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# Contents

<b>List of Tables</b>	<b>ii</b>
<b>List of Figures</b>	<b>iii</b>
<b>1 Space Segment Protocol Stack</b>	<b>1</b>
1.1 Layer 2: Data Link . . . . .	1
1.1.1 Functions of the DLL . . . . .	1
1.1.2 Working procedure . . . . .	2
1.1.2.1 Simplest Protocol . . . . .	2
1.1.2.2 Stop-and-Wait Protocol . . . . .	3
1.1.2.3 Stop-and-Wait Automatic Repeat Request . . . . .	4
1.1.2.4 Go-Back-N Automatic Repeat Request . . . . .	5
1.1.2.5 Selective Repeat Automatic Repeat Request . . . . .	8
1.1.2.6 Bidirecional links: Piggybacking . . . . .	11
1.1.2.7 Working procedure ranking . . . . .	12
1.1.3 Protocols . . . . .	13
1.1.4 TC Space Data Link Protocol . . . . .	16
1.1.5 TC Sync and Channel Coding . . . . .	17
1.2 Layer 3: The Network . . . . .	18
1.2.1 Functions of the Network Layer . . . . .	18
1.2.2 Protocols . . . . .	19
1.2.2.1 Main protocols . . . . .	22
1.2.2.2 Auxiliary protocols . . . . .	25
1.2.2.3 Routing protocols . . . . .	30
1.2.3 Protocol Selection . . . . .	34
1.2.3.1 Choice of the main protocol . . . . .	34
1.2.3.2 Choice of routing protocol . . . . .	35
1.2.3.3 Choice of complementary protocols . . . . .	36
1.2.3.4 Conclusion . . . . .	36
1.2.4 Final structure . . . . .	36
1.3 Layer 4: Transport and Session . . . . .	38
1.3.1 User Datagram Protocol (UDP) . . . . .	38
1.3.2 Stream Control Transmission Protocol (SCTP) . . . . .	39

1.3.3	Transmission Control Protocol (TCP) . . . . .	39
1.3.3.1	TCP Services . . . . .	39
1.3.3.2	TCP features . . . . .	41
1.3.4	Choice of protocol for the transport layer . . . . .	45
1.4	Global Overview . . . . .	45
<b>2</b>	<b>Ground Segment Protocols</b>	<b>46</b>
2.1	Introduction . . . . .	46
2.2	Ground Segment protocols . . . . .	47
2.2.1	File Transfer Protocol (FTP) . . . . .	47
2.2.2	Secure Shell (SSH) . . . . .	47
2.2.3	Simple Mail Transfer Protocol (SMTP) . . . . .	47
2.2.4	Hypertext Transfer Protocol (HTTP) . . . . .	48
2.2.5	Transport Layer Security (TLS) . . . . .	48
2.2.6	Hypertext Transfer Protocol Secure (HTTPS) . . . . .	49
2.3	Conclusions . . . . .	49
<b>3</b>	<b>Design of the Ground Segment</b>	<b>52</b>
3.1	Study of localization of Ground Stations . . . . .	52
3.1.1	Latitude analysis . . . . .	52
3.1.2	Longitude analysis . . . . .	59
3.2	Study of annual costs . . . . .	61
3.2.1	Energy and Maintenance . . . . .	61
3.2.1.1	Mission Control Center . . . . .	61
3.2.1.2	Ground Stations . . . . .	62
3.2.2	Salaries . . . . .	63
3.3	Study of initial investment . . . . .	65
3.4	List of existing Ground Stations . . . . .	65
3.4.1	ESA Ground Stations . . . . .	65
3.4.2	KSAT Ground Stations . . . . .	67
3.4.3	NASA Ground Stations . . . . .	68
3.4.4	SSC Ground Stations . . . . .	69
3.4.5	Other Ground Stations . . . . .	70
3.5	Decision taking . . . . .	71
3.5.1	Availability . . . . .	71
3.5.1.1	Building a ground station . . . . .	71
3.5.1.2	Renting a ground station . . . . .	71
3.5.2	Cost . . . . .	71
3.5.2.1	Building a ground station . . . . .	71
3.5.2.2	Renting a ground station . . . . .	72
3.5.3	Position . . . . .	72

## CONTENTS

---

3.5.3.1	Building a ground station . . . . .	72
3.5.3.2	Renting a ground station . . . . .	72
3.5.4	Ease to improve . . . . .	72
3.5.4.1	Building a ground station . . . . .	72
3.5.4.2	Renting a ground station . . . . .	73
3.5.5	Decision . . . . .	73
<b>4</b>	<b>Bibliography</b>	<b>74</b>

## List of Tables

1.1.1	OWA of the DLL protocols. . . . .	13
1.1.2	Ranking of working procedures . . . . .	13
1.1.3	Reliability of CCSDS protocols . . . . .	14
1.1.4	Identifiers of TC and Proximity-1 Space Data Link Layer Protocols . .	15
1.2.1	IP adress notation . . . . .	23
3.1.1	Equivalent coordinates . . . . .	60
3.2.1	Costs per year for the control centre . . . . .	62
3.2.2	Annual costs . . . . .	63
3.2.3	Total annual cost of the ground segment consumption and maintenance .	63
3.2.4	Salaries according to country . . . . .	64
3.2.5	Salaries in Spain . . . . .	64
3.5.1	OWA of the GS . . . . .	73

# List of Figures

1.1.1	Sender algorithm for the simplest protocol. . . . .	2
1.1.2	Receiver algorithm for the simplest protocol. . . . .	3
1.1.3	Sender algorithm for the Stop-and-Wait Protocol. . . . .	3
1.1.4	Receiver algorithm for the Stop-and-Wait Protocol. . . . .	4
1.1.5	Flow diagram of the Stop-and Wait ARQ. . . . .	5
1.1.6	Flow diagram of the Go-Back-N ARQ. . . . .	6
1.1.7	Receiver algorithm for the Go-Back-N ARQ. . . . .	7
1.1.8	Sender algorithm for the Go-Back-N ARQ. . . . .	8
1.1.9	Flow diagram of the Selective Repeat ARQ. . . . .	9
1.1.10	Sender algorithm for the Selective Repeat ARQ. . . . .	10
1.1.11	Receiver algorithm for the Selective Repeat ARQ. . . . .	11
1.1.12	DLL of the CCSDS. . . . .	14
1.1.13	Transfer frame structure of the TC Space DL Protocol with SDLS. . . .	16
1.1.14	Transfer frame primary header. . . . .	16
1.1.15	Procedure at the sending end. . . . .	17
1.1.16	Procedure at the receiving end. . . . .	18
1.2.1	CCSDS Recommended Protocols . . . . .	20
1.2.2	Combination of CCSDS Recommended Protocols . . . . .	21
1.2.3	SPP header . . . . .	23
1.2.4	IPv4 header . . . . .	24
1.2.5	IPv6 header . . . . .	25
1.2.6	Encapsulation header . . . . .	26
1.4.1	Overall space communication protocol stack . . . . .	45
3.1.1	Links vs time for latitudes from $0^{\circ}$ to $90^{\circ}$ . . . . .	53
3.1.2	Links vs time for latitudes from $0^{\circ}$ to $-90^{\circ}$ . . . . .	54
3.1.3	Links vs time for latitudes from $70^{\circ}$ to $90^{\circ}$ . . . . .	55
3.1.4	Links vs time for latitudes from $45^{\circ}$ to $75^{\circ}$ . . . . .	56
3.1.5	Links vs time for latitudes from $55^{\circ}$ to $75^{\circ}$ . . . . .	56
3.1.6	Links vs time for latitudes from $57.5^{\circ}$ to $67.5^{\circ}$ . . . . .	57
3.1.7	Links vs time for latitudes from $57.5^{\circ}$ to $67.5^{\circ}$ reduced timestep . . . .	58
3.1.8	Links vs time for latitudes from $-62.5^{\circ}$ to $-57.5^{\circ}$ reduced timestep . . . .	58
3.1.9	Links vs time for longitudes from $0^{\circ}$ to $270^{\circ}$ . . . . .	59

## LIST OF FIGURES

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3.1.10	Links vs time for longitudes from $0^{\circ}$ to $270^{\circ}$ . . . . .	59
3.1.11	Links vs time for longitudes from $0^{\circ}$ to $240^{\circ}$ . . . . .	60



## Chapter 1

# Space Segment Protocol Stack

*"The wonder is, not that the field of stars is so vast, but that man has measured it."*

---

Anatole France, 1894

### 1.1 Layer 2: Data Link

#### 1.1.1 Functions of the DLL

The explained functions are:

- **Framing:** Data-link layer takes packets from Network Layer and encapsulates them into Frames. Then, it sends each frame bit-by-bit on the hardware. At receiver end, data link layer picks up signals from hardware and assembles them into frames.
- **Addressing:** Each device on a network has a unique number, usually called a hardware address or MAC address, that is used by the data link layer protocol to ensure that data intended for a specific machine gets to it properly.
- **Synchronization:** When data frames are sent on the link, both machines must be synchronized in order to transfer to take place.
- **Error control:** Sometimes signals may have encountered problem in transition and the bits are flipped. These errors are detected and attempted to recover actual data bits.

- **Flow control:** Stations on same link may have different speed or capacity. Data-link layer ensures flow control that enables both machine to exchange data on same speed.

### 1.1.2 Working procedure

Working procedure is explained deeply now. All the images have been extracted from [1].

#### 1.1.2.1 Simplest Protocol

This protocol has no error or flow control. It is supposed that the frames are traveling only in one direction, from the sender to the receiver. It is also supposed that the receiver can immediately handle the frames received, so there is no overwhelming. The DLL of the sender site gets data from its network layer, makes a frame out of the data and sends it. The DLL at the receiver site receives a frame from its physical layer, extracts data from the frame and delivers the data to its network layer. The problem here is that the sender site cannot send a frame until its network layer has a data packet to send and the receiver site cannot deliver a data packet to its network layer until a frame arrives. There is the need to introduce the idea of events in the protocol. The procedure at the sender site is constantly running; there is no action until there is a request from the network layer. The procedure at the receiver site is also constantly running, but there is no action until notification from the physical layer arrives.

```
1 while (true)                                // Repeat forever
2 {
3     WaitForEvent()                           // Sleep until an event occurs
4     if(Event(RequestToSend))                 // There is a packet to send
5     {
6         GetData()
7         MakeFrame()
8         SendFrame()                           // Send the frame
9     }
10 }
```

Figure 1.1.1: Sender algorithm for the simplest protocol.

```

1 while(true)                                // Repeat forever
2 {
3   WaitForEvent()i                          // Sleep until an event occurs
4   if(Event(ArrivalNotification)»          //Data frame arrived
5   {
6     ReceiveFrame()i
7     ExtractData()i
8     DeliverData ()i                        //Deliver data to network layer
9   }
10 }

```

Figure 1.1.2: Receiver algorithm for the simplest protocol.

### 1.1.2.2 Stop-and-Wait Protocol

If data frames arrive at the receiver site faster than they can be processed, the frames must be stored until their use. Normally, the receiver does not have enough storage space, especially if it is receiving data from many sources. This may result in either the discarding of frames or denial of service. To prevent the receiver from becoming overwhelmed with frames, we somehow need to tell the sender to slow down. There must be feedback from the receiver to the sender.

In the Stop-and-Wait Protocol the sender sends one frame, stops until it receives confirmation from the receiver and then sends the next frame. We still have unidirectional communication for data frames, but auxiliary ACK frames (simple tokens of acknowledgment) travel from the other direction. We add flow control to our previous protocol. In this case the algorithms of the sender and the receiver are the following ones.

```

1 while (true)                                //Repeat forever
2 canSend = true                               //Allow the first frame to go
3 {
4   WaitForEvent()i                          // Sleep until an event occurs
5   if(Event(RequestToSend) AND canSend)
6   {
7     GetData() i
8     MakeFrame() f
9     SendFrame()i                            //Send the data frame
10    canSend = false;                        //cannot send until ACK arrives
11  }
12  WaitForEvent()i                          // Sleep until an event occurs
13  if(Event(ArrivalNotification) // An ACK has arrived
14  {
15    ReceiveFrame();                          //Receive the ACK frame
16    canSend = true;
17  }
18 }

```

Figure 1.1.3: Sender algorithm for the Stop-and-Wait Protocol.

1	while (true)	<i>II Repeat forever</i>
2	{	
3	WaitForEvent();	<i>II Sleep until an event occurs</i>
4	if(Event(ArrivalNotification))	<i>II Data frame arrives</i>
5	{	
6	ReceiveFrame();	
7	ExtractData();	
8	Deliver(data);	<i>II Deliver data to network layer</i>
9	SendFrame();	<i>II Send an ACK frame</i>
10	}	
11	}	

Figure 1.1.4: Receiver algorithm for the Stop-and-Wait Protocol.

The two protocols explained are protocols that can be suitable for noiseless channels. However, noiseless channels are nonexistent. There is a need to add error control to the protocol. Three protocols are discussed with the aim of doing so.

### 1.1.2.3 Stop-and-Wait Automatic Repeat Request

The Stop-and-Wait ARQ adds a simple error control mechanism to the Stop-and-Wait Protocol. To detect and correct corrupted frames, we need to add redundancy bits to our data frame. When the frame arrives at the receiver site, it is checked and if it is corrupted, it is silently discarded. The detection of errors in this protocol is manifested by the silence of the receiver. Frames are also numbered so if the receiver receives a data frame that is out of order, this means that frames were either lost or duplicated. What is done to solve the error is that when the sender sends a frame, it keeps a copy of the sent frame. At the same time, it starts a timer. If the timer expires and there is no ACK for the sent frame, the frame is resent, the copy is held, and the timer is restarted. Since the protocol uses the stop-and-wait mechanism, there is only one specific frame that needs an ACK even though several copies of the same frame can be in the network. Since an ACK frame can also be corrupted and lost, it too needs redundancy bits and a sequence number. In the following figure is possible to see more clearly what is going on with this protocol.

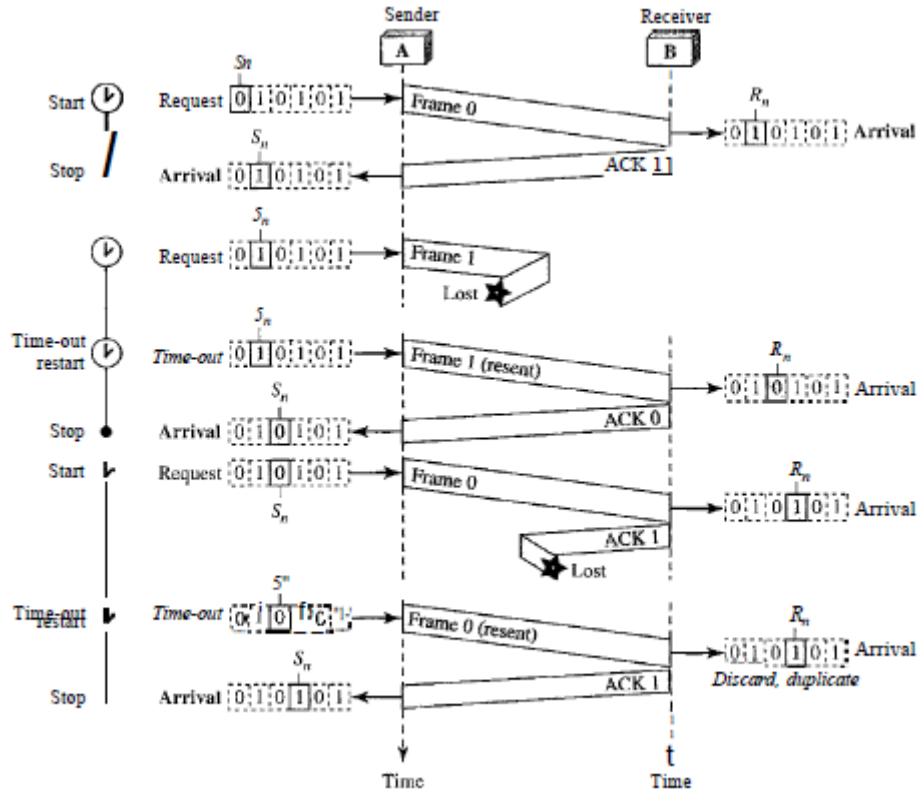


Figure 1.1.5: Flow diagram of the Stop-and Wait ARQ.

The main problem of this protocol is its efficiency. The Stop-and-Wait ARQ is very inefficient if our channel is thick and long. The product of thickness and longitude is called the bandwidth-delay product. We can think of the channel as a pipe. The bandwidth-delay product then is the volume of the pipe in bits. The pipe is always there. If we do not use it, we are inefficient.

#### 1.1.2.4 Go-Back-N Automatic Repeat Request

To improve the efficiency of transmission (filling the pipe), multiple frames must be in transition while waiting for acknowledgment. In other words, we need to let more than one frame be outstanding to keep the channel busy while the sender is waiting for acknowledgment. In the Go-Back-N Automatic Repeat Request the sender sends several frames before receiving acknowledgments. It also keeps a copy of these frames until the acknowledgments arrive. Although there can be a timer for each frame that is sent, in this protocol only one is used. The reason is that the timer for the first outstanding frame always expires first and then all outstanding frames when this timer expires are sent again. The receiver sends a positive acknowledgment if a frame has arrived safe and sound and

in order. If a frame is damaged or is received out of order, the receiver is silent and will discard all subsequent frames until it receives the one it is expecting. The silence of the receiver causes the timer of the unacknowledged frame at the sender site to expire. This, in turn, causes the sender to go back and resend all frames, beginning with the one with the expired timer. The receiver does not have to acknowledge each frame received. It can send one cumulative acknowledgment for several frames. That is the reason why the protocol is called Go-Back-N. The flow diagram and the algorithms of the sender and the receiver are shown next.

