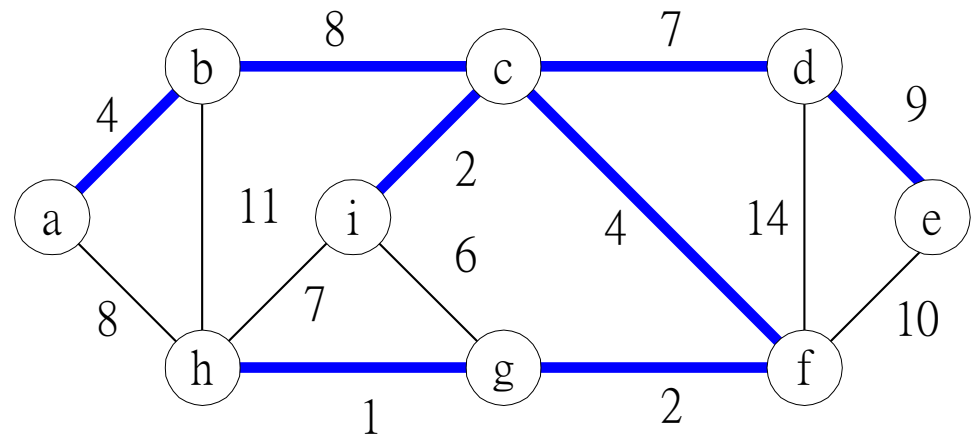




23. Minimum spanning tree

Yu-Shuen Wang, CS, NCTU

Let $G=(V,E)$ be a connected, undirected graph. For each edge $(u,v) \in E$, we have a weight $w(u,v)$ specifying the cost to connect u and v . We wish to find an acyclic subset $T \subseteq E$ that connects all of the vertices and whose total weight $w(T) = \sum_{(u,v) \in T} w(u,v)$ is minimized. Since T is acyclic and connects all of the vertices, it must form a tree, which we call a *spanning tree*. We call the problem of determine the tree T the *minimum spanning tree problem*.

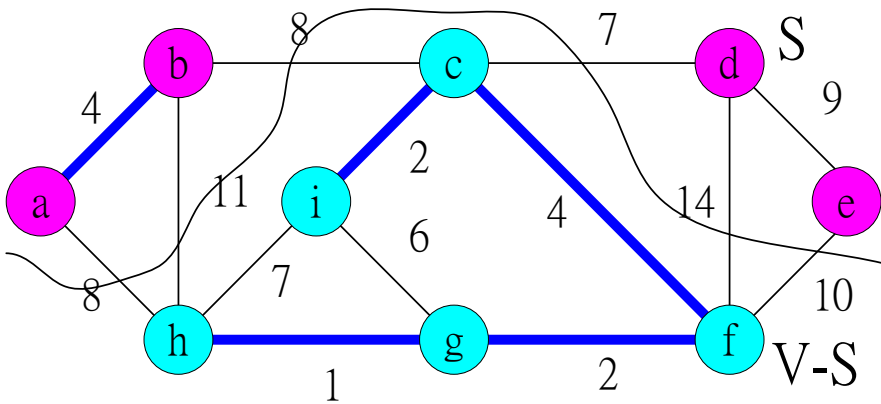


23.1 Growing a minimum spanning tree

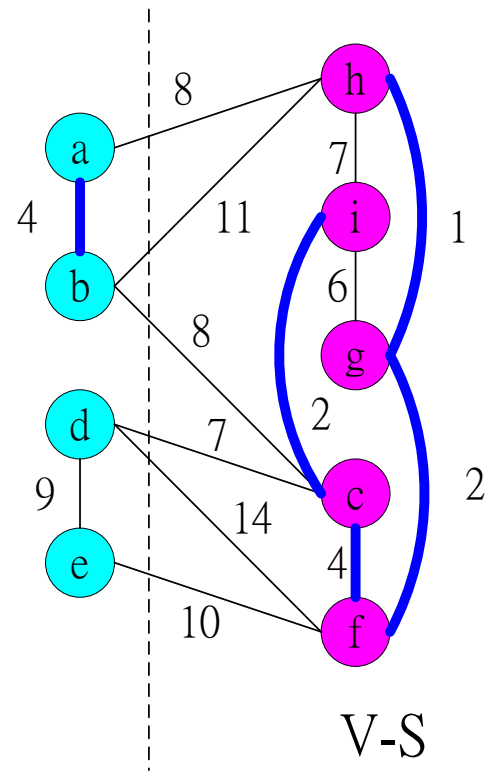
GENERIC-MST(G, w)

```
1   $A \leftarrow \phi$   
2  while  $A$  does not form a spanning tree  
3  do find an edge  $(u, v)$  that is safe for  $A$   
4   $A \leftarrow A \cup \{(u, v)\}$   
5  return  $A$ 
```

