Bachelor Thesis

TODO: Weitere Formalitäten...

Title: TBD

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SS 2016

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1 Introduction

2 Preliminaries

2.1 Curry

2.2 Coq

The formalization of Curry programs requires a language that allows us to express the code itself and the propositions we intend to prove. Coq¹ is an interactive proof management system that meets these requirements, hence it will be the main tool used in the following chapters. [4]

TODO: Kommasetzung?

2.2.1 Data types and functions

Coq's predefined definitions, contrary to e.g. Haskell's Prelude, are very limited. Nevertheless, being a functional language, there is a powerful mechanism for defining new data types. A definition of polymorphic lists could look like this:

We defined a type named list with two constructors: the constant nil, which represents an empty list, and a binary constructor cons that takes an element and a list of the same type as arguments. In fact, nil and cons have one additional argument, a type X. This is required, because we want polymorphic lists – but we do not want to explicitly state the type. Fortunately, Coq allows us to declare type arguments as implicit by enclosing them in curly brackets:

```
Check (cons nat 8 (nil nat)). (*cons nat 8 (nil nat) : list nat*) Arguments nil \{X\}. Arguments cons \{X\} _ _.
```

Coq's type inference system infers the type of a list automatically now if possible. In some cases this does not work, because there is not enough information about the implicit types present.

```
Fail Definition double_cons x y z := (cons x (cons y z)). Definition double_cons {A} x y z := (@cons A x (@cons A y z)).
```

¹https://coq.inria.fr/

The first definition does not work, as indicated by Fail², because Coq cannot infer the implicit type variable of double_cons, since cons does not have an explicit type either. By prefixing at least one cons with @, we can tell Coq to accept explicit expressions for all implicit arguments. This allows us to pass the type of cons on to double_cons, again as an implicit argument.

```
Check double_cons 2 4 []. (* : list nat *)
Fail Check (cons 2 (cons nil nil)).

(* Error: The term "cons nil nil" has type "list (list ?X0)"
while it is expected to have type "list nat". *)
```

Based on this we can write a function that determines if a list is empty:

Function definitions begin with the keyword Definition. is Empty takes an (implicit) type and a list and returns a boolean value. To distinguish empty from non-empty lists, pattern matching can be used on n arguments by writing match $x_0, ..., x_{n-1}$ with $|p_0 \rightarrow e_0| ... |p_{m-1} \rightarrow e_{m-1}$ for m pattern p, consisting of a sub-pattern for every x_i and expressions e_i .

The definition of recursive functions requires that the function is called with a smaller structure than before in each iteration, which ensures that the function eventually terminates. A recursive function is indicated by using Fixpoint instead of Definition.

```
Fixpoint app {X : Type} (11 12 : list X) : (list X) :=
  match 11 with
  | nil => 12
  | cons h t => cons h (app t 12)
  end.
```

In this case l_1 gets shorter with every iteration, thus the function terminates after a finite amount of recursions.

Coq allows us to define notations for functions and constructors by using the keyword Notation, followed by the desired syntax and the expression.

```
Notation "x :: y" := (cons x y) (at level 60, right associativity). Notation "[]" := nil. Notation "[x;..;y]" := (cons x .. (cons y []) ..). Notation "x ++ y" := (app x y) (at level 60, right associativity).
```

2.2.2 Propositions and proofs

Every claim that we state or prove has the type **Prop**. Propositions can be any statement, regardless of its truth. A few examples:

²Fail checks if an expression does indeed cause an error and allows further processing of the file.

```
Check 1 + 1 = 2. (* : Prop *)

Check forall (X : Type) (1 : list X), 1 ++ [] = 1. (* : Prop *)

Check forall (n : nat), n > 0 -> n * n > 0. (* : Prop *)

Check (fun n => n <> 2). (* : nat -> Prop *)
```

The first proposition is a simple equation, while the second one contains an universal quantifier. This allows us to state propositions about every type of list, or, as shown in the third example, about every natural number greater than zero. Combined with implications we can premise specific properties that limit the set of elements the proposition applies to. The last example contains an anonymous function, which is used by stating the functions' arguments and an expression.

Now how do we prove these propositions? Proving an equation requires to show that both sides are equal, usually by simplifying one side until it looks exactly like the other. Coq allows us to do this by using tactics, which can perform a multitude of different operations.

```
Example e1 : 1+1=2.
Proof. simpl. reflexivity. Qed.
```

After naming the proposition as an example, theorem or lemma it appears in the interactive subgoal list that Coq provides. The simpl tactic performs basic simplification like adding two numbers in this case. The updated subgoal is now 2=2, which is obviously true. By using the reflexivity tactic we tell Coq to check both sides for equality, which succeeds and clears the subgoal list, followed by Qed to complete the proof.

```
Example e2 : forall (X : Type) (1 : list X), [] ++ 1 = 1. Proof. intros X 1. reflexivity. Qed.
```

Universal quantifiers allow us to introduce variables, the corresponding tactic is called intros. The new context contains a type X and a list 1, with the remaining subgoal [] ++ 1 = 1. Because we defined app to return the second argument if the first one is an empty list, reflexivity directly proves our goal. reflexivity is not useful for obvious equations only, it also simplifies and unfolds definitions until the flat terms match each other if possible.

To prove that the proposition 1 ++ [] = 1 holds, we need more advanced tactics, because we cannot just apply the definition. app works by iterating through the first list, but we need to prove the proposition for every list, regardless of its length. One possibility to solve this problem is by using structural induction.

```
Example e3 : forall (X : Type) (1 : list X), 1 ++ [] = 1.
Proof. intros X. induction 1 as [|1 ls IH].
  reflexivity.
  simpl. rewrite IH. reflexivity.
Qed.
```

The proof begins by introducing type X, followed by the induction tactic applied to 1. Coq names newly introduced variables by itself, which can be done manually by adding as [c1|...|cn] to the tactic. Each c_i represents a sequence of variable names, which

TODO:

Highlighting für Proof und Qed will be used when introducing variables in the corresponding case. Cases are ordered as listed in the Definition.

Now we need to prove two cases: the empty list and a cons construct. The first case does not require any new variable names, therefore the first section in the squared brackets is empty. It is easily solved by applying reflexivity, because of the definition of app. The second case requires variables for the list's head and tail, which we call 1 and 1s respectively. The variable name IH identifies the induction hypothesis 1s ++ [] = 1s, which Coq generates automatically. The goal changes as following:

```
(l :: ls) ++ [] = l :: ls
l :: ls ++ [] = l :: ls (* simpl *)
l :: ls = l :: ls (* rewrite with IH *)
```

The tactic rewrite changes the current goal by replacing every occurrence of the left side of the provided equation with the right side. Both sides are equal now, hence reflexivity proves the last case.

Example e4 is different from the other examples, in the sense that one cannot prove a function by itself and that only supplying an argument returns a verifiable inequality.

This proof is not as straight forward as the other ones, mainly because of the inequality, which is a notation for not (x = y). Because not is the outermost term, we need to eliminate it first by applying unfold. This replaces not with its definition fun A: Prop => A -> False, where False is the unprovable proposition. Why does this work? Assuming that a proposition P is true, not P means that P implies False, which is false, because something true cannot imply something false. On the other hand, if P is false, then False -> False is true because anything follows from falsehood, as stated by the principle of explosion.

TODO: verweis?

The current goal 4 = 8 -> False is further simplified by introducing 4 = 8 as an hypothesis H, leaving False as the remaining goal. Intuitively we know that H is false, but Coq needs a justification for this claim. Conveniently the tactic inversion solves this problem easily by applying two core principles of inductively defined data types:

- Injectivity: C n = C m implies that n and m are equal for a constructor C.
- Disjoint constructors: Values created by different constructors cannot be equal.

By applying inversion to the hypothesis 2 = 1 we tell Coq to add all inferable equations as additional hypotheses. In this case we start with 2 = 1 or the Peano number representation S(S(0)) = S(0). Injectivity implies that if the previous equation was true,

³It is often useful to be able to look up notations, Locate "<>" returns the term associated with <>.