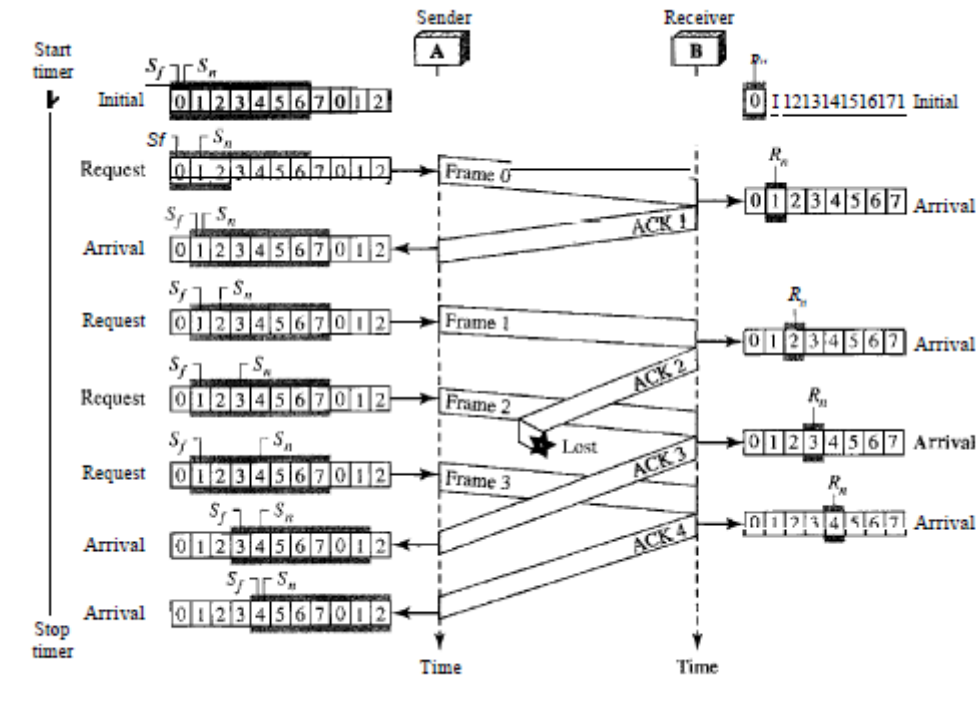


Figure 1.1.6: Flow diagram of the Go-Back-N ARQ.

```

1   $R_n = 0;$ 
2
3  while (true)                                II Repeat forever
4  {
5      WaitForEvent();
6
7      if(Event{ArrivalNotification}» /Data frame arrives
8      (
9          Receive(Frame);
10         if(corrupted(Frame)»
11             Sleep();
12         if(seqNo ==  $R_n$ )                III If expected frame
13         {
14             DeliverData()i             IID Deliver data
15              $R_n = R_n + 1;$              IISlide window
16             SendACK( $R_n$ );
17         }
18     }
19 }
```

Figure 1.1.7: Receiver algorithm for the Go-Back-N ARQ.

```

1 Sw = 232 - 1;
2 Sf = 0;
3 Sn = 0;
4
5 while (true)                                //Repeat forever
6 {
7   WaitForEvent();
8   if(Event{RequestToSend})                  //A packet to send
9   {
10    if(Sn-Sf == Sw)                          //If window is full
11      Sleep();
12    GetData();
13    MakeFrame(Sn);
14    StoreFrame(Sn);
15    SendFrame(Sn);
16    Sn = Sn + 1;
17    if(timer not running)
18      StartTimer();
19  }
20
21  if(Event{ArrivalNotification})             //ACK arrives
22  {
23    Receive(ACK);
24    if(corrupted{ACK})
25      Sleep();
26    if((ackNo==sf)&&(ackNo==Sn))              //If a valid ACK
27      While(Sf == ackNo)
28      {
29        PurgeFrame(Sf);
30        Sf = Sf + 1;
31      }
32    StopTimer();
33  }
34
35  if(Event{TimeOut})                          //If the timer expires
36  {
37    StartTimer();
38    Temp = Sf;
39    while(Temp < Sn);
40    {
41      SendFrame(Sf);
42      Sf = Sf + 1;
43    }
44  }
45 }

```

Figure 1.1.8: Sender algorithm for the Go-Back-N ARQ.

### 1.1.2.5 Selective Repeat Automatic Repeat Request

Go-Back-N ARQ simplifies the process at the receiver site. The receiver keeps track of only one variable, and there is no need to buffer out-of-order frames; they are simply discarded. However, this protocol is very inefficient for a noisy link. In a noisy link a frame has a higher probability of damage, which means the resending of multiple frames. In the case of these protocol, the Selective Repeat ARQ, the processing at the receiver is more complex but is more efficient for noisy links. The Selective Repeat Protocol allows a number of frames to arrive out of order and be kept until there is a set of in-order frames to be delivered to the network layer. The handling of the request event is similar to that of the previous protocol except that one timer is started for each frame sent. The arrival event is more complicated here. An ACK or a NAK frame may arrive. If a valid NAK frame arrives, the corresponding frame is resent. If a valid ACK arrives the corresponding timer stops. When the time for a frame has expire, only this frame is resent.



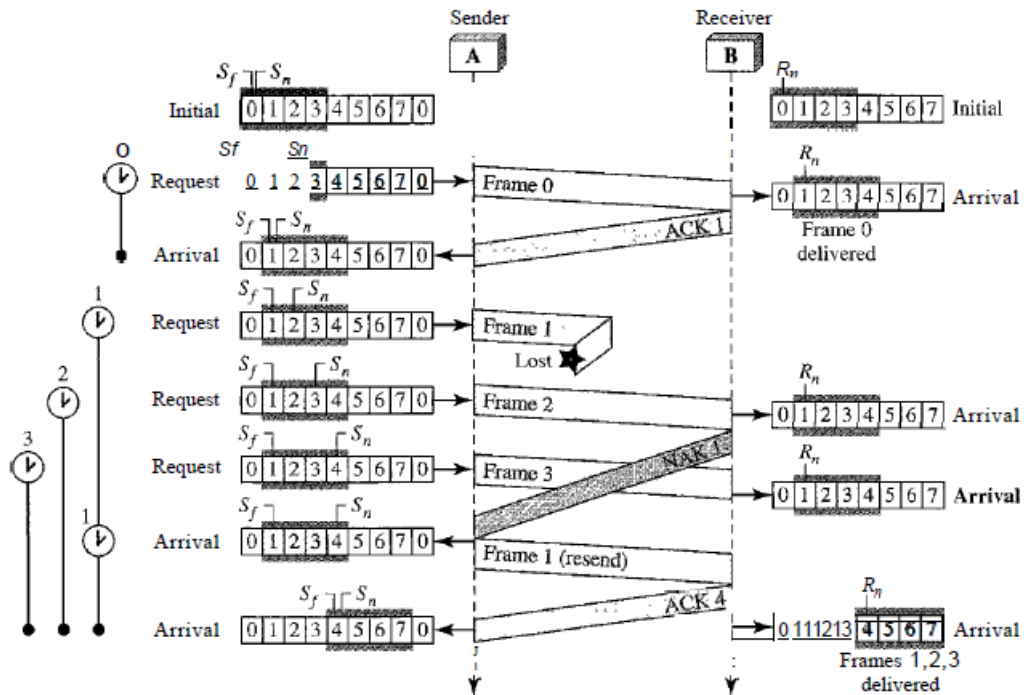


Figure 1.1.9: Flow diagram of the Selective Repeat ARQ.

```

1  =  $2^{m-1} - i$ 
2  =  $O_i$ 
3  =  $O_i$ 
4
5  hile (true)                //Repeat forever
6  {
7    WaitForEvent(i)
8    if(Event(RequestToSend))  //There is a packet to sen
9    {

```

```

10   if(Sn-S;E >= Sw)           I/If window is full
11       Sleep();
12   GetData();
13   MakeFrame(Sn);
14   StoreFrame(Sn);
15   SendFrame(Sn);
16   Sn = Sn + 1;
17   StartTimer(Sn);
18 }
19
20 if(Event{ArrivalNotification» IIACK arrives
21 {
22     Receive{frame};           I/Receive ACK or NAK
23     if{corrupted{frame»
24         Sleep();
25     if (FrameType == NAK)
26         if (nakNo between Sf and So)
27         {
28             resend(nakNo);
29             StartTimer(nakNo);
30         }
31     if (FrameType == ACK)
32         if (ackNo between Sf and So)
33         {
34             while(sf < ackNo)
35             {
36                 Purge(sf);
37                 stopTimer(Sf);
38                 Sf = Sf + 1;
39             }
40         }
41 }
42
43 if(Event{TimeOut{t»}         liThe timer expires
44 {
45     StartTimer(t);
46     SendFrame{t};
47 }
48 }
```

Figure 1.1.10: Sender algorithm for the Selective Repeat ARQ.

```

1  Rn = 0;
2  NakSent = false;
3  AckNeeded = false;
4  Repeat(for all slots)
5      Marked(slot) = false;
6
7  !while (true)                                IIRepeat forever
8  {
9      WaitForEvent();
10
11     if{Event{ArrivalNotification»           jData frame arrives
12     {
13         Receive(Frame);
14         if(corrupted(Frame)&& (NOT NakSent)
15         {
16             SendNAK(Rn);
17             NakSent = true;
18             Sleep();
19         }
20         if(seqNo <> Rn)&& (NOT NakSent)
21         {
22             SendNAK(Rn);
23             NakSent = true;
24             if ((seqNo in window)&&(IMarked(seqNo)
25             {
26                 StoreFrame{seqNo)
27                 Marked(seqNo)= true;
28                 while(Marked(Rn)
29                 {
30                     DeliverData(Rn);
31                     Purge(Rn);
32                     Rn = Rn + 1;
33                     AckNeeded = true;
34                 }
35                 if(AckNeeded);
36                 {
37                     SendAck(Rn);
38                     AckNeeded = false;
39                     NakSent = false;
40                 }
41             }
42         }
43     }
44 }

```

Figure 1.1.11: Receiver algorithm for the Selective Repeat ARQ.

### 1.1.2.6 Bidirectional links: Piggybacking

Piggybacking is not a protocol, is a technique. All que protocols explained until now are all unidirectional: data frames flow in only one direction although control information such as ACK and NAK frames can travel in the other direction. In real life, data frames are normally flowing in both directions: from node A to node B and from node B to node A. This means that the control information also needs to flow in both directions. Piggybacking is used to improve the efficiency of the bidirectional protocols. When a frame is carrying data from A to B, it can also carry control information about arrived (or lost)

frames from B; when a frame is carrying data from B to A, it can also carry control information about the arrived (or lost) frames from A.

#### **1.1.2.7 Working procedure ranking**

Now its time to choose the working procedure that best fits the needs of the mission. To do so, an OWA (Ordered Weighted Average) will be used. The criteria to consider is the following one:

- Efficiency: This fact deals with how the channel is being used. Protocols will be classified as non-efficient or efficient.
- Time: This fact deals about the time needed to transmit the data satisfactory.
- Error correction: Deals about whether a protocol can correct an error of transmission or not.

It is important also to take into account that the protocol to use should have a flow control, that is, should know if the receiver is available or not to receive the data. For this reason the Simplest Protocol is rejected and won't be studied in the OWA. Regarding the factors of the OWA, all of them will be rated from 0 to 1. In this project the fact of transmitting the data without errors is more important than transmitting it fast, as is possible to appreciate un the project charter ( the latency can be relative high, but incorrect information is useless). The efficiency of the protocol is very important too, because the less the efficiency the less power provided by the CubeSat is being used. Since the CubeSat has limited space, ideally al the power it can gives for transmission will be used for it. Then, the weights of the different factors are the following ones:

- Efficiency: 40
- Time: 30
- Error correction: 60

In the following table the rating of each protocol together with the corresponding OWA is shown.

## Layer 2: Data Link

---

Protocol	Efficiency	Time	Error correction	OWA
Stop-and-Wait Protocol	0	0	0	0
Stop-and-Wait ARQ	0	0	1	0,46
Go-Back-N ARQ	1	0	1	0.69
Selective Repeat ARQ	1	1	1	1

Table 1.1.1: OWA of the DLL protocols.

Then, the ranking of working procedures is the following one:

<b>1</b>	Selective Repeat ARQ
<b>2</b>	Go-Back-N ARQ
<b>3</b>	Stop-and-Wait ARQ
<b>4</b>	Stop-and-Wait Protocol

Table 1.1.2: Ranking of working procedures

It has to be said that when dealing with bidirecional links piggybacking technique will be used if possible.

### 1.1.3 Protocols

The standards of the CCSDS will be followed in order to allow interoperability with other satellites such as the one of the client. The CCSDS has developed four protocols for the Data Link Protocol Sublayer of the Data Link Layer [2]:

- TM Space Data Link Protocol
- TC Space Data Link Protocol
- AOS Space Data Link Protocol
- Proximity-1 Space Link Protocol-Data Link Layer

These protocols provide the capability to send data over a single space link. TM, TC, and AOS can have secured user data into a frame using the Space Data Link Security (SDLS) Protocol.

CCSDS has also developed three standards for the Synchronization and Channel Coding Sublayer of the DLL:

- TM Synchronization and Channel Coding

## Layer 2: Data Link

- TC Synchronization and Channel Coding
- Proximity-1 Space Link Protocol—Coding and Synchronization Layer

TM Synchronization and Channel Coding is used with the TM or AOS Space Data Link Protocol, TC Synchronization and Channel Coding is used with the TC Space Data Link Protocol and the Proximity-1 Space Link Protocol—Coding and Synchronization Layer is used with the Proximity-1 Space Link Protocol—Data Link Layer. This can be seen better in the following image.

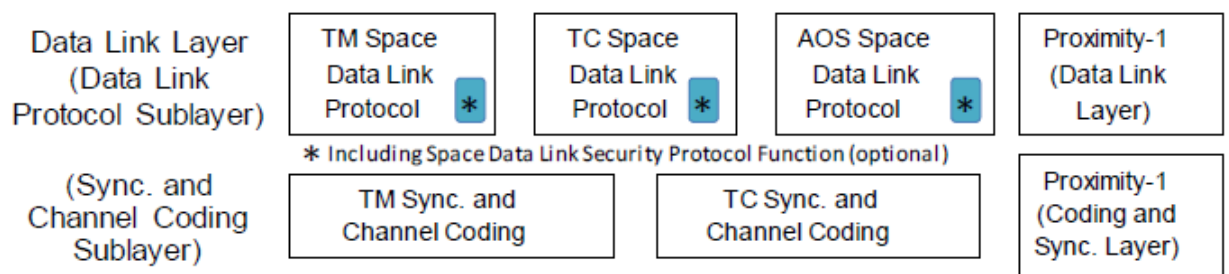


Figure 1.1.12: DLL of the CCSDS.

Now the reliability of each of the protocols of the Data Link Protocol Sublayer will be compared in order to know which one is the best of them. This will be done because reliability is the most important feature of the DLL.

Protocol	System used for reliability
TM	Stop-and-Wait Protocol
TC	Type-A: Go-Back-N ARQ, Type-B: Stop-and-Wait Protocol
AOS	Stop-and-Wait Protocol
Proximity-1	Go-Back-N ARQ

Table 1.1.3: Reliability of CCSDS protocols

According to the table and to the ranking of working procedures done previously, only TC Type-A and Proximity-1 will be considered from now on. Security is another important feature to take into account when taking this decision. TM Space Data Link Protocol has provision for inserting secured data into a frame using the Space Data Link Security (SDLS) Protocol. However, there have been no security requirements to date established for Proximity-1. The SLDS protocol can provide security services, such as authentication and confidentiality for TC Transfer Frames (it can also do it with TM and AOS, that have been previously discarded). Both the TC and the Proximity-1 use variable-length

## Layer 2: Data Link

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Transfer Frames to facilitate reception of short messages with short delay. Another key feature to take into account when deciding a protocol, is the concept of "Virtual Channels". The Virtual Channel facility allows one Physical Channel (a stream of bits transferred over a space link in a single direction) to be shared among multiple higher-layer data streams, each of which may have different service requirements. A single Physical Channel may therefore be divided into several separate logical data channels, each known as a Virtual Channel (VC). The TC has the following identifiers: the Transfer Frame Version Number (TFVN), the Spacecraft Identifier (SCID), and the Virtual Channel Identifier (VCID). It also uses an optional identifier, called the Multiplexer Access Point Identifier (MAP ID), that is used to create multiple streams of data within a Virtual Channel. In contrast, the Proximity-1 uses a triad of multiplexing capabilities, which is incorporated for specific functionality within the link. The Spacecraft Identifier (SCID) identifies the source or destination of Transfer Frames transported in the link connection based upon the Source-or-Destination Identifier. The Physical Channel Identifier (PCID) provides up to two independently multiplexed channels. The Port ID provides the means to route user data internally to specific logic ports, such as applications or transport processes, or to physical ports, such as onboard buses or physical connections. Now a table with the identifiers of the TC and the Proximity-1 will be shown:

Identifiers	TC Space Data Link Protocol	Proximity-1 Space Link Protocol- Data Link Layer
TFVN	00	10
SCID	0 to 1023	0 to 2013
PCID	N/A	0 to 1
VCID	0 to 63	N/A
MAP ID	0 to 63	N/A
Port identifier	N/A	0 to 7

Table 1.1.4: Identifiers of TC and Proximity-1 Space Data Link Layer Protocols

Having Virtual Channels is important for the mission that is exposed in this project because it allows having more than one stream of bits to take place at the same time, that is to say that more than one client can communicate with their satellite without having to wait for another client to finish.

The decision taken is to use the TC Space Data Link Protocol with the TC sync. and channel coding together with the Space Data Link Security Protocol. The reasons for doing so are mainly:

- Security: Incorporating the SLDS authentication and confidentiality is provided.
- More virtual channels: This feature allow more clients communicating with their satellites at the same time.

### 1.1.4 TC Space Data Link Protocol

Now some specifications of the chosen protocol will be exposed in order to know how it is structured and how many bits it adds to the original data. Further information of the protocol can be found in [3]. The protocol specifications will be explained when it is used with the support of the SDLS protocol. In this section is important to know that 1 octet is an eight-bit word. The structure of the transfer frame in this protocol is the following one:

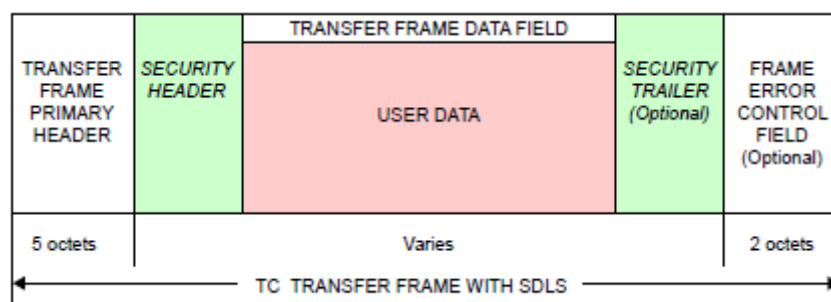


Figure 1.1.13: Transfer frame structure of the TC Space DL Protocol with SDLS.

