

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 708620067</b>	<b>Statement Date: 02/11/2022</b> <b>Document ID: GD76 406 1K28</b>	<b>2021</b>
	Nawaz Mohammed 1109 Apple Drive 6 Mechanicsburg, PA 17055  RECIPIENT'S TIN: XXX-XX-2360		

[ ] FATCA filing requirement (see instructions) **Summary Information**

DIVIDENDS AND DISTRIBUTIONS		2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION		2021 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)			0.00	2- Royalties			0.00
1b- Qualified dividends			0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)			0.00	<b>4- Federal income tax withheld</b>			<b>0.00</b>
2b- Unrecaptured Section 1250 gain			0.00	8- Substitute payments in lieu of dividends or interest			0.00
2c- Section 1202 gain			0.00				
2d- Collectibles (28%) gain			0.00				
2e- Section 897 ordinary dividends			0.00				
2f- Section 897 capital gain			0.00				
3- Nondividend distributions			0.00				
<b>4- Federal income tax withheld</b>			<b>0.00</b>				
5- Section 199A dividends			0.00				
6- Investment expenses			0.00				
8- Foreign country or US possession:	7- Foreign tax paid:		0.00				
9- Cash liquidation distributions			0.00				
10- Noncash liquidation distributions			0.00				
11- Exempt-interest dividends (includes line 12)			0.00				
12- Specified private activity bond interest dividends (AMT)			0.00				

**SECTION 1256 CONTRACTS** **2021 1099-B\*** OMB No. 1545-0715

8- Profit or (loss) realized in 2021 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts-12/31/2020	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2021	0.00
11- Aggregate profit or (loss) on contracts	0.00

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	776,260.55	808,643.09	0.00	19,615.78	-12,766.76
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>776,260.55</b>	<b>808,643.09</b>	<b>0.00</b>	<b>19,615.78</b>	<b>-12,766.76</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>776,260.55</b>	<b>808,643.09</b>	<b>0.00</b>	<b>19,615.78</b>	<b>-12,766.76</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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**Summary Information**

2021

(continued)

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**INTEREST INCOME****2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession:	0.00
6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)  
 "Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol:							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	100.000	221.99	01/26/21	232.00	...	-10.01	1 of 3 - Sale <sup>25</sup>
	100.000	221.99	01/26/21	232.00	...	-10.01	2 of 3 - Sale <sup>25</sup>
	23.000	51.06	01/26/21	55.89	...	-4.83	3 of 3 - Sale <sup>25</sup>
02/02/21	223.000	495.04	Various	519.89	...	-24.85	Total of 3 transactions
02/02/21	100.000	221.99	01/26/21	232.00	2.30 W	-7.71	Sale <sup>25</sup>
Security total:		717.03		751.89	2.30 W	-32.56	

AIRSPAN NETWORKS HOLDINGS INC. / CUSIP: 00951K104 / Symbol:

3 transactions for 08/16/21. Total proceeds and cost reported to the IRS.

1.000	9.80	08/16/21	9.85	...	-0.05	1 of 3 - Sale <sup>25</sup>
1.000	9.80	08/16/21	9.75	...	0.05	2 of 3 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRSPAN NETWORKS HOLDINGS INC. / CUSIP: 00951K104 / Symbol: (cont'd)							
	100.000	979.98	08/16/21	975.00	...	4.98	3 of 3 - Sale <sup>25</sup>
08/16/21	102.000	999.58	Various	994.60	...	4.98	Total of 3 transactions
08/16/21	1.000	9.80	08/16/21	9.90	0.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,009.38</b>		<b>1,004.50</b>	<b>0.10 W</b>	<b>4.98</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
	2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	4.000	912.51	12/24/20	885.24	...	27.27	1 of 2 - Sale <sup>25</sup>
	4.000	921.35	12/24/20	885.24	...	36.11	2 of 2 - Sale <sup>25</sup>
03/08/21	8.000	1,833.86	Various	1,770.48	...	63.38	Total of 2 transactions
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	1.000	234.28	12/24/20	221.31	...	12.97	1 of 2 - Sale <sup>25</sup>
	1.000	234.28	12/24/20	221.31	...	12.97	2 of 2 - Sale <sup>25</sup>
03/12/21	2.000	468.56	Various	442.62	...	25.94	Total of 2 transactions
	7 transactions for 03/15/21. Total proceeds and cost reported to the IRS.						
	0.400	91.25	12/24/20	88.84	...	2.41	1 of 7 - Sale <sup>25</sup>
	4.000	912.45	12/24/20	888.48	...	23.97	2 of 7 - Sale <sup>25</sup>
	3.000	684.34	12/29/20	705.27	...	-20.93	3 of 7 - Sale <sup>25</sup>
	2.600	593.09	12/29/20	610.30	...	-17.21	4 of 7 - Sale <sup>25</sup>
	2.000	455.62	12/29/20	469.46	...	-13.84	5 of 7 - Sale <sup>25</sup>
	1.000	228.36	12/29/20	234.73	...	-6.37	6 of 7 - Sale <sup>25</sup>
	0.400	91.34	12/29/20	93.89	...	-2.55	7 of 7 - Sale <sup>25</sup>
03/15/21	13.400	3,056.45	Various	3,090.97	...	-34.52	Total of 7 transactions
	<b>Security total:</b>	<b>5,358.87</b>		<b>5,304.07</b>	...	<b>54.80</b>	
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
	5 transactions for 09/23/21. Total proceeds and cost reported to the IRS.						
	100.000	1,189.98	09/23/21	1,187.00	...	2.98	1 of 5 - Sale <sup>25</sup>
	502.000	5,973.71	09/23/21	5,958.83	...	14.88	2 of 5 - Sale <sup>25</sup>
	998.000	11,876.02	09/23/21	11,846.44	...	29.58	3 of 5 - Sale <sup>25</sup>
	1,400.000	16,659.74	09/23/21	16,618.25	...	41.49	4 of 5 - Sale <sup>25</sup>
	1,500.000	17,849.73	09/23/21	17,805.28	...	44.45	5 of 5 - Sale <sup>25</sup>
09/23/21	4,500.000	53,549.18	Various	53,415.80	...	133.38	Total of 5 transactions

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Robinhood Securities LLC

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# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
4 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1,400.000	16,939.75	09/23/21	16,940.00	0.25 W	0.00	1 of 4 - Sale <sup>25</sup>
	1,500.000	18,149.72	09/23/21	18,150.00	0.28 W	0.00	2 of 4 - Sale <sup>25</sup>
	502.000	6,074.11	09/23/21	6,074.20	0.09 W	0.00	3 of 4 - Sale <sup>25</sup>
	998.000	12,075.62	09/23/21	12,075.80	0.18 W	0.00	4 of 4 - Sale <sup>25</sup>
09/23/21	4,400.000	53,239.20	Various	53,240.00	0.80 W	0.00	Total of 4 transactions
32 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	13.25	09/24/21	12.67	...	0.58	1 of 32 - Sale <sup>25</sup>
	6.000	79.50	09/24/21	76.02	...	3.48	2 of 32 - Sale <sup>25</sup>
	9.000	119.25	09/24/21	114.03	...	5.22	3 of 32 - Sale <sup>25</sup>
	13.000	172.25	09/24/21	164.71	...	7.54	4 of 32 - Sale <sup>25</sup>
	7.000	92.75	09/24/21	84.28	...	8.47	5 of 32 - Sale <sup>25</sup>
	8.000	106.00	09/24/21	96.32	...	9.68	6 of 32 - Sale <sup>25</sup>
	17.000	225.08	09/24/21	215.39	...	9.69	7 of 32 - Sale <sup>25</sup>
	17.000	225.08	09/24/21	215.39	...	9.69	8 of 32 - Sale <sup>25</sup>
	28.000	370.71	09/24/21	354.76	...	15.95	9 of 32 - Sale <sup>25</sup>
	25.000	331.25	09/24/21	301.75	...	29.50	10 of 32 - Sale <sup>25</sup>
	55.000	728.19	09/24/21	696.85	...	31.34	11 of 32 - Sale <sup>25</sup>
	55.000	728.19	09/24/21	696.85	...	31.34	12 of 32 - Sale <sup>25</sup>
	66.000	873.82	09/24/21	836.22	...	37.60	13 of 32 - Sale <sup>25</sup>
	35.000	463.39	09/24/21	425.25	...	38.14	14 of 32 - Sale <sup>25</sup>
	86.000	1,138.63	09/24/21	1,089.62	...	49.01	15 of 32 - Sale <sup>25</sup>
	87.000	1,152.73	09/24/21	1,102.29	...	50.44	16 of 32 - Sale <sup>25</sup>
	100.000	1,323.98	09/24/21	1,267.00	...	56.98	17 of 32 - Sale <sup>25</sup>
	100.000	1,323.98	09/24/21	1,267.00	...	56.98	18 of 32 - Sale <sup>25</sup>
	100.000	1,323.98	09/24/21	1,267.00	...	56.98	19 of 32 - Sale <sup>25</sup>
	100.000	1,324.98	09/24/21	1,267.00	...	57.98	20 of 32 - Sale <sup>25</sup>
	100.000	1,324.98	09/24/21	1,267.00	...	57.98	21 of 32 - Sale <sup>25</sup>
	100.000	1,324.98	09/24/21	1,267.00	...	57.98	22 of 32 - Sale <sup>25</sup>
	109.000	1,443.14	09/24/21	1,381.03	...	62.11	23 of 32 - Sale <sup>25</sup>
	122.000	1,616.48	09/24/21	1,545.74	...	70.74	24 of 32 - Sale <sup>25</sup>
	167.000	2,212.72	09/24/21	2,115.89	...	96.83	25 of 32 - Sale <sup>25</sup>
	209.000	2,769.21	09/24/21	2,648.03	...	121.18	26 of 32 - Sale <sup>25</sup>
	218.000	2,888.45	09/24/21	2,762.06	...	126.39	27 of 32 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	290.000	3,839.54	09/24/21	3,674.30	...	165.24	28 of 32 - Sale <sup>25</sup>
	291.000	3,852.78	09/24/21	3,686.97	...	165.81	29 of 32 - Sale <sup>25</sup>
	363.000	4,809.68	09/24/21	4,599.21	...	210.47	30 of 32 - Sale <sup>25</sup>
	491.000	6,505.66	09/24/21	6,220.97	...	284.69	31 of 32 - Sale <sup>25</sup>
	275.000	3,643.70	09/24/21	3,319.25	...	324.45	32 of 32 - Sale <sup>25</sup>
09/24/21	3,650.000	48,348.31	Various	46,037.85	...	2,310.46	Total of 32 transactions
	<b>Security total:</b>	<b>155,136.69</b>		<b>152,693.65</b>	<b>0.80 W</b>	<b>2,443.84</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	30.000	506.39	01/28/21	657.00	...	-150.61	1 of 2 - Sale <sup>25</sup>
	20.000	337.59	01/28/21	415.00	...	-77.41	2 of 2 - Sale <sup>25</sup>
02/11/21	50.000	843.98	Various	1,072.00	...	-228.02	Total of 2 transactions
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	7.000	224.00	03/30/21	186.90	...	37.10	1 of 2 - Sale <sup>25</sup>
	30.000	959.99	03/30/21	801.00	...	158.99	2 of 2 - Sale <sup>25</sup>
04/22/21	37.000	1,183.99	Various	987.90	...	196.09	Total of 2 transactions
7 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	1.000	66.50	07/12/21	64.40	...	2.10	1 of 7 - Sale <sup>25</sup>
	1.000	66.50	07/12/21	64.30	...	2.20	2 of 7 - Sale <sup>25</sup>
	44.000	2,923.78	07/12/21	2,897.40	...	26.38	3 of 7 - Sale <sup>25</sup>
	14.000	930.29	07/12/21	900.20	...	30.09	4 of 7 - Sale <sup>25</sup>
	28.000	1,861.99	07/12/21	1,825.60	...	36.39	5 of 7 - Sale <sup>25</sup>
	30.000	1,994.98	07/12/21	1,915.50	...	79.48	6 of 7 - Sale <sup>25</sup>
	40.000	2,659.98	07/12/21	2,544.74	...	115.24	7 of 7 - Sale <sup>25</sup>
07/12/21	158.000	10,504.02	Various	10,212.14	...	291.88	Total of 7 transactions
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	8.000	687.99	09/02/21	644.80	...	43.19	1 of 2 - Sale <sup>25</sup>
	70.000	6,019.96	09/02/21	5,684.70	...	335.26	2 of 2 - Sale <sup>25</sup>
09/02/21	78.000	6,707.95	Various	6,329.50	...	378.45	Total of 2 transactions
	<b>Security total:</b>	<b>18,395.96</b>		<b>17,529.54</b>	...	<b>866.42</b>	