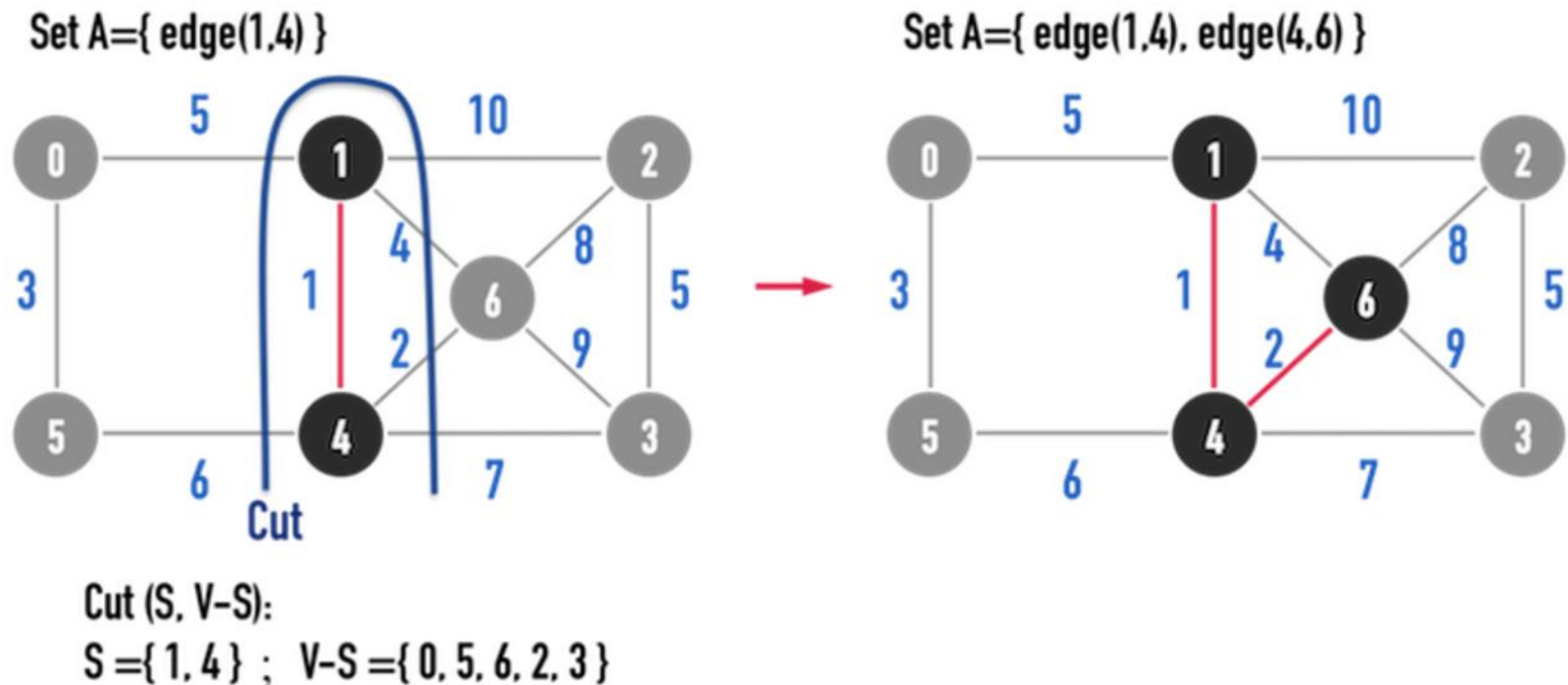
A **cut** $(S, V-S)$ of an undirected graph $G = (V, E)$ is a partition of V . We say that an edge $(u, v) \in E$ **crosses** the cut $(S, V-S)$ if one of its endpoints is in S and the other is in $V-S$. We say a cut **respects** the set A of edges if no edge in A crosses the cut. An edge is a **light edge** crossing a cut if its weight is the minimum of any edge crossing the cut. Note that there can be more than one light edge crossing a cut in case of ties.



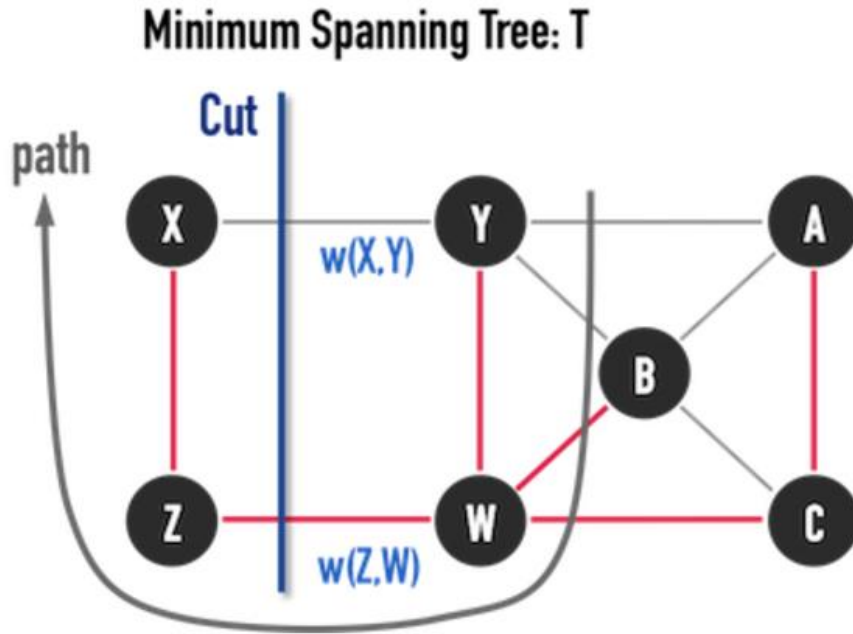
S



Theorem 23.1. Let $G = (V, E)$ be a connected undirected graph with a real-valued weight function w defined on E . Let A be a subset of E that is included in some minimum spanning tree for G , let $(S, V-S)$ be any cut of G that respects A , and let (u, v) be a light edge crossing $(S, V-S)$. Then, edge (u, v) is safe for A .



