Informatics Institute of Technology

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The University of Westminster, UK



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GenSum

**A Generalized Text Summarization System using Optimized Transformers**

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This Project Proposal is submitted in partial fulfilment of the requirements for the

BSc (Hons) Computer Science degree at

the University of Westminster.

# **ABSTRACT**

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**Subject Descriptors:**

* Theory of computation 🡪 Theory and algorithms for application domains 🡪 Machine learning theory 🡪 Inductive inference.
* Theory of computation 🡪 Design and analysis of algorithms 🡪 Approximation algorithms analysis 🡪 Stochastic approximation.
* Mathematics of computing 🡪 Probability and statistics 🡪 Stochastic processes.
* Information systems 🡪 Information systems applications 🡪 Data mining.
* Computation methodologies 🡪 Machine learning 🡪 Machine learning algorithms 🡪 Ensemble methods

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**Acronyms**

|  |  |
| --- | --- |
| **AI** | Artificial Intelligence. |
| **API** | Application Programming Interface. |
| **AD** | Automatic Differentiation. |
| **ARIMA** | Autoregressive Integrated Moving Average. |
| **BPTT** | Back-Propagation Through Time. |
| **BTC** | Bitcoin. |
| **CT-GRU / RNN** | Continuous-time Gated Recurrent Unit / Recurrent Neural Network. |
| **DL** | Deep Learning. |
| **GPU** | Graphics Processing Unit. |
| **LSTM** | Long Short-Term Memory. |
| **LTC** | Liquid Time-constant. |
| **ML** | Machine Learning. |
| **(s)MAPE** | Symmetric Mean Absolute Product Error. |
| **MASE** | Mean Absolute Scaled Error. |
| **MSE** | Mean Squared Error. |
| **MVP** | Minimal Viable Product. |
| **N-BEATS** | Neural Basis Expansion Analysis for interpretable Time Series. |
| **NLP** | Natural Language Processing. |
| **ODE** | Ordinary Differential Equations. |
| **POC** | Proof-Of-Concept. |
| **REST** | Representational State Transfer. |
| **RMSE** | Root Mean Squared Error. |
| **RNN** | Recurrent Neural Network. |
| **SOTA** | State Of the Art. |
| **SDE** | Stochastic Differential Equations. |
| **SGD** | Stochastic Gradient Descent. |
| **TS** | Time Series. |
| **UI** | User Interface. |
| **XAI** | Explainable Artificial Intelligence. |

# **CHAPTER 01. INTRODUCTION**

# **1.1. Chapter overview**

In this chapter, a series of top-tier pretrained transformer designs are optimized using automated search hyperparameter optimization in an effort to improve the performance of abstractive text summarization for movie reviews while developing a generalized solution that may be used in other domains. Along with a review of previous studies and a presentation of the anticipated project timetable, the research problem, gap, challenge, and method will be discussed in the work plan.

# **1.2. Problem domain**

## **1.2.1 Movie User Reviews**

A growing number of websites, like Amazon and the Internet Movie Database (IMBD), a website for movie reviews, allow users to publish reviews for things they are interested in, along with the growth of Web 2.0, where user interaction is prioritized. (Khan, Gul, Zareei, et al., 2020)

Online movie reviews are evolving into an important information source for users, with the continuous increase in data on the web (M and Mehla, 2019). However, online users post a significant number of movies reviews every day, hence making it difficult for them to manually summarize the reviews and determine their interest in the film. One of the challenging problems in natural language processing is mining and summarizing movie reviews. (Khan, Gul, Uddin, et al., 2020).

Text summary assist users or business decision-makers by compiling and analyzing a significant number of online reviews. (Alsaqer and Sasi, 2017).

These days, the majority of people research a film's reviews before selecting or watching it on any platform, such Netflix or Amazon Prime, but we also come across conflicting reviews that can be either good or bad. While most reviews are detailed and require a significant amount of time to review, this develops a problem where users aren't able to make quicker decisions. Therefore, by summarizing the review makes it easier and faster for users to make decisions. This can also help streaming services like Netflix quickly discover the viewing habits or preferences of their users (Dashtipour et al., 2021)

## **1.2.2 Text Summarization**

Today, there is a lot of textual material available, including news stories and reviews. Text summarizing helps us quickly find the key elements of the full piece by minimizing the quantity of text. (Mahajan et al., 2021).

Extractive summarization and abstractive summarization are typically the two methods of text summarization. When extractive summarizing, the most important lines from the context or article are plucked out without being altered in any way. Meanwhile, abstractive summarizing aims to create the sentences on its own and creates the summary; this is superior than extractive summarization since it is more meaningful to generate our own phrases inside the context rather than to utilize selected sentences from the context without any change. (Etemad, Abidi and Chhabra, 2021).

## **1.2.3 Transformers**

Transformers in NLP is a novel architecture that aims to solve sequence-to-sequence tasks while handling long range dependencies with ease. It has surpassed competing neural models like CNN (Convolutional Neural Nets) and RNN (Recurrent Neural Nets) in terms of performance to appear as the dominant architecture for natural language processing (Wolf et al., 2020).

Transformers uses self-attention mechanism to target on selected areas of the input sentence followed by the encoder and decoder architecture (Etemad, Abidi and Chhabra, 2021).

# **1.3. Problem definition**

In the domain of movie review summarization, currently there are no researches done using the latest deep learning approaches (***such as Transformers***) to solve this problem, standard machine & deep learning algorithms such as Naïve Bayes, RNN have been used, the usage of advanced deep learning approaches can be utilized in order to enhance the quality/accuracy of the text summarization.

Deep learning models take longer to train but they provide greater accuracy since they can simultaneously automate feature extraction and classification, whereas machine learning algorithms require feature selection at first. Therefore, applying deep learning techniques will help to improve the quality of text summarization and help the user in making better decisions (Etemad, Abidi and Chhabra, 2021).

## **1.3.1 Problem statement**

No prior research has looked into applying cutting-edge deep learning methods like Transformers to produce abstractive summaries from movie reviews, which can improve text summarization. This solution aims to be generic and accessible to any sector. (Khan, Gul, Zareei, et al., 2020).

# **1.4. Research questions**

The research questions proposed are available in [**APPENDIX A.1**](#_A.1._Research_questions).

# **1.5. Research aim & objectives**

## **1.5.1 Research aim**

***The aim of this research is to design, develop and evaluate an optimal generalized transformer architecture from a range of popularly used architectures by fine-tuning via hyperparameter optimization, therefore obtaining the recommended architecture's optimum performance***

To further explain the objective, a fully working system that can be utilized to perform abstractive text summarization based on the user input from any domain (movie, hotel, ecommerce etc.…) will be created by this research project. The quality of the resulting text summary or performance optimization will be the main points of emphasis. To get the best result, the usage of data preparation, data analysis, conducting hyperparameter tuning, and evaluating the models will be investigated.

To confirm or disprove the selected hypothesis, the necessary information will be obtained and investigated, components will be built, and performance will be evaluated. Both a hosted server and a local browser will be able to execute the system for private or public usage. The data science models and their source code will be made accessible for future study and usage in a public repository. The information gleaned from the literature review will be published in a review paper.

## **1.5.2 Research objectives**

For the research to be considered successful, its goals must be fulfilled.

Table 1: Research Objectives (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| Objective | Description | LO | RQ |
| Literature Review | Complete a thorough critical review of earlier related work.  **RO1:** Make a preliminary investigation on existing abstractive text summarization using deep learning approaches.  **RO2:** Make a preliminary investigation on why transformers architecture was the chosen deep learning choice for this research.  **RO3:** Analyze the top tier transformer architectures widely used.  **RO4:** Analyzing how the models can be fine-tuned via hyperparameter optimization.  **RO5:** Analyzing the different approaches used for model evaluation.  **RO6:** Analyze how the model can be generalized for every other domain. | LO1, LO4,  LO8 | [**R**Q1](#researchq1),[**R**Q2](#researchq1),[**R**Q3](#researchq1),[**R**Q4](#researchq1) |
| Methodology Selection and SLEP Framework | This defines the outline structure for the requirement analysis and the design process followed by the social legal ethical and professional issues.  **RO1**: Analyzing the Research Methodology approaches.  **RO2**: Analyzing the Development Methodology approaches.  **RO3**: Analyzing the Project Management Methodology approaches.  **RO4**: Analyzing the Solution Methodology approaches.  **RO5**: Analyzing the Social, Legal Ethical and Professional Issues which could develop during the phase of the project. | LO2,  LO6 | [**R**Q4](#researchq1),[**R**Q2](#researchq1),[**R**Q1](#researchq1) |
| Requirement Elicitation | Defining the project's needs utilizing relevant approaches and tools in order to solve the projected research gaps and obstacles based on prior related research.  **RO1:** Gathering information related to the expected metadata required for the dataset to contain for the model training.  **RO2:** Gathering the requirements of transformer architectures for fine-tuning and understand the end to end user expectations.  **RO3:** Getting insights from domain experts to build a suitable system.  **RO4:** Gathering the requirements for handling generalization. | LO1, LO3, LO5 | [**R**Q4](#researchq1),[**R**Q2](#researchq1),[**R**Q1](#researchq1) |
| Design | Considering the following when developing the suggested system:  **RO1:** Design a component to preprocess the dataset for the respective model inputs.  **RO2:** Design a component to store the top tier transformer models with their respective metadata, to use throughout.  **RO3:** Design a hyperparameter tuning component that can improve accuracy of the transformer model.  **RO4**: Design high-level architecture for the system. | LO1, LO5 | [**R**Q2](#researchq2) |
| Implementation | Setting up a mechanism capable of addressing the gaps that were intended to be covered.  **RO1**: To develop data preprocessing component.  **RO2**: To develop a component that handles and stores the top tier transformer architectures for fine-tuning.  **RO3**: To develop the automated hyperparameter search component that handles all the top tier architectures assigned.  **RO4**: To develop a component for the model evaluations for the measured hyperparameters | LO1, LO5, LO7 | [**R**Q2](#researchq2),[**R**Q3](#researchq2) |
| Evaluation | Testing and evaluating the developed system (including the data science models with the suitable metrices)  **RO1**: Performing unit test, integration and performance testing along with a test plan created.  **RO2**: Evaluating all the transformer architectures used for fine-tune experimentations, using recommended scores such as (ROUGE, BERT SCORE). | LO1,  LO5 | [**R**Q3](#researchq3) |
| Documentation | Keeping track of and documenting the study project's ongoing progress and any challenges encountered. | LO6, LO8 | - |
| Publication | Ensure that the documentation, reports, and papers are well-structured and include a critical analysis of the research.  **RO1**: To publish a research paper on the related work done.  **RO2**: To publish the testing & evaluation results of the work done.  **RO3**: To publish the code implementation repository as public to be access by future research investigations, along with the models and datasets | LO4,  LO8 | - |

# **1.6. Novelty of the research**

## **1.6.1 Problem novelty**

The problem novelty of this research is, the lack of attempt to increase transformer performance in order to get better textual summarizing outcomes.

## **1.6.2 Solution novelty**

The solution novelty for this problem has several approaches few of which performing automated hyperparameter tuning, creating a retraining mechanism with newly exposed data and exploring any changes in the model architecture to enhance its performance further.

# **1.7. Research gap**

Based on previous work done (Khan, Gul, Zareei, et al., 2020) related to abstractive text summarization on movie reviews, the literature identify for the need of using advanced deep learning approaches to improve the performance of text summarization for this movie domain over traditional machine learning approach.

This project focuses on Empirical gap in the Movie Domain, as well as Theoretical and Performance gaps in the area of transformer optimization. Transformers plays a major role in the field of deep learning especially at problems related to Natural Language Processing, by performing hyperparameter optimization on several transformer architectures we can contribute to the enhanced quality of abstractive text summarization and create a generalized model which can be adapted with the respective domains usage and improve the performance.

# **1.8. Contribution to the body of knowledge**

Improving the performance of an existing solution is very common in the field of data science, as we can explore new algorithms or fine-tuning existing algorithms to get better results. The contributions for this project can be classified as theoretical contributions and domain contributions.

The following is a summarization of the authors contribution:

* ***Abstractive Text Summarization:*** *Automated Hyperparameter optimization + Model Retraining + Transformers + Deep Learning*
* ***Movie User Review & Generalization:*** *Research domain target is for Movie reviews, in addition the author makes the system generalized to adapt to any domain area*.

## **1.8.1 Contribution to the research domain**

There are various deep learning techniques that can be used to handle abstractive text summarization, however with respect to previous researches done, (Zhang, Xu and Wang, 2019) it is found that ***transformers*** outperform most of the other deep learning approaches as of today but there was no much research on optimizing them for a much better performance.

This research will be focused on creating a ***generalized******solution***by achieving the optimized transformer architecture from a couple of the top tier existing architectures, via fine-tuning and performing hyperparameter optimization along with handling abstractive text summarization (Liu and Wang, 2021), therefore we are able to maximize the performance of the recommended architecture. The author plans out to make use of generalization where any domain when used the model will be optimizing and adapting towards their respective domain.

## **1.8.2 Contribution to the problem domain**

Neural Networks makes up the backbone of deep learning algorithms which enables them to process complex unstructured data over normal means of machine learning algorithms (Mahajan et al., 2021). It is found that, the need for using advanced deep learning approaches has not been explored in the domain of movie review summarization.

Given that transformers perform well in this field, the proposed solution for this domain will be finding the recommended architecture along with hyper-parameter optimization, to reach its best performance. An additional contribution will be that, the proposed solution will be generalized to any other domain linked with the field of NLP text summarization.

# **1.9. Research challenge**

The main objective of this research is to achieve the generalized optimal transformer architecture for the field of NLP abstractive text summarization. Transformers were introduced in 2017 by a team at Google Brain and are the most used choice for NLP problems replacing RNN models, given that this architecture was introduced not much longer back brings to a point where there is a lack of research done in the area of transformer optimization for the purpose of abstractive text summarization. (Wolf et al., 2020).