S(0) = 0 must also be true. This is obviously false, since it would allow two different representations of nil. Hence, the application of inversion to 2 = 1 infers the current goal False, which concludes the proof.

Besides directly supplying arguments to functions that return propositions, there are other interesting applications for them, that we will discuss in the next section.

2.2.3 Higher-order constructs

Functions can be passed as arguments to other functions or returned as a result, they are first-class citizens in Coq. This allows us create higher-order functions, such as map or fold.

TODO: minted bug?

```
Fixpoint map {X Y : Type} (f : X -> Y) (1 : list X) : (list Y) :=
  match 1 with
  | [] => []
  | h :: t => (f h) :: (map f t)
  end.
```

Function types are represented by combining two or more type variables with an arrow. Coq does not only allow higher-order functions but also higher-order propositions. A predefined example is Forall, which features a A -> Prop construct from the last section.

```
Forall : forall A : Type, (A -> Prop) -> list A -> Prop
```

Forall takes a *property* of A, which returns a **Prop** for any given A, plus a list of A and returns a proposition. It works by applying the property to every element of the given list and can be proven by showing that all elements satisfy the property.

```
Example e5 : Forall (fun n => n <> 8) [2;4]. Proof. apply Forall_cons. intros H. inversion H. (* Forall (fun n : nat => n <> 8) [4] *) apply Forall_cons. intros H. inversion H. (* Forall (fun n : nat => n <> 8) [] *) apply Forall_nil. Qed.
```

Forall is an inductively defined proposition, which requires rules to be applied in order to prove a certain goal. This will be further explained in the next section, for now it sufficient to know that Forall can be proven by applying the rules Forall_cons and Forall_nil, depending on the remaining list. Because we begin with a non-empty list, we have to apply Forall_cons. The goal changes to 2 <> 8, the head of the list applied to the property. We have already proven this type of inequality before, inversion is actually able to do most of the work we did manually by itself. Next the same procedure needs to be done for the list's tail [4], which works exactly the same as before. To conclude the proof, we need to show that the property is satisfied by the empty list. Forall_nil covers this case, which is trivially fulfilled.

2.2.4 Inductively defined propositions

Properties of a data type can be written in multiple ways, two of which we already discussed: Boolean equations of the form b x = true and functions that return propositions. For example the function InB returns true if a **nat** is contained in a list, the boolean function could look like this:

```
Fixpoint InB (x : nat) (1 : list nat) : bool :=
match 1 with
| [] => false
| x' :: l' => if (beq_nat x x') then true else InB x l'
end.
Example e5 : InB 42 [1;2;42] = true.
Proof. reflexivity. Qed.
```

Because InB returns a boolean value, we have to check for equality with true in order to get a provable proposition. The proof is fairly simple, reflexivity evaluates the expression and checks the equation, nothing more needs to be done.

Properties are another approach that works equally well. This definition connects multiple equations by disjunction, noted as \/. The resulting proposition needs to contain a least one true equation to become true itself.

```
Fixpoint In (x : nat) (1 : list nat) : Prop :=
match 1 with

| [] => False
| x' :: 1' => x' = x \/ In x 1'
end.

Example e6 : In 42 [1;2;42].

Proof.

simpl. (* 1 = 42 \/ 2 = 42 \/ 42 = 42 \/ False *)
right.

right.

(* 2 = 42 \/ 42 = 42 \/ False *)
right.

(* 42 = 42 \/ False *)
reflexivity.

Qed.
```

Proving the same example as before, we need new tactics to work with logical connectives. By simplifying the original statement we get a disjunction of equations for every element in the list. If we want to show that a disjunction is true, we need to choose a side we believe to be true and prove it. left and right keep only the respective side as the current goal, discarding the other one. A similar tactic exists for the logical conjunction /\, with the difference that split keeps both sides as subgoals, since a conjunction is only true if both sides are true.

The last option to describe this property is by using inductively defined propositions. As already mentioned before, inductively defined propositions consist of rules that describe how an argument can satisfy the proposition. A useful notation for representing these rules are *inference rules*. They consist of an optional list of premises that needs to be fulfilled in order for the conclusion below the line to hold.

We can describe In with two rules:

$$\frac{\text{In n (n :: 1)}}{\text{In n (n :: 1)}} \; 1 \qquad \qquad \frac{\text{In n 1}}{\text{In n (e :: 1)}} \; 2$$

Rule one states that the list's head is an element of the list. Additionally, if an element is contained in a list, it is also an element of the same list, prefixed by another element, as described in the second rule. This definition can be transferred to Coq:

```
Inductive InInd : nat -> list nat -> Prop :=
| Head : forall n l, InInd n (n :: 1)
| Tail : forall n l, InInd n (tl l) -> InInd n l.

Example e7 : InInd 42 [2;42].

Proof.
   apply Tail. (* InInd 42 (tl [2; 42]) *)
   simpl. (* InInd 42 [42] *)
   apply Head.

Qed.
```

The interesting part about this proof is the deductive approach. Previously we started with a proposition and constructed evidence of its truth. In this case we use InInd's rules "backwards": Because we want to show that 42 is an element of [2;42]], we need to argue that it is contained within the list's tail. Since it is the head of [42], we can then apply Head and conclude that the previous statement must also be true, because we required 42 to be contained in the list's tail, which is true.

Inductively defined propositions will play an important role in the following chapters, hence some more examples:

```
Inductive Forall (A : Type) (P : A -> Prop) : list A -> Prop :=
| Forall_nil : Forall P [ ]
| Forall_cons : forall (x : A) (l : list A), P x -> Forall P l -> Forall P (x :: l)
```

We already used Forall in the previous section without knowing the exact definition, the rules are fairly intuitive. According to Forall_nil, a proposition is always true for the empty list. If the list is non-empty, the first element and the list's tail have to satisfy the proposition, as stated in Forall_cons, in order for the whole list to satisfy the property. This pattern can be expanded to more complex inductive propositions, Forall2 takes a binary property plus two lists and checks if P a_i b_i holds for every i < length 1.

```
Forall2 : forall A B : Type, (A -> B -> Prop) -> list A -> list B -> Prop
```

2.3 Theory

In functional languages a data type is a classification of applicable operators and properties of its members. There are base types that store a single date and more complex types that may have multiple constructors and type variables. Typing describes the process of

2 Preliminaries

assigning an expression to a corresponding type in order to avoid programming errors, for example calling an arithmetic function with a character.

Typing an expression requires a context that contains data type definitions, function/constructor/operator declarations and a map that assigns types to variables. Without a context, expressions do not have any useful meaning – 42 could be typed as a number, the character 'B', a string or the answer to everything. The majority of information in a context can be extracted from the source code of a program and is continually updated while typing expressions.

In the following chapters we are going to formalize two representations of Curry programs. This process consists of:

1. Creating

- a Coq data structure that represents the program.
- a context that contains all necessary information for typing expressions.
- 2. Formalizing typing rules with inductively defined propositions.
- 3. Parsing Curry code to Coq programs automatically.

To represent a program in Coq, we need to list all elements it can possibly contain and link them together in a meaningful way. In case of CuMin this is relatively easy; a program consists of several function declarations, which have a signature and a body. Signatures combine quantifiers and type variables, while the body contains variables and expressions. The resulting typing rules are straightforward, because types and expressions are very specific and some procedures are simplified, for example it is not allowed to supply more than one argument to a function at a time.

While FlatCurry is designed to accurately represent Curry code and therefore has a more abstract program structure, the basic layout is similar.

```
Definition total_map (K V : Type) := K -> V.

Definition partial_map (K V : Type) := total_map K (option V).

Definition tmap_empty {K V : Type} (v : V) : total_map K V := (fun _ => v).

Definition emptymap {K V : Type} : partial_map K V := tmap_empty None.

Definition t_update {K V : Type} (beq : K -> K -> bool) (m : total_map K V) (k : K) (v : V) := fun k' => if beq k k' then v else m k'.

Definition update {K V : Type} (beq : K -> K -> bool) (m : partial_map K V) (k : K) (v : V) := t_update beq m k (Some v).
```

3 CuMin

CuMin is a simplified sublanguage of Curry with a restricted syntax that allows more concrete typing rules and data types. Although it requires some transformations to substitute missing constructs, CuMin can express the majority of Curry programs. In the following sections we will take a look at CuMin's syntax[3], create a suitable context and discuss data types, followed by the formal definition and implementation of typing rules and some examples. In the concluding section of this chapter we will see some more advanced tactics that are able to fully automate simple proofs.