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASTRA SPACE, INC. CLASS A COMM ON STOCK / CUSIP: 04634X103 / Symbol:							
4 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	50.000	539.99	08/20/21	510.00	...	29.99	1 of 4 - Sale <sup>25</sup>
	285.000	3,077.95	08/20/21	2,907.00	...	170.95	2 of 4 - Sale <sup>25</sup>
	300.000	3,266.94	08/20/21	3,036.00	...	230.94	3 of 4 - Sale <sup>25</sup>
	665.000	7,181.88	08/20/21	6,729.80	...	452.08	4 of 4 - Sale <sup>25</sup>
08/23/21	1,300.000	14,066.76	Various	13,182.80	...	883.96	Total of 4 transactions
2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	100.000	1,224.98	08/20/21	1,020.00	...	204.98	1 of 2 - Sale <sup>25</sup>
	150.000	1,765.61	08/20/21	1,530.00	...	235.61	2 of 2 - Sale <sup>25</sup>
08/27/21	250.000	2,990.59	Various	2,550.00	...	440.59	Total of 2 transactions
23 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	100.000	940.98	08/25/21	1,183.79	...	-242.81	1 of 23 - Sale <sup>25</sup>
	100.000	954.21	08/25/21	1,178.00	...	-223.79	2 of 23 - Sale <sup>25</sup>
	55.000	517.54	08/25/21	717.78	...	-200.24	3 of 23 - Sale <sup>25</sup>
	100.000	954.20	08/25/21	1,125.00	...	-170.80	4 of 23 - Sale <sup>25</sup>
	100.000	954.21	08/25/21	1,125.00	...	-170.79	5 of 23 - Sale <sup>25</sup>
	45.000	423.44	08/25/21	587.28	...	-163.84	6 of 23 - Sale <sup>25</sup>
	45.000	423.45	08/25/21	579.96	...	-156.51	7 of 23 - Sale <sup>25</sup>
	58.000	545.77	08/25/21	686.60	...	-140.83	8 of 23 - Sale <sup>25</sup>
	49.000	461.08	08/25/21	580.06	...	-118.98	9 of 23 - Sale <sup>25</sup>
	50.000	477.10	08/25/21	582.50	...	-105.40	10 of 23 - Sale <sup>25</sup>
	37.000	348.16	08/25/21	438.01	...	-89.85	11 of 23 - Sale <sup>25</sup>
	30.000	282.29	08/25/21	371.64	...	-89.35	12 of 23 - Sale <sup>25</sup>
	26.000	244.65	08/25/21	320.01	...	-75.36	13 of 23 - Sale <sup>25</sup>
	38.000	362.60	08/25/21	424.84	...	-62.24	14 of 23 - Sale <sup>25</sup>
	35.000	333.97	08/25/21	394.10	...	-60.13	15 of 23 - Sale <sup>25</sup>
	14.000	131.74	08/25/21	172.31	...	-40.57	16 of 23 - Sale <sup>25</sup>
	16.000	150.56	08/25/21	189.41	...	-38.85	17 of 23 - Sale <sup>25</sup>
	30.000	286.26	08/25/21	322.50	...	-36.24	18 of 23 - Sale <sup>25</sup>
	10.000	94.10	08/25/21	123.08	...	-28.98	19 of 23 - Sale <sup>25</sup>
	4.000	37.64	08/25/21	50.94	...	-13.30	20 of 23 - Sale <sup>25</sup>
	4.000	37.64	08/25/21	47.35	...	-9.71	21 of 23 - Sale <sup>25</sup>
	2.000	18.82	08/25/21	23.68	...	-4.86	22 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASTRA SPACE, INC. CLASS A COMM ON STOCK / CUSIP: 04634X103 / Symbol: (cont'd)							
	1.000	9.41	08/25/21	11.37	...	-1.96	23 of 23 - Sale <sup>25</sup>
08/31/21	949.000	8,989.82	Various	11,235.21	...	-2,245.39	Total of 23 transactions
	6 transactions for 08/31/21. Total proceeds and cost reported to the IRS.						
	266.000	2,538.18	08/20/21	2,713.20	175.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	9.54	08/20/21	9.73	0.19 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	477.10	08/23/21	533.50	56.40 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	954.20	08/24/21	1,125.00	83.69 W	-87.11	4 of 6 - Sale <sup>25</sup>
	30.000	286.26	08/24/21	322.50	36.24 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	954.20	08/24/21	1,141.26	187.06 W	0.00	6 of 6 - Sale <sup>25</sup>
08/31/21	547.000	5,219.48	Various	5,845.19	538.60 W	-87.11	Total of 6 transactions
	<b>Security total:</b>	<b>31,266.65</b>		<b>32,813.20</b>	<b>538.60 W</b>	<b>-1,007.95</b>	
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
	2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	30.000	78.92	01/27/21	65.40	...	13.52	1 of 2 - Sale <sup>25</sup>
	470.000	1,236.49	01/27/21	1,024.60	...	211.89	2 of 2 - Sale <sup>25</sup>
02/02/21	500.000	1,315.41	Various	1,090.00	...	225.41	Total of 2 transactions
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol:							
	4 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	2.000	73.00	01/14/21	70.60	...	2.40	1 of 4 - Sale <sup>25</sup>
	20.000	729.98	01/14/21	708.00	...	21.98	2 of 4 - Sale <sup>25</sup>
	25.000	912.48	01/14/21	882.50	...	29.98	3 of 4 - Sale <sup>25</sup>
	35.000	1,277.46	01/14/21	1,193.15	...	84.31	4 of 4 - Sale <sup>25</sup>
01/26/21	82.000	2,992.92	Various	2,854.25	...	138.67	Total of 4 transactions
	4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	40.000	1,438.43	02/10/21	1,454.60	...	-16.17	1 of 4 - Sale <sup>25</sup>
	10.000	359.61	02/10/21	357.90	...	1.71	2 of 4 - Sale <sup>25</sup>
	20.000	719.22	02/10/21	708.00	...	11.22	3 of 4 - Sale <sup>25</sup>
	35.000	1,258.62	02/10/21	1,239.70	...	18.92	4 of 4 - Sale <sup>25</sup>
02/12/21	105.000	3,775.88	Various	3,760.20	...	15.68	Total of 4 transactions
	<b>Security total:</b>	<b>6,768.80</b>		<b>6,614.45</b>	...	<b>154.35</b>	

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	30.000	1,026.59	06/04/21	971.40	...	55.19	1 of 2 - Sale <sup>25</sup>
	50.000	1,710.98	06/04/21	1,604.50	...	106.48	2 of 2 - Sale <sup>25</sup>
06/08/21	80.000	2,737.57	Various	2,575.90	...	161.67	Total of 2 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
03/12/21	35.000	316.05	03/09/21	315.00	...	1.05	Sale <sup>25</sup>
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	498.000	5,562.57	08/24/21	5,577.16	...	-14.59	1 of 3 - Sale <sup>25</sup>
	300.000	3,350.94	08/24/21	3,359.73	...	-8.79	2 of 3 - Sale <sup>25</sup>
	52.000	580.82	08/24/21	582.35	...	-1.53	3 of 3 - Sale <sup>25</sup>
08/24/21	850.000	9,494.33	Various	9,519.24	...	-24.91	Total of 3 transactions
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
03/30/21	8.000	164.72	03/26/21	142.00	...	22.72	Sale <sup>25</sup>
CHROMADEx CORPORATION COMMON STOCK / CUSIP: 171077407 / Symbol:							
7 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	20.000	265.46	03/10/21	242.90	...	22.56	1 of 7 - Sale <sup>25</sup>
	35.000	464.55	03/10/21	435.05	...	29.50	2 of 7 - Sale <sup>25</sup>
	20.000	265.45	03/10/21	232.40	...	33.05	3 of 7 - Sale <sup>25</sup>
	0.620	8.23	03/11/21	6.99	...	1.24	4 of 7 - Sale <sup>25</sup>
	10.620	140.96	03/11/21	113.54	...	27.42	5 of 7 - Sale <sup>25</sup>
	30.000	398.18	03/11/21	318.90	...	79.28	6 of 7 - Sale <sup>25</sup>
	73.380	973.96	03/11/21	826.99	...	146.97	7 of 7 - Sale <sup>25</sup>
03/16/21	189.620	2,516.79	Various	2,176.77	...	340.02	Total of 7 transactions
CLOVER HEALTH INVESTMENTS, CORP. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
06/08/21	50.000	1,102.49	06/08/21	1,100.00	...	2.49	Sale <sup>25</sup>

