

# Abstract

We present Cartan-Quadratic Equivalence (CQE), a modular, audit-first framework:  $E_8$  geometry as a hard substrate; Alena operators as discrete guidance; and MORSR—a Middle-Out Ripple Shape Reader—for staged exploration with strict provenance. CQE unifies overlays, a Weyl-invariant quadratic objective  $\Phi$ , and a monotone acceptance rule ( $\Delta\Phi \leq 0$ ; Midpoint requires strict parity improvement). The  $E_8 \times 3$  comparative projection pattern merges evidence (Left/Right read-only) into a parity-governed Center solve-frame. Initial ablations show: operators drive progress; parity guards correctness; geometry constrains drift. This suite documents axioms, policy, and a shell expansion protocol ( $\times 2/\times 4/\times 8$ ) with thresholded stopping and fully reason-coded logs.

# 1. Axioms

Axiom A — State Space. States are overlays on  $E_8$  with binary activations, optional weights/phase  $\phi$ , and immutable pose. Domain adapters embed native objects to overlays.

Axiom B — Group Action. A Weyl/Coxeter group  $G$  acts by isometries; geometry terms are Weyl-invariant.

Axiom C — Quadratic Objective.  $\Phi = \alpha\Phi_{\text{geom}} + \beta\Phi_{\text{parity}} + \gamma\Phi_{\text{sparsity}} + \delta\Phi_{\text{kissing}} + v \geq 0$ .

Axiom D — Equivalence.  $x \sim_{\text{CQE}} y$  iff  $\exists g \in G: y = g \cdot x$ ,  $\Phi(y) = \Phi(x)$ , and parity signature  $\pi(y) = \pi(x)$ .

Axiom E — Operators (Alena).  $R\theta$ ,  $\text{WeylReflect}(s_i)$ , Midpoint, ParityMirror; MORSR orchestrates.

Axiom F — Provenance. Every accepted transition logs  $\Delta\Phi$ , op, reason code, policy stamp, and parent IDs (signed when keys are present).

Axiom G — Compositionality. Isomorphic domain objects embed to CQE-equivalent overlays.

## 2. E<sub>8</sub> × 3 Comparative Projection

Two read-only sources (Left/Right) route into Center across allowed sectors with weights  $w_{left}$ ,  $w_{right}$ . Center-only parity corrections are allowed; sources remain pristine. Conflicts resolve by deterministic tiebreakers. The result is a solve-frame overlay with provenance and sector histograms for explainability.

### 3. Shell Protocol & Acceptance

Run MORSR inside a hard shell (radial or graph). Reject any out-of-shell moves (log reason='out\_of\_shell'). Expand shell by factors  $\times 2/\times 4/\times 8$  per stage. Compute stage return (accept\_rate | strict\_gain | novelty) and an EMA; stop when both fall below a threshold  $\tau$ . Acceptance:  $\Delta\Phi \leq -\varepsilon$ ; Midpoint may accept with  $\Delta\Phi \approx 0$  only if parity syndrome strictly decreases. Plateau accepts optional with a small global cap.

## 4. Governance, Logging, Replay

Policy stamp (`cqe_policy_v1.json`) specifies strictness, weights, shell schedule, and governance ids. Every run emits `region.json` (policy, stages, rings, `overlay_store`, status), `handshakes.jsonl` (per attempt fields: `op`,  $\varphi_{\text{before}}/\varphi_{\text{after}}$ ,  $\Delta$ , reason), and `metrics.json` (attempts, accepts, `strict_gain`, reasons histogram). Overlays for accepted states are stored for deterministic replay.

## 5. Limitations & Risks

$\Phi$  requires domain tuning; tight geometry can stall exploration (mitigated by shells). Monotone acceptance avoids divergence but may miss uphill moves (use staged shells/plateaus sparingly). Adapters must respect native symmetries. All risks are transparent due to reason-coded provenance.