In the transfer frame primary header, the following information is contained:

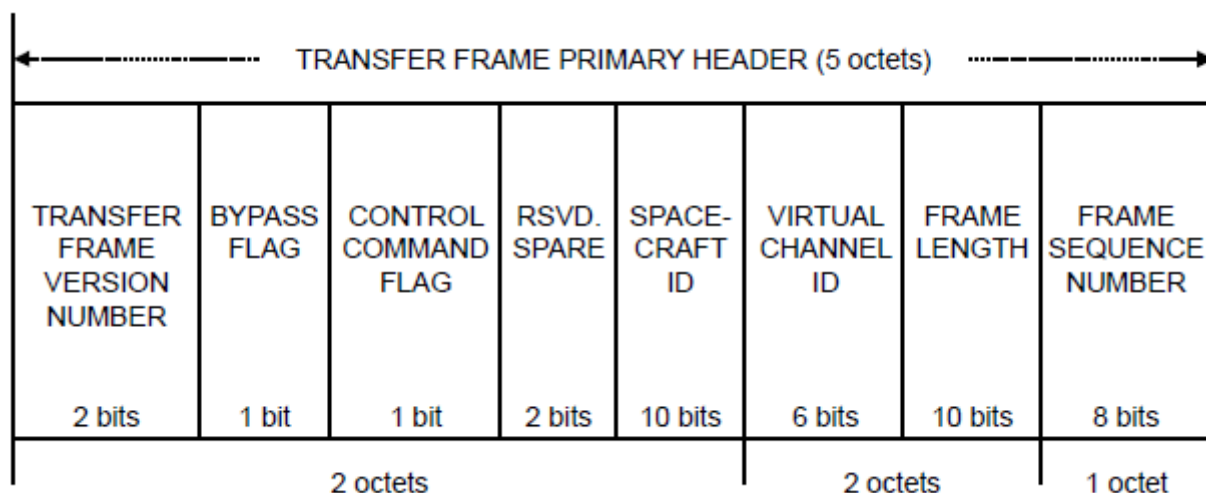


Figure 1.1.14: Transfer frame primary header.

With this data, is possible to say that the TC Space Data Link Protocol will add to data coming from the Network layer at least 5 octets (40 bits).



### 1.1.5 TC Sync and Channel Coding

This protocol is the corresponding to the Synchronization and Channel Coding Sublayer that has be used with the TC Space and Data Link Protocol. It has functions as for example, encapsuate the data units so that the start and end can be detected by the receiving end, ensure there are sufficient bit transitions in the transmitted bit stream so that the receiver can mantain bit synchronization during the reception of the data unit, etc. In a nutshell, one instance of the Synchronization and Channel Coding Sublayer processes the data stream for a single Physical Channel, making it a stream of bits that can be transferred over a space link in a single direction. The procedures can be differenciaded between the ones that occur in the sending end and the one that occur in the receiving end. The procedures are the following ones:

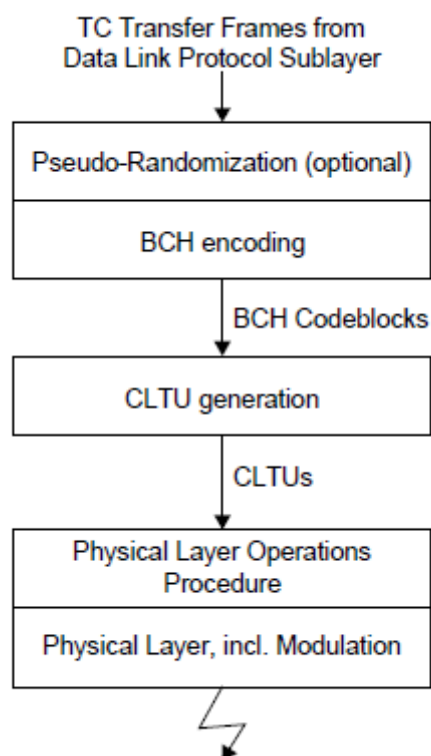


Figure 1.1.15: Procedure at the sending end.

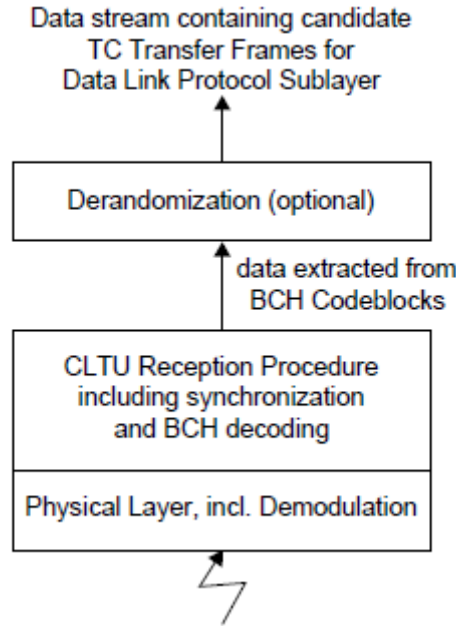


Figure 1.1.16: Procedure at the receiving end.

Is possible to see that two packets of data are created, BCH Codeblocks and CLTUs. From the point of view of the Synchronization and Channel Coding Sublayer, the content of the Frames parameter is a single block of data. For a single Channel Access request, the Synchronization and Channel Coding Sublayer generates a set of BCH Codeblocks, and that set of BCH Codeblocks is placed in a single CLTU. One of the managed parameters for the Physical Channel is the maximum length of a CLTU. The length of the CLTU can be calculated as follows (in octets):

$$LengthoftheCLTU = 10 + 8 \cdot \left( \frac{Totallengthoftheframes + 6}{7} \right) \quad (1.1.1)$$

Since with the TC Space Data Link protocol the frames can have different sizes, the CLTU can also have different sizes. More information about this sublayer of the DLL can be found in reference [4]

## 1.2 Layer 3: The Network

### 1.2.1 Functions of the Network Layer

The Network layer provides the following functions:

- **Routing:** Selects the best path between two nodes in a network, often using intermediate nodes called routers.

- **Network flow control:** Routers may indicate a transmitting node to reduce its transmission when the router's buffer becomes full.
- **Package fragmentation:** If the message to be transmitted is too large to be transmitted in the Data link layer, the network may split it into several packages in one node, send them independently and reassemble them in another node. Optionally, it can provide error control.
- **Logical-physical address allocation:** Translates the logical address (or names) of the network nodes into a unique physical address.
- **Message forwarding:** A network may be divided into subnetworks, connected through specialized hosts, called gateways or routers, that forward packets between those subnetworks.

### 1.2.2 Protocols

The Consultative Committee for Space Data Systems (CCSDS) [5] has two standards for using in the Network layer in conjunction with the Space Data Link Layer Protocols recommended by the CCSDS. Those two standards are the Space Packet Protocol (SPP) [6] and the Encapsulation Service [7]. With the Space Packet Protocol, application processes generate and consume Protocol Data Units (PDU). The Encapsulation Service encapsulates PDU of recognized protocols defined in a Space Assigned Number Authority (SANA) [8] registry into two types of packets, either Space Packets or Encapsulation Packets. External protocols data units, such as the Internet Protocol datagrams, can be transmitted by CCSDS Space Data Link Protocols, although they cannot be directly encapsulated by the Encapsulation Service, and an intermediate service, such as IP over CCSDS (IPoC) [9], must be used.

Figure 1.2.1, shows the recommended protocols by the CCSDS for Space Communications. In Figure 1.2.2 those protocols are arranged in some possible combinations. As it can be seen, IP cannot be directly used neither by the protocols in the Data Link layer nor the Encapsulation Service.

## Layer 3: The Network

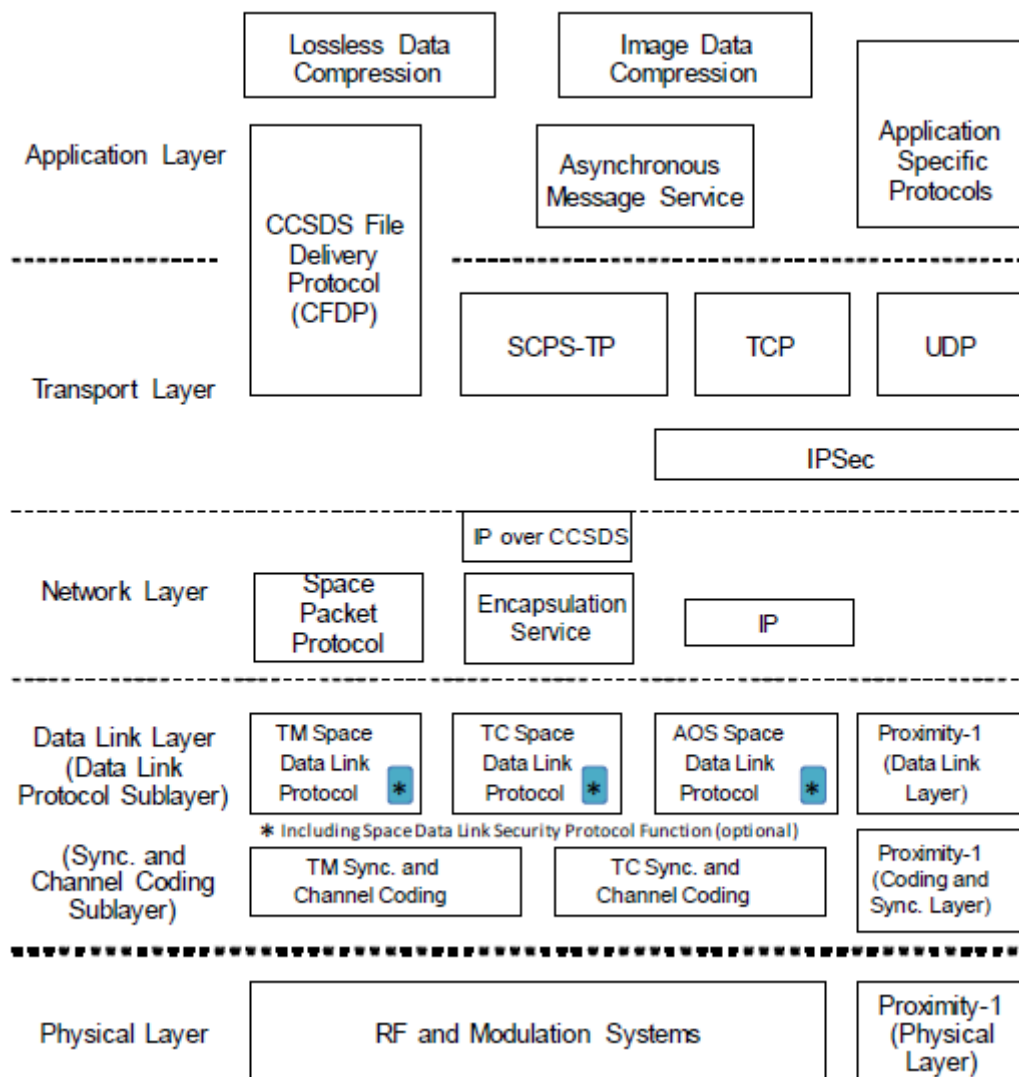
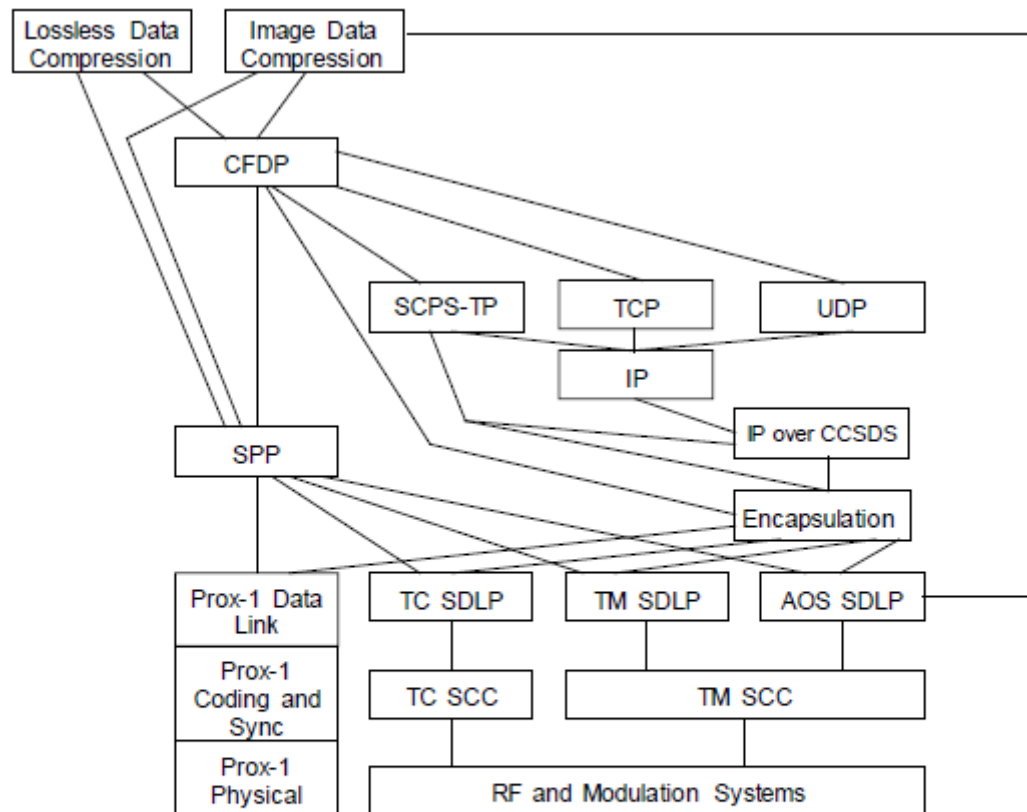


Figure 1.2.1: Protocols recommended by the CCSDS, classified in their respective OSI layers. Extracted from [5].



SCPS-SP and IPsec can be used between the Transport and Network layers in any combination of protocols.

SPP = Space Packet Protocol

SDLP = Space Data Link Protocol & Space Data Link Security (opt.)

SCC = Synchronization and Channel Coding

Figure 1.2.2: Possible Combinations of the CCSDS recommended protocols. Extracted from [5].

Protocols in the Network Layer can be classified according if they are the main protocol (SPP or IP, for example) or they provide additional features so that the main protocol can work efficiently. An example of the latter are routing protocols, and also for IP, IPoC and Encapsulation Service.

In the following pages, a brief review of distinct protocols on the Network layer will take place. Since CCSDS recommends using SPP or Encapsulation Service, only SPP and protocols that can be encapsulated by the Encapsulation Service, either directly or indirectly, will be reviewed. The protocols reviewed will be classified according if they are the main protocol, auxiliary protocols, or routing protocols.

### 1.2.2.1 Main protocols

#### Space Packet Protocol (SPP) [6]

The Space Packet Protocol (SPP) is a protocol designed to efficiently transfer application data over a network of space links. SPP provides a unidirectional data transfer service from a single source user application to one or more destination user applications through one or more subnetworks. The path from the source user application to the destination user application is called a Logical Data Path (LDP). Every LDP is uniquely identified by a Path Identifier (Path ID). The protocol data unit used by this protocol is the Space Packet. Each Space Packet is defined by a header section and a data section.

Each LPD is uniquely identified by a Path ID. A Path ID consists of an Application Process Identifier (APID) and an optional APID Qualifier. APID Qualifiers identify the naming domain for an APID. APIDs are unique in a single naming domain. The APID is part of the header of the Space Packet, but the APID Qualifier must be carried by a protocol of an underlying layer.

The following features are common to the services of the SPP:

- **Pre-configured Services.** The user can send or receive data only through a preconfigured LDP established by management.
- **Unidirectional Services.** One end of an LDP can send, but not receive, data through the LDP, while the other end can receive, but not send. This means A can send to B through a LPD, but for B to send to A has to use a different LDP
- **Asynchronous Services.** There are no predefined timing rules for the transfer of service data units supplied by the service user. The user may request data transfer at any time it desires, but there may be restrictions imposed by the provider on the data generation rate.
- **Unconfirmed Services.** The sending user does not receive confirmation from the receiving end that data has been received.
- **Incomplete Services.** The services do not guarantee completeness, nor do they provide a retransmission mechanism.
- **Non-sequence Preserving Services.** The sequence of service data units supplied by the sending user may not be preserved through the LDP.

## Layer 3: The Network

The following services are assumed from the underlying layers:

- Addressing and routing capabilities for establishing LDPs
- Capability for associating an APID Qualifier for each Space Packet.

The structure of a Space Packet consists of a Packet Primary Header, and a Packet Data Field, which can contain an optional Secondary Header. Figure 1.2.3 shows the structure of the SPP primary header:

Offsets	Octet	0								1							
Octet	Bit	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	0	Packet Version Number			Packet Type	Secondary Header Flag	Application Process Identifier (APID)										
4	32	Sequence Flags		Packet Sequence Count or Packet Name													
8	64	Packet Data Length															

Figure 1.2.3: Example of a header for an SPP Space Packet.

## Internet Protocol version 4 (IPv4) [10]

The Internet Protocol version 4 (IPv4) is the fourth version of the Internet Protocol (IP). It is one of the core protocols of standards-based internetworking methods in the Internet. Despite the ongoing deployment of a successor protocol (IPv6), the IPv4 still routes most of the Internet traffic. IPv4 is a connectionless protocol and does not guarantee delivery, nor does it assure proper sequencing or avoidance of duplicate delivery. These aspects are addressed by a transport layer protocol.

