region is maintained by the wind (Ferrari & Wunsch, 2009). Mesoscale turbulence is tightly coupled to stratification by working to flatten these isopycnals. For example, increased heat storage north of the Subantarctic Front has been linked to an acceleration of the zonal flow (Shi et al., 2021). In addition, baroclinic instability is central to the dynamics of standing meanders of the Antarctic Circumpolar Current (Constantinou & Hogg, 2019; Foppert et al., 2017; Watts et al., 2016; Youngs et al., 2017). Interactions between Southern Ocean jets, topography, and stratification can also lead to rapid changes in ocean ventilation (Klocker, 2018).

Southern Ocean stratification is influenced by many processes, which can also go on to impact mesoscale turbulence. Some processes, such as sea ice melt and surface heating, act to stratify the water column (Haumann et al., 2020). Others, such as convection and mixing, decrease the vertical stratification. Meltwater from ice sheets and ice shelves leads to fresh, cold surface water near Antarctica. For example, the meltwater plume from ice shelf melting modifies the ocean stratification and uptake of surface buoyancy, which will go on to influence the mesoscale turbulence field. Fast ice reduces ocean-atmosphere heat and salt exchanges, replacing them with ice-ocean exchanges of melting and freezing. Vertical mixing by mesoscale turbulence underneath sea ice dissipates eddy kinetic energy and reduces sea ice thickness by up to 10% (Gupta et al., 2020). Strong horizontal density gradients from vertical convective mixing can provide energy for geostrophic turbulence to restratify that region (H. Jones & Marshall, 1997; Kurtakoti et al., 2018).

4.1.3. Internal Wave Interactions

The geostrophic turbulence field in the ocean continuously exchanges energy with the internal wave field (E. D. Brown & Owens, 1981; Polzin, 2010; Polzin & Lvov, 2011). Internal waves “see” eddies and jets as a slowly moving and usually larger-scale flow from which they can both extract or input energy, depending on the relative direction of the eddying flow and wave propagation. It has been argued that energy exchange with internal waves is a significant net sink of eddy energy (Polzin, 2008, 2010), although other studies in the Southern Ocean have found the opposite effect (Cusack et al., 2020; Shakespeare & Hogg, 2019). As such, the overall effect of internal waves on eddies and jets remains a topic of active research (Section 5.3).

4.1.4. Mesoscale Turbulence Self-Interactions

Mesoscale turbulence in the Southern Ocean exhibits many of the nonlinear self-interactions seen in two-dimensional and quasi-geostrophic turbulence under the constraints of rotation and stratification (Hopfinger & Van Heijst, 1993). The level of eddy self-interaction can be quantified using a nonlinearity parameter, which expresses the ratio of the rotational velocity of the eddy to its translational velocity (Chelton et al., 2011; Klocker et al., 2016). Southern Ocean eddies, particularly in the Antarctic Circumpolar Current, typically have large values of this parameter (of order 10), implying that the eddies cannot be regarded as linear perturbations to a quiescent background, but instead modify the surrounding flow by trapping and transporting fluid (Chelton et al., 2011). These self-interactions include eddy merging and splitting events (Cui et al., 2019), the formation of quasi-stable dipoles, quadrupoles and larger eddy assemblages (e.g., Gallet & Ferrari, 2020), and the cascade of energy from small to large scales (Aluie et al., 2018; Balwada et al., 2022; Salmon, 1998; Scott & Wang, 2005). The inverse energy cascade is consistent with a pronounced seasonal cycle in eddy kinetic energy and eddy diameter observed in a 25-year climatology of satellite altimetry measurements (Martínez-Moreno et al., 2021),

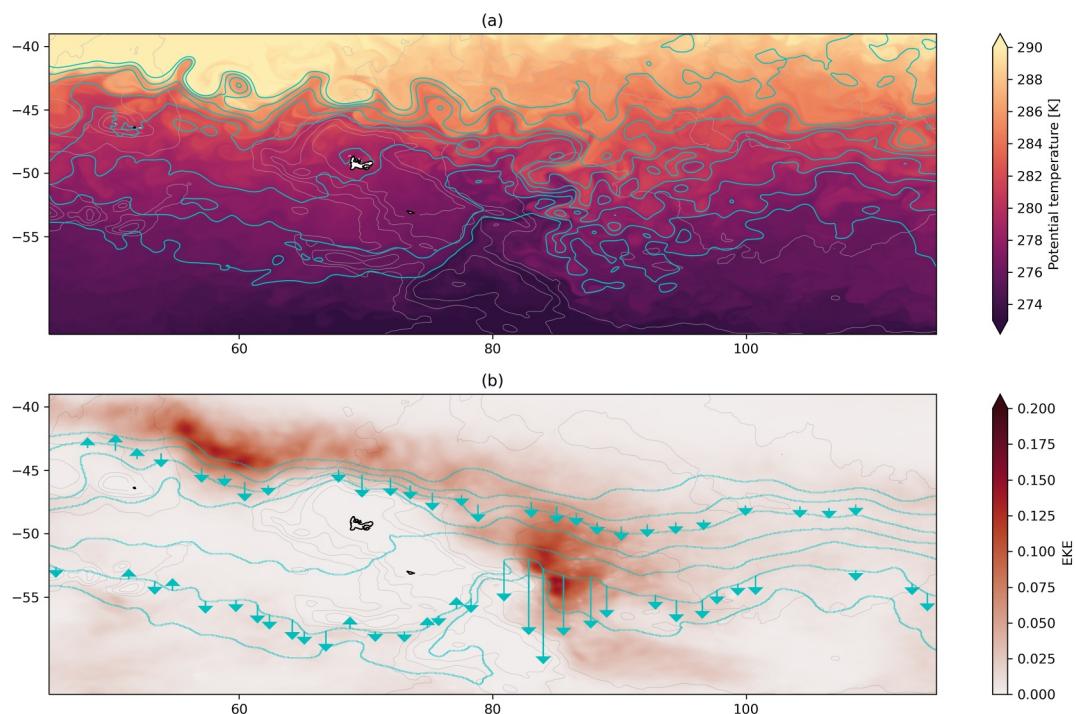


Figure 13. Eddies, fronts and jets in the Kerguelen Plateau region. (a) Snapshot of sea surface temperature (colors) and sea surface height (cyan contours) from the ACCESS-OM2-01 model (Kiss et al., 2020). (b) Eddy kinetic energy (colors), sea surface height and southward eddy thickness fluxes (from results by Yung et al., 2022) averaged over a 10-year simulation. Gray contours in both panels show bathymetry.

where small-scale (diameter <120 km) coherent eddies peak in amplitude in mid-summer, while large-scale (>120 km) eddies peak in autumn. The findings suggest an inverse cascade from small scales, driven by baroclinic instability early in the summer, to large diameter eddies which grow in amplitude later in the season.

Eddy-mean flow interactions are mediated by eddy fluxes of buoyancy and momentum (Q. Li et al., 2016). For example, strong jets become baroclinically and/or barotropically unstable to generate eddies (Chapman et al., 2015; Constantinou & Hogg, 2019; Foppert, 2019; Phillips & Rintoul, 2000; Watts et al., 2016; Youngs et al., 2017), while eddies can flux momentum upgradient to sharpen jets (Waterman & Hoskins, 2013). Eddy momentum fluxes act to accelerate (for a converging momentum flux) or decelerate (for a diverging momentum flux) the Antarctic Circumpolar Current near topographic features such as the Drake Passage and Campbell Plateau (Ivchenko et al., 1997; Morrow et al., 1994; R. G. Williams et al., 2007). Eddy geometry (the eddy shape, size, and anisotropy) provides a useful framework for characterizing eddy-mean flow interactions (D. P. Marshall et al., 2012; Waterman & Lilly, 2015). Eddy buoyancy fluxes are key in setting the slope of isopycnal surfaces, thereby influencing the strength and stability of the Antarctic Circumpolar Current (Karsten et al., 2002; J. Marshall & Radko, 2003; Olbers & Visbeck, 2005; Olbers et al., 2004).

4.1.5. Topographic Effects

Bottom topography plays an important role in modulating the Southern Ocean mesoscale turbulence field (Chelton et al., 1990; Gille & Kelly, 1996). Models and observations indicate that enhanced eddy kinetic energy, cross-frontal transport, and eddy-induced upwelling can be found downstream of major topographic features (Figure 13; Barthel et al., 2022; Foppert et al., 2017; Tamsitt et al., 2018; Yung et al., 2022). Topography also plays a pivotal role in modulating jet evolution. Observations and idealized models show that the formation of jets, their meridional spacing and variability, and associated transport depend on the length scale and steepness of the topographic features (Boland et al., 2012; Chapman & Morrow, 2014; Constantinou & Young, 2017; Freeman et al., 2016; A. F. Thompson, 2010).

Near the Antarctic margins, ice topography can also influence mesoscale geostrophic turbulence. Rapid changes in water column thickness near ice shelves and glacier tongues modify local angular momentum balances (van Heijst, 1987). Strong potential vorticity gradients occur at ice-shelf fronts (Steiger et al., 2022), where the ice draft may be greater than half the local seabed depth. The ice creates a barrier against which water may pool and a strong along-front flow may develop (Malyarenko et al., 2019). There is evidence that this front provides an impediment to barotropic processes but that baroclinic transport can persist (Steiger et al., 2022; Wåhlin et al., 2020) enabling penetration of heat beneath ice shelf frontal regions (Davis et al., 2022; C. L. Stewart et al., 2019; Tinto et al., 2019).

4.1.6. Dissipation of Eddy Kinetic Energy

The primary source of eddy energy is the generation of instabilities in the large-scale flow, ultimately powered by wind and buoyancy forcing (Sections 4.1.1 and 4.1.2). In the Southern Ocean, both barotropic and baroclinic instability contribute to the eddy field, although baroclinic instability is expected to dominate at the mesoscale (Youngs et al., 2017). However, the mesoscale energy has a largely upscale cascade, meaning that energy is returned to the large-scale flow field. This upscale cascade can be considered a consequence of the conservation of potential vorticity and theoretically applies to balanced flows at low Rossby number and in the interior of the ocean (Rhines, 1977). It follows that situations in which balance is broken yield the possibility of a forward cascade of energy to the submesoscales, internal waves and shear-driven turbulence. The main candidate mechanisms for loss of balance involve interactions at the ocean surface or bottom. At the surface, eddies can generate filaments leading to “frontogenesis,” thereby breaking the constraint of low Rossby number flow and creating an active submesoscale flow field (Barkan et al., 2015; McWilliams, 2021). Additionally, the rotation of coherent vortices leads to a wind-stress torque that directly damps eddies (Zhai et al., 2012). Submesoscale instabilities near sloping bottom boundaries may drive loss of balance (Callies, 2018; Wenegrat & Thomas, 2020; Wenegrat et al., 2018). Western boundary currents may act as an “eddy graveyard” (Zhai et al., 2010), likely involving interactions between eddies and shoaling topography, such as frictional (Evans et al., 2020; Wright et al., 2013) or dynamical (Dewar & Hogg, 2010) mechanisms.

One dynamical mechanism that removes energy from eddies is the generation of internal waves from eddy-topography interaction (Section 5.3.1). The intense and deep reaching mesoscale flow of the Southern Ocean results in bathymetric interactions that generate Doppler-shifted internal waves, such as lee waves. The breaking of these waves (Section 5.1.1) exerts a drag on the background mesoscale flow. Naveira Garabato et al. (2013) evaluated time-mean lee wave drag globally and found that, while it is a minor contributor to the ocean dynamical balance over much of the ocean, it is a significant player for Antarctic Circumpolar Current dynamics. Extending this estimate to transient eddies in the Southern Ocean, Yang et al. (2018, 2021) have shown that lee wave drag processes dominate over the turbulent bottom boundary layer drag for eddy dissipation, a result consistent with previous results from higher resolution idealized models (Nikurashin et al., 2013).

It has also been proposed that loss of balance can occur spontaneously in the ocean, in the absence of surface forcing or bottom interactions (Molemaker et al., 2005; Shakespeare, 2019). Simulations show that spontaneous emission of internal gravity waves occurs in balanced flow, but while the energy transferred may be locally important, it is unlikely to be a regionally or globally significant sink of eddy energy (Chouksey et al., 2018; Nagai et al., 2015; Shakespeare & Hogg, 2017; Vanneste, 2013). Alternatively, the exchange of energy between eddies and surface- or bottom-generated internal waves can, in some circumstances, result in a net extraction of energy from the eddy field into internal waves (Section 5.3.2).

Despite the range of available mechanisms for eddy dissipation, there is no clear view of which mechanism dominates, nor a demonstration of the relative magnitude of these mechanisms in the Southern Ocean.

4.2. Convection

Convection is a type of flow driven by a vertical buoyancy differential that, in the ocean, is due to temperature and salinity differences. Unstable buoyancy differences, such as cold and/or saline water overlying warm and/or fresh water, trigger small-scale three-dimensional motions commonly known as “turbulent convection.” Convection is often characterized by plumes that vertically flux buoyancy and mix with the ambient ocean. Buoyancy loss through various surface drivers (net cooling, evaporation, and sea ice formation) is a primary mechanism for triggering this turbulent convection and dense water formation. The dominant convection processes influencing

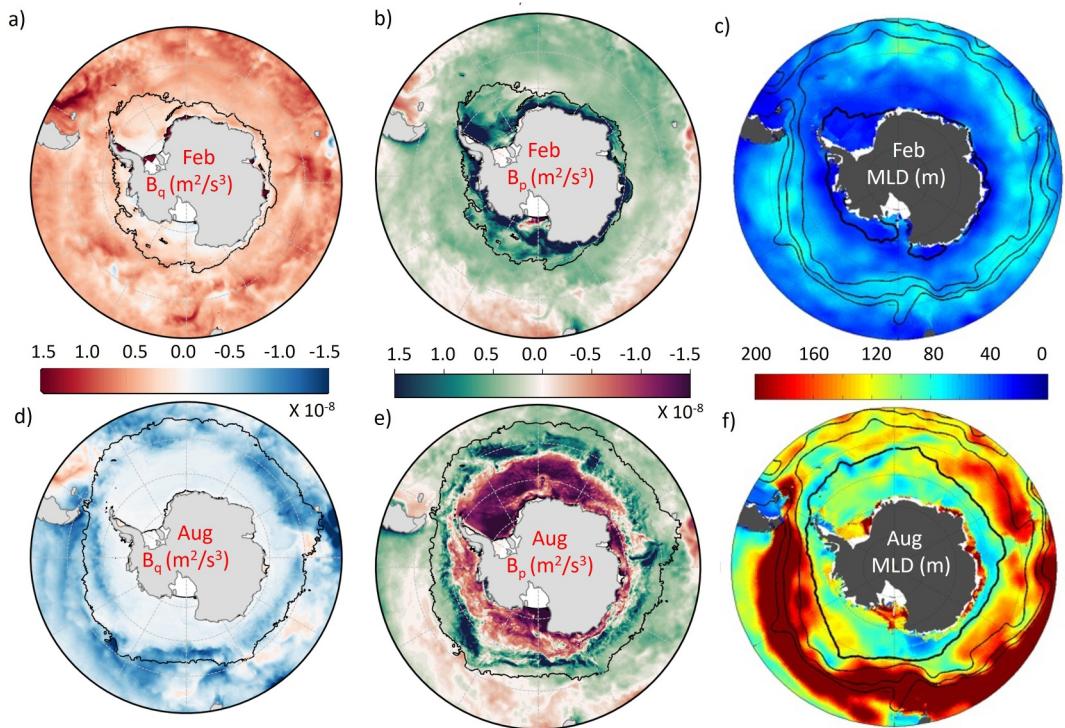


Figure 14. Surface fluxes and mixed layer depth (MLD) in the Southern Ocean for Austral (a–c) summer and (d–f) winter. (a, d) The buoyancy flux due to the net surface heat flux, B_q . (b, e) The buoyancy flux due to the net surface salt flux, B_p . (c, f) MLD. Fine black lines represent (a, b, d, e) sea ice extent, and (c, f) main fronts of the Antarctic Circumpolar Current, with the thick black line corresponding to the maximum seasonal sea ice extent. Fluxes are calculated based on the SOSE reanalysis product (Mazloff et al., 2010). (c, f) Reproduced from Pelichero et al. (2017).

Southern Ocean dynamics are mixed layer convection and polynya (coastal and open ocean) convection, as discussed in the following sections.

4.2.1. Upper Mixed Layer Convection

Turbulent convection in the upper ocean occurs when surface cooling, evaporation and/or brine rejection leads to a gravitationally unstable water column. Various surface forcings (e.g., wind stress, evaporation, and precipitation) drive small-scale eddies that trigger convection. Turbulent convection is strongly linked to the mixed layer depth, which is the uppermost part of the ocean characterized by a homogeneous density distribution. Over the Southern Ocean, the mixed layer experiences a strong seasonal cycle and is deeper during the Austral winter and shallower during the Austral summer (Figure 14; Buongiorno Nardelli et al., 2017; Dong et al., 2008; Pelichero et al., 2017; Ren et al., 2011; Sallée et al., 2006). In broad terms, the deep winter mixed layer is mostly driven by convective processes, either from temperature inversions during surface cooling or salinity inversions during brine rejection, or from a combination of these two effects (Clément et al., 2022; Pelichero et al., 2017). Convection becomes less pronounced during summer due to the increased stability in the water column from surface heating and sea ice melting.

Most Southern Ocean regions experience moderate to strong seasonality resulting in a large variation of heat and salt fluxes at the ocean surface. Mixed layer properties and dynamics are very different between sea ice covered and free zones. The spatial variation of the mixed layer depth is more pronounced in the latitudinal direction due to variation of both air-sea and ice-ocean fluxes, leading to a meridional banded structure of the winter mixed layer depth across the Southern Ocean. This band is deep near the Antarctic continent, becoming shallower farther offshore, before deepening again along the northern flank of the Antarctic Circumpolar Current (Figures 14c–14f; Pelichero et al., 2017; Wilson et al., 2019). The winter deep mixed layer region to the north of the Subantarctic Front is where Subantarctic Mode Water is formed (McCartney, 1977).