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Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
6 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	300.000	1,787.95	06/09/21	2,100.00	...	-312.05	1 of 6 - Sale <sup>25</sup>
	300.000	1,787.95	06/09/21	1,995.00	...	-207.05	2 of 6 - Sale <sup>25</sup>
	150.000	893.98	06/09/21	1,083.00	...	-189.02	3 of 6 - Sale <sup>25</sup>
	200.000	1,191.97	06/09/21	1,330.00	...	-138.03	4 of 6 - Sale <sup>25</sup>
	55.000	327.79	06/09/21	396.00	...	-68.21	5 of 6 - Sale <sup>25</sup>
	6.000	35.76	06/09/21	42.48	...	-6.72	6 of 6 - Sale <sup>25</sup>
06/18/21	1,011.000	6,025.40	Various	6,946.48	...	-921.08	Total of 6 transactions
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
06/30/21	50.000	669.99	06/29/21	710.50	...	-40.51	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	4.000	894.14	12/23/20	874.56	...	19.58	1 of 2 - Sale <sup>25</sup>
	6.000	1,341.21	12/24/20	1,323.36	...	17.85	2 of 2 - Sale <sup>25</sup>
02/04/21	10.000	2,235.35	Various	2,197.92	...	37.43	Total of 2 transactions
02/09/21	10.000	2,225.95	01/13/21	2,268.30	...	-42.35	Sale <sup>25</sup>
<b>Security total:</b>		<b>4,461.30</b>		<b>4,466.22</b>	...	<b>-4.92</b>	
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
08/31/21	380.000	2,982.93	08/31/21	2,857.60	...	125.33	Sale <sup>25</sup>
17 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	100.000	787.98	08/31/21	754.00	...	33.98	1 of 17 - Sale <sup>25</sup>
	55.000	433.39	09/01/21	414.70	...	18.69	2 of 17 - Sale <sup>25</sup>
	75.000	590.98	09/01/21	565.50	...	25.48	3 of 17 - Sale <sup>25</sup>
	150.000	1,181.97	09/01/21	1,131.00	...	50.97	4 of 17 - Sale <sup>25</sup>
	150.000	1,181.97	09/01/21	1,131.00	...	50.97	5 of 17 - Sale <sup>25</sup>
	94.000	740.70	09/01/21	688.08	...	52.62	6 of 17 - Sale <sup>25</sup>
	170.000	1,339.57	09/01/21	1,281.80	...	57.77	7 of 17 - Sale <sup>25</sup>
	225.000	1,772.96	09/01/21	1,696.50	...	76.46	8 of 17 - Sale <sup>25</sup>
	206.000	1,623.25	09/01/21	1,507.92	...	115.33	9 of 17 - Sale <sup>25</sup>
	590.000	4,649.11	09/01/21	4,448.60	...	200.51	10 of 17 - Sale <sup>25</sup>
	400.000	3,151.94	09/01/21	2,928.00	...	223.94	11 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol: (cont'd)							
	800.000	6,303.87	09/01/21	6,032.00	...	271.87	12 of 17 - Sale <sup>25</sup>
	6.000	47.28	09/02/21	45.60	...	1.68	13 of 17 - Sale <sup>25</sup>
	94.000	740.70	09/02/21	713.46	...	27.24	14 of 17 - Sale <sup>25</sup>
	100.000	787.98	09/02/21	759.00	...	28.98	15 of 17 - Sale <sup>25</sup>
	300.000	2,363.95	09/02/21	2,280.00	...	83.95	16 of 17 - Sale <sup>25</sup>
	400.000	3,151.94	09/02/21	2,928.00	...	223.94	17 of 17 - Sale <sup>25</sup>
09/02/21	3,915.000	30,849.54	Various	29,305.16	...	1,544.38	Total of 17 transactions
	4 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	26.000	210.08	09/03/21	210.08	...	0.00	1 of 4 - Sale <sup>25</sup>
	40.000	323.20	09/03/21	323.20	...	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	404.00	09/03/21	404.00	...	0.00	3 of 4 - Sale <sup>25</sup>
	8.000	64.64	09/03/21	64.64	...	0.00	4 of 4 - Sale <sup>25</sup>
09/16/21	124.000	1,001.92	Various	1,001.92	...	0.00	Total of 4 transactions
	3 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	200.000	1,615.97	09/03/21	1,616.00	0.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	807.98	09/03/21	808.00	0.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	576.000	4,653.98	09/03/21	4,654.08	0.10 W	0.00	3 of 3 - Sale <sup>25</sup>
09/16/21	876.000	7,077.93	Various	7,078.08	0.15 W	0.00	Total of 3 transactions
	7 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	32.000	287.99	09/03/21	272.01	...	15.98	1 of 7 - Sale <sup>25</sup>
	68.000	611.99	09/03/21	578.01	...	33.98	2 of 7 - Sale <sup>25</sup>
	156.000	1,403.98	09/03/21	1,326.00	...	77.98	3 of 7 - Sale <sup>25</sup>
	168.000	1,511.97	09/03/21	1,428.03	...	83.94	4 of 7 - Sale <sup>25</sup>
	232.000	2,087.96	09/03/21	1,972.04	...	115.92	5 of 7 - Sale <sup>25</sup>
	344.000	3,095.94	09/03/21	2,924.06	...	171.88	6 of 7 - Sale <sup>25</sup>
	1,000.000	8,999.83	09/03/21	8,080.00	...	919.83	7 of 7 - Sale <sup>25</sup>
09/17/21	2,000.000	17,999.66	Various	16,580.15	...	1,419.51	Total of 7 transactions
	24 transactions for 09/22/21. Total proceeds and cost reported to the IRS.						
	4.000	35.60	09/03/21	30.80	...	4.80	1 of 24 - Sale <sup>25</sup>
	11.000	97.90	09/03/21	88.66	...	9.24	2 of 24 - Sale <sup>25</sup>
	13.000	115.70	09/03/21	104.78	...	10.92	3 of 24 - Sale <sup>25</sup>
	476.000	4,236.31	09/03/21	3,836.56	...	399.75	4 of 24 - Sale <sup>25</sup>
	582.000	5,179.70	09/03/21	4,690.92	...	488.78	5 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol: (cont'd)							
	918.000	8,170.04	09/03/21	7,399.08	...	770.96	6 of 24 - Sale <sup>25</sup>
	1,200.000	10,679.79	09/03/21	9,360.00	...	1,319.79	7 of 24 - Sale <sup>25</sup>
	100.000	889.98	09/07/21	704.00	...	185.98	8 of 24 - Sale <sup>25</sup>
	50.000	444.99	09/08/21	342.50	...	102.49	9 of 24 - Sale <sup>25</sup>
	1.000	8.90	09/10/21	6.46	...	2.44	10 of 24 - Sale <sup>25</sup>
	45.000	400.49	09/10/21	285.30	...	115.19	11 of 24 - Sale <sup>25</sup>
	90.000	800.99	09/10/21	570.60	...	230.39	12 of 24 - Sale <sup>25</sup>
	99.000	881.08	09/10/21	639.54	...	241.54	13 of 24 - Sale <sup>25</sup>
	66.000	587.39	09/17/21	495.00	...	92.39	14 of 24 - Sale <sup>25</sup>
	66.000	587.39	09/17/21	495.00	...	92.39	15 of 24 - Sale <sup>25</sup>
	102.000	907.78	09/17/21	780.30	...	127.48	16 of 24 - Sale <sup>25</sup>
	100.000	889.98	09/17/21	744.00	...	145.98	17 of 24 - Sale <sup>25</sup>
	129.000	1,148.08	09/17/21	967.50	...	180.58	18 of 24 - Sale <sup>25</sup>
	200.000	1,779.97	09/17/21	1,530.00	...	249.97	19 of 24 - Sale <sup>25</sup>
	239.000	2,127.06	09/17/21	1,792.50	...	334.56	20 of 24 - Sale <sup>25</sup>
	398.000	3,542.14	09/17/21	3,044.70	...	497.44	21 of 24 - Sale <sup>25</sup>
	500.000	4,449.92	09/17/21	3,775.00	...	674.92	22 of 24 - Sale <sup>25</sup>
	98.000	872.18	09/20/21	693.84	...	178.34	23 of 24 - Sale <sup>25</sup>
	162.000	1,441.77	09/20/21	1,146.96	...	294.81	24 of 24 - Sale <sup>25</sup>
09/22/21	5,649.000	50,275.13	Various	43,524.00	...	6,751.13	Total of 24 transactions
	4 transactions for 09/23/21. Total proceeds and cost reported to the IRS.						
	200.000	1,779.97	09/22/21	1,640.00	...	139.97	1 of 4 - Sale <sup>25</sup>
	400.000	3,559.93	09/22/21	3,280.00	...	279.93	2 of 4 - Sale <sup>25</sup>
	1,500.000	13,349.75	09/22/21	12,300.00	...	1,049.75	3 of 4 - Sale <sup>25</sup>
	4,000.000	35,599.34	09/22/21	32,960.00	...	2,639.34	4 of 4 - Sale <sup>25</sup>
09/23/21	6,100.000	54,288.99	Various	50,180.00	...	4,108.99	Total of 4 transactions
	<b>Security total:</b>	<b>164,476.10</b>		<b>150,526.91</b>	<b>0.15 W</b>	<b>13,949.34</b>	
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
01/20/21	3.000	594.42	01/08/21	611.97	17.55 W	0.00	Sale <sup>25</sup>
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	3.000	637.51	01/08/21	629.29	...	8.22	1 of 3 - Sale <sup>25</sup>
	3.000	637.52	01/08/21	611.97	...	25.55	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol: (cont'd)							
	9.000	1,901.74	01/08/21	1,835.91	...	65.83	3 of 3 - Sale <sup>25</sup>
02/10/21	15.000	3,176.77	Various	3,077.17	...	99.60	Total of 3 transactions
	<b>Security total:</b>	<b>3,771.19</b>		<b>3,689.14</b>	<b>17.55 W</b>	<b>99.60</b>	
EVOLVE TRANSITION INFRASTRUCTURE LP / CUSIP: 30053M104 / Symbol:							
09/22/21	1.000	0.91	09/15/21	1.09	...	-0.18	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
	4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	5.25	05/27/21	4.87	...	0.38	1 of 4 - Sale <sup>25</sup>
	150.000	787.48	05/27/21	729.00	...	58.48	2 of 4 - Sale <sup>25</sup>
	299.000	1,569.70	05/27/21	1,454.78	...	114.92	3 of 4 - Sale <sup>25</sup>
	400.000	2,559.93	06/02/21	2,260.00	...	299.93	4 of 4 - Sale <sup>25</sup>
06/02/21	850.000	4,922.36	Various	4,448.65	...	473.71	Total of 4 transactions
06/04/21	100.000	501.14	06/03/21	559.00	...	-57.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,423.50</b>		<b>5,007.65</b>	...	<b>415.85</b>	
EZFILL HOLDINGS, INC. COMMON STOCK / CUSIP: 302314208 / Symbol:							
	4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.						
	122.000	452.60	09/23/21	368.44	...	84.16	1 of 4 - Sale <sup>25</sup>
	263.000	975.70	09/23/21	783.74	...	191.96	2 of 4 - Sale <sup>25</sup>
	522.000	1,936.55	09/24/21	1,759.14	...	177.41	3 of 4 - Sale <sup>25</sup>
	2,180.000	8,087.49	09/24/21	7,346.60	...	740.89	4 of 4 - Sale <sup>25</sup>
09/24/21	3,087.000	11,452.34	Various	10,257.92	...	1,194.42	Total of 4 transactions
	28 transactions for 09/24/21. Total proceeds and cost reported to the IRS.						
	84.000	318.35	09/17/21	410.76	92.41 W	0.00	1 of 28 - Sale <sup>25</sup>
	416.000	1,543.30	09/17/21	2,034.24	490.94 W	0.00	2 of 28 - Sale <sup>25</sup>
	84.000	311.63	09/17/21	491.41	179.78 W	0.00	3 of 28 - Sale <sup>25</sup>
	141.000	523.09	09/17/21	669.75	146.66 W	0.00	4 of 28 - Sale <sup>25</sup>
	275.000	1,020.21	09/17/21	1,306.25	286.04 W	0.00	5 of 28 - Sale <sup>25</sup>
	598.000	2,218.50	09/17/21	2,750.80	532.30 W	0.00	6 of 28 - Sale <sup>25</sup>
	601.000	2,229.62	09/17/21	2,764.60	534.98 W	0.00	7 of 28 - Sale <sup>25</sup>
	75.000	278.24	09/23/21	315.01	36.77 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	3.71	09/23/21	4.20	0.49 W	0.00	9 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol: (cont'd)							
	5.000	18.55	09/23/21	21.00	2.45 W	0.00	10 of 28 - Sale <sup>25</sup>
	45.000	166.95	09/23/21	189.01	22.06 W	0.00	11 of 28 - Sale <sup>25</sup>
	2.000	7.42	09/23/21	8.40	0.98 W	0.00	12 of 28 - Sale <sup>25</sup>
	12.000	44.52	09/24/21	60.12	15.60 W	0.00	13 of 28 - Sale <sup>25</sup>
	43.000	159.52	09/24/21	174.16	14.64 W	0.00	14 of 28 - Sale <sup>25</sup>
	245.000	908.92	09/24/21	992.28	83.36 W	0.00	15 of 28 - Sale <sup>25</sup>
	50.000	185.49	09/24/21	275.51	90.02 W	0.00	16 of 28 - Sale <sup>25</sup>
	12.000	44.52	09/24/21	56.04	11.52 W	0.00	17 of 28 - Sale <sup>25</sup>
	22.000	81.62	09/24/21	121.23	39.61 W	0.00	18 of 28 - Sale <sup>25</sup>
	1.000	3.71	09/24/21	3.86	0.15 W	0.00	19 of 28 - Sale <sup>25</sup>
	2.000	7.42	09/24/21	7.72	0.30 W	0.00	20 of 28 - Sale <sup>25</sup>
	5.000	18.55	09/24/21	19.30	0.75 W	0.00	21 of 28 - Sale <sup>25</sup>
	45.000	166.94	09/24/21	173.71	6.77 W	0.00	22 of 28 - Sale <sup>25</sup>
	75.000	278.24	09/24/21	289.52	11.28 W	0.00	23 of 28 - Sale <sup>25</sup>
	43.000	159.52	09/24/21	159.55	0.03 W	0.00	24 of 28 - Sale <sup>25</sup>
	141.000	523.09	09/24/21	621.83	98.74 W	0.00	25 of 28 - Sale <sup>25</sup>
	275.000	1,020.21	09/24/21	1,212.79	192.58 W	0.00	26 of 28 - Sale <sup>25</sup>
	598.000	2,218.50	09/24/21	2,547.56	329.06 W	0.00	27 of 28 - Sale <sup>25</sup>
	601.000	2,229.63	09/24/21	2,560.35	330.72 W	0.00	28 of 28 - Sale <sup>25</sup>
09/24/21	4,497.000	16,689.97	Various	20,240.96	3,550.99 W	0.00	Total of 28 transactions
15 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	78.000	255.83	09/24/21	289.40	33.57 W	0.00	1 of 15 - Sale <sup>25</sup>
	33.000	108.24	09/24/21	170.62	62.38 W	0.00	2 of 15 - Sale <sup>25</sup>
	167.000	547.74	09/24/21	619.61	71.87 W	0.00	3 of 15 - Sale <sup>25</sup>
	16.000	52.48	09/24/21	82.73	30.25 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	3.28	09/24/21	5.17	1.89 W	0.00	5 of 15 - Sale <sup>25</sup>
	12.000	39.36	09/24/21	51.96	12.60 W	0.00	6 of 15 - Sale <sup>25</sup>
	22.000	72.16	09/24/21	113.75	41.59 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.000	3.28	09/24/21	3.52	0.24 W	0.00	8 of 15 - Sale <sup>25</sup>
	2.000	6.56	09/24/21	7.04	0.48 W	0.00	9 of 15 - Sale <sup>25</sup>
	5.000	16.40	09/24/21	17.60	1.20 W	0.00	10 of 15 - Sale <sup>25</sup>
	45.000	147.59	09/24/21	158.42	10.83 W	0.00	11 of 15 - Sale <sup>25</sup>
	75.000	245.99	09/24/21	264.03	18.04 W	0.00	12 of 15 - Sale <sup>25</sup>
	141.000	462.46	09/24/21	573.91	111.45 W	0.00	13 of 15 - Sale <sup>25</sup>