Proof.



edge of T = A + edge(Z,W) + edge(A,C)

Set A = { edge(X,Z), edge(Y,W), edge(W,B),
edge(W,C) }

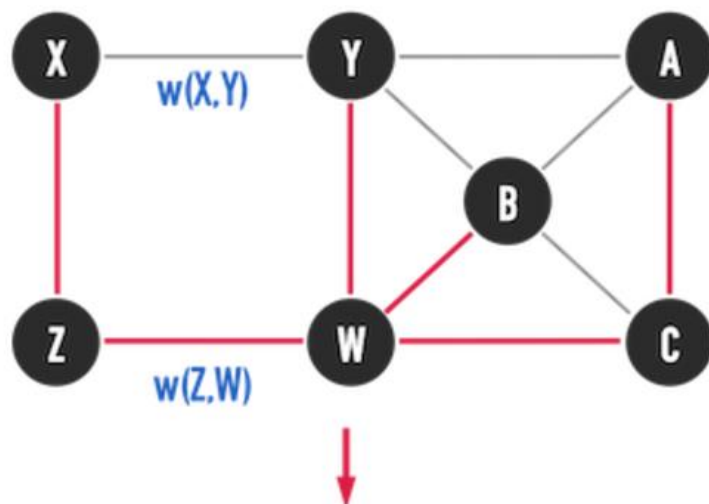
Cut(S, V-S):

S = { X, Z } ; V-S = { Y, W, B, C, A }

Suppose that minimum spanning tree T is composed of edges:
Set A + edge{Z,W} + edge{A,C}, in which Set A is the current state when
computing the tree.

Suppose that edge(X,Y) is the light edge among the crossing edges of the
Cut. It means $\text{weight}(X,Y) \leq \text{weight}(Z,W)$

Minimum Spanning Tree: T

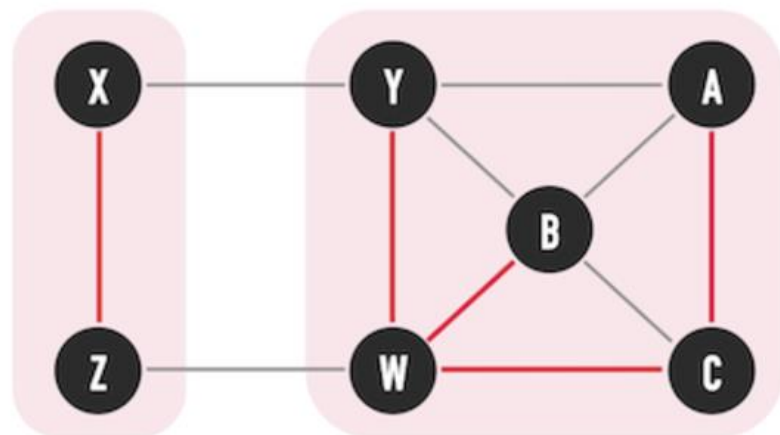


Set $A = \{ \text{edge}(X,Z), \text{edge}(Y,W), \text{edge}(W,B), \text{edge}(W,C) \}$

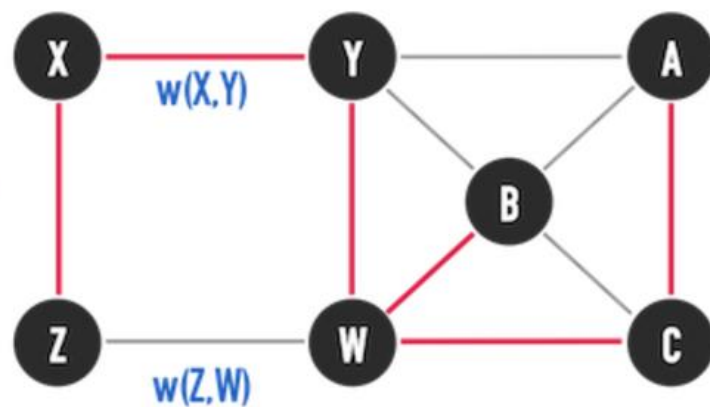
$\text{edge of } T = A + \text{edge}(Z,W) + \text{edge}(A,C)$

