

## Long Division and Roots

**Proposition 1.** *Let  $R$  be a commutative unital ring, and let  $a(x), b(x) \in R[x]$  be polynomials such that  $b(x) \neq 0$  and the leading coefficient of  $b$  is a unit in  $R$ . Then there exist polynomials  $q(x), r(x) \in R[x]$  such that  $a(x) = q(x)b(x) + r(x)$  and either  $r(x) = 0$  or  $\deg r < \deg b$ .*

*Proof.* If  $a(x) = 0$ , set  $q(x) = r(x) = 0$ . Suppose now that  $a(x) \neq 0$ ; we proceed by strong induction on  $\deg a$ .

- **Base case.** If  $\deg a = 0$ , then  $a(x) = a_0$  is a constant. If  $\deg b = 0$ , then  $b(x) = b_0$  is also a constant, and in this case  $b_0$  is the leading coefficient of  $b$ , hence a unit. Let  $q(x) = a_0 b_0^{-1}$  and  $r(x) = 0$ . If  $\deg b > 0$ , let  $q(x) = 0$  and  $r(x) = a_0$ . Then  $a(x) = q(x)b(x) + r(x)$  and we have  $\deg b \geq 1 > 0 = \deg r$ .
- **Inductive Step.** Suppose the result holds for all polynomials  $\bar{a}(x)$  of degree strictly less than  $n$ , where  $n > 0$ , and suppose that  $a(x)$  has degree  $n$ . If  $\deg a < \deg b$ , let  $q(x) = 0$  and  $r(x) = a(x)$ . Now suppose instead that  $\deg a \geq \deg b$ . Let  $m = \deg b$  and let  $a_n$  be the leading coefficient of  $a(x)$  and  $b_m$  the leading coefficient of  $b(x)$  (which is a unit). Define  $\bar{a}(x) = a(x) - a_n b_m^{-1} x^{n-m} b(x)$ . Note that  $\deg \bar{a} < \deg a$ . By the inductive hypothesis, we have  $\bar{q}(x), r(x) \in R[x]$  such that  $\bar{a}(x) = \bar{q}(x)b(x) + r(x)$  and either  $r(x) = 0$  or  $\deg r < \deg b$ . Define  $q(x) = \bar{q}(x) + a_n b_m^{-1} x^{n-m}$ . Now

$$\begin{aligned} a(x) - q(x)b(x) &= a(x) - \bar{q}(x)b(x) - a_n b_m^{-1} x^{n-m} b(x) \\ &= \bar{a}(x) - \bar{q}(x)b(x) \\ &= r(x) \end{aligned}$$

as needed.

By induction, the result holds for all  $n$ . □

**Corollary 2.** *Suppose  $F$  is a field.*

1.  *$F[x]$  is a Euclidean domain with norm  $N(a) = 2^{\deg a}$ . In particular,  $F[x]$  is also a UFD and a GCD domain.*
2.  *$p(x) \in F[x]$  is irreducible iff  $p(x)$  cannot be factored as a product of non-constants.*
3. *If  $p(x)$  has degree 1, then  $p(x)$  is irreducible in  $R[x]$ .*

In particular, if  $R$  is a domain, then  $R[x]$  is a subring of a Euclidean domain: namely,  $F[x]$ , where  $F$  is the field of fractions of  $R$ . This means that  $R[x]$  immediately has a much stronger structure than the average integral domain. For example:

**Proposition 3.** *If  $R$  is a domain and  $a, b \in R[x]$  with  $b(x) \neq 0$ , then there exist  $q, r \in R[x]$  and  $k \in R$  such that  $ka(x) = q(x)b(x) + r(x)$  and either  $r = 0$  or  $r \neq 0$  and  $\deg r < \deg b$ .*

## The Evaluation Map

So far, we've been thinking of polynomials as objects in their own right. But we can also treat them like functions in the usual sense by "plugging in" ring elements for the variable. Given a polynomial  $p(x) = \sum_{i=0}^n a_n x^n$  in  $R[x]$ ,  $R$  a commutative unital ring, we define the *evaluation map*  $\varepsilon_p : R \rightarrow R$  by  $\varepsilon_p(r) = \sum_{i=0}^n a_i r^i$ .