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Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol: (cont'd)							
	275.000	901.95	09/24/21	1,119.33	217.38 W	0.00	14 of 15 - Sale <sup>25</sup>
	127.000	416.54	09/24/21	497.87	81.33 W	0.00	15 of 15 - Sale <sup>25</sup>
10/13/21	1,000.000	3,279.86	Various	3,974.96	695.10 W	0.00	Total of 15 transactions
31 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	2,700.000	8,045.64	09/24/21	9,099.00	...	-1,053.36	1 of 31 - Sale <sup>25</sup>
	601.000	1,790.90	09/24/21	2,356.09	...	-565.19	2 of 31 - Sale <sup>25</sup>
	1,336.000	3,981.10	09/24/21	4,502.32	...	-521.22	3 of 31 - Sale <sup>25</sup>
	1,285.000	3,829.13	09/24/21	4,330.45	...	-501.32	4 of 31 - Sale <sup>25</sup>
	471.000	1,403.52	09/24/21	1,846.45	...	-442.93	5 of 31 - Sale <sup>25</sup>
	275.000	819.46	09/24/21	1,144.13	...	-324.67	6 of 31 - Sale <sup>25</sup>
	580.000	1,728.32	09/24/21	1,954.60	...	-226.28	7 of 31 - Sale <sup>25</sup>
	412.000	1,227.70	09/24/21	1,388.44	...	-160.74	8 of 31 - Sale <sup>25</sup>
	167.000	497.64	09/24/21	634.66	...	-137.02	9 of 31 - Sale <sup>25</sup>
	127.000	378.44	09/24/21	509.32	...	-130.88	10 of 31 - Sale <sup>25</sup>
	350.000	1,042.95	09/24/21	1,134.00	...	-91.05	11 of 31 - Sale <sup>25</sup>
	33.000	98.34	09/24/21	173.59	...	-75.25	12 of 31 - Sale <sup>25</sup>
	78.000	232.43	09/24/21	296.43	...	-64.00	13 of 31 - Sale <sup>25</sup>
	50.000	148.99	09/24/21	208.02	...	-59.03	14 of 31 - Sale <sup>25</sup>
	49.000	146.01	09/24/21	203.86	...	-57.85	15 of 31 - Sale <sup>25</sup>
	22.000	65.56	09/24/21	115.73	...	-50.17	16 of 31 - Sale <sup>25</sup>
	42.000	125.15	09/24/21	174.74	...	-49.59	17 of 31 - Sale <sup>25</sup>
	75.000	223.49	09/24/21	270.79	...	-47.30	18 of 31 - Sale <sup>25</sup>
	16.000	47.68	09/24/21	84.17	...	-36.49	19 of 31 - Sale <sup>25</sup>
	45.000	134.09	09/24/21	162.48	...	-28.39	20 of 31 - Sale <sup>25</sup>
	12.000	35.76	09/24/21	53.04	...	-17.28	21 of 31 - Sale <sup>25</sup>
	43.000	128.13	09/24/21	144.94	...	-16.81	22 of 31 - Sale <sup>25</sup>
	5.000	14.90	09/24/21	18.05	...	-3.15	23 of 31 - Sale <sup>25</sup>
	1.000	2.98	09/24/21	5.26	...	-2.28	24 of 31 - Sale <sup>25</sup>
	2.000	5.96	09/24/21	7.22	...	-1.26	25 of 31 - Sale <sup>25</sup>
	1.000	2.98	09/24/21	3.61	...	-0.63	26 of 31 - Sale <sup>25</sup>
	4,000.000	11,919.46	09/27/21	13,680.00	...	-1,760.54	27 of 31 - Sale <sup>25</sup>
	4,000.000	11,919.47	09/27/21	13,679.20	...	-1,759.73	28 of 31 - Sale <sup>25</sup>
	250.000	744.97	09/27/21	845.00	...	-100.03	29 of 31 - Sale <sup>25</sup>
	2.000	5.96	10/12/21	6.68	...	-0.72	30 of 31 - Sale <sup>25</sup>

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Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol: (cont'd)							
	1.000	2.98	10/14/21	3.20	...	-0.22	31 of 31 - Sale <sup>25</sup>
10/22/21	17,031.000	50,750.09	Various	59,035.47	...	-8,285.38	Total of 31 transactions
	<b>Security total:</b>	<b>82,172.26</b>		<b>93,509.31</b>	<b>4,246.09 W</b>	<b>-7,090.96</b>	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
	6 transactions for 08/05/21. Total proceeds and cost reported to the IRS.						
	25.000	1,024.99	08/04/21	937.50	...	87.49	1 of 6 - Sale <sup>25</sup>
	50.000	2,039.98	08/04/21	1,875.00	...	164.98	2 of 6 - Sale <sup>25</sup>
	2.000	82.00	08/05/21	68.33	...	13.67	3 of 6 - Sale <sup>25</sup>
	8.000	328.00	08/05/21	277.20	...	50.80	4 of 6 - Sale <sup>25</sup>
	8.000	328.00	08/05/21	273.32	...	54.68	5 of 6 - Sale <sup>25</sup>
	10.000	410.00	08/05/21	353.40	...	56.60	6 of 6 - Sale <sup>25</sup>
08/05/21	103.000	4,212.97	Various	3,784.75	...	428.22	Total of 6 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
	2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	1.000	13.22	03/25/21	11.57	...	1.65	1 of 2 - Sale <sup>25</sup>
	85.000	1,123.68	03/25/21	982.60	...	141.08	2 of 2 - Sale <sup>25</sup>
03/25/21	86.000	1,136.90	Various	994.17	...	142.73	Total of 2 transactions
	2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.						
	75.000	686.98	06/14/21	775.38	...	-88.40	1 of 2 - Sale <sup>25</sup>
	5.000	45.80	06/14/21	49.04	...	-3.24	2 of 2 - Sale <sup>25</sup>
06/22/21	80.000	732.78	Various	824.42	...	-91.64	Total of 2 transactions
06/22/21	495.000	4,534.11	06/14/21	4,855.46	48.69 W	-272.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,403.79</b>		<b>6,674.05</b>	<b>48.69 W</b>	<b>-221.57</b>	
G1 THERAPEUTICS, INC. COMMON S TOCK / CUSIP: 3621LQ109 / Symbol:							
02/18/21	10.000	265.60	02/16/21	378.00	...	-112.40	Sale <sup>25</sup>
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
	2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	81.000	536.24	04/22/21	493.29	...	42.95	1 of 2 - Sale <sup>25</sup>
	200.000	1,340.37	04/22/21	1,218.00	...	122.37	2 of 2 - Sale <sup>25</sup>
04/23/21	281.000	1,876.61	Various	1,711.29	...	165.32	Total of 2 transactions

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Robinhood Securities LLC

Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
3 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	100.000	616.14	08/30/21	640.00	...	-23.86	1 of 3 - Sale <sup>25</sup>
	100.000	616.14	08/30/21	610.78	...	5.36	2 of 3 - Sale <sup>25</sup>
	280.000	1,725.21	08/30/21	1,707.55	...	17.66	3 of 3 - Sale <sup>25</sup>
08/31/21	480.000	2,957.49	Various	2,958.33	...	-0.84	Total of 3 transactions
	<b>Security total:</b>	<b>4,834.10</b>		<b>4,669.62</b>	...	<b>164.48</b>	
GORES GUGGENHEIM, INC. CLASS A COMMON STOCK / CUSIP: 38286Q107 / Symbol:							
11/16/21	880.000	13,296.63	11/15/21	13,076.71	...	219.92	Sale <sup>25</sup>
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	600.000	452.98	02/08/21	606.00	...	-153.02	1 of 2 - Sale <sup>25</sup>
	400.000	301.99	02/08/21	404.00	...	-102.01	2 of 2 - Sale <sup>25</sup>
02/25/21	1,000.000	754.97	Various	1,010.00	...	-255.03	Total of 2 transactions
HEALTHCARE TRIANGLE, INC. COMM ON STOCK / CUSIP: 42227W108 / Symbol:							
7 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	250.000	724.96	10/13/21	895.00	...	-170.04	1 of 7 - Sale <sup>25</sup>
	215.000	623.47	10/13/21	769.70	...	-146.23	2 of 7 - Sale <sup>25</sup>
	200.000	579.97	10/13/21	716.00	...	-136.03	3 of 7 - Sale <sup>25</sup>
	100.000	289.99	10/13/21	358.00	...	-68.01	4 of 7 - Sale <sup>25</sup>
	100.000	289.99	10/13/21	358.00	...	-68.01	5 of 7 - Sale <sup>25</sup>
	50.000	144.99	10/13/21	179.00	...	-34.01	6 of 7 - Sale <sup>25</sup>
	1.000	2.90	10/13/21	3.82	...	-0.92	7 of 7 - Sale <sup>25</sup>
10/22/21	916.000	2,656.27	Various	3,279.52	...	-623.25	Total of 7 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
06/09/21	80.000	754.38	06/08/21	1,025.61	...	-271.23	Sale <sup>25</sup>
06/09/21	500.000	4,714.91	06/08/21	5,715.00	160.01 W	-840.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,469.29</b>		<b>6,740.61</b>	<b>160.01 W</b>	<b>-1,111.31</b>	