$\text{edge of } T' = A + \text{edge}(X,Y) + \text{edge}(A,C)$

Two Connected Components

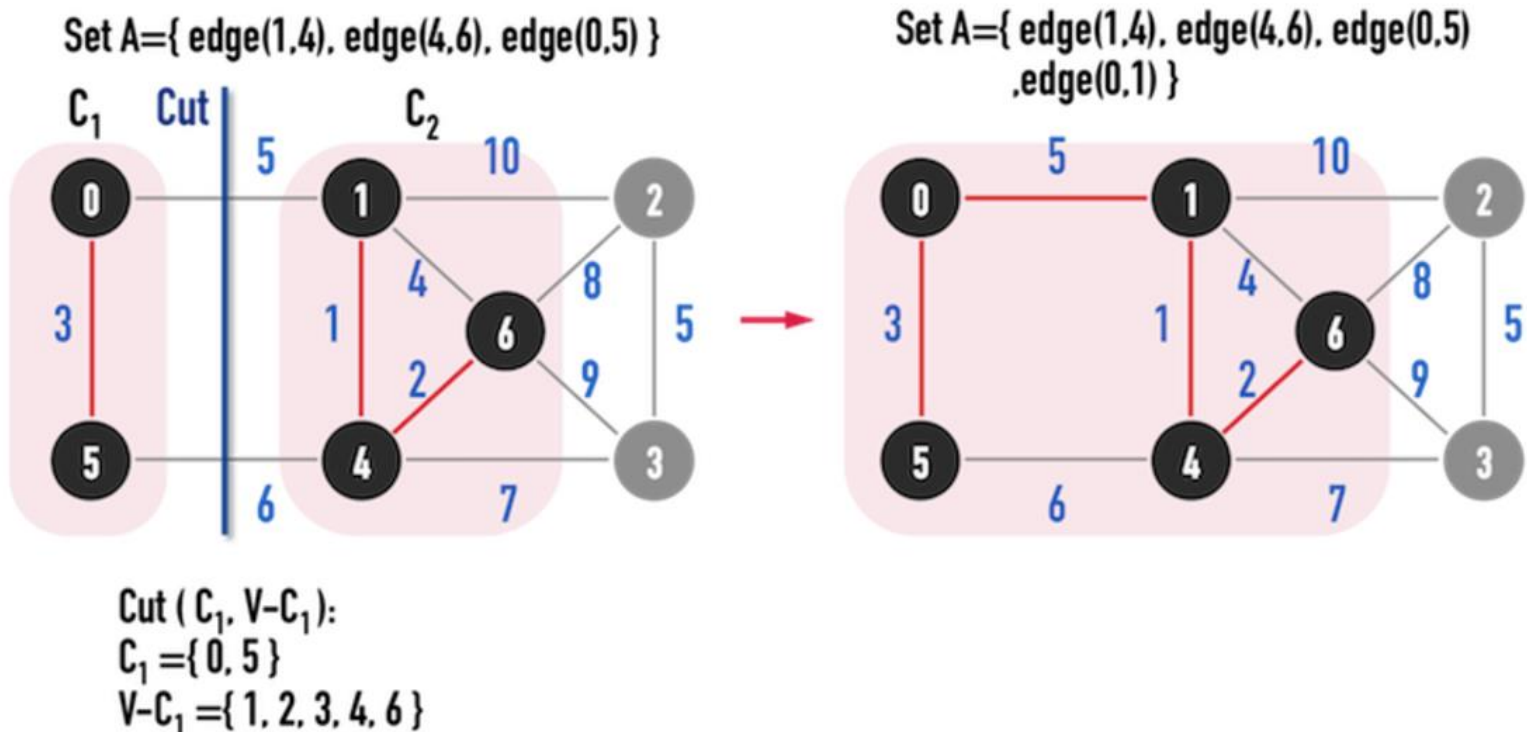


Minimum Spanning Tree: T'



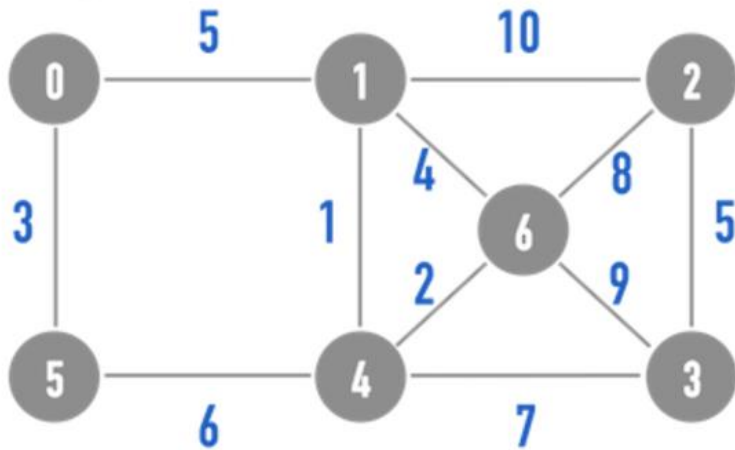
$$\begin{aligned} \text{weight}(T') &\leq \text{weight}(T) - \text{weight}(Z,W) + \text{weight}(X,Y) ; \\ \text{weight}(T') &\leq \text{weight}(T) ; \end{aligned}$$

Corollary 23.2. Let $G = (V, E)$ be a connected, undirected graph with a real-valued weighted function w defined on E . Let A be a subset of E that is induced in some minimum spanning tree for G , and let C be a connected component (tree) in the forest $G_A = (V, A)$. If (u, v) is a light edge connecting C to some other component G_A , then (u, v) is safe for A .