In the region free of sea ice, the seasonal cycle of air-sea interactions affects the heat content in the mixed layer (Dong et al., 2007, 2008; Pelichero et al., 2017; Sallée et al., 2006) with warming of the subsurface ocean during spring and summer and cooling during autumn and winter. A large buoyancy loss from the ocean to the atmosphere during wintertime causes an unstable temperature inversion leading to vertical convection and a deeper mixed layer. A density inversion in the upper ocean is observed over a wide area spanning the Antarctic Circumpolar Current and further north, including mode water formation regions (Pelichero et al., 2017). The net vertical heat flux out of the ocean surface dominants the heat budget of the mixed layer in autumn and winter (Pelichero et al., 2017), with secondary cooling effects from vertical entrainment of cold ambient water at the bottom of the mixed layer (Dong et al., 2007). Horizontal Ekman advection of cold water from the south due to strong winds across the Southern Ocean also contributes to cooling the upper ocean throughout the year (Dong et al., 2007, 2008; Sallée et al., 2010).

Sea ice covers a major part of the Southern Ocean in winter, insulating the ocean from the cold atmosphere and minimizing the heat loss. The start of winter sees sea ice formation resulting in brine rejection and cold surface waters, which leads to a top-heavy water column susceptible to convective instabilities. The sea ice induced fluxes are the dominant contributors to the heat and salinity budgets of the upper ocean, with negligible contributions from lateral advection (by Ekman transport) and diffusion. The entrainment of salt flux from the bottom of the mixed layer does play an important role in the salinity budget of the mixed layer. From late autumn onward, the deepening of the mixed layer entrains the underlying, relatively salty Circumpolar Deep Water into the mixed layer in the Weddell Sea regions and near the Ross Ice Shelf, decreasing the overall buoyancy of the mixed layer. The degree to which the Circumpolar Deep Water interacts with the mixed layer varies around the Antarctic continent. For example, in the East Antarctic, the strong Antarctic Slope Current and easterly winds tend to inhibit the entrainment of the Circumpolar Deep Water into the surface mixed layer (A. F. Thompson et al., 2018). In addition to the above processes, leads exist in many sea ice covered areas there (Section 3.2.2; Muchow et al., 2021), which allow for the direct interaction between the cold atmosphere (frequently below -30°C) and the ocean, forming large localized convection driven by sensible heat loss and brine rejection (Simmonds & Budd, 1991; S. D. Smith et al., 1990).

In early to mid-winter, the heat flux from the ocean, which warms the sea ice, is much less than the heat loss to the atmosphere through the upper surface of the ice, resulting in rapid sea ice growth and both temperature- and salinity-driven convection (Wilson et al., 2019). As the under-ice mixed layer deepens, it cools to about freezing point while also becoming saltier. This entrainment provides an efficient mode for exchanging freshwater along with heat and atmospheric gases (e.g., carbon dioxide, oxygen) between the deep ocean and the atmosphere (Gordon, 1991). The entrainment of warm water continues to increase the heat flux from ocean to ice. In late winter, when the ocean heat flux to the sea ice is more than the heat loss to the atmosphere, the entrained heat melts the sea ice from below, and a strong surface stratification establishes due to the release of freshwater from melting that can rapidly slow down surface-driven convection and mixed layer growth. However, turbulence can also be sustained by double-diffusive convection processes as cold and freshwater overlie warm and salty water (Section 3.1.6). Evidence for double-diffusive convection has been reported in observations of the subsurface water column both in the Ross and Weddell seas during late winter time (Bebieva & Speer, 2019; Shaw & Stanton, 2014).

4.2.2. Coastal Polynya Convection

The ocean around Antarctica is covered in sea ice during much of the year, particularly in winter, except for pockets of open water known as polynyas (Morales Maqueda et al., 2004). Polynyas generally lie close to the coast, with strong katabatic winds blowing any newly-formed sea ice out to sea. Coastal polynyas are key regions for water mass transformation via atmosphere-sea ice-ocean interactions (Killworth, 1983; Tamura et al., 2008). The process of coastal polynya convection begins at the surface, where there is buoyancy loss due to a sudden cooling or an increase in sea ice production and brine rejection, or a combination of both of these effects. In some circumstances, polynya convection is started by brine rejection and then maintained by surface cooling, as convection continues to bring warmer waters to the surface.

Buoyancy loss, from brine rejection or surface cooling, causes deepening of the upper ocean mixed layer followed by convection that can reach the ocean floor (J. Marshall & Schott, 1999). The ocean floor on the Antarctic continental shelf is typically a few hundred meters deep, extending to 1 km near the shelf break (Amblas & Dowdeswell, 2018). The convection region or “patch” is made up of plumes of around 1 km or less in width. Baroclinic eddies form at the edge of the convective patch, due to the strong horizontal gradient in buoyancy

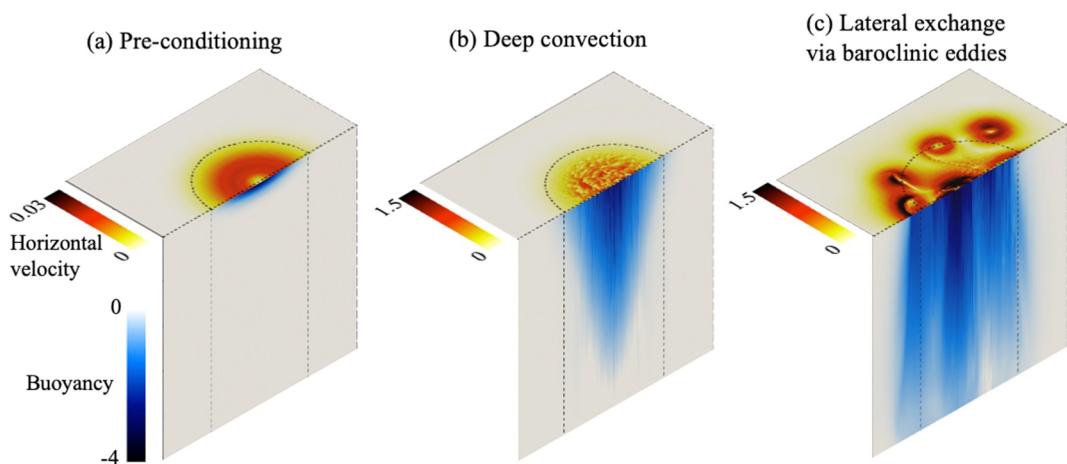


Figure 15. Different stages of open-ocean convection shown in high-resolution direct numerical simulations. Figure reproduced from Vreugdenhil and Gayen (2021) and based on the simulations by Sohail et al. (2020).

between the dense convective region and surrounding waters. However, these eddies may be dissipated by the neighboring ice shelf or sea ice cover. The width of these eddies will depend on the Rossby radius of deformation, which is roughly 5–10 km in coastal polynya regions (e.g., ~4 km near Ronne Ice Shelf; Árthun et al., 2013). Sustained coastal convection is dependent on a number of driving factors coalescing under the right conditions. In particular, surface winds (katabatics and easterlies) are required to promote favorable conditions for sea ice formation and the continued northward export of sea ice.

Surface water mass transformation in polynyas is often seasonal and localized. While some polynyas are strong factories of convection and dense water formation throughout large portions of the year, other polynyas do not produce a significant dense water mass. Some of the most productive regions of dense water formation are the Weddell Sea, Prydz Bay, Adélie Land, and Ross Sea (Morales Maqueda et al., 2004). Polynya convection can also undergo changes if the surface conditions differ from year-to-year. Large grounded icebergs can act as islands, leading to modified convection and ocean circulation. For example, a polynya in Adélie Land was noted to decrease in dense water formation (leading into Adélie Land Bottom Water) after newly-formed sea ice was blocked from exiting the polynya region by a large grounded iceberg (Snow et al., 2018). Observations and modeling also demonstrate that meltwater plumes from neighboring ice shelves may freshen the surface waters in the polynya region and result in a shut down of convection (Moorman et al., 2020; Silvano et al., 2018). This can then have a feedback effect on the convection in polynyas downstream, resulting in further reductions in convection (Silvano et al., 2018).

4.2.3. Open Ocean Polynya Convection

Open ocean convection is characterized by the rapid vertical heat exchange between the surface and deep ocean, driven predominantly by sensible heat loss or brine rejection at the surface of the ocean, and relatively unencumbered by local coastal processes (J. Marshall & Schott, 1999). It occurs further offshore than coastal convection and is a more intermittent phenomenon. In regions where open ocean convection is active, gaps in the sea ice cover (polynyas) emerge and persist for weeks and up to several months (Comiso & Gordon, 1987). Such polynyas have been observed in the Weddell Sea in 1974 (Gordon, 1978), and also to a lesser extent in 2016 and 2017 (Campbell et al., 2019; Jena et al., 2019), and in the western Cosmonaut Sea (persistent in Austral autumn and winter; Comiso & Gordon, 1987).

The life cycle of a typical open ocean convection event is relatively well-understood (J. Marshall & Schott, 1999). In the first preconditioning phase, favorable local oceanic conditions are set up that lower the thermodynamic barrier to rapid sensible heat exchange with the atmosphere (Figure 15a). In the second deep convection phase, deep, turbulent ocean convection is triggered which spawns multi-scale convective chimneys and a geostrophic rim current (Figure 15b). Finally, given the right conditions, the rim current becomes baroclinically unstable, pinching off high-buoyancy baroclinic eddies, which rapidly mix the convective patch in the third lateral

spreading phase (Figure 15c). If favorable forcing conditions persist, the convective event will reach a quasi-equilibrium state in the lateral spreading phase, with minimal changes to the mixed layer or net vertical heat flux. The convection will only cease when the subsurface heat reservoir has been depleted, or freshwater input at the surface occurs, acting to restabilize the water column. Once such conditions cease, baroclinic eddies rapidly break down the convecting patch via lateral mixing, restratifying the ocean and encouraging reformation of sea ice (H. Jones & Marshall, 1997).

In the Southern Ocean, the Weddell Sea is a critical region for open ocean polynya formation and convection. Open ocean polynyas have been intermittently observed around the Maud Rise seamount region, with the most recent notable example being in 2016 and 2017. In the mid-1970s, such Maud Rise Polynyas were a precursor to the much larger and more consequential Weddell Polynya, which emerged in 1974 and persisted through to 1976. Over its life, the Weddell Polynya reached a maximum extent of $250,000 \text{ km}^2$, generated dense water at an average rate of $1.6\text{--}3.2 \text{ Sv}$, and reduced the heat content of the underlying Weddell Deep Water by $12.6 \times 10^{20} \text{ J}$ (Section 2.5; Gordon, 1982).

Several candidate processes have emerged that, through complex interactions, likely dictate the emergence and strength of the Maud Rise and Weddell Polynyas. First, a period of prolonged negative Southern Annular Mode conditions, aided by La Niña, can create drier and cooler atmospheric conditions at the ocean surface, resulting in an increase in sea ice production. The subsequent brine rejection acts to salinify the ocean surface and reduce the stability of the water column (Gordon et al., 2007). Interactions of background flow with Maud Rise, particularly in these weakly stratified conditions, may give rise to a Taylor column (a stagnant region that can form over an obstacle in a rotating flow; G. I. Taylor, 1923) that is isolated to the seamount, bringing warm, salty Weddell Deep Water closer to the ocean mixed layer (de Steur et al., 2007; Kurtakoti et al., 2018). Recent work using observational data sets has also highlighted the influence of eddy transport in warming the subsurface layer within the Taylor column in the lead-up to the 2016–2017 polynya opening (Gülk et al., 2023). Following all the various preconditioning effects, a negative wind stress curl over the Weddell Sea would strengthen the Weddell Gyre, causing the underlying Weddell Deep Water to upwell (Cheon et al., 2015) and melt sea ice in the region. Cyclonic eddies may shed off Maud Rise, opening gaps in the sea ice and enabling rapid heat loss to the atmosphere (D. M. Holland, 2001). Intermittent cyclones can also provide a strong mechanical forcing, opening the sea ice pack and exposing the ocean surface to the atmosphere (Campbell et al., 2019; Francis et al., 2019; Z. Wei et al., 2022).

Once a Maud Rise Polynya is triggered, westward propagation of the polynya can yield a larger Weddell Polynya, especially if there is a large heat reservoir in the Weddell Deep Water and the wind stress curl and Southern Annular Mode are strongly negative (Kurtakoti et al., 2021). Note that Weddell Polynya formation is not guaranteed once a Maud Rise Polynya is formed. For example, the relatively large Maud Rise Polynya in 2017 did not transition to a Weddell Polynya, as a positive Southern Annular Mode index that year meant the water column away from Maud Rise was more stable and inhibited Weddell Polynya formation (Cheon & Gordon, 2019). A Maud Rise Polynya, or Weddell Polynya, will persist in quasi-equilibrium until it is destroyed by the loss of subsurface heat, the input of surface freshwater, or through interactions with broader-scale gyre currents (D. M. Holland, 2001; Martinson et al., 1981).

4.3. Mixing

Three-dimensional turbulence and mixing in the Southern Ocean, whether in the interior or in the surface and bottom boundary layers, plays an important role in shaping air-sea and ice-ocean exchange (e.g., Holte et al., 2012; Rintoul, 2018), watermass transformation (e.g., Cerovečki & Mazloff, 2016; Downes et al., 2011; Evans et al., 2018) and tracer transport (e.g., Mashayek, Ferrari, et al., 2017; Uchida et al., 2020). Three-dimensional turbulence lies at the bottom of the spatial and temporal scale range, acting to absorb the downscale cascade of energy and scalar variance generated by motions at larger scales, and ultimately remove it at molecular scales. The millimeter to centimeter scales of turbulence, coupled with its highly intermittent nature, make it extraordinarily difficult to measure. Thus, much of our knowledge on the distribution of mixing in the ocean is inferred from observations of larger scales.

The term “mixing” refers to the process of blending waters of different properties. The focus of Section 4.3 is on the irreversible mixing of scalars. Diapycnal mixing or mixing across density surfaces is quantified using a diapycnal diffusivity, which is typically seven orders of magnitude smaller than the horizontal components set by

along-isopycnal mesoscale stirring (de Lavergne et al., 2022). Mixing along isopycnals can create finescale gradients, for example, of temperature, which are more readily acted upon by turbulence and diapycnal mixing (Abernathy et al., 2022; de Lavergne et al., 2022). In addition, isopycnal mixing can lead to densification via cabbeling or thermobaricity, where mixing two water parcels of the same density results in a denser parcel due to nonlinearities in the equation of state (Section 2.4; Groeskamp et al., 2016; Urakawa & Hasumi, 2012; L. N. Thomas & Shakespeare, 2015).

Direct measurements of mixing, resolving millimeter to centimeter scales, are limited to specialized research campaigns involving microstructure instruments (Fer et al., 2016; Ferris et al., 2022; Laurent et al., 2012; Waterman et al., 2013) and, for the ocean interior, tracer release experiments (Ledwell et al., 2011). Microstructure instruments rely on rapid-response velocity, temperature or salinity sensors that resolve variations with depth on the scale of centimeters, and provide an estimate of the dissipation of turbulent kinetic energy ε (or tracer variance). Diapycnal diffusivity is then estimated as $\Gamma\varepsilon/N^2$ (Osborn, 1980), where Γ is generally assumed equal to 0.2 (Gregg et al., 2018) and N is the buoyancy frequency, defining the vertical stratification. Due to the limitations of direct observations throughout the Southern Ocean, finescale parameterizations of turbulent dissipation are widely used. Finescale methods applied to density and velocity measurements that resolve the vertical length scales of internal waves can be used to infer the mixing from internal wave breaking (Section 5.3.2), either locally or after propagating some distance (Polzin, Naveira Garabato, Huussen, et al., 2014). Finescale methods have two major assumptions: (a) all the observed shear and strain in the ocean interior is due to internal waves, and (b) nonlinear interactions between the waves result in a downscale energy cascade leading to wave breaking and turbulence (Polzin, Naveira Garabato, Huussen, et al., 2014; Whalen et al., 2015). Fewer assumptions are required when both velocity and density are measured simultaneously, again limiting the observations to research vessels (Waterhouse et al., 2014) and autonomous instruments that measure both velocity and density (Cyriac et al., 2022; Meyer, Sloyan, et al., 2015).

The most broadly available estimates of mixing come from the global Argo profiling float array (Whalen et al., 2012, 2015) that measure profiles of temperature and salinity to 2,000 m. The absence of ocean velocity profiles in these measurements requires an assumption of the ratio of shear variance to strain variance, often chosen between three and seven (Cyriac et al., 2022; Kunze et al., 2006; Waterhouse et al., 2018). Parameterized estimates of mixing have been found to agree with direct measurements within a factor of two to three in the open-ocean thermocline (Whalen et al., 2015, 2020). This range of mixing observations provides some knowledge of the global-scale distribution of mixing and its seasonal variability, which has been shown to be closely correlated with seasonal variations in wind strength. However, in the Southern Ocean, apart from targeted field campaigns, there is little knowledge of the amplitude and variability of mixing in the surface mixed layer, below 2,000 m depth, in boundary currents, in ice-covered regions, and at spatial scales smaller than 100 km and temporal scales less than a month.

We organize Section 4.3 by separately considering mixing within the surface boundary layer (Section 4.3.1), the interior (Section 4.3.2) and near the bottom (Section 4.3.3). Figure 11 illustrates the three layers and summarizes the processes affecting mixing that will be addressed in the following sections.