3.1 Syntax

The Backus-Naur Form (BNF) is a tool to formally describe context-free grammars and languages like CuMin. A BNF definition is a set of derivation rules $S := A_1 | \dots | A_n$ where S is a nonterminal, that is, a symbol that can be replaced by any of the n sequences A_i on the right side of the ::=. A sequence is a combination of symbols and other characters that form an expression. If a symbol occurs only on the right side of a rule, it is called a terminal because it cannot be replaced.

```
\begin{split} P &::= D; P \mid D \\ D &::= f :: \kappa \tau; f \overline{x_n} = e \\ \kappa &::= \forall^\epsilon \alpha. \kappa \mid \forall^* \alpha. \kappa \mid \epsilon \\ \tau &::= \alpha \mid \text{Bool} \mid \text{Nat} \mid [\tau] \mid (\tau, \tau') \mid \tau \to \tau' \\ e &::= x \mid f_{\overline{\tau_m}} \mid e_1 \mid e_2 \mid \text{let} \mid x = e_1 \mid n \mid e_2 \mid n \mid e_1 + e_2 \mid e_1 \stackrel{\circ}{=} e_2 \\ \mid (e_1, e_2) \mid \text{case} \mid e \mid \sigma \mid (x, y) \to e_1 \rangle \\ \mid \text{True} \mid \text{False} \mid \text{case} \mid e \mid \sigma \mid \sigma \mid (x, y) \to e_1; \text{ False} \to e_2 \rangle \\ \mid \text{Nil}_\tau \mid \text{Cons}(e_1, e_2) \mid \text{case} \mid e \mid \sigma \mid \sigma \mid (x, y) \to e_2 \rangle \\ \mid \text{failure}_\tau \mid \text{anything}_\tau \end{split}
```

Figure 3.1: Syntax of CuMin

A program P is a list of function declarations D, which contain a function name f, a list of quantifiers κ , a type τ and a function definition. Quantifiers have a tag $t \in \{\epsilon, *\}$ that determines the valid types the variable α can be substituted with. Startagged type variables can only be specialized to non-functional types, while ϵ allows every

specialization. The notation $\overline{x_n}$ in function definitions represents n variables $x_1, ..., x_n$ that occur after the function name and are followed by an expression e. A function's type τ can consist of type variables, basic Bool or Nat types, lists, pairs and functions. An example for a function is fst, which returns the first element of a pair:

```
\begin{array}{ll} \mathrm{fst} :: \forall^* \alpha. \forall^* \beta. (\alpha, \beta) \to \alpha & \mathrm{one} :: \mathrm{Nat} \\ \mathrm{fst} \ p = \mathrm{case} \ p \ \mathrm{of} \ \langle (u, v) \to u \rangle & \mathrm{one} = \mathrm{fst}_{Nat, Bool} \ (1, \mathrm{True}) \end{array}
```

Polymorphic functions need to be explicitly specialized before they are applied to another expression, as shown by the function one, because there is no type inference. Besides function application, expressions can be literal boolean values and natural numbers, variables, arithmetic expressions, let bindings or case constructs and constructors for pairs and lists. The two remaining expressions arise from Curry's logical parts: anything represents every possible value of type τ , similar to free variables. failure represents a failed computation, for example fail = anything N_{at} = True. Since anything N_{at} can be evaluated to natural numbers only, the equation always fails because Nat and Bool are not comparable.

The Coq implementation follows the theoretical description closely. Variables, quantifiers, functions and programs are identified by an id instead of a name to simplify comparing values. Case expressions for lists and pairs have two id arguments that represent the variables x and y, that is, the head/tail or left/right component of the expression e.

```
Inductive id : Type :=
                                           Inductive tm : Type :=
| Id : nat -> id.
                                             | tvar
                                                     : id -> tm
                                             | tapp
                                                       : tm -> tm -> tm
Inductive tag : Type :=
                                                     : id -> list ty -> tm
                                             | tfun
                                                     : id -> tm -> tm -> tm
| tag_star : tag
                                             | tlet
| tag_empty : tag.
                                             | ttrue : tm
                                             | tfalse : tm
Inductive quantifier : Type :=
                                             | tfail : ty -> tm
| for_all : id -> tag -> quantifier.
                                             | tany
                                                       : ty -> tm
                                             tzero
Inductive ty : Type :=
                                             | tsucc : tm -> tm
| TVar : id -> ty
                                             | tadd
                                                       : tm -> tm -> tm
| TBool : ty
                                             | teqn
                                                       : tm -> tm -> tm
| TNat : ty
                                             | tpair : tm -> tm -> tm
| TList : ty -> ty
                                                       : ty -> tm
                                             | tnil
\mid TPair : ty \rightarrow ty \rightarrow ty
                                             | tcons : tm -> tm -> tm
| TFun : ty -> ty -> ty.
                                             \mid tcaseb : tm \rightarrow tm \rightarrow tm
                                             | tcasep : tm -> id -> id -> tm -> tm
Definition program := list func_decl.
                                             \mid tcasel : tm \rightarrow id \rightarrow id \rightarrow tm \rightarrow tm.
Inductive func_decl : Type :=
| FDecl : id -> list quantifier -> ty -> list id -> tm -> func_decl.
```

Shown below is the definition of fst in Coq syntax. All names are substituted by IDs,

which do not necessarily need to be distinct from each other in general but within their respective domain. Quantifier's IDs are used in the function's type to represent type variables, following the above definition. The argument IDs of the function need to appear in the following term, in this case Id 3 is passed to a case expression. The IDs Id 4 and Id 5 represent the left and right side of the pair Id 3, of which at least one needs to occur in the next term, otherwise the function is constant.

```
FDecl (Id 0)
      [for_all (Id 1) tag_star; for_all (Id 2) tag_star]
      (TFun (TPair (TVar (Id 1)) (TVar (Id 2))) (TVar (Id 1)))
      [Id 3]
      (tcasep (tvar (Id 3)) (Id 4) (Id 5) (tvar (Id 4))).
```

3.2 Context

As mentioned in section 2.3, we need a context in order to be able to type expressions. This basic version contains no program information and stores two partial maps: One maps type variable IDs to tags, the other variable IDs to types.

```
Inductive context : Type :=
| con : (partial_map id tag) -> (partial_map id ty) -> context.
```

There are two selector functions tagcon and typecon that allow accessing the corresponding maps of a context and two update functions tag_update and type_update that add or update values.

Since the program is not part of the context, we need another way to make it accessible. One option are variables, which are introduced by writing Variable name: type. They can be used in place of a regular function argument, for example as shown in the predefined map function:

Even though A and B are not introduced as types in the signature, they can be used to parametrize lists. Likewise, f can be applied to arguments despite the missing function argument map usually has. Although functions containing variables can be *defined* this way, they are only usable outside of the own section because the variables have a type but no value. Outside of the section all variables used in a definition are appended to its type, for example map: list A \rightarrow list B is added both types A and B plus a function type and becomes forall A B: Type, (A \rightarrow B) \rightarrow list B.

3.3 Data types

Figure 3.2: Rules for being a data type

CuMin does not allow data type constructs containing functions, for example a list of functions. Instead, data types can be constructed only by combining base types, polymorphic variables and lists or pairs. There is no syntax for explicitly naming data types or creating new constructors, therefore data types exist only as part of a function signature.

The inductively defined proposition is_data_type takes a context plus a type and yields a proposition, which can be proven using the provided rules if the type is indeed a data type. Coq allows notations to be introduced before they are actually defined by adding Reserved to a notation. The definition is specified after the last rule, prefaced by where. The syntax used is $\Gamma \vdash \tau$ is_data_type, which means that in the context Γ the type τ is a data type.