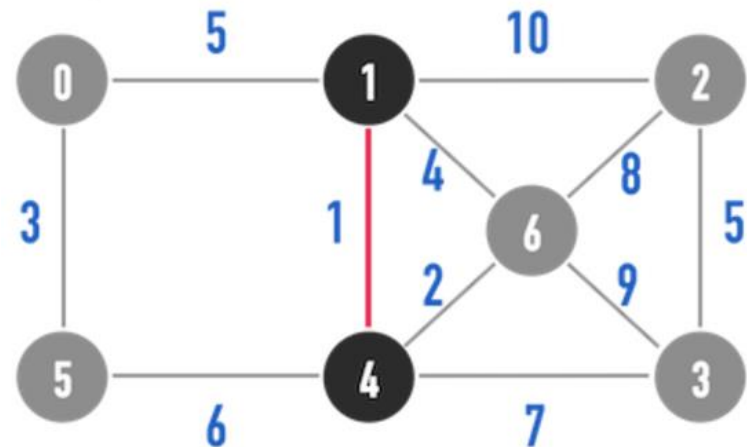


Kruskal's algorithm

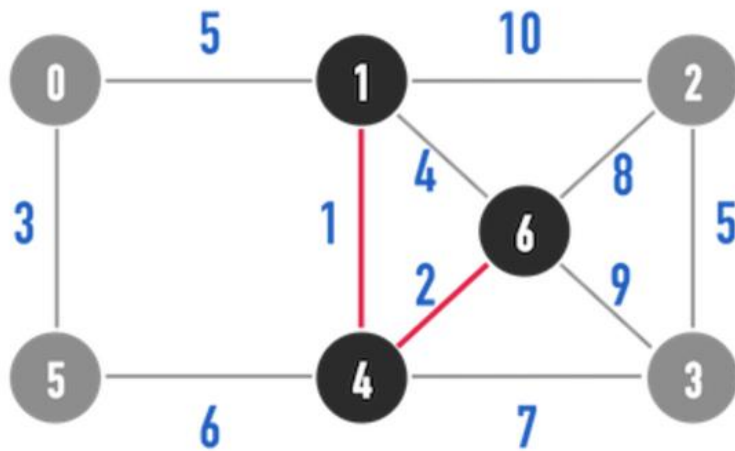
Graph



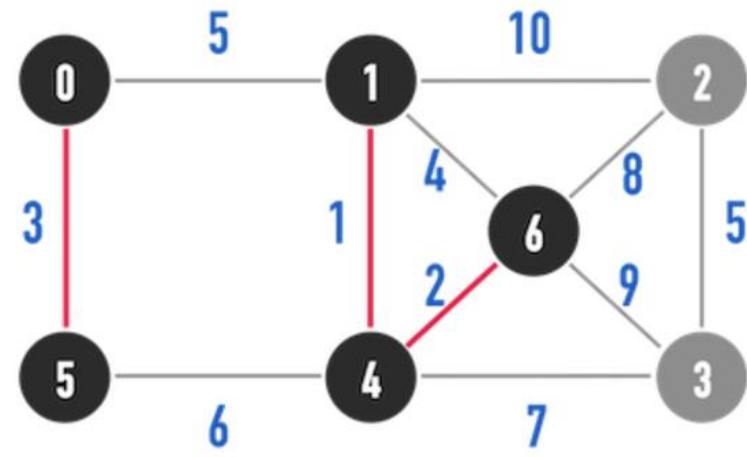
Graph



Graph

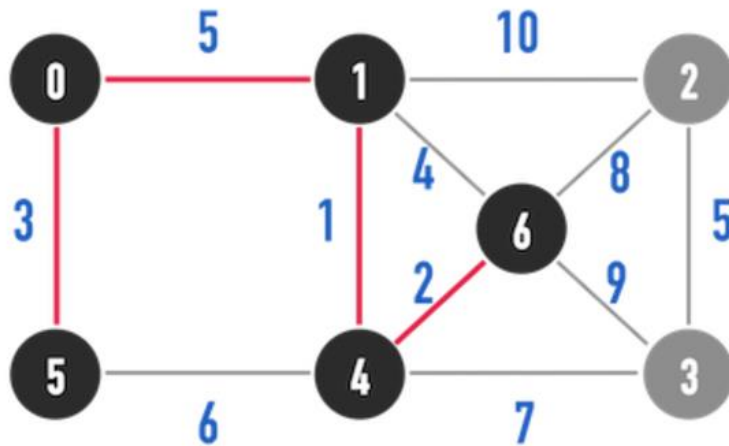


Graph

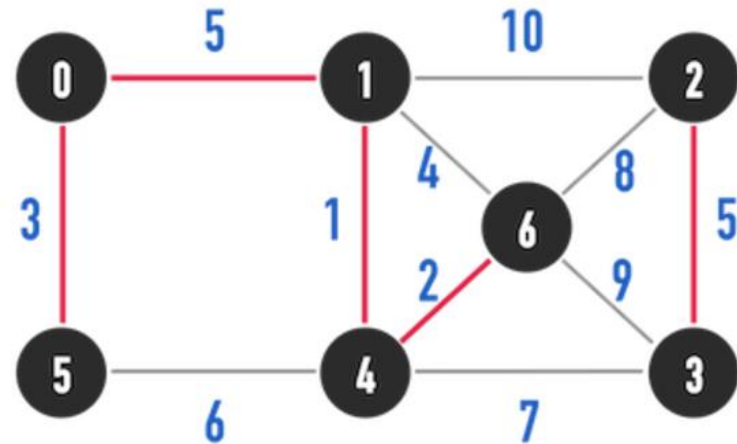


Kruskal's algorithm

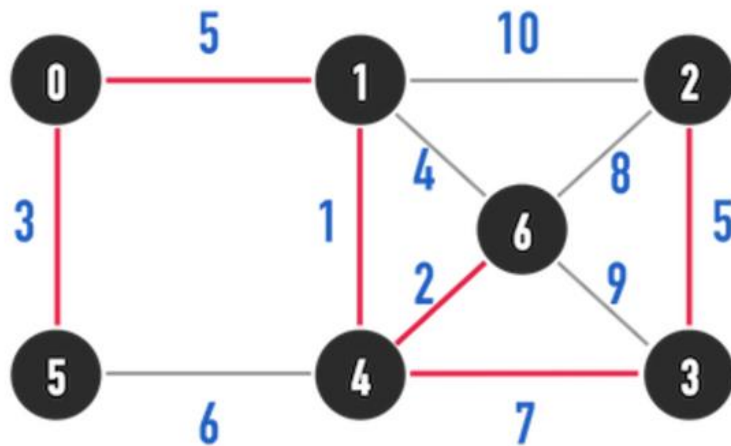
Graph



Graph



Graph



Kruskal's algorithm

MST_KRUSKAL(G, w)

1 $A \leftarrow \phi$

2 **for** each vertex $v \in V[G]$