Therefore, creating and finding the recommended transformer architecture along with the optimal parameters which also handles generalization becomes a challenge with very fewer resources to look up to.

Additionally, identifying suitable datasets for this domain (Movie Reviews Summarization) and Generalization is challenging and necessitates a substantial amount of effort in data preprocessing where it is important since we are dealing with NLP and performance optimization related domain.

# **1.10. Chapter summary**

In this chapter, the author gave an outline of the research project that was carried, the reasons why the research and problem were innovative, and the difficulties that could arise while trying to solve them. In addition, the essential objectives that must be pursued for the study to be considered effective were put out and linked to the degree's required learning outcomes.

# **CHAPTER 02. SOFTWARE REQUIREMENTS SPECIFICATION**

# **2.1. Chapter overview**

In this chapter, the author describes how to identify the essential needs and how to gather them. To carefully record the engagement of possible stakeholders, their interaction points, and their separate responsibilities, a rich picture diagram and stakeholder onion model are used. The chapter also discusses the methods used for requirement gathering and the results that were used to create functional and non-functional requirements, use case diagrams, and prototypes.

# **2.2. Rich picture**



Figure 1: Rich picture diagram (*Self-Composed*)

The diagram above depicts a bird's-eye view of the surrounding region, as well as how certain stakeholders might interact with the system and profit from it. Along with the knowledge gained by the researcher to improve the system, the potential negative impacts on the design and prospective critical analyses are also identified.

# **2.3. Stakeholder analysis**

The section that follows acknowledges significant stakeholders involved with the system, their relationships, and their individual roles. The stakeholder onion model represents this information, and stakeholder perspectives elaborate on it.

## 2.3.1 Stakeholder onion model



Figure 2: Stakeholder onion model (*self-Composed*)

## 2.3.2 Stakeholder viewpoints

Table 2: Stakeholder viewpoints & Requirements (*self-Composed*)

|  |  |  |
| --- | --- | --- |
| **Stakeholder** | **Role** | **Benefits/Description** |
| Developer | Functional beneficiary | Works on developing the system |
| Investors | Profit is generated through system investment and money from marketing and user subscriptions. |
| Data Scientists | Quality Control Regulator | Provides performance enhancements for the models and algorithms used in data science. |
| Data Engineers |  | Gives guidance on potential data that may be used to generate the best suggestions possible. |
| AI Researchers |  | Conduct research in the specified area to enhance and implement reliable text summarizing models. |
| NLP Experts |  | Offers specialized guidance and insights on the field  knowledge, to enhance the functionality of the system. |
| Domain Specific Manager | Operational Beneficiary | Text reviews are used as inputs for abstractive summarization, and the model is retrained with prior inputs as new data to increase performance. |
| General Users | Unless specifically assigned or retrained, typical users will utilize a general abstractive summarization model. |
| Operational Staff | Ensures that the system is up and functioning while responding to user requests and problems. |
| DevOps Engineers | Product Deployment & Maintenance | Makes ensuring the system is up and running in the cloud and is serving users without being throttled |
| Hackers | Negative Stakeholder | May manipulate the review data stored in the database which will affect the retraining process. |
| Competitors | May build competing systems that may outperform the existing system. |
| Evaluators | Quality Inspector | Checks to see if the system is ready for production use and puts it through its paces. |

# **2.4. Selection of requirement elicitation methodologies**

There were several requirement elicitation approaches used to collect needs for the creation of the research project. The approaches selected for this were literature review, survey, interviews, prototyping, brainstorming and self-evaluation. The following is a discussion of the rationales behind selecting the mentioned requirement elicitation approaches.

Table 3: Requirement elicitation methodologies (*Self-Composed*)

|  |  |
| --- | --- |
| **Method** | **Description** |
| Literature Review | To determine research gaps in the chosen domain of interest and the intended topic of study at the project's outset, the author conducted a thorough literature analysis. Current systems were researched together with comparable technologies that might be applied to the existing systems that were referenced in literature in order to discover research gaps available in technologies that can be used. |
| Survey | A questionnaire was utilized as a survey instrument to obtain requirements and opinions from possible users of the suggested system. The author will benefit from this sort of poll in understanding people's thought processes and expectations for the prototype. It will also enable the author to explain whether or not the targeted users will benefit from the suggested solution. |
| Interviews | Interviews were performed to gain expert insight into domain-specific requirements and to determine the best method to address the issue at hand while adding to the body of knowledge through research. Interviews were determined to be the greatest source of information because the field is new and the technical expertise needed is very precise. Additionally, this technique allowed for the qualitative evaluation of the suggested system, allowing for the identification of any shortcomings or difficulties that could need to be resolved during prototyping. |
| Prototyping | The project was chosen to follow the Agile Software Development Life-cycle, thus prototyping would allow the author to test and evaluate the prototype while iteratively trying out several alternative implementations to find any potential areas for improvement. |
| Brainstorming | Whether you're attempting to come up with a broad subject before you start your research, you're trying to focus more specifically, or you're determining what evidence to use for a particular paragraph, brainstorming is a useful technique to produce ideas at every step of the process. In order to assess the system for personally, the author has a number of brainstorming sessions with his colleagues at various project stages. |
| Self-Evaluation | Self-evaluation is done in order to examine the currently available applications, do competitor analyses on the current systems, and get insight into how negative stakeholders, such as hackers, can breach the system and find a way around to protect the data and the system. |

# **2.5. Discussion of findings**

The relevant key stakeholders are split up into groups where the chosen best methodology was used for each group. [**APPENDIX B.1**](#_B.1._Requirement_elicitation) contains a complete breakdown of these stakeholders.

## 2.5.1 Literature review

Table 4: Literature review findings (*Self-Composed*)

|  |  |
| --- | --- |
| **Discussion of findings** | **Citation** |
| In the completion of the literature review on the existing work done, it was identified that abstractive text summarization systems for customer reviews helps users to make better and quicker decisions on their actions let it be on buying products or watching a movie, user review summarization proves to save time for customers. | (Boorugu, Ramesh and Madhavi, 2019) |
| When exploring technologies that can be applied to achieve the required outcome, it was clear that traditional machine learning and deep learning approaches were only used for abstractive text summarization in the domain of movie reviews. Leaving the usage of advanced deep learning approaches such as Transformers untouched for this domain. | (Khan et al., 2020) |
| It was identified that transformer optimization has not been looked into when working with transformers in abstractive text summarization domain in general and not specific to the movie domain. | (Gupta et al., 2021) |
| Dataset related to working with model generalized has been used previously and is recommended to be used if researchers are willing to work with the idea of generalization for the domain of abstractive text summarization. | (Kouris, Alexandridis and Stafylopatis, 2019) |

## 

## 2.5.2 Brainstorming

The author engaged in brainstorming across various project phases. These were carried out both with the authors' colleagues and supervisors as well as through a self-analysis process.

Table 5: Observations findings (*Self-Composed*)

|  |  |
| --- | --- |
| **Criteria** | **Discussion of findings** |
| Able to figure out several other research gaps/ limitations which can be fit into the current project domain in order to increase the magnitude of research effort. | Multiple ideas were brought up as the result of the brainstorming session. The concept of creating a performance adaptive generalization model was brought up by the authors supervisor, along with several other approaches to increase the performance of the system exponentially such like making use of the new data from the domain users for retraining and combine all data with the common domain for retraining since the data count increases with respect to the common domain user. |

## 2.5.3 Survey

In-order to gather requirements from the target audience to list the functionalities needed for the project develop, a survey was conducted. The result analysis is available at [**APPENDIX B.2**](#_B.2._Survey_analysis).

## 2.5.4 Interviews

Interviews with experts and researchers in the relevant domains were performed to obtain insights on the technical domain competence. To determine the project requirements, experts and researchers in ML and abstractive text summarizing systems were chosen. 2 PhD candidate in ML and Computational Linguistics, 1 NLP Researcher, 2 Software Architects, 1 Software Engineer and 1 Lecturer with MSc completion were interviewed. The interview outcomes were processed to a **thematic analysis** based on the following themes and is available at [**APPENDIX B.3**](#_B.3._Interview_analysis)..

## 2.5.5 Self Evaluation

Comparing similar products from competitors and existing products gives the author an idea of making the project more unique and distinguish new approaches to solve the problem (**Competitor Analysis**). The author will also self-evaluate as to what data needs to be protected and how from the hackers. Few of the abstractive text summarization tools which are out there are listed and is available at [**APPENDIX B.4**](#_B.4._Self-Evaluation_(Competitor).

In the case of hackers stealing data from the database, **data encryption** can be applied therefore database will only contain the encrypted text data which will be then later decrypted from the decryption key when need, this will be most needed when performing the model retraining.

## 2.5.6 Prototyping

Table 6: Prototyping findings (*Self-Composed*)

|  |  |
| --- | --- |
| **Criteria** | **Discussion of findings** |
| In-order to look into the feasibility of continuing the project research a prototype was planned to be worked on. | Numerous requirements and obstacles were made clear during the iterative prototype process. Especially in the area of movies, finding a good dataset with the desired metadata was a significant challenge. The author was able to uncover a significant dataset with around 8 million entries after completing an intensive evaluation of research papers. The dataset has to be split into smaller segments for usage, nevertheless, due to its size. Preprocessing the data was difficult since it was not just enormous but also noisy text data, which required a lot of cleaning. The author experimented with automatic hyperparameter search approaches because manual hyperparameter tweaking required a significant amount of time and is not practical when working with automated model retraining process. He discovered that a framework named "Optuna" was useful for automatically improving and retraining the model. In order to retrain the system, new data entered by the domain user will be incorporated. The author has to study at least three top-tier transformer designs in order to choose the optimal one. |

## 2.5.7 Summary of findings

Table 7: Summary of findings (*Self-Composed*)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Id** | **Finding** | **LR** | **Survey** | **Self-Evaluation** | **Interview** | **Brainstorming** | **Prototyping** |
| 1 | The proposed system would benefit businesses (domain specific users) and general users (not domain specific) |  | ✓ |  |  | ✓ |  |
| 2 | For the movie domain the limit of abstractive text summarization can be further pushed using optimized transformers to increase performance this being the existing limitation | ✓ |  |  | ✓ | ✓ |  |
| 3 | It’s clear that customer/user reviews are valued and reviewed mostly by a vast majority of the audience before they consume or use any product or service (applies to any domain) | ✓ | ✓ |  | ✓ | ✓ |  |
| 4 | It’s clear that users spend lot of time review long reviews and they would like it being short to save time and make quicker decisions. | ✓ | ✓ |  |  | ✓ |  |
| 5 | Hyperparameter tuning is one way to increase the performance of the system and it can be done both manually or by automated tools like Raytune, Optuna etc.… | ✓ |  |  | ✓ |  | ✓ |
| 6 | Data preprocessing for the domain of Movies and Generalization is requires a lot of effort since the datasets are mostly raw data difficult to find specially in the case of movie reviews (with expected metadata) | ✓ |  |  |  |  | ✓ |
| 7 | Additional features such as sentimental and sentimental score of the review summary is mostly expected from the users. |  | ✓ |  |  |  |  |
| 8 | Creating a hybrid transformer model to further increase the performance is a suggested improved. |  |  |  | ✓ | ✓ |  |
| 9 | It’s clear on what are the suitable evaluation metrics to be used for abstractive text summarization. | ✓ |  |  | ✓ |  |  |
| 10 | It’s clear on what the top tier transformer architecture that could be explored. | ✓ |  |  | ✓ |  |  |
| 11 | Making use of larger new data for retraining for a specific domain, from companies/businesses who uses data which are of the same domain. (e.g.: - 50 different restaurants data can be combined for retraining give that the domain is “Restaurants”) |  |  |  | ✓ | ✓ |  |
| 12 | Making use of data encryption to protect the data from hackers breaking into the database to steal data. |  |  | ✓ |  | ✓ |  |

# **2.6. Context diagram**

The boundaries and interactions of the system should be established before development. The graphic below shows how the system is situated.