4.3.1. Upper Ocean Mixing

Air-sea exchanges in the Southern Ocean are mediated through the surface mixed layer and, thus, are shaped by boundary layer mixing. Surface boundary layer mixing is fundamental to surface ventilation and hence water mass formation (Sections 2 and 4.2; Fox-Kemper et al., 2022). The depth of the surface boundary layer is also important to the input of wind power that drives near-inertial oscillations and internal waves that ultimately contribute to deeper ocean mixing (Section 5.3). The Southern Ocean surface is characterized by strong time-mean and time-variable wind stress, large lateral density gradients and strong seasonally-varying heat and freshwater fluxes. The resulting transient near-surface mixing geography is shaped by a myriad of processes including surface waves (Belcher et al., 2012; Fox-Kemper et al., 2022), submesoscale and frontal dynamics (Du Plessis et al., 2019; Giddy et al., 2021; Gula et al., 2022), wind-generated near-inertial waves (Whalen et al., 2020; Whitt et al., 2019), which also penetrate into the interior to influence interior mixing (Alford et al., 2012; Cyriac et al., 2022), and sea ice interactions (Pellichero et al., 2017; Evans et al., 2018; S. Swart et al., 2020). Further, recent work highlights the interaction between mixing, air-sea heat fluxes and sea ice formation, leading to a two-stage transformation of Circumpolar Deep Water, first into Winter Water and then into Antarctic Intermediate

Water (Section 2; Evans et al., 2018). While an understanding of these processes is developing, observations are sparse and parameterization development has so far been based on Northern Hemisphere data. In the Southern Ocean, the multiscale dynamics driving the mixing may look different to other regions of the global ocean. Therefore, it is important to also test these parameterizations with Southern Ocean data.

Surface gravity waves play a vital role in both air-sea exchange and deepening of the surface mixed layer through entrainment (Section 5.1.1). The bubbles, spray and foam resulting from breaking surface waves lead to a complex multiphase fluid that is a challenge to both observe and model. This multiphase fluid is critical to both air-sea fluxes and can also affect surface roughness and wave dynamics. Surface waves contribute to mixed layer entrainment through the formation of deeply penetrating Langmuir turbulence and non-breaking wave turbulence. Langmuir cells are driven by the interaction between the wind-driven shear current and the Stokes drift current and result in pairs of parallel counter-rotating vortices oriented in the downwind direction. Belcher et al. (2012) concluded that surface wave-forced Langmuir turbulence should be a major source of turbulent kinetic energy in the Southern Ocean. Langmuir cells can contribute to entrainment even when the cells do not reach the mixed layer base through enhancing the shear via pressure work (Q. Li & Fox-Kemper, 2020). Non-breaking (irrotational) surface waves can enhance existing background ocean turbulence when the orbital velocities of the irrotational waves interact with the turbulence (Qiao et al., 2016). Observations showed that they have capacity to deepen the mixed layer depth (Toffoli et al., 2012). Due to the extreme wave environment of the Southern Ocean, it is likely that these processes play a key role. Simulations of the surface boundary layer at the West Antarctic Peninsula that include parameterization of Langmuir cells demonstrate more realistic deep mixed layers on the slope and shelf regions due to Langmuir entrainment (Schultz et al., 2020). However, the first extensive microstructure turbulence observations of the Southern Ocean surface boundary layer show that Langmuir circulations alone do not explain the enhanced turbulence at the base of the mixed layer. Instead, storm forced inertial currents provide additional shear (Ferris et al., 2022).

Large inertial oscillations can be resonantly excited in the mixed layer when strong winds turn with the inertial rotation (Dohan & Davis, 2011). These inertial oscillations can then leave the mixed layer as propagating near-inertial waves. The inertial waves induce shear within the base of the mixed layer in the so called “mixing transition” layer, which results in mixing and widening of the layer (Forryan et al., 2015; Skillingstad et al., 2000). High-resolution turbulence observations and drifter data show that the inertial oscillation-induced turbulent dissipation rate across the layer is an order of magnitude larger than that induced by most other mixed layer processes (with the exception of mixed layer frontal instabilities), thereby further highlighting the importance of wind-driven inertial oscillations for thermocline mixing (Peng et al., 2021). In a general sense, and noting that there are large spatial variations, Southern Ocean density profiles appear to have much deeper surface mixed layers (hundreds of meters) than is typical in more temperate regions. However, this layer is actually weakly but stably stratified, with the active mixing layer confined close to the surface (Kilbourne & Girton, 2015). Therefore, a “slab model” (an analytical model that treats the surface mixed layer as a slab to estimate the mixed layer response to wind stress) can be applied to the actively mixing layer to estimate the near-inertial response to wind input (Pollard & Millard, 1970). Southern Ocean observations in the Indo-Pacific sector demonstrate that near-inertial internal waves are responsible for transporting large amounts of mean surface energy (up to 45% during one event) downward to the base of the mixing layer where (indirect) estimates of vertical diffusivities are found to be enhanced by up to two orders of magnitude (Ferreira Azevedo et al., 2022).

The upper ocean mixing is also impacted by complex horizontal processes emanating from fronts, eddies and jets occurring at small spatial scales that extend down to the submesoscale. In the Southern Ocean, the strong surface forcing, persistent lateral density gradients, weak vertical stratification and deep mixed layers further enhance submesoscale mixing (Gille et al., 2022). Submesoscale instabilities, induced by the large-scale adiabatic mesoscale stirring (Section 4.1), can lead to strong subduction of water (K. A. Adams et al., 2017) and drive intense vertical circulations (J. R. Taylor et al., 2018). Mixed layer eddies are likely to be prevalent in regions where the mixed layer is deep and lateral gradients are sharp. They can arrest shear-driven mixing leading to vertical entrainment and bring about spring mixed-layer stratification conditions earlier than with surface buoyancy forcing alone (Du Plessis et al., 2017). Further, the presence of submesoscale variability leads to the concentration of wind-driven near-inertial energy, enhancing the inertial wave shear-driven mixing below the base of the mixing layer (e.g., Jing et al., 2011; P. Klein et al., 2004; Meyer, Sloyan, et al., 2015). Large-scale inertial oscillations and submesoscale fronts may also induce transient modification of vertical stratification

and thus turbulent mixing (L. N. Thomas et al., 2016). These observations point to the importance of the interplay of multi-scale physical processes in the Southern Ocean, a topic which is still largely unexplored.

There is increasing evidence that some submesoscale processes in the surface mixed layer break the constraint of the large-scale quasi-geostrophic dynamics (i.e., the dominance of planetary rotation and vertical stratification), and trigger a variety of flow instabilities, such as inertial instabilities (Grisouard, 2018; Peng et al., 2020), symmetric instabilities (D'Asaro et al., 2011; L. N. Thomas et al., 2013), and ageostrophic baroclinic mixed-layer instabilities (Boccaletti et al., 2007; Fox-Kemper & Ferrari, 2008). Unlike other surface processes that draw energy from atmospheric forcing, these instabilities extract either potential or kinetic energy from quasi-geostrophic flow (McWilliams, 2016), inject it into the smaller scales of the fastest growing modes, induce secondary Kelvin-Helmholtz instabilities (J. R. Taylor & Ferrari, 2009), and finally mediate energy transfer from large-scale circulation to smaller scales through the forward cascade of energy (J. R. Taylor & Thompson, 2022). Several microstructure field studies have confirmed the enhanced energy dissipation caused by this downscale transport of large-scale energy (D'Asaro et al., 2011; L. N. Thomas et al., 2016; Peng et al., 2020, 2021). Submesoscale frontal instabilities are especially relevant for the Southern Ocean because of the predominating atmospheric conditions of down-front winds and surface cooling (L. N. Thomas, 2005). However, the favorable atmospheric conditions for these instabilities may be easily affected by sea ice.

Sea ice covers a large enough area of the Southern Ocean to have a large impact on air-sea interactions (Section 3.2.1). Fast ice provides a laterally rigid lid on the ocean that alters the mixing processes (Stevens et al., 2009), from direct wind-forcing and surface wave breaking to ice-ocean frictional stresses associated with externally forced flows and tides (Albrecht et al., 2006). Sea ice strongly inhibits surface gravity waves and momentum fluxes from the wind, thereby altering upper ocean mixing (Section 5.1.3; Arduhin et al., 2020). However, the extent to which surface gravity waves and the associated dynamics, such as Langmuir circulations, are inhibited is dependent on the extent of the ice cover. Limited observations of air-sea-ice fluxes exist in the Southern Ocean. Observations from an air-sea flux mooring at the Polar Front (Ferreira Azevedo et al., 2022) found that 45% of surface energy penetrated the base of the mixed layer and suggest that even in the presence of sea ice, strong wind events may enhance mixing. Submesoscale activity and associated mixing can be enhanced under sea ice and in regions close to sea ice melt due to the existence of strong lateral density gradients. Observations at the edge of the Antarctic sea ice cover have revealed submesoscale eddies generated by the fresh water being stirred by the mesoscale eddies (e.g., Giddy et al., 2021). Submesoscale activity has also been detected below sea ice by observations from seal-based sensors (Biddle & Swart, 2020). Further, Gille et al. (2022) speculate that lateral density gradients resulting from heterogeneity in air-sea fluxes due to gaps between ice floes (Fons & Kurtz, 2019) could also lead to submesoscale-driven mixing.

Mixing at the face of, and underneath, ice shelves can be strongly influenced by tides (Section 5.2; Gwyther et al., 2016; Padman et al., 2018). Tides generate increased turbulence in the layer of ocean adjacent to the ice shelf front, which modifies the temperature, salinity and density structure and leads to altered ocean circulation. The ice shelf edge also induces substantial mixing, both in the wake but also in flow acceleration, depending on tidal conditions (Fer et al., 2012; Stevens et al., 2014). Within the cavity, it has been suggested that the interaction of tides and basal ice undulations might induce relatively high-frequency variability (Foster, 1983; Stevens et al., 2020), especially in the near-field of under-side basal crevasses (Lawrence et al., 2023). Under rapidly melting ice shelves, the freshwater outflow can generate currents that are much larger than the tidal currents. For example, the Pine Island Glacier has freshwater plume flow of up to 0.5 m s^{-1} (Payne et al., 2007) and tidal currents of only a few centimeters per second (Robertson, 2013). Where cold ocean waters surround the ice shelf and melt rates are low, plume flows are much weaker than tidal flows. In these locations, the mixing will be dominated by the tidal currents.

Water mass transformation frameworks have revealed that wintertime mixing in the surface boundary layer of the Southern Ocean plays a key role in the diapycnal upwelling of Circumpolar Deep Water and the eventual formation of Antarctic Intermediate Water (Evans et al., 2018). Here, wintertime cooling and brine rejection during sea ice formation combine to weaken the stratification between the surface winter water and Circumpolar Deep Water below. Mixing transforms the relatively warm and salty Circumpolar Deep Water into colder and fresher near-surface Winter Water. Through summertime warming and sea ice melt, this upwelled and transformed water mass eventually forms Antarctic Intermediate Water, likely through nonlinear thermodynamic processes (Evans et al., 2018).

4.3.2. Interior Diapycnal Mixing

Interior diapycnal mixing is widespread in the Southern Ocean due to the energetic internal wave environment (Section 5.3). The Southern Ocean has strong wind-energy input into near-inertial motions (Alford, 2003). Surface-generated near-inertial internal waves and bottom-generated internal tides and lee waves propagate into the interior, are shaped by interaction with other physical processes and subsequently break and generate diapycnal mixing. Interactions between the Southern Ocean's energetic eddy field and internal waves lead to elevated diffusivity in the upper 2,000 m of the ocean (Whalen et al., 2012, 2015, 2018). It is conceptually difficult to separate “interior” mixing from surface- and bottom-intensified mixing, both because of the surface/bottom boundary production of the waves that generate mixing and because there are many mixing hotspots associated with topography that extends high into the water column. Nevertheless, interior mixing below 2,000 m depth, where interior diapycnal diffusivities are generally less than $10^{-4} \text{ m}^2 \text{ s}^{-1}$, drives interior watermass transformation and thus has important modulating impacts on the overturning circulation (Section 2.5; Munk & Wunsch, 1998).

Wave breaking is the process through which internal waves dissipate. While they propagate, internal waves exchange energy with background mesoscale flows, such as currents, jets, and fronts (wave–mean interactions; Grimshaw, 1984), mesoscale eddies (wave-eddy interactions; Kunze, 1985; Cusack et al., 2020), or other internal waves (wave-wave interactions; McComas & Bretherton, 1977), resulting in the internal gravity wave continuum. The net energy flux can be from internal waves to their surroundings, or from their surroundings to the internal waves (Section 5.3.2). Ultimately though, when internal waves reach high enough wavenumbers, they steepen and break through direct shear instability or convective overturning, transferring their remaining energy into turbulence and diapycnal mixing (e.g., Eriksen, 1978; Fringer & Street, 2003; Nikurashin & Ferrari, 2010). This internal wave driven mixing can happen both locally, where internal waves are generated, or remotely, when internal waves propagate far from their source. Such remote breaking and dissipation of internal waves is an important process for energy redistribution in the Southern Ocean, where the strong Antarctic Circumpolar Current has been documented to advect internal waves through its fronts (Meyer, Polzin, et al., 2015), jets (Waterman et al., 2021), meanders and mesoscale eddies (Cyriac et al., 2023). Such modulation of the internal wave driven mixing landscape by the background mesoscale flow and associated wave-mean interactions may explain the mismatch identified in recent studies between parameterized estimates and direct microstructure measurements of diapycnal mixing (Sections 5.3 and 7; Cusack et al., 2017; Nikurashin et al., 2014; Sheen et al., 2013; Takahashi & Hibiya, 2019; Waterman et al., 2013).

Globally, of the 2 TW of energy theorized to maintain the ocean stratification (de Lavergne et al., 2022; Munk & Wunsch, 1998), about 1.2 TW of energy is provided by internal waves generated from barotropic tides and geostrophic flows (Wunsch & Ferrari, 2004) with the remaining energy thought to come from the work done by wind on near-inertial motions (Alford et al., 2016). Uncertainty in these estimates is very large (Section 5.3), which leads to poor representation of wave-driven mixing in climate models (Jochum et al., 2013). Various estimates agree that much of the energy flux into lee waves occurs in the Southern Ocean as expected given the uniquely deep-reaching nature of the Antarctic Circumpolar Current and relatively weak tidal flows (Section 5.2). Lee waves apply wave drag (Section 5.1.1) to the deep flows that generate them, which are dominated by mesoscale eddies in the Southern Ocean (Yang et al., 2018). The work done by the wave drag converts energy from the mesoscale eddy field into smaller-scale lee waves (Yang et al., 2018), which then transfer the energy further down to turbulence scales via direct wave breaking (e.g., Lefauve et al., 2015) or wave–wave interactions (e.g., Polzin, 2009).

Up to this point, Section 4.3.2 has focused on diapycnal mixing, which is the approximately vertical component of three-dimensional turbulence. Separating this mixing from the horizontal components set by sub-mesoscale stirring along isopycnals is a convenient and common approach due to the different observations and methods used to estimate diapycnal and isopycnal diffusivities. However, it does not reflect the integrated three-dimensional nature of oceanic thermodynamical processes. A theoretical framework based on the temperature variance budget (Ferrari & Polzin, 2005; Naveira Garabato et al., 2016) establishes a balance between dissipation of variance by molecular mixing and the production of variance associated with mesoscale eddy-induced isopycnal stirring and with diapycnal mixing by small-scale turbulence acting on the large-scale mean state. The framework allows diapycnal and isopycnal diffusivities to be quantified from a small number of (temperature and velocity) microstructure measurements to provide new insight into the coupling between the zonal flow of the Antarctic Circumpolar Current and the meridional overturning circulation transport along sloping isopycnals. In

Drake Passage (Naveira Garabato et al., 2016), the framework reveals that isopycnal stirring is strongly suppressed in the upper 1 km of Antarctic Circumpolar Current jets, consistent with earlier circumpolar work (Naveira Garabato et al., 2011). Intensified diapycnal mixing balances the meridional overturning in this upper 1 km, the lightest layer, and also in the densest layers of the Antarctic Circumpolar Current (Naveira Garabato et al., 2016). Both layers are near the two primary sources of internal waves: wind-driven near-inertial oscillations and flow interactions with topography. Isopycnal stirring balances the overturning in the intermediate layers and upper Circumpolar Deep Water (Naveira Garabato et al., 2016). Application of the framework to only 10 microstructure profiles in the Brazil-Malvinas confluence (Orúe-Echevarría et al., 2021) reveals regional variations in the roles of diapycnal and isopycnal mixing. Observational campaigns, such as DIMES (Ledwell et al., 2011; Mackay et al., 2018; Watson et al., 2013), SOFINE (Meyer, Sloyan, et al., 2015; Waterman et al., 2013) and DEFLECT (Cyriac et al., 2022) emphasize the importance of interactions between mesoscale variability, circulation and mixing for tracer transport (Holmes et al., 2019; Mashayek, Ferrari, et al., 2017). Greater use of microstructure observations will help unravel the roles of mesoscale, submesoscale and small-scale turbulent flows in governing ocean circulation and water mass structure.

4.3.3. Bottom-Intensified Diapycnal Mixing

Bottom-intensified mixing shapes Antarctic Bottom Water consumption, and underpins a key dependence of the abyssal circulation on both topographic roughness and large-scale topography (de Lavergne et al., 2017; Holmes et al., 2018; Polzin & McDougall, 2022). Bottom-intensified mixing is primarily generated by the breaking of lee waves and internal tides. Lee waves are generated via interactions between mesoscale flows and rough topography and are particularly prominent in the Southern Ocean due to the energetic mesoscale activity (Cyriac et al., 2022, 2023; Garabato et al., 2004; Gille et al., 2022; Nikurashin & Ferrari, 2010; Sheen et al., 2013). Internal tides also play an important role in this region (Johnston et al., 2015; Z. Zhao et al., 2018; Vic et al., 2019; Waterhouse et al., 2018).

