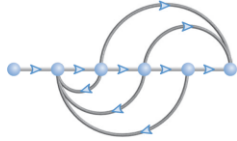


## Design via State Space

# 12



### Learning Outcome

After completing this chapter, the student will be able to

- Design a state-feedback controller using pole placement for systems represented in phase-variable form to meet transient response specifications
- Determine if a system is controllable
- Design a state-feedback controller using pole placement for systems not represented in phase-variable form to meet transient response specifications
- Design a state-feedback observer using pole placement for systems represented in observer canonical form
- Determine if a system is observable
- Design a state-feedback observer using pole placement for systems not represented in observer canonical form
- Design steady-state error characteristics for systems represented in state space

### §1. Introduction

- Frequency domain methods of design do not allow to specify all poles in systems of order higher than 2 because they do not allow for a sufficient number of unknown parameters to place all of the closed-loop poles uniquely
- State-space methods solve this problem by introducing
  - (1) other adjustable parameters, and
  - (2) the technique for finding these parameter values, so that we can properly place all poles of the closed-loop system

### §1. Introduction

- Frequency domain methods do allow the specification of closed-loop zero locations, which time domain methods do not allow through placement of the lead compensator zero
- This is a disadvantage of state-space methods, since the location of the zero does affect the transient response
- Also, a state-space design may prove to be very sensitive to parameter changes

### §1. Introduction

- There is a wide range of computational support for state-space methods; many software packages support the matrix algebra required by the design process
- However, as mentioned before, the advantages of computer support are balanced by the loss of graphic insight into a design problem that the frequency domain methods yield
- This chapter considers only an introduction to state-space design only to linear systems

### §2. Controller Design

- An  $n^{\text{th}}$ -order feedback control system has an  $n^{\text{th}}$ -order closed-loop characteristic equation of the form

$$1s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0 = 0 \quad (12.1)$$

Since the coefficient of the highest power of  $s$  is unity, there are  $n$  coefficients whose values determine the system's closed-loop pole locations. Thus, if we can introduce  $n$  adjustable parameters into the system and relate them to the coefficients in Eq. (12.1), all of the poles of the closed-loop system can be set to any desired location

## §2. Controller Design

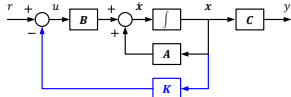
### Topology for Pole Placement

- Consider the closed-loop system represented in state space

$$\dot{x} = Ax + Bu \quad (12.2.a)$$

$$y = Cx \quad (12.2.b)$$

State space representation of a plant with no feedback



State space representation of a plant with state variable feedback

$$\dot{x} = Ax + Bu = Ax + B(-Kx + r) = (A - BK)x + Br \quad (12.3.a)$$

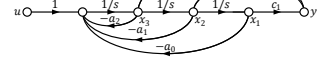
$$y = Cx \quad (12.3.b)$$

## §2. Controller Design

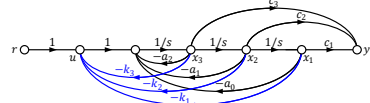
- A plant signal-flow graph in phase-variable (controller canonical) form

$$\dot{x} = Ax + Bu \quad (12.2.a)$$

$$y = Cx \quad (12.2.b)$$



Phase variable representation for plant with no feedback



Phase variable representation for plant with state variable feedback

$$\dot{x} = Ax + Bu = Ax + B(-Kx + r) = (A - BK)x + Br \quad (12.3.a)$$

$$y = Cx \quad (12.3.b)$$

## §2. Controller Design

### Pole Placement for Plants in Phase-Variable Form

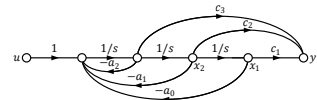
To apply pole-placement methodology to plants represented in phase-variable form

1. Represent the plant in phase-variable form
2. Feed back each phase variable to the input through a gain  $k_i$
3. Find the characteristic equation for the closed-loop system represented in Step 2
4. Decide upon all closed-loop pole locations and determine an equivalent characteristic equation
5. Equate like coefficients of the characteristic equations from Steps 3 and 4 and solve for  $k_i$

## §2. Controller Design

### Pole Placement for Plants in Phase-Variable Form

#### 1. Represent the plant in phase-variable form



The phase-variable representation of the plant is given by

$$A = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -a_0 & -a_1 & -a_2 & \cdots & -a_{n-1} \end{bmatrix}, B = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix}, C = [c_1 \ c_2 \ \cdots \ c_n] \quad (12.4)$$

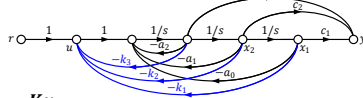
The characteristic equation of the plant

$$s^n + a_{n-1}s^{n-1} + \cdots + a_1s + a_0 = 0 \quad (12.5)$$

## §2. Controller Design

### 2. Feed back each phase variable to the input through a gain $k_i$

Form the closed-loop system by feeding back each state variable to  $u$



$$u = -Kx \quad (12.6)$$

where  $k_i$ : the phase variables' feedback gains

The system matrix,  $A - BK$ , for the closed-loop system

$$A - BK = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -(a_0 + k_1) & -(a_1 + k_2) & -(a_2 + k_3) & \cdots & -(a_{n-1} + k_n) \end{bmatrix} \quad (12.8)$$

## §2. Controller Design

### 3. Find the characteristic equation for the closed-loop system represented in Step 2

$$\det(sI - (A - BK)) = 0$$

$$\rightarrow s^n + (a_{n-1} + k_n)s^{n-1} + \cdots + (a_1 + k_2)s + (a_0 + k_1) = 0 \quad (12.9)$$

(12.9) can be derived from (12.5) by adding the appropriate  $k_i$  to each coefficient

### 4. Decide upon all closed-loop pole locations and determine an equivalent characteristic equation

$$s^n + d_{n-1}s^{n-1} + d_{n-2}s^{n-2} + \cdots + d_2s^2 + d_1s + d_0 = 0 \quad (12.10)$$

$$s^n + a_{n-1}s^{n-1} + \cdots + a_1s + a_0 = 0 \quad (12.5)$$

## §2. Controller Design

5. Equate like coefficients of the characteristic equations from Steps 3 and 4 and solve for  $k_i$

Equating Eqs. (12.9) and (12.10) to obtain

$$d_i = a_i + k_{i+1} \quad i = 0, 1, 2, \dots, n-1$$

$$k_{i+1} = d_i - a_i$$

**Note:** • For systems represented in phase-variable form, the numerator polynomial is formed from the coefficients of the output coupling matrix,  $C$

- The plant and closed-loop system are both in phase-variable form and have the same output coupling matrix → the numerators of their transfer functions are the same

$$s^n + (a_{n-1} + k_n)s^{n-1} + \dots + (a_1 + k_2)s + (a_0 + k_1) = 0 \quad (12.9)$$

$$s^n + d_{n-1}s^{n-1} + d_{n-2}s^{n-2} + \dots + d_2s^2 + d_1s + d_0 = 0 \quad (12.10)$$