One of the features of IPv4 are addresses. Network addresses are the identification number of any device that is part of a network. IPv4 uses 32-bit (4 byte) addresses. Therefore, the address space is limited to 4294967296 ( $2^{32}$ ) addresses. A IPv4 address is usually represented in two ways: in binary notation, where each group of 8 bits is separated by a dot, or in decimal notation, where each 8-bit binary number is translated to decimal, as it can be seen in Table 1.2.1.

IP address	10101100000100001111111000000001
Dot-binary notation	10101100.00010000.11111110.00000001
Dot-decimal notation	172.16.254.1

Table 1.2.1: IP address notation in dot-decimal and dot-binary.

## Layer 3: The Network

Packets in the IPv4 consist of a header section and a data section. There is no footer at the end of the data section since the protocols in the data link layer and the transport layer provide error correction controls. Headers in a IPv4 packet contain 14 fields, one of them being optional. The fields are packed with the most significant byte first, and the most significant bit is also the first. Headers have a lenght between 20 and 60 bytes. The data section comes after the header, and its format depends on the protocol used (for example, ICMP, IGMP, TCP, etc.). Figure 1.2.4 shows the structure of a IPv4 header.

Offsets	Octet	0								1								2								3							
Octet	Bit	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
0	0	Version				IHL				DSCP				ECN				Total Length															
4	32	Identification																Flags				Fragment Offset											
8	64	Time to Live								Protocol								Header Checksum															
12	96																	Source IP Address															
16	128																	Destination IP Address															
20	160	Options (if IHL>5)																															
24	192																																
28	224																																
32	256																																

Figure 1.2.4: Example of a header for an IPv4 packet. In this case, it has a lenght of 36 bytes.

IPv4 provides fragmentation of packets. If size of the packet is bigger than the maximum transmission unit (MTU) of the destination, and the message allow fragmentation (the option of Do not Fragment in the header of the packet is set to 0) the transmitting router will divide the packet in fragments smaller than the MTU.

## Internet Protocol version 6 (IPv6) [11]

The Internet Protocol version 6 (IPv6) is the most recent version of the Internet Protocol, developed to solve the problem of the exhaustion of IP addresses of the IPv4. IPv6 is intended to replace IPv4. The new features of the IPv6 compared of those of the IPv4 are the following:

- Larger adress space: The length of IPv6 addresses is 128 bits, which is four times the length of IPv4 addresses. It offers a capacity of  $2^{128}$  addresses.
- Multicasting: IPv6 acomplishes multicasting without using other protocols (such as IGMP for IPv4)
- Stateless address autoconfiguration (SLAAC): IPv6 hosts can configure themselves automatically when they are connected to a IPv6 network using the Neighbor Discovery Protocol via Internet Control Message Protocol version 6 (ICMPv6) router discovery messages. When a host is connectes for the first time, it sends a link-local router solicitation multicast request for its configuration parameters. Then, routers



## Layer 3: The Network

respond to the request with a router advertisement packet that contains Internet Layer configuration parameters.

- Network-layer security: Internet Protocol Security was developed for IPv6 before it was adapted for IPv4.
- Simplified processing by routers: Packet headers and the process of packet forwarding have been simplified, so packet processing by routers is more efficient. Headers now have a fixed length of 40 bytes, and may have an optional section aimed for options between the header section and the data section. Figure 1.2.5 shows the structure of a IPv6 header. IPv6 routers do not perform fragmentation.
- Mobility: Mobile IPv6 avoids triangular routing (unlike IPv4) and is as efficient as native IPv6.
- Options extensibility: IPv6 headers have a structure capable of extending the protocol in the future without affecting the core packet structure.
- Jumbograms: IPv4 limits packets to  $(2^{16}) - 1$  octets per payload. A IPv6 node can handle packets of  $(2^{32}) - 1$  octets (called jumbograms).

Offsets	Octet	0								1								2								3							
Octet	Bit	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
0	0	Version				Traffic Class								Flow Label																			
4	32	Payload Length																Next Header								Hop Limit							
8	64	Source Address																															
12	96																																
16	128																																
20	160																																
24	192	Destination Address																															
28	224																																
32	256																																
36	288																																

Figure 1.2.5: Example of a header for an IPv6 packet.

### 1.2.2.2 Auxiliary protocols

#### Encapsulation service [7]

The Encapsulation Service is a service used to transfer data units that can not be directly transferred by the CCSDS Space Data Link Protocols. In order to be directly transferred by a Space Data Link Protocol, a data unit must have a Packet Version Number authorized by the CCSDS (a list of PVN authorized by CCSDS is contained in [12]). With the Encapsulation Service, data units that do not have an authorized VPN can be transmitted with Space Data Link Protocols. The data unit to be transmitted must be of an integral number of octets.

### Layer 3: The Network

A user of the Encapsulation Service is identified by the combination of the following:

- A Packet Version Number (PVN) that indicates whether Space Packets (PVN=1) or Encapsulation Packets (PVN=8) are used for encapsulation,
- An Encapsulated Protocol Identifier (EPI), which is either:
  - An Application Process Identifier (APID) defined in reference (if Space Packets are used).
  - a Protocol ID defined in section 4 of this document (if Encapsulation Packets are used).

The APIDs used by the Encapsulation Service must be registered as ‘reserved APIDs’ in [13]. The Protocol IDs used by the Encapsulation Service must be registered as ‘defined Protocol IDs’ in [14].

If the Data Unit is encapsulated in a Space Packet, the header format of the Space Packet is the same as the one used by Space Packet Protocol, only that the values of the parameters are restricted to some values. On the other hand, if the Data Unit is encapsulated in a Encapsulation Packet, a different header format will be used. This header have a length of 1-8 octets, and for the case of 8 octet it can be shown in Figure 1.2.6

	Bit							
Octet	0	1	2	3	4	5	6	7
0	Packet VersionNumber			Protocol ID			Length of length	
1	User defined fields				Protocol ID extension			
2	CCSDS defined field							
3								
4	Packet length							
5								
6								
7								

Figure 1.2.6: Example of a header for an Encapsulation Packet of maximum length. Some parameters may vary its length in other cases.

### IP over CCSDS (IPoC) [9]

The IP over CCSDS is used to transfer IP Data Units over CCSDS Space Data Link Protocols. IP Data Units are encapsulated in Encapsulation Packets and sent through

Space Data Link Protocols. IPoC uses the CCSDS Internet Protocol Extension (IPE) convention in conjunction with the CCSDS Encapsulation Service. The IPE convention is used to add IPE octets at the beginning of a IP Data Unit, encapsulate the result in an Encapsulation Packet, and transmit it with a CCSDS Space Data Link Protocol. It is used because not all protocols that use an IP datagram have a Protocol ID used by the Encapsulation Packet.

IPoC adds a header at the beginning of the IP Data Unit, called IPE header. The sum of the IP Data Unit and the IPE header is the Data Unit used by the Encapsulation Service. In other words, for the Encapsulation Service, the IPE header and the IP Data Unit are a whole.

The structure of the IPE header will be the following. It must be of a length of an integral number of octets, with a minimum length of 1 octet. Each octet will be divided into two parts: the first seven bits (bits 0-6), and the least significant bit (LSB, bit 7). If more octets are added, the LSB of all octets except the last octet are set to '0'. The value of the IPE header is the decimal value of all the octets. The value of the IPE header must be one of the possible values in [15].

### **Internet Control Message Protocol (ICMP) [16]**

The Internet Control Message Protocol (ICMP) is one of the main protocols of the TCP/IP protocol suite. It is used to send error messages to the source IP of the data packet. It is assigned IP protocol number 1. ICMP messages are typically used for diagnostic, control purposes or generated in response to errors in IP operations. They are processed differently than normal IP processing.

There are many types of control messages that the ICMP can send:

- Source quench: Used to request the sender to decrease the rate of messages sent to a router.
- Redirect: Used to request the sender to send the data to another router.
- Time exceeded: Used by a gateway to inform the sender of a discarded datagram due to the time to life field reaching zero. It is also used to inform the sender that a fragment of a message has not been reassembled within the time limit
- Timestamp: Used for time synchronization. The sender sends the timestamp it last touched the packet (in milliseconds since midnight)

- **Timestamp reply:** Used to reply a timestamp. The receiver of the timestamp message replies the sender with the original timestamp, the timestamp when the message was received, and the timestamp when the reply was sent.
- **Address mask request:** Used by a host to obtain the subnet mask of a router
- **Address mask reply:** Used to reply the address mask request returning the subnet mask.
- **Destination unreachable:** Used by the host or its inbound gateway to inform the client that the destination is unreachable.

### **Internet Control Message Protocol version 6 (ICMPv6) [17]**

The Internet Control Message Protocol version 6 (ICMPv6) is the implementation of the ICMP for IPv6. Several extensions have been published that define new types of ICMPv6 messages, as well as new options for existing message types. One of those is the Neighbor Discovery Protocol (NDP), a node discovery protocol for IPv6 that replaces and enhances the features of the Address Resolution Protocol (ARP). Secure Neighbor Discovery (SEND) is, respectively, an extension of NDP with extra security. Multicast Router Discovery (MRD) allows discovery of multicast routers.

### **Internet Group Management Protocol (IGMP) [18]**

The Internet Group Management Protocol (IGMP) is used by hosts and adjacent routers on IPv4 networks to establish multicast group memberships. It is a part of IP multicast, and it is used in one-to-many networking applications such as online streaming video. IGMP operates between the client computer and a local multicast router. IGMP messages are carried in bare IP packets with protocol number 2.

### **Internet Protocol Security (IPsec) [19]**

The Internet Protocol Security (IPsec) is a protocol suite for secure Internet Protocol (IP) communications. It authenticates and encrypts each IP packet of a communication session. IPsec includes protocols for establishing mutual authentication between agents at the beginning of the session and negotiation of cryptographic keys to be used during the session. IPsec can be used in protecting data flows between a pair of hosts (host-to-host), between a pair of security gateways (network-to-network), or between a security gateway and a host (network-to-host). It supports network-level peer authentication, data origin authentication, data integrity, data confidentiality (encryption), and replay protection.

IPsec uses the following protocols to perform various functions;

- Authentication Headers (AH): Provides connectionless data integrity and data origin authentication for IP datagrams, and provides protection against replay attacks.
- Encapsulating Security Payloads (ESP): Provide confidentiality, data-origin authentication, connectionless integrity, an anti-replay service, and limited traffic-flow confidentiality.
- Security Associations (SA): Provides the bundle of algorithms and data that provide the parameters necessary for AH and ESP operations.

### **Protocol Independent Multicast (PIM) [20] [21]**

The Protocol Independent Multicast (PIM) is a family of multicast routing protocols for Internet Protocol (IP) networks that provide one-to-many and many-to-many distribution of data. PIM does not include its own topology discovery mechanism, but instead uses routing information supplied by other routing protocols.

There are four variants of PIM:

- PIM Sparse Mode (PIM-SM): It builds unidirectional shared trees rooted at a rendezvous point (RP) per group, and optionally creates shortest-path trees per source. It is called sparse-mode because it is suitable for groups where low percentage of the nodes will subscribe to the multicast session.
- PIM Dense Mode (PIM-DM): It uses dense multicast routing. It builds shortest-path trees by flooding multicast traffic domain wide, and then pruning back branches of the tree where no receivers are present. Dense mode is ideal for groups where many of the nodes will subscribe to receive the multicast packets.
- Bidirectional PIM: It builds shared bi-directional trees. It never builds a shortest path tree, so may have longer end-to-end delays than PIM-SM.
- PIM Source-Specific Multicast (PIM-SSM): It builds trees that are rooted in just one source, offering a more secure model for a limited amount of applications (mostly broadcasting of content). In SSM, an IP datagram is transmitted by a source S to an SSM destination address G, and receivers can receive this datagram by subscribing to channel (S,G).

### 1.2.2.3 Routing protocols

#### **Enhanced Interior Gateway Routing Protocol (EIGRP) [22]**

The Enhanced Interior Gateway Routing Protocol (EIGRP) is a routing protocol used on a computer networks for automating routing decisions and configuration. This protocol was designed by Cisco Systems and it was only available for Cisco routers. In 2003, partial functionality of EIGRP was converted to an open standard and in 2016 was published with informational status. EIGRP is used on a router to share routes with other routers in the same autonomous system.

All routers contain a routing table that lists the routes to network destinations. If a router cannot find a valid path to the destination, the traffic is discarded. EIGRP is a dynamic routing protocol, which means that routers automatically exchange information about routes and, therefore, the administrator does not have to change the routing table manually. Besides the routing table, routers additionally have two more tables.

- Neighbour table. It stores the IP address of the routers that have a direct connection with this router. If a router is connected to another with an intermediate router, it will not be recorded in this table.
- Topology table. It keeps record of routes that has learned from neighbouring router tables, and also records the distance (number of intermediate routers) of each route, the feasible successor and the successors (other routes that have the same destination and are loop free). Routes in this table are either labelled as "passive" or "active". Passive means that EIGRP has determined the path for the specific route and has finished processing. Active means that EIGRP is still trying to calculate the best path for the specific route. The router does not use the routes in this table. A route in this table will be inserted in the routing table when is marked as passive, is not a feasible successor and does not have a higher distance than an equivalent path

If there is a change in the network (a link fails, or a router is disconnected), the path becomes unavailable, and is removed from the routing table. The routing table of a router will be updated, and only the changes since the previous update will be transmitted to the neighbouring routers. The information about the changes in the routing table is not transmitted periodically, but only when a change actually occurs.

EIGRP supports the following features:

- Support for Classless Inter-Domain Routing (CIDR) and variable length subnet masking. Routes are not summarized at the classful network boundary unless auto summary is enabled.
- Support for load balancing on parallel links between sites.
- The ability to use different authentication passwords at different times.
- MD5 authentication between two routers.
- Sends topology changes, rather than sending the entire routing table when a route is changed.
- Periodically checks if a route is available and propagates routing changes to neighboring routers if any changes have occurred.
- Runs separate routing processes for Internet Protocol (IP), IPv6, IPX and AppleTalk through the use of protocol-dependent modules (PDMs).

EIGRP does not operate using the Transmission Control Protocol (TCP) or the User Datagram Protocol (UDP). This means that EIGRP does not use a port number to identify traffic. Rather, EIGRP is designed to work on top of layer 3. Since EIGRP does not use TCP for communication, it implements Cisco's Reliable Transport Protocol (RTP) to ensure that EIGRP router updates are delivered to all neighbors completely.

### **Open Shortest Path First (OSPF) [23] [24]**

The Open Shortest Path First (OSPF) is a routing protocol for Internet Protocol (IP) networks that operates in a single autonomous system. OSPF version 2 is designed for IPv4, while OSPF version 3 is designed for IPv6. It works by gathering link state information from available routers and constructing a topology map of the network. The topology is presented as a routing table to the Internet layer which routes packets based solely on their destination IP address. OSPF detects changes in the topology, such as link failures, and creates a new loop-free routing structure. It computes the shortest-path tree for each route using a method based on Dijkstra's algorithm. OSPF does not use a transport protocol, such as UDP or TCP, but encapsulates its data directly in IP packets with protocol number 89. It implements its own transport layer error detection and correction functions. OSPF uses multicast addressing for distributing route information within a broadcast domain.

OSPF supports complex networks with multiple routers, including backup routers, to balance traffic load on multiple links to other subnets. Routers form adjacencies when

they have detected each other. This detection is initiated when a router identifies itself in a Hello protocol packet. Upon acknowledgment, this establishes a two-way state and the most basic relationship. The routers in an Ethernet or Frame Relay network select a Designated Router (DR) and a Backup Designated Router (BDR) which act as a hub to reduce traffic between routers. OSPF establishes and maintains neighbor relationships for exchanging routing updates with other routers. The neighbor relationship table is called an adjacency database. Two OSPF routers are neighbors if they are members of the same subnet and share the same area ID, subnet mask, timers and authentication. OSPF adjacencies are formed between selected neighbors and allow them to exchange routing information. Two routers become adjacent if at least one of them is Designated Router or Backup Designated Router (on multiaccess type networks), or they are interconnected by a point-to-point or point-to-multipoint network type.

OSPF does not carry data via a transport protocol. Instead, OSPF forms IP datagrams directly, packaging them using protocol number 89 for the IP Protocol field. OSPF defines five different message types, for various types of communication:

- **Hello:** It is used to allow a router to discover other adjacent routers on its local links and networks. The messages establish adjacencies between neighboring devices. During normal operation, routers send hello messages to their neighbors at regular intervals. If a router stops receiving hello messages from a neighbor, after a set period the router will assume the neighbor has gone down.
- **Database Description:** It contains descriptions of the topology of the autonomous system or area. They convey the contents of the link-state database (LSDB) for the area from one router to another. Communicating a large LSDB may require several messages to be sent.
- **Link State Request:** These messages are used by one router to request updated information about a portion of the LSDB from another router. The message specifies exactly which link about which the requesting device wants more current information.
- **Link State Update:** These messages contain updated information about the state of certain links on the LSDB. They are sent in response to a Link State Request message, and also broadcast or multicast by routers on a regular basis. Their contents are used to update the information in the LSDBs of routers that receive them.
- **Link State Acknowledgment:** These messages provide reliability to the link-state exchange process, by explicitly acknowledging receipt of a Link State Update message.



**Routing Information Protocol (RIP) [25] [26]**

The Routing Information Protocol (RIP) is a routing protocol. It uses a hop count to establish the distance between two routers and, in order to prevent loops, establishes 15 as the limit number of hops in a route. If the number of hops is 16, the distance between the two routers is considered infinite. Each router has a routing table with all the routes to each possible destination, and the number of hops to get there. There are 3 versions of RIP: RIPv1, which is the original, RIPv2, which is an updated version of RIPv1, and RIPv2, which is the new generation of RIP compatible with IPv6.

The operating principle of the RIP is the following: When a RIP router comes online, it sends a broadcast message to all of its RIP enabled interfaces. All the neighbouring routers that receive the Request message respond back with the Response Message containing their Routing table. The Response Message is also gratuitously sent when the Update timer expires (by default, 30 seconds). On receiving the Routing table, the router processes each entry of the routing table as per the following rules:

- If there are no route entries matching the one received then the route entry is added to the routing table automatically, along with the information about the router from which it received the routing table.
- If there are matching entries but the hop count metric is lower than the one already in its routing table, then the routing table is updated with the new route.
- If there are matching entries but the hop count metric is higher than the one already in its routing table, then the routing entry is updated with hop count of 16 (infinite hop). The packets are still forwarded to the old route. A Hold-down timer is started and all the updates for that route from other routers are ignored. If after the Hold-down timer (per default 180 seconds) expires and still the router is advertising with the same higher hop count then the value is updated into its routing table. Only after the timer expires, the updates from other routers are accepted for that route.