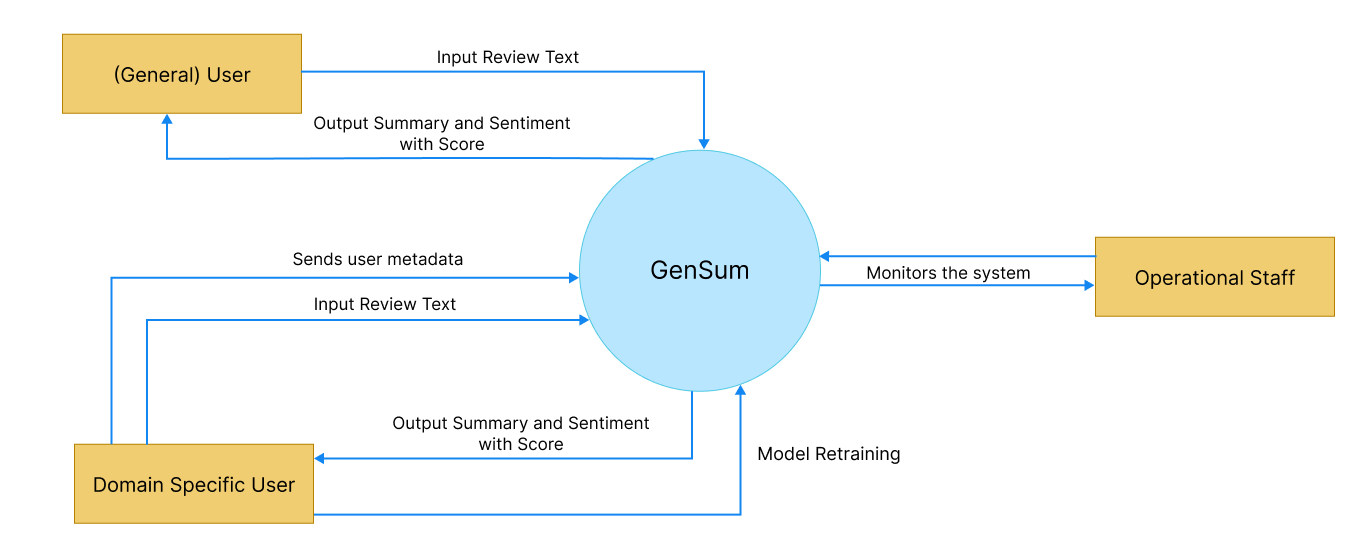


Figure 3: Context diagram (*Self-Composed*)

# **2.7. Use case diagram**

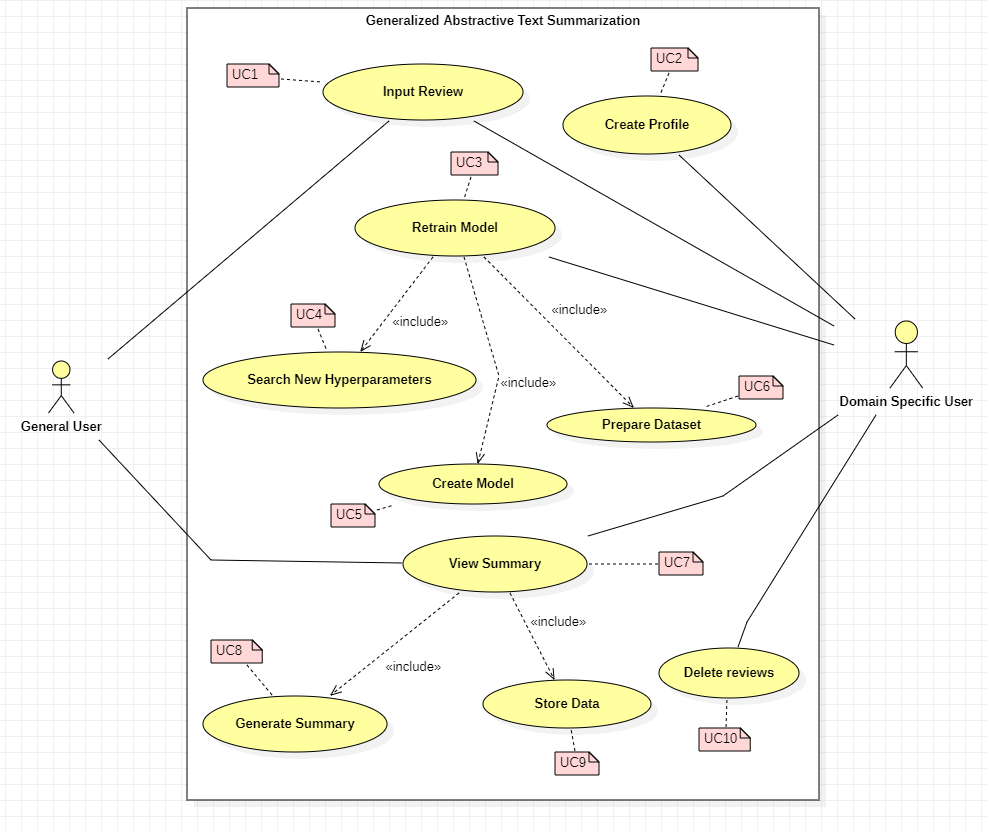


Figure 4: Use case diagram (*Self-Composed*)

# **2.8. Use case descriptions**

Usecase diagrams with the highest importance are given below, the rest of the Usecase descriptors are available at [**APPENDIX B.5**](#_B.5._Use_case).

Table 8: Use case description UC:07 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | View Summary | |
| Use Case Id | UC:07 | |
| Description | Displays a summarized version of the uploaded review text from the domain user’s end. | |
| Primary Actor | General User, Domain Specific User | |
| Pre-Conditions | The text review data must go through specific text preparation techniques before the summary can be produced. | |
| Extended use cases | None | |
| Included use cases | UC10, UC02 | |
| Trigger | A user selects to summarize a given customer/user review text. | |
| Main flow | **Actor** | **System** |
| 1. The user enters the review text on the text field from the GUI. 2. Clicks on “Generate Summary” from the GUI | 1. The system does the data preprocessing for the input review text. 2. Loads the generalized transformer model. 3. Generates the summary using the model. 4. **(If Domain Specific User)** stores the input review and summary into the database. 5. Returns the summary response back to the GUI |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success end condition: The user is presented with the summarized review text. | |

Table 9: Use case description UC:03 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | Retrain Model | |
| Use Case Id | UC:03 | |
| Description | Performs model retraining with the new data from the database, to find the new best set of hyperparameters. | |
| Primary Actor | Domain Specific User | |
| Pre-Conditions | The actor should be a Domain Specific User and have an account created. | |
| Extended use cases | None | |
| Included use cases | UC05, UC06, UC07 | |
| Trigger | The Domain Specific User clicks on the “Perform model retraining” button | |
| Main flow | **Actor** | **System** |
| 1. Domain Specific logs into their account 2. Clicks on “Perform model retraining” from the GUI | 1. The system pulls all the data with respect to the user id from the database. 2. Combines data of the common domains (only if user consent is given to use their data) 3. Finds new set of hyperparameters for the model with respect to new data. 4. Trains the model using the new hyperparameters. 5. Saves the model with the user Id 6. Updates the status in the database if succeed/fails |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success end condition: The user will be able to see the recent status of the model if the retraining is successful or failed | |

# **2.9. Requirements**

## 2.9.1 Functional requirements

Based on the significance of the system demands, the ‘MoSCoW’ approach was utilized to identify their priority levels. The details related to the priotity levels are detailed at [**APPENDIX B.6**](#_B.5._Functional_requirements).

The Usecase description along its mapping id is also listed at [**APPENDIX B.7**](#_B.5._Functional_requirements)

Table 10: Functional requirements (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **FR ID** | **Requirement** | **Priority Level** | **Use Case** |
| FR1 | Both general and domain specific users must be able to enter a review text from the GUI considering as the starting point of the summary generation. | M | UC01 |
| FR2 | Only Domain Specific Users should be able to sign up and create an account after entering the necessary details required | S | UC02 |
| FR3 | The system could allow the ability to update the account details of the domain user after creating the account | C | UC02 |
| FR4 | The system must undergo model retraining with the new data stored in the database for the specific domain user, when its triggered from the GUI with the user’s consent. | M | UC03 |
| FR5 | The system could be able to perform model retraining automatically during off peak hours every day. | C | UC03 |
| FR6 | The system must be able to find the new set of best hyperparameters with the usage of the new data. | M | UC04 |
| FR7 | The system must be able to able to retrain the model with the new best hyperparameters and create the model | M | UC05 |
| FR8 | The system must be able to pull the new data from the database to recreate the new dataset for retraining. | M | UC06 |
| FR9 | The system should be able to combine all the data from a common group of domains when creating the dataset only given that the consent is approved to use their data | C | UC06 |
| FR10 | The system must be able to process the review text and display the summary output on the GUI | M | UC07 |
| FR11 | The system must be able to use the latest trained model to generate the summary for the review text | M | UC08 |
| FR12 | The system could also find the sentiment of the generated summary if its positive or negative and return the result. | C | UC08 |
| FR13 | The system could make use of a hybrid model for the text summarization. | C | UC08 |
| FR14 | The system must store the entered user review and generated summary to be stored in the database for retraining purposes. | M | UC09 |
| FR15 | The system should encrypt the data when saving into the database (both the review and summary) | S | UC09 |
| FR14 | The system could allow the domain users to delete the reviews from the database. | C | UC10 |

## 2.9.2 Non-functional requirements

The non-functional requirements are prioritized into two level of which are “Important” and “Desirable”

Table 11: Non-functional requirements (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **NFR ID** | **Requirement** | **Specification** | **Priority Level** |
| NFR1 | The system needs to be simple enough for non-technical individuals to utilize without much effort. | Usability | Important |
| NFR2 | Meaningful error messages should be displayed if anything goes wrong | Usability | Desirable |
| NFR3 | Summary generation should be done within 3000ms | Performance | Important |
| NFR4 | Following coding standards and best practices | Maintainability | Important |
| NFR5 | Any domain users are able to use the application and model performance will adapt with respect to the domain | Generalization | Important |
| NFR6 | The system should protect against data corruption by attackers, and testing can ensure this. | Security | Desirable |
| NFR7 | The prototype can be used by several domains and multiple businesses under a single domain, then the system may have to support many concurrent user-requests. | Scalability | Desirable |

# **2.10. Chapter summary**

In this chapter, a Rich Picture Diagram was created to show how the system interacts with society and the system stakeholders. The stakeholders were represented using Saunder's Onion model, which included the flow of influence from each stakeholder. To acquire all the necessary information and the opinions of potential system stakeholders, requirement gathering approaches were used. Lastly, the insights gained from the requirement elicitation approaches were used to specify the system's use cases, functional requirements, and non-functional requirements.

# **CHAPTER 03. DESIGN**

# **3.1. Chapter overview**

The design choices taken to create a suitable architecture for implementation, depending on the requirements received, are discussed in this chapter. To explain how the design goals are intended to be accomplished while outlining the justification for selected design decisions, high-level design, low-level design, design diagrams, and UI wireframes have been utilized.

# **3.2. Design goals**

Table 12: Design goals of the proposed system (*Self-Composed*)

|  |  |
| --- | --- |
| **Design Goal** | **Description** |
| Performance | To find the new set of hyperparameters with the new data, model retraining requires a significant amount of time. As a result, the newly created dataset (with unseen data) should be accurately made, and it is best if it takes the least amount of time to query the data from various businesses within the same domain to create the dataset. Moreover, other core functionalities should be designed effectively to increase overall performance. |
| Correctness | The correctness & quality of the output should be of the highest possible level utilizing the optimized transformer architecture. Since several approaches are considered in order to get the optimized solution the expected output should of the best possible form. |
| Usability | The system's usability must be straightforward for users of all levels of knowledge because its primary function is to summarize review text for any domain, including movies and general users. |
| Adaptability | Adopting new features or components need to be a simple procedure. The system shouldn't be broken if a component is added or removed, and it shouldn't be affected overall. |
| Scalability | In a production environment, the system may need to accommodate a large number of concurrent user requests. This should be manageable by the backend. The system should be easily expandable to accommodate new data. |

# **3.3. High level design**

## **3.3.1. Architecture diagram**

The image below depicts the architecture of the system. Three tiers of architecture separate the data, logic, and presentation levels. The system's generalization and domain specific adaptive hyperparameter tuning and data preprocessing represent the research contribution.

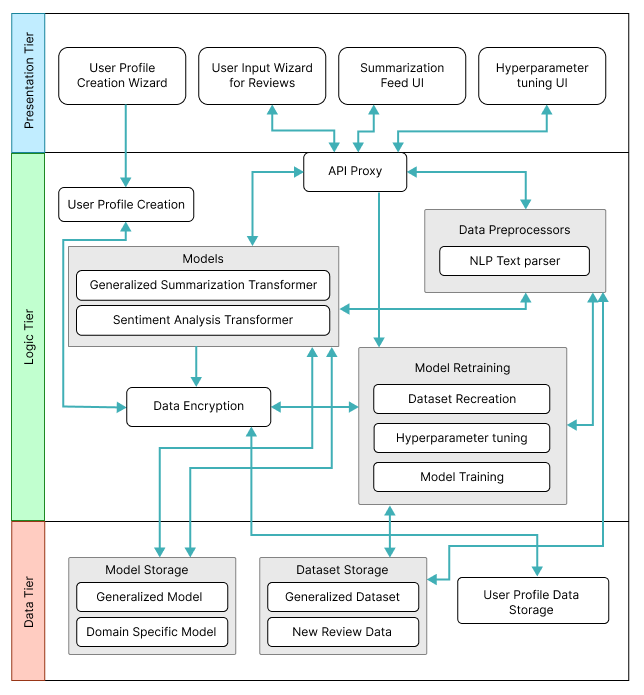


Figure 5: Three-tiered architecture (*Self-Composed*)

## **3.3.2. Discussion of tiers of the architecture**

**Data Tier**

1. Model Storage - The text summarization models which will be used for both generalized text summarization and domain specific text summarization will be stored here.
2. Generalized Model – The model which will be used by general users to generated review summarized, this model will be hyperparameter tuned for genialized purpose.
3. Domain Specific Model – The model will be used by domain specific users for review summarization, this model will be replaced whenever the model retraining is triggered from the domain user.
4. Dataset Storage – The data which is required for model training will be available.
5. Generalized Dataset – The data which is used for creating the generalized model will be stored for retraining when it comes to domain specific model retraining.
6. New Review Data – The data stored here are from the domain users when they use the application, the data will be storage and used for retraining along with the generalized dataset.
7. User Profile Data Storage – The metadata data related to the domain specific user when creating the user profile will be stored, for updating and profile deletion.

**Logic Tier**

1. User Profile Creation – Allowing to create unique user profiles for each domain user, main purpose comes when working with model retraining to figure out the data to be used.
2. API Proxy – Interface which allows the frontend to communicate with the backend services via HTTP calls/ request.
3. Data Preprocessors – The text data that will be used as input for the text summarizer must be cleaned using the preprocessing code.
4. NLP Text parser – Responsible for cleaning the input text review when received from the API endpoint.
5. Models – The model which will be responsible in generating the summary from the input review and find the sentiment of the summary generated.
6. Generalized Summarization Transformer – This is the summarization model which will be used, an adaptive model depending on the domain and type of user interacting with the model with optimized hyperparameters.
7. Sentiment Analysis Transformer – This model will be used to classify the generated summary into positive or negative sentiment.
8. Data Encryption – Data encryption is in charge of data protection/safety, keeping domain data extremely secure and leaving it useless even if it is stolen.
9. Model Retraining – Responsible for retraining the model with new data and finding new set of hyperparameters.
10. Dataset Recreation – Responsible for recreating the dataset with new data which has been given as input from the domain users
11. Hyperparameter tuning – Responsible for finding the new best set of hyperparameters using the new data.
12. Model Training – Responsible for training the new model with the new set of hyperparameters found.