3 **do** MAKE-SET(v)

4 **sort** the edge of E by nondecreasing weight w

5 **for** each edge $(u, v) \in E$, in order by nondecreasing weight

6 **do if** FIND_SET(u) \neq FIND_SET(v)

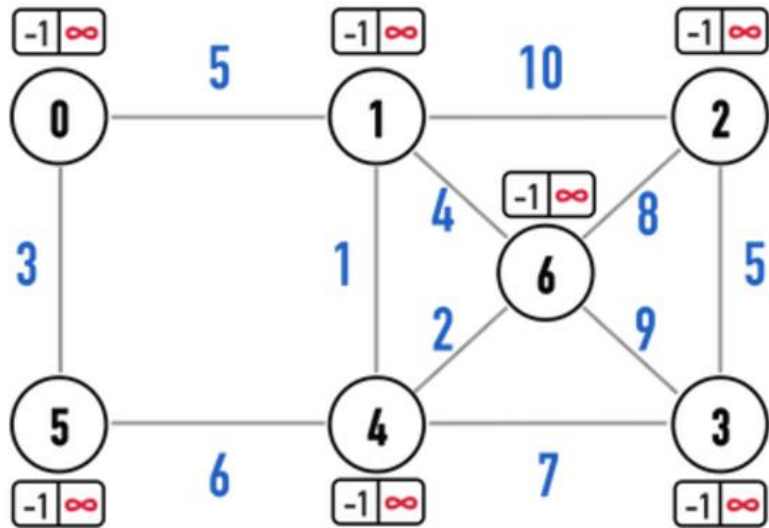
7 **then** $A \leftarrow A \cup \{(u, v)\}$

8 UNION(u, v)

9 **return** A

Complexity $O(E \log E)$

Prim's algorithm

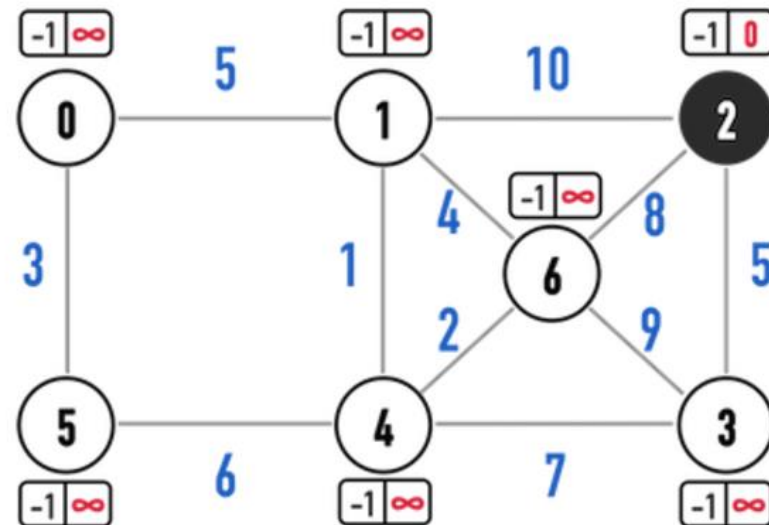


predecessor []

key []

visited []