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## §2. Controller Design

## - Ex. 12.1

## Controller Design for Phase-Variable Form

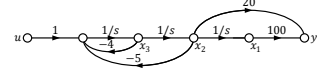
Design the phase-variable feedback gains to yield  $\%OS = 9.5\%$  and  $T_s = 0.74s$

**Solution**

$$G(s) = \frac{20(s+5)}{s(s+1)(s+4)}$$

Represent the plant in phase-variable form

$$G(s) = \frac{20(s+5)}{s(s+1)(s+4)} = \frac{1}{s^3 + 5s^2 + 4s + 0} \times (20s + 100)$$



$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & -4 & -5 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r, \quad y = [100 \quad 20 \quad 0]x$$

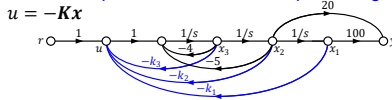
$$\rightarrow s^3 + 5s^2 + 4s + 0 = 0$$

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## §2. Controller Design

Feed back each phase variable to the input through a gain  $k_i$



Find the characteristic equation for the closed-loop system

The closed-loop system's state equations

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -k_1 & -(4+k_2) & -(5+k_3) \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r, \quad y = [100 \quad 20 \quad 0]x$$

The closed-loop system's characteristic equation

$$\det(sI - (A - BK)) = 0$$

$$\rightarrow s^3 + (5 + k_3)s^2 + (4 + k_2)s + k_1 = 0 \quad (12.16)$$

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## §2. Controller Design

Decide the closed-loop pole locations and determine an equivalent characteristic equation

The second-order system with the desired performances

$$\zeta = \frac{-\ln(\%OS/100)}{\sqrt{\pi^2 + \ln^2(\%OS/100)}} = \frac{-\ln(9.5/100)}{\sqrt{\pi^2 + \ln^2(9.5/100)}} = 0.5996$$

$$\omega_n = \frac{4}{\zeta T_s} = \frac{4}{0.5996 \times 0.74} = 9.0147$$

$$\rightarrow G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{81.2648}{[s + (5.4 - j7.2)][s + (5.4 + j7.2)]}$$

3<sup>rd</sup>-order system → select another closed-loop pole:  $p_3 = -5.1$

The desired characteristic equation

$$(s + 5.4 - j7.2)(s + 5.4 + j7.2)(s + 5.1) = 0$$

$$\rightarrow s^3 + 15.9s^2 + 136.08s + 413.1 = 0 \quad (12.17)$$

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## §2. Controller Design

Equating like coefficients of the characteristic equations from Steps 3 and 4 and solve for  $k_i$

Equating Eqs. (12.16) and (12.17) to obtain

$$k_1 = 413.1, \quad k_2 = 132.08, \quad k_3 = 10.9$$

The state-space representation of the closed-loop system

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -413.1 & -136.08 & -15.9 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r \quad (12.19.a)$$

$$y = [100 \quad 20 \quad 0]x \quad (12.19.b)$$

The closed-loop transfer function

$$T(s) = \frac{20(s+5)}{s^3 + 15.9s^2 + 136.08s + 413.1}$$

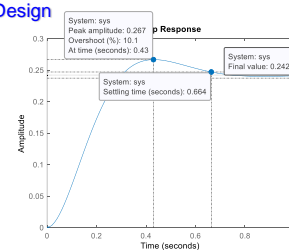
$$s^3 + (5 + k_3)s^2 + (4 + k_2)s + k_1 = 0 \quad (12.16)$$

$$s^3 + 15.9s^2 + 136.08s + 413.1 = 0 \quad (12.17)$$

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## §2. Controller Design



From the simulation results:  $\%OS = 10.1\%$ ,  $T_s = 0.664s$

The steady-state response approaches 0.242 instead of unity, there is a large steady-state error → Design techniques to reduce this error are discussed in Section 12.8

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## §2. Controller Design



Run ch12p1 in Appendix B  
Learn how to use MATLAB to

- design a controller for phase variables using pole placement
- solve Ex.12.1

## §2. Controller Design

## Skill-Assessment Ex.12.1

Problem For the plant



Control Solutions

$$G(s) = \frac{100(s+10)}{s(s+3)(s+12)}$$

represented in the state space in phase-variable form by

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & -36 & -15 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1000 \quad 100 \quad 0]x$$

design the phase-variable feedback gains to yield  
%OS = 5% and  $T_p = 0.3s$

## §2. Controller Design

Solution Represent the plant in phase-variable form

$$G(s) = \frac{1}{s^3 + 15s^2 + 36s + 0} \times (100s + 1000)$$

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & -36 & -15 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1000 \quad 100 \quad 0]x$$

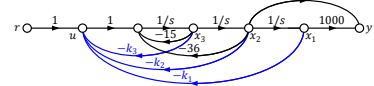
$$\rightarrow s^3 + 5s^2 + 4s + 0 = 0$$

$$G(s) = \frac{100(s+10)}{s(s+3)(s+12)}$$

## §2. Controller Design

Feed back each phase variable to the input through a gain  $k_i$

$$u = -Kx$$



Find the characteristic equation for the closed-loop system

The closed-loop system's state equations

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -k_1 & -(k_2 + 36) & -(k_3 + 15) \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1000 \quad 100 \quad 0]x$$

The closed-loop system's characteristic equation

$$s^3 + (k_3 + 15)s^2 + (k_2 + 36)s + k_1 = 0 \quad (1)$$

## §2. Controller Design

Decide the closed-loop pole locations and determine an equivalent characteristic equation

The second-order system with the desired performances

$$\zeta = \frac{-\ln(\%OS/100)}{\sqrt{\pi^2 + \ln^2(\%OS/100)}} = \frac{-\ln(0.05)}{\sqrt{\pi^2 + \ln^2(0.05)}} = 0.6901$$

$$\omega_n = \frac{\pi}{T_p \sqrt{1 - \zeta^2}} = \frac{\pi}{0.3 \sqrt{1 - 0.6901^2}} = 14.4699$$

$$\rightarrow s^2 + 2\zeta\omega_n s + \omega_n^2 = s^2 + 19.97s + 209.4 = 0$$

3<sup>rd</sup>-order system  $\rightarrow$  select the third pole  $-10$  to cancel the zero at  $-10 \rightarrow$  the desired characteristic equation

$$(s^2 + 19.97s + 209.4)(s + 10) = 0$$

$$\rightarrow s^3 + 29.97s^2 + 409.1s + 2094 = 0 \quad (2)$$

## §2. Controller Design

Equate like coefficients of the characteristic equations from Steps 3 and 4 and solve for  $k_i$