Through nonlinear wave-wave interactions, internal waves drive a down-scale cascade of turbulent energy leading to mixing (Nikurashin & Legg, 2011; Polzin, Naveira Garabato, Huussen, et al., 2014; Whalen et al., 2020) and a bottom-intensified profile of diffusivity and buoyancy flux (Polzin et al., 1997; Toole et al., 1994; Waterhouse et al., 2014). Consequently, a diapycnal transport dipole is established where there is downward transport (from light to dense water) in a stratified “bottom mixing layer” (often referred to as the stratified mixing layer) above the topography, and upward transport only within a narrower “bottom boundary layer” where the turbulent buoyancy flux converges next to the topography (Figure 11). The net diapycnal transport (or consumption of Antarctic Bottom Water) arises as a small residual of these larger up- and down-welling transformations (de Lavergne et al., 2016; Ferrari et al., 2016; McDougall & Ferrari, 2017; Polzin & McDougall, 2022). These mixing processes are shaped by mesoscale and submesoscale variability. Temporal variations in mixing associated with mesoscale eddy kinetic energy variations link bottom water overturning cell variability to wind forcing (Broadbridge et al., 2016; Sheen et al., 2014). Recent work also highlights the important role that near-bottom submesoscale processes play in maintaining the stratification, and, thus, the magnitude of the diapycnal transport dipole, in these bottom mixing layers (Callies, 2018; Naveira Garabato, Frajka-Williams et al., 2019; Ruan et al., 2017; Wenegrat et al., 2018). These processes pose a particular challenge for Southern Ocean modeling and observations given their small spatial scales and variability, our limited knowledge of seafloor bathymetry at small scales and the often coarse vertical resolution of ocean general circulation models at the bottom boundary.

4.4. Closing the Loops

Turbulence in the Southern Ocean involves dynamical structures on a range of scales, from eddies, jets and fronts (Section 4.1) to convection (Section 4.2) and down to the smallest scales of mixing (Section 4.3). The large-scale circulation is inherently linked with turbulence, for example, the Antarctic Circumpolar Current has rich dynamics of jets and eddies, whose complicated interactions can act to modify the current (Section 2.1). The upper (Section 2.4) and abyssal overturning (Section 2.5) circulations are also affected by upper mixed layer and polynya convection respectively. The cryosphere connects to convection via the wintertime sea-ice formation (Section 3.2) whose subsequent brine rejection drives polynya convection. Mixing between different water masses can also influence key cryosphere processes involved with heat transport into ice shelf cavities (Section 3.1.2). Waves connect to eddies, jets and fronts, such as through the lens of mesoscale turbulence which can affect surface waves (Section 5.1.2). Mixing has complicated links with waves; for example, internal waves

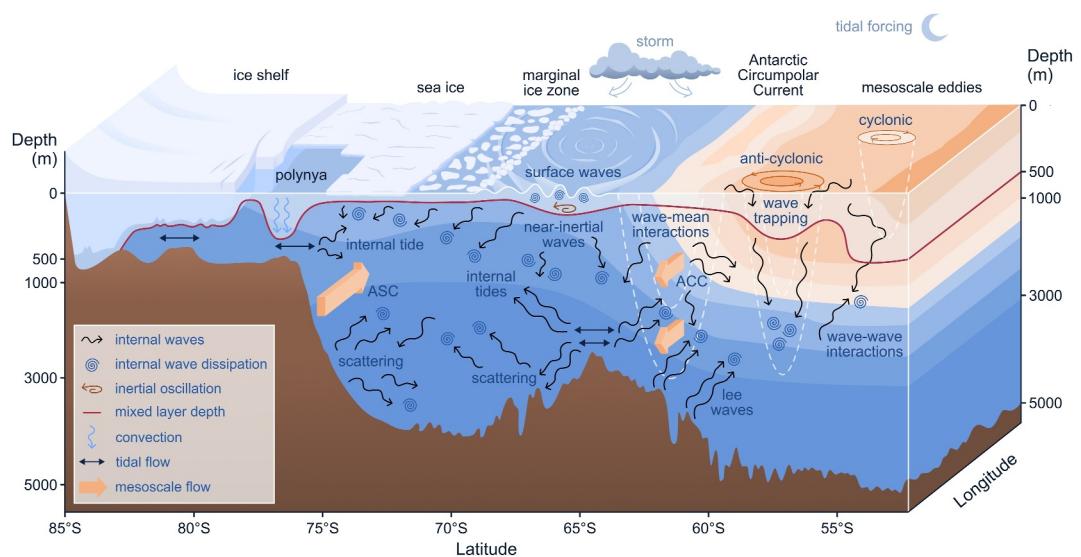


Figure 16. Schematic of gravity wave processes in the Southern Ocean including surface waves, internal waves and tides. At the surface, strong storm systems generate surface waves and (near-inertial) internal waves. The gravitational force of the moon and sun generate bulk motions of the water column (tides) that, in combination with other ocean flows, generate internal waves at the seafloor. The waves interact with other components of the Southern Ocean system. For example, surface waves are dissipated in the marginal ice zone, while internal waves may be trapped in eddies and currents, and/or drive diapycnal mixing in the ocean interior. Color contours show a typical density field, ranging from lighter (dark orange) to denser (dark blue) waters.

can enhance mixing (Section 5.3.3) and, in turn, mixing can influence internal waves (Section 4.3). The next section will further detail the role of Section 5 Gravity waves in the Southern Ocean.

5. Gravity Waves

Gravity waves in the ocean are vertical perturbations of the fluid ocean against the restoring force of gravity, including displacements of the ocean surface (surface waves; Section 5.1), perturbations to the interior ocean stratification (internal waves; Section 5.3), and perturbations of the entire water column (tides; Section 5.2). These phenomena span from some of the smallest and fastest motions in the ocean in the case of surface waves (wavelengths of tens to hundreds of meters, periods of seconds), through intermediate length scales in the case of internal waves (horizontal wavelengths of kilometers to hundreds of kilometers), to motions that span ocean basins in the case of tides (thousands of kilometers). In all three cases, oceanic gravity waves are influenced by the Earth's rotation—in addition to gravity—and are, therefore, more correctly termed “inertia-gravity waves.” These waves play a vital role in transporting energy and momentum throughout the ocean, thus supporting ocean mixing and circulation. Figure 16 provides a schematic overview of gravity waves in the Southern Ocean and their interactions with other components of the system. In this section, we present an overview of each class of gravity wave and its role in Southern Ocean dynamics.

For further details on gravity waves, readers may wish to peruse previous reviews in addition to the content herein. While there is no previous Southern Ocean specific review of surface waves, Young et al. (2020) collates over three decades of satellite altimeter and in situ buoy observations, to conduct a statistical study of seasonal variations, including extremes and spectral analysis, and highlights some of the unique aspects of Southern Ocean waves. The fundamental governing equations of surface waves are given by, for example, Barstow et al. (2005). Further, a series of articles (Squire, 2007, 2020; Squire et al., 1995) review the evolution in understanding of surface waves in the marginal ice zone (Section 3.2.2). For ocean tides, Pugh (2004) provides a detailed review of tidal theory and Stammer et al. (2014) reviews global tide models, with their Section 5.2 focusing on model performance in Antarctic seas. In addition, Padman et al. (2018) describes ocean tide influences on the mass balances of the Antarctic and Greenland ice sheets. For internal waves, Polzin and Lvov (2011) provide a detailed theoretical description, a summary of the observed global ocean internal wave field and its explanation in terms of the nonlinear wave interactions (a subject not covered here). In addition, recent reviews have focused separately

on internal waves generated at the ocean surface (L. N. Thomas & Zhai, 2022) and the seafloor (Musgrave et al., 2022), but with a global outlook.

5.1. Surface Waves

The Southern Ocean possesses a unique surface wave climate due to the absence of large land masses, which allows circumpolar-scale fetches (the spatial extents of the regions over which winds blow in a coherent direction; Donelan et al., 2006) and persistently strong westerly winds (i.e., blowing from west to east), including the notorious “roaring forties”, “furious fifties” and “screaming sixties” (Lundy, 2010). These Southern Ocean westerlies give rise to some of the consistently (over all seasons) largest amplitude surface waves on the planet (Alberello et al., 2022; Barbariol et al., 2019; Derkani et al., 2021; Vichi et al., 2019; Young & Donelan, 2018; Young et al., 2020). The wave height climate mirrors the distribution of wind speeds, with strong waves across all region and the seasons. The “significant wave height” that is, the average height of the highest one-third of the waves experienced over time (Young, 1999), is in excess of 3.5 m in summer and 5 m in winter, according to model hindcasts and satellite observations (Derkani et al., 2021; Schmale et al., 2019; Young et al., 2020). Long term in situ observations at several locations reveal that extreme events, with significant wave heights greater than 10 m, occur over winter approximately once every 80 days (Rapizo et al., 2015; Young et al., 2020).

At short fetches, the wave spectrum is narrow banded (Young et al., 2020) and the wave form is steep, facilitating occurrence of highly nonlinear dynamics (Janssen, 2003; Onorato et al., 2009). Laboratory experiments in a circular wave flume that mimic the unlimited fetch conditions in the Southern Ocean suggest that nonlinear dynamics have the potential to fully develop, causing individual waves to destabilize and grow significantly taller than the background sea state. In exceptional circumstances, this leads to so-called “rogue waves,” which have heights greater than two times the significant wave height (Toffoli et al., 2017). Exceptional maximum individual wave heights exceeding 19 m have been reported (Barbariol et al., 2019), although these are not necessarily rogue waves.

After long fetches, waves reach full development, becoming independent from local winds. Further development of the wave field is associated with nonlinear interactions (Young, 1999). As a consequence, the “wind sea” (i.e., a wave field being acted on by winds) evolves into more regular wave fields that radiate along multiple directions from the generation area. These so-called “swells” disperse across the Indian, Pacific, and South Atlantic Oceans (Semedo et al., 2011).

Observations around the Southern Ocean indicate that very broad directional distributions are common in the region, with energy spreading across a range up to $\pm 80^\circ$ around the mean wave direction (Derkani et al., 2021; Young et al., 2020). On occasions, this is the signature of chaotic sea states, where multiple (independent) wave systems, such as wind seas plus swells coexist (Alberello et al., 2022; Aouf et al., 2020; Derkani et al., 2021; Khan et al., 2021). Theory, numerical simulations and experiments have demonstrated that these multi-system seas accelerate development of nonlinear dynamics, further contributing to the occurrence of large amplitude waves (Onorato et al., 2006; Toffoli et al., 2011).

5.1.1. Surface Wave Breaking

Waves grow under the forcing of wind and highly nonlinear instabilities until they ultimately break in the form of whitecaps (Babanin et al., 2007; Toffoli et al., 2010, 2017), when the ratio of wave height to wavelength is ≈ 0.14 (Figure 17; Toffoli et al., 2010). Wave breaking and whitecapping are important surface processes that occur in all oceans when winds generate large amplitude waves. Thus, they are a year-round phenomenon in the Southern Ocean. The whitecaps can be explained as pressure pulses on the sea surface just downwind of the wave crest that act against wave growth (Hasselmann, 1974), dissipating excessive wind input and, subsequently, transferring it to the subsurface in the form of turbulent mixing (Section 4.3.1; Terray et al., 1996). However, breaking-induced turbulence decays rapidly in depth with distance from the surface and the contribution to ocean mixing is confined to a sublayer with depths comparable with the wave height (Rapp & Melville, 1990). Nevertheless, there is theoretical and experimental evidence that the wave oscillatory flow can become turbulent even in the absence of breaking (Alberello, Onorato, Frascoli, & Toffoli, 2019; Babanin, 2006). Hence, waves are capable of directly contributing to mixing throughout the water column, up to depths comparable to half of the wavelength (i.e., down to about 100 m; Toffoli et al., 2012).

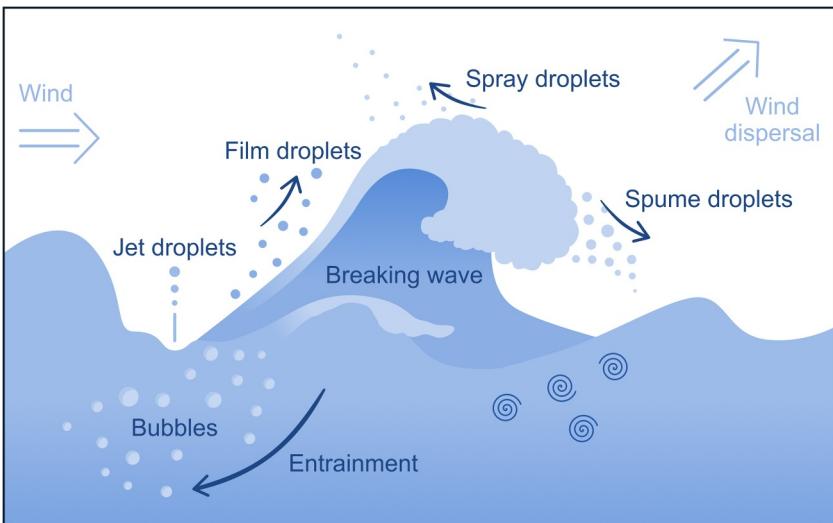


Figure 17. Schematic of a breaking surface gravity wave (not to scale). The wave propagates in the direction of the wind and grows with time until it becomes too steep and breaks. Wave breaking induces near-surface turbulence, which generates air bubbles and entrains them into the sub-surface ocean, mediating air-sea fluxes of momentum, energy, moisture, and biological constituents with the ambient atmosphere. Turbulent oscillatory motion (from both breaking and non-breaking waves) drives vertical mixing (blue spirals) through the water column to a depth comparable to the wavelength, contributing to the mixed ocean surface layer.

Besides dissipation, whitecaps drive air-sea interaction processes through airborne droplets (Landwehr et al., 2021; Monahan et al., 1986). Bubbles, which are generated and entrained in the sub-surface by whitecaps, rise to the surface and burst, forming film droplets or jets of daughter droplets (Figure 17). If the wind shear is sufficiently intense, larger droplets known as “sea spray” are torn off the surface of breaking waves (Veron, 2015). Once ejected, spray drops are transported and dispersed in the marine atmospheric boundary layer, in which they interact and exchange momentum, heat, moisture and biological and chemical constituents with the ambient atmosphere (Humphries et al., 2016; Landwehr et al., 2021; Schmale et al., 2019; Thurnherr et al., 2020). There is evidence that marine aerosols generated from whitecaps are an important source of cloud condensation nuclei and cloud formation in the Southern Ocean (Landwehr et al., 2021; Schmale et al., 2019). Large sea spray particles do not dissolve entirely while in the atmosphere, but they return to the ocean with lost or gained momentum, closing the loop of air-sea interaction (Landwehr et al., 2021; Veron, 2015).

5.1.2. Influence of Mesoscale Turbulence in the Antarctic Circumpolar Current

Mesoscale ocean turbulence can influence the generation and propagation of surface waves. The main effect of such turbulence within the Antarctic Circumpolar Current (in which jet speeds may exceed 0.75 m s^{-1} ; Sections 2.1 and 4.1; Derkani et al., 2021) is one of refraction, as the current flows predominantly in the direction of the surface waves. Therefore, the Antarctic Circumpolar Current helps maintain the broad directional distribution of waves observed in the region (Derkani et al., 2021; Young et al., 2020). As waves propagate along the current, the wave height is attenuated, although this effect is small (5%–8% relative to the no-current condition; Derkani, 2021; Rapizo et al., 2015). More substantial interactions are reported at the upper boundary of the Indian Ocean sector, where large swells from Antarctica interact with the more intense Agulhas Current, forming large amplitude waves and, often, rogue waves (White & Fornberg, 1998).

5.1.3. Attenuation, Dissipation, and Scattering by Sea Ice

Sea ice cover limits the distance surface waves can reach toward the Antarctic margin, thereby suppressing the processes described in Sections 5.1.1 and 5.1.2. A collection of in situ and remote sensing observations (originally from the Arctic but, more recently, also from the Southern Ocean) provide evidence that ocean wave energy decays exponentially with distance traveled though the marginal ice zone and that the rate of attenuation increases with wave frequency (Alberello et al., 2022; Kohout et al., 2014, 2020; Meylan et al., 2014; Montiel et al., 2018, 2022; Squire & Moore, 1980; Stopa et al., 2018; Wadhams et al., 1988). The observations suggest that the rate of

exponential attenuation, which is known as the attenuation coefficient, has a power-law relationship with wave frequency (Meylan et al., 2018). Understanding how the attenuation coefficient emerges from the underlying dynamic processes has been the main focus of ocean waves-sea ice interactions research over the past half century (Golden et al., 2020; Squire, 2007, 2020; Squire et al., 1995).