Rules follow a common structure: First, all occurring variables need to be quantified. Then conditions can be stated, followed by an assignment of a type to an expression. The rules D_Bool and D_Nat simply state that basic types are data types. D_Var requires type variables to have a star tag in order to be a data type because, as mentioned above, nested function types are not allowed. Lists and pairs are data types if their argument type(s) are data types.

3.4 Typing

Typing requires a set of rules that covers every valid expression and assigns corresponding types. The following inference rules are composed of typing relations $\Gamma \vdash e :: \tau$ that state the type τ of an expression e in a context Γ . The notation $\Gamma, e_1 \mapsto \tau_1 \vdash e_2 :: \tau_2$ means that e_2 can only be typed to τ_2 if Γ maps e_1 to τ_1 . As mentioned in subsection 2.2.4, the premises of an inference rule above the line need to be fulfilled in order for to conclusion below to hold, that is, an expression to be typed.

Figure 3.3: Typing rules for CuMin

The first row of rules holds unconditionally: Basic expressions like boolean values and natural numbers have the type Bool and Nat respectively and there is an empty list of every type. Variables can only be typed if there is an entry in the context that binds the variable to a type; these bindings are created in let and case expressions.

The second row begins with the application of two expressions, which requires the first one to have a functional type and the second term to match the function's argument type. The resulting type may be another function or a basic type, depending on the arity of the original function. A let construct binds a variable x to an expression e_1 that is used within the expression e_2 and needs to be added to the context in order to type e_2 .

The row's last inference rule describes typing a function call with specific types $\overline{\tau_m}$: The program P needs to contain a matching function declaration with a list of quantified type variables $\overline{\alpha_m}$. For every α_i the corresponding τ_i needs to be a data type if their quantifier has a star tag because we must ensure that these variables are replaced by non-functional types, which data types fulfill by definition. The type of a function call is represented by the expression $\tau[\overline{\tau_m/\alpha_m}]$, which is a type substitution of every occurrence of α_i in τ with τ_i .

The third row contains arithmetic operations and constructors. Both + and $\stackrel{\circ}{=}$ can only be applied to natural numbers, while the first returns a Nat and the latter a Bool. In aspect of constructors, pairs can be constructed from two expressions of arbitrary types τ_1 and τ_2 , the resulting type is a pair (τ_1, τ_2) . The list constructor Cons takes two

expressions e_1 and e_2 , the first of which needs to be a head element of type τ and the second a tail list of type $[\tau]$, which results in a list of τ .

Case expressions work similarly but have type specific properties. The first argument has to be of the case's type, for example Bool for the boolean case expressions. Depending on the constructor of the term, the corresponding branch expression is returned. The list case returns either e_1 if the list is empty or e_2 otherwise. In the latter case, bindings for the list's head and tail need to be added to the context in order to type e_2 . This is also necessary in the pair case, however, since there is only one constructor, there is no choice of different terms to return. While this may be unusual for case expressions, the construct serves a purpose nevertheless: accessing a pair's individual components. The last case expression for boolean values works like an if-then-else construct; depending on the first argument either e_1 or e_2 is returned.

Finally, there is a failure of every type, that can be returned in place of a value if the computation fails and an anything of every data type. The restriction of anything to non-functional types is necessary because functions are not enumerable.

To implement the above rules, we begin by introducing an inductively defined proposition, similar to \is_data_type, with an additional argument. Since we want to assign types τ to expressions e within a context Γ , we use a ternary proposition has_type Γ e τ that represents the typing relation $\Gamma \vdash e :: \tau$ used above. Since :: is the cons constructor in Coq, we will use \in instead. Another detail is the usage of a Variable to represent the program. As mentioned earlier, variables are appended to a definition's type, that is, has_type has the type program \rightarrow context \rightarrow tm \rightarrow ty \rightarrow Prop, although the below definition is missing the program.

Supplying arguments to a function is limited to one at a time, hence we apply a functional expression $e_1 :: \tau_1 \to \tau_2$ to the expression $e_2 :: \tau_1$. Because we supplied e_2 with its first argument, the resulting type is the return type of the function that may be of functional type, since a recursive definition is possible.

The tlet expression has three arguments: an ID that represents the variable bound to the expression e_1 in e_2 . Because we introduce a new variable x that occurs in e_2 , we need to update Γ with the type of e_1 associated to x, for instance the expression tlet (Id 0) 4 (tadd (tvar (Id 0) 8)) is only typeable if Γ (Id 0) = TNat.

```
T_Let : forall Gamma e1 e2 x T1 T2, 
 Gamma |- e1 \sqrt{in} T1 -> (type_update Gamma x T1) |- e2 \sqrt{in} T2 -> Gamma |- (tlet x e1 e2) \sqrt{in} T2
```

There are case expressions for bools, lists and pairs. The boolean case works like a if-then-else construct that returns e_1 if e is true and e_2 otherwise. Both expressions must have the same type, since the case expression would otherwise have multiple types depending on the condition. T_CaseP has only one case e_1 , which is useful to access the first and second component of a pair by introducing variables, similar to the tlet expression. The last case expression works with lists and returns e_1 if e is the empty list. In case of a non-empty list, two variables for the list's head and tail are introduced and e_2 is returned. Since there are no possible variables in nil, this is only necessary for typing e_2 .

Function specialization is the most complex rule, since it involves looking up the function's declaration in a program and checking the specialized type.

The lookup function uses the predefined find that takes a boolean predicate plus a list and returns an optional of the first (and only, since functions are named uniquely) element that fulfills the predicate or None otherwise. An anonymous function is used to compare the function's ID to every entry's ID until a match is found. Because the search is not guaranteed to succeed, an option func_decl is returned.

There are two options to handle variables like fd in rules: quantification or let expressions. Via forall quantified variables are easy to work with and allow limited pattern matching, for instance forall id fd, lookup_func Prog id = Some fd -> ... This definitions ensures that lookup_func succeeds and binds the function declaration to fd, which saves us from using fromOption to extract the optional value.

The big disadvantage of this solution is the effort and redundancy arising from using quantified variables: If a variable is not found explicitly in the conclusion of a rule, that is, $Gamma \mid - e \text{ in } T$, it needs to be instantiated manually when applying the rule in a proof. This is especially cumbersome for function declarations since this information is

¹This is actually not completely true, there are automated tactics that are able to infer this information, as shown in section 3.6

already contained in the program. Because of this limitation, we will use let instead, which is not as comfortable but makes proofs significantly shorter.

The next step is to specialize the function with specialize_func. It takes a function declaration plus a list of types and checks if the length of the list of quantified type variables in the function declaration matches the length of the provided type list. Subsequently the substitution begins: for every pair $(\forall^t \alpha_i, \tau_i)$ the type variable α_i is replaced with τ_i in the function type τ . The substitution itself is a recursive function that takes an ID, a replacement type τ and the type τ' . Basic types and type variables with a different ID in τ' remain unchanged; if the ID of a type variable matches the provided ID, it is replaced by τ . Because types are nested structures, the substitution of functions, lists and pairs is recursively applied to the argument types. Technically, this can produce invalid substitutions since variables are replaced, regardless of their tag. Thus, this requirement is checked by the next premise.

The last condition of the rule is a Forall construct. We need to check that every type in tys is a data type if its quantifier has a star tag: fd_to_star_tys returns these types from a function declaration and a list of types. To check the data type property, we use Forall with is_data_type Gamma, that is, a function ty -> Prop. Every element of the type list is applied to the property by Forall; the returned propositions need do be proven when using this rule.

One last technicality needs to be mentioned: Coq does not allow notations in a section, such as used with has_type, to be exported. While modules allow this, they do not have the same semantics regarding variables, hence we need to use both in combination to circumvent this issue. Additionally, notations defined in modules cannot be exported unless they are part of a scope. Scopes are a list of notations and their interpretations, which can be named and imported.

```
Module TypingNotation.
Notation "Prog > Gamma '|-' t '\in' T" := (has_type Prog Gamma t T)
  (at level 40) : typing_scope.
End TypingNotation.
```

This combination of sections, modules and scopes works the following way: The module is outside of has_type's section, thus has_type has an additional program argument. A new notation similar to the original one with a new program parameter is defined, followed by the definition of a typing_scope. The notation can now be imported by writing Import TypingNotation. Open Scope typing_scope.