Equating Eqs. (1) and (2) to obtain

$$k_1 = 2094, k_2 = 373.1, k_3 = 14.97$$

The state-space representation of the closed-loop system

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -2094 & -409.1 & -29.97 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1000 \quad 100 \quad 0]x$$

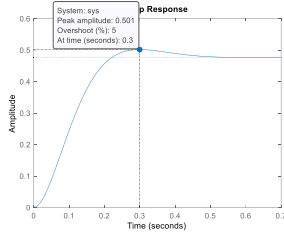
The closed-loop transfer function

$$T(s) = \frac{100(s+10)}{s^3 + 29.97s^2 + 409.1s + 2094}$$

$$s^3 + (k_3 + 15)s^2 + (k_2 + 36)s + k_1 = 0 \quad (1)$$

$$s^3 + 29.97s^2 + 409.1s + 2094 = 0 \quad (2)$$

## §2. Controller Design



$$T(s) = \frac{100(s + 10)}{s^3 + 29.97s^2 + 409.1s + 2094}$$

From the simulation results: %OS = 50.1%,  $T_p = 0.3s$

## §2. Controller Design

## TryIt 12.1

Use MATLAB, the Control System Toolbox, and the following statements to solve for the phase-variable feedback gains to place the poles of the system in Skill-Assessment Ex.12.1 at  $-3 - j5$ ,  $-3 + j5$ , and  $-10$

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & -36 & -15 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1000 \quad 100 \quad 0]x$$

```
A=[0 1 0; 0 0 1; 0 -36 -15];
B=[0;0;1];
poles=[-3+5j,-3-5j,-10];
K=acker(A,B,poles)
```

## §3. Controllability

The system is controllable if an input to a system can take every state variable from a desired initial state to a desired final state

## Controllability by Inspection

A system with distinct eigenvalues and a diagonal system matrix is controllable if the input coupling matrix  $B$  does not have any rows that are zero

- Controllable system

$$\dot{x} = \begin{bmatrix} -a_1 & 0 & 0 \\ 0 & -a_2 & 0 \\ 0 & 0 & -a_3 \end{bmatrix} x + \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} u$$

- Uncontrollable system

$$\dot{x} = \begin{bmatrix} -a_4 & 0 & 0 \\ 0 & -a_5 & 0 \\ 0 & 0 & -a_6 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} u$$

## §3. Controllability

## The Controllability Matrix

An  $n^{\text{th}}$ -order plant whose state equation is

$$\dot{x} = Ax + Bu$$

is completely controllable if the matrix

$$C_M = [B \quad AB \quad A^2B \quad \cdots \quad A^{n-1}B]$$

is of rank  $n$ , where  $C_M$  is called the controllability matrix

## §3. Controllability

## - Ex.12.2

## Controllability via the Controllability Matrix

Given the system, represented by a signal-flow diagram, determine its controllability

## Solution

The system state equation

$$\dot{x} = \begin{bmatrix} -1 & 1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -2 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} u$$

There is the zero in the  $B$  matrix, this configuration leads to uncontrollability **only** if the poles are real and distinct. In this case, the system has multiple poles at  $-1$

$$\text{The controllability matrix } C_M = [B \quad AB \quad A^2B] = \begin{bmatrix} 0 & 1 & -2 \\ 1 & -1 & 1 \\ 1 & -2 & 4 \end{bmatrix}$$

$$|C_M| = -1 \neq 0 \Rightarrow \text{rank}\{C_M\} = 3: \text{the system is controllable}$$

## §3. Controllability

MATLAB

ML

Run ch12p2 in Appendix B

Learn how to use MATLAB to

- test a system for controllability
- solve Ex.12.2

## §3. Controllability

## Skill-Assessment Ex.12.2

**Problem** Determine whether the system

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WPCS  
Control Solutions

$$\dot{x} = Ax + Bu = \begin{bmatrix} -1 & 1 & 2 \\ 0 & -1 & 5 \\ 0 & 3 & -4 \end{bmatrix} x + \begin{bmatrix} 2 \\ 1 \\ 1 \end{bmatrix} u$$

is controllable

**Solution** The controllability matrix

$$C_M = [B \ AB \ A^2B] = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 4 & -9 \\ 1 & -1 & 16 \end{bmatrix}$$

$$\rightarrow |C_M| = 80 \neq 0$$

$\text{rank}\{C_M\} = 3$ : the system is controllable

## §3. Controllability

## TryIt 12.2

Use MATLAB, the Control System Toolbox, and the following statements to solve Skill-Assessment Ex.12.2

$$\dot{x} = Ax + Bu = \begin{bmatrix} -1 & 1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -2 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} u$$

A=[-1 1 2; 0 -1 5; 0 3 -4];

B=[2;1;1];

Cm=ctrb(A,B)

Rank=rank(Cm)

## §4. Alternative Approaches to Controller Design

**1<sup>st</sup> method:** Matching the coefficients of  $\det(sI - (A - BK))$  with the coefficients of the desired characteristic equation

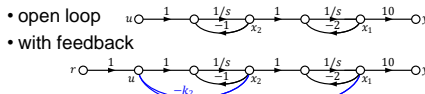
- Ex.12.3

## Controller Design by Matching Coefficients

Design state feedback for the plant represented in cascade form to yield  $OS\% = 15\%$ ,  $T_s = 0.5s$

$$\text{Solution} \quad G(s) = \frac{Y(s)}{U(s)} = \frac{10}{(s+1)(s+2)}$$

The signal-flow diagram for the plant in cascade form



The state equations

$$\dot{x} = \begin{bmatrix} -2 & 1 \\ -k_1 & -(k_2 + 1) \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r, \quad y = [10 \ 0]x$$

## §4. Alternative Approaches to Controller Design

The state equations

$$\dot{x} = \begin{bmatrix} -2 & 1 \\ -k_1 & -(k_2 + 1) \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r, \quad y = [10 \ 0]x$$

The characteristics equation

$$s^2 + (k_2 + 3)s + (2k_2 + k_1 + 2) = 0 \quad (12.32)$$

The desired characteristic equation

$$\zeta = -\frac{\ln(OS/100)}{\sqrt{\pi^2 + \ln^2(OS/100)}} = -\frac{\ln(15/100)}{\sqrt{\pi^2 + \ln^2(15/100)}} = 0.5169$$

$$\omega_n = \frac{4}{T_s \zeta} = \frac{4}{0.5 \times 0.5169} = 15.4769 \text{ rad/s}$$

$$\rightarrow s^2 + 2\zeta\omega_n s + \omega_n^2 = s^2 + 16s + 239.5 = 0 \quad (12.33)$$

Equating the coefficients of Eqs. (12.32) and (12.33)

$$\left. \begin{aligned} k_2 + 3 &= 16 \\ 2k_2 + k_1 + 2 &= 239.5 \end{aligned} \right\} \rightarrow \begin{aligned} k_1 &= 211.5 \\ k_2 &= 13 \end{aligned}$$