**Presentation Tier**

1. User Profile Creation Wizard – The UI that presents the user to create a new profile if they are planning to use the software for their domain business, or a general user to skip the sign up if only a generalized summary is required.
2. User Input Wizard for Reviews – The UI that will request the user to input the review which needs to be summarized.
3. Summarization Feed UI – The UI that displayed the summarized text for the input review.
4. Hyperparameter tuning UI – The UI that triggers model retraining when the domain user performs an action on it.

# **3.4. System design**

## **3.4.1. Choice of design paradigm**

The main reason behind the author going ahead with **SSADM (Structured Systems Analysis and Design Method)** over **OOAD (Object-Oriented Analysis and Design)** to build the protype was due to the ease of ability to extend the system features when it comes to future developments of the system. Given below are the other factors as to why the choice of SSADM was considered:

* Object Oriented approaches will not make a greater benefit since the main core project research lies towards Data Science.
* Ability to demonstrate the MVP (Minimum Viable Product) prototype implementation for the research application is more convenient.
* More time efficient when concerned with the time constraint of having to complete the documentation research along with the project implementation.

# **3.5. Design diagrams**

## **3.5.1. Data flow diagrams**

In order to show the relationships between components and provide a clearer understanding of how data flows across the whole system, the context diagram's components have been extensively broken down in the diagram below, which was detailed in the SRS previously.

### **3.5.1.1. Level 01 data flow diagram**

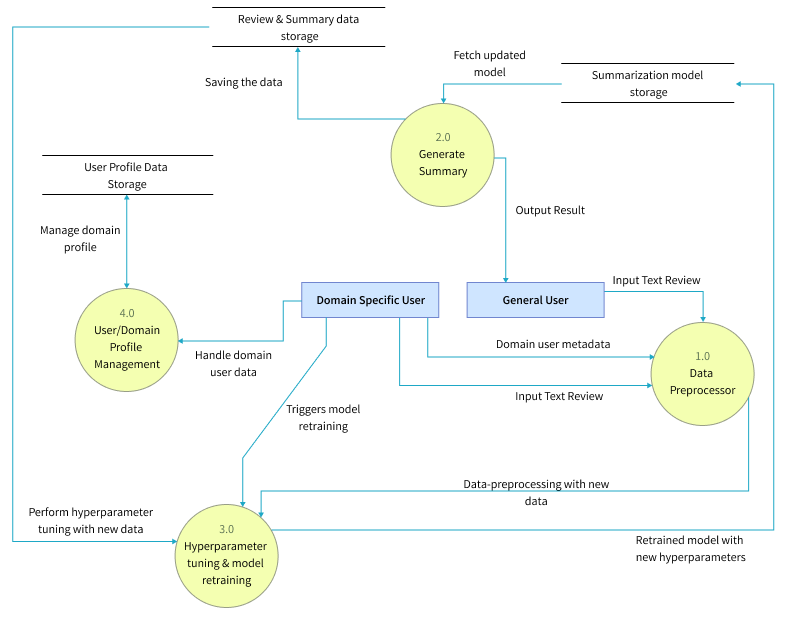


Figure 6: Data flow diagram - level 01 (*Self-Composed*)

### **3.5.1.2. Level 02 data flow diagram**

The level 02 data flow diagram given below is a further breakdown of the core hyperparameter tuning and model retraining proposed in the level 01 data flow diagram.

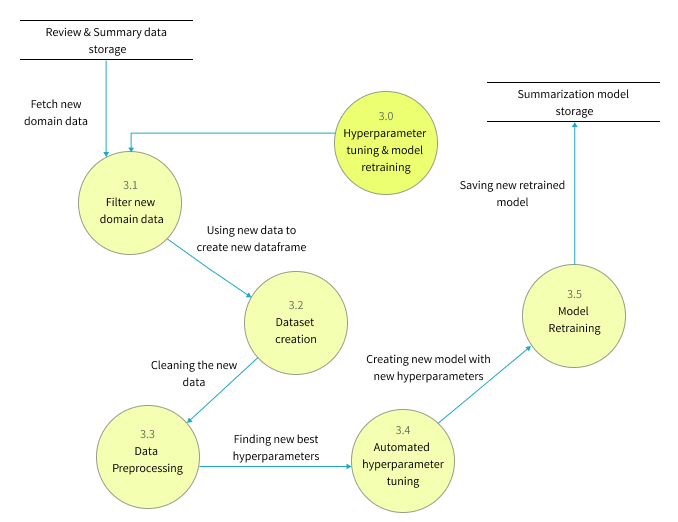


Figure 7: Data flow diagram - level 02 (*Self-Composed*)

## **3.5.2. System process activity diagram**

The flowchart given below represents the algorithm’s flow and the decision structures which explains the flow of the system which is initially expected requirement.

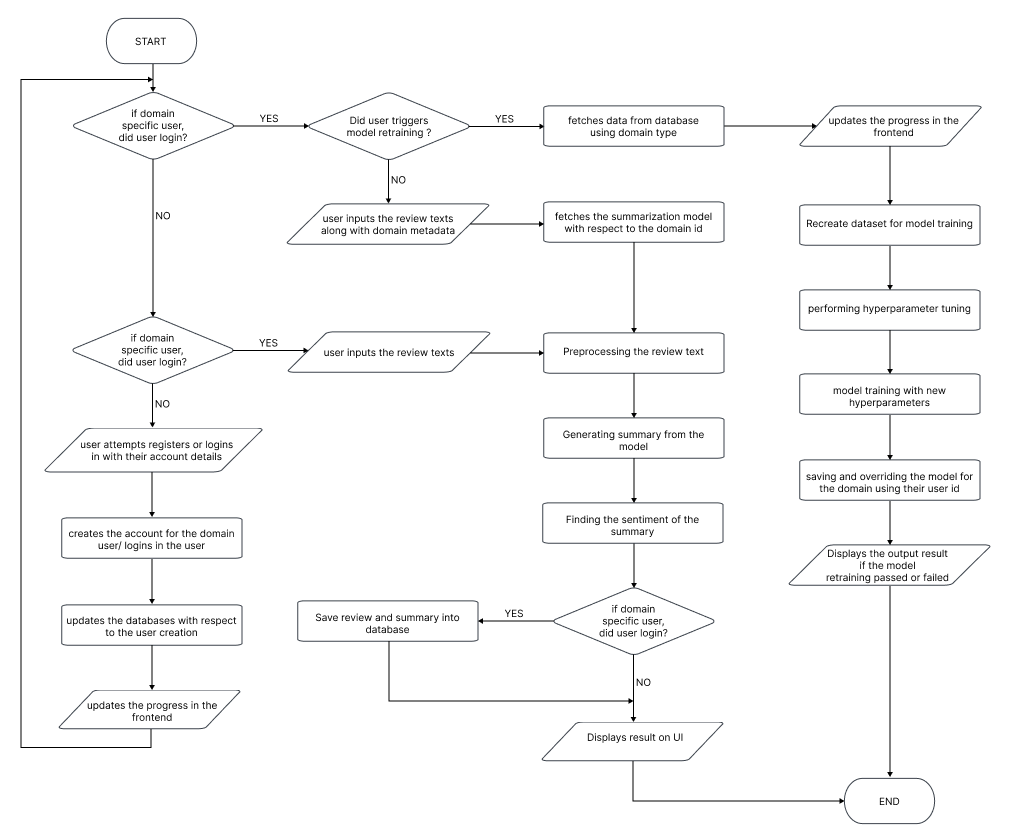


Figure 8: System process flow chart (*Self-Composed*)

## **3.5.4. UI design**

Given the specifications acquired from the target audience, the author chose a web application for the simulation of the proof-of-concept application. A wireframe design was created to depict the key user interface aspects in the system and is available in [**APPENDIX C.2**](#_C.2._UI_wireframes)

# **3.6. Chapter summary**

This chapter provides an in-depth examination of the project's design, including its architectural features and explains the core flow via data flow diagrams. The chapter concludes with a preview of the user interface wireframes that will be utilized to facilitate interaction between the end-user and the system.

# **CHAPTER 04. INITIAL IMPLEMENTATION**

# **4.1. Chapter overview**

This chapter will provide a thorough overview of the technologies, supporting tools, and languages utilized for the project development, as well as the fundamental implementation of the research prototype.

# **4.2. Technology selection**

## **4,2.1. Technology stack**

The technologies utilized to implement the prototype at each tier are given below.

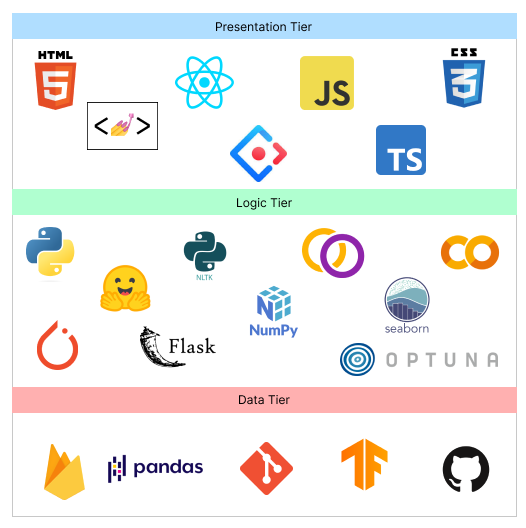


Figure 9: Technology stack (*Self-Composed*)

In preference to macOS and Linux, **Windows** will be the operating system used for project development and documentation. This is due to a wider variety of software available, which ensures that it has more industry-standard tools than Linux and macOS, along with better compatibility and familiarity, which make things simpler to use and manage.

## **4.2.2. Data selection**

Given that the project relies heavily on data science, it is essential to use data from trustworthy sources to train the model. This ensures that the data is accurate and leads to the development of a more accurate model for general text summarization.

The goal of the project was to develop an adaptive generalized text summarization model, so a generalized dataset for text summarization was necessary to establish the base model. **TensorFlow datasets**, being a reputable source of data, offered multiple options for this dataset.

The table below shows the datasets which have been used by previous researchers, therefore this can experiment for the protype development.

Table 13: Dataset sources (*Self-Composed*)

|  |  |
| --- | --- |
| **Dataset** | **Source** |
| CNN Dailymail | TensorFlow Datasets |
| Gigaword | TensorFlow Datasets |
| Xsum | TensorFlow Datasets |

During the training process, all three of these datasets (CNN Dailymail, Gigaword, and Xsum) were utilized with various transformer architectures to determine which dataset resulted in the best evaluation metrics. Of the three datasets, Xsum performed the best, so it was selected as the final dataset for the project.

## **4.2.3. Programming language selection**

In this study, we employed the programming language **Python** for the implementation of our Machine Learning models and Backend APIs. Python is a widely-used language known for its readability, simplicity and versatility, making it an ideal choice for our research project. This language has a broad range of use cases including web development, data analysis, scientific computing and machine learning. Additionally, Python has a large and active community, providing ample resources and support. Furthermore, the availability of various libraries and frameworks such as NumPy, pandas, and TensorFlow, made Python a powerful tool for our data science and machine learning tasks.

**TypeScript** (it’s a superset of JavsScript) was chosen for the frontend development in order to display dynamic content and create a highly interactive and engaging user experience.

## **4.2.4. Development framework selection**

The author has chosen several development frameworks for the project covering all areas, the table given below describes the purpose of choosing each framework and whats it used for in the project.

Table 14: Development framework utilized (*Self-Composed*)

|  |  |
| --- | --- |
| **Framework** | **Reason for choosing** |
| React | ReactJS provides reusable components for efficient application development, and its open-source nature and strong community support enable continuous developments and learning tools, making it a handy solution for developers. |
| Ant Design | Ant Design is a popular React UI framework that offers a large selection of pre-built components, encourages consistency and usability, and enables for style customization using CSS-in-JS. It also reduces build time by using tree-shaking compatibility. Overall, it provides a complete and effective frontend development solution. |
| Flask | Flask is a Python micro web framework that is lightweight, easy to learn, and provides for flexibility in developing application structures. It is useful for developing backend APIs since it provides a straightforward approach to manage routing and request processing, as well as a built-in development server and different extensions that can be used to extend an API's capabilities. |
| Optuna | Optuna is a Python open-source framework for hyperparameter optimization that is simple to use, efficient, and has built-in parallelization support. It also offers built-in support for popular machine learning libraries, as well as automated early halting and distributed parallel optimization. It is a robust and adaptable library that can aid in the improvement of machine learning model performance. |
| PyTorch | PyTorch is a Python open-source machine learning framework that is built on Torch library and makes use of GPU capability. Because of its straightforward and easy-to-use API, vast selection of pre-built neural network layers and modules, powerful features such as dynamic computation graphs and automated differentiation, and strong community support, it's a solid choice for developing machine learning models. It is widely used in business and academia for machine learning model research and development. |

The data science core employs transformer models from Hugging Face, which have been fine-tuned with the datasets used in this research project. The purpose of retraining the model is to experiment with various hyperparameter changes.