In situations where sea ice floes have sizes comparable to the wavelengths, the floes scatter the waves over the directional spectrum (Figure 6). Wave scattering is an energy-conserving process but an accumulation of scattering events causes waves to attenuate over distance (Squire, 2007, 2020). Much theoretical work has attempted to describe wave attenuation due to linear wave scattering in the marginal ice zone, using phase-resolving multiple-scattering theory in one horizontal dimension (Bennetts & Squire, 2012b; Kohout & Meylan, 2008) or two dimensions (Bennetts & Squire, 2009; Bennetts et al., 2010; Montiel et al., 2016; Peter & Meylan, 2010). There have also been theories proposed to include attenuation due to scattering in phase-averaged wave transport models, using energy sink terms (Dumont et al., 2011; Mosig et al., 2019; T. D. Williams et al., 2013a, 2013b), a Boltzmann-interaction term (Meylan & Bennetts, 2018; Meylan & Masson, 2006; Meylan et al., 1997, 2020) or a diffusion term (X. Zhao & Shen, 2016). Wave scattering through random fields of sea ice floes results in (a) exponential attenuation at a rate that increases with frequency, qualitatively consistent with observations, and (b) broadening of the directional spread, so that deep into the marginal ice zone the directional wave spectrum becomes isotropic (Bennetts et al., 2010; Meylan et al., 1997; Montiel et al., 2016; Squire & Montiel, 2016; Wadhams et al., 1986). Scattering models show reasonable agreement with historical measurements from the Arctic in the mid-frequency regime where linear scattering theory is valid (Bennetts & Squire, 2012a; Bennetts et al., 2010; Kohout & Meylan, 2008; Squire & Montiel, 2016).

Measurements of surface waves in the Antarctic marginal ice zone have been made over the past decade, predominantly using specially designed wave buoys deployed on the surfaces of floes (Kohout et al., 2014, 2020; Meylan et al., 2014; Montiel et al., 2022), and recently by a stereo-camera system on an icebreaker (Alberello et al., 2022). The floe sizes during the observations were typically much smaller than wavelengths, for example, pancake ice (Section 3; Alberello, Onorato, Bennetts, et al., 2019), for which dissipative processes are likely to be the main contributors to wave attenuation. Dissipative processes can broadly be separated into turbulent ocean processes and viscous ice processes. Turbulence through wave-sea ice interactions occurs as a result of the differential velocity between the solid ice boundary and the water particle orbital velocity (Voermans et al., 2019). A turbulent boundary layer is generated at the basal surface of the sea ice cover, which can be enhanced by the ice surface roughness (skin friction) and the presence of vertical sea ice features, for example, floe edges or pressure ridges, further enhancing flow separation (form drag; Kohout et al., 2011). Turbulence also occurs in overwash on upper surfaces of floes, resulting in wave energy dissipation (Bennetts & Williams, 2015; Bennetts et al., 2015; Nelli et al., 2017, 2020; Toffoli et al., 2015). Sea ice covers have been modeled as viscoelastic materials, such that they experience viscous dissipation when strained by ocean waves (Keller, 1998; Mosig et al., 2015; R. Wang & Shen, 2010). For instance, unconsolidated grease or brash ice (Section 3) dissipates wave energy through non-recoverable, shear stress-induced viscous deformations (G. Sutherland et al., 2019; Weber, 1987). Quantifying these dissipative processes is challenging, as they depend on temperature, brine volume fraction and ultimately the micro-structure of the ice cover (Section 3.2.3; Timco & Weeks, 2010). In a more heterogeneous ice cover, for example, pancake ice, wave energy dissipation is more likely to be governed by eddy-generating floe-floe collisions (Bennetts & Williams, 2015; Herman et al., 2019; Rabault et al., 2019; Shen & Squire, 1998; Yiew et al., 2017).

5.2. Tides

Tides are a ubiquitous feature of the global ocean. Gravitational dynamics of the Earth-Moon-Sun system, combined with the Earth's rotation, cause oscillations of ocean height and currents at precise periods, dominated by diurnal (daily) and semidiurnal (twice daily) tidal constituents. Here we use the term tide to describe the barotropic or surface tide, as opposed to "internal tides," that is, tidally generated internal waves (Section 5.3). Tides provide a substantial fraction of the total kinetic energy in the Southern Ocean, with known effects at all scales from turbulence (Section 4) to large-scale circulation (Section 2).

Throughout most of the global ocean, tides exist as propagating barotropic waves. These waves have spatial scales comparable to ocean basins and their amplitude depends on the global distribution of continents and bathymetry. These propagating waves are relatively straightforward to constrain in inverse models using in situ and satellite

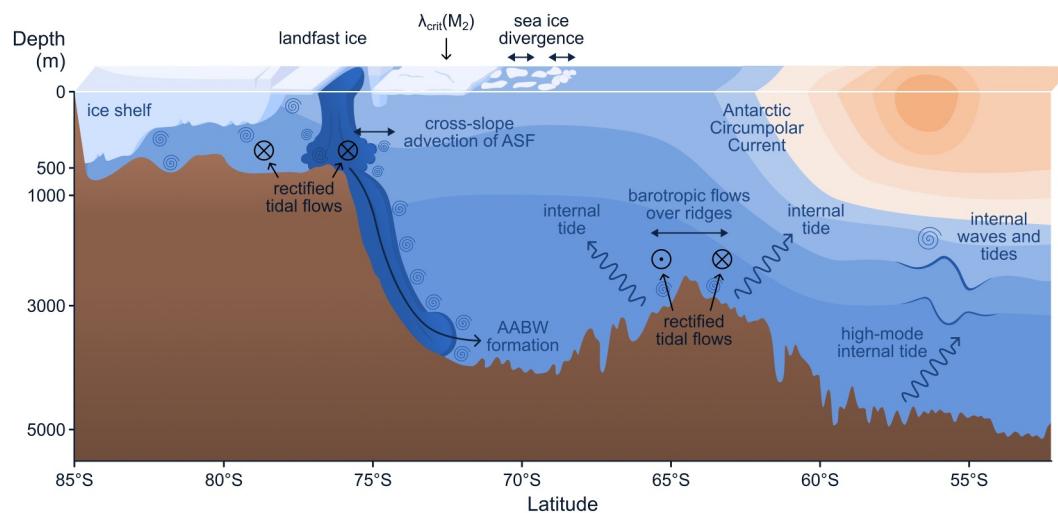


Figure 18. Schematic of the primary roles of tides in the Southern Ocean system. Under the ice shelf, tidal currents generate friction that modifies hydrographic properties of the water column, influencing the basal melting of the ice shelf. At the ice front and shelf break, rectified tidal currents modify water mass transport along and across these topographic barriers. Over the continental shelf and slope, tidal currents modify sea ice production and concentration. Stress at the base of landfast sea ice affects melting, and mixing controls on surface mixed-layer depth. Mixing and rectification of tidal flows alters the production of Antarctic Bottom Water. Farther north, tidal flows over steep and rough topography of mid-ocean ridges generates internal (baroclinic) tides that can drive mixing in the ocean interior. Baroclinic tides may also be generated over the continental slope. The notation $\lambda_{\text{crit}}(M_2)$ denotes the critical latitude at which the M_2 tidal frequency equals the Coriolis frequency.

data (Egbert & Erofeeva, 2002; Lyard et al., 2006). However, the largest tidal currents around Antarctica are associated with diurnal-band, topographically trapped vorticity waves along the continental shelf break. These waves are a specific, tidally-forced case of coastal trapped waves (Section 5.3.3). Observations and models of diurnal topographic vorticity waves (J. H. Middleton et al., 1987; Semper & Darelius, 2017; Skarðhamar et al., 2015) show that they can have short spatial scales, are poorly constrained by sea surface height data, are extremely sensitive to topographic variability, stratification and mean flows, and produce strongly depth-varying currents. Predicting these currents is a difficult modeling problem, especially at the typical coarse grid scales of global climate models. When present, these waves have a profound effect on cross-slope transport of ocean heat, mean flows through tidal rectification (Flexas et al., 2015; Makinson & Nicholls, 1999), and the volume flux and hydrographic characteristics of Dense Shelf Water and Antarctic Bottom Water (AABW) outflows (Padman et al., 2009).

The principal dynamical role of the tide is through the interactions of tidal currents with other components of the Southern Ocean system, including as a source of mixing (Section 4.3), crevasse formation and iceberg calving (Section 3.1.7), divergent stresses on sea ice (Heil et al., 2008; Padman & Kottmeier, 2000), and basal melting of ice shelves (Figure 18; Richter et al., 2022). Tides link processes ranging from the smallest time and space scales of mixing to the global scales of continents, ocean basins and ice shelves that set the spatial distribution of tidal currents (Figures 1b and 9b of Padman et al., 2018). The largest tidal currents around Antarctica are found along the shelf breaks of the Ross and Weddell seas, and under the Ronne Ice Shelf. Along the northwest Ross Sea continental shelf break, maximum spring tidal currents can exceed 1 m s^{-1} (Whitworth & Orsi, 2006). Tides in the Pacific sector are dominated by diurnal variability, while semidiurnal tides dominate elsewhere (Figure 1c of Padman et al., 2018).

There are relatively few in situ tide height measurements in the Southern Ocean (M. A. King & Padman, 2005). High quality, long-duration tidal records have historically been limited to a few coastal tide gauges and bottom pressure recorders. However, recent deployments of Global Navigation Satellite System receivers on ice shelves have provided high quality tide records greater than 1 year long (Ray et al., 2021). Additional data come from satellite altimetry (reviewed in Section 2.2.2 of Padman et al., 2018), although this is challenging in the far Southern Ocean as the best satellites for tidal studies (TOPEX/Poseidon and Jason) only sample to about 66°S .

and, for these and other satellites with higher-latitude orbits, the presence of sea ice and ice shelves complicates the extraction of the tidal signal.

Given the paucity of high quality data, our modern knowledge of Southern Ocean tides comes primarily from ocean tide models. Barotropic models solve the depth-integrated equations of motion and provide depth-averaged (“barotropic”) currents; for example, the global solutions reviewed by Stammer et al. (2014) or regional models such as CATS2008 (S. L. Howard et al., 2019). These models may be based entirely on dynamics (with open boundary conditions applied for regional models) or inverse models constrained by assimilation of ocean height data including in situ measurements and satellite altimetry. However, the accuracy of barotropic models in the Southern Ocean, especially in the Antarctic coastal seas, is typically poorer than at lower latitudes due to the reduced amount of data available to constrain the solutions.

5.2.1. Tidal Rectification

Nonlinear interactions between tidal flows and a sloping seafloor (such as the continental shelf), in the presence of planetary rotation and spatial variations in tidal amplitude, can lead to the generation of a time-averaged mean flow, in a process known as “tidal rectification” (Loder, 1980; I. Robinson, 1981). These time-averaged flows have speeds of approximately 10%–15% of the tidal current. Observations and models suggest that rectified tidal flows across the northwest Ross Sea outer continental shelf play an important role in the Antarctic Bottom Water export from this region (Figure 10 of Padman et al., 2009). Makinson and Nicholls (1999) implicated tidal rectification as playing a key role in the ventilation of the ocean cavity under Filchner-Ronne Ice Shelf. Numerical modeling studies (Flexas et al., 2015) have also shown that tidal rectification-induced volume flux convergence is essential to simulate a realistic Antarctic Slope Front and Current (Section 2.2).

In locations where tidal currents are comparable to mean flows, they can also modify those mean flows through changing the time-averaged stress at the seafloor. This tidal rectification is distinct to that discussed above since it involves the modification of existing mean flows, rather than the interaction of the tide with topographic gradients to generate new mean flows (Loder, 1980). Robertson et al. (1998) postulated that strong tides around the perimeter of the Weddell Sea could significantly reduce the transport of the Weddell Gyre through tide-induced weakening of mean flows via this rectification mechanism. A similar response is expected in other locations where benthic tidal currents are significant, notably in the southern limb of the Ross Gyre.

5.2.2. Internal Tide Drag

Internal tide drag is the periodic force exerted on the surface tide when it interacts with seafloor topography to generate internal waves. This effect is the dominant tide-topography interaction in the deep, open ocean where tidal flows are weak (a few centimeters per second) and turbulent drag, which dominates in regions of strong tidal flow on continental shelves, is negligible. The key role of internal tide drag was directly identified with the advent of satellite observations and associated inverse models indicating that approximately 30% of energy loss from the surface tide occurred in the open ocean (Egbert & Ray, 2000), including a significant amount over rough topographic features in the Southern Ocean. Internal tide drag was subsequently implemented in forward-running tide and ocean models (Jayne & St. Laurent, 2001). It is now recognized that internal tide drag is crucial in setting the amplitude of the surface tide (Arbic et al., 2018; Buijsman et al., 2015), and, therefore, also feeds back on the strength of internal tide generation (Section 5.3.1; Ansong et al., 2015). Recent work has shown that this internal tide drag is not purely a drag force, but also exhibits an out-of-phase force component, analogous to the spring in a harmonic oscillator, which can both damp and, in certain resonant configurations, amplify the surface tide (Shakespeare et al., 2020). This out-of-phase force component dominates when sub-inertial topography-trapped internal tides are generated (i.e., poleward of the critical latitude; Section 5.3.3) and, therefore, may be particularly important in the Southern Ocean.

5.3. Internal Gravity Waves

Internal waves play a key role in transferring energy from large-scale motions to small-scale turbulence, making them a major source of interior ocean mixing. The mixing generated by internal waves is one of the drivers of large-scale ocean circulation and plays an important role in biological and physical interactions, including the transport of nutrients and larvae. Internal waves also transport momentum into the ocean from the boundaries, thereby directly forcing the eddying and larger-scale circulation. They are generated when the ocean density field

is perturbed and can be identified as oscillations of different layers of the stratified ocean interior. Internal waves have vertical length scales from a few meters to about 2 km, horizontal length scales from a few meters to hundreds of kilometers, horizontal group velocities of $10\text{--}100 \text{ mm s}^{-1}$, amplitudes from meters to hundreds of meters, and periods from several minutes to a day (Kantha & Clayson, 2000; Thorpe, 2007).

Internal waves originate primarily at the upper and lower boundaries of the ocean. They are forced at the surface by wind stress fluctuations, and at the seabed by tides and mesoscale flows interacting with rough topography. Observations of near-inertial wave energy propagation from the mixed layer into the ocean interior suggest that wind-generated internal waves are an especially important part of the ocean mixing budget in the Southern Ocean (Waterman et al., 2013). The Southern Ocean has a deep-reaching mesoscale flow, sometimes referred to as the “mean flow” or “background flow” in the internal wave literature, which is a mix of strong currents such as the Antarctic Circumpolar Current and associated jets, meanders and mesoscale eddies (Sections 2.1 and 2.5). The interaction of this deep-reaching mesoscale flow with the seafloor is a major source of topographic internal waves in the Southern Ocean (Nikurashin & Ferrari, 2011, 2013). New maps of internal tide-induced sea surface height perturbations derived from repeat-orbit satellite altimetry (Zaron, 2019) have revealed energetic internal tides near the Kerguelen Plateau, Macquarie Ridge and Drake Passage, consistent with previous modeling studies (Simmons et al., 2004). Vertical displacement variance at 1,000 m depth measured with Argo profilers has uncovered similar hotspot regions, particularly in the Kerguelen Plateau and Drake Passage regions (Hennon et al., 2014).

5.3.1. Internal Wave Generation in the Southern Ocean

The Southern Ocean storm track centered on 40°S (the roaring forties), is associated with high wind work and is a key source of near inertial waves (Simmons & Alford, 2012). Wind blowing with energy in the local inertial frequency band can force inertial motions through resonance in the ocean surface mixed layer (Alford et al., 2016; D’Asaro, 1985; L. N. Thomas & Zhai, 2022). Those inertial motions lead to convergence and divergence at the stratified base of the mixed layer. This pumping generates internal waves close to the local inertial frequency (or Coriolis frequency) everywhere in the ocean (except near the equator), which are called “near-inertial waves” (Figure 19). The resonant frequency, or effective local Coriolis frequency at which near-inertial waves are generated is modified by the relative vorticity of the background flow (Kunze, 1985; Schlosser, Jones, Bluteau, et al., 2019, for the Southern Ocean). Near-inertial waves are mostly generated from wind (other mechanisms are discussed below), and propagate almost exclusively equatorward, since the inertial frequency decreases towards the equator and decreases towards the poles (Alford & Zhao, 2007; Chiswell, 2003; Garrett, 2001). They are blocked from propagating poleward, except in strongly sheared currents (Jeon et al., 2019), since their frequency would become sub-inertial, typically within a single wavelength. Globally, most of the ocean’s kinetic energy (Garrett, 2001; Leaman & Sanford, 1975; Wunsch & Ferrari, 2004) and vertical shear (Alford et al., 2016) is in the near-inertial band, standing apart from the rest of the internal wave spectrum (L. N. Thomas & Zhai, 2022). Near-inertial waves play a crucial role in mixing the upper and deep ocean (Section 4.3.2; Alford et al., 2012).

A number of global studies (Alford, 2003, 2020; Chaigneau et al., 2008; Jiang et al., 2005) have variably estimated the wind-energy input into near-inertial motions in the mixed layer as being in the range 0.29–0.7 TW. This large range is partly due to the high sensitivity of the calculation to the wind forcing product used (Jiang et al., 2005). In addition, all of the above-cited studies use a slab-ocean model that does not account for the interaction with the background mesoscale flow, which model studies have shown to impact the near-inertial energy flux and decay timescale (Whitt & Thomas, 2015; Zhai et al., 2005). More recent studies that instead use high resolution numerical models to estimate wind energy input give estimates at the lower end of this range (0.23–0.27 TW; von Storch & Lüschow, 2023).