## §4. Alternative Approaches to Controller Design

**2<sup>nd</sup> method:** Transforming the system to phase variables, designing the feedback gains, and transforming the designed system back to its original state-variable representation

- Assume a plant not represented in phase-variable form

$$\dot{z} = Az + Bu, \quad y = Cz \quad (12.34)$$

Controllability matrix

$$C_{Mz} = [B \ AB \ A^2B \ \dots \ A^{n-1}B] \quad (12.35)$$

- Assume that the system can be transformed into the phase-variable ( $x$ ) representation with the transformation

$$z = Px \quad (12.36)$$

Substituting this transformation into Eqs. (12.34)

$$\dot{x} = P^{-1}APx + P^{-1}Bu, \quad y = CPx \quad (12.37)$$

## §4. Alternative Approaches to Controller Design

$$\dot{x} = P^{-1}APx + P^{-1}Bu, \quad y = CPx \quad (12.37)$$

Controllability matrix

$$\begin{aligned} C_{Mx} &= [P^{-1}B \ (P^{-1}AP)(P^{-1}B) \ (P^{-1}AP)^2(P^{-1}B) \ \dots \\ &\quad (P^{-1}AP)^{n-1}(P^{-1}B)] \\ &= [P^{-1}B \ (P^{-1}AP)(P^{-1}B) \ (P^{-1}AP)^2(P^{-1}B) \ \dots \\ &\quad (P^{-1}AP)^{n-1}(P^{-1}B)] \\ &= P^{-1}[B \ AB \ A^2B \ \dots \ A^{n-1}B] \end{aligned} \quad (12.38)$$

Substituting Eq. (12.35) into (12.38) and solving for  $P$

$$P = C_{Mz}C_{Mx}^{-1} \quad (12.39)$$

$\rightarrow$  the transformation matrix  $P$  can be found from the two controllability matrices

$$C_{Mx} = [B \ AB \ A^2B \ \dots \ A^{n-1}B] \quad (12.35)$$



#### §4. Alternative Approaches to Controller Design

##### The designed closed-loop system

- The state equations for the phase-variable form with state-variable feedback

$$\dot{x} = (A_x - B_x K_x)x = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -(10+k_{1_x}) & -(17+k_{2_x}) & -(8+k_{3_x}) \end{bmatrix} x$$

$$y = C_x x = [4 \quad 1 \quad 0]x$$

- The characteristic equation

$$\begin{aligned} \det(sI - (A_x - B_x K_x)) &= s^3 + (8+k_{3_x})s^2 + (17+k_{2_x})s + (10+k_{1_x}) \\ &= 0 \end{aligned} \quad (12.52)$$

#### §4. Alternative Approaches to Controller Design

##### Find $K_x$

$$s^3 + 6s^2 + 13s + 20 = 0 \quad (12.50)$$

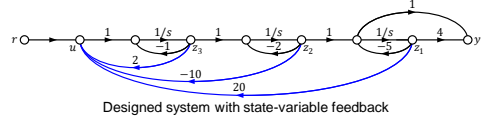
$$s^3 + (8+k_{3_x})s^2 + (17+k_{2_x})s + (10+k_{1_x}) = 0 \quad (12.52)$$

Comparing Eq.(12.50) with (12.52)

$$K_x = [k_{1_x} \ k_{2_x} \ k_{3_x}] = [10 \ -4 \ -2]$$

Transform the controller back to the original system

$$K_z = K_x P^{-1} = [-20 \ 10 \ -2]$$



#### §4. Alternative Approaches to Controller Design

##### Verify the design

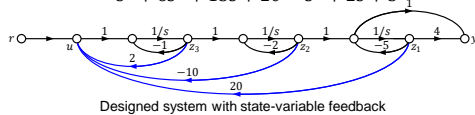
The state equations for the designed system

$$\dot{z} = (A_z - B_z K_z)z + B_z r = \begin{bmatrix} -5 & 1 & 0 \\ 0 & -2 & 1 \\ 20 & -10 & 1 \end{bmatrix} z + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = C_z z = [-1 \quad 1 \quad 0]z$$

The closed-loop TF

$$T(s) = \frac{s+4}{s^3 + 6s^2 + 13s + 20} = \frac{1}{s^2 + 2s + 5}$$



#### §4. Alternative Approaches to Controller Design



Run ch12p3 in Appendix B

Learn how to use MATLAB to

- design a controller for a plant not represented in phase-variable form
- see that MATLAB does not require transformation to phase-variable form
- solve Ex.12.4

#### §4. Alternative Approaches to Controller Design

##### Skill-Assessment Ex.12.3

**Problem** Design a linear state-feedback controller to yield 20% overshoot and a settling time of  $2s$  for a plant

WileyPLUS

WPCS

Control Solutions

$$G(s) = \frac{s+6}{(s+9)(s+8)(s+7)}$$

**Solution** First check controllability

$$C_{Mz} = [B \ AB \ A^2B] = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & -17 \\ 1 & -9 & 81 \end{bmatrix}$$

$|C_{Mz}| = -1 \neq 0 \Rightarrow \text{rank}\{C_{Mz}\} = 3$ : the system is controllable

Now find the desired characteristic equation

$$\left. \begin{aligned} OS = 20\% \\ T_s = 2s \end{aligned} \right\} \rightarrow \begin{aligned} \xi &= 0.456 \\ \omega_n &= 4.386 \end{aligned}$$

$$\Rightarrow s^2 + 2\xi\omega_n s + \omega_n^2 = s^2 + 4s + 19.24 = 0$$

#### §4. Alternative Approaches to Controller Design

To cancel the zero at  $-6$ , adding a pole at  $-6$  yields the resulting desired characteristic equation

$$\begin{aligned} (s^2 + 4s + 19.24)(s+6) &= s^3 + 10s^2 + 43.24s + 115.45 = 0 \\ &= s^3 + 6s^2 + 13s + 20 \end{aligned}$$

$$\begin{aligned} \text{Since } G(s) &= \frac{s+6}{(s+9)(s+8)(s+7)} \\ &= \frac{s+6}{s^3 + 24s^2 + 191s + 504} \end{aligned}$$

We can write the phase-variable representation

$$A_p = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -504 & -191 & -24 \end{bmatrix}, B_p = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, C_p = [6 \ 1 \ 0]$$

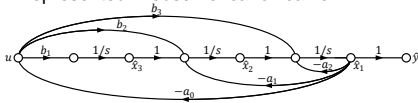
$$s^2 + 4s + 19.24 = 0$$





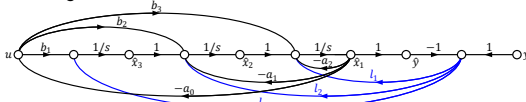
### §5. Observer Design

- Example a third-order plant
- represented in observer canonical form



Third-order observer in observer canonical form before the addition of the feedback