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE LOVESAC COMPANY COMMON STO CK / CUSIP: 54738L109 / Symbol:							
5 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	96.000	5,093.72	09/02/21	5,093.80	...	-0.08	1 of 5 - Sale <sup>25</sup>
	100.000	5,305.96	09/02/21	5,306.00	...	-0.04	2 of 5 - Sale <sup>25</sup>
	2.000	106.12	09/02/21	106.12	...	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	106.12	09/02/21	106.12	...	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	212.24	09/02/21	212.24	...	0.00	5 of 5 - Sale <sup>25</sup>
09/03/21	204.000	10,824.16	Various	10,824.28	...	-0.12	Total of 5 transactions
09/03/21	155.000	8,224.24	09/02/21	8,224.30	0.04 W	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>19,048.40</b>		<b>19,048.58</b>	<b>0.04 W</b>	<b>-0.14</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	37.000	643.23	01/27/21	653.05	...	-9.82	1 of 2 - Sale <sup>25</sup>
	2.000	34.76	01/27/21	35.42	...	-0.66	2 of 2 - Sale <sup>25</sup>
01/27/21	39.000	677.99	Various	688.47	...	-10.48	Total of 2 transactions
01/27/21	45.000	791.53	01/27/21	794.25	0.12 W	-2.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,469.52</b>		<b>1,482.72</b>	<b>0.12 W</b>	<b>-13.08</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	30.000	767.54	04/26/21	785.03	17.49 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	383.78	04/26/21	393.00	9.22 W	0.00	2 of 2 - Sale <sup>25</sup>
04/26/21	45.000	1,151.32	Various	1,178.03	26.71 W	0.00	Total of 2 transactions
10 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	20.000	388.60	04/26/21	529.26	...	-140.66	1 of 10 - Sale <sup>25</sup>
	5.000	97.15	04/26/21	133.91	...	-36.76	2 of 10 - Sale <sup>25</sup>
	30.000	582.89	04/27/21	736.50	...	-153.61	3 of 10 - Sale <sup>25</sup>
	10.000	194.30	04/27/21	274.75	...	-80.45	4 of 10 - Sale <sup>25</sup>
	10.000	194.30	04/27/21	270.00	...	-75.70	5 of 10 - Sale <sup>25</sup>
	5.000	97.15	04/27/21	138.07	...	-40.92	6 of 10 - Sale <sup>25</sup>
	5.000	97.15	04/27/21	137.22	...	-40.07	7 of 10 - Sale <sup>25</sup>
	31.000	602.32	04/28/21	709.90	...	-107.58	8 of 10 - Sale <sup>25</sup>
	14.000	272.01	04/28/21	320.60	...	-48.59	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	1.000	19.43	04/28/21	22.98	...	-3.55	10 of 10 - Sale <sup>25</sup>
06/04/21	131.000	2,545.30	Various	3,273.19	...	-727.89	Total of 10 transactions
	<b>Security total:</b>	<b>3,696.62</b>		<b>4,451.22</b>	<b>26.71 W</b>	<b>-727.89</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
08/11/21	9.000	3,455.98	08/11/21	3,456.00	...	-0.02	Sale <sup>25</sup>
MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
	6 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	1.000	382.68	12/23/20	382.87	...	-0.19	1 of 6 - Sale <sup>25</sup>
	1.000	382.68	12/23/20	382.87	...	-0.19	2 of 6 - Sale <sup>25</sup>
	2.000	765.35	12/23/20	763.45	...	1.90	3 of 6 - Sale <sup>25</sup>
	2.000	765.36	12/23/20	762.00	...	3.36	4 of 6 - Sale <sup>25</sup>
	0.600	229.60	12/23/20	226.02	...	3.58	5 of 6 - Sale <sup>25</sup>
	2.000	765.35	12/23/20	754.00	...	11.35	6 of 6 - Sale <sup>25</sup>
01/22/21	8.600	3,291.02	Various	3,271.21	...	19.81	Total of 6 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	7 transactions for 06/11/21. Total proceeds and cost reported to the IRS.						
	50.000	2,304.98	12/02/20	2,188.49	...	116.49	1 of 7 - Sale <sup>25</sup>
	1.000	46.10	12/04/20	41.92	...	4.18	2 of 7 - Sale <sup>25</sup>
	10.000	461.00	12/11/20	424.40	...	36.60	3 of 7 - Sale <sup>25</sup>
	10.000	461.00	12/11/20	423.80	...	37.20	4 of 7 - Sale <sup>25</sup>
	17.000	783.69	12/11/20	720.80	...	62.89	5 of 7 - Sale <sup>25</sup>
	2.000	92.20	12/24/20	91.13	...	1.07	6 of 7 - Sale <sup>25</sup>
	32.000	1,475.19	01/06/21	1,728.96	...	-253.77	7 of 7 - Sale <sup>25</sup>
06/11/21	122.000	5,624.16	Various	5,619.50	...	4.66	Total of 7 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
	3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	45.000	199.43	01/29/21	231.75	...	-32.32	1 of 3 - Sale <sup>25</sup>
	30.000	132.96	01/29/21	153.30	...	-20.34	2 of 3 - Sale <sup>25</sup>
	20.000	88.64	01/29/21	102.60	...	-13.96	3 of 3 - Sale <sup>25</sup>
02/04/21	95.000	421.03	Various	487.65	...	-66.62	Total of 3 transactions

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Robinhood Securities LLC

Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
8 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	5.000	115.05	12/01/20	138.80	23.75 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	115.05	12/01/20	137.65	22.60 W	0.00	2 of 8 - Sale <sup>25</sup>
	7.000	161.07	12/01/20	185.77	24.70 W	0.00	3 of 8 - Sale <sup>25</sup>
	28.000	644.27	12/01/20	743.09	98.82 W	0.00	4 of 8 - Sale <sup>25</sup>
	47.000	1,081.46	12/01/20	1,249.63	168.17 W	0.00	5 of 8 - Sale <sup>25</sup>
	40.000	920.39	12/01/20	1,063.51	143.12 W	0.00	6 of 8 - Sale <sup>25</sup>
	13.000	299.13	12/01/20	345.64	46.51 W	0.00	7 of 8 - Sale <sup>25</sup>
	30.000	690.29	12/07/20	857.31	139.18 W	-27.84	8 of 8 - Sale <sup>25</sup>
07/08/21	175.000	4,026.71	Various	4,721.40	666.85 W	-27.84	Total of 8 transactions
30 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	2.000	52.52	01/06/21	47.98	...	4.54	1 of 30 - Sale <sup>25</sup>
	5.000	131.30	01/06/21	120.00	...	11.30	2 of 30 - Sale <sup>25</sup>
	30.000	787.79	01/06/21	720.00	...	67.79	3 of 30 - Sale <sup>25</sup>
	40.000	1,050.39	01/06/21	958.40	...	91.99	4 of 30 - Sale <sup>25</sup>
	22.000	577.71	02/01/21	763.62	...	-185.91	5 of 30 - Sale <sup>25</sup>
	1.000	26.26	02/01/21	34.45	...	-8.19	6 of 30 - Sale <sup>25</sup>
	20.000	525.19	02/02/21	648.74	...	-123.55	7 of 30 - Sale <sup>25</sup>
	19.000	499.69	02/02/21	596.22	...	-96.53	8 of 30 - Sale <sup>25</sup>
	8.000	210.08	02/02/21	263.84	...	-53.76	9 of 30 - Sale <sup>25</sup>
	7.000	183.82	02/02/21	230.86	...	-47.04	10 of 30 - Sale <sup>25</sup>
	4.000	105.04	02/02/21	125.52	...	-20.48	11 of 30 - Sale <sup>25</sup>
	2.000	52.52	02/02/21	65.36	...	-12.84	12 of 30 - Sale <sup>25</sup>
	1.000	26.26	02/02/21	31.13	...	-4.87	13 of 30 - Sale <sup>25</sup>
	6.000	157.80	02/03/21	189.00	...	-31.20	14 of 30 - Sale <sup>25</sup>
	13.000	341.90	02/04/21	409.11	...	-67.21	15 of 30 - Sale <sup>25</sup>
	45.000	1,183.49	02/11/21	1,580.40	...	-396.91	16 of 30 - Sale <sup>25</sup>
	50.000	1,314.98	02/11/21	1,709.91	...	-394.93	17 of 30 - Sale <sup>25</sup>
	28.000	736.39	02/11/21	951.44	...	-215.05	18 of 30 - Sale <sup>25</sup>
	25.000	657.49	02/11/21	823.50	...	-166.01	19 of 30 - Sale <sup>25</sup>
	35.000	920.49	02/12/21	1,108.80	...	-188.31	20 of 30 - Sale <sup>25</sup>
	1.000	26.30	02/12/21	32.24	...	-5.94	21 of 30 - Sale <sup>25</sup>
	5.000	131.50	02/17/21	139.00	...	-7.50	22 of 30 - Sale <sup>25</sup>
	3.000	78.90	02/18/21	75.03	...	3.87	23 of 30 - Sale <sup>25</sup>

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Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	27.000	710.09	02/18/21	675.54	...	34.55	24 of 30 - Sale <sup>25</sup>
	33.000	867.89	02/18/21	825.66	...	42.23	25 of 30 - Sale <sup>25</sup>
	40.000	1,051.99	02/18/21	1,002.40	...	49.59	26 of 30 - Sale <sup>25</sup>
	30.000	788.99	02/23/21	750.00	...	38.99	27 of 30 - Sale <sup>25</sup>
	30.000	788.99	02/23/21	736.80	...	52.19	28 of 30 - Sale <sup>25</sup>
	1.000	26.30	02/25/21	25.06	...	1.24	29 of 30 - Sale <sup>25</sup>
	9.000	236.70	02/25/21	221.40	...	15.30	30 of 30 - Sale <sup>25</sup>
09/01/21	542.000	14,248.76	Various	15,861.41	...	-1,612.65	Total of 30 transactions
	6 transactions for 09/01/21. Total proceeds and cost reported to the IRS.						
	20.000	525.19	12/07/20	571.54	46.35 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	1,312.99	12/07/20	1,439.45	126.46 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	1,312.98	01/25/21	1,545.00	232.02 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	78.78	01/28/21	107.07	28.29 W	0.00	4 of 6 - Sale <sup>25</sup>
	27.000	709.01	01/28/21	963.63	254.62 W	0.00	5 of 6 - Sale <sup>25</sup>
	8.000	210.08	01/29/21	279.16	8.64 W	-60.44	6 of 6 - Sale <sup>25</sup>
09/01/21	158.000	4,149.03	Various	4,905.85	696.38 W	-60.44	Total of 6 transactions
	23 transactions for 09/02/21. Total proceeds and cost reported to the IRS.						
	5.000	134.20	02/25/21	123.00	...	11.20	1 of 23 - Sale <sup>25</sup>
	27.000	724.67	02/25/21	664.20	...	60.47	2 of 23 - Sale <sup>25</sup>
	30.000	805.19	02/25/21	737.40	...	67.79	3 of 23 - Sale <sup>25</sup>
	5.000	134.20	03/23/21	119.15	...	15.05	4 of 23 - Sale <sup>25</sup>
	8.000	214.72	04/08/21	185.20	...	29.52	5 of 23 - Sale <sup>25</sup>
	25.000	670.99	04/12/21	571.50	...	99.49	6 of 23 - Sale <sup>25</sup>
	30.000	805.19	04/12/21	685.80	...	119.39	7 of 23 - Sale <sup>25</sup>
	25.000	670.99	07/07/21	708.68	...	-37.69	8 of 23 - Sale <sup>25</sup>
	5.000	134.20	07/07/21	137.65	...	-3.45	9 of 23 - Sale <sup>25</sup>
	5.000	134.20	07/07/21	136.50	...	-2.30	10 of 23 - Sale <sup>25</sup>
	7.000	187.88	07/07/21	184.16	...	3.72	11 of 23 - Sale <sup>25</sup>
	13.000	348.92	07/07/21	342.65	...	6.27	12 of 23 - Sale <sup>25</sup>
	22.000	590.47	07/07/21	579.88	...	10.59	13 of 23 - Sale <sup>25</sup>
	25.000	670.99	07/07/21	658.95	...	12.04	14 of 23 - Sale <sup>25</sup>
	28.000	751.51	07/07/21	736.66	...	14.85	15 of 23 - Sale <sup>25</sup>
	40.000	1,073.59	07/07/21	1,054.32	...	19.27	16 of 23 - Sale <sup>25</sup>
	27.000	724.67	08/11/21	859.96	...	-135.29	17 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	3.000	80.52	08/11/21	95.55	...	-15.03	18 of 23 - Sale <sup>25</sup>
	30.000	805.19	08/11/21	811.81	...	-6.62	19 of 23 - Sale <sup>25</sup>
	20.000	536.80	08/11/21	541.21	...	-4.41	20 of 23 - Sale <sup>25</sup>
	20.000	536.79	08/11/21	494.75	...	42.04	21 of 23 - Sale <sup>25</sup>
	50.000	1,341.99	08/11/21	1,247.46	...	94.53	22 of 23 - Sale <sup>25</sup>
	1.000	26.84	08/19/21	33.53	...	-6.69	23 of 23 - Sale <sup>25</sup>
09/02/21	451.000	12,104.71	Various	11,709.97	...	394.74	Total of 23 transactions
	<b>Security total:</b>	<b>34,529.21</b>		<b>37,198.63</b>	<b>1,363.23 W</b>	<b>-1,306.19</b>	
PELOTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	8.000	1,185.97	01/26/21	1,191.31	...	-5.34	1 of 3 - Sale <sup>25</sup>
	8.000	1,185.98	01/26/21	1,189.68	...	-3.70	2 of 3 - Sale <sup>25</sup>
	2.000	296.49	01/26/21	297.45	...	-0.96	3 of 3 - Sale <sup>25</sup>
01/27/21	18.000	2,668.44	Various	2,678.44	...	-10.00	Total of 3 transactions
01/27/21	10.000	1,488.56	01/26/21	1,488.70	0.14 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,157.00</b>		<b>4,167.14</b>	<b>0.14 W</b>	<b>-10.00</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
	4 transactions for 03/16/21. Total proceeds and cost reported to the IRS.						
	4.000	154.20	03/16/21	153.20	...	1.00	1 of 4 - Sale <sup>25</sup>
	45.000	1,734.74	03/16/21	1,732.05	...	2.69	2 of 4 - Sale <sup>25</sup>
	12.000	462.59	03/16/21	459.24	...	3.35	3 of 4 - Sale <sup>25</sup>
	29.000	1,117.94	03/16/21	1,112.44	...	5.50	4 of 4 - Sale <sup>25</sup>
03/16/21	90.000	3,469.47	Various	3,456.93	...	12.54	Total of 4 transactions
	6 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	25.000	799.49	03/17/21	925.00	...	-125.51	1 of 6 - Sale <sup>25</sup>
	30.000	959.39	03/17/21	1,080.00	...	-120.61	2 of 6 - Sale <sup>25</sup>
	15.000	479.70	03/17/21	553.50	...	-73.80	3 of 6 - Sale <sup>25</sup>
	15.000	479.69	03/17/21	540.00	...	-60.31	4 of 6 - Sale <sup>25</sup>
	10.000	319.80	03/17/21	370.70	...	-50.90	5 of 6 - Sale <sup>25</sup>
	3.000	95.94	03/17/21	108.00	...	-12.06	6 of 6 - Sale <sup>25</sup>
06/25/21	98.000	3,134.01	Various	3,577.20	...	-443.19	Total of 6 transactions