If the Invalid timer (per default 180 seconds) expires and a routing entry has not been updated, the hop counter of that route will be set to 16, marking the route as invalid. Then, if the Flush timer (per default 240 seconds) expires, the invalid route entry will be removed

### 1.2.3 Protocol Selection

#### 1.2.3.1 Choice of the main protocol

The choice of the main protocol will be between SPP, IPv4 and IPv6. To make the choice, it is important to take into account that the Astrea constellation is a network that can be of more than two hundred satellites, which will communicate point-to-point. Each node can be the source, the destination or an intermediate node of a communication route.

SPP has the advantage of being designed to work easily with the protocols of the adjacent layers, while IP needs IP over CCSDS and Encapsulation Service. However, SPP requires a parameter called Path ID, which is the identifier of a Logical Data Path. Since each satellite of Astrea constellation can be the source or the destination of a data path, this means that for a network of 200 nodes, there are  $200 \times 199 = 39800$  possible routes. The parameter to indicate the Path ID has a length of 11 bits, which can identify 2048 different routes, which is not enough. Another issue to take into account is that since the ground station nodes of the constellation are moving respect the satellite nodes, their relative position changes and, therefore, paths also change. If the path associated to a Path ID changes during a transmission, or if it is not updated for all nodes at the time of the transmission, errors can occur. This does not happen with IP, since instead of Path ID it uses the IP address of the source and destination node. For this reason, SPP is discarded.

The main differences between IPv4 and IPv6 are the header of the datagram and the IP addresses of the nodes. Since our network is private and it is not intended to be connected to the Internet, nodes can have an arbitrary IP address assigned. For this reason, IPv4 addresses are better, since they are shorter than IPv6 addresses. The size of the header would also be smaller in IPv4 than IPv6. However, for long datagrams, the extra length of IPv6 headers is irrelevant. Another difference is that IPv6 datagrams require less processing power, however, since the processing power is very small compared to the power required by the antennas this factor also has little importance in terms of power. However, it is important in terms of time, since less processing means less time to process. Other features of IPv6 that, in Astrea network, do not provide benefits are the multicast and mobility features, which the network will not have. Additionally, due to the changing nature of the constellation, jumbograms will not be used because a packet so long may be interrupted when the path changes.

The real benefits of IPv6 over IPv4 is that there are less additional protocols compared to IPv4 to perform the same features, since ICMPv6 provides the features of ICMP, ARP and

IGMP, and some features of IPv6 itself and its additional protocols have been eliminated since they were already performed by other layer protocols and were redundant. All of this helps to reduce the time required to process the data and this, in long paths, is a significant factor.

If reliable adjacent layer protocols are provided, IPv6 is the best option, due to less processing in routers and more simple additional protocols. Additionally, IPv6 is progressively replacing IPv4 and, therefore, using IPv6 has no risk of being obsolete.

### **1.2.3.2 Choice of routing protocol**

The choice of the routing protocol will be between EIGRP, OSPF and RIP.

EIGRP is a protocol compatible with either IPv4 and IPv6. Contrary to other protocols, it only sends topology changes instead of the whole routing table, allowing for less data transmitted. It also contains more information about routes than other routing protocols, and provides authentication processes.

RIP is a protocol that, compared to EIGRP and OSPF, has the drawback that its time to converge and its scalability are poor. Additionally, RIP uses the User Datagram Protocol (UDP) as its transport protocol. On the other, it is easier to configure than other protocols.

OSPF is a protocol also compatible with IPv4 and IPv6. Unlike EIGRP, each router exchanges its adjacency links with adjacent routers and then, each router creates its own map of the network and, using this map, each router creates its own routing table. However, it has mechanisms to ensure that there are not loops in the network.

Taking into account that nodes in the Astrea network have an order of magnitude of 200 and is continuously changing the data paths. Also, since Astrea is a network where a node can be the beginning or the end of a communication, this means that for a given node there has to be a route to every other node in the network, and for a network of 200 nodes, there are 199 possible routes for the 200 nodes, which is a total of 39800 different entries in the routing table only for the satellite nodes. Since RIP has longer time to converge compared to other protocols, and due to the huge size of the routing table, RIP is discarded.

EIGRP does not have this problem because it does not transmit the whole routing table, but only the changes. Although the network is continuously moving, the paths between the satellite nodes remain the same. The problem happens with the ground nodes, which are continuously changing its position respect the satellite nodes due to Earth's rotation. And since each satellite node can communicate with every ground station, the number of entries in the routing table that will be updated for a network of 200 satellite nodes and 5 ground stations is  $200 \times 5$ , which is 1000 entries that will be updated frequently. Since OSPF does not transmit the routing table but only the adjacencies, only 205 entries will be transmitted. This reduces the time to share the updated information to the whole network. For this reason, OSPF is chosen.

#### **1.2.3.3 Choice of complementary protocols**

The choice of which protocols include will depend on the main protocol of the network layer and the degree of services featured by the communication process.

Since IPv6 has been chosen, IP over CCSDS and Encapsulation Service are necessary. Additionally, ICMPv6 greatly expand the features of IPv6 such as flow control. Security features are already provided in the Data Link layer and, therefore, IPsec is not necessary. Also, no multicast features are required, so no multicast protocols will not be used.

#### **1.2.3.4 Conclusion**

It has been decided that IPv6 will be the network layer protocol, complemented with IPoC, Encapsulation Service and ICMPv6, and with OSPF as the routing protocol.

### **1.2.4 Final structure**

As the protocols have already been chosen, it is time to establish how will be the headers of the different protocols.

The IPv6 header will depend greatly on the protocol of the upper layers, or the auxiliary protocol (OSPF, ICMPv6). The main parameters of the IPv6 header, that can be seen in Figure 1.2.5, are the following:

- **Version** Current version of IP, which for IPv6 is 6 (bit sequence 0110).

- **Traffic Class.** The bits of this field hold two values. The 6 most-significant bits are used for differentiated services, which is used to classify packets. The remaining two bits are used for ECN; priority values subdivide into ranges: traffic where the source provides congestion control and non-congestion control traffic.
- **Flow Label.** The flow label when set to a non-zero value now serves as a hint to routers and switches with multiple outbound paths that these packets should stay on the same path so that they will not be reordered.
- **Payload Length.** The size of the payload in octets, including any extension headers. The length is set to zero when a Hop-by-Hop extension header carries a Jumbo Payload option.
- **Next Header.** Specifies the type of the next header. This field usually specifies the transport layer protocol used by a packet's payload. When extension headers are present in the packet this field indicates which extension header follows. The values are shared with those used for the IPv4 protocol field, as both fields have the same function (see List of IP protocol numbers in [27]).
- **Hop Limit.** This value is decremented by one at each intermediate node visited by the packet. When the counter reaches 0 the packet is discarded.
- **Source Address.** The IPv6 address of the sending node.
- **Destination Address.** The IPv6 address of the destination node.

It has been stated that, since Astrea network is a private network that will not be connected to the Internet, IP addresses will be arbitrary assigned to the nodes of the network.

For the IPoC header, the value for IPv6 datagrams is 87, so the header of OPoC will be 01010111

For the Encapsulation Service, depending of the length of the data unit transmitted, the header will vary. For data units up to 65531 octets, the Encapsulation Service header will be the following: 11101010-00000000-XXXXXXXX-XXXXXXXX, where XXXXXXXX-XXXXXXXX is the binary number of the total length of the Encapsulation Packet, including the Encapsulation Packet header.

## 1.3 Layer 4: Transport and Session

This layer is the one in charge of the free-of-error transference of data from one process to another. Therefore, its goal is to provide and guarantee a reliable and cheap flow of the data.

Whereas the network layer oversees source-to-destination delivery of individual packets, it does not recognize any relationship between those packets. It treats each one independently, as though each piece belonged to a separate message, whether or not it does. The transport layer, on the other hand, ensures that the whole message arrives intact and in order, overseeing both error control and flow control source-to-destination level.

A transport layer can be either connectionless or connection-oriented. A connectionless transport layer treats each segment as an independent packet and delivers it to the transport layer at the destination machine. A connection-oriented transport layer makes a connection with the transport layer at the destination machine first before delivering the packets. After all the data is transferred, the connection is terminated.

In the transport layer, a message is normally divided into transmittable segments. A connectionless protocol, such as UDP, treats each segment separately. A connection-oriented protocol, such as TCP and SCTP, creates a relationship between the segments using sequence numbers.

The transport layer is responsible for process-to-process delivery, i.e, the delivery of a packet, part of a message, from one process to another. Two processes communicate in a client/server relationship.

Regarding addressing, at the transport layer, it is necessary a transport layer address, called a port number, to choose among multiple processes running on the destination host. The destination port number is needed for delivery, whereas the source port number is needed for the reply.

The addressing mechanism allows multiplexing and demultiplexing by the transport layer.

### 1.3.1 User Datagram Protocol (UDP)

The User Datagram Protocol (UDP) is a connectionless, unreliable transport protocol. The only new feature regarding IP is that it provides process-to-process communication

instead of host-to-host communication, and performs a very limited error checking. It might seem a powerless protocol, but its main point is that is a very simple protocol using a minimum of overhead. Therefore, if a process wants to send a small message and no extremely reliability is required, UDP is a good choice.

Nevertheless, regarding the aim of this project, it is unacceptable to use UDP, since reliability is a key factor and must be taken into account.

### **1.3.2 Stream Control Transmission Protocol (SCTP)**

The Stream Control Transmission Protocol is a new reliable, message-oriented transport layer protocol. Nevertheless, it has been designed and implemented mostly for Internet applications, such as IUA or SIP. But precisely it does not fit the goal of this project.

Therefore, as there is a better choice (which will be deeply and widely explained in the following section), this protocol will not be considered.

### **1.3.3 Transmission Control Protocol (TCP)**

The Transmission Control Protocol is again a process-to-process protocol. Consequently it uses port numbers. The main difference with the UDP is that TCP is a connection-oriented protocol, which means that creates a virtual connection between two TCP's in order to send data. Moreover, TCP uses flow and error control mechanisms. It is then a more reliable protocol than UDP. It adds connection-oriented and reliability features to the services of IP.

This will be the protocol chosen for this project, so it will be explained in detail in this section.

#### **1.3.3.1 TCP Services**

Process-to-process communication: Like UDP, TCP provides this type of communication, using port numbers. In the following image there are the main well-known port numbers used by TCP.

## Layer 4: Transport and Session

---

<i>Port</i>	<i>Protocol</i>	<i>Description</i>
7	Echo	Echoes a received datagram back to the sender
9	Discard	Discards any datagram that is received
11	Users	Active users
13	Daytime	Returns the date and the time
17	Quote	Returns a quote of the day
19	Chargen	Returns a string of characters
20	FIP, Data	File Transfer Protocol (data connection)
21	FIP, Control	File Transfer Protocol (control connection)
23	TELNET	Tenninal Network
25	SMTP	Simple Mail Transfer Protocol
53	DNS	Domain Name Server
67	BOOTP	Bootstrap Protocol
79	Finger	Finger
80	HTTP	Hypertext Transfer Protocol
111	RPC	Remote Procedure Call

Stream Delivery Service: as has been mentioned before, TCP, unlike UDP, is a stream-oriented protocol. UDP does not recognize any relationship between the datagrams. TCP, in contrast, allows the sending process to deliver data as a stream of bytes and allows the receiving process to obtain data as a stream of bytes. A way of explaining this would be an environment in which the two processes seems to be linked by and imaginary "tube" that carries the data across the Internet. The sending process produces the stream of bytes and the receiving process consumes them. This is, the first writes and the last reads.

Sending and Receiving Buffers: Since the sending and receiving processes might not write or read data at the same speed, there is a need for storage in TCP. Therefore, TCP includes two buffers, the sending buffer and the receiving buffer. A deeper look into those buffers can be performed by looking at the bibliography.

Full-Duplex Communication: TCP allows full-duplex service, so that data can flow in both directions at the same time. Each TCP has a sending and receiving buffer, and segments move in both directions. This feature is very important for the goal of this project.



Segments: Although buffering solves the problem of different speeds of producing and consuming, there is still one important feature to be discussed. The data needs to be sent in packets, not as an endless stream of bytes. Therefore, TCP groups a number of bytes together into a packet called a segment. A header is added to each segment for control purposes.

### **1.3.3.2 TCP features**

In order to provide the services that have been explained, TCP has some features that will be briefly discussed.

#### **Numbering Systems**

TCP keeps track of the segments being transmitted or received, using the header previously discussed. There are in addition two fields, the sequence number and the acknowledgement number, which refer to the byte number, not the segment number.

TCP numbers all data bytes that are transmitted in a connection. Numbering is independent in each direction. When TCP receives bytes of data from a process, it stores them in the sending buffer and numbers them. Typically, it generates randomly a number between 0 and  $2^{32} - 1$  for the number of the first byte. For example, if the random number happens to be 1427 and the total data to be sent are 5000 bytes, the bytes are numbered from 1427 to 6426. This system is used for flow and error control.

After the bytes have been numbered, TCP assigns a sequence number to each segment that is being sent. The sequence number for each segment is the number of the first byte carried in that segment. This is, the value in the sequence number field of a segment defines the number of the first data byte contained in that segment.

The value of the acknowledgement field in a segment defines the number of the next byte a party expects to receive. It is a cumulative number.

#### **Flow Control**

TCP provides flow control, which means that the receiver can control the amount of data that is to be sent by the sender. The purpose of this is to avoid over-whelmed receivers.

## Error Control

In order to provide a reliable service, TCP implements an error control mechanism. It considers a segment as the unit of data for error detecting, even though there is also a byte-oriented control mechanism.

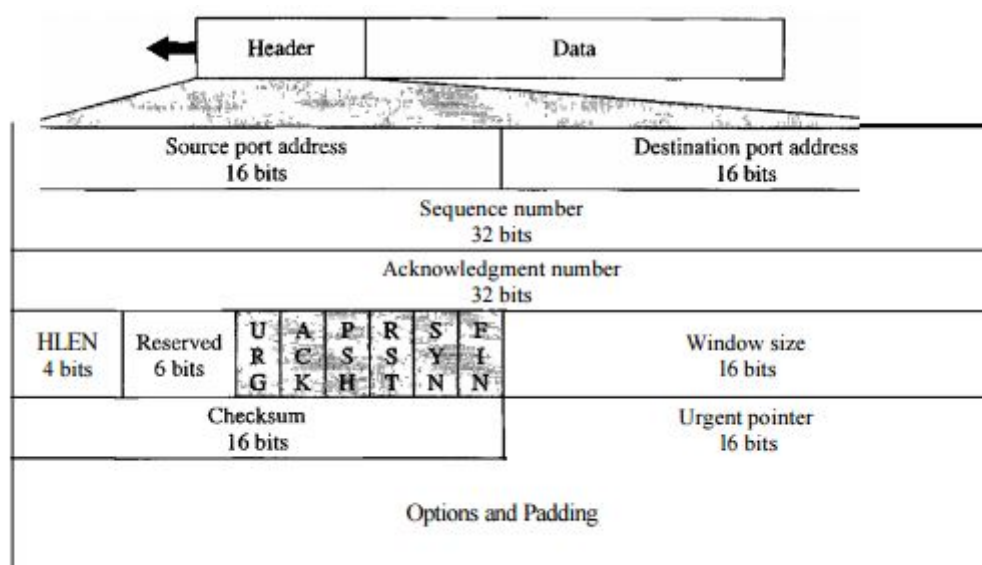
## Congestion Control

TCP also takes into account congestion in the network, by the detenning of the flow depending on the level of congestion in the network.

## Segment

As has been explained before, a packet in TCP is called a segment. The aim of this point is to explain in detail what a segment is and how its structure is.

The typical format of the segment is shown in the next figure.



The segment consists of a 20 to 60-byte header, followed by data from the application program. The byte is 20-byte long if there are no options, and up to 60-bytes if there are options.

The main parts of the format are to be discussed in the following lines.

**Source Port Address**

This is a 16-bit field that states the port number of the application program in the host that is sending the segment.

**Destination Port Address**

It is also a 16-bit that defines the port number of the application program in the host that is receiving the segment.

**Sequence Number**

This 32-bit field defines the number assigned to the first byte of the data contained in the segment considered. This numeration has been previously explained.

**Acknowledgement Number**

This is a 32-bit field that defines the byte number that the receiver of the segment is expecting to receive from the other party. If the receiver of the segment has successfully received byte number  $x$ , it defines  $x + 1$  as the acknowledgement number.

**Header Length**

A 4-bit field that indicates the number of 4-byte words in the TCP header. As seen, the length of the header can be between 20 and 60 bytes. Then, the value of this field can be between 5 and 15 (since  $5 \times 4 = 20$ , and  $15 \times 4 = 60$ ).

**Reserved**

This is a 6-bit field reserved for future usage.

**Control**

This field defines 6 different control bits or flags. One or more of those bits can be set at a time.

### Window Size

This field defines the size of the window, in bytes, that the other party must maintain. Since the length of this field is 16 bits, the maximum size of the windows is  $2^{16} = 65535$  bytes.

### Urgent Pointer

Another 16-bit field, which is only valid if the urgent flag is set, which means that the segment contains urgent data. It actually defines the number that must be added to the sequence number to obtain the number of the last urgent byte in the data section of the segment.

### Options

As has been explained, there can be up to 40 bytes of optional information in the TCP Header. This is the purpose of this last field.

### Adaptation to space needs

TCP was established for wired connections initially. Therefore, in order to be eligible for the purpose of this project, it is highly recommended that some slight modifications are done. The Space Communications Protocols Specification (SCPS) defines a set of revisions to the protocols to enable them to operate properly. This is, SCPC-TCP becomes an "upgraded" TCP, specially designed for space application.