- configured as an observer with the addition of feedback



Third-order observer in observer canonical form after the addition of the feedback

### §5. Observer Design

- The design of the observer is separate from the design of the controller
- Similar to the design of the controller vector,  $K$ , the design of the observer consists of evaluating the constant vector,  $L$ , so that the transient response of the observer is faster than the response of the controlled loop in order to yield a rapidly updated estimate of the state vector
- Find the state equations for the error between the actual state vector and the estimated state vector,  $x - \hat{x}$
- Find the characteristic equation for the error system and evaluate the required  $L$  to meet a rapid transient response for the observer

### §5. Observer Design

- The state equations of the observer

$$\dot{\hat{x}} = A\hat{x} + Bu + L(y - \hat{y}), \quad \hat{y} = C\hat{x} \quad (12.60)$$

- The state equations for the plant

$$\dot{x} = Ax + Bu, \quad y = Cx \quad (12.61)$$

- Subtracting Eqs. (12.60) from (12.61) to obtain

$$\dot{x} - \dot{\hat{x}} = A(x - \hat{x}) - L(y - \hat{y}), \quad y - \hat{y} = C(x - \hat{x}) \quad (12.62)$$

$x - \hat{x}$ : the error between the actual state vector and the estimated state vector

$y - \hat{y}$ : the error between the actual output and the estimated output

- Subtracting the output equation into the state equation to obtain

$$\dot{x} - \dot{\hat{x}} = (A - LC)(x - \hat{x}), \quad y - \hat{y} = C(x - \hat{x}) \quad (12.63)$$

### §5. Observer Design

$$\dot{x} - \dot{\hat{x}} = (A - LC)(x - \hat{x}), \quad y - \hat{y} = C(x - \hat{x}) \quad (12.63)$$

$$\text{or} \quad \dot{e}_x = (A - LC)e_x, \quad y - \hat{y} = C(x - \hat{x}) \quad (12.64)$$

$e_x$ : the estimated state error,  $e_x = x - \hat{x}$

- Eq. (12.64a) is unforced. If the eigenvalues are all negative, the estimated state vector error,  $e_x$ , will decay to zero. The design then consists of solving for the values of  $L$  to yield a desired characteristic equation or response for Eq. (12.64). The characteristic equation is found from Eq. (12.64) to be

$$\det(\lambda I - (A - LC)) = 0 \quad (12.65)$$

Now we select the eigenvalues of the observer to yield stability and a desired transient response that is faster than the controlled closed-loop response. These eigenvalues determine a characteristic equation that we set equal to Eq. (12.65) to solve for  $L$

### §5. Observer Design

- Ex.12.5 Observer Design for Observer Canonical Form

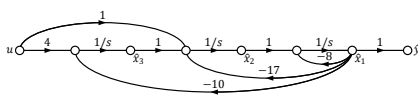
Design an observer for the plant

$$G(s) = \frac{s+4}{(s+1)(s+2)(s+5)} = \frac{s+4}{s^3+8s^2+17s+10}$$

which is represented in observer canonical form. The observer will respond 10 times faster than the controlled loop designed in Ex.12.4

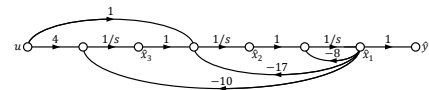
#### Solution

1. First represent the estimated plant in observer canonical form

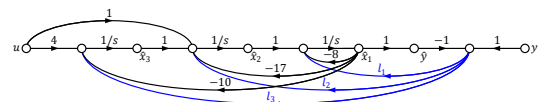


### §5. Observer Design

1. First represent the estimated plant in observer canonical form

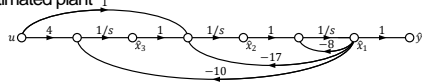


2. Now form the difference between the plant's actual output,  $y$ , and the observer's estimated output,  $\hat{y}$ , and add the feedback paths from this difference to the derivative of each state variable



### §5. Observer Design

3. Next find the characteristic polynomial. The state equations for the estimated plant



$$\dot{\hat{x}} = A\hat{x} + Bu = \begin{bmatrix} -8 & 1 & 0 \\ -17 & 0 & 1 \\ -10 & 0 & 0 \end{bmatrix} \hat{x} + \begin{bmatrix} 0 \\ 1 \\ 4 \end{bmatrix} u, \hat{y} = C\hat{x} = [1 \quad 0 \quad 0] \hat{x}$$

The observer error

$$\dot{e}_x = (A - LC)e_x = \begin{bmatrix} -(8 + l_1) & 1 & 0 \\ -(17 + l_2) & 0 & 1 \\ -(10 + l_3) & 0 & 0 \end{bmatrix} e_x$$

The characteristic polynomial

$$s^3 + (8 + l_1)s^2 + (17 + l_2)s + (10 + l_3) = 0 \quad (12.74)$$

### §5. Observer Design

4. Now evaluate the desired polynomial, set the coefficients equal to those of Eq. (12.74), and solve for the gains,  $l_i$ . From Eq. (12.50), the closed-loop controlled system has dominant second-order poles at  $-1 \pm j2$ . To make our observer 10 times faster, we design the observer poles to be at  $-10 \pm j20$ . We select the third pole to be 10 times the real part of the dominant second-order poles, or  $-100$ . Hence, the desired characteristic polynomial

$$(s + 100)(s^2 + 20s + 500) = s^3 + 120s^2 + 2500s + 50,000 = 0 \quad (12.75)$$

Equating Eqs. (12.74) and (12.75) to obtain

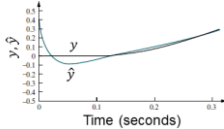
$$l_1 = 112, l_2 = 2483, l_3 = 49,990$$

$$(s + 4)(s^2 + 2s + 5) = s^3 + 6s^2 + 13s + 20 = 0 \quad (12.50)$$

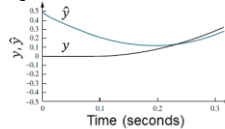
$$s^3 + (8 + l_1)s^2 + (17 + l_2)s + (10 + l_3) = 0 \quad (12.74)$$

### §5. Observer Design

A simulation of the observer with an input of  $r(t) = 100t$  is shown in the figure. The initial conditions of the plant were all zero, and the initial condition of  $\hat{x}_1$  was 0.5



Simulation showing response of observer  
a. closed-loop



Simulation showing response of observer  
b. open-loop with observer gains disconnected

Since the dominant pole of the observer is  $-10 \pm j20$ , the expected settling time should be about 0.4s. It is interesting to note the slower response in the figure, where the observer gains are disconnected, and the observer is simply a copy of the plant with a different initial condition