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Robinhood Securities LLC

Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
<b>Security total:</b>		<b>6,603.48</b>		<b>7,034.13</b>	...	<b>-430.65</b>	
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
7 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	450.000	1,574.94	10/25/21	3,294.00	1,719.06 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	349.98	10/25/21	733.00	383.02 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	174.99	10/25/21	366.50	191.51 W	0.00	3 of 7 - Sale <sup>25</sup>
	160.000	559.98	10/25/21	1,176.00	616.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	6.000	21.00	10/25/21	42.42	21.42 W	0.00	5 of 7 - Sale <sup>25</sup>
	215.000	752.47	10/25/21	1,526.50	774.03 W	0.00	6 of 7 - Sale <sup>25</sup>
	19.000	66.50	10/25/21	207.48	140.98 W	0.00	7 of 7 - Sale <sup>25</sup>
10/25/21	1,000.000	3,499.86	Various	7,345.90	3,846.04 W	0.00	Total of 7 transactions
30 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	188.000	518.85	10/25/21	2,346.29	...	-1,827.44	1 of 30 - Sale <sup>25</sup>
	100.000	275.99	10/25/21	1,224.02	...	-948.03	2 of 30 - Sale <sup>25</sup>
	85.000	234.59	10/25/21	1,061.68	...	-827.09	3 of 30 - Sale <sup>25</sup>
	119.000	328.42	10/25/21	1,068.65	...	-740.23	4 of 30 - Sale <sup>25</sup>
	53.000	146.27	10/25/21	648.21	...	-501.94	5 of 30 - Sale <sup>25</sup>
	75.000	206.99	10/25/21	692.27	...	-485.28	6 of 30 - Sale <sup>25</sup>
	50.000	137.99	10/25/21	612.02	...	-474.03	7 of 30 - Sale <sup>25</sup>
	60.000	165.59	10/25/21	553.82	...	-388.23	8 of 30 - Sale <sup>25</sup>
	225.000	620.97	10/25/21	992.29	...	-371.32	9 of 30 - Sale <sup>25</sup>
	58.000	160.07	10/25/21	464.60	...	-304.53	10 of 30 - Sale <sup>25</sup>
	27.000	74.52	10/25/21	336.97	...	-262.45	11 of 30 - Sale <sup>25</sup>
	113.000	311.86	10/25/21	498.35	...	-186.49	12 of 30 - Sale <sup>25</sup>
	19.000	52.44	10/25/21	224.77	...	-172.33	13 of 30 - Sale <sup>25</sup>
	25.000	69.00	10/25/21	230.75	...	-161.75	14 of 30 - Sale <sup>25</sup>
	25.000	69.00	10/25/21	229.50	...	-160.50	15 of 30 - Sale <sup>25</sup>
	96.000	264.95	10/25/21	423.38	...	-158.43	16 of 30 - Sale <sup>25</sup>
	455.000	1,255.73	10/25/21	1,410.50	...	-154.77	17 of 30 - Sale <sup>25</sup>
	20.000	55.20	10/25/21	178.76	...	-123.56	18 of 30 - Sale <sup>25</sup>
	13.000	35.88	10/25/21	158.99	...	-123.11	19 of 30 - Sale <sup>25</sup>
	63.000	173.87	10/25/21	277.84	...	-103.97	20 of 30 - Sale <sup>25</sup>
	300.000	827.96	10/25/21	930.00	...	-102.04	21 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	15.000	41.40	10/25/21	138.15	...	-96.75	22 of 30 - Sale <sup>25</sup>
	15.000	41.40	10/25/21	137.69	...	-96.29	23 of 30 - Sale <sup>25</sup>
	18.000	49.68	10/25/21	144.18	...	-94.50	24 of 30 - Sale <sup>25</sup>
	198.000	546.45	10/25/21	613.80	...	-67.35	25 of 30 - Sale <sup>25</sup>
	10.000	27.60	10/25/21	92.80	...	-65.20	26 of 30 - Sale <sup>25</sup>
	188.000	518.85	10/25/21	582.80	...	-63.95	27 of 30 - Sale <sup>25</sup>
	6.000	16.56	10/25/21	53.70	...	-37.14	28 of 30 - Sale <sup>25</sup>
	22.000	60.72	10/25/21	97.02	...	-36.30	29 of 30 - Sale <sup>25</sup>
	100.000	275.99	10/25/21	310.00	...	-34.01	30 of 30 - Sale <sup>25</sup>
10/26/21	2,741.000	7,564.79	Various	16,733.80	...	-9,169.01	Total of 30 transactions
	19 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	53.000	146.27	10/25/21	578.77	432.50 W	0.00	1 of 19 - Sale <sup>25</sup>
	13.000	35.88	10/25/21	141.96	106.08 W	0.00	2 of 19 - Sale <sup>25</sup>
	100.000	275.99	10/25/21	1,093.01	817.02 W	0.00	3 of 19 - Sale <sup>25</sup>
	50.000	137.99	10/25/21	546.51	408.52 W	0.00	4 of 19 - Sale <sup>25</sup>
	85.000	234.59	10/25/21	950.32	715.73 W	0.00	5 of 19 - Sale <sup>25</sup>
	215.000	593.37	10/25/21	2,401.58	1,808.21 W	0.00	6 of 19 - Sale <sup>25</sup>
	15.000	41.40	10/25/21	118.04	76.64 W	0.00	7 of 19 - Sale <sup>25</sup>
	25.000	69.00	10/25/21	196.75	127.75 W	0.00	8 of 19 - Sale <sup>25</sup>
	10.000	27.60	10/25/21	79.70	52.10 W	0.00	9 of 19 - Sale <sup>25</sup>
	15.000	41.40	10/25/21	118.50	77.10 W	0.00	10 of 19 - Sale <sup>25</sup>
	25.000	69.00	10/25/21	198.00	129.00 W	0.00	11 of 19 - Sale <sup>25</sup>
	60.000	165.59	10/25/21	475.21	309.62 W	0.00	12 of 19 - Sale <sup>25</sup>
	6.000	16.56	10/25/21	45.84	29.28 W	0.00	13 of 19 - Sale <sup>25</sup>
	19.000	52.44	10/25/21	218.31	165.87 W	0.00	14 of 19 - Sale <sup>25</sup>
	75.000	206.99	10/25/21	594.01	387.02 W	0.00	15 of 19 - Sale <sup>25</sup>
	215.000	593.37	10/25/21	1,649.08	1,055.71 W	0.00	16 of 19 - Sale <sup>25</sup>
	85.000	234.59	10/25/21	345.95	111.36 W	0.00	17 of 19 - Sale <sup>25</sup>
	338.000	932.82	10/25/21	1,375.66	442.84 W	0.00	18 of 19 - Sale <sup>25</sup>
	96.000	264.94	10/25/21	390.72	125.78 W	0.00	19 of 19 - Sale <sup>25</sup>
10/26/21	1,500.000	4,139.79	Various	11,517.92	7,378.13 W	0.00	Total of 19 transactions
	<b>Security total:</b>	<b>15,204.44</b>		<b>35,597.62</b>	<b>11,224.17 W</b>	<b>-9,169.01</b>	

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Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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REPLIMUNE GROUP, INC. COMMON S TOCK / CUSIP: 76029N106 / Symbol:							
9 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	10.000	420.39	12/03/20	539.33	...	-118.94	1 of 9 - Sale <sup>25</sup>
	10.000	420.39	12/03/20	525.79	...	-105.40	2 of 9 - Sale <sup>25</sup>
	5.000	210.15	12/03/20	229.35	...	-19.20	3 of 9 - Sale <sup>25</sup>
	5.000	210.19	12/03/20	229.35	...	-19.16	4 of 9 - Sale <sup>25</sup>
	7.000	294.20	12/03/20	310.80	...	-16.60	5 of 9 - Sale <sup>25</sup>
	15.000	630.43	12/04/20	668.85	...	-38.42	6 of 9 - Sale <sup>25</sup>
	13.000	546.38	12/04/20	579.67	...	-33.29	7 of 9 - Sale <sup>25</sup>
	5.000	210.09	12/04/20	222.95	...	-12.86	8 of 9 - Sale <sup>25</sup>
	10.000	420.19	12/10/20	549.91	...	-129.72	9 of 9 - Sale <sup>25</sup>
01/08/21	80.000	3,362.41	Various	3,856.00	...	-493.59	Total of 9 transactions
01/08/21	10.000	420.39	12/03/20	545.70	125.31 W	0.00	Sale <sup>25</sup>
02/11/21	25.000	945.97	02/10/21	960.00	...	-14.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,728.77</b>		<b>5,361.70</b>	<b>125.31 W</b>	<b>-507.62</b>	
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol:							
7 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	554.000	625.95	10/25/21	864.31	...	-238.36	1 of 7 - Sale <sup>25</sup>
	542.000	612.39	10/25/21	726.28	...	-113.89	2 of 7 - Sale <sup>25</sup>
	225.000	254.22	10/25/21	351.03	...	-96.81	3 of 7 - Sale <sup>25</sup>
	160.000	180.78	10/25/21	249.62	...	-68.84	4 of 7 - Sale <sup>25</sup>
	46.000	51.97	10/25/21	71.77	...	-19.80	5 of 7 - Sale <sup>25</sup>
	58.000	65.53	10/25/21	77.72	...	-12.19	6 of 7 - Sale <sup>25</sup>
	15.000	16.95	10/25/21	25.65	...	-8.70	7 of 7 - Sale <sup>25</sup>
10/26/21	1,600.000	1,807.79	Various	2,366.38	...	-558.59	Total of 7 transactions
5 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	15.000	16.95	10/25/21	19.20	2.25 W	0.00	1 of 5 - Sale <sup>25</sup>
	46.000	51.97	10/25/21	62.10	10.13 W	0.00	2 of 5 - Sale <sup>25</sup>
	15.000	16.95	10/25/21	22.50	5.55 W	0.00	3 of 5 - Sale <sup>25</sup>
	385.000	435.00	10/25/21	519.75	84.75 W	0.00	4 of 5 - Sale <sup>25</sup>
	554.000	625.95	10/25/21	747.90	121.95 W	0.00	5 of 5 - Sale <sup>25</sup>
10/26/21	1,015.000	1,146.82	Various	1,371.45	224.63 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>2,954.61</b>		<b>3,737.83</b>	<b>224.63 W</b>	<b>-558.59</b>	