The second major source of internal waves is via the interaction of ocean flows with the rough seafloor (Musgrave et al., 2022) and the Southern Ocean is a hotspot for a certain type of these topographically generated internal waves known as “lee waves”. When a fluid parcel is lifted up and over a topographic obstacle at sufficient speed, the restoring buoyancy force from the stratification initiates an oscillation (internal wave), which radiates energy away from the seafloor (Figure 20). The ocean flow doing the lifting is a combination of eddies, jets and other currents (Section 4.1), which are essentially steady on the timescale of waves (<1 day) and the barotropic tide (Section 5.2), which varies on sub-daily timescales (frequency ω). Assuming a background mesoscale flow speed of U and topographic wavenumber k , generation of freely-propagating topographic internal waves can only occur in the regime where the intrinsic frequency is between the inertial frequency, f , and buoyancy frequency N ;

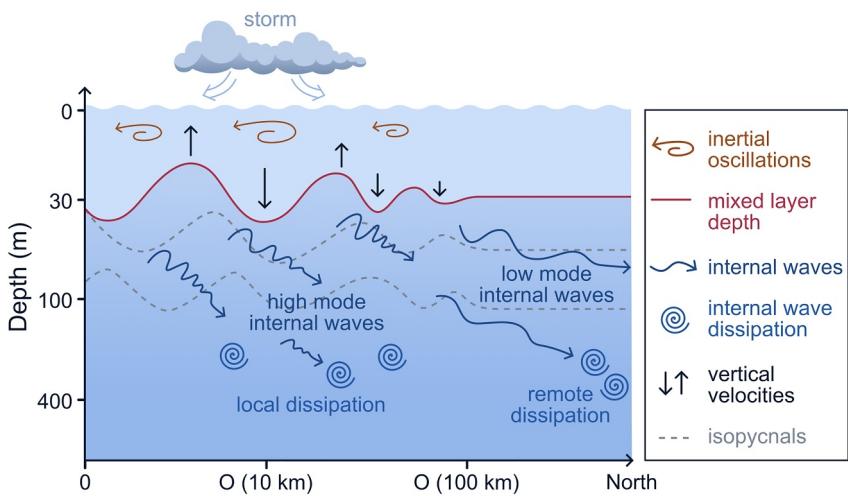


Figure 19. Schematic of near-inertial wave generation, propagation and dissipation. Storms generate inertial oscillations in the ocean mixed layer which drive horizontal convergences and divergences that lead to vertical velocities. These pump the base of the mixed layer generating internal waves near the local inertial frequency (i.e., wave frequencies $1-1.2f$) that have counterclockwise polarization in the Southern Ocean. High mode near-inertial waves propagate downward and equatorward and tend to break locally due to high shear. Low mode internal waves propagate further equatorward. The interactions of near-inertial internal waves with other internal waves and with the background mesoscale flow are not represented here. Figure adapted from Alford et al. (2016).

that is, $|f| < |\omega + kU| < |N|$. Therefore, barotropic tides (through frequency ω) and mesoscale flow (through speed U) conspire in the generation of internal waves at topography (Bell, 1975; Shakespeare, 2020). The two end members of topographic internal waves are steady lee waves (when there is no tidal flow) and pure internal tides (when there is no quasi-steady flow). Steady lee waves are only generated at very small scale topography ($f/U < |k| < N/U$), which for typical deep Southern Ocean conditions ($U = 0.1-0.2 \text{ m s}^{-1}$, $f = 1 \times 10^{-4} \text{ rad s}^{-1}$, $N = 1 \times 10^{-3} \text{ rad s}^{-1}$) restricts $2\pi/k$ to topographic scales of 0.5–10 km. Consequently, the presence of small-scale topography critically determines the geographical location of lee wave generation. By contrast, pure internal tides are only generated where $\omega > f$ (equatorward of $\sim 74.5^\circ$ for semi-diurnal, and $\sim 30^\circ$ for diurnal) at large-scale topography (small k), where the influence of the background mesoscale flow is negligible. In

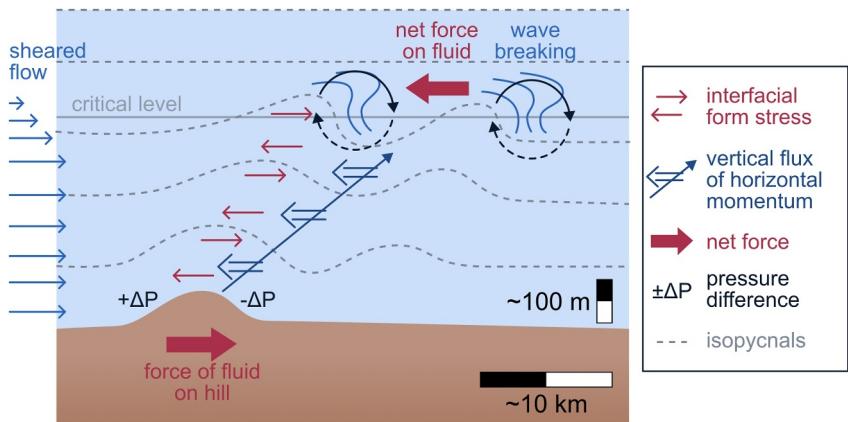


Figure 20. Schematic of internal lee wave generation and the associated vertical transfer of horizontal momentum flux via lee wave induced form stress across isopycnal layers. The pressure is increased on the upstream side of the hill ($+ \Delta P$) and decreased on the downstream side ($- \Delta P$), resulting in a force from the fluid on the hill. The breaking of the wave at a critical level drives turbulent mixing and deposition of the wave momentum, resulting in a net force on the background mesoscale flow. For lee waves, this force always acts to decelerate the flow. For lee waves, critical levels only occur when the velocity reduces with height along the waves propagation path, as shown here; this usually occurs when the wave reaches the horizontal boundary of an eddy/jet, but for simplicity, the flow in this schematic is represented as horizontally uniform.

intermediate regimes, topographic internal waves exist as “Doppler shifted internal tides” (Shakespeare, 2020) but most studies have focused only on the two limiting cases.

The energy flux (E) into topographic internal waves is determined primarily by the stratification, N , at the ocean bottom, topographic spectrum and flow speeds: $E \sim \rho_0 N \bar{k} U^2 h^2$ where \bar{k} is the mean topographic wavenumber, h the root-mean-squared height of the topography, and U the appropriate tidal or quasi-steady flow speed (Garrett & Kunze, 2007). This scaling only applies in the so-called “intermediate frequency limit,” where $|f| \ll |\omega + kU| \ll |N|$. Thus, the weak stratification typical of the Southern Ocean at the depth of prominent bathymetric features tends to limit the production of internal waves, but this is somewhat counteracted by the presence of unusually rough and large-amplitude topography, and deep-reaching, intense eddying flows. However, it is not a simple matter of additional lee wave generation in the Southern Ocean compensating for reduced internal tide generation, since the fates of these waves are likely to be very different. While lee waves are confined within their generating flow (e.g., jet, eddy, meander), internal tides can freely propagate into different flow regimes (Shakespeare, 2020). We first consider the magnitude of pure internal tide generation at large scales, before discussing the small-scale limit where both internal tides and lee waves are generated.

For the dominant M_2 tidal constituent, total low-mode internal tide generation in the Southern Ocean has been estimated from baroclinic tide models to be 0.15 TW (compared with 0.87 TW globally) with almost all the energy flux occurring at three locations: Macquarie Ridge, Kerguelen Plateau, and in the vicinity of Drake Passage (Table 3 of Simmons et al., 2004). However, other modeling (Padman et al., 2006) suggests these values may be significantly overestimated, and that the calculation may be highly dependent on model resolution.

At horizontal scales of ~ 10 km or less, and especially in the Southern Ocean, the seafloor is dominated by features known as “abyssal hills” (Goff, 1991), which are not resolved in the bathymetric data sets or large scale models. However, spectral representation of this topography (Goff, 2010; Goff & Arbic, 2010), together with numerical model estimates of N and eddying flow U , may be used to estimate internal wave generation at abyssal hills. Globally, an additional M_2 internal tide energy flux of 0.03–0.1 TW is thought to be generated, but only perhaps 10% of this flux occurs in the Southern Ocean (Melet et al., 2013; Shakespeare, 2020). Many authors (Naveira Garabato et al., 2013; Nikurashin & Ferrari, 2010; Scott et al., 2011; Shakespeare, 2020; Wright et al., 2014; Yang et al., 2018) have also used linear theory (following Bell, 1975, but often with modifications to account for nonlinear effects) to calculate rates of lee wave generation globally. Predictions vary from 0.05 to 0.85 TW, with the majority of this energy flux usually concentrated in the Southern Ocean. The huge range of estimated energy flux for small-scale internal tide and lee wave generation is due to the extreme degree of uncertainty in numerical model estimates of both bottom stratification and eddying flow speeds at the seafloor, as well as a paucity of observations to constrain the models.

Other sources of internal waves in the Southern Ocean are the relative motion of sea ice across the upper ocean through the shape of the under-sea ice surface (McPhee & Kantha, 1989), sea ice floe motions (Waters & Bruno, 1995), and ice tongues and ice shelf basal variability. Internal wave generation under sea ice is controlled by sea ice roughness, sea ice concentration and wind forcing (Cole et al., 2018). Sea ice generated internal waves have been reported in the Arctic (Cole et al., 2014), but there are currently few direct observations of internal waves under Antarctic sea ice and ice shelves, which are limited to internal tides (see the mooring data of S. Howard et al., 2004). The magnitude of energy fluxes from these generation mechanisms, which are harder to observe and model, and their relative prevalence are unknown. Additional internal wave generation mechanisms that are not specific to the Southern Ocean are adjustment processes (e.g., geostrophic adjustment) at fronts and eddies (Alford et al., 2013; Gill, 1984; Nagai & Hibiya, 2015; Rijnsburger et al., 2021) and spontaneous emission via mesoscale straining (Shakespeare, 2019).

5.3.2. Influence of Geostrophic Turbulence on Internal Waves

The interaction of the strong Southern Ocean mesoscale flow with the seafloor gives rise to the emission of internal waves that possess a net momentum directed mostly against the flow (Bell, 1975; Naveira Garabato et al., 2013; Nikurashin & Ferrari, 2011; Shakespeare, 2020; Shakespeare & Hogg, 2019). This momentum is transported by the waves and deposited where they break and dissipate, leading to a net force on the fluid (Andrews & McIntyre, 1978; Bretherton, 1969; Eliassen, 1961). In the case of lee waves, this force is often termed the “lee wave drag,” which plays a significant role in Southern Ocean dynamics (Figure 20; Naveira Garabato

et al., 2013). The wave dissipation may be triggered by various mechanisms including shear instabilities, wave saturation, wave-wave, and wave-mean interactions.

Wave-mean interactions encompass all mechanisms of interactions that are the result of wave propagation through gradients in velocity and density induced by eddies, jets or any other currents. For example, lee waves propagating upward and against a vertically sheared flow that decreases with height will lose energy to that flow, while lee waves propagating against a shear flow that increases with height will take energy from that flow. The former mechanism is an important energy sink for lee waves (Kunze & Lien, 2019; Waterman et al., 2014, 2021). Similarly, horizontal straining of waves by the mesoscale eddy field can lead to significant energy exchange, and eventual wave dissipation in certain cases (Buhler & McIntyre, 2005). Because the Southern Ocean exhibits a vigorous and deep-reaching mesoscale eddy field, it may be a global hotspot for wave-mean interactions. However, numerical modeling support for this hypothesis is limited and observational evidence is almost non-existent for all but a few possible interaction mechanisms (Cusack et al., 2020).

One key wave-mean interaction in the Southern Ocean is the phenomenon known as the “critical level” (or “inertial level”; Booker & Bretherton, 1967). A critical level is a height at which the internal wave phase speed equals the horizontal mean flow speed and will be encountered when flow-trapped (e.g., lee) waves propagate upwards through a mean flow that decreases in magnitude with height along the wave propagation path, which usually occurs when the wave reaches the horizontal boundary of an eddy or jet (Figure 20 shows a simplified schematic of this process), if the waves have not already dissipated via other means nearer the seafloor (Nikurashin et al., 2013). During the propagation toward critical levels, the vertical wavelength decreases while wave shear increases until, close to the critical level, instabilities lead to dissipation of the wave and the deposition of the wave momentum. Critical levels have also been suggested as a mechanism for the observed enhancement of dissipation around the edges of mesoscale eddies in Drake Passage (Sheen et al., 2015), with the potential for the wave momentum associated with tidally-generated internal waves to “spin up” the eddies due to concomitant preferential dissipation of waves propagating in the direction of the mesoscale flow (Shakespeare, 2023; Shakespeare & Hogg, 2019). Cusack et al. (2020) found significant energy transfers from internal waves propagating through eddy shear at a Drake Passage mooring, suggestive of a critical level type mechanism.

Many observational studies of wave-mean interactions in the Southern Ocean have been focused in regions of standing meanders downstream of major topographic obstacles (such as Kerguelen Plateau) that generate a vigorous eddy field (Cyriac et al., 2023; Meyer, Polzin, et al., 2015; Sheen et al., 2015; Waterman et al., 2021) because these are hotspots for key physical processes central to Southern Ocean dynamics (cf. Section 4.1). Flow-topography interactions are elevated in these regions where the energetic jets of the Antarctic Circumpolar Current merge and split (Rintoul, 2018). In addition, the wind-energy input into near-inertial motions is high in these regions. Thus, standing meanders are expected to be Southern Ocean mixing hotspots owing to the rich internal wave field generated from strong wind forcing and flow-topography interactions.

The elevated shear, strain and vorticity in the background flow in meanders are important factors in the evolution of internal waves. A timescale characterization of the various processes expected to drive wave evolution suggests that the timescales associated with background flow advection and wave-mean flow interactions dominate dissipation timescales in the evolution of waves (Cyriac et al., 2023; Meyer, Polzin, et al., 2015; Waterman et al., 2021). This timescale analysis implies that some internal waves contribute to local mixing by dissipating locally, while most of the waves are advected away by the mesoscale flow and lead to dissipation downstream of the meander, in agreement with modeling studies (Zheng & Nikurashin, 2019) and theoretical descriptions (Baker & Mashayek, 2021; Shakespeare et al., 2021). The mixing driven by this far-field dissipation of internal waves has significant implications for the Southern Ocean stratification and watermass transformation (Meyer, Polzin, et al., 2015). Other potential mechanisms of wave-mean interactions in meander regions are wave capture (Meyer, Polzin, et al., 2015; Waterman et al., 2021) and near-inertial wave trapping (Cyriac et al., 2023; Meyer, Polzin, et al., 2015; Rama et al., 2022). Whether internal waves are located inside or outside fronts, jets and eddies controls which of these wave-mean interaction mechanism dominates.

5.3.3. High-Latitude Internal Wave Dynamics

In the Southern Ocean, the frequency of diurnal tides is everywhere less than the inertial frequency, and southernmost regions of the Ross and Weddell seas are poleward of 74.5°S, the “critical latitude” for the most energetic semidiurnal tide, M₂. In these regimes, internal tides are not freely propagating, but are instead generated

as waves that are trapped near the bottom topography, either in the open ocean (bottom trapped waves; Falahat & Nycander, 2015; Rhines, 1970), or along the shelf (coastal trapped waves; Huthnance, 1978; Mysak, 1980). Coastal trapped waves can also be initiated by wind stresses and dense water outflows that produce sub-inertial oscillations (J. Adams & Buchwald, 1969; Liao & Wang, 2018; Marques et al., 2014). Unlike freely propagating waves that can travel across continental shelves and oceans, coastal trapped waves must dissipate their energy near the continental shelf and slope, and are, thus, a potential source of regionally important continental shelf mixing and mass transport (Musgrave et al., 2017). Trapped waves may also play an important role in modifying the amplitude of the surface tide in the Southern Ocean (Section 5.2.2). Coastal trapped wave phases propagate with the coast on their left in the Southern Hemisphere, with a form that is highly dependent on the characteristics of the topography and stratification (C. W. Hughes et al., 2019; Schlosser, Jones, Musgrave, et al., 2019).

Three general categories of coastal trapped waves have been identified as important to Southern Ocean dynamics. In some regions, notably the Ross and Weddell sea continental shelf breaks, the strongest currents are associated with coastal trapped waves forced by the diurnal tide (Section 5.2; J. H. Middleton et al., 1987; Padman et al., 2009; Semper & Darelius, 2017; Whitworth & Orsi, 2006). Coastal trapped waves of subtidal frequency have also been observed along continental shelf breaks (J. H. Middleton et al., 1982). Models suggest that outflows of Dense Shelf Water can excite these waves along the Antarctic continental slope (Marques et al., 2014). A third source for coastal trapped waves is associated with the co-location of critical slope (slope of the topography that matches the wave ray angle, and at which the generation of internal waves is most efficient; J. J. Becker & Sandwell, 2008) and critical latitude for the M_2 -semidiurnal internal tidal waves along the southern Weddell Sea shelf break (Daae et al., 2009; Robertson, 2001). Numerical modeling suggests that coastal trapped semidiurnal waves are generated in that region, leading to enhanced near-bed velocities at the continental shelf edge and thick bottom mixed layers (~ 100 m).

Coastal trapped waves are expected to affect mixing, cross-slope exchanges, ice shelf cavities, melt rates and sea ice concentration. Eddy diapycnal diffusivities from both finescale (Daae et al., 2009) and microstructure (Fer et al., 2016) observations show elevated near bottom values at a southern Weddell Sea continental shelf break location, attributed to the semidiurnal coastal trapped waves. Based on modeling, Marques et al. (2014) proposed that coastal trapped waves forced by dense-water outflows would affect benthic mixing and cross-slope water mass exchanges in the vicinity of sources of dense water outflows in the Weddell and Ross seas. Each of these processes depends on stratification and mean flow along the continental slope. Therefore, we expect seasonal modulation of the coastal trapped waves, which has been observed for coastal trapped waves forced by the diurnal tides (J. H. Middleton et al., 1987; Semper & Darelius, 2017). There is substantial potential for feedbacks between coastal trapped waves and background stratification and mean flow through associated mixing (Section 4.3) and tidal rectification (Section 5.2.1).

5.4. Closing the Loops

This section has described the significant influence of the three major types of gravity waves (surface waves, tides and internal waves) on the larger and/or slower components of Southern Ocean dynamics. Surface waves exert a first-order control on the air-sea fluxes of heat and mass in the Southern Ocean, which, in turn, drive ocean convection (Section 4.2) and the large-scale circulation (Section 2). Similarly, rectified tidal currents contribute to the Antarctic Slope Current (Section 2.2) and subpolar gyres (Section 2.3), modulating the transfer of heat across the Antarctic margin. Internal waves, some of which are generated by the tides, are responsible for significant interior diapycnal mixing (Section 4.3) and for dissipating energy from the ocean's mesoscale (Section 4.1) at rough Southern Ocean topography.