### §5. Observer Design



Run ch12p4 in Appendix B

Learn how to use MATLAB to

- design an observer using pole placement
- solve Ex.12.5

### §5. Observer Design

#### Skill-Assessment Ex.12.4

**Problem** Design an observer for the plant

$$G(s) = \frac{s + 6}{(s + 9)(s + 8)(s + 7)}$$

whose estimated plant is represented in state space in observer canonical form as

$$\dot{\hat{x}} = A\hat{x} + Bu = \begin{bmatrix} -24 & 1 & 0 \\ -191 & 0 & 1 \\ -504 & 0 & 0 \end{bmatrix} \hat{x} + \begin{bmatrix} 0 \\ 1 \\ 6 \end{bmatrix} u$$

$$\hat{y} = C\hat{x} = [1 \quad 0 \quad 0] \hat{x}$$

The observer will respond 10 times faster than the controlled loop designed in Skill-Assessment Ex.12.3

### §5. Observer Design

**Solution** The plant is given by

$$G(s) = \frac{s + 6}{(s + 9)(s + 8)(s + 7)} = \frac{20}{s^3 + 14s^2 + 56s + 64}$$

The characteristic polynomial for the plant with phase-variable state feedback

$$s^3 + (k_3 + 14)s^2 + (k_2 + 56)s + (k_1 + 64) = 0$$

The desired characteristic equation

$$(s + 53.33)(s^2 + 10.67s + 106.45) = s^3 + 64s^2 + 675.48s + 5676.98 = 0$$

based upon 15% overshoot,  $T_s = 0.75s$ , and a third pole ten times further from the imaginary axis than the dominant poles

Comparing the two characteristic equations

$$k_1 = 5612.98, k_2 = 619.48, \text{ and } k_3 = 50$$

### §5. Observer Design

#### TryIt 12.3

Use MATLAB, the Control System Toolbox, and the following statements to solve Skill-Assessment Ex.12.4

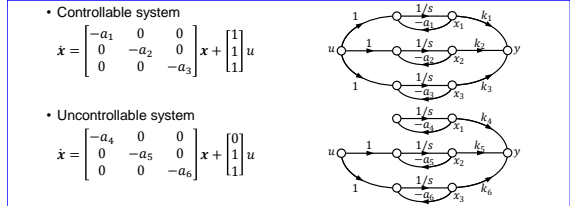
$$\dot{\hat{x}} = \mathbf{A}\hat{x} + \mathbf{B}u = \begin{bmatrix} -24 & 1 & 0 \\ -191 & 0 & 1 \\ -504 & 0 & 0 \end{bmatrix} \hat{x} + \begin{bmatrix} 0 \\ 1 \\ 6 \end{bmatrix} u$$

$$\hat{y} = \mathbf{C}\hat{x} = [1 \quad 0 \quad 0]\hat{x}$$

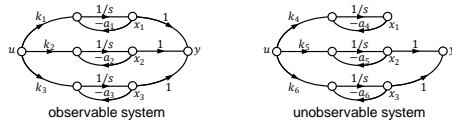
```
A=[-24 1 0; -191 0 1; -504 0 0];
C=[1 0 0]
pos=20
Ts=2
z=(-log(pos/100))/(sqrt(pi^2+log(pos/100)^2));
wn=4/(z*Ts);
r=roots([1.2*z*wn,wn^2]);
poles=10*[r' 10*real(r(1))]
l=acker(A',C',poles)
```

### §6. Observability

Recall that the ability to control all of the state variables is a requirement for the design of a controller. State-variable feedback gains cannot be designed if any state variable is uncontrollable. Uncontrollability can be viewed best with diagonalized systems. The signal-flow graph showed clearly that the uncontrollable state variable was not connected to the control signal of the system



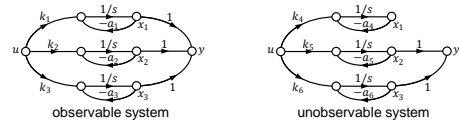
### §6. Observability



The ability to observe a state variable from the output is best seen from the diagonalized system. Here  $x_1$  is not connected to the output and could not be estimated from a measurement of the output

If the initial-state vector,  $x(t_0)$ , can be found from  $u(t)$  and  $y(t)$  measured over a finite interval of time from  $t_0$ , the system is said to be observable; otherwise the system is said to be unobservable

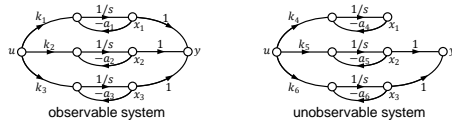
### §6. Observability



Simply stated, observability is the ability to deduce the state variables from a knowledge of the input,  $u(t)$ , and the output,  $y(t)$

Pole placement for an observer is a viable design technique only for systems that are observable

### §6. Observability



#### Observability by Inspection

The system can be explored from the output equation of a diagonalized system

- for the observable system  
 $y = \mathbf{C}x = [1 \quad 1 \quad 1]x$
- for the unobservable system  
 $y = \mathbf{C}x = [0 \quad 1 \quad 1]x$

### §6. Observability

#### The Observability Matrix

An  $n^{\text{th}}$ -order plant whose state equation is

$$\dot{x} = \mathbf{A}x + \mathbf{B}u$$

is completely observable if the matrix

$$\mathbf{O}_M = [\mathbf{C} \quad \mathbf{C}\mathbf{A} \quad \mathbf{C}\mathbf{A}^2 \quad \dots \quad \mathbf{C}\mathbf{A}^{n-1}]^T$$

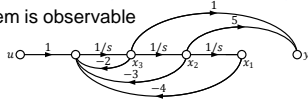
is of rank  $n$ , where  $\mathbf{O}_M$  is called the observability matrix

## §6. Observability

## - Ex.12.6

## Observability via the Observability Matrix

Determine if the system is observable

Solution

The state and output equations for the system

$$\dot{x} = Ax + Bu = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -4 & -3 & -2 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} u$$

$$y = Cx = [0 \quad 5 \quad 1]x$$

The observability matrix

$$O_M = \begin{bmatrix} C \\ CA \\ CA^2 \end{bmatrix} = \begin{bmatrix} 0 & 5 & 1 \\ -4 & -3 & 3 \\ -12 & -13 & -9 \end{bmatrix}$$

$\det(O_M) = -344$ ,  $\text{rank}(O_M) = 3 \Rightarrow$  system is observable

## §6. Observability



Run ch12p5 in Appendix B

Learn how to use MATLAB to

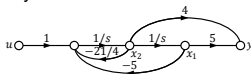
- test a system for observability
- solve Ex.12.6

## §6. Observability

## - Ex.12.7

## Unobservability via the Observability Matrix

Determine if the system is observable

Solution

The state and output equations for the system

$$\dot{x} = Ax + Bu = \begin{bmatrix} 0 & 1 \\ -5 & -21/4 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

$$y = Cx = [5 \quad 4]x$$

The observability matrix

$$O_M = \begin{bmatrix} C \\ CA \end{bmatrix} = \begin{bmatrix} 5 & 4 \\ -20 & -16 \end{bmatrix}$$