With SCPS, TCP the bandwidth of an existing link will be utilized to a significantly higher percentage and more efficiently. It also supports end-to-end communications between applications and is designed to meet the needs of a broad range of space missions.

This is all achieved because of an extension that is added to the header shown before. This extension header is shown next. Each line is a octet of bits; i.e, 8 bits:

SCPS Option Type (20)							
SCPS Option Length							
BETS	SN1	SN2	Com	NL TS			ext

1.3.4 Choice of protocol for the transport layer

Three protocols have been discussed, the UDP, the SCTP and the TCP. The first one has some disadvantages which make it not suitable for the purpose of the project, such as the fact that no reliability is guaranteed, for example, amongst others. The second one is designed mostly for Internet applications, which does not fit the goals of this project. Therefore, the only candidate suitable for the project is the TCP, Transmission Control Protocol, which has already been widely explained and analyzed. As it has the required features that the project demands, it is the chosen protocol for this layer. Also, as it has been established, it is very recommended to use the extension SCPS, due to adaptation to space needs.

1.4 Global Overview

For the sake of clarification, all the elected options are going to be put together obtaining the desired fully designed **protocol stack**.

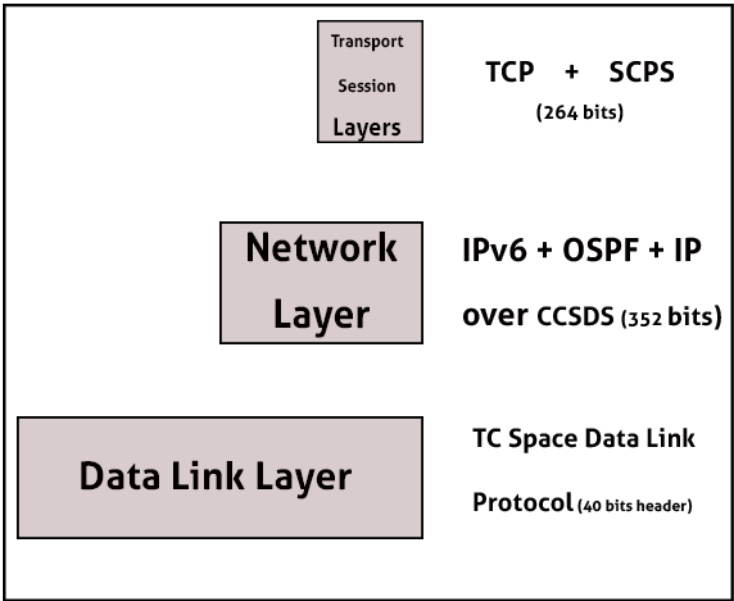


Figure 1.4.1: Overall space communication protocol stack

In total, the overhead is **656 bits**, with conservative calculations. Hence, the quantity is negligible in comparison to the data rate.

## Chapter 2

# Ground Segment Protocols

### 2.1 Introduction

In the previous chapter the space protocols have been selected, so in this one the focus will be on the ground segment protocols. The information will be transmitted to the client using the Internet, so a part of the protocol is already established by the system. However, a secure protocol has to be defined above the Internet protocol to assure confidentiality to the client. The protocol used in the Internet is the TCP/IP protocol suite, that provides an end-to-end data communication specifying how data should be packeted, addressed, transmitted, routed and received. The layer of this protocol that can be adjusted to our needs is the application layer. The application layer is an abstraction layer that specifies the shared protocols and interface methods used by hosts in a communications network. In TCP/IP, the application layer contains the communications protocols and interface methods used in process-to-process communications across an Internet Protocol (IP) computer network. The application layer only standardizes communication and depends upon the underlying transport layer protocols to establish host-to-host data transfer channels and manage the data exchange in a client-server or peer-to-peer networking model. That means that the application layer is very important in Astrea project because it will define how the information is received by the client.

In the following lines the different available protocols for the application layer depending on the presentation of data are explained.

## **2.2 Ground Segment protocols**

### **2.2.1 File Transfer Protocol (FTP)**

The File Transfer Protocol (FTP) is a standard network protocol used to transfer computer files between a client and server on a computer network.

FTP is built on a client-server model architecture and uses separate control and data connections between the client and the server. FTP users may authenticate themselves with a clear-text sign-in protocol, normally in the form of a username and password, but can connect anonymously if the server is configured to allow it. For secure transmission that protects the username and password, and encrypts the content, FTP is often secured with SSL/TLS (FTPS). SSH File Transfer Protocol (SFTP) is sometimes also used instead, but is technologically different.

Setting up an FTP control connection is quite slow due to the round-trip delays of sending all of the required commands and awaiting responses, so it is customary to bring up a control connection and hold it open for multiple file transfers rather than drop and re-establish the session afresh each time.

See more about FTP in [28]

### **2.2.2 Secure Shell (SSH)**

Secure Shell (SSH) is a cryptographic network protocol for operating network services securely over an unsecured network. The best known example application is for remote login to computer systems by users.

SSH provides a secure channel over an unsecured network in a client-server architecture, connecting an SSH client application with an SSH server. Common applications include remote command-line login and remote command execution, but any network service can be secured with SSH.

See more about SSH in [29]

### **2.2.3 Simple Mail Transfer Protocol (SMTP)**

Simple Mail Transfer Protocol (SMTP) is an Internet standard for electronic mail (email) transmission. Email is submitted by a mail client (mail user agent, MUA) to a mail server (mail submission agent, MSA). The MSA delivers the mail to its mail transfer agent (mail

transfer agent, MTA). Often, these two agents are instances of the same software launched with different options on the same machine. Local processing can be done either on a single machine, or split among multiple machines; mail agent processes on one machine can share files, but if processing is on multiple machines, they transfer messages between each other using SMTP, where each machine is configured to use the next machine as a smart host. Each process is an MTA (an SMTP server) in its own right.

SMTP is a connection-oriented, text-based protocol in which a mail sender communicates with a mail receiver by issuing command strings and supplying necessary data over a reliable ordered data stream channel. An SMTP session consists of commands originated by an SMTP client (the initiating agent, sender, or transmitter) and corresponding responses from the SMTP server (the listening agent, or receiver) so that the session is opened, and session parameters are exchanged.

See more about SCTP in [30]

#### **2.2.4 Hypertext Transfer Protocol (HTTP)**

The Hypertext Transfer Protocol (HTTP) is an application protocol for distributed, collaborative, hypermedia information systems. HTTP is the foundation of data communication for the World Wide Web.

HTTP functions as a request–response protocol in the client–server computing model. A web browser, for example, may be the client and an application running on a computer hosting a website may be the server. The client submits an HTTP request message to the server. The server, which provides resources such as HTML files and other content, or performs other functions on behalf of the client, returns a response message to the client. The response contains completion status information about the request and may also contain requested content in its message body.

#### **2.2.5 Transport Layer Security (TLS)**

Transport Layer Security (TLS) is a cryptographic protocol that provides communications security over a computer network. Several versions of the protocol find widespread use in applications such as web browsing, email, Internet faxing, instant messaging, and voice-over-IP (VoIP). Major websites use TLS to secure all communications between their servers and web browsers.

The Transport Layer Security protocol aims primarily to provide privacy and data integrity between two communicating computer applications. When secured by TLS, connections



between a client and a server have one or more of the following properties:

- The connection is private (or secure) because symmetric cryptography is used to encrypt the data transmitted. The keys for this symmetric encryption are generated uniquely for each connection and are based on a shared secret negotiated at the start of the session. The server and client negotiate the details of which encryption algorithm and cryptographic keys to use before the first byte of data is transmitted. The negotiation of a shared secret is both secure (the negotiated secret is unavailable to eavesdroppers and cannot be obtained, even by an attacker who places themselves in the middle of the connection) and reliable (no attacker can modify the communications during the negotiation without being detected).
- The identity of the communicating parties can be authenticated using public-key cryptography. This authentication can be made optional, but is generally required for at least one of the parties (typically the server).
- The connection ensures integrity because each message transmitted includes a message integrity check using a message authentication code to prevent undetected loss or alteration of the data during transmission.

### **2.2.6 Hypertext Transfer Protocol Secure (HTTPS)**

HTTPS is a protocol for secure communication over a computer network which is widely used on the Internet. HTTPS consists of communication over Hypertext Transfer Protocol (HTTP) within a connection encrypted by Transport Layer Security. The main motivation for HTTPS is authentication of the visited website and protection of the privacy and integrity of the exchanged data.

See more about HTTP and HTTPS in [31]

## **2.3 Conclusions**

At first, it has to be taken into account that this layer provides the platform in which the client will make contact with the service. At this point, not only the technical criteria should be considered, but also how the service is presented. It has to be found a friendly use method for the client keeping the technical efficiency.

Analizing the previous protocols, avoiding the technical details of each one, there are considered three ways of working, with its advantages and drawbacks:

- **Web.** This system would be based in HTTP and implemented with the corresponding security protocols in order to ensure the privacy of the data. In this case the client would enter with its computer a https address where he/she would sign in with an account. When the user is verified, the client could request to download information of his satellite.
  - Advantages:
    - \* It would have a really friendly use for the customer.
    - \* It could include friendly information for the user such as who we are, how to contact, FAQs, etc.
    - \* It could be very automatized.
    - \* The information could be protected with the adequate security protocols.
    - \* The client would not need any special software.
  - Disadvantages:
    - \* The web would be vulnerable to some type of attacks or problems that would compromise the data. This could avoid the communication between the user and the network.
    - \* It would need several maintenance.
    - \* There would be some type of data, like videos and photos, which the client would want to download as a file. So the web would have to be complemented with a file transfer protocol.
    - \* The web would have to be designed.
- **Mail.** This method would be implemented over a SMTP with the corresponding security protocols. If the client wants to download data of his satellite, he/she would have to send a mail specifying the request. Then the client will receive an email with the information.
  - Advantages:
    - \* It would be very secure and stable.
    - \* The mail could not fail as a web does.
    - \* The client would not need any special software.
    - \* The information could be sent and received as a text or as a file.
  - Disadvantages:
    - \* It could not be automatized, and this makes it inefficient.
    - \* It is not very friendly to use for a client.
    - \* If there is some information missing in the request the client would have to wait for an answer and then complete the information.

- **Application.** The idea is that the client would operate in his computer with this software, and when he/she want to upload or download something, the program would use a secure internet channel to transfer the information. This system would be implemented over a FTP or a SSH. For using this method it has to be implemented a platform for the client use.
  - Advantages:
    - \* It would be really friendly use for the customer.
    - \* It would be really secure and stable.
    - \* It could include friendly information for the user as: who we are, how to contact, FAQs, etc.
    - \* The information could be sent and received as a text or a files.
  - Disadvantages:
    - \* It would need to be downloaded and installed.
    - \* It would need some maintenance.
    - \* It would need to be designed.

Taking into account the advantages and disadvantages of each method it is concluded that an application is the method with the better security, efficiency and friendly-use relationship. The application will ensure a high security of the data, a robust access to it, and a friendly interface for the user.

This system could work with a FTP or with a SSH. Both would work properly in the system and have very similar characteristics, but SSH is more secure than FTP, so the system would be ruled by a SSH protocol.

## Chapter 3

# Design of the Ground Segment

### 3.1 Study of localization of Ground Stations

In the following lines, a study of the location of the Ground Stations will be carried in order to know the optimal location for them. As it has been stated in the report, the study has been done using a Matlab code that can be found in [REF TO ANNEX VI. Section 14].

The code evaluates the visibility of a ground stations over time. For a given time, it computes the number of satellites that it has in sight. This analysis is done for a significant period of time in intervals of a fixed time step.

#### 3.1.1 Latitude analysis

It is easy to see that the effect of changing the latitude is practically independent for the longitude. For this reason, the links during the day for a given longitude are studied independently of the latitude and viceversa. Doing the analysis for latitudes between  $0^\circ$  and  $90^\circ$  during 2 days, with 5 minutes time-step, these are the results:

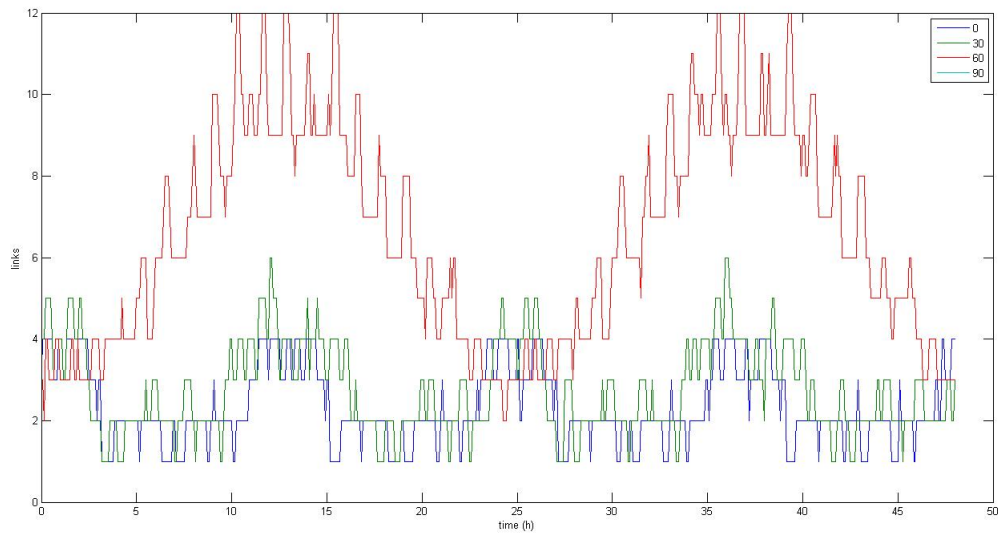


Figure 3.1.1: Number of links versus time for latitudes from  $0^\circ$  to  $90^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for latitude  $0^\circ$ , green line for  $30^\circ$ , red line for  $60^\circ$  and light blue line for  $90^\circ$

As is shown in Figure 3.1.1, the behaviour is not constant during the day. For every day there is a peak and a valley. This is produced for the cylindrical asymmetry of the constellation. It can also be seen that the pole is not covered. This fact was considered and assumed at the design of the constellation since it doesn't involve any problem at the performance of the system. It can also be seen that for an equatorial latitude there is always 1 link, at least. The equator is the most critical place because is where satellites from different planes are more separated. Global coverage can be ensured, but is important to appreciate that for higher latitudes the coverage is better.

Doing the same analysis but for negative latitudes, the following results are obtained:

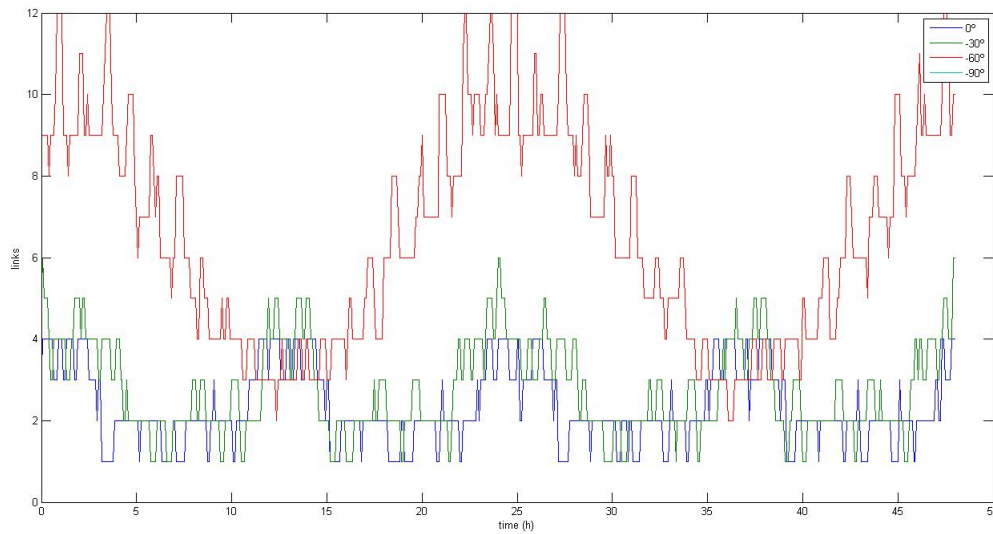


Figure 3.1.2: Number of links versus time for latitudes from  $0^\circ$  to  $90^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for latitude  $0^\circ$ , green line for  $-30^\circ$ , red line for  $-60^\circ$  and light blue line for  $-90^\circ$

Comparing the results of Figure 3.1.2 with the ones of Figure 3.1.1 it is seen that they are practically the same but with an offset of 12 hours. They are also seen small local deviations, but these are not much significant because of the time-step. This time-step is of 5 minutes for a first sight of the tendencies, and it do not allow extremely precise results.

Taking into account that the results of positive latitudes can be extrapolated to negative ones, the rest of the analysis will be done only for positive latitudes. It is important to know at which latitude, close to the poles, the coverage is lost due to the geometry of the constellation.

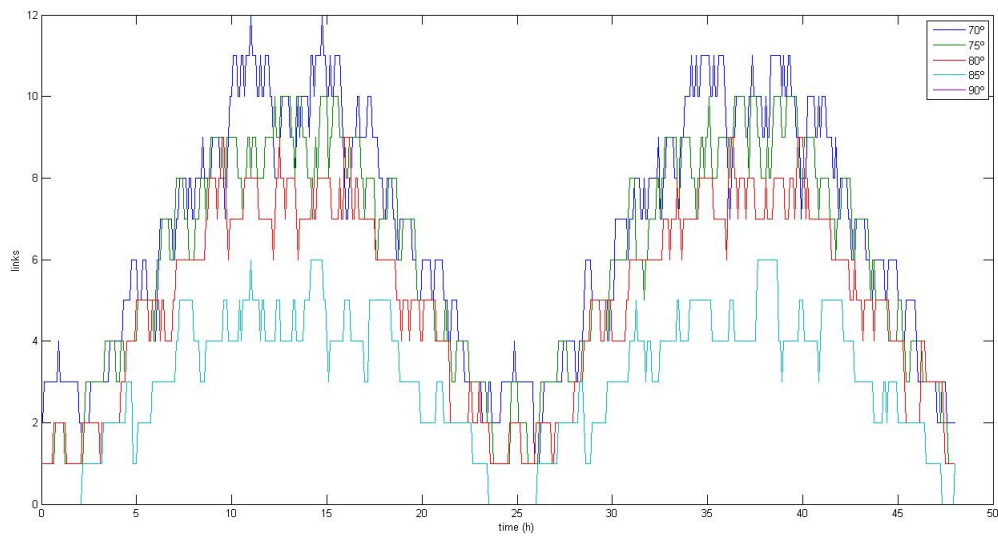


Figure 3.1.3: Number of links versus time for latitudes from  $70^{\circ}$  to  $90^{\circ}$  with a time interval of 5 minutes. Dark blue line shows the results for latitude  $70^{\circ}$ , green line for  $75^{\circ}$ , red line for  $80^{\circ}$ , light blue line for  $85^{\circ}$  and violet line for  $90^{\circ}$

It is seen that over  $80^{\circ}$  of latitude the system starts to lose coverage. It does not cause any problem because there are not inhabited zones over  $+80^{\circ}$  or under  $-80^{\circ}$ . For situating the Ground Stations it has to be considered this restriction.