## **4.2.5. Libraries utilized**

Table 15: Libraries used with reasonings (*Self-Composed*)

|  |  |
| --- | --- |
| **Library** | **Reasoning for selection** |
| Firebase | Used for providing backend services for mobile and web application development. |
| Axios | Used for handling HTTP requests in JavaScript. |
| Redux | Used to control the state of JavaScript applications in a predictable manner by the use of actions, reducers, and a central store. |
| Hugging face Transformers | Hugging Face transformers library is a state-of-the-art natural language processing library that provides pre-trained transformer models and tools for fine-tuning them on specific tasks. |
| NLTK | NLTK is a library for natural language processing that provides tools for tasks such as tokenization, stemming, and part-of-speech tagging, as well as a wide range of corpora and resources for training and evaluating language models. |
| Rouge | A library for evaluating the quality of text summaries, it is used to compare an automatically generated summary or a peer summary to one or multiple reference summaries. |
| Pandas | Pandas is a library for data manipulation and analysis, it provides data structures and data analysis tools for handling and manipulating numerical tables and time series data, it is widely used for data preprocessing and data cleaning tasks in data science. |
| NumPy | NumPy is a library for scientific computing with Python, it provides support for large, multi-dimensional arrays and matrices of numerical data, as well as a large collection of mathematical functions to operate on these arrays |
| Matplotlib & Seaborn | Used for creating static, animated, and interactive visualizations in Python |
| Gramformer | Used for generating text using GPT-3 model, it's developed by Hugging Face. It provides an easy to use API that allows developers to fine-tune GPT-3 models on their own data and use them for text generation, it supports for various tasks such as text completion, text generation, and text classification. |
| Flask | Used for creating web APIs using Python to communicate with the transformer model and handling HTTP requests. |

## **4.2.6. IDE’s utilized**

Table 16: IDE’s used along with justifications (*Self-Composed*)

|  |  |
| --- | --- |
| **IDE** | **Justification for selection** |
| VSCode | Best known for its adaptability, usefulness, and performance, it offers a wide range of capabilities, such as debugging, Git integration, syntax highlighting, and extensions to personalize the environment. |
| Google Colab | Due to its connection with Google Drive and availability of free GPUs, it’s helpful for developing machine learning models via a cloud environment. |
| Jupyter Notebook | Due to their interactive and readable format, making it ideal for local experimentation, documentation and collaboration. |

## **4.2.7. Summary of technology selection**

Table 17: Summary of Technology selection (*Self-Composed*)

|  |  |
| --- | --- |
| **Component** | **Tools** |
| Programming Languages | TypeScript, Python |
| Development Framework | Flask, PyTorch, Optuna |
| UI Framework | Ant Design, React |
| Libraries | NLTK, Rouge, React, Pandas, Gramformer, Matplotlib & Seaborn, Axios, Transformers (from hugging face) |
| IDE – Research | Google Colab, Jupyter Notebook |
| IDE – Product | VSCode |
| Version Control | Git, GitHub |
| Data storage | Firebase |

# **4.3. Implementation of core functionalities**

The project's core functionalities include the experiments of top-tier transformer architectures to determine the optimal one, applying data preprocessing steps, automating hyperparameter searching, retraining the model with new data fetched from the database and new hyperparameters, and having the model be able to summarize reviews from both domain users and general users.

## **4.3.1. Automated hyperparameter search & model training**

The author did a research on different approaches to automate the hyperparameter searching, because manual hyperparameter tuning is total waste of time. Multiple hyperparameter tuning frameworks were available, however Optuna was chosen due to its flexibility and ease of use.

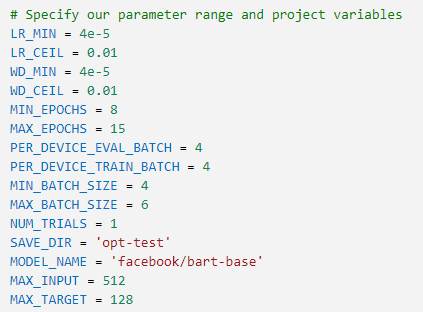


Figure 10: Hyperparameter Range Initialization (*Self-Composed*)

The code snippet above illustrates how the hyperparameters are initialized with a group of values, some of which are within a range based on the setting of the min and max parameters. In order to determine the optimal parameter values from the initialized range, these parameters will be utilized during hyperparameter search training. If no range is specified, the default will start at zero.



Figure 11: Hyperparameter search using Optuna (*Self-Composed*)

The above snippet shows how the Optuna framework is integrated with the model training code to perform automated hyperparameter search. The main performance contributing parameters are considered for the hyperparameter searching this includes learning rate, weight decay, num of training epochs, warmup ratio, batch size.



Figure 12: Hyperparameter results and training arguments (*Self-Composed*)

The above snippet demonstrates how to result of the hyperparameter search is used within the training arguments for model training.

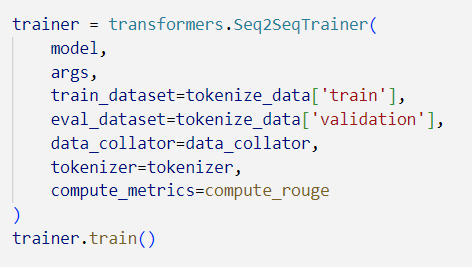


Figure 13: Model training (*Self-Composed*)

The above code snippet is the model training initiation with the optimal hyperparameters.

## **4.3.2. Model usage general & domain specific users.**

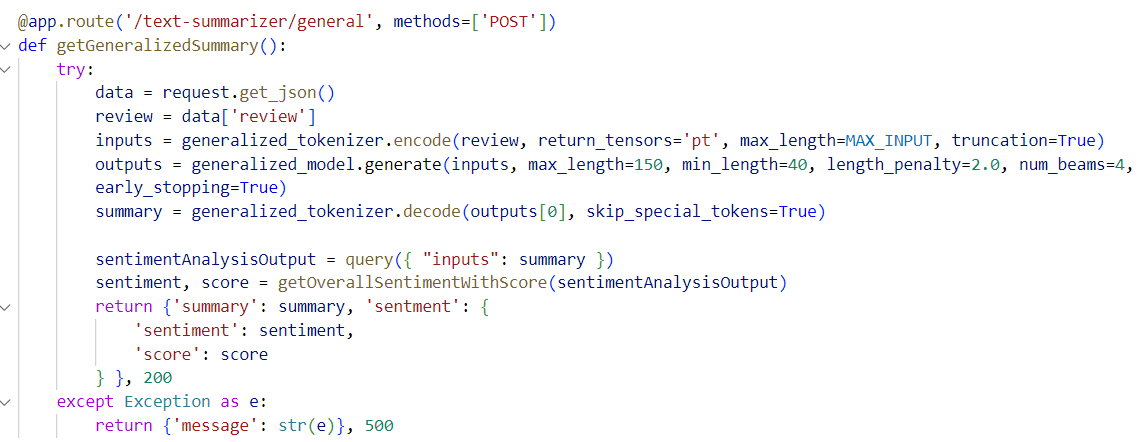


Figure 14: General user review text summarization (*Self-Composed*)

The above code snippet is an API endpoint which handles text (review) summarization for the general users where they don’t need to create and account or have specialized model assigned to them instead the general model is utilized.

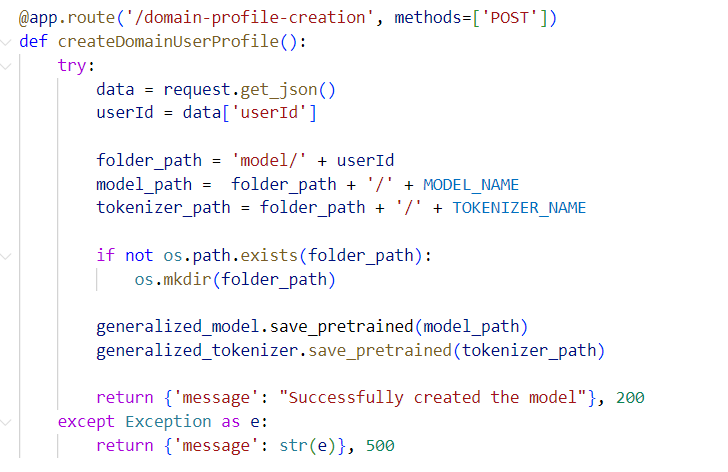


Figure 15: Assigning a specific model for the new domain user (*Self-Composed*)

The above code snippet describes an API for assigning a copy of the generalized model for the user id of the domain (given that the domain user signed up for the application), the reason for creating a copy is for retraining purposes with new data.



Figure 16: Domain Specific text review summarization (*Self-Composed*)

The above code snippet describes how the newly assigned domain specific model is used to generate the summary and store the input and outputs into the database along with returning the sentiment of the summary with the sentiment score. The sentiment analysis is done using a pretrained transformer directly from hugging face API.

## **4.3.3. Model retraining.**

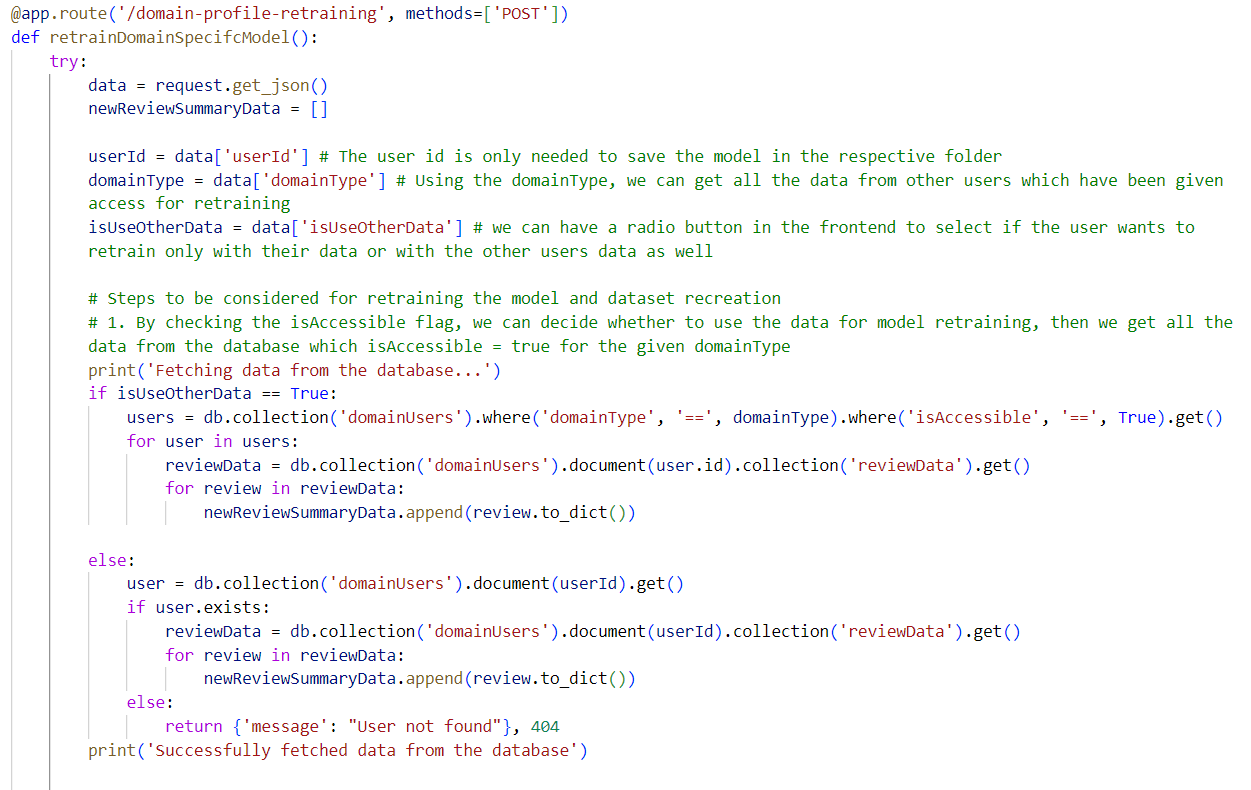


Figure 17: Fetching related data for model retraining (*Self-Composed*)

The code snippet above describes the necessary data fetched from the database to create the new dataset for model retraining, once the new dataset is created it is passed through a function to perform hyperparameter tuning and then retrain the model. Once completed retraining, the old model will be replaced with the new model in the folder path location.

## **4.3.4. Data Preprocessing**

The raw dataset was contaminated with a lot of noise, numerous data preprocessing steps were required to clean the data before model training. The related preprocessing scripts can be found at [**APPENDIX D.1**](#_D.1._Data_Preprocessing).

# **4.3. Chapter summary**

The chapter discusses the tools, technology, and languages utilized to create the research prototype. The fundamental functionality is covered, along with insights and samples of code for the implemented algorithms, moreover the testing and evaluation related code for the models is discussed.

# **CHAPTER 05. CONCLUSION**

# **5.1. Chapter overview**

This chapter covers the preliminary conclusion of the research project, including the core functionality of its implementation for the MVP. Any deviations taken with in the project scope will be discussed and an initial evaluation test result will be attached. Any additional improvements planned for the project will be discussed. A demo of the project and the code reference for the project will also be included.

# **5.2. Deviations**

The initial goal of the author was to create an optimized solution for movie review summarization using transformers, but after discussions made with supervisors the research gap of the author for the technical contribution being only hyperparameter tuning of transformer felt small in magnitude, therefore the idea of creating a ***performance adaptive generalized solution*** was considered to continue the research implementation on.

The only project schedule deviation is that the testing scripting like unit, integration and performance testing has not yet been started but will be able to cover up within the timeframe listed. The initial Gantt chart plan can be found at [**APPENDIX E.1**](#_E.1._Project_Schedule)and the current progressing one at [**APPENDIX E.2**](#_E.2._Project_current)

# **5.3. Initial test results**

The Xsum dataset was trained with three top tier transformer architecture which are BART, T5 and PEGASUS. The evaluations for all these architectures where conducted is given below.