6. Climate Trends and Future Projections

The Southern Ocean dynamic system is changing in response to global warming (Section 1) and changes in its atmospheric drivers. In recent decades, surface wind speeds have increased over the Southern Ocean (Young & Ribal, 2019; Young et al., 2011) and the maximum in the wind speed shifted southward; these changes are often described in terms of a strengthening and poleward contraction of the Southern Annular Mode (the dominant mode of atmospheric variability over the Southern Ocean; Arblaster & Meehl, 2006; D. W. Thompson et al., 2011; Toggweiler, 2009). Precipitation has decreased at lower latitudes and increased at higher latitudes (Manton et al., 2020), and evaporation has decreased over the Southern Ocean (Boisvert et al., 2020). This section

reviews the key trends in the different components of the Southern Ocean dynamical system and projections for future trends where available. These trends in dynamical components are strongly influenced by ongoing changes in the thermohaline structure of the Southern Ocean. For a more detailed review of recent trends in the physical climate, the reader is referred to J. M. Jones et al. (2016).

6.1. Large-Scale Circulation

Argo and satellite observations have shown an acceleration of the zonal flow on the northern edge of the Antarctic Circumpolar Current (Section 2.1; Shi et al., 2021). This trend is consistent with theory (Hogg, 2010) and modeling (Shi et al., 2020) which predict an increased “thermal wind” in response to the enhanced meridional buoyancy gradients observed in this region (Gille, 2008, 2014; Rintoul, 2018; Roemmich et al., 2015; J. M. Jones et al., 2016). However, uncertainty remains about whether the increased zonal flow represents a strengthening of the Antarctic Circumpolar Current itself or just a southward shift of the adjoining subtropical gyres (A. L. Stewart, 2021). Notably, the position of the Antarctic Circumpolar Current appears to be stable, despite shifting westerly winds (Chapman, 2017).

Recent inverse models based on tracer observations suggest that the upper overturning circulation (Section 2.4) is currently weakening, following a period of strengthening in the 1990s (DeVries et al., 2017; Rintoul, 2018). These changes are opposite to the enhancement of the upper overturning predicted by theory and numerical models (Meredith et al., 2012; Morrison & Hogg, 2013) in the presence of strengthening westerly winds. One possibility is that the observed changes may be due to natural variability rather than atmospheric forcing (H. Thomas et al., 2008).

While there is currently no clear trend in the abyssal branch of the overturning circulation (Section 2.5), significant changes are already being observed in the dense water formation processes at the Antarctic margin which feed this circulation. Enhanced heat and freshwater fluxes from the warming atmosphere and accelerating glacial melt (rather than significantly modifying the surface ocean) are being taken up by the deep ocean through modification of the properties of the deep waters formed in this region. A warming and freshening of the Antarctic Bottom Water has been observed (Purkey et al., 2019) along with an associated reduction in abyssal stratification (H. J. Zhang et al., 2021). It is expected that the reduced density of shelf waters due to warming and freshening will also lead a reduced formation rate of Antarctic Bottom Water (Lago & England, 2019; Q. Li et al., 2023; Silvano et al., 2018). However, changes to the northward volume flux of Antarctic Bottom Water (i.e., the abyssal overturning circulation) are presently not able to be measured with sufficient precision to detect climate trends.

In addition, it is expected that changes in the abyssal overturning will be complicated by the influence of winds (A. L. Stewart et al., 2021). The impact of the increasing westerly winds on the abyssal overturning is uncertain as it depends on the balance of two competing influences. On the one hand, the wind-driven enhancement of eddies (Section 6.3) is expected to increase internal lee wave generation in the Southern Ocean (Section 6.4) and, thus, the deep ocean mixing and concomitant upwelling of Antarctic Bottom Water (D. P. Marshall & Naveira Garabato, 2008). On the other hand (unless fully compensated by eddies) the enhanced westerly winds and associated wind stress curl are expected to drive increased northward fluxes of upwelling mid-depth water in the Southern Ocean but diminishing the amount transported southward to feed Dense Shelf Water and AABW formation (Ito & Marshall, 2008; Nikurashin & Vallis, 2011; Shakespeare & Hogg, 2012). The projected weakening of the polar easterly winds (Neme et al., 2022) will also contribute to reducing Dense Shelf Water formation, due to the reduced northward export of sea ice away from Antarctica and subsequent build up of sea ice over the dense water formation sites (Dinniman et al., 2018; Hazel & Stewart, 2020; McKee et al., 2011; Morrison et al., 2023; Timmermann et al., 2002). The relative influence of these different effects is challenging to assess even with state-of-the-art high-resolution global ocean models (and impossible with contemporary climate models) since they must be able to represent accurately Antarctic Bottom Water formation, its northward isopycnal volume flux, and the internal waves driving mixing on ~1 km scales (Section 7; Kiss et al., 2020; Trossman et al., 2016; Yang et al., 2021). However, in the longer term it is expected that the impact of significantly increased Antarctic meltwater on the abyssal overturning (Section 6.3) will dominate over any wind-driven changes (Q. Li et al., 2023).

Understanding of current and future changes in the Weddell and Ross gyres (Armitage et al., 2018; Auger, Prandi, & Sallée, 2022; Hogg & Gayen, 2020; Neme et al., 2021; Vernet et al., 2019; Q. Wang et al., 2013) and Antarctic Slope Current (Beadling et al., 2022; Hazel & Stewart, 2019; Moorman et al., 2020; Moffat et al., 2008; A. L. Stewart et al., 2019; Si et al., 2021; A. F. Thompson et al., 2018, 2020) is poor, due to the lack of observations at

the Antarctic margin (especially in the winter months) and the complex interplay of changes in wind stress, sea ice cover, tides and freshwater input expected to influence the dynamics. Therefore, conclusions cannot be drawn about trends in these dynamical components.

6.2. Cryosphere

There are strong regional variations in ice shelf trends. The mass balance of small- to medium-size warm-water cavities fringing West Antarctica and certain parts of East Antarctica, such as Getz, Totten and Pine Island, are dominated by basal mass loss (Depoorter et al., 2013; Rignot et al., 2013), such that they produce a substantial proportion of net ice-shelf basal meltwater despite only occupying a relatively small fraction of the total ice-shelf area (Adusumilli et al., 2020; Rignot et al., 2013). In contrast, giant, cold-cavity ice shelves, such as the Ross and Filchner-Ronne, are dominated by the cycle of ice-front advance and calving, with high basal melt rates confined to the ice fronts and grounding lines (Adusumilli et al., 2020; Rignot et al., 2013). Overall, shelf-front processes are the strongest drivers of mass balance for most ice shelves (Depoorter et al., 2013; Greene et al., 2022), although thinning has had a greater impact on the buttressing effect (Greene et al., 2022). Based on current trends, certain ice shelves will lose substantial proportions of their volumes by the end of the twenty-first century (Paolo et al., 2015). Under high emissions pathways for future warming (RCP8.5), greatly enhanced ice shelf surface melt is predicted, such that several ice shelves will experience surface melt intensities comparable or greater than those experienced by Antarctic Peninsula ice shelves prior to their disintegration (de Conto et al., 2021; Trusel et al., 2015). This may be exacerbated by loss or reduction of a sea ice barrier to the open ocean, which is already a trend for West Antarctic ice shelves (Reid & Massom, 2022; Teder et al., 2022). However, there are large uncertainties in model projections of ice shelf loss relating to feedbacks initiated by warming temperatures, particularly dynamic instabilities (such as sudden disintegration and the marine ice cliff instability), and, hence, low confidence in the future ice shelf trends (Fox-Kemper et al., 2021).

Despite the warming atmosphere, the annual maximum Antarctic sea ice extent had a positive, albeit weak, trend of $13,800 \text{ km}^2 \text{ yr}^{-1}$ from the beginning of satellite records in 1979 until the mid 2010s (J. Liu et al., 2023). A record maximum of 20.11 million km^2 was reached in September 2014 (Fetterer et al., 2017). The phenomenon of increasing Antarctic winter sea-ice extent during an epoch of global warming is known as the “Antarctic paradox” (J. King, 2014). Dramatic Antarctic sea ice losses during both winters and summers came shortly after the 2014 record maximum sea ice extent (Turner et al., 2022). The losses culminated in a record low in annual maximum sea ice extent of 18.4 million km^2 on 31 August 2016 (Fetterer et al., 2017), and several consecutive records of minimum ice extent in following years, including the lowest ever recorded Antarctic sea ice extent of 1.8 million km^2 on 21 February 2023 (Fetterer et al., 2017). These recent extremes in Antarctic sea ice coincide with a significant increase in variability from about 2007 onwards, with evidence they are linked to changes in the balance of sea ice trends across different Antarctic regions (Hobbs et al., 2024; Purich & Doddridge, 2023). A number of studies are currently underway to assess the attribution of atmospheric versus oceanic forcing in driving the record minima (summer 2016–2017, February 2022 and February 2023; Schroeter et al., 2023; L. Zhang et al., 2022). Due to the extreme lows in Antarctic sea ice cover in recent years, there is currently no statistically significant net long-term trend in Antarctic sea-ice extent (Fogt et al., 2022; J. Liu et al., 2023).

6.3. Turbulence

Since the early 1990s there has been an increase in the mesoscale turbulence field in the Southern Ocean (Hogg et al., 2015; Martínez-Moreno et al., 2021). This has been attributed, in part, to strengthening westerly winds (N. C. Swart et al., 2015). However, the extent to which the mesoscale turbulence field can modulate the Southern Ocean response to strengthening winds remains uncertain. Some studies find that the time-mean flow of the Antarctic Circumpolar Current is at most weakly sensitive to the changes in wind stress, with the wind instead acting to energize the mesoscale turbulence field (Constantinou & Hogg, 2019; Munday et al., 2013). However, recent work using altimeter measurements and a reanalysis product has found that increasing wind stress does not increase eddy kinetic energy across the Southern Ocean (excepting one specific region near the Campbell Plateau; Y. Zhang et al., 2021). A more regional view of the mesoscale turbulence field shows evidence for local variability, with hotspots of increased eddy kinetic energy in regions with topographic features (A. F. Thompson & Naveira Garabato, 2014), for example, downstream of the Kerguelen Plateau (Rosso et al., 2015). Satellite altimetry has highlighted that these eddy hotspot regions in the Southern Ocean are strengthening in eddy kinetic energy on the order of 5% per decade (Martínez-Moreno et al., 2021). These eddy hotspot regions are often crucial

for the uptake of heat and carbon (Langlais et al., 2017) and, hence, the trends in these regions will influence the future climate. Disentangling other processes driving trends in eddy kinetic energy is challenging. There is a large-scale warming trend in the most strongly eddying regions in the vicinity of the Antarctic Circumpolar Current (Section 6.1). The local gradients in sea surface temperature are increasing (on average), which is associated with intensifying eddy activity. Changes in the stratification may also indirectly affect mesoscale turbulence via other processes such as modulating internal wave drag (Section 6.4), or influencing sea ice formation and the production of deep water masses. Cryospheric trends will also affect the mesoscale turbulence field. For example, increasing ice shelf melt rates lead to increasing stratification, a transient increase in sea ice area and subsurface warming (Bronsemaer et al., 2018; Haumann et al., 2020; Q. Li et al., 2023), which impacts the mesoscale turbulence.

Convection is strongly influenced by buoyancy forcing trends. The deepening of the mixed layer and strengthening of the underlying stratification may already be an indication of enhanced convective processes. Recent studies also suggest that the mixed layer is becoming fresher due to global warming, driven by changes in the precipitation–evaporation balance, accelerated melting and calving of Antarctic ice shelves, and a more positive phase of the Southern Annular Mode (de Lavergne et al., 2014; J. Zhang, 2007). Freshening of the surface ocean around Antarctica will stabilize the water column, reducing the ability of the mixed layer to entrain underlying water, and making coastal and open ocean convection events less frequent (de Lavergne et al., 2014; Moorman et al., 2020). There is growing evidence that cryospheric trends have a significant impact on both open ocean and coastal convection. Observations show that the calving of a large iceberg reduced the rate of sea ice production in a coastal polynya by blocking the flow of sea ice (Snow et al., 2018). This change in surface buoyancy conditions reduced convection and Dense Shelf Water production, which subsequently reduced the density and volume of the local Antarctic Bottom Water. Another cryospheric effect is the outflow of meltwater from neighboring ice shelves into coastal polynya regions. It has been observed that this can reduce nearby convection and the rate of Antarctic Bottom Water formation (Silvano et al., 2018). If the trend of ice shelves is toward more calving and melting, then we might expect less convection and dense water formation on the Antarctic margins (Q. Li et al., 2023). However, other forcing changes, such as the strengthening Southern Annular Mode, may be responsible for opening up other polynyas and open ocean convection regions near Maud Rise (Jena et al., 2019; Kurtakoti et al., 2018). Therefore, it is challenging to predict the response of convection to climate trends.

The trends in mixing are difficult and, in many cases, nearly impossible to assess. Issues with measuring mixing (Section 4.3) impede the direct tracking of mixing trends. However, some work can be done with identifying trends in sources of mixing. In the upper ocean, changes in wind stress and surface buoyancy forcing will likely induce modifications in the mixing. Indeed, changes in the mixed-layer depth and stratification are already being noted, which indicate that mixing is already adjusting in these regions. Another example is that increasing wind stress can produce stronger Langmuir circulation and hence more mixing in the upper ocean. In the interior ocean, trends in internal waves and stratification are hypothesized to modify the mixing rates. In the deep ocean, trends in the abyssal water mass properties and stratification will influence the buoyancy transport in the bottom boundary layer and associated mixing. It is extremely difficult to determine even the direction of these trends, given the various competing influences.

6.4. Gravity Waves

The trend of increasing wind speeds and storminess over the Southern Ocean is influencing both the surface and internal wave climates. The enhanced winds are expected to lead to an increase in generation of near-inertial internal waves along storm tracks, with energy fluxes increasing proportional to wind stresses (~1% per decade; Cuyper et al., 2013; Rimac et al., 2013). However, given the paucity of internal wave observations and the inability of current climate models to resolve internal waves, this predicted change has neither been directly observed nor modeled. By contrast, satellite observations show that surface wave amplitudes in the Southern Ocean are growing faster than in any other region (Hemer, 2010; Meucci, Young, Aarnes, & Breivik, 2020; Timmermans et al., 2020; Young & Ribal, 2019; Young et al., 2011). Over the satellite era, the Southern Ocean has regions in which the mean significant wave height has a positive trend of up to 0.1 m per decade and in most regions extreme waves (defined as waves with heights above the 90th percentile) are also increasing at up to 10 mm per year (over 1985–2018; Young & Ribal, 2019). Twentieth-century climate model ensembles give century-long trends (1901–2010) of 0.01–0.02 m per decade in mean significant wave height (Meucci, Young, Aarnes, & Breivik, 2020; Meucci et al., 2023). Under the RCP8.5 high-emission scenario (Van Vuuren

et al., 2011), the largest ensembles to date predict that by the end of the century there will be up to 15% increases in significant wave heights (Morim et al., 2019), 5%–10% in low-frequency extreme events (1 in 100-year significant wave height return period, i.e., waves with a 1% probability of occurring in a given year; Meucci, Young, Hemer, et al., 2020) and 50%–100% in high-frequency events (return periods less than 1 year; Morim et al., 2021). These projected changes in the Southern Ocean surface wave climate extremes are consistent across different data sets and statistical approaches (O’Grady et al., 2021; Lobeto et al., 2021).

For internal waves, in addition to winds, changes to the ocean stratification will play a major role in modifying the future wave climate. Observations broadly show increasing stratification in the upper Southern Ocean and reducing stratification in the abyss, although these trends are highly variable (Armour et al., 2016; Yamaguchi & Suga, 2019; H. J. Zhang et al., 2021). Weakened abyssal (near-bottom) stratification will tend to suppress the production of topographically-generated internal waves (i.e., internal tides and lee waves), for which the energy flux scales with the buoyancy frequency above the topography (Bell, 1975). In turn, reduced internal tide generation will tend to enhance the strength of the barotropic tide, since it dampens a key energy sink (Section 5.2.2). The stratification changes will also cause significant variation in coastal trapped waves, which are a key component of tides along the Antarctic continental shelf (Section 5.3.3) and are known to be highly sensitive in both structure and amplitude to stratification (Semper & Darelius, 2017). For example, Skarðhamar et al. (2015) model large changes in the energetics of diurnal coastal trapped waves due to seasonal changes in stratification along continental slopes (albeit in the North Atlantic). We expect similar responses at longer time scales in the Southern Ocean, as stratification evolves due to anthropogenic forcing.

The future internal wave and tide climate in the Southern Ocean will also be modulated by trends in the other components of the dynamical system: circulation, turbulence and the cryosphere. For example, changes in the Antarctic Slope Current (Section 6.1) will modify the strength and structure of coastal trapped waves (Semper & Darelius, 2017; Skarðhamar et al., 2015), while increases in bottom flow speeds due to mesoscale eddies (Martínez-Moreno et al., 2021) and are expected to enhance internal lee wave generation. In terms of cryospheric impacts, since ocean tides are resonant phenomena closely tied to the ocean basin geometry, tidal elevations and currents are sensitive to changes in ice shelf thickness and extent (Mueller et al., 2018; Rosier et al., 2014). Changes to tides are largest near the locations where the ice shelves change but can also exhibit non-negligible far-field effects over time (Padman et al., 2018; Rosier et al., 2014). However, due to the resonant nature of the tides, the exact changes are challenging to predict. Lastly, we expect decreasing sea ice cover and increasing open water conditions to lead to increased internal wave energy, but all studies of this effect to date have been focused in the Arctic (Cole et al., 2014, 2018; Dosser & Rainville, 2016; Fine & Cole, 2022; Martini et al., 2014).