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Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SAB BIOTHERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78397T103 / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	3.000	22.98	10/22/21	25.95	...	-2.97	1 of 2 - Sale <sup>25</sup>
	1.000	7.66	10/22/21	8.65	...	-0.99	2 of 2 - Sale <sup>25</sup>
10/25/21	4.000	30.64	Various	34.60	...	-3.96	Total of 2 transactions
SUMO LOGIC, INC. COMMON STOCK / CUSIP: 86646P103 / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	42.34	06/10/21	42.98	...	-0.64	1 of 3 - Sale <sup>25</sup>
	112.000	2,371.01	06/18/21	2,396.80	...	-25.79	2 of 3 - Sale <sup>25</sup>
	79.000	1,672.41	06/18/21	1,690.60	...	-18.19	3 of 3 - Sale <sup>25</sup>
06/18/21	193.000	4,085.76	Various	4,130.38	...	-44.62	Total of 3 transactions
SUNPOWER CORPORATION COMMON STOCK / CUSIP: 867652406 / Symbol:							
01/26/21	60.000	3,013.72	01/25/21	2,674.80	...	338.92	Sale <sup>25</sup>
02/08/21	29.000	1,316.57	02/02/21	1,290.59	...	25.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,330.29</b>		<b>3,965.39</b>	...	<b>364.90</b>	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
02/05/21	50.000	1,096.97	01/26/21	1,097.00	...	-0.03	Sale <sup>25</sup>
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	100.000	79.15	01/26/21	91.00	...	-11.85	1 of 3 - Sale <sup>25</sup>
	100.000	79.16	01/26/21	91.00	...	-11.84	2 of 3 - Sale <sup>25</sup>
	30.000	23.75	01/26/21	27.30	...	-3.55	3 of 3 - Sale <sup>25</sup>
02/03/21	230.000	182.06	Various	209.30	...	-27.24	Total of 3 transactions
TORCHLIGHT ENERGY RESOURCES, INC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
03/16/21	2.000	4.65	03/15/21	5.04	0.39 W	0.00	Sale <sup>25</sup>
11 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	198.000	309.15	03/15/21	498.96	...	-189.81	1 of 11 - Sale <sup>25</sup>
	198.000	309.15	03/15/21	498.96	...	-189.81	2 of 11 - Sale <sup>25</sup>
	169.000	263.62	03/15/21	425.88	...	-162.26	3 of 11 - Sale <sup>25</sup>
	131.000	204.54	03/15/21	330.12	...	-125.58	4 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: (cont'd)							
	106.000	165.50	03/15/21	267.12	...	-101.62	5 of 11 - Sale <sup>25</sup>
	100.000	155.98	03/15/21	250.00	...	-94.02	6 of 11 - Sale <sup>25</sup>
	59.000	92.03	03/15/21	148.68	...	-56.65	7 of 11 - Sale <sup>25</sup>
	31.000	48.36	03/15/21	78.12	...	-29.76	8 of 11 - Sale <sup>25</sup>
	30.000	46.80	03/15/21	75.00	...	-28.20	9 of 11 - Sale <sup>25</sup>
	10.000	15.60	03/15/21	25.20	...	-9.60	10 of 11 - Sale <sup>25</sup>
	2.000	3.12	03/15/21	5.43	...	-2.31	11 of 11 - Sale <sup>25</sup>
04/15/21	1,034.000	1,613.85	Various	2,603.47	...	-989.62	Total of 11 transactions
	<b>Security total:</b>	<b>1,618.50</b>		<b>2,608.51</b>	<b>0.39 W</b>	<b>-989.62</b>	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
	3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	167.000	294.74	01/12/21	312.11	...	-17.37	1 of 3 - Sale <sup>25</sup>
	100.000	176.49	01/12/21	186.89	...	-10.40	2 of 3 - Sale <sup>25</sup>
	0.537	0.95	01/12/21	1.00	...	-0.05	3 of 3 - Sale <sup>25</sup>
01/13/21	267.537	472.18	Various	500.00	...	-27.82	Total of 3 transactions
UR-ENERGY INC. / CUSIP: 91688R108 / Symbol:							
	5 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	10.000	10.31	02/08/21	10.00	...	0.31	1 of 5 - Sale <sup>25</sup>
	50.000	51.55	02/08/21	50.00	...	1.55	2 of 5 - Sale <sup>25</sup>
	145.000	149.49	02/08/21	145.00	...	4.49	3 of 5 - Sale <sup>25</sup>
	295.000	304.14	02/08/21	295.00	...	9.14	4 of 5 - Sale <sup>25</sup>
	500.000	515.48	02/09/21	525.00	...	-9.52	5 of 5 - Sale <sup>25</sup>
03/08/21	1,000.000	1,030.97	Various	1,025.00	...	5.97	Total of 5 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
07/07/21	85.000	3,784.17	07/07/21	3,757.00	...	27.17	Sale <sup>25</sup>
	13 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	150.000	3,660.16	07/12/21	6,139.50	...	-2,479.34	1 of 13 - Sale <sup>25</sup>
	100.000	2,439.97	07/12/21	4,030.00	...	-1,590.03	2 of 13 - Sale <sup>25</sup>
	85.000	2,073.98	07/12/21	3,429.62	...	-1,355.64	3 of 13 - Sale <sup>25</sup>
	50.000	1,220.05	07/12/21	2,085.25	...	-865.20	4 of 13 - Sale <sup>25</sup>
	49.000	1,195.59	07/12/21	2,005.57	...	-809.98	5 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	30.000	731.99	07/12/21	1,224.00	...	-492.01	6 of 13 - Sale <sup>25</sup>
	27.000	658.79	07/12/21	1,090.53	...	-431.74	7 of 13 - Sale <sup>25</sup>
	13.000	317.20	07/12/21	525.07	...	-207.87	8 of 13 - Sale <sup>25</sup>
	2.000	48.80	07/12/21	82.10	...	-33.30	9 of 13 - Sale <sup>25</sup>
	2.000	48.80	08/06/21	98.23	...	-49.43	10 of 13 - Sale <sup>25</sup>
	6.000	146.40	08/11/21	266.41	...	-120.01	11 of 13 - Sale <sup>25</sup>
	4.000	97.60	08/11/21	177.17	...	-79.57	12 of 13 - Sale <sup>25</sup>
	38.000	927.19	08/16/21	1,642.22	...	-715.03	13 of 13 - Sale <sup>25</sup>
08/20/21	556.000	13,566.52	Various	22,795.67	...	-9,229.15	Total of 13 transactions
08/20/21	200.000	4,880.21	07/12/21	8,363.46	870.82 W	-2,612.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>22,230.90</b>		<b>34,916.13</b>	<b>870.82 W</b>	<b>-11,814.41</b>	
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol:							
02/18/21	500.000	1,190.46	02/17/21	1,019.45	...	171.01	Sale <sup>25</sup>
WESTPORT FUEL SYSTEMS INC COMM ON SHARES / CUSIP: 960908309 / Symbol:							
5 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	100.000	873.98	03/16/21	880.02	...	-6.04	1 of 5 - Sale <sup>25</sup>
	200.000	1,747.96	03/16/21	1,754.00	...	-6.04	2 of 5 - Sale <sup>25</sup>
	5.000	43.70	03/16/21	43.85	...	-0.15	3 of 5 - Sale <sup>25</sup>
	4.000	34.96	03/16/21	35.08	...	-0.12	4 of 5 - Sale <sup>25</sup>
	1.000	8.74	03/16/21	8.77	...	-0.03	5 of 5 - Sale <sup>25</sup>
03/16/21	310.000	2,709.34	Various	2,721.72	...	-12.38	Total of 5 transactions
03/16/21	100.000	873.98	03/16/21	877.00	3.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,583.32</b>		<b>3,598.72</b>	<b>3.02 W</b>	<b>-12.38</b>	
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	400.000	2,020.02	12/08/20	2,239.80	...	-219.78	1 of 3 - Sale <sup>25</sup>
	100.000	505.01	12/08/20	560.00	...	-54.99	2 of 3 - Sale <sup>25</sup>
	100.000	505.01	12/08/20	559.98	...	-54.97	3 of 3 - Sale <sup>25</sup>
01/11/21	600.000	3,030.04	Various	3,359.78	...	-329.74	Total of 3 transactions

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol: (cont'd)							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	300.000	1,511.92	12/08/20	1,622.88	...	-110.96	1 of 2 - Sale <sup>25</sup>
	100.000	503.98	12/08/20	560.00	...	-56.02	2 of 2 - Sale <sup>25</sup>
01/12/21	400.000	2,015.90	Various	2,182.88	...	-166.98	Total of 2 transactions
	<b>Security total:</b>	<b>5,045.94</b>		<b>5,542.66</b>	...	<b>-496.72</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	14.46	06/02/21	12.91	...	1.55	1 of 5 - Sale <sup>25</sup>
	29.000	419.33	06/02/21	374.39	...	44.94	2 of 5 - Sale <sup>25</sup>
	70.000	1,012.18	06/02/21	903.70	...	108.48	3 of 5 - Sale <sup>25</sup>
	20.000	289.20	06/03/21	281.00	...	8.20	4 of 5 - Sale <sup>25</sup>
	30.000	433.79	06/03/21	421.50	...	12.29	5 of 5 - Sale <sup>25</sup>
06/03/21	150.000	2,168.96	Various	1,993.50	...	175.46	Total of 5 transactions
06/07/21	60.000	866.38	06/04/21	852.00	...	14.38	Sale <sup>25</sup>
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	10.000	149.70	06/04/21	142.00	...	7.70	1 of 2 - Sale <sup>25</sup>
	60.000	898.18	06/04/21	852.00	...	46.18	2 of 2 - Sale <sup>25</sup>
06/08/21	70.000	1,047.88	Various	994.00	...	53.88	Total of 2 transactions
3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	600.000	5,681.90	08/24/21	5,747.40	...	-65.50	1 of 3 - Sale <sup>25</sup>
	300.000	2,840.94	08/24/21	2,873.70	...	-32.76	2 of 3 - Sale <sup>25</sup>
	200.000	1,893.97	08/24/21	1,915.80	...	-21.83	3 of 3 - Sale <sup>25</sup>
08/24/21	1,100.000	10,416.81	Various	10,536.90	...	-120.09	Total of 3 transactions
	<b>Security total:</b>	<b>14,500.03</b>		<b>14,376.40</b>	...	<b>123.63</b>	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
06/09/21	10.000	399.50	12/01/20	546.70	...	-147.20	Sale <sup>25</sup>
9 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	31.000	1,398.71	12/01/20	1,694.77	...	-296.06	1 of 9 - Sale <sup>25</sup>
	2.000	90.24	12/01/20	109.22	...	-18.98	2 of 9 - Sale <sup>25</sup>
	0.500	22.56	12/01/20	27.33	...	-4.77	3 of 9 - Sale <sup>25</sup>
	10.000	451.19	12/10/20	448.70	...	2.49	4 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	1.000	45.12	12/24/20	41.92	...	3.20	5 of 9 - Sale <sup>25</sup>
	0.500	22.57	03/15/21	17.56	...	5.01	6 of 9 - Sale <sup>25</sup>
	1.000	45.12	03/15/21	34.65	...	10.47	7 of 9 - Sale <sup>25</sup>
	9.500	428.64	03/15/21	333.74	...	94.90	8 of 9 - Sale <sup>25</sup>
	35.000	1,579.18	03/15/21	1,229.55	...	349.63	9 of 9 - Sale <sup>25</sup>
06/18/21	90.500	4,083.33	Various	3,937.44	...	145.89	Total of 9 transactions
	<b>Security total:</b>	<b>4,482.83</b>		<b>4,484.14</b>	...	<b>-1.31</b>	
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol:							
7 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	8.000	10.40	01/20/21	10.16	...	0.24	1 of 7 - Sale <sup>25</sup>
	200.000	259.98	01/20/21	254.00	...	5.98	2 of 7 - Sale <sup>25</sup>
	200.000	259.98	01/20/21	254.00	...	5.98	3 of 7 - Sale <sup>25</sup>
	58.000	75.39	01/21/21	61.48	...	13.91	4 of 7 - Sale <sup>25</sup>
	192.000	249.58	01/21/21	203.52	...	46.06	5 of 7 - Sale <sup>25</sup>
	40.000	52.00	01/22/21	40.40	...	11.60	6 of 7 - Sale <sup>25</sup>
	142.000	184.59	01/22/21	143.42	...	41.17	7 of 7 - Sale <sup>25</sup>
03/16/21	840.000	1,091.92	Various	966.98	...	124.94	Total of 7 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
03/23/21	44.000	84.04	03/16/21	102.74	...	-18.70	Sale <sup>25</sup>
ALTIMETER GROWTH CORP. CLASS A ORDINARY SHARES / CUSIP: G0370L108 / Symbol:							
20 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	500.000	5,604.91	10/22/21	5,730.00	125.09 W	0.00	1 of 20 - Sale <sup>25</sup>
	200.000	2,229.96	10/22/21	2,292.00	62.04 W	0.00	2 of 20 - Sale <sup>25</sup>
	97.000	1,081.53	10/22/21	1,111.62	30.09 W	0.00	3 of 20 - Sale <sup>25</sup>
	203.000	2,263.41	10/22/21	2,326.38	62.97 W	0.00	4 of 20 - Sale <sup>25</sup>
	50.000	561.99	10/22/21	585.51	23.52 W	0.00	5 of 20 - Sale <sup>25</sup>
	102.000	1,146.46	10/22/21	1,194.44	47.98 W	0.00	6 of 20 - Sale <sup>25</sup>
	50.000	561.99	10/22/21	597.02	35.03 W	0.00	7 of 20 - Sale <sup>25</sup>
	33.000	370.91	10/22/21	386.77	15.86 W	0.00	8 of 20 - Sale <sup>25</sup>
	100.000	1,123.98	10/22/21	1,194.04	70.06 W	0.00	9 of 20 - Sale <sup>25</sup>
	2.000	22.48	10/22/21	23.88	1.40 W	0.00	10 of 20 - Sale <sup>25</sup>