$\det(O_M) = 0$ ,  $\text{rank}(O_M) < 3 \Rightarrow$  system is unobservable

## §6. Observability

## Skill-Assessment Ex.12.5



**Problem** Determine whether the system

Control Solutions

$$\dot{x} = Ax + Bu = \begin{bmatrix} -2 & 1 & -3 \\ 0 & -2 & 1 \\ -7 & -8 & -9 \end{bmatrix} x + \begin{bmatrix} 2 \\ 1 \\ 2 \end{bmatrix} u$$

$$y = Cx = [4 \quad 6 \quad 8]x$$

is observable

Solution The observability matrix

$$O_M = \begin{bmatrix} C \\ CA \\ CA^2 \end{bmatrix} = \begin{bmatrix} 4 & 6 & 8 \\ -64 & -80 & -78 \\ 674 & 848 & 814 \end{bmatrix}$$

$$\det(O_M) = -1576$$

$$\text{rank}(O_M) = 3$$

$\Rightarrow$  system is observable

## §6. Observability

## TryIt 12.4

Use MATLAB, the Control System Toolbox, and the following statements to solve Skill-Assessment Ex.12.5

$$\dot{x} = Ax + Bu = \begin{bmatrix} -2 & 1 & -3 \\ 0 & -2 & 1 \\ -7 & -8 & -9 \end{bmatrix} x + \begin{bmatrix} 2 \\ 1 \\ 2 \end{bmatrix} u$$

$$y = Cx = [4 \quad 6 \quad 8]x$$

$$A = [-2 \ -1 \ -3; \ 0 \ -2 \ 1; \ -7 \ -8 \ -9]$$

$$C = [4 \ 6 \ 8]$$

$$Om = \text{obsv}(A, C)$$

$$\text{Rank} = \text{rank}(Om)$$

## §7. Alternative Approaches to Observer Design

- Assume a plant not represented in observer canonical form

$$\dot{z} = Az + Bu, y = Cz \quad (12.84)$$

- The observability matrix

$$O_{M_z} = [C \ CA \ CA^2 \ \dots \ CA^{n-1}]^T \quad (12.85)$$

- Now assume that the system can be transformed to the observer canonical form,  $x$ , with the transformation

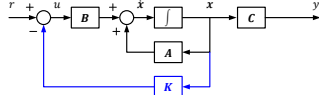
$$z = Px \quad (12.86)$$

- Substituting Eq. (12.86) into Eqs. (12.84) and pre-multiplying the state equation by  $P^{-1}$ , we find that the state equations in observer canonical form are

## §8. Steady-State Error Design via Integral Control

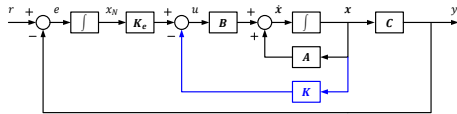
- Consider the controlled system

$$\dot{x} = Ax + Bu, y = Cx \quad (12.112)$$



- An additional state variable  $x_N$  has been added at the output of the leftmost integrator. The error is the derivative of this variable

$$\dot{x}_N = r - Cx \quad (12.111)$$



## §8. Steady-State Error Design via Integral Control

- Rewritten as augmented vectors and matrices

$$\begin{bmatrix} \dot{x} \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} A & 0 \\ -C & 0 \end{bmatrix} \begin{bmatrix} x \\ x_N \end{bmatrix} + \begin{bmatrix} B \\ 0 \end{bmatrix} u + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r, y = [C \quad 0] \begin{bmatrix} x \\ x_N \end{bmatrix} \quad (12.113)$$

but

$$u = -Kx + K_e x_N = -[K \quad -K_e] \begin{bmatrix} x \\ x_N \end{bmatrix} \quad (12.114)$$

- Substituting Eq. (12.114) into (12.113) and simplifying

$$\begin{bmatrix} \dot{x} \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} A - BK & BK_e \\ -C & 0 \end{bmatrix} \begin{bmatrix} x \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r, y = [C \quad 0] \begin{bmatrix} x \\ x_N \end{bmatrix} \quad (12.115)$$

The system type has been increased, and we can use the characteristic equation associated with Eq. (12.115) to design  $K$  and  $K_e$  to yield the desired transient response

$$\dot{x}_N = r - Cx = -Cx + r \quad (12.111), \quad \dot{x} = Ax + Bu, y = Cx \quad (12.112)$$

## §8. Steady-State Error Design via Integral Control

- Ex.12.10

Design of Integral Control

Consider the plant

$$\dot{x} = \begin{bmatrix} 0 & 1 \\ -3 & -5 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u, y = [1 \quad 0]x \quad (12.16)$$

a. Design a controller without integral control to yield a 10% overshoot and a settling time of 0.5s. Evaluate the steady-state error for a unit step input

b. Repeat the design of (a) using integral control. Evaluate the steady-state error for a unit step input

Solution

a. From  $T_s$  and %OS the desired characteristic polynomial

$$s^2 + 16s + 183.1 \quad (12.117)$$

The characteristic polynomial for the controlled plant

$$s^2 + (5 + k_2)s + (3 + k_1) \quad (12.118)$$

## §8. Steady-State Error Design via Integral Control

$$s^2 + 16s + 183.1 \quad (12.117)$$

$$s^2 + (5 + k_2)s + (3 + k_1) \quad (12.118)$$

Equating the coefficients of the above two Eqs. to get

$$K = [k_1 \quad k_2] = [180.1 \quad 11] \quad (12.19)$$

From Eqs. (12.3), the controlled plant with state-variable feedback represented in phase variable form

$$\begin{aligned} \dot{x} &= (A - BK)x + Br = \begin{bmatrix} 0 & 1 \\ -183.1 & -16 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r \\ y &= Cx = [1 \quad 0]x \end{aligned} \quad (12.120)$$

Using Eq.(7.96), the steady-state error for a step input

$$e(\infty) = 1 + [1 \quad 0] \begin{bmatrix} 0 & 1 \\ -183.1 & -16 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = 0.995 \quad (12.121)$$

$$\dot{x} = Ax + Bu = Ax + B(-Kx + r) = (A - BK)x + Br, y = Cx \quad (12.3)$$

$$e(\infty) = 1 - y_{ss} = 1 - CV = 1 + CA^{-1}B \quad (7.96)$$

## §8. Steady-State Error Design via Integral Control

b. Use Eqs. (12.115) to represent the integral-controlled plant

$$\begin{aligned} \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_N \end{bmatrix} &= \left( \begin{bmatrix} 0 & 1 \\ -3 & -5 \end{bmatrix} - \begin{bmatrix} 0 \\ 1 \end{bmatrix} [k_1 \quad k_2] \right) \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} K_e x_N + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r \\ &= \begin{bmatrix} 0 & 1 \\ -(3 + k_1) & -(5 + k_2) \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} K_e x_N + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r \\ y &= [1 \quad 0 \quad 0] \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} \end{aligned} \quad (12.122)$$

Using (12.16), (3.73), the TF of the plant,  $G(s) = \frac{1}{s^2 + 5s + 3}$

$$\dot{x} = \begin{bmatrix} 0 & 1 \\ -3 & -5 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u, y = [1 \quad 0]x \quad (12.16)$$

$$T(s) = \frac{Y(s)}{U(s)} = C(sI - A)^{-1}B + D \quad (3.73)$$

## §8. Steady-State Error Design via Integral Control

$$\begin{aligned} \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_N \end{bmatrix} &= \begin{bmatrix} 0 & 1 & 0 \\ -(3 + k_1) & -(5 + k_2) & K_e \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r \\ y &= [1 \quad 0 \quad 0] \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} \end{aligned}$$

Augment (12.117) with a third pole,  $s + 100$ , which has a real part greater than five times that of the desired dominant 2<sup>nd</sup>-order poles.