This concludes the segment about typing rules in CuMin. The following section will demonstrate the usage in proofs based on a few examples.

3.5 Examples

```
apply T_Let with (T1 := TNat).
apply T_Zero.
apply T_Add.
apply T_Succ. apply T_Zero.
apply T_Var. reflexivity.
Qed.
```

The first example proves that let x = 0 in 1 + x is a natural number. Because the outermost term is a let expression, we need to apply the corresponding rule. T_Let has quantified variables for both expressions, their types and the variable ID. As shown in Figure 3.4, all variables can be matched to a part of the expression, except for T1, that is, the type of e_1 .

```
T_Let : forall Gamma x e1 e2 T1 T2,

empty |- (tlet (Id 5) tzero (tadd (tsucc tzero) (tvar (Id 5)))) \in TNat.
```

Figure 3.4: Matching quantified variables with arguments

The application of T_Let requires manually supplied arguments because T1 is not explicitly stated in the expression. Since tzero is of type TNat, we can tell Coq to assume the type of T1 by writing with (T1 := TNat) after the tactic.

In the following proof we need to show that 0, 1 and the addition 1 + x are TNats, which is mostly done by applying the corresponding rules. Since T_Let adds a binding of x (Id 5 in Coq) to the type of e_1 to the context, we can apply T_Var successfully in the context type_update empty (Id 5) TNat.

The next example demonstrates the application app = (union_[Nat] u) v of two variables to the function union. We do not consider the source of the variable bindings, they are assumed to be natural numbers, that is, $\Gamma, u \mapsto \operatorname{Nat}, v \mapsto \operatorname{Nat}, t_{\alpha} = * \vdash \operatorname{app} :: \operatorname{Nat}$.

```
union :: \forall^* \alpha.(\alpha \to (\alpha \to \alpha))
union x y = \text{case anything}_{\text{Bool}} \text{ of } \langle \text{True} \to x; \text{False} \to y \rangle
```

The function union is comparable to Curry's? operator, since anything B_{ool} can be either True or False; the boolean case expression becomes a non-deterministic choice between both arguments. Now we want to prove that applying two Nat expressions to union results in a natural number.

```
- reflexivity.
- apply Forall_cons.
-- apply D_Nat.
-- apply Forall_nil.
* apply T_Var. reflexivity.
* apply T_Var. reflexivity.
```

Proofs can be structured using bullet points, which makes it easier to follow the reasoning in writing. Valid options are: +, -, * or a concatenation of up to 3 of the same symbols listed. When a rule generates multiple subgoals, every subgoal needs to be marked using the same bullet point.

We begin by applying T_App twice, once for each of the given arguments. In both cases we need to explicitly supply T1, that is, the type of the argument applied, for the same reason as in the previous example. The resulting subgoal list has three entries: the specialization of union (with ID 1 in Coq) needs to match both argument's types and the variables must be bound to the correct types in the context.

```
______(1/3)
prog > cntxt |- tfun (Id 1) [TNat] \( \sum_{in} \) TFun TNat (TFun TNat TNat)
______(2/3)
prog > cntxt |- tvar (Id 3) \( \sum_{in} \) TNat
______(3/3)
prog > cntxt |- tvar (Id 4) \( \sum_{in} \) TNat
```

The first subgoal is a tfun expression, hence we use T_Fun and get two additional subgoals to prove, the first of which states that $specialize_func$ applied to union's function declaration and TNat must be equal to the arguments supplied. The specialized type is computed by looking up the declaration in the program, removing the option from the result and substituting α with TNat in the function type. Since only computable functions are used, reflexivity solves this goal directly.

```
______(1/2)
specialize_func (fromOption default_fd (lookup_func prog (Id 1))) [TNat] =
Some (TFun TNat (TFun TNat TNat))
_____(2/2)
Forall (is_data_type cntxt)
(fd_to_star_tys (fromOption default_fd (lookup_func prog (Id 1))) [TNat])
```

The second subgoal requires all argument types to be data types if the corresponding quantifier has a star tag. Since this applies to α , we need to prove that TNat is a data type, which is shown by D_Nat. We complete the first *-subgoal with Forall_nil and have two subgoals regarding variables left. Since we assumed these variables to be bound in the context initially, applying T_Var and reflexivity finishes the proof.

3.6 Automated proofs

The examples shown in the previous section have a common structure: They all work with has_type propositions and can be proven by applying rules of the inductive definition.

A tactic that proves an arbitrary has_type expression would need to try every rule but would find a match eventually. Unfortunately this is not sufficient, because we already saw that some variables cannot be instantiated from the supplied expression and thus require supplying the regarding variables explicitly.

Reconsidering the last example, one may notice the following: It is possible to infer the missing types of the arguments because the specialized function type contains the arguments' types. The usage of apply entails that all variables need to be instantiated immediately, even if the information may appear later. We need a way to postpone assigning values to variables until it is necessary – the tactic eapply does precisely this.

```
______(1/3)
prog > cntxt |- tfun (Id 1) [TNat] \( \)in TFun ?T10 (TFun ?T1 TNat)
______(2/3)
prog > cntxt |- tvar (Id 3) \( \)in ?T10
______(3/3)
prog > cntxt |- tvar (Id 4) \( \)in ?T1
```

Using eapply replaces unknown values with variables, indicated by a question mark, without the need for manual input. When we apply T_Fun, the type TFun ?T10 (TFun ?T1 TNat) is matched with TFun TNat (TFun TNat TNat), that is, the specialized function type. Consequently, all necessary information was inferred automatically.

The tactic constructor tests every constructor of an inductive type, which works for inductively defined propositions. To automate the entire proof, we need to combine both ideas: A tactic that tries every rule and replaces unknown values with variables until they are known – econstructor. This is not just a combination of 'e' and constructor but an existing, powerful tactic. It is possible to prove the example by using econstructor eleven times, but luckily there is the tactic repeat t that applies the supplied tactic t to every subgoal and recursively to every additional generated subgoal until it fails or there is no more progress.

```
Example t7a : prog > cntxt |- app1 in TNat. Proof.
repeat econstructor.
Qed.
```

This results in a fully automated, single-line proof and shows a small part of the powerful tactics Coq offers.

Summarizing this chapter, we began by transferring the formal definition of CuMin's syntax to Coq, followed by the definition of a context that contains variable bindings and tag information to enable typing of expressions. We used inductively defined propositions to describe data types and created a proposition that maps expressions to types with respect to a context. In the final sections we proved some examples and had a more detailed look at how proofs in Coq work and how they can be automated.

In the following chapter we will follow the same procedure with FlatCurry, omitting the identical parts and focusing on the differences. Furthermore, we will look into transferring Curry programs to Coq and real world applications.

TODO:

Möglicherweise besser nicht erwähnen...

4 FlatCurry

FlatCurry is a flat representation of Curry code that enables meta-programming, that is, the transformation of Curry programs in Curry.[2] Since we want to reason about Curry programs in Coq, the respective module FlatCurry. Types will be the foundation of the Coq implementation. The generation of FlatCurry code involves two transformations: Firstly, lambda lifting is applied, that is, local function definitions, for example introduced by where or let clauses, are replaced by top-level definitions. Secondly, pattern matching is substituted by case and or expressions, the latter in case of overlapping patterns.

TODO: Kapitelüberblick

4.1 Syntax

The syntax we use in Coq is similar to the Curry code¹, hence we discuss the Coq implementation only. Generally, Curry allows data types and constructors to have identical names, which is not possible in Coq; likewise Type is a reserved keyword, as we have seen multiple times.

There are three type synonyms that identify variables and other names, for example functions:

- VarIndex: Variables in expressions are represented by a nat.
- TVarIndex: Type variables in type expressions are also represented by a **nat** but have a different name. The distinction between the two variable types is useful to prevent mistakes.
- QName: A qualified name is a pair of strings: the module name and the name the function, data type, etc. Thus, the same name can occur in multiple modules and still be uniquely addressable.