**Proposition 4** (Factor Theorem). *Let  $R$  be a commutative unital ring, with  $p(x) \in R[x]$  and  $a \in R$ . Then  $a$  is a root of  $p(x)$  if and only if  $x - a$  divides  $p(x)$  in  $R[x]$ .*

*Proof.* Certainly if  $x - a$  divides  $p(x)$  then  $a$  is a root of  $p$ . Conversely, suppose  $a$  is a root of  $p(x)$ . Now  $b(x) = x - a$  is monic, so by the polynomial long division algorithm we have  $q(x), r(x) \in R[x]$  such that  $p(x) = q(x)(x - a) + r(x)$  and either  $r(x) = 0$  or  $\deg r < 1$ . If  $r(x) \neq 0$ , then  $r(x) = r_0$  is a constant. Evaluating at  $a$  we have  $p(a) = r_0$ , a contradiction. So  $r(x) = 0$  and  $x - a$  divides  $p(x)$ .  $\square$

**Corollary 5.** *Let  $R$  be a domain with  $p(x) \in R[x]$  a nonzero polynomial of degree  $d$ . Then  $p(x)$  has at most  $d$  roots in  $R$ , counting multiplicity.*

**Corollary 6.** *Let  $R$  be a domain with  $p(x), q(x) \in R[x]$  polynomials of degree at most  $d$ . If there exist  $d + 1$  distinct elements  $a_i \in R$  such that  $p(a_i) = q(a_i)$ , then  $p(x) = q(x)$ .*

*Proof.* Let  $s(x) = p(x) - q(x)$ ; note that  $s$  has degree at most  $d$ . Now the  $a_i$  are  $d + 1$  distinct roots of  $s$ . If  $s(x) \neq 0$ , this contradicts the previous corollary.  $\square$

**Proposition 7.** *Let  $R$  be a domain and  $p(x) \in R[x]$  a polynomial of degree 2 or 3. Then  $p(x)$  cannot be written as a product of nonconstants in  $R[x]$  if and only if  $p(x)$  does not have a root in  $R$ .*

*Proof.* Note that if  $p(x) = a(x)b(x)$ , then  $\deg a + \deg b$  is either 2 or 3. Thus  $p(x)$  is a product of nonconstants iff it has a factor of degree 1. But  $p(x)$  has a factor of degree 1 iff it has a root in  $R$ .  $\square$

## Example

- Show that  $p(x) = x^2 + 1$  is irreducible over  $\mathbb{Z}/(3)$ .

## 1 Eisenstein's Irreducibility Criterion

**Proposition 8.** *Let  $R$  be a domain and  $q(x) = \sum_{i=0}^n a_i x^i \in R[x]$ . Suppose  $p \in R$  is prime such that  $p \nmid a_n$ ,  $p \mid a_i$  for each  $0 \leq i < n$ , and  $p^2 \nmid a_0$ . Then  $q(x)$  cannot be factored as a product of nonconstants.*

*Proof.* Suppose we have

$$q(x) = b(x)c(x) = \left( \sum_i b_i x^i \right) \left( \sum_j c_j x^j \right) = \sum_k \left( \sum_{i+j=k} b_i c_j \right) x^k.$$

Note that  $q_0 = b_0 c_0$ . Since  $p|b_0 c_0$  and  $p^2 \nmid b_0 c_0$ ,  $p$  divides exactly one of  $b_0$  and  $c_0$ ; suppose WLOG that  $p|b_0$ , so  $p \nmid c_0$ . Letting  $n = \deg q$ ,  $h = \deg b$ , and  $k = \deg c$ , we have  $q_n = b_h c_k$ , and since  $p \nmid q_n$ ,  $p \nmid b_h$ . Let  $i$  be minimal such that  $p \nmid b_i$ . (Note that  $0 < i \leq \deg b \leq n$ .)

We now have

$$q_i = b_i c_0 + b_{i-1} c_1 + \cdots + b_{i-t} c_t$$

for some  $t$ . If  $i < n$ , then  $p|q_i$ , and by construction,  $p|b_j$  for  $j < i$ . Thus  $p|b_i c_0$ , and since  $p \nmid c_0$  we have  $p|b_i$  – a contradiction. So  $i = n$  and thus  $\deg b = n = \deg q$ . But  $\deg q = \deg b + \deg c$ , so that  $\deg c = 0$ ; hence  $c$  is a constant.  $\square$

## Exercises

1. (Hensel's Lemma)