7. Research Priorities to Close the Loops on Southern Ocean Dynamics

Many key research questions remain regarding interactions between the different components of the Southern Ocean dynamic system, and how their recent trends (Section 6) will affect the interactions. The knowledge gaps compromise our ability to represent the Southern Ocean in global models accurately and, hence, make well informed projections of future climate change and sea level rise. Indeed, over half the uncertainty in projections of global mean sea level is due to Antarctic Ice Sheet melting (Kopp et al., 2014). Reducing this uncertainty requires advances on multiple fronts due to the range of processes that influence the melt rate (Cook et al., 2023). Necessary advances include predicting trends in the large-scale circulation and temperature of the Southern Ocean beyond the continental shelf, understanding the transport mechanisms that flux heat onto the shelf and into the ice shelf cavities, and developing accurate parameterizations of the finescale convection and turbulence that melts the ice shelves. Similarly, a key contributor to uncertainty in global mean air temperatures on long timescales is the rate of heat storage in the abyssal ocean (Abraham et al., 2013). Most anthropogenic heat is currently stored in the upper ocean (Levitus et al., 2012), which overturns faster; however, the abyssal ocean is playing an increasing role and will be crucial to the long-timescale evolution of climate change and it remains an open question whether this abyssal overturning will increase or decrease under climate change (Section 6.1). The sign and magnitude of the trend is influenced by a host of processes including the poorly understood dynamics of the Ross and Weddell gyres, changes in sea ice cover and brine rejection, the small-scale convection that leads to Dense Shelf Water, and the unknown distribution and magnitude of mixing in the abyssal Southern Ocean.

The overarching research priority is improving our ability to model the Southern Ocean system and its response to anthropogenic forcing. This requires a multi-disciplinary community effort, involving researchers across the

different components of Southern Ocean dynamics, and spanning advances in theoretical understanding of individual processes, technological developments to improve observations, novel data analysis techniques, innovative numerical methods, and, finally, putting these components together to develop the global ocean–sea ice models that are used in climate and sea level projections. We now describe the specific priorities that feed into addressing the uncertainties identified above, which we broadly divide in process-based models (Section 7.1), observations (Section 7.2), and regional and global models (Section 7.3).

7.1. Process-Based Models

In recent years, process-based numerical models have proved to be a key tool for quantifying the role of lee waves in Southern Ocean abyssal mixing (Nikurashin & Ferrari, 2011, 2013), the dynamics of eddy hotspots and upwelling (Barthel et al., 2022), polynya convection (Sohail et al., 2020), abyssal upwelling along topography (Drake et al., 2022), eddy saturation (Constantinou, 2018; Constantinou & Hogg, 2019), Antarctic Slope Current dynamics (Ong et al., 2024) and more. Future priorities include investigating convection in sub-ice-shelf cavities, internal wave-eddy interactions and mixing, and surface wave-sea ice interactions in the marginal ice zone. All of these processes currently lack a sufficiently complete theoretical description to permit their integration into large-scale models. Given the crucial role of these processes in heat and carbon uptake (mixing), sea ice formation (surface waves), ice sheet stability (cavity circulation), and ocean circulation (internal-wave eddy interactions), their parameterization in global models is expected to have a significant impact on the resolved model state. In addition, while the inference of mixing made in the past from finescale parameterizations (Section 4.3) applied to observations is immensely valuable, key questions remain about the assumptions involved (Bluteau et al., 2013; Gregg et al., 2018; Ijichi et al., 2020; Mashayek, Salehipour, et al., 2017; Polzin, Naveira Garabato, Huussen, et al., 2014). These assumptions can be queried using idealized process-based models, and the resulting theory applied to improve the interpretation of extant and future observations.

Laboratory experiments have also played a major role in developing our understanding of key Southern Ocean processes, such as convection (Gayen & Griffiths, 2022; Vreugdenhil et al., 2017), wave breaking and air-sea exchange (Mayer et al., 2020; Melville, 1996), jet dynamics (Von Larcher & Williams, 2014; C. A. Smith et al., 2014), gravity currents (Griffiths, 1986), mixing and internal waves (Dossmann et al., 2016; Tan et al., 2022), and ice-ocean interactions (Aussillous et al., 2006; McCutchan & Johnson, 2022). Laboratory modeling has become less common in recent years, largely due to the relative cheapness and adaptability of numerical modeling. However, laboratory experiments remain a crucial tool in understanding many (especially multi-phase) systems where the governing dynamical or thermodynamical equations and/or boundary conditions are not necessarily known (e.g., sea ice, complex glacial topologies, sediment-laden plumes, air-sea gas exchange). In particular, experiments of melting ice faces (reviewed by McCutchan & Johnson, 2022) form the basis for our current glacial melt-rate parameterizations which are used to predict future sea level, but recent comparisons (Malyarenko et al., 2020; Rosevear, Galton-Fenzi, & Stevens, 2022) show that more studies are needed to examine different regimes, such as melting under the influence of tides (Richter et al., 2022). Other key next steps are the identification of thresholds between melting regimes and the development of parameterizations based on properties resolved in global models. Similarly, it is becoming vital that we better understand how the thermal and optical properties of sea ice (e.g., albedo, thermal conductivity, brine content; Section 3.2.3; Light et al., 2003; Perovich, 1996; Pringle et al., 2007) may change in the future as the climate warms, so that these effects can be included in global ocean-sea-ice models. As such, new facilities are being set up to study the thermodynamics of sea ice (Hall et al., 2023; M. Thomas et al., 2021) under carefully controlled laboratory conditions. Such investigations are likely to be critical in improving the accuracy of ocean-sea ice model projections of future climate scenarios by ensuring such models are not incorrectly tuned to only describe present climate conditions.

7.2. Observations

Satellites provide continuous observations in time with near complete spatial coverage of the Southern Ocean surface, allowing measurement of, for example, sea ice extent, ice sheet mass loss, surface wave fields, geostrophic eddies and currents, and ocean tides. New satellite missions are now underway, for example, Surface Water and Ocean Topography (SWOT; Morrow et al., 2019) and Surface Wave Investigation Measurements (SWIM; Aouf et al., 2020), which promise unprecedented spatial resolution. This should improve our understanding of the small eddies on the Antarctic continental shelf that are key to heat transport, and short-wave components of the surface wave field that are characteristic of the long-fetch conditions of the Southern

Ocean. Such observations will be used directly in data assimilating models, and in the testing of theories and parameterizations.

In situ observations are vital for groundtruthing satellite observations and to understand processes occurring below the sea surface, which are invisible to satellites. Ship-based observations in the Southern Ocean are expensive and strongly biased toward more amenable summertime conditions, and easier to access regions (Newman et al., 2019). As such, we lack sufficient observations in many environments, such as beneath sea ice cover and in sub-ice-shelf cavities, and during rough weather conditions, which are crucial for determining ocean mixing, ice sheet melt rates and dense water formation. However, new platforms are coming online that are starting to fill some of these gaps. For example, deep Argo and other floats are now available that profile year-round and under sea ice, which should greatly expand data coverage in the far Southern Ocean (Johnson et al., 2022; van Wijk et al., 2022). In addition, creative solutions such as animal-borne data acquisition are becoming more widespread (Foppert et al., 2019; Roquet et al., 2014). Through-ice moorings are also providing valuable insights into hydrography, currents and turbulence (Arzeno et al., 2014; Davis & Nicholls, 2019; Hattermann et al., 2021; Herraiz-Borreguero et al., 2013; Stevens et al., 2020), and some measurements are now being obtained by autonomous underwater vehicles including submarines and gliders (Gwyther et al., 2020; Nicholls et al., 2006; Schmidt et al., 2023). In addition, advances in surface radar enable highly resolved (in space and time) measurements of the ice shelf base (Vaňková et al., 2021) that are sufficiently accurate to identify tidal modulation of melt rates (Sun et al., 2019). In terms of ocean mixing, the development of microstructure profiling Argo floats (Roemmich et al., 2019) and gliders (Wolk et al., 2009) is a particularly enticing possibility. Current and future trends in mixing intensity, potentially associated with trends in winds and eddy kinetic energy (Martínez-Moreno et al., 2021; Sheen et al., 2014; Whalen et al., 2018), remain open questions, which more observations with such platforms can help to constrain. There remains an urgent need to prioritize longer term continuous and sustained in situ measurements to permit the detection and analysis of long-term trends and seasonal variability. For example, a Southern Ocean analog of the North Atlantic RAPID array (Cunningham et al., 2007) is required to monitor the large-scale circulation directly. Conceptually, the simplest such array would be across Drake Passage to directly monitor the strength of the Antarctic Circumpolar Current. It would also be valuable to have a small number of permanent arrays in the regions where Dense Shelf Water cascades off the continental shelf to form Antarctic Bottom Water. Sustained direct measurements of this volume flux (and the water mass properties) would greatly assist in our understanding of changes in the abyssal ocean and provide early warning of future climate impacts.

It is also a priority to make better use of the observations we already have, both in terms of science (e.g., developing novel analysis methodologies) and data management. On the science side, efforts are underway to develop novel methods of extracting Southern Ocean bottom pressures and abyssal circulation from gravimetric satellite observations (e.g., GRACE; Wouters et al., 2014), an approach which has proven successful in the North Atlantic Ocean (Landerer et al., 2015). Significant work is also being done to measure the Southern Ocean internal tide field and associated mixing from existing satellite altimeter data (Z. Zhao et al., 2018), including addressing the challenge of wave dephasing due to the strong Southern Ocean eddy field using machine learning methods (H. Wang et al., 2022).

In terms of data curation, it is essential that all data generated by the Southern Ocean community is managed in accordance with the FAIR data principle; that is, data should be findable, accessible, interoperable and reusable (Wilkinson et al., 2016). Genuine accordance with this principle is essential for the community to gain maximum benefit from new and existing Southern Ocean data, and ensure cost-effectiveness for funding agencies. Community data collation efforts such as the Southern Ocean Observing System (SOOS; Newman et al., 2019) and related projects play a key role in this effort, and should be further expanded.

7.3. Regional and Global Models

Numerical ocean and climate models are our primary tools for future climate projections and operational ocean forecasting. These models are inevitably limited by their finite spatial resolution, with typical grid sizes of 1° in current generation global climate models (e.g., CMIP6; Roberts et al., 2020) and up to $1/12^{\circ}$ in current global ocean-only models (Kiss et al., 2020) and ocean state estimates (Lellouche et al., 2018). Processes smaller than the grid scale must be parameterized in such models, that is, a mathematical model for the process must be formulated, calibrated (e.g., with observations and process-based models) and implemented (H. T. Hewitt

et al., 2020). As outlined in this article, many of these unresolved processes are crucial to the climate state (e.g., diapycnal mixing, deep convection, eddies) and yet many are still not sufficiently well understood. To some extent, these challenges are resolved by running ever-higher resolution models as computational power increases, avoiding the need for parameterization. For example, ocean model grids finer than 1 km are needed to resolve eddies and their associated heat transport on the Antarctic continental shelf (Hallberg, 2013; A. L. Stewart & Thompson, 2015) and such resolutions are now feasible for very short global ocean simulations (Rocha et al., 2016; A. L. Stewart et al., 2018). Even if model speedups due to using graphical processing units render 1 km-resolution simulations close to routine (e.g., Oceananigans.jl model; Ramadhan et al., 2020), processes such as diapycnal mixing will still not be resolved and there remains a need to parameterize other larger-scale processes for longer duration simulations.

As such, there is an urgent need for improved parameterizations of a number of key processes in large-scale ocean and climate models, including mixing (Melet et al., 2015), eddies (H. T. Hewitt et al., 2020), convection (Sohail et al., 2020), ice shelf melt rates (discussed above), internal wave–eddy interactions and momentum transfer (Shakespeare & Hogg, 2019), surface wave–sea ice interactions (Bennetts, Bitz, et al., 2022a), and surface and bottom submesoscales (Gula et al., 2022). Of these priorities, the representation of diapycnal mixing is recognized as particularly vital as it controls the strength and variability of the overturning circulation realized in such models (Melet et al., 2015). While static maps of mixing have been developed (de Lavergne et al., 2020), and parameterizations of some specific mixing processes have been implemented in global models (e.g., lee waves; Stanley & Saenko, 2014), development of a dynamically evolving representation of diapycnal mixing is a key priority. In developing such parameterizations, care should be taken to account for the unique dynamics of the Southern Ocean (e.g., high-latitude wave dynamics; Section 5.3.3) that lead to different mixing properties. Due to the changing climate, it is also essential that any parameterization is physically based, and includes all relevant coupling with other processes. For example, empirical parameterizations based on present-day observations may fail in future ocean states, which will exhibit different stratification, mean currents and basin geometry (due to ice shelf and sea level changes).

It is vital that all parameterizations are “scale-aware” (H. T. Hewitt et al., 2020; Zanna et al., 2017), that is, they adapt to the model resolution, so as to avoid both parameterizing and resolving the same process, and also to avoid the parameterization negatively impacting the resolved phenomena. The lack of scale-awareness is a well-known problem with the widely used Gent and McWilliams (1990) mesoscale eddy parameterization at intermediate “eddy-permitting” resolutions (Hallberg, 2013; Jansen et al., 2019). While largely abandoned at the highest model resolutions, mesoscale eddy parameterization remains important for lower resolution ocean and climate models, and the Gent and McWilliams (1990) parameterization is arguably insufficient (H. T. Hewitt et al., 2020). To address such challenges, there is a recent move toward machine learning approaches to parameterize eddies (Bolton & Zanna, 2019; Zanna & Bolton, 2020, 2021; C. Zhang et al., 2023) as an alternative to simple mathematical models. The concept of these approaches is for the algorithm to learn the governing physics of mesoscale eddies from eddy-resolving ocean models, with the resulting formulae then applied in lower resolution ocean and climate models. Such novel methods, although not without computational challenges (C. Zhang et al., 2023), present exciting possibilities and may be generalizable to other physical phenomena.

As noted above, the ocean state in large-scale models is highly sensitive to mixing. As a result, elimination of unintended and spurious “numerical mixing” is of equal importance to the accurate representation of physical mixing. Numerical mixing occurs due to the discrete representation of smoothly varying tracers, such as temperature and salinity, which are mapped onto gridpoints at each model timestep. Discrete mapping causes an unintended redistribution of tracer between adjoining grid cells (mixing), for example, as the water column sloshes up and down due to the passage of an eddy or wave (Gibson et al., 2017; Megann et al., 2022; Petersen et al., 2015). Numerical mixing is difficult to quantify in complex models, but assessments that do exist suggest it can be significant, including in the eddying regions of the Southern Ocean (Holmes et al., 2021). This problem is important for the correct representation of Antarctic Bottom Water and the abyssal overturning circulation in the Southern Ocean. The amount of numerical mixing is closely tied to the vertical coordinates used in large-scale models and significant resources at major modeling centers are being devoted to determining an optimal vertical coordinate (Gibson, 2019; Griffies et al., 2020; Klingbeil et al., 2019; Wise et al., 2022). It is anticipated that these efforts will lead to increased model accuracy without significantly increased computational expense.

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A further priority in large-scale modeling is the incorporation of additional missing components of the Earth system. This includes the incorporation of sub-ice-shelf cavities and iceberg melt into ocean models, and the coupling of ice-sheet models with their ocean-sea ice counterparts (Favier et al., 2019; Gladstone et al., 2021; Kreuzer et al., 2021). Both of these efforts are likely to prove crucial to the accurate projection of future melt rates, but come with substantial computational challenges (Mathiot et al., 2017). Another missing feature in most ocean and climate models is an explicit representation of the ocean tides (Richter et al., 2022). Explicit inclusion of tidal currents in models, including baroclinic tides, would improve representation of benthic, mid-water and ice-base mixing, and the generation of rectified flows that help ventilate cavities (Makinson & Nicholls, 1999). However, inclusion of tides in a global model is not as simple as turning on the gravitational forcing, since the amplitude of tides are set by a balance between the forcing and the drag at the seafloor, some of which occurs at unresolved scales (Arbic et al., 2018). Therefore, the inclusion of tides requires the further development and co-implementation of additional parameterizations, supported by observations and process-based models.

8. Closing Remarks

In many respects, the Southern Ocean is the final frontier of ocean science. It is a vast, poorly observed, inhospitable and almost untouched region that has fascinated humankind since the discovery of Antarctica in the 1820s. Scientific interest in the Southern Ocean has grown rapidly in recent times, along with understanding of the control that Southern Ocean dynamics exert on global climate and climate change. However, progress has sometimes been stymied by a lack of effective communication between scientists in different disciplines and using different methodologies. This holistic review of Southern Ocean dynamics has sought to provide a common language and knowledge-base across the Southern Ocean physical science community to facilitate future knowledge-sharing and collaboration. Such collaboration is critical to address the key scientific priorities identified above that span the disciplines of mathematics, fluid mechanics, software engineering, glaciology and oceanography, and methodologies as diverse as laboratory experiments of individual processes through to numerical modeling of the entire Southern Ocean system. All of these disciplines and methodologies—and many more—have a crucial role to play in accelerating our understanding of Southern Ocean dynamics in the years ahead, and thereby improving our ability to predict ocean and climate change. This outcome is critical for the global community, and indeed forms one of the goals of the United Nations Decade of Ocean Science 2021–2030. Facilitated by this review, we encourage the entire Southern Ocean community to come together to support this objective.

Data Availability Statement

No new data was used in this article. All new figures appearing in this review were made using publicly available data as cited in the relevant caption.

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