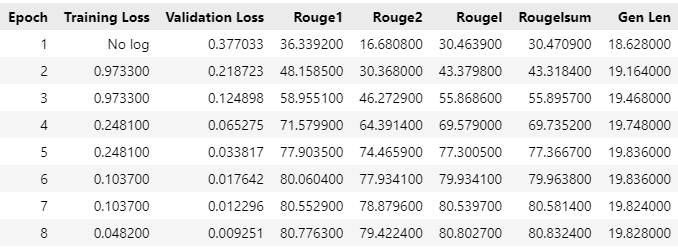


Figure 18: Evaluation result for bart-base model (*Self-Composed*)

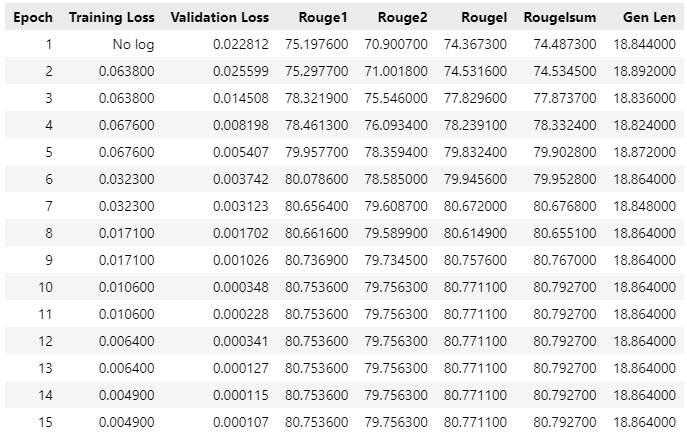


Figure 19: Evaluation result for t5-base model (*Self-Composed*)

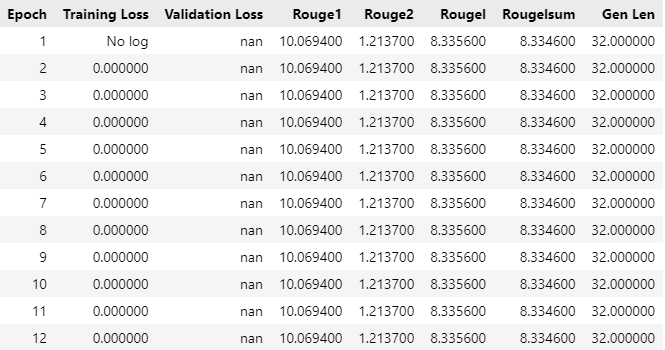


Figure 19: Evaluation result for pegasus-base model (*Self-Composed*)

The bart-base model outperforms the rest of the other two (t5 and pegasus) despite the fact the results of t5 was very closer to bart, it still gets ranked as the second due to evaluation result difference and the difference in the epoch taken to reach the result. However, the result of Pegasus was significantly low bringing it to the last. The validation accuracy and validation loss graphs can be found at [**APPENDIX E.3**](#_E.3._Initial_test)**.**

# **5.4. Required improvements**

A couple of improvements in-order to bring the project to a success are as follows:

* Integrating the developed APIs and models to the frontend UI: the UI has already been developed by the author at this point in time.
* Enhance transformer performance by customizing the architecture of existing transformer architecture algorithm.
* Testing all areas of the project applications, this will include unit, performance and integration testing.
* Encrypting the data which is storing in the database for security purposes.
* Connecting a push notification service to the UI, to indicate when the retraining process is completed to the end user.

# **5.5. Demo of the prototype**

A functional prototype demo along with project presentation details was recorded and uploaded to YouTube as unlisted. The video for it can be found at <https://youtu.be/NdXRkGFG9b8> and the presentation slides used in the demo can be found at <https://tinyurl.com/3z3dj9nn>

# **5.6. Code Reference**

All related code and documentation material are made available in GitHub by the author at <https://github.com/nazhimkalam/gensum/tree/main/Code>

# **5.7. Chapter summary**

This chapter discuses about the core functionality completion of the project for the MVP. All deviations of the project and evaluation results have been discussed. The improvement of the project is also discussed by which the author will be working on. A demo about the project along with the code references is also listed.

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# **APPENDIX A – INTRODUCTION**

# **A.1. Research questions**

**R**Q1: What are the top tier transformer architectures widely used and know for NLP problems related to text summarization?

**R**Q2: How can a pretrained transformer architecture be fine-tuned to get the optimal hyper parameters?

**R**Q3: What kind of evaluations should we perform after fine-tuning to filter out the best transformer architecture?

**R**Q4: How can domain generalization be integrated for system?

# **APPENDIX B – SRS**

# **B.1. Requirement elicitation methodologies**

Table 20: Stakeholder groups (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| Group | Stakeholders | Reason | Instrument |
| G1 | Domain experts (NLP Experts, AI Researchers, Data Scientists) | In order to respond to research questions and discover anything the author may have overlooked, gather any insights and information especially in the study area. | Interview |
| G2 | Domain and General Users | Gather requirements which will help develop features expected in the application. | Survey & LR |
| G3 | Competitors | Analyze any existing systems related to the research and understand how the project can be enhanced | Self-Evaluations & Brain Storming |
| G4 | Developers | Cross checking if the project is feasible to be continued with. | Prototyping |

# **B.2. Survey analysis**

Table 21: Survey analysis (*Self-Composed*)

|  |  |
| --- | --- |
| **Question** | Have you ever realized that reading lengthy reviews takes a significant amount of time? |
| **Aim of question** | To determine whether the audience as a whole considers reading lengthy reviews to be a time-consuming activity. |
| **Findings & Conclusion**  It can be concluded that a large part of the audience (more than 90% of the audience) finds that’s reading lengthy reviews is a time-consuming hassle which also proves that they would appreciate if there would be a quicker approach for this problem, like a summarization. This also concludes to see a positive correlation from the results which was expected from the author of the project. | |
|  | |
| **Question** | Do you believe that developing a generic system for all domains would be a wise course of action? |
| **Aim of question** | Ensuring that developing a generic system would be beneficial in all domains |
| **Findings & Conclusion**  It can be concluded that most of the participants (more than 90% of the audience) agrees that developing a generalized system which can adapt to the domain as they use, is beneficial and worth the effort to process with the project research. This also concludes to see a positive correlation from the results which was expected from the author of the project | |
|  | |
| **Question** | Who do you think will most benefit from this system? |
| **Aim of question** | Getting to know about the thoughts of the participants about to whom the system would mostly benefit from? |
| **Findings & Conclusion**  It can be concluded that a majority of the participants (more than 60%) finds that this system will benefit the movie, restaurant, tourist, hotel, ecommerce domains (these domains were considered since they are mostly interacted with the users on a daily bases and uses customer reviews for their domain as a part of their business) as well as the general users. | |
|  | |
| **Question** | How much do you think that this system would benefit you? |
| **Aim of question** | Getting to know how much the system would benefit the general participants which are NOT domain specific |
| **Findings & Conclusion**  From the statistics graph, it can be concluded that roughly 75% of the audience finds that the system would benefit them for their general work or needs given that it’s not domain specific to them, which is a positively correlated result from the achieved statistics. | |
| **Question** | How much do you think that this system would benefit businesses? |
| **Aim of question** | Getting to know from the participants as to how much the system would benefit businesses/domains in solving this problem. |
| **Findings & Conclusion**  From the statistics graph, it can be concluded that roughly 84% of the audience finds that the system would benefit the businesses, which is a positively correlated results from the achieved statistics and that’s what the author expected to achieve. | |
|  | |
| **Question** | Before making a reservation or booking a movie or a hotel, do you read the customer reviews? |
| **Aim of question** | Getting an idea from the audience if in general they give importance to customer/user reviews to any domain before consuming their product or services. |
| **Findings & Conclusion**  It can be concluded that a majority of the participants (more than 95% of the audience) agrees that they value and read customer reviews before they consume one’s product or service. Therefore, making customer reviews a major contributing factor for business growth. | |
|  | |
| **Question** | How much you think customer reviews are important with respect to any domain? |
| **Aim of question** | Getting an idea from the audience to see how much they value customer reviews. |
| **Findings & Conclusion**  From the statistics graph, it can be concluded that roughly 90% of the audience finds that customer/user reviews are very important irrelevant to the domain, which is a positively correlated results from the achieved statistics and that’s what the author expected to achieve. | |
|  | |
| **Question** | Which additional features would you want to see in this system. |
| **Aim of question** | To identify the systems non-functional requirements which could potentially improve the system. |
| **Findings & Conclusion**  The majority of participant responses were concerned with classifying the review text's sentiment after it had been summarized and with managing a list of review uploads so as to add filtering for the summarized review text based on the sentiment, whether it was positive or negative, along with the sentiment score. | |

Table 22: Survey thematic analysis (*Self-Composed*)

|  |  |
| --- | --- |
| **Code** | **Theme** |
| Convenience | User-friendly |
| Adjustability | Flexibility |

|  |  |
| --- | --- |
| **Theme** | **Conclusion** |
| Convenience | A group of participants required to upload more than one review and a time/bulk at once. |
| Adjustability | A majority of the participants requested for sentiment of the summary and the sentiment score to be also included with the output. |

# **B.3. Interview analysis**

Table 23: Interview thematic analysis (*Self-Composed*)

|  |  |
| --- | --- |
| **Code** | **Theme** |
| Data handling | Data Collection & Data Preprocessing |
| Transformer architectures | Best performing transformer architectures |
| Generalization | Handling adaptive generalization |
| Research scope | Research gap and scope |
| Hyperparameter tuning | Automatic hyperparameter tuning & model retraining |
| Hybrid transformers | Looking into hybrid transformer combinations |
| Custom transformers | Customizing the transformer architecture |
| Prototype | Prototype features and suggestions |
| Business benefits | Understanding which and how businesses would benefit |
| Evaluations | Understanding the importance and evaluation ways |

|  |  |
| --- | --- |
| **Theme** | **Conclusion** |
| Data handling | Since this is a project connected to data science, the availability of data and the data preparation methods to be used are the main concerns. PhD candidates suggested to make use of verified and well researched datasets for the area of generalization since every domain will be using the same model initially to start off with, therefore the quality of data should be considered, it was recommended to use datasets that have already been studied and utilized by other researchers since they have done so and verified their findings. NLP researches were concerned on the language of text the project scope is into when performing text preprocessing, since text data can also contain other language characters unless the project is scoped down to only English language supportive. |
| Transformer architectures | Most of the interviewees pointed out similar transformers architectures which they have used and found impressive results, which are mostly BERT, GPT-2, Roberta, T5 etc... where they have explored not only with text summarization but also when other NLP areas such as sentiment analysis, proving again that transformers are well known for solving NLP problems. They also stated to check up with the daily stats (most downloads and likes) about the transformer architectures from Hugging Face, this is because new better versions of the transformers are always been produced/updated. |
| Generalization | The Software Engineers and Architects suggested to make use of document-oriented NoSQL database management system to handling data storage for the domain specific managers, this is because its easily scalable and provider superior performance especially for the idea of adaptative generalization for this project. Such services are like MongoDB, Firebase NoSQL DB etc. |
| Research scope | The technology exports and research experts find that the solution of solving this problem using optimized transformers is great but they find that creating a generalized adaptive solution would be challenging with the time frame of the project but also advised to solve for the domain of movies first and then get into the others if time permits. |
| Hyperparameter tuning | The NLP researchers and Lectures suggested several ways of using tools and libraries to help with hyperparameter tuning since doing this manually is very time consuming and unnecessary effort. |
| Hybrid transformers | PhD candidates liked the idea of using hybrid transformer combination by using ensemble approaches to combine the top best two transformer architecture but it seems the scope of the project for the time frame is becoming bigger and riskier. |
| Custom transformers | The NLP researchers recommended to customize the existing transformer architecture instead of Hybrid model creation because of the project scope. |
| Prototype | The interviewees are interested to see how the generalization system for domain specific retraining is going to work together since they haven’t seen any such approach earlier from their experience. They also suggested if time permits to make use of a pretrained model to get the sentiment of the summary aswell to be displayed on the GUI. |
| Business benefits | Most of the interviewees suggested the Movie domain, Tourism, Ecommerce, Book, Researchers would find this useful in summarizing their customer reviews on their businesses. |
| Evaluations | The PhD candidates and NLP experts suggested the importance of evaluations when it comes to dealing with the adaptive generalization model since this can be used in any domain, therefore suggesting the author of the project to explore maximum of 3 domains when working with so its easier to compare the evaluation results else it will be confusing when demonstrating the work to anyone. |

Table 24: Interview participant information (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **Participant ID** | **Name** | **Designation/Affiliations** | **Expertise** |
| P1 | Ms. Kanishka Silva | PhD Research Student in Computational Linguistics | NLP |
| P2 | Mr. Nihal Kodikara | Machine Learning Expertise | Lecturer with PhD | ML and Neural Networks |
| P3 | Ms. Rrubaa Panchendrarajan | NLP Researcher | NLP |
| P4 | Mr. Pradeep Sanjaya | Software Architect | Algorithms |
| P5 | Ms. Nelum Weerakoon | Software Architect & ML Researchers | ML & Algorithms |
| P6 | Mr. Dinuka Piyadigama | VP Innovations, Software Engineer | ML & Neural Networks |
| P7 | Ms. Krishna Kripa | Lecturer with MSc | NLP |

# **B.4. Self-Evaluation (Competitor Analysis)**

Table 25: Competitor Analysis (*Self-Composed*)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Competitor Analysis Table** | | | | | |
| **Tools**  **Feature** | Summarize Bot | Resoomer | Smmry | Text Compactor | **GenSum** |
| Summarizing Text | **✓** | **✓** | **✓** | **✓** | **✓** |
| Domain Specific Generalization | 🗶 | 🗶 | 🗶 | 🗶 | **✓** |
| Ease of Use via GUI | 🗶 | **✓** | **✓** | **✓** | **✓** |
| Summary sentiment and score | 🗶 | 🗶 | 🗶 | 🗶 | **✓** |