We will discuss the relevant elements of the FlatCurry syntax in a top-down approach, beginning with a program. Besides its name, a program contains a list of imports and lists for type, function and operator declarations. Imports need to be handled manually when working with multiple modules.

Nutzung

TODO: Verlinkung

praktische

Types in FlatCurry are more abstract compared to CuMin, but there are similar elements: Type variables and function types are almost the same, except the index of the variable.

¹https://www-ps.informatik.uni-kiel.de/kics2/lib/FlatCurry.Types.html

We do not distinguish types and data types as we did before, therefore there is no need for an is_data_type property or a context that maps types to tags.

The big difference is the absence of explicit types, for example Nat or Bool. Every type is represented by a TCons construct, which consists of a qualified name and a list of types. The latter contains type parameters, for example the expression Left 42 has the type Either Int a, which is represented in FlatCurry by the qualified name ("Prelude", "Either") and a list containing Int plus a type variable. Base types like Int do not have type parameters, hence the list is empty.

```
(TCons ("Prelude", "Either") [(TCons ("Prelude", "Int") [] ), (TVar 0)])
```

The next construct are declarations of functions, types and constructors. They are identified by qualified names and have a visibility, which determines if the declaration is visible when the module is imported in another program. A function's arity, that is, the number of arguments, is represented by a natural number; this information is useful when working with partial function applications. It is followed by the function's type, represented by a TypeExpr. Lastly, rules encapsulate a list of variables and an expression, which is similar to CuMin's syntax.

```
Inductive FuncDecl : Type :=
    | Func : QName -> nat -> Visibility -> TypeExpr -> TRule -> FuncDecl.

Inductive TypeDecl : Type :=
    | Typec : QName -> Visibility -> list TVarIndex -> list ConsDecl -> TypeDecl
    | TypeSyn : QName -> Visibility -> list TVarIndex -> TypeExpr -> TypeDecl.

Inductive ConsDecl : Type :=
    | Cons : QName -> nat -> Visibility -> list TypeExpr -> ConsDecl.
```

Type declarations are a new construct since it is not possible to define data types in CuMin. There are two constructors: type synonyms, for example type IntL = [Int], and constructor to create new types. While both have a list of type variables, the synonym takes a type expression and the new type a list of constructor declarations.

The definition of binary trees has a type variable a that is represented by the number nil. The list of constructor declarations contains Leaf, a constructor without any arguments,

and a constructor Branch that takes a decoration of type a and two subtrees, both of type BTree a.

FlatCurry expressions share some common elements with the CuMin definition: There are expressions for variables and literals, let and case constructs, the application of functions and a way to express nondeterminism. Nevertheless, most expressions are more abstract and require more complex typing rules, for example, there is only one generic case expression instead of a specific definition for every type.

Literals Integers, characters or floating point numbers can be literals. While there is a nat type in Coq, the other types cannot be represented that easily. The module Ascii contains data structures and notations for characters, but it is not possible to use escaped symbols like \n without converting them to a decimal, three digit representation, in this case 010. Similarly, the module Reals can represent floats, but the dot notation that FlatCurry uses (for example 1.2) is not available, instead numbers need to be written as fractions. Because we are mainly interested in typing expressions, we avoid this problem by using strings to represent chars and floats. That is not to say that an accurate representation is not feasible, but rather that it adds little value in the context of typing expressions.

Function application Comb represents the application of functions and constructors. Its first argument is a CombType, which is either a function/constructor call with all arguments provided (FuncCall/ConsCall) or a partial call (FuncPartCall/ConsPartCall). The latter has an integer value that is the number of missing arguments. The other arguments of Comb are a qualified name of a function or constructor and a list of expressions, that it is applied to.

The application of map to double and [1] results in a complete function call of map, since all necessary arguments have been provided. Because double is missing its argument, the function call is partial with one argument remaining. The list [1] is represented by the application of cons to the integer literal 1 and the empty list, which is a constructor without arguments.

TODO:

Kann man Paragraphüberschriften benutzen? **Let** While CuMin allows only one binding in a let expression, this limitation is not present in FlatCurry. Multiple bindings are represented by a list of (VarIndex, Expr) pairs that bind a variable to an expression, the last argument is the expression that the bindings occur in. The expression let x = y + 1, y = z + 2, z = 3 in x + y results in the following code:

FlatCurry misses unnecessary variables and bindings are sorted hierarchically, that is, if a variable occurs in another binding, its position in the list is ahead of the binding.

Case There are two instances that result in a case expression in FlatCurry: An explicit case expression and pattern matching, for example in functions. The CaseType is either rigid, in case of an explicit case, or flexible for transformed pattern matching. It modifies the evaluation strategy of free variables in the case expression; flexible cases use narrowing, which evaluates function calls with unknown arguments non-deterministically, while rigid cases delay function calls if they cannot be evaluated deterministically, which is called residuation.[1] The evaluation strategy does not affect the result's type, hence we do not need to distinguish both cases.

TODO: Stimmt das?

The two remaining arguments are an expression that determines the branch to be taken and a list of BranchExpr, which consist of a pattern and an expression. Patterns may be literals or a constructor and a list of variables, as shown in the following example. The original function fromMaybe is transformed to a case expression in order eliminate pattern matching.

The resulting FlatCurry code looks nearly identical for both definitions, only the CaseType differs because fromMaybeCase is an explicit case expression instead of a transformation. Unlike CuMin's case, this definition can be used with every type and hence typing a case expression is more complex in FlatCurry.

The remaining expressions work as expected: Free introduces a list of free variables in an expression, Or returns one of the two expressions supplied and Typed assigns a type to an expression.

TODO: Weglassen?

4.2 Context

The context we use for typing FlatCurry contains the familiar partial map from VarIndex to TypeExpr but is missing the tag map, since we do not have data types anymore. In addition to the information about variables, the context contains function and constructor declarations in form of two partial maps that map a qualified name to a pair of the full type and a list of type variables, for example Just has the entry $(a \to \text{Maybe } a, [a])$. The list of type variables simplifies specializing a function because every type variable needs to be substituted with a concrete type. To work with contexts there are selectors vCon, fCon and cCon to access the respective contexts and update functions to add values, similar to the CuMin context.

We want to be able to work with possibly large Curry programs, hence we need to create a parser that extracts the required information from a FlatCurry program and adds it to a context. Parsing function declarations is simple because it contains the function's type explicitly and we need to extract the type variables only.

Because extractTVars lists every occurrence of a type variable, the result needs to be deduplicated. Together with the function type the pair is added to the context. By using fold_right, a list of function declarations is added to the same context.

Parsing a constructor declaration is more complicated because the type is not as easily accessible. Additionally, the declarations are contained in a type declaration and we need do incorporate this information in the constructor's type. We begin by parsing the list with the data type supplied as an additional parameter, for example Maybe a for the declaration of Just. Unfortunately the type parameters of a constructor are stored as a list, therefore we need a function that transforms a list of types to a function type, for example [Int, Bool, Int] to Int -> Bool -> Int.

The function tyListFunc recursively creates function types and adds the supplied types until the list contains only one type, which is the return type of the function. In case of an empty list we need a default type because Coq enforces exhaustive pattern matching. Now we can use tyListFunc to assemble the full type:

```
tyListFunc (args ++ [TCons tqn (map TVar vis)])
```

The information obtained from the type declaration is its qualified name tqn and type variables vis. By constructing a TypeExpr with TCons and concatenating it to the list of type variables args of the constructor, we get the full type by applying tyListFunc to it.

```
tyListFunc ([TVar 0] ++ [TCons ("Prelude", "Maybe") (map TVar [0])])
```

In case of Just this results in the type $a \to \text{Maybe } a$, which is added to the context together with the list of type variables of the data type. This procedure is applied to every constructor declaration and, together with the parsed function declarations, results in a context that contains the full type and a list of type variables for every function and constructor.

4.3 Typing

The FlatCurry typing rules are expand the concepts we discussed in the previous chapter. Functions can be applied to multiple arguments at once, there are user-defined data types, generic case expressions and let expressions with multiple bindings. This results in more complex typing rules, because conditions need to hold for multiple elements, instead of one, and are not restricted to a specific data type.