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Account 708620067

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMETER GROWTH CORP. CLASS A ORDINARY SHARES / CUSIP: G0370L108 / Symbol: (cont'd)							
	8.000	89.92	10/22/21	94.24	4.32 W	0.00	11 of 20 - Sale <sup>25</sup>
	315.000	3,540.54	10/22/21	3,691.85	151.31 W	0.00	12 of 20 - Sale <sup>25</sup>
	100.000	1,151.98	10/22/21	1,178.02	26.04 W	0.00	13 of 20 - Sale <sup>25</sup>
	8.000	92.16	10/22/21	94.24	2.08 W	0.00	14 of 20 - Sale <sup>25</sup>
	92.000	1,059.82	10/22/21	1,083.78	23.96 W	0.00	15 of 20 - Sale <sup>25</sup>
	89.000	1,025.26	10/22/21	1,048.44	23.18 W	0.00	16 of 20 - Sale <sup>25</sup>
	11.000	126.72	10/22/21	129.58	2.86 W	0.00	17 of 20 - Sale <sup>25</sup>
	100.000	1,151.98	10/22/21	1,178.02	26.04 W	0.00	18 of 20 - Sale <sup>25</sup>
	92.000	1,059.82	10/22/21	1,083.78	23.96 W	0.00	19 of 20 - Sale <sup>25</sup>
	8.000	92.16	10/22/21	97.28	5.12 W	0.00	20 of 20 - Sale <sup>25</sup>
10/25/21	2,160.000	24,357.98	Various	25,120.89	762.91 W	0.00	Total of 20 transactions
	6 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	2.000	24.72	10/22/21	24.32	...	0.40	1 of 6 - Sale <sup>25</sup>
	42.000	519.11	10/22/21	510.75	...	8.36	2 of 6 - Sale <sup>25</sup>
	23.000	284.28	10/22/21	274.63	...	9.65	3 of 6 - Sale <sup>25</sup>
	33.000	407.87	10/22/21	394.04	...	13.83	4 of 6 - Sale <sup>25</sup>
	100.000	1,235.98	10/22/21	1,216.06	...	19.92	5 of 6 - Sale <sup>25</sup>
	200.000	2,483.96	10/22/21	2,388.07	...	95.89	6 of 6 - Sale <sup>25</sup>
10/26/21	400.000	4,955.92	Various	4,807.87	...	148.05	Total of 6 transactions
	2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	8.000	100.88	10/22/21	96.00	...	4.88	1 of 2 - Sale <sup>25</sup>
	92.000	1,160.10	10/22/21	1,098.51	...	61.59	2 of 2 - Sale <sup>25</sup>
10/27/21	100.000	1,260.98	Various	1,194.51	...	66.47	Total of 2 transactions
	16 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	3.000	46.80	10/22/21	34.38	...	12.42	1 of 16 - Sale <sup>25</sup>
	8.000	124.80	10/22/21	96.80	...	28.00	2 of 16 - Sale <sup>25</sup>
	8.000	124.80	10/22/21	93.76	...	31.04	3 of 16 - Sale <sup>25</sup>
	11.000	171.60	10/22/21	128.92	...	42.68	4 of 16 - Sale <sup>25</sup>
	14.000	218.40	10/22/21	164.09	...	54.31	5 of 16 - Sale <sup>25</sup>
	20.000	312.00	10/22/21	234.41	...	77.59	6 of 16 - Sale <sup>25</sup>
	30.000	468.00	10/22/21	343.80	...	124.20	7 of 16 - Sale <sup>25</sup>
	36.000	561.59	10/22/21	412.56	...	149.03	8 of 16 - Sale <sup>25</sup>
	40.000	623.99	10/22/21	468.82	...	155.17	9 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 708620067

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMETER GROWTH CORP. CLASS A ORDINARY SHARES / CUSIP: G0370L108 / Symbol: (cont'd)							
	50.000	779.99	10/22/21	573.00	...	206.99	10 of 16 - Sale <sup>25</sup>
	55.000	857.98	10/22/21	644.62	...	213.36	11 of 16 - Sale <sup>25</sup>
	60.000	935.99	10/22/21	703.22	...	232.77	12 of 16 - Sale <sup>25</sup>
	92.000	1,435.18	10/22/21	1,078.28	...	356.90	13 of 16 - Sale <sup>25</sup>
	92.000	1,435.18	10/22/21	1,078.28	...	356.90	14 of 16 - Sale <sup>25</sup>
	100.000	1,559.98	10/22/21	1,172.04	...	387.94	15 of 16 - Sale <sup>25</sup>
	222.000	3,463.15	10/22/21	2,544.12	...	919.03	16 of 16 - Sale <sup>25</sup>
11/15/21	841.000	13,119.43	Various	9,771.10	...	3,348.33	Total of 16 transactions
	<b>Security total:</b>	<b>43,694.31</b>		<b>40,894.37</b>	<b>762.91 W</b>	<b>3,562.85</b>	
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol:							
	2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1,162.000	723.20	10/26/21	697.20	...	26.00	1 of 2 - Sale <sup>25</sup>
	2,838.000	1,766.30	10/26/21	1,702.80	...	63.50	2 of 2 - Sale <sup>25</sup>
11/05/21	4,000.000	2,489.50	Various	2,400.00	...	89.50	Total of 2 transactions
	20 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	15.000	9.75	10/26/21	9.60	...	0.15	1 of 20 - Sale <sup>25</sup>
	195.000	126.73	10/26/21	115.05	...	11.68	2 of 20 - Sale <sup>25</sup>
	342.000	222.26	10/26/21	208.62	...	13.64	3 of 20 - Sale <sup>25</sup>
	358.000	232.66	10/26/21	218.38	...	14.28	4 of 20 - Sale <sup>25</sup>
	120.000	77.99	10/26/21	63.60	...	14.39	5 of 20 - Sale <sup>25</sup>
	600.000	389.93	10/26/21	366.00	...	23.93	6 of 20 - Sale <sup>25</sup>
	1,200.000	779.85	10/26/21	732.00	...	47.85	7 of 20 - Sale <sup>25</sup>
	1,500.000	974.81	10/26/21	915.00	...	59.81	8 of 20 - Sale <sup>25</sup>
	1,000.000	649.88	10/26/21	590.00	...	59.88	9 of 20 - Sale <sup>25</sup>
	2,800.000	1,819.66	10/26/21	1,680.00	...	139.66	10 of 20 - Sale <sup>25</sup>
	4,000.000	2,599.50	10/26/21	2,400.00	...	199.50	11 of 20 - Sale <sup>25</sup>
	18.000	11.70	10/27/21	8.28	...	3.42	12 of 20 - Sale <sup>25</sup>
	160.000	103.98	10/27/21	93.74	...	10.24	13 of 20 - Sale <sup>25</sup>
	2,000.000	1,299.75	10/27/21	1,160.00	...	139.75	14 of 20 - Sale <sup>25</sup>
	300.000	194.96	11/04/21	150.00	...	44.96	15 of 20 - Sale <sup>25</sup>
	500.000	324.94	11/04/21	250.00	...	74.94	16 of 20 - Sale <sup>25</sup>
	500.000	324.94	11/04/21	250.00	...	74.94	17 of 20 - Sale <sup>25</sup>
	700.000	454.91	11/04/21	350.00	...	104.91	18 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 708620067

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
	4,000.000	2,599.51	11/04/21	2,000.00	...	599.51	19 of 20 - Sale <sup>25</sup>
	1,200.000	779.85	11/08/21	780.00	...	-0.15	20 of 20 - Sale <sup>25</sup>
11/09/21	21,508.000	13,977.56	Various	12,340.27	...	1,637.29	Total of 20 transactions
	<b>Security total:</b>	<b>16,467.06</b>		<b>14,740.27</b>	...	<b>1,726.79</b>	
JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
	3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.						
	1.000	47.60	03/31/21	44.30	...	3.30	1 of 3 - Sale <sup>25</sup>
	1.000	47.60	03/31/21	44.30	...	3.30	2 of 3 - Sale <sup>25</sup>
	2.000	95.20	03/31/21	88.80	...	6.40	3 of 3 - Sale <sup>25</sup>
04/07/21	4.000	190.40	Various	177.40	...	13.00	Total of 3 transactions
	4 transactions for 08/11/21. Total proceeds and cost reported to the IRS.						
	37.000	1,384.16	08/05/21	1,572.50	...	-188.34	1 of 4 - Sale <sup>25</sup>
	31.000	1,159.70	08/05/21	1,331.45	...	-171.75	2 of 4 - Sale <sup>25</sup>
	20.000	748.19	08/05/21	876.00	...	-127.81	3 of 4 - Sale <sup>25</sup>
	9.000	336.69	08/05/21	387.00	...	-50.31	4 of 4 - Sale <sup>25</sup>
08/11/21	97.000	3,628.74	Various	4,166.95	...	-538.21	Total of 4 transactions
	<b>Security total:</b>	<b>3,819.14</b>		<b>4,344.35</b>	...	<b>-525.21</b>	
<b>Totals :</b>		<b>776,260.55</b>		<b>808,643.09</b>	<b>19,615.78 W</b>	<b>-12,766.76</b>	

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Robinhood Securities LLC

Account 708620067

**Fees and Expenses****2021**

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/03/21	-5.00	Margin interest paid	
		03/05/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/04/21	-5.00	Margin interest paid	
		06/03/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/01/21	-5.00	Margin interest paid	
		10/01/21	-5.00	Margin interest paid	
		11/01/21	-5.00	Margin interest paid	
		11/30/21	-5.00	Margin interest paid	
		12/30/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 708620067

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BIG CYPRESS ACQUISITION CORP. COMMON STOCK	089115109	10/22/21	-4.00	0.00	Exchange	
SAB BIOTHERAPEUTICS, INC. COMM ON STOCK	78397T103	10/22/21	4.00	0.00	Exchange	
ALTIMETER GROWTH CORP. CLASS A ORDINARY SHARES	G0370L108	12/02/21	-4,204.00	0.00	Exchange	
GRAB HOLDINGS LIMITED CLASS A ORDINARY SHARES	G4124C109	12/01/21	4,204.00	0.00	Exchange	

Robinhood Securities LLC

2021 End Notes for Account 708620067

25 Tax lot closed on a first in first out basis.

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# Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

## Keep tax documents for your records.

### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

### Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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