# **B.5. Use case descriptions**

Table 25: Use case description UC:07 (*Self-Composed*)

|  |  |
| --- | --- |
| Use case | Update model hyperparameters |
| Id | UC:07 |
| Description | Manually change the hyperparameters used by the model. |
| Actor | Admin |
| Supporting actor (if any) | None |
| Stakeholders (if any) | None |
| Pre-conditions | All the data must be scraped and preprocessed (as the model would ideally need to be retrained upon hyperparameter tuning). |
| Main flow | 1. Admin authorizes themselves. 2. Admin can change the hyperparameters in use to a set of predefined values. 3. The system ensures data available is up-to-date (must be in this case, as the script will run periodically automatically). If not:    1. Obtains the latest available data.    2. Performs sentiment analysis and self-retrains. 4. The system retrains itself with the data and new hyperparameters. |
| Alternative flows | None |
| Exceptional flows | None |
| Post-conditions | The model is updated with the chosen hyperparameters. |

# **B.6. Functional requirements**

Table 26: ‘MoSCoW’ priority levels (*Self-Composed*)

|  |  |
| --- | --- |
| **Priority Level** | **Description** |
| Must have (M) | The demand at this level is the fundamental functional requirement for a prototype, and it must be carried out. |
| Should have (S) | Although not strictly required for the anticipated prototype to function, important criteria do provide a lot of value. |
| Could have (C) | Optional, non-essential desirable needs are crucial to the project's scope. |
| Will not have (W) | Requirements that the system might not meet right now and that are not given first consideration. |

Table 27: Usecase mappings (*Self-Composed*)

|  |  |
| --- | --- |
| **Use case Id** | **Use case name** |
| UC01 | Input Review |
| UC02 | Create Profile |
| UC03 | Retrain Model |
| UC04 | Search New Hyperparameters |
| UC05 | Create Model |
| UC06 | Prepare Dataset |
| UC07 | View Summary |
| UC08 | Generate Summary |
| UC09 | Store Data |
| UC10 | Delete reviews |

# **APPENDIX C – DESIGN**

# **C.1. UI wireframes**

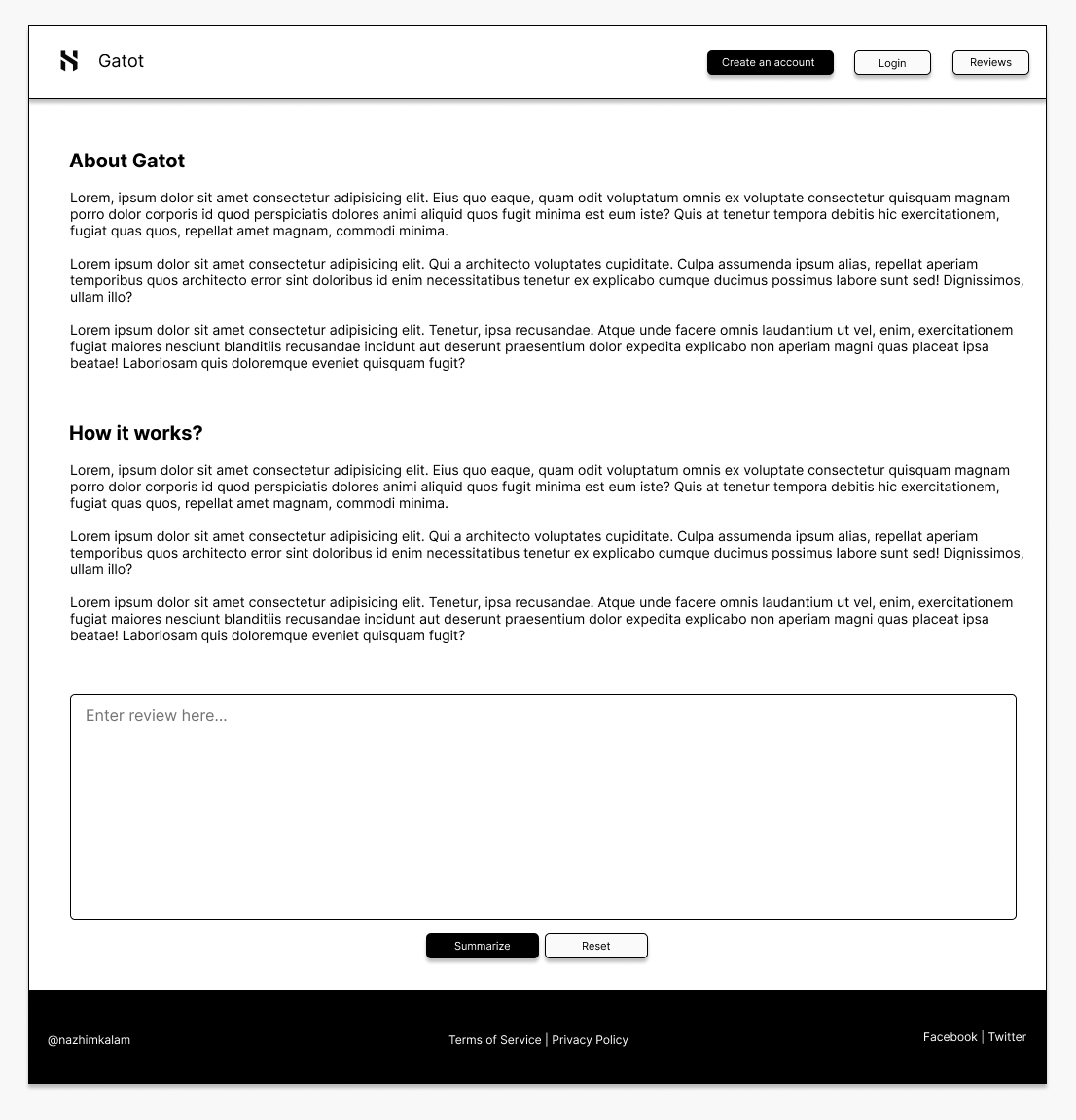


Figure 16: UI – Home page (*Self-Composed*)



Figure 17: UI – Login page (*Self-Composed*)

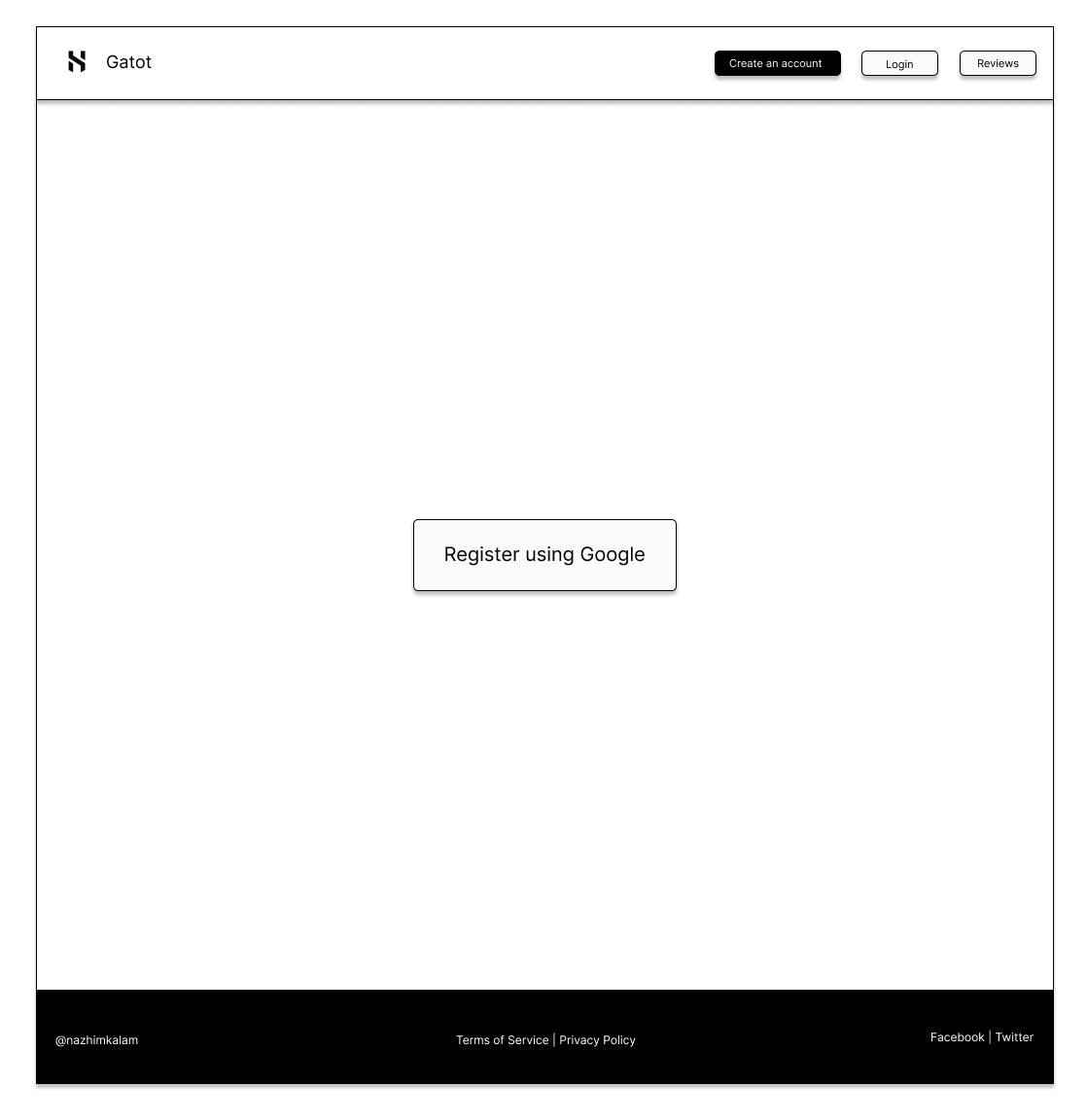


Figure 18: UI – Register page (*Self-Composed*)

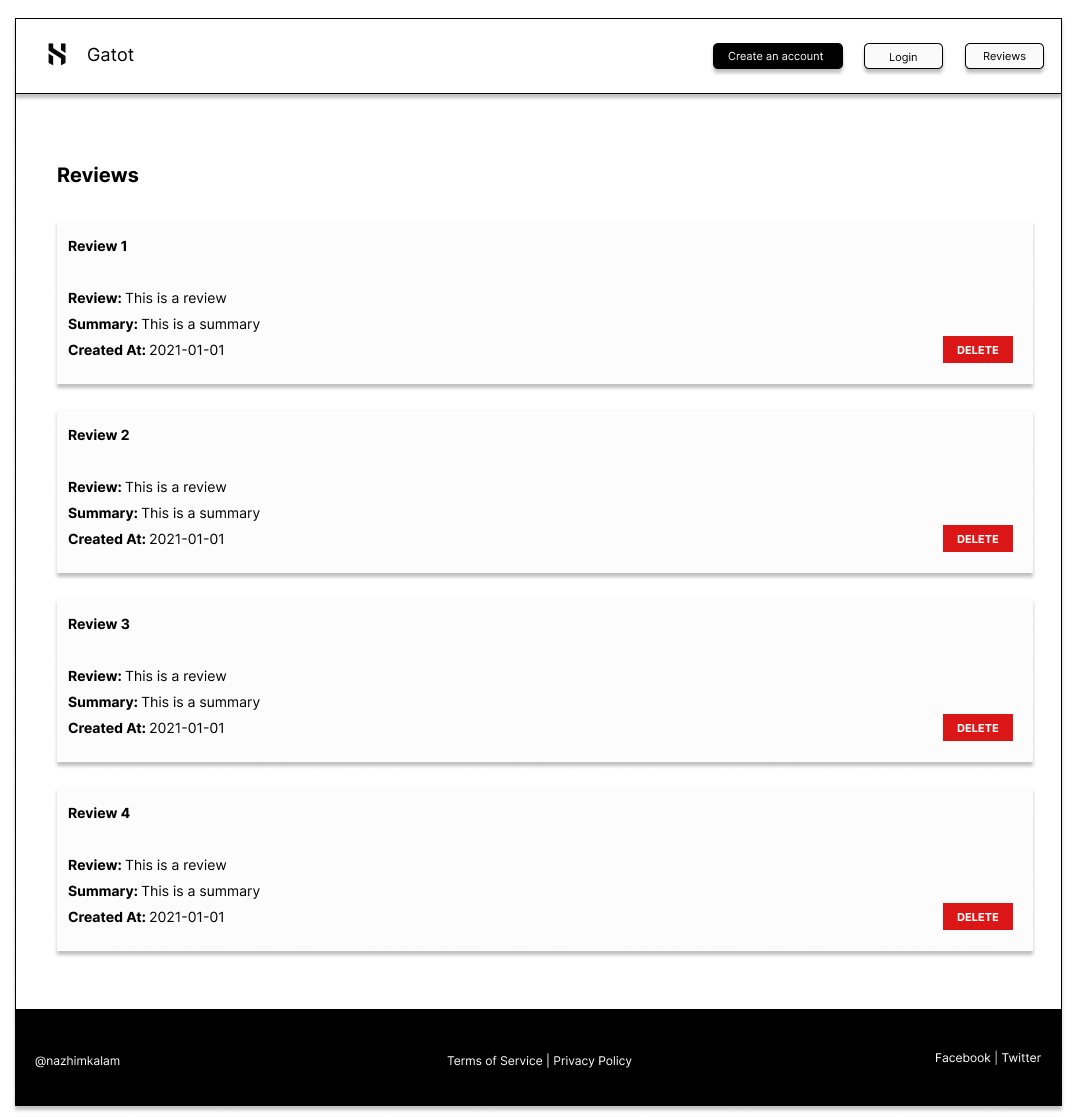


Figure 19: UI – Review history page (*Self-Composed*)