TODO: :: durch \mapsto bei CuMin ersetzen?

We begin by defining names for common types, for example Int, Char and Float, which represent TCons ("Prelude", "Int") [] for the respective type. In the following rules the same syntax $\Gamma \vdash e :: \tau$ as before is used to express that in a context Γ the expression e has the type τ . We will discuss inference rules and, if not easily transferable, the implementation for FlatCurry expressions.

$$\Gamma \vdash \text{Lit (Intc } i) :: \text{Int} \qquad \Gamma \vdash \text{Lit (Floatc } f) :: \text{Float} \qquad \Gamma \vdash \text{Lit (Charc } c) :: \text{Char}$$

$$\Gamma, x \mapsto \tau \vdash x :: \tau$$

Figure 4.1: Typing rules for literals and variables

FlatCurry does have less literals than CuMin; the type of a literal depends on its argument. The other literals we defined explicitly in CuMin are replaced by TCons constructs, for example TCons ("Prelude", "[]") [] represents the empty list. Typing variables works as before: If the context Γ has an entry for a variable, its type is the value returned by Γ .

$$\frac{\Gamma \vdash e_1 :: \tau_1[\ \overline{x_k \mapsto t_k}\] \qquad \qquad \Gamma \vdash e_n :: \tau_n[\ \overline{x_k \mapsto t_k}\]}{\Gamma, \{f \mapsto (\tau_1 \to \cdots \to \tau_n \to \tau, \overline{x_k})\} \vdash f \ e_1 \dots e_n :: \tau[\ \overline{x_k \mapsto t_k}\]}$$

Figure 4.2: Typing rule for function applications

Applying a function f to n arguments $e_1 \dots e_n$ requires the arguments to have types $\tau_1 \dots \tau_n$ that match the types in the function type returned by the context. Looking

TODO: CuMin Vergleich TODO: Über-

schriften?

up the function f, denoted by curly brackets, yields the pair $(\tau_1 \to \cdots \to \tau_n \to \tau, \overline{x_k})$ where the first component is the type of f and the second is a list of type variables, as defined in section 4.2. The notation $[\overline{x_k \mapsto t_k}]$ represents a type substitution of the type variable x_i with the type t_i . The types t_i need to be supplied explicitly, because there is no type inference. For instance, the application of map to IntToChar and [1,2,3] yields the pair $((a \to b) \to [a] \to [b], [a, b])$ from the context, which results in the premises $\Gamma \vdash \text{IntToChar} :: (a \to b)[a \mapsto \text{Int}, b \mapsto \text{Char}]$ and $\Gamma \vdash [1, 2, 3] :: [a][a \mapsto \text{Int}, b \mapsto \text{Char}]$.

TODO: Grafik statt inline Code?

The implementation is a set of rules in an inductively defined proposition, as before:

Firstly, the qualified name of the function is looked up in the function context and the option removed, which yields the pair funcT. The variable substTypes, that is, a list of types that replace the type variables, is supplied by the user when using the rule. It is used to replace the type variables in the function type, which yields the specialized type specT. Now we need to determine the type T of the function application, which is computed by funcPart. It takes a function type plus an optional number and yields the return type of the function if no number is supplied. Otherwise funcPart removes the first n types of the function, for example funcPart (Some 2) (a -> b -> c) yields c. This is useful for computing the type of partial applications, in this case we need only the return type, since all arguments are supplied.

The last premise checks that the expressions have the type of the respective part of the specialized function part by transforming the specialized type into a list of types, minus the return type that is contained in the second part of the pair funcTyList returns. Forall2 checks if the *i*-th element of the expression list has the *i*-th type of the type list, which represents the conditions of the inference rule in Figure 4.2.

$$\frac{\Gamma \vdash e_1 :: \tau_1[\ \overline{x_k \mapsto t_k}\] \qquad \qquad \Gamma \vdash e_n :: \tau_n[\ \overline{x_k \mapsto t_k}\]}{\Gamma, \{C \mapsto (\tau_1 \to \cdots \to \tau_n \to \tau, \overline{x_k}\} \vdash C\ e_1 \dots e_n :: \tau[\ \overline{x_k \mapsto t_k}\]}$$

Figure 4.3: Typing rule for constructor applications

The application of a constructor works identical to a function application, which is a consequence of the way we constructed the context and the type transformation associated with adding a constructor. The only difference is the context to look up the constructor because we distinguish between constructors and functions.

$$\frac{\Gamma \vdash e_1 :: \tau_1[\ \overline{x_i \mapsto t_i}\] \qquad \qquad \Gamma \vdash e_k :: \tau_k[\ \overline{x_i \mapsto t_i}\]}{\Gamma, F \vdash f \ e_1 \ldots e_k :: (\tau_{k+1} \to \cdots \to \tau_n \to \tau)[\ \overline{x_i \mapsto t_i}\]} \text{ with } k < n$$
with $F = \{f \mapsto (\tau_1 \to \cdots \to \tau_k \to \tau_{k+1} \to \cdots \to \tau_n \to \tau, \overline{x_i})\}$

Figure 4.4: Typing rule for partial function applications

The partial application of a n-ary function f to k arguments works similar to the full application. The type of f returned by Γ is split in two parts: the first k types are checked against the argument's types and the last n+1-k types represent the new type of f. The substitution types t_i need to be specified only for type variables that occur in the supplied arguments, for example a function $f :: a \to a \to b$ applied to the number 4 would require the substitution $[a \mapsto \operatorname{Int}, b \mapsto b]$, because we do not want to specialize types of arguments that have not been applied yet.

```
T_Comb_PFun : forall Gamma qname exprs substTypes remArg T,
    let funcT := fromOption defaultTyVars ((fCon Gamma) qname) in
    let specT := multiTypeSubst (snd funcT) substTypes (fst funcT) in
    let    k := funcArgCnt (fst funcT) - remArg
    let argTs := firstn (@length Expr exprs) (fst (funcTyList specT))
    in funcPart specT (Some k) = T ->
        Forall2 (hasType Gamma) exprs argTs ->
    Gamma |- (Comb (FuncPartCall remArg) qname exprs) \int in T
```

Similarly to the implementation of the full application, we begin with looking up the function type in the context and specializing it with the supplied types. As mentioned above, it is technically necessary to supply type variables in substTypes for every type variable that is not contained in the argument's types, however, multiTypeSubst works by using zip to pair the substitute types and type variables. If zip is applied to lists of different size, the longer list's tail is discarded, which is, in this case, the list of type variables that we do not want to replace.

To compute the new type of f, we need to consider the number of remaining arguments supplied in the partial function call. The function funcArgCnt yields the number of arguments a function type has, which we subtract the number of remaining arguments from – this results in the number k of arguments applied to the function. We previously used funcPart with None to obtain the return type of a function, now we use it with Some n. This removes the first k types, that is, the arguments that were supplied to the function, and yields the new type of f.