0	1	2	3	4	5	6
-1	-1	-1	-1	-1	-1	-1
∞	∞	∞	∞	∞	∞	∞
0	0	0	0	0	0	0

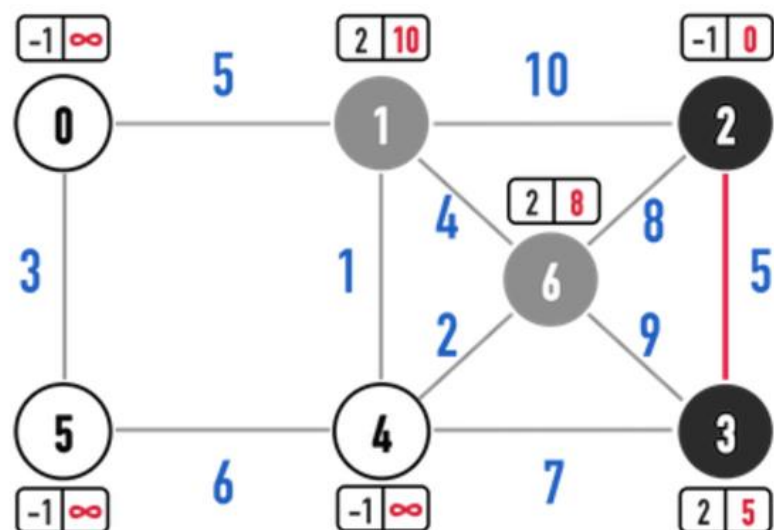


predecessor []

key []

visited []

0	1	2	3	4	5	6
-1	-1	-1	-1	-1	-1	-1
∞	∞	0	∞	∞	∞	∞
0	0	1	0	0	0	0

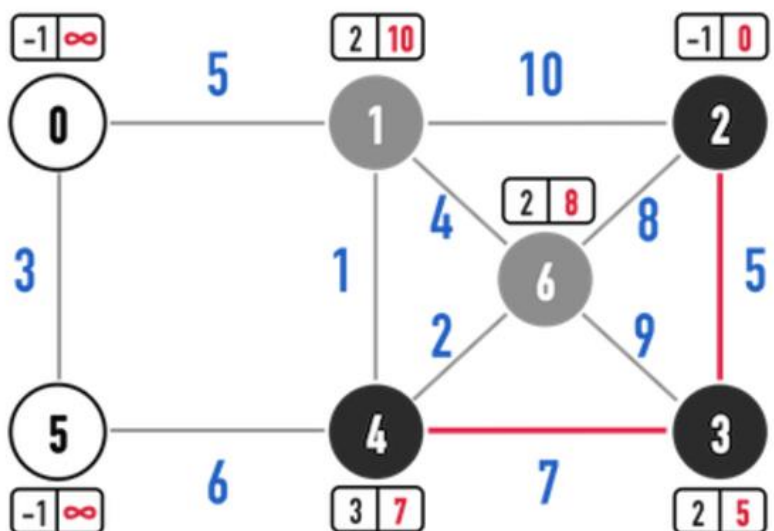


predecessor []

key []

visited []

0	1	2	3	4	5	6
-1	2	-1	2	-1	-1	2
∞	10	0	5	∞	∞	8
0	0	1	1	0	0	0

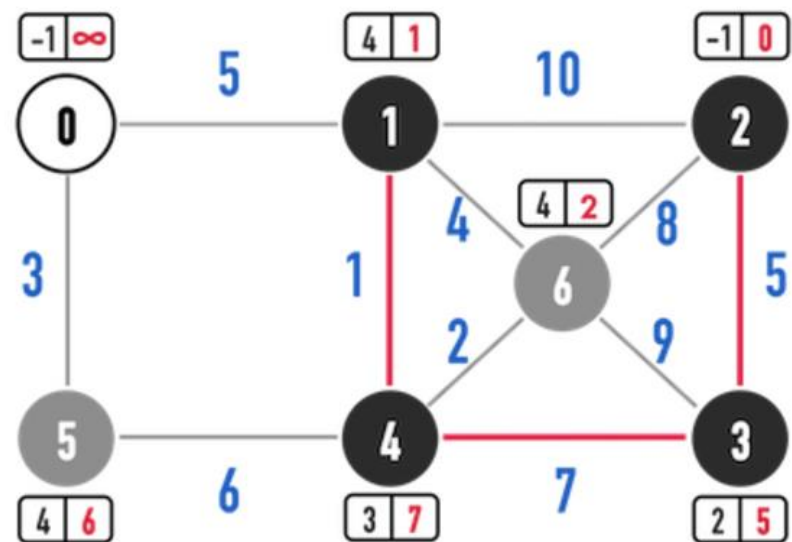


predecessor []

key []

visited []

0	1	2	3	4	5	6
-1	2	-1	2	3	-1	2
∞	10	0	5	7	∞	8
0	0	1	1	1	0	0

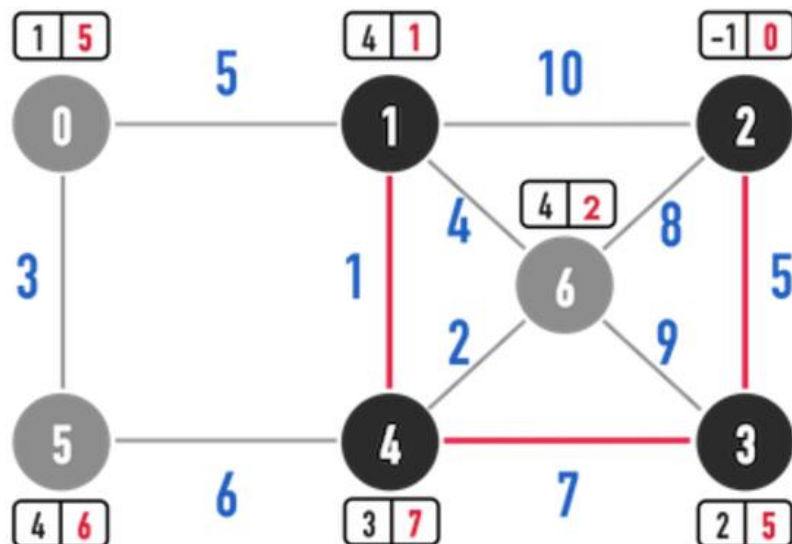


predecessor []