Now, the latitudes that can provide more links are, around  $60^{\circ}$ :

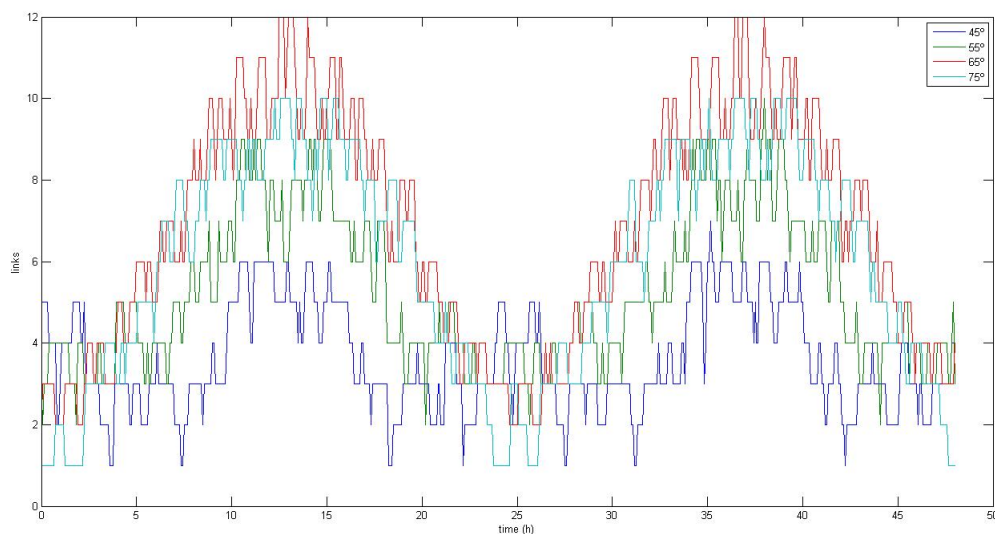


Figure 3.1.4: Number of links versus time for latitudes from  $45^\circ$  to  $75^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for latitude  $45^\circ$ , green line for  $55^\circ$ , red line for  $65^\circ$  and light blue line for  $75^\circ$

As it can be seen in Figure 3.1.4, the optimal latitude must be between  $55^\circ$  and  $75^\circ$ . Expanding the analysis:

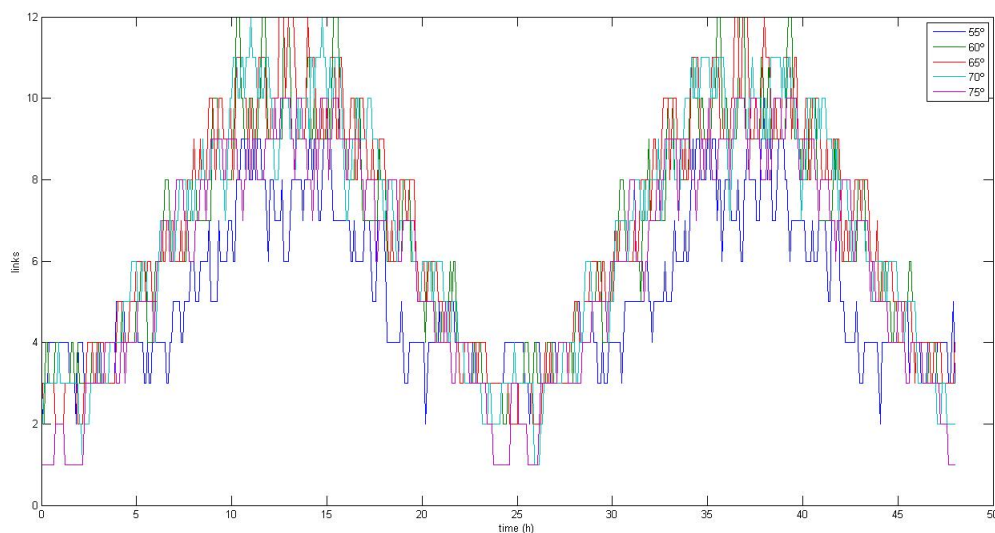


Figure 3.1.5: Number of links versus time for latitudes from  $55^\circ$  to  $75^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for latitude  $55^\circ$ , green line for  $60^\circ$ , red line for  $65^\circ$ , light blue line for  $70^\circ$  and violet line for  $75^\circ$



The better performance is registered around  $60^\circ$  and  $65^\circ$ . Figure 3.1.5 suggest that between  $50^\circ$  and  $60^\circ$  there is always at least 1 link. But looking it carefully, at the hour 37, there is a local deviation to 0 links. This requires a more accurate analysis decreasing the time-step. For 30 seconds time-step:

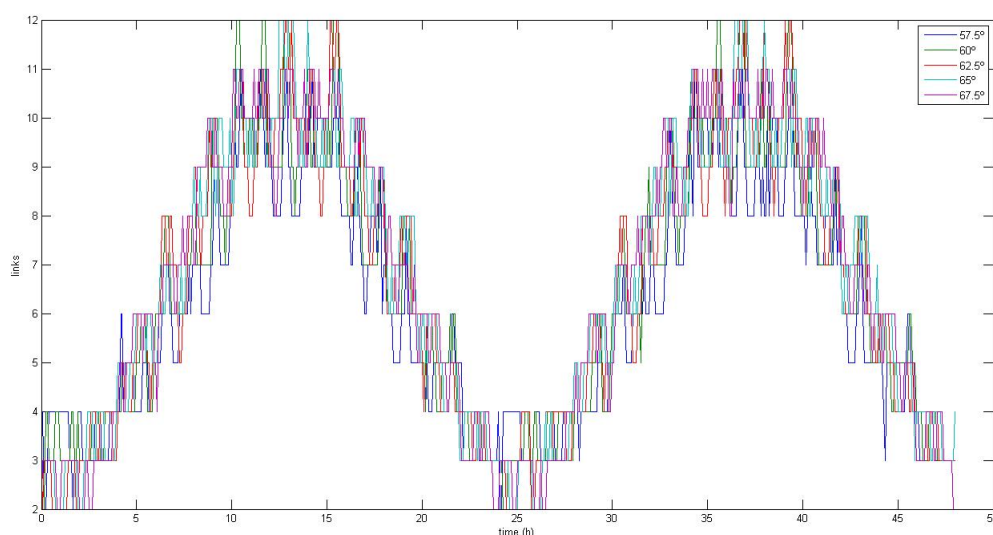


Figure 3.1.6: Number of links versus time for latitudes from  $57.5^\circ$  to  $67.5^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for latitude  $57.5^\circ$ , green line for  $60^\circ$ , red line for  $62.5^\circ$ , light blue line for  $65^\circ$  and violet line for  $67.5^\circ$

In Figure 3.1.6 there is no problem with the coverage. For ensuring the results and to avoid possible loses of links locally in time, the same range of latitudes is analyze with a smaller time-step.

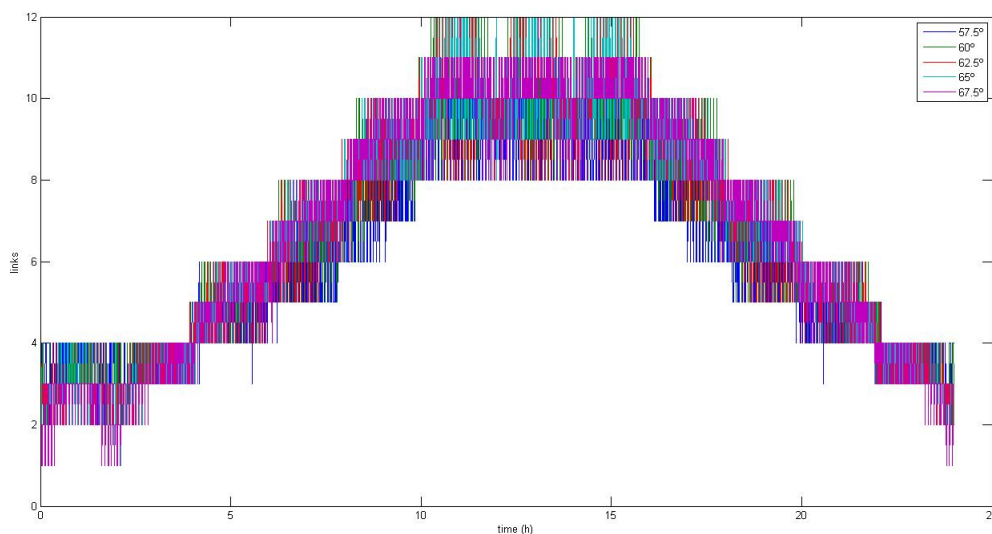


Figure 3.1.7: Number of links versus time for latitudes from  $57.5^{\circ}$  to  $67.5^{\circ}$  with a time interval of 30 seconds. Dark blue line shows the results for latitude  $57.5^{\circ}$ , green line for  $60^{\circ}$ , red line for  $62.5^{\circ}$ , light blue line for  $65^{\circ}$  and violet line for  $67.5^{\circ}$

It can be seen that between  $65^{\circ}$  and  $67.5^{\circ}$  the system loses the 2nd link and for a while the station would be connected only to 1 satellite. It is optimum to place the stations between  $+57.5^{\circ}$  and  $+62.5^{\circ}$  of latitude. In order to verify the results for the opposite latitudes:

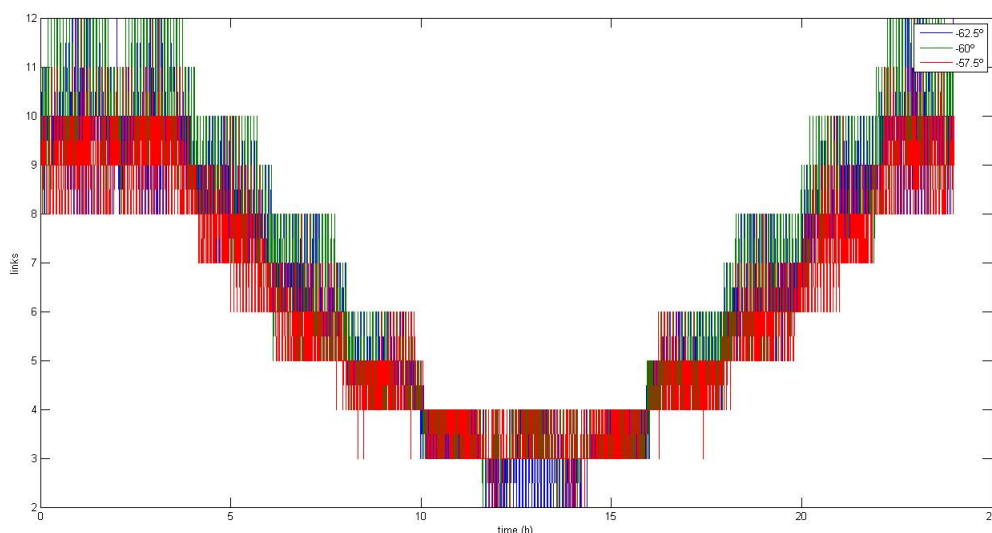


Figure 3.1.8: Number of links versus time for latitudes from  $-62.5^{\circ}$  to  $-57.5^{\circ}$  with a time interval of 30 seconds. Dark blue line shows the results for latitude  $-62.5^{\circ}$ , green line for  $-60^{\circ}$  and red line for  $-57.5^{\circ}$

In conclusion, the optimum latitudes for the Ground Station are:

- Between  $-62.5^\circ$  and  $-57.5^\circ$
- Between  $+57.5^\circ$  and  $+62.5^\circ$

### 3.1.2 Longitude analysis

It is intuitive to think that the effect of changing the longitude is delaying the evolution of the coverage. This effect is verified by the algorithm:

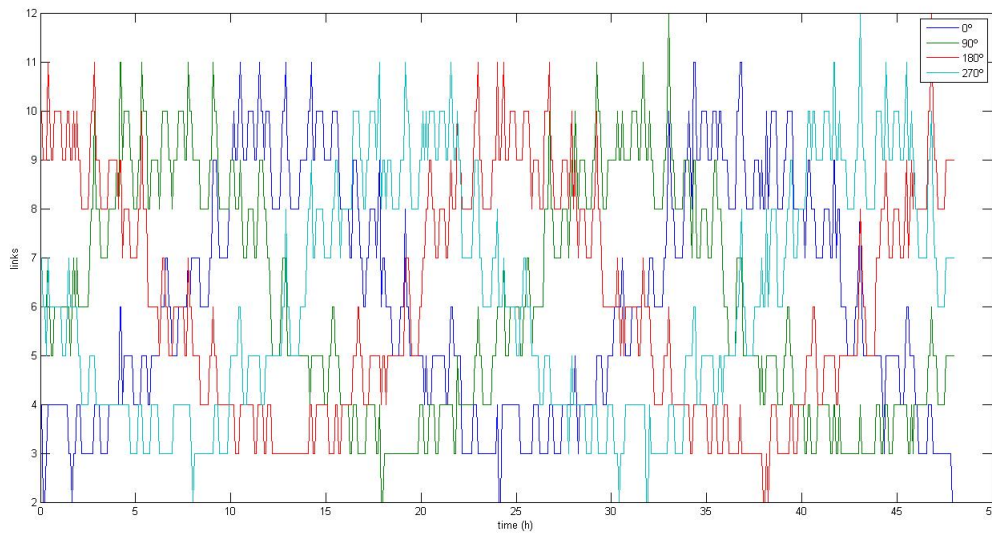


Figure 3.1.9: Links vs time for longitudes from  $0^\circ$  to  $270^\circ$

Figure 3.1.10: Number of links versus time for longitudes from  $0^\circ$  to  $270^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for longitude  $0^\circ$ , green line for  $90^\circ$ , red line for  $180^\circ$  and light blue line for  $270^\circ$

As it is seen in Figure 3.1.10 the delay has a reason of 3 hours for every  $45^\circ$  of longitude. This effect can be used in order to optimize the performance of the Ground Stations. During the day every station will have a peak and a valley in the coverage. Placing the stations with a relative longitude of  $120^\circ$  would ensure that when one is at the valley another one is at the peak:

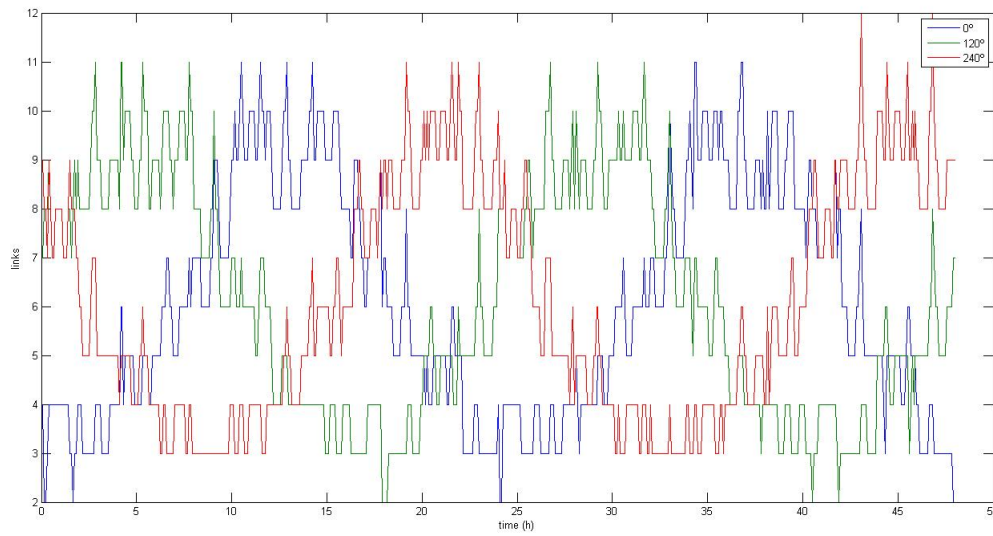


Figure 3.1.11: Number of links versus time for longitudes from  $0^\circ$  to  $240^\circ$  with a time interval of 5 minutes. Dark blue line shows the results for longitude  $0^\circ$ , green line for  $120^\circ$  and red line for  $240^\circ$

In conclusion, the Ground Stations should be separated  $120^\circ$  longitude between them. It has to be taken into account that this analysis is done for stations at the same latitude. A Ground Station in a given latitude has the same coverage behaviour as an other one at the opposite latitude and  $180^\circ$  of longitude away. To exemplify, in the following table coordinates of equivalent places from the Ground Station point of view are showed.

	GS1		GS2		GS3	
	Latitude	Longitude	Latitude	Longitude	Latitude	Longitude
Option 1	55	0	55	120	55	240
Option 2	-55	180	55	120	55	240
Option 3	55	0	-55	300	55	240
Option 4	55	0	55	120	-55	60
Option 5	-55	180	-55	300	55	240
Option 6	-55	180	55	120	-55	60
Option 7	55	0	-55	300	-55	60
Option 8	-55	180	-55	300	-55	60

Table 3.1.1: Equivalent coordinates

## 3.2 Study of annual costs

### 3.2.1 Energy and Maintenance

In this section the maintenance of the ground stations and the control center, which is located in Terrassa, will be explained and its costs will be approximated. Is important to notice that the prices are not exact numbers, but just an approximation of the real value of the costs. For these reasons, some of the calculations as for example the cost of Internet in Scotland or Canada, is done using the value of the Internet cost in Spain, as they will be of the same order but can have a slow variation.

