Bachelor Thesis

**TODO:** Weitere Formalitäten...

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# 1 Introduction

## 2 Coq

The formalization of Curry programs requires a language that allows us to express the code itself and the propositions we intend to prove. Coq<sup>1</sup> is an interactive proof management system that meets these requirements, hence it will be the main tool used in the following chapters.

TODO: Kommasetzung?

#### 2.1 Data types and functions

Coq's predefined definitions, contrary to e.g. Haskell's Prelude, are very limited. Nevertheless, being a functional language, there is a powerful mechanism for defining new data types. A definition of polymorphic lists could look like this:

We defined a type named <code>list</code> with two constructors: the constant <code>nil</code>, which represents an empty list, and a binary constructor <code>cons</code> that takes an element and a list of the same type as arguments. In fact, <code>nil</code> and <code>cons</code> have one additional argument, a type <code>X</code>. This is required, because we want polymorphic lists – but we do not want to explicitly state the type. Fortunately, Coq allows us to declare type arguments as implicit by enclosing them in curly brackets:

```
Check (cons nat 8 (nil nat)). (*cons nat 8 (nil nat) : list nat*)
Arguments nil {X}.
Arguments cons {X} _ _.
```

Coq's type inference system infers the type of a list automatically now if possible. In some cases this does not work, because there is not enough information about the implicit types present.

```
Fail Definition double_cons x y z := (cons x (cons y z)). Definition double_cons \{A\} x y z := (@cons A x (@cons A y z)).
```

The first definition does not work, as indicated by Fail<sup>2</sup>, because Coq cannot infer the implicit type variable of double\_cons, since cons does not have an explicit type either. By prefixing at least one cons with @, we can tell Coq to accept explicit expressions for all implicit arguments. This allows us to pass the type of cons on to double\_cons, again as an implicit argument.

<sup>&</sup>lt;sup>1</sup>https://coq.inria.fr/

<sup>&</sup>lt;sup>2</sup>Fail checks if an expression does indeed cause an error and allows further processing of the file.

```
Check double_cons 2 4 []. (* : list nat *)
Fail Check (cons 2 (cons nil nil)).

(* Error: The term "cons nil nil" has type "list (list ?X0)"
while it is expected to have type "list nat". *)
```

Based on this we can write a function that determines if a list is empty:

Function definitions begin with the keyword Definition. is Empty takes an (implicit) type and a list and returns a boolean value. To distinguish empty from non-empty lists, pattern matching can be used on n arguments by writing match  $x_0, ..., x_{n-1}$  with  $|p_0 \rightarrow e_0| ... |p_{m-1} \rightarrow e_{m-1}$  for m pattern p, consisting of a sub-pattern for every  $x_i$  and expressions  $e_i$ .

The definition of recursive functions requires that the function is called with a smaller structure than before in each iteration, which ensures that the function eventually terminates. A recursive function is indicated by using Fixpoint instead of Definition.

```
Fixpoint app {X : Type} (11 12 : list X) : (list X) :=
  match 11 with
  | nil => 12
  | cons h t => cons h (app t 12)
  end
```

In this case  $l_1$  gets shorter with every iteration, thus the function terminates after a finite amount of recursions.

Coq allows us to define notations for functions and constructors by using the keyword Notation, followed by the desired syntax and the expression.

```
Notation "x :: y" := (cons x y) (at level 60, right associativity). Notation "[]" := nil. Notation "[x;..;y]" := (cons x .. (cons y []) ..). Notation "x ++ y" := (app x y) (at level 60, right associativity).
```

## 2.2 Propositions and proofs

Every claim that we state or prove has the type **Prop**. Propositions can be any statement, regardless of its truth. A few examples:

```
Check 1 + 1 = 2. (* : Prop *)
Check forall (X : Type) (1 : list X), 1 ++ [] = 1. (* : Prop *)
Check forall (n : nat), n > 0 -> n * n > 0. (* : Prop *)
Check (fun n => n <> 2). (* : nat -> Prop *)
```

The first proposition is a simple equation, while the second one contains an universal quantifier. This allows us to state propositions about every type of list, or, as shown in the third example, about every natural number greater than zero. Combined with implications we can premise specific properties that limit the set of elements the proposition applies to. The last example contains an anonymous function, which is used by stating the functions' arguments and an expression.

Now how do we prove these propositions? Proving an equation requires to show that both sides are equal, usually by simplifying one side until it looks exactly like the other. Coq allows us to do this by using tactics, which can perform a multitude of different operations.

```
Example e1 : 1+1=2.

Proof. simpl. reflexivity. Qed.
```

After naming the proposition as an example, theorem or lemma it appears in the interactive subgoal list that Coq provides. The simpl tactic performs basic simplification like adding two numbers in this case. The updated subgoal is now 2=2, which is obviously true. By using the reflexivity tactic we tell Coq to check both sides for equality, which succeeds and clears the subgoal list, followed by Qed to complete the proof.

```
Example e2 : forall (X : Type) (1 : list X), [] ++ 1 = 1. Proof. intros X 1. reflexivity. Qed.
```

Universal quantifiers allow us to introduce variables, the corresponding tactic is called intros. The new context contains a type X and a list 1, with the remaining subgoal [ ] ++ 1 = 1. Because we defined app to return the second argument if the first one is an empty list, reflexivity directly proves our goal. reflexivity is not useful for obvious equations only, it also simplifies and unfolds definitions until the flat terms match each other if possible.