The desired 3<sup>rd</sup>-order closed-loop system characteristic polynomial

$$(s + 100)(s^2 + 16s + 183.1) = s^3 + 116s^2 + 1783.1s + 18,310 \quad (12.123)$$

The characteristic polynomial for the system of Eqs. (12.112)

$$s^3 + (5 + k_2)s^2 + (3 + k_1)s + K_e \quad (12.124)$$

$$G(s) = \frac{1}{s^2 + 5s + 3}, \quad s^2 + 16s + 183.1 = [s - (-8 - 10.9133i)][s - (-8 + 10.9133i)] \quad (12.117)$$

## §8. Steady-State Error Design via Integral Control

$$(s + 100)(s^2 + 16s + 183.1) = s^3 + 116s^2 + 1783.1s + 18,310 \quad (12.123)$$

$$s^3 + (5 + k_2)s^2 + (3 + k_1)s + K_e \quad (12.124)$$

Matching coefficients from Eqs. (12.123) and (12.124)

$$k_1 = 1780.1, k_2 = 111, K_e = 18,310 \quad (12.125)$$

Substituting these values into Eqs. (1.122) yields this closed-loop integral control system

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ -1783.1 & -116 & 18,310 \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1 \quad 0 \quad 0] \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix}^T \quad (12.122)$$

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ -(3 + k_1) & -(5 + k_2) & K_e \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r, y = [1 \quad 0 \quad 0] \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} \quad (12.122)$$

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In order to check our assumption for the zero, now find the closed-loop TF

$$T(s) = C(sI - A)^{-1}B + D = \frac{18,310}{s^3 + 116s^2 + 1783.1s + 18,310} \quad (12.127)$$

The TF matches our design  $\Rightarrow$  The desired transient response

The steady-state error for a unit step input

$$e(\infty) = 1 + CA^{-1}B \quad (7.96)$$

$$= 1 + [1 \quad 0 \quad 0] \begin{bmatrix} 0 & 1 & 0 \\ -1783.1 & -116 & 18,310 \\ -1 & 0 & 0 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = 0$$

The system behaves like Type 1 system

$$(12.128)$$

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ -(3 + k_1) & -(5 + k_2) & K_e \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r, y = [1 \quad 0 \quad 0] \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} \quad (12.122)$$

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## §8. Steady-State Error Design via Integral Control

## Skill-Assessment Ex.12.7

**Problem** Design an integral controller for the plant

$$\dot{x} = Ax + Bu = \begin{bmatrix} 0 & 1 \\ -7 & -9 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

$$y = Cx = [4 \quad 1]x$$

to yield a step response with 10% overshoot, a peak time of 2s and zero steady-state error

**Solution** The desired characteristic equation

$$\xi = \frac{\ln(\%OS/100)}{\sqrt{\pi^2 + b^2(\%OS/100)}} = \frac{\ln(10/100)}{\sqrt{\pi^2 + b^2(10/100)}} = 0.591$$

$$\omega_n = \frac{\pi}{T_p \sqrt{1 - \xi^2}} = \frac{4}{2\sqrt{1 - 0.591^2}} = 1.948 \text{ rad/s}$$

$$\Rightarrow s^2 + 2\xi\omega_n s + \omega_n^2 = s^2 + 2.3s + 3.79 = 0 \quad (*)$$

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$$s^2 + 2.3s + 3.79 = 0 \quad (*)$$

The characteristic polynomial for the controlled plant

$$s^2 + (9 + k_2)s + (7 + k_1) = 0 \quad (**)$$

Equating the coefficients of the above two Eqs. to get

$$K = [k_1 \quad k_2] = [-3.21 \quad -6.70]$$

The controlled plant with state-variable feedback

$$\dot{x} = (A - BK)x + Br = \begin{bmatrix} 0 & 1 \\ -3.79 & -2.30 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r$$

$$y = Cx = [4 \quad 1]x$$

The steady-state error for a step input

$$e(\infty) = 1 + [4 \quad 1] \begin{bmatrix} 0 & 1 \\ -3.79 & -2.30 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = -0.0554$$

$$\dot{x} = Ax + Bu = \begin{bmatrix} 0 & 1 \\ -7 & -9 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u, y = Cx = [4 \quad 1]x, e(\infty) = 1 - y_{ss} = 1 + CA^{-1}B$$

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## §8. Steady-State Error Design via Integral Control

The integral-controlled plant

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ -3.79 & -2.30 & 0 \\ -4 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ K_e \\ 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$= \begin{bmatrix} 0 & 1 & 0 \\ -3.79 & -2.30 & K_e \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} r$$

$$y = [1 \quad 0 \quad 0] \begin{bmatrix} x_1 \\ x_2 \\ x_N \end{bmatrix}$$

$$\begin{bmatrix} \dot{x} \\ \dot{x}_N \end{bmatrix} = \begin{bmatrix} A - BK & BK_e \\ -C & 0 \end{bmatrix} \begin{bmatrix} x \\ x_N \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r, y = [C \quad 0] \begin{bmatrix} x \\ x_N \end{bmatrix} \quad (12.115)$$

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## §8. Steady-State Error Design via Integral Control

The TF of the original system

$$G(s) = C(sI - A)^{-1}B$$

$$= [4 \quad 1] \begin{bmatrix} s & -1 \\ 7 & s + 9 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$= [4 \quad 1] \frac{\begin{bmatrix} s + 9 & 1 \\ -7 & s \end{bmatrix}}{s(s + 9) + 7} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$= \frac{s + 4}{s^2 + 9s + 7}$$

Adding a pole at  $-4$  which corresponds to the original system's zero location, yield the characteristic equation

$$(s^2 + 2.3s + 3.97 = 0)(s + 4) = s^3 + 6.3s^2 + 13s + 15.16$$

$$\dot{x} = Ax + Bu = \begin{bmatrix} 0 & 1 \\ -7 & -9 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u, y = Cx = [4 \quad 1]x$$

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