# **APPENDIX D – IMPLEMENTATION**

# **D.1. Data Preprocessing**

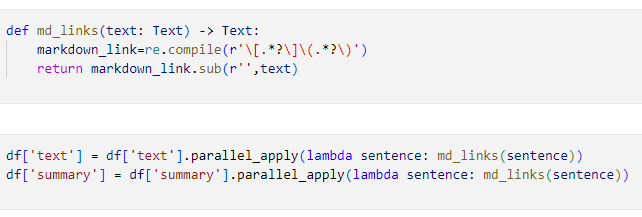
****

Figure 20: Preprocessing: Remove markdown (*Self-Composed*)

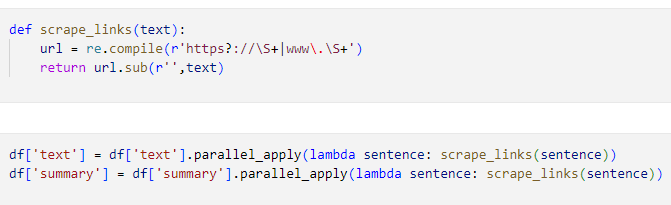
****

Figure 21: Preprocessing – Remove hyperlinks (*Self-Composed*)

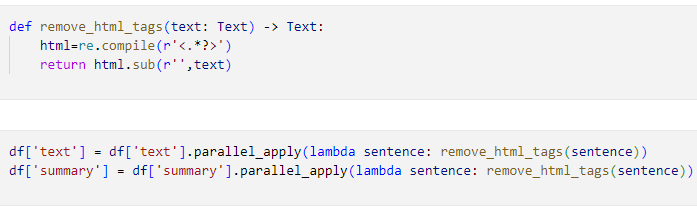
****

Figure 22: Preprocessing: Remove html tags (*Self-Composed*)

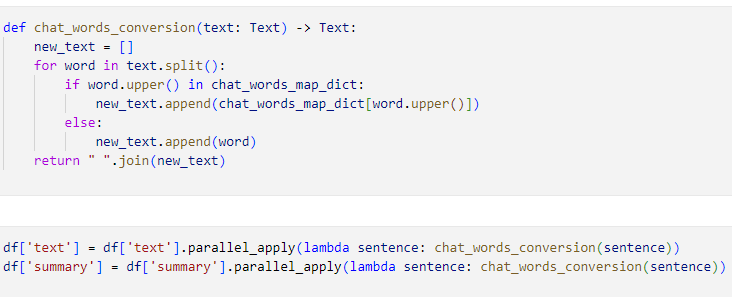
****

Figure 23: Preprocessing: Char words extension (*Self-Composed*)

The above code snippets are used to convert the short key words into longer form, such as e.g.: ‘ATM’ is converted into ‘At the moment’

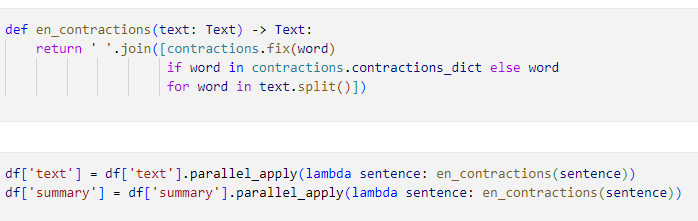
****

Figure 24: Preprocessing: Handling common contractions (*Self-Composed*)

The above code snippets are used to handle/extend common contractions such as e.g.: ‘They’re’ into ‘They are’

****

Figure 25: Preprocessing: Removing special characters (*Self-Composed*)

****

Figure 26: Preprocessing: Resolving spelling mistakes (*Self-Composed*)



Figure 27: Preprocessing: Removing duplicates (*Self-Composed*)



Figure 28: Preprocessing: Restoring missing punctuations (*Self-Composed*)

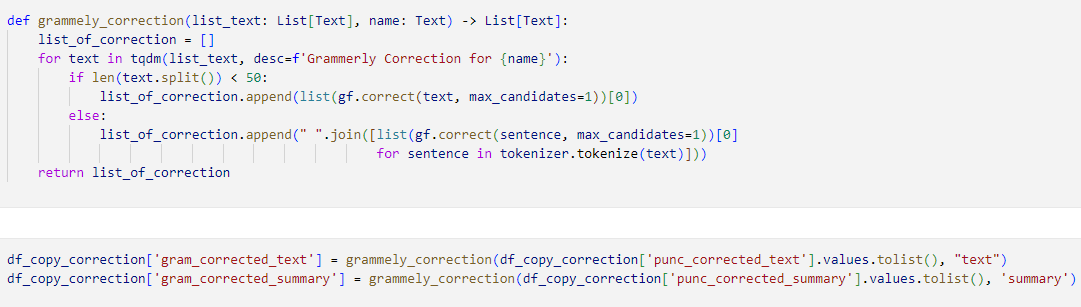
****

Figure 29: Preprocessing: Grammarly correction (*Self-Composed*)

# **APPENDIX E – CONCLUSION**

# **E.1. Project initial plan**

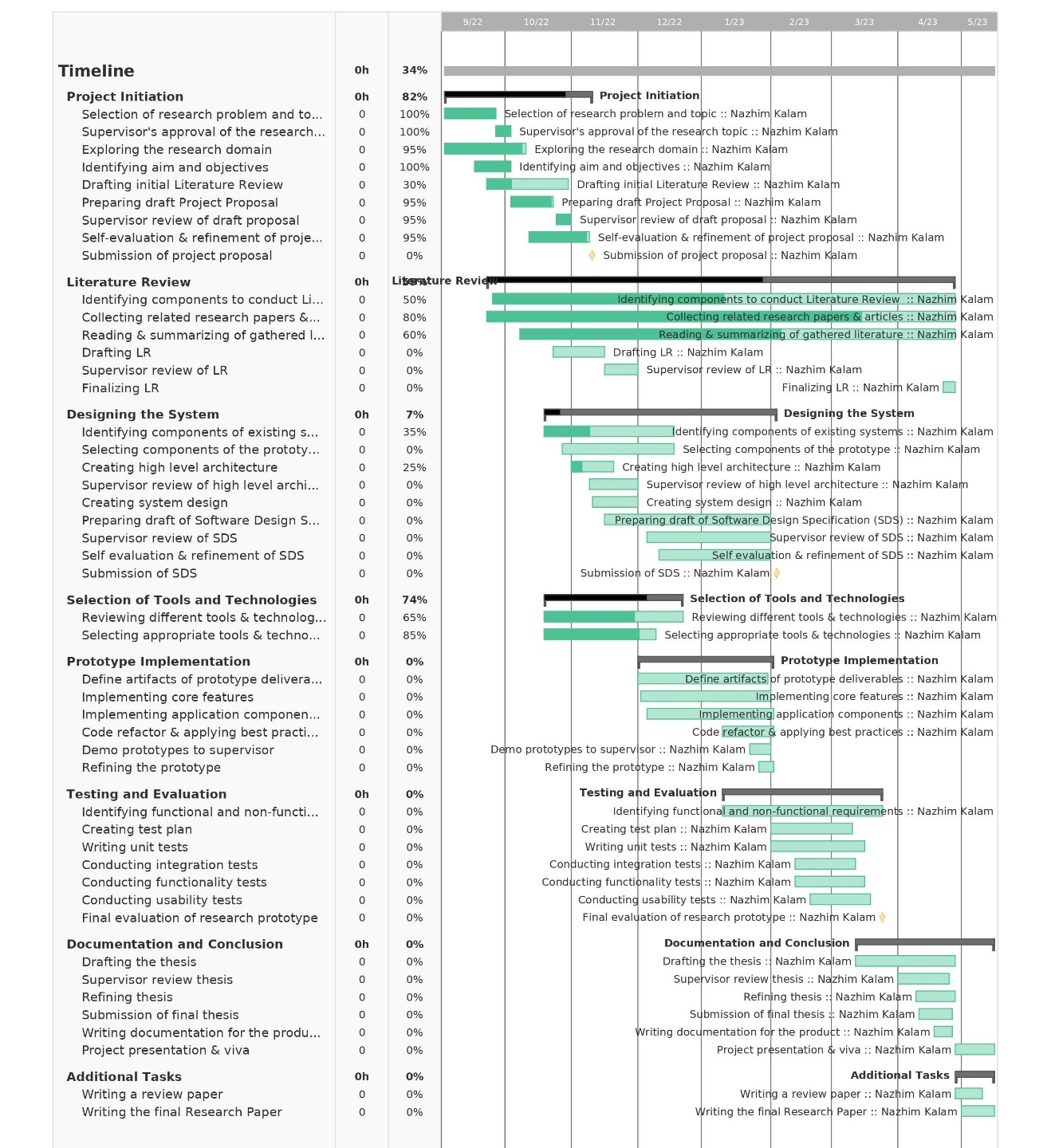


Figure 30: Gantt chart: Initial plan (*Self-Composed*)

# **E.2. Project current progress**

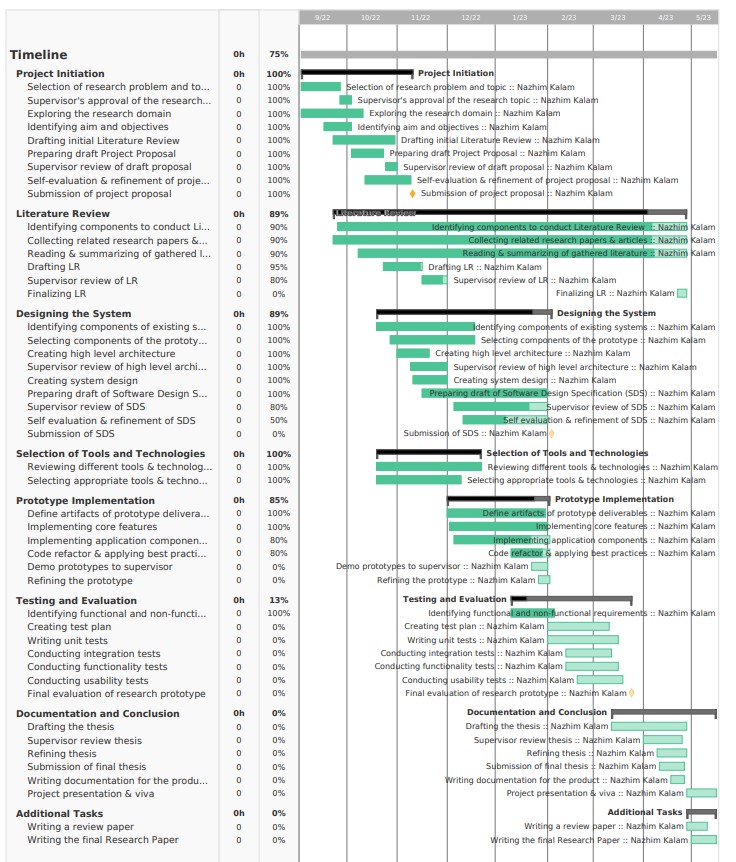
****

Figure 31: Gantt chart: Current plan (*Self-Composed*)

# **E.3. Initial test evaluation results.**

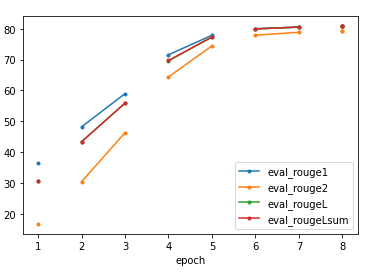
****

Figure 32: bert-base validation accuracy graph (*Self-Composed*)

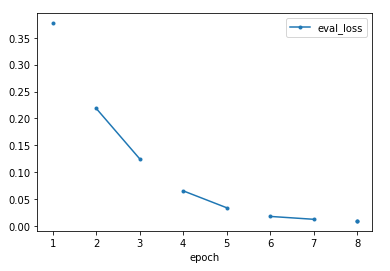
****

Figure 33: bert-base validation loss graph (*Self-Composed)*

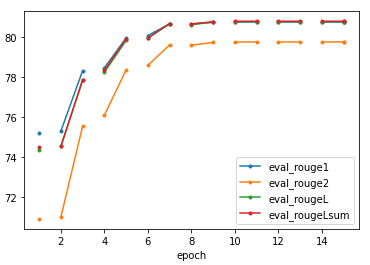
****

Figure 34: t5-base validation accuracy graph (*Self-Composed*)

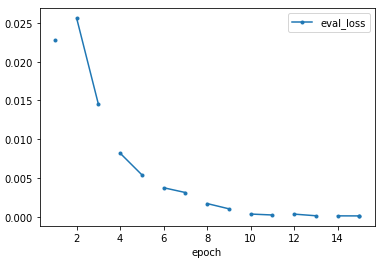
****

Figure 35: t5-base validation loss

graph (*Self-Composed*)

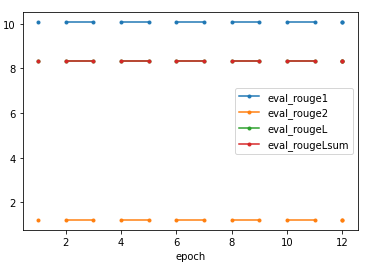
****

Figure 36: pegasus-base validation accuracy graph (*Self-Composed*)

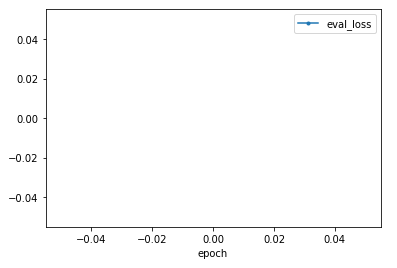
****

Figure 37: pegasus-base validation accuracy graph (*Self-Composed*)