To prove that the proposition 1 ++ [] = 1 holds, we need more advanced tactics, because we cannot just apply the definition. app works by iterating through the first list, but we need to prove the proposition for every list, regardless of its length. One possibility to solve this problem is by using structural induction.

```
Example e3 : forall (X : Type) (1 : list X), 1 ++ [] = 1.
Proof. intros X. induction 1 as [|1 ls IH].
  reflexivity.
  simpl. rewrite IH. reflexivity.
Qed.
```

The proof begins by introducing type X, followed by the induction tactic applied to 1. Coq names newly introduced variables by itself, which can be done manually by adding as [c1|...|cn] to the tactic. Each  $c_i$  represents a sequence of variable names, which will be used when introducing variables in the corresponding case. Cases are ordered as listed in the Definition.

Now we need to prove two cases: the empty list and a cons construct. The first case does not require any new variable names, therefore the first section in the squared brackets

#### TODO:

Highlighting für Proof und Qed is empty. It is easily solved by applying reflexivity, because of the definition of app. The second case requires variables for the list's head and tail, which we call 1 and 1s respectively. The variable name IH identifies the induction hypothesis 1s ++ [] = 1s, which Coq generates automatically. The goal changes as following:

```
(l :: ls) ++ [] = l :: ls
l :: ls ++ [] = l :: ls (* simpl *)
l :: ls = l :: ls (* rewrite with IH *)
```

The tactic rewrite changes the current goal by replacing every occurrence of the left side of the provided equation with the right side. Both sides are equal now, hence reflexivity proves the last case.

Example e4 is different from the other examples, in the sense that one cannot prove a function by itself and that only supplying an argument returns a verifiable inequality.

This proof is not as straight forward as the other ones, mainly because of the inequality, which is a notation for not (x = y). Because not is the outermost term, we need to eliminate it first by applying unfold. This replaces not with its definition fun A: Prop => A -> False, where False is the unprovable proposition. Why does this work? Assuming that a proposition P is true, not P means that P implies False, which is false, because something true cannot imply something false. On the other hand, if P is false, then False -> False is true because anything follows from falsehood, as stated by the principle of explosion.

TODO: verweis?

The current goal 4 = 8 -> False is further simplified by introducing 4 = 8 as an hypothesis H, leaving False as the remaining goal. Intuitively we know that H is false, but Coq needs a justification for this claim. Conveniently the tactic inversion solves this problem easily by applying two core principles of inductively defined data types:

- Injectivity: C n = C m implies that n and m are equal for a constructor C.
- Disjoint constructors: Values created by different constructors cannot be equal.

By applying inversion to the hypothesis 2 = 1 we tell Coq to add all inferable equations as additional hypotheses. In this case we start with 2 = 1 or the Peano number representation S(S(0)) = S(0). Injectivity implies that if the previous equation was true, S(0) = 0 must also be true. This is obviously false, since it would allow two different representations of nil. Hence, the application of inversion to 2 = 1 infers the current goal False, which concludes the proof.

Besides directly supplying arguments to functions that return propositions, there are other interesting applications for them, that we will discuss in the next section.

<sup>&</sup>lt;sup>3</sup>It is often useful to be able to look up notations, Locate "<>" returns the term associated with <>.

#### 2.3 Higher-order constructs

Functions can be passed as arguments to other functions or returned as a result, they are first-class citizens in Coq. This allows us create higher-order functions, such as map or fold.

TODO: minted bug?

Function types are represented by combining two or more type variables with an arrow. Coq does not only allow higher-order functions, but also higher-order propositions. A predefined example is Forall, which features a A -> Prop construct from the last section.

```
Forall : forall A : Type, (A -> Prop) -> list A -> Prop
```

Forall takes a *property* of A, which returns a **Prop** for any given A, plus a list of A and returns a proposition. It works by applying the property to every element of the given list and can be proven by showing that all elements satisfy the property.

```
Example e5 : Forall (fun n => n <> 8) [2;4]. Proof. apply Forall_cons. intros H. inversion H. (* Forall (fun n : nat => n <> 8) [4] *) apply Forall_cons. intros H. inversion H. (* Forall (fun n : nat => n <> 8) [] *) apply Forall_nil. Qed.
```

Forall is an inductively defined proposition, which requires rules to be applied in order to prove a certain goal. This will be further explained in the next section, for now it sufficient to know that Forall can be proven by applying the rules Forall\_cons and Forall\_nil, depending on the remaining list. Because we begin with a non-empty list, we have to apply Forall\_cons. The goal changes to 2 <> 8, the head of the list applied to the property. We have already proven this type of inequality before, inversion is actually able to do most of the work we did manually by itself. Next the same procedure needs to be done for the list's tail [4], which works exactly the same as before. To conclude the proof, we need to show that the property is satisfied by the empty list. Forall\_nil covers this case, which is trivially fulfilled.

