

# Relational Calculus

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Fall 2020 (v20.1.0)

## First-order logic

**term**  $t := x$  (variable)  
           $| c$  (constant)

**formula**  $\varphi := P(t_1, \dots, t_n)$   
           $| t_1 \text{ **op** } t_2$  with **op**  $\in \{=, \neq, >, <, \geq, \leq\}$   
           $| \varphi_1 \wedge \varphi_2 \mid \varphi_1 \vee \varphi_2 \mid \neg \varphi \mid \varphi_1 \rightarrow \varphi_2$   
           $| \exists x \varphi \mid \forall x \varphi$  if  $x \in \mathbf{free}(\varphi)$

$\mathbf{free}(\varphi) = \{ \text{variables that are not in the scope of any quantifier} \}$

## Notation

We write  $\exists x_1 \exists x_2 \cdots \exists x_n \varphi$  as  $\exists x_1, \dots, x_n \varphi$

We assume **quantifiers bind till the end** of the line:

### Example

$\exists x R(x) \wedge S(x)$  stands for  $\exists x (R(x) \wedge S(x))$   
**not** for  $(\exists x R(x)) \wedge S(x)$

## Relational calculus

A **relational calculus query** is an expression of the form  $\{\bar{x} \mid \varphi\}$  where the set of variables in  $\bar{x}$  is **free**( $\varphi$ )

### Examples

- ▶  $Q = \{x, y \mid \exists z R(x, z) \wedge S(z, y)\}$
- ▶  $Q = \{y, x \mid \exists z R(x, z) \wedge S(z, y)\}$
- ▶  $Q = \{x, x \mid \forall y R(x, y)\}$

Queries without free variables are called **Boolean queries**

### Examples

- ▶  $Q = \{() \mid \forall x R(x, x)\}$
- ▶  $Q = \{() \mid \forall x \exists y R(x, y)\}$

# Data model

**Relations** (tables) are **sets** of **tuples** of the same length

## Schema

- ▶ Set of **relation names**
- ▶ **Arity** (i.e., number of columns) of each relation name  
**Note that columns are ordered but have no names**

## Instance

- ▶ Each relation name (from the schema) of arity  $k$  is associated with a  $k$ -ary relation (i.e., a set of tuples that are all of length  $k$ )

## Examples

**Customer** : ID, Name, Age

**Account** : Number, Branch, CustID

$Q_1$ : *Name of customers younger than 33 or older than 50*

$$\{ y \mid \exists x, z \text{ Customer}(x, y, z) \wedge (z < 33 \vee z > 50) \}$$

$Q_2$ : *Name and age of customers having an account in London*

$$\{ y, z \mid \exists x \text{ Customer}(x, y, z) \wedge \exists w \text{ Account}(w, \text{'London'}, x) \}$$

$Q_3$ : *ID of customers who have an account in **every** branch*

$$\{ x \mid \exists y, z \text{ Customer}(x, y, z) \wedge (\forall u, w, v \text{ Account}(u, w, v) \rightarrow \exists u' \text{ Account}(u', w, x)) \}$$

# Interpretations

## First-order structure $\mathcal{I}$

$\Delta$  non-empty domain of objects (universe)

$\mathcal{I}$  gives meaning to constant/relation symbols

$$c^{\mathcal{I}} \in \Delta$$

$$R^{\mathcal{I}} \subseteq \Delta^n$$

## Standard Name Assumption (SNA)

Every constant is interpreted as itself:  $c^{\mathcal{I}} = c$

## Answers to queries

- Fix an underlying domain  $\Delta$  under SNA  
 $\implies$  first-order structures are just databases

Recall: an **assignment**  $\nu$  maps variables to objects in  $\Delta$

The answer to a query  $Q = \{\bar{x} \mid \varphi\}$  on a database  $D$  is

$$Q(D) = \{ \nu(\bar{x}) \mid \nu: \mathbf{free}(\varphi) \rightarrow \Delta \text{ such that } D, \nu \models \varphi \}$$

The answer to a **Boolean query** is either  $\{()\}$  (**true**) or  $\emptyset$  (**false**)

# Safety

A query is **safe** if it gives a **finite answer** on **all** databases and this answer does **not depend on the universe**  $\Delta$

Examples of unsafe queries:

- ▶  $\{x \mid \neg R(x)\}$
- ▶  $\{x, y \mid R(x) \vee R(y)\}$
- ▶  $\{x, y \mid x = y\}$

Question: Are Boolean queries safe?

Bad news

Whether a relational calculus query is safe is **undecidable**

## Active domain

**Adom**( $R$ ) = { all constants occuring in  $R$  }

Example

$$\mathbf{Adom} \left( \begin{array}{c|cc} R & A & B \\ \hline & a_1 & b_1 \\ & a_1 & b_2 \end{array} \right) = \{a_1, b_1, b_2\}$$

The active domain of a **database**  $D$  is

$$\mathbf{Adom}(D) = \bigcup_{R \in D} \mathbf{Adom}(R)$$

## Active domain semantics

Evaluate queries within  $\mathbf{Adom}(D) \implies$  **safe relational calculus**

$$Q(D) = \{ \nu(\bar{x}) \mid \nu: \mathbf{free}(\varphi) \rightarrow \mathbf{Adom}(D) \text{ s.t. } D, \nu \models \varphi \}$$

For each  $\nu: \mathbf{free}(\varphi) \rightarrow \mathbf{Adom}(D)$  (there are finitely many)  
output  $\nu(\bar{x})$  whenever  $D, \nu \models \varphi$

For a safe query  $Q$ , we have that  $\mathbf{Adom}(Q(D)) \subseteq \mathbf{Adom}(D)$

## Evaluation of quantifiers

under active domain semantics

$$D, \nu \models \exists x \varphi \quad \Longleftrightarrow \quad D, \nu \models \bigvee_{a \in \mathbf{Adom}(D)} \varphi[x/a]$$

$$D, \nu \models \forall x \varphi \quad \Longleftrightarrow \quad D, \nu \models \bigwedge_{a \in \mathbf{Adom}(D)} \varphi[x/a]$$

where  $\varphi[x/a]$  denotes the formula obtained from  $\varphi$   
by replacing all **free** occurrences of  $x$  with  $a$

## Evaluation of quantifiers: Examples

Assume  $\mathbf{Adom}(D) = \{1, 2, 3\}$

$$D, \nu \models \exists x R(x, y) \wedge S(x)$$

$$\iff$$

$$D, \nu \models (R(1, y) \wedge S(1)) \vee (R(2, y) \wedge S(2)) \vee (R(3, y) \wedge S(3))$$

$$D, \nu \models \forall x S(x) \rightarrow R(x, y)$$

$$\iff$$

$$D, \nu \models (S(1) \rightarrow R(1, y)) \wedge (S(2) \rightarrow R(2, y)) \wedge (S(3) \rightarrow R(3, y))$$