#### 3.2.1.1 Mission Control Center

The control center will be located in Terrassa and it will act as a center from which the activity of the Astrea group will be monitored. The most important cost in this building will be the energy consumption. To approximate the energy consumption the energy use intensity (EUI) can be used. The EUI is a recommended benchmark metric for all type of buildings and tells the amount of energy used in buildings per meter square during one year. The EUI is calculated depending on the type of building (hospital, school, etc). The type of building of the control center can be considered as a set of offices, because the most important features of it will be the computers and the internet communications. Taking as a reference an usual office floor from a building, the average surface it occupies is  $500 \text{ m}^2$ . The EUI has been obtained from [32] and is  $212 \text{ kWh/m}^2$ . The cost of a kWh according to [33] is of  $0,141033 \text{ €/kWh}$ , taking into account that the main type of consumption is of electricity. Then, doing the calculation:

$$212 \cdot 500 \cdot 0,141033 = 14960 \quad (3.2.1)$$

This is the cost of the energy consumed. However, the fixed term has also to be taken into account. This term is of  $3,170286 \text{ €/month/kW}$ . It does not depend on the kW consumed, but the ones that have been contracted. Considering a tariff of  $11,5 \text{ kW}$ , the cost per year will be of  $440 \text{ €}$ . Then, the total cost of electricity per year is  $15400 \text{ €}$ . This is the cost without taxes. Taxes applied to the consume of electricity in Spain are the excise duty on electricity ( $4,864\%$ ) and the value added tax ( $21\%$ ). With these data, the resulting cost is of  $20540 \text{ €}$ .

Another important cost is the one of the maintenance. The maintenance include cleaning service, industrial maintenance and possible failures of the systems that would need to be repaired. There are companies that offer these services, so to know the cost of the maintenance a research on the market will be done. In most of these companies, no

available information about the cost can be found if no information about the exact needs is provided. However, there are some of them that have few standards tariff that can be used. The maintenance will be divided into two: informatic maintenance and cleaning service. The cost of informatic maintenance for a business extracted from [34] is of 206 €/per month. So in one year the cost will be of 2500 €. For the cleaning service, the average market cost is of 10 €/per hour according to [35], for contracted maintenance. If there are 250 laborable days and every day there is 2 hour of cleaning service, the total cost of it is of 5000 €.

The other cost that has to be taken into account is the Internet connexion. To give an approximation of this cost, some Internet providers are consulted and the resulting price is of 55 €/month, that are 660 €/per year.

In the following table the results are exposed:

<b>Concept</b>	<b>Cost€</b>
Energy:	20540
Maintenance:Informatics	2500
Maintenance:Cleaning	5000
Internet connexion	660
<b>Total cost</b>	<b>28700</b>

Table 3.2.1: Costs per year for the control centre

### 3.2.1.2 Ground Stations

The same procedure as the previous one will be done. The costs of maintenance (informatics and cleaning) and of the Internet connexion will be the same, but the difference will be on the energy consumed. The EUI of the site itself, without taking into account the antennas, will also be the same: 212 kWh/m<sup>2</sup>. The surface of the building of the ground station will be of approximately 100 m<sup>2</sup>, enough for the comfortability of 4 people working there. Then, the energy consumption per year will be of 21200kWh. The consumption of the antennas has also to be taken into account. Each antenna consumes 770 W approximately and each GS has four antennas, considering that they will be working 24 h/day during the whole year, the consumption during one year can be calculated.

$$\frac{4 \cdot 770 \cdot 24 \cdot 365}{1000} = 26981kWh/year \quad (3.2.2)$$

Then the total consumption in kWh of one ground station is:

$$26981 + 21200 = 48181kWh/year \quad (3.2.3)$$

Now the cost of the kWh is needed, and it depends on the countries, so in the following lines the cost will be calculated for each of the ground stations. The cost of kWh supplied has been extracted from [36] and is an average because it depends on many factors as for example the company selected, the type of tariff, the fixed term, taxes, etc.

**Canada** In Canada, the average cost of 1kWh is of 10 US cents, that are 0,0945 €. Doing the calculation:

$$48181 \cdot 0,0945 = 4550 \quad (3.2.4)$$

The total cost of energy will be of 4550 €.

**United Kingdom and Falkland Islands** As the other two ground stations are located under the administration of the United Kingdom, its costs will be used. In the UK the average cost per kWh is of 20 US cents, that are 0,189 €. Doing the calculation:

$$48181 \cdot 0,189 = 9100 \quad (3.2.5)$$

The total cost of energy will be of 9100€.

**Total annual cost** In the following table all the data that has been calculated is exposed in order to know the annual cost of the control centre (MCC) and the ground stations (GS).

Concept	MCC	GS Canada	GS Scotland	GS Malvinas
Energy	20500€	4600€	9100 €	9100 €
Maintenance	7500€	7500€	7500€	7500€
Internet	660€	660€	660€	660€
Total	28700€	12700€	17300€	17300€

Table 3.2.2: Annual costs

<b>Total annual cost</b>	<b>76000 €</b>
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Table 3.2.3: Total annual cost of the ground segment consumption and maintenance

### 3.2.2 Salaries

In order to work properly, each ground station will require an electrical engineer, a computer technician, a manager and a secretary. Due to the nature of the constellation,

the GS will need to be always functioning and, therefore, it can potentially fail at any moment. For this reason, the presence of an electrical engineer and a computer technician is required all the time. Four engineers and four computer technician will be hired so that for each job three of them will work all the day in 8 hours shifts while the other has the day off.

The salaries for each employee will be the average salary for each job in their respective countries. Those can be seen in Figure 3.2.4.

	Canada	United Kingdom	Argentina
Electrical engineer	47,700€	36,900€	12,300€
Computer technician	30,100€	21,800€	7,100€
Manager	34,500€	28,800€	14,100€
Secretary	28,000€	22,300€	9,500€

Table 3.2.4: Salaries for the different jobs according to the country.

Taking into account that each GS will have a manager, a secretary, four electrical engineers and four computer technicians, and that everyday will be an engineer and a technician working during night, the total cost per ground station would be the following:

- Canada: 382,000€
- United Kingdom: 226,000€
- Argentina: 82,000€

The Mission Control Centre will consist of a building with a manager, a secretary and three aerospace engineers working. Because of the same reason as the ground station, it will be needed to hire twelve engineers, so as to have always three of them working all the time. Taking into account the average salary of each job in Spain, the cost of the salaries can be seen in Figure 3.2.5:

	Spain
Aerospace engineer	30,600€
Manager	30,500€
Secretary	23,000€

Table 3.2.5: Salaries for the different jobs in Spain.

The annual cost of all the salaries can be seen below:



- Annual cost of all Ground Stations salaries: 690,000€
- Annual cost of the Mission Control Centre salaries: 430,000€

### **3.3 Study of initial investment**

The following items are needed:

- S-band system: 46,500€
- X-band system: 100,000€
- Computers and office material: 13,000€
- Building: 50,000€

Because of the time interval in which an antenna will be reorientating itself to point to the next satellite when the current satellite gets out of range, that antenna will not function until it finishes the reorientation. For this reason, two S-band and X-band systems are required for each ground station to be always operative. Therefore, each ground station needs two X-band systems, two S-band systems, computers and office material and a building.

The initial investment of one ground station will be 356,000€. The initial investment of the three ground stations will be 1,070,000€.

For the Mission Control Centre, the following costs are assumed:

- Computers and office material: 50,000€
- Building: 100,000€

The initial investment of the mission control centre will be 150,000€. The initial investment of all the ground segment will be 1,220,000€.

### **3.4 List of existing Ground Stations**

#### **3.4.1 ESA Ground Stations**

- **Kiruna Station**

## List of existing Ground Stations

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- Coordinates: 67° 51' 25.66" N, 20° 57' 51.57" E.
- Number of antennas: 2.
- Size of the antennas: 15 meters. 13 meters.
- Frequencies: S band transmission and S and X band reception. S band transmission and S and X band reception.

- **Kourou Station**

- Coordinates: 5° 15' 05.18" N, 52° 48' 16.79" W.
- Number of antennas: 1.
- Size of the antennas: 15 meters.
- Frequencies: S and X band transmission and reception.

- **Maspalomas Station**

- Coordinates: 27° 45' 46.40" N, 15° 38' 01.68" W.
- Number of antennas: 1.
- Size of the antennas: 15 meters.
- Frequencies: S band transmission and S and X band reception.

- **Redu Station**

- Coordinates: 50° 00' 01.64" N, 5° 08' 43.24 E.
- Number of antennas: 3.
- Size of the antennas: 15 meters. 13.5 meters. 2.4 meters.
- Frequencies: S band reception and transmission. Ka band reception and transmission. S band reception and transmission.

- **Santa Maria Station**

- Coordinates: 36° 59' 50.10" N, 25° 08' 08.60" W.
- Number of antennas: 1.
- Size of the antennas: 5.5 meters.
- Frequencies: S band reception.

- **Villafranca Station**

- Coordinates: 40° 26' 33.23" N, 03° 57' 05.70" W.
- Number of antennas: 2.
- Size of the antennas: 15 meters. 15 meters.
- Frequencies: S band transmission and reception. S band transmission and reception.

**3.4.2 KSAT Ground Stations**

- **Svabard Satellite Station**
  - Coordinates: 78° N, 15° E.
  - Number of antennas: More than 30
  - Size of the antennas: -
  - Frequencies: C, L, S, X and Ka band.
- **Tromsø Satellite Station**
  - Coordinates: 69° N, 18° E.
  - Number of antennas: More than 30
  - Size of the antennas: -
  - Frequencies: L, S and X band.
- **Troll Satellite Station**
  - Coordinates: 72° S, 2° E.
  - Number of antennas: 3
  - Size of the antennas: 7.3 meters.
  - Frequencies: S and X band.
- **Grimstad**
  - Coordinates: 58° N, 8° E.
  - Number of antennas: 1.
  - Size of the antennas: 3.2 meters.
  - Frequencies: X band.
- **Hartebeesthoek**
  - Coordinates: 25° S, 27° E.
  - Number of antennas: 1
  - Size of the antennas: -
  - Frequencies: S and X band.
- **Dubai**
  - Coordinates: 25° N, 55° E.
  - Number of antennas: 1

## List of existing Ground Stations

---

- Size of the antennas: -
- Frequencies: S and X band.

- **Mauritius**

- Coordinates: 20° S, 57° E
- Number of antennas: 1
- Size of the antennas: -
- Frequencies: S and X band.

- **Singapore**

- Coordinates: 1° N, 103° E.
- Number of antennas: 1
- Size of the antennas: -
- Frequencies: S and X band.

### 3.4.3 NASA Ground Stations

- **Alaska Satellite Facility**

- Coordinates: 64° N, 147° W.
- Number of antennas: 3.
- Size of the antennas: 11 meters. 11 meters. 10 meters.
- Frequencies: S and X band. S and X band. S and X band.

- **McMurdo Ground Station**

- Coordinates: 77° 50' 20.87" S, 193° 19' 58.50" W.
- Number of antennas: 1.
- Size of the antennas: 10 metres.
- Frequencies: S band transmission and S and X band reception.

- **Wallops Ground Station**

- Coordinates: 35° N, 75° W.
- Number of antennas: 1.
- Size of the antennas: 18.3 meters.
- Frequencies: UHF.

- **White Sands Ground Station**

## List of existing Ground Stations

---

- Coordinates:  $33^{\circ}$  N,  $107^{\circ}$  W.
- Number of antennas: 2.
- Size of the antennas: 18.3 meters. 18.3 meters.
- Frequencies: VHF, S and Ka band. VHF, S and Ka band.

### 3.4.4 SSC Ground Stations

- **Clewiston Satellite Station**

- Coordinates:  $26.7^{\circ}$  N,  $81.0^{\circ}$  W.
- Number of antennas: 1.
- Size of the antennas: -
- Frequencies: S and X band.

- **Esrangle Satellite Station**

- Coordinates:  $67^{\circ} 53''$  N,  $21^{\circ} 04''$  E.
- Number of antennas: 12.
- Size of the antennas: -
- Frequencies: 6x S band. 6x S and X band.

- **Inuvik Satellite Station**

- Coordinates:  $68^{\circ} 24''$  N,  $133^{\circ} 30''$  W.
- Number of antennas: 1.
- Size of the antennas: 13 meters.
- Frequencies: S and X band.

- **North Pole Satellite Station**

- Coordinates:  $64^{\circ} 48'$  N,  $147^{\circ} 30'$  W.
- Number of antennas: 2.
- Size of the antennas: -
- Frequencies: S band transmission and S and X band reception. S band transmission and S and X band reception.

- **Punta Arenas Satellite Station**

- Coordinates:  $53^{\circ}$  S,  $71^{\circ}$  W.
- Number of antennas: 1.

## List of existing Ground Stations

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- Size of the antennas: 7.3 meters.
- Frequencies: S and X band.
- **Santiago Satellite Station**
  - Coordinates: 33° 08" S, 70° 40" W.
  - Number of antennas: 3.
  - Size of the antennas: -
  - Frequencies: S band transmitting and receiving.
- **South Point Satellite Station**
  - Coordinates: 19° N, 156° W.
  - Number of antennas: 2.
  - Size of the antennas: -
  - Frequencies: S, X and Ku band transmitting and receiving. S, X and Ku band transmitting and receiving.
- **Dongara Satellite Station**
  - Coordinates: 29° 03' S, 115° E.
  - Number of antennas: 3.
  - Size of the antennas: -
  - Frequencies: S, X, Ku and Ka band transmitting and receiving. S, X, Ku and Ka band transmitting and receiving. S, X, Ku and Ka band transmitting and receiving.
- **Yatharagga Satellite Station**
  - Coordinates: 29° S, 115° E.
  - Number of antennas: 1.
  - Size of the antennas: 13.56 meters
  - Frequencies: S band transmitting and S, X and Ka band reception.

### 3.4.5 Other Ground Stations

- **Goonhill Earth Station**
  - Coordinates: 50° N, 5° W.
  - Number of antennas: 28.
  - Size of the antennas: 3.7 meters 32 meters.
  - Frequencies: L, S, X, C, Ku and Ka band.

## 3.5 Decision taking

In the following lines the factors to take into account to decide the ground stations will be explained. After doing so, an OWA will be done if needed.

### 3.5.1 Availability

#### 3.5.1.1 Building a ground station

If the decision to build a ground station is taken, it will be available as soon as it is constructed. The time taken to construct the ground stations depend on the efforts employed, but the three ground stations will be surely completed at the time the satellite network is completely deployed. From the moment the ground stations are built, they are totally available to accomplish the missions of Astrea constellation.

#### 3.5.1.2 Renting a ground station

The sections regarding the renting of a ground station will be done considering LeafSpace (as it has been already said). LeafSpace is a company that does not work only with Astrea constellation, so total availability of the antenna's and its transmissions can not be assured. For this reason, is not possible to assure that the communication rate established in the project charter will be accomplished. Moreover, LeafSpace's Ground Stations are still non-existent, and they predict that the first ones will be available next year.

### 3.5.2 Cost

#### 3.5.2.1 Building a ground station

The costs of building a ground station can be divided into an initial investment and a maintenance. The initial investment have been estimated in 190940 € and the maintenance in 30000€/year. The Net Present Cost (NPC) in 10 years will be calculated in order to compare this option with the option of renting a ground station. The discount rate used to do so will be 12%.

$$NPC = +I_o + \sum_{i=1}^{10} \frac{CF_i}{(1+r)^i} \quad (3.5.1)$$

$$NPC = 190940 + \sum_{i=1}^{10} \frac{30000}{(1+0.12)^i} = 360500 \quad (3.5.2)$$

### **3.5.2.2 Renting a ground station**

In this case maintenance is not needed as it is carried out by the owners of the ground station. The cost, however, comes from the amount of data that is transferred from the satellites to the client. The estimation of the Mbyte transferred over a whole year is difficult to calculate. LeafSpace provides a minimum cost per month of 2400 €. This has been calculated for small communications with X-band. To calculate an approximation, this number will be increased a 40% because Astrea constellation will probably has quite higher transfer of data. The cost per year is, then 40320 €. The NPC will be calculated too:

$$NPC = \sum_{i=1}^{10} \frac{40320}{(1 + 0.12)^i} = 227820 \quad (3.5.3)$$

### **3.5.3 Position**

#### **3.5.3.1 Building a ground station**

In the case the ground station is constructed and operated for the Astrea constellation, there is the possibility of build them in latitudes close to the ideal ones (from 45° to 70°), so more links will be available during more time. Moreover, there is also the possibility to build them in different longitudes (approximately with a difference of 120°).

#### **3.5.3.2 Renting a ground station**

In the case the ground station is rented, there is no possibility to choose the position of the ground station. In the case of LeafSpace, most of the ground stations that will be built in 2017 are located at 45° north. This can seem quite good from the point of view of visibility and links. However, all of them are more or less in the same longitude, so at the same time the links at the different ground stations are the same. With ground station at different longitudes, the performance of the constellation would be better than having them in the same longitude.

### **3.5.4 Ease to improve**

#### **3.5.4.1 Building a ground station**

The fact of building a ground station implies that it can be improved and adapted to the constellation and the needs of the clients along the development of the mission.



### 3.5.4.2 Renting a ground station

If the ground station is rented, it can not be improved according to the needs of the constellation, and maybe the constellation will have to be adapted to the ground station in order to accomplish the mission. The improvement in this case is, then, difficult and probably impossible.

### 3.5.5 Decision

The factors used to decide will be the ones presented previously. They will be rated from 1 to 2, being 2 the best option and 1 the worst option. As there are only two options, no linear interpolation is needed. Taking into account the requirements and needs of the project, the weights are the following ones:

- Availability: 6
- Cost: 9
- Position: 6
- Ease to improve: 5

The rating and the OWA of the decision between building a ground station or renting an existent one is:

	Availability	Cost	Position	Ease to improve	OWA
<b>Build</b>	2	1	2	2	0.83
<b>Rent</b>	1	2	1	1	0.67

Table 3.5.1: OWA of the GS

## Chapter 4

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