Lastly, we check that the argument's types match the types of the specialized function type. Only the first k types are relevant, hence we use firstn to discard the other types and use Forall2 with the result and the supplied arguments.

$$\Gamma, x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \vdash e_1 :: \tau_1 \qquad \Gamma, x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \vdash e_n :: \tau_n$$

$$\frac{\Gamma, x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \vdash e :: \tau}{\Gamma \vdash \text{let } x_1 = e_1, \dots, x_n = e_n \text{ in } e :: \tau} \text{ with } n > 0$$

Figure 4.5: Typing rule for let expressions

Typing let expressions differs, compared to CuMin, in the arbitrary number of bindings allowed. A variable x can occur in other bindings (y = x + 1) or even its own, for example in infinite lists (x = [2] ++ x). As a consequence, context entries for the variables $x_1 ... x_n$ are required in order to type the expressions $e_1 ... e_n$ and e. While it is a syntactically valid to write let expressions without bindings, for example one = let in 1, we require at least one binding because such an occurrence is replaced by its value in FlatCurry.

```
T_Let : forall Gamma ve ves tyexprs e T,
    let vexprs := (ve :: ves) in
    let exprs := map snd vexprs in
    let vtyexprs := replaceSnd vexprs tyexprs in
    let Delta := multiTypeUpdate Gamma vtyexprs
    in Forall2 (hasType Delta) exprs tyexprs ->
        Delta |- e \int T ->
        Gamma |- (Let (ve :: ves) e) \int T
```

We use pattern matching to verify that the list of bindings vexrps, that is, pairs of variables and expressions, is not empty. It is possible to use length vexrps > 0 instead, but pattern matching has two advantages: The rule fails directly when applied to an empty list and, if this is not the case, the proof is shorter because we do not need to prove the inequality. As before, tyexprs is explicitly supplied and represents the types of the expressions used in the bindings. The function replaceSnd takes a list of pairs plus another list and replaces the second component of every pair with the corresponding item in the list; in this context we replace expressions with types, resulting in pairs of variables and types, which we can use to create an updated context Δ with multiTypeUpdate. Lastly, we check $\Delta \vdash e_i :: \tau_i$ with Forall2 and the type of the expression e, that is, the type of the let expression.

$$\begin{split} \Gamma, \overline{x_i \mapsto \tau_{1_i}[\ \overline{v_j \mapsto t_j}\]} \vdash e_1 :: \tau' & \ldots & \Gamma, \overline{x_i \mapsto \tau_{k_i}[\ \overline{v_j \mapsto t_j}\]} \vdash e_k :: \tau' \\ \hline \Gamma \vdash e :: \tau[\ \overline{v_j \mapsto t_j}\] \\ \hline \Gamma, Cs \vdash (\mathbf{f}) \text{case e of } \{C_1 \ x_1 \ldots x_n \to e_1; \ldots; C_k \ x_1 \ldots x_m \to e_k\} :: \tau' \\ \text{with } Cs = \{C_1 \mapsto (\tau_{1_1} \to \cdots \to \tau_{1_n} \to \tau, \overline{v_i}), \ldots, C_k \mapsto (\tau_{k_1} \to \cdots \to \tau_{k_m} \to \tau, \overline{v_i})\} \end{split}$$

Figure 4.6: Typing rule for case expressions

FlatCurry has one generic case expression compared to CuMin's specific versions for

every type, which results in the most complex rule so far. The expression e can have any type with an arbitrary number of constructors, all of which need to be looked up in the context and specialized with the supplied types, followed by updating the context with new variables. A clarifying example:

```
\label{eq:case d m = case m of} \begin{array}{rcl} & \text{Just x } & -> \text{ x} \\ & \text{Nothing } -> \text{ d} \\ & \text{example = fromMaybeCase 0 (Just 2)} \end{array}
```

Looking up Just and Nothing yields $(a \to \text{Maybe } a, [a])$ and (Maybe a, [a]). We called the function with integer values, thus we specialize a in both types with Int, resulting in (Int \to Maybe Int) and (Maybe Int). In order to type the expression x, we need to add $x \mapsto \text{Int to } \Gamma$; because we type the case expression only, we can assume d to have an entry in the context. Now both expressions x and d can be typed to $\tau' = \text{Int}$ and satisfy the conditions of the rule, that is, $(\Gamma, x \to a[a \mapsto \text{Int}] \vdash x :: \text{Int})$, $(\Gamma \vdash d :: \text{Int})$ and $(\Gamma \vdash \text{Just } 2 :: \text{Maybe } a[a \mapsto \text{Int}])$.

The implementation makes heavy use of pairs and lists, thus many calls of map, fst and snd are necessary. Again we use pattern matching to ensure that the case expression has a least one branch because, unlike let, the expression would otherwise not be typeable.

TODO:

```
CamelCase
T_Case : forall Gamma ctype e substTypes T Tc p vis brexprs',
                                                                                     im Code
           let brexprs := Branch p vis :: brexprs' in
                 \verb"pattps" := \verb"map" pattSplit" (brexprsToPatterns" brexprs) in
           let
           let contyvis := map (compose (fromOption defaultTyVars) (cCon Gamma))
                                (map fst pattps) in
                   tvis := snd (fromOption defaultTyVars (cCon Gamma (fst (pattSplit p)))) in
           let
                 specTs := map (multiTypeSubst tvis substTypes)
           let
                                (map fst contyvis) in
                vistysl := zip (map snd pattps)
                                (map (compose fst funcTyList) specTs) in
                  Delta := multiListTypeUpdate Gamma vistysl
           let
            in Forall (flip (hasType Delta) T) (brexprsToExprs brexprs) ->
               Forall (fun ty => ty = Tc) (map ((flip funcPart) None) specTs) ->
               Gamma |- e \in Tc ->
         Gamma |- (Case ctype e (Branch p vis :: brexprs')) √in T
```

The implementation might not be clear at first sight, therefore we will use the example above to illustrate the different steps. We begin with a list of branch expressions **brexprs**, that is, a pattern and an expression. Var 3 represents the variable introduced in the Just pattern and Var 1 is the default value d.

```
[(Branch (Pattern ("Prelude", "Just") [3])(Var 3));
(Branch (Pattern ("Prelude", "Nothing") [])(Var 1))]
```

The function pattSplit takes a pattern and yields a pair of the qualified name of the constructor and its variables. Applied to the list of patterns, which is returned by brexprsToPatterns, it returns the list pattps of (QName, [TVarIndex]) pairs.

```
[("Prelude", "Just", [3]); ("Prelude", "Nothing", [])]
```

By composing fromOption and cCon we have a function that is applied to every qualified name, that is, constructor name, yielding the list contyvis of types and type variable pairs.

```
[(FuncType (TVar 0) (TCons ("test", "Maybe") [TVar 0]), [0]);
(TCons ("test", "Maybe") [TVar 0], [0])]
```

The type variables are equal for every constructor since they return the same type. To avoid using head and its default element, we use additional pattern matching in the last line to obtain the first pattern and apply the previous procedure again, yielding the list of type variables tvis = [0] that need to be specialized. This type specialization is applied to every constructor type, which is represented by the list specTs.

To update the variables of the constructors in the context, they are merged with their types by using zip, resulting in the list vistysl.

Lastly, the list of pairs are added to the context by using $\mathtt{multiListTypeUpdate}$. The function takes a list of pairs of lists, that is, a pair that contains lists of variables and the corresponding types for every constructor, and adds them to the context. The pairs represents \mathtt{Just} with its variable x and $\mathtt{Nothing}$ without variables.

$$\frac{\Gamma \vdash e_1 :: \tau \qquad \Gamma \vdash e_2 :: \tau}{\Gamma \vdash e_1 \text{ or } e_2 :: \tau} \qquad \frac{\Gamma, x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \vdash e :: \tau}{\Gamma \vdash \text{let } x_1, \dots, x_n \text{ free in } e :: \tau} \qquad \frac{\Gamma \vdash e :: \tau' \qquad \tau' \Rightarrow \tau}{\Gamma \vdash (e ::: \tau) :: \tau}$$
(a)
(b)
(c)

Figure 4.7: Typing rules for (a) or, (b) free and (c) typed expressions

The typing rules for or and free expressions are short:

- The or expression requires both expressions to have the same type τ , so that the result's type is coherent.
- When introducing free variables $x_1 \dots x_n$ in an expression e the types $\tau_1 \dots \tau_n$ need to be added to the context on order to type e.

Typed expressions require that the stated type τ of the expression e can be specialized to τ' , that is, $\exists t_1 \dots t_n : \tau'[\overline{x_i \mapsto t_i}] = \tau$ where $x_1 \dots x_n$ are type variables in τ , notated as $\tau' \Rightarrow \tau$. This requirement is necessary because polymorphic functions, such as $\mathrm{id} :: a \to a$, can be typed to a more specific type, for example $\mathrm{intId} = \mathrm{id} :: \mathrm{Int} \to \mathrm{Int}$

TODO:

Unterschiedliche Höhe...

$4 \; FlatCurry$

4.4 Examples

4.5 Conversion of FlatCurry to Coq

5 Conclusion

Bibliography

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