key []

visited []

	0	1	2	3	4	5	6
predecessor []	-1	4	-1	2	3	4	4
key []	∞	1	0	5	7	6	2
visited []	0	1	1	1	1	0	0

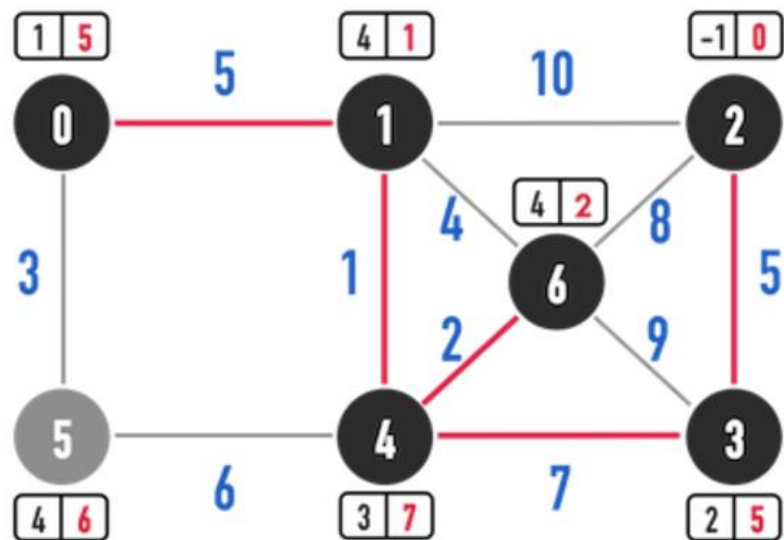


predecessor []

key []

visited []

	0	1	2	3	4	5	6
predecessor []	1	4	-1	2	3	4	4
key []	5	1	0	5	7	6	2
visited []	0	1	1	1	1	0	0

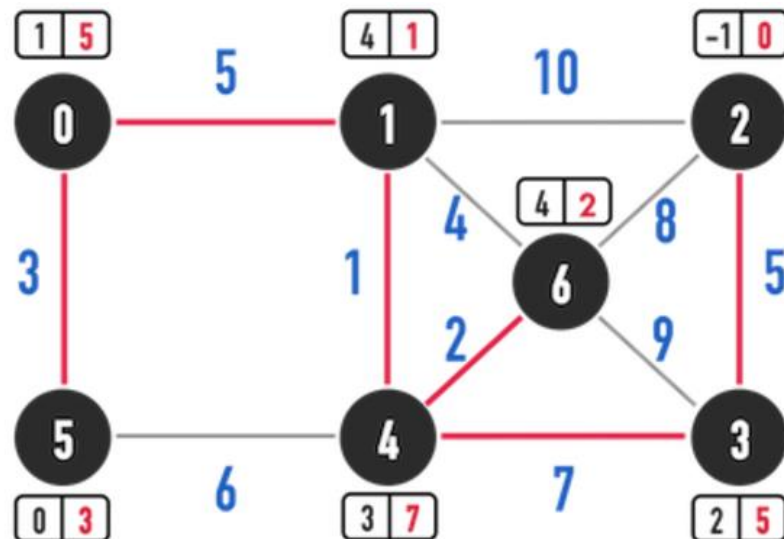


predecessor []

key []

visited []

	0	1	2	3	4	5	6
predecessor []	1	4	-1	2	3	4	4
key []	5	1	0	5	7	6	2
visited []	1	1	1	1	1	0	1



predecessor []

key []

visited []

	0	1	2	3	4	5	6
predecessor []	1	4	-1	2	3	0	4
key []	5	1	0	5	7	3	2
visited []	1	1	1	1	1	1	1

Prim's algorithm

MST_PRIM(G, w, r)

```
1   $Q \leftarrow V[G]$ 
2  for each  $u \in Q$ 
3      do  $key[u] \leftarrow \infty$ 
4   $key[r] \leftarrow 0$ 
5   $\pi[r] \leftarrow NIL$ 
6  while  $Q \neq \emptyset$ 
7      do  $u \leftarrow EXTRACT\_MIN(Q)$ 
8          for each  $v \in Adj[u]$ 
9              do if  $v \in Q$  and  $w(u, v) < key[v]$ 
10                  then  $\pi[v] \leftarrow u$ 
11                       $key[v] \leftarrow w(u, v)$ 
```

Complexity:

$O(V \log V + E \log V)$, or
 $O(E + V \log V)$