## 2.4 Inductively defined propositions

Properties of a data type can be written in multiple ways, two of which we already discussed: Boolean equations of the form b x = true and functions that return propositions. For example the function InB returns true if a **nat** is contained in a list, the boolean function could look like this:

```
Fixpoint InB (x : nat) (1 : list nat) : bool :=
match 1 with
| [] => false
| x' :: 1' => if (beq_nat x x') then true else InB x 1'
end.
Example e5 : InB 42 [1;2;42] = true.
Proof. reflexivity. Qed.
```

Because InB returns a boolean value, we have to check for equality with true in order to get a provable proposition. The proof is fairly simple, reflexivity evaluates the expression and checks the equation, nothing more needs to be done.

Properties are another approach that works equally well. This definition connects multiple equations by disjunction, noted as \/. The resulting proposition needs to contain a least one true equation to become true itself.

```
Fixpoint In (x : nat) (1 : list nat) : Prop :=
match 1 with

| [] => False
| x' :: 1' => x' = x \/ In x 1'
end.

Example e6 : In 42 [1;2;42].

Proof.

simpl. (* 1 = 42 \/ 2 = 42 \/ 42 = 42 \/ False *)
right.

right.

(* 2 = 42 \/ 42 = 42 \/ False *)
right.

(* 42 = 42 \/ False *)
reflexivity.

Qed.
```

Proving the same example as before, we need new tactics to work with logical connectives. By simplifying the original statement we get a disjunction of equations for every element in the list. If we want to show that a disjunction is true, we need to choose a side we believe to be true and prove it. left and right keep only the respective side as the current goal, discarding the other one. A similar tactic exists for the logical conjunction /\, with the difference that split keeps both sides as subgoals, since a conjunction is only true if both sides are true.

The last option to describe this property is by using inductively defined propositions. As already mentioned before, inductively defined propositions consist of rules that describe how an argument can satisfy the proposition. A useful notation for representing these rules are *inference rules*. They consist of an optional list of premises that needs to be fulfilled in order for the conclusion below the line to hold.

We can describe In with two rules:

$$\frac{\text{In n (n :: 1)}}{\text{In n (n :: 1)}}\; 1 \qquad \qquad \frac{\text{In n 1}}{\text{In n (e :: 1)}}\; 2$$

Rule one states that the list's head is an element of the list. Additionally, if an element is contained in a list, it is also an element of the same list, prefixed by another element, as described in the second rule. This definition can be transferred to Coq:

```
Inductive InInd : nat -> list nat -> Prop :=
| Head : forall n l, InInd n (n :: 1)
| Tail : forall n l, InInd n (tl 1) -> InInd n l.

Example e7 : InInd 42 [2;42].

Proof.
   apply Tail. (* InInd 42 (tl [2; 42]) *)
   simpl. (* InInd 42 [42] *)
   apply Head.

Qed.
```

The interesting part about this proof is the deductive approach. Previously we started with a proposition and constructed evidence of its truth. In this case we use InInd's rules "backwards": Because we want to show that 42 is an element of [2;42]], we need to argue that it is contained within the list's tail. Since it is the head of [42], we can then apply Head and conclude that the previous statement must also be true, because we required 42 to be contained in the list's tail, which is true.

Inductively defined propositions will play an important role in the following chapters, hence some more examples:

```
Inductive Forall (A : Type) (P : A -> Prop) : list A -> Prop :=
| Forall_nil : Forall P [ ]
| Forall_cons : forall (x : A) (1 : list A), P x -> Forall P 1 -> Forall P (x :: 1)
```

We already used Forall in the previous section without knowing the exact definition, the rules are fairly intuitive. According to Forall\_nil, a proposition is always true for the empty list. If the list is non-empty, the first element and the list's tail have to satisfy the proposition, as stated in Forall\_cons, in order for the whole list to satisfy the property. This pattern can be expanded to more complex inductive propositions, Forall2 takes a binary property plus two lists and checks if P  $a_i$   $b_i$  holds for every i < length 1.

```
Forall2 : forall A B : Type, (A -> B -> Prop) -> list A -> list B -> Prop
```

# 3 Theory

In functional languages a data type is a classification of applicable operators and properties of its members. There are base types that store a single date and more complex types that may have multiple constructors and type variables. Typing describes the process of assigning an expression to a corresponding type in order to avoid programming errors, for example calling an arithmetic function with a character.

Typing an expression requires a context that contains data type definitions, function/constructor/operator declarations and a map that assigns types to variables. Without a context, expressions do not have any useful meaning – 42 could be typed as a number, the character 'B', a string or the answer to everything. The majority of information in a context can be extracted from the source code of a program and is continually updated while typing expressions.

In the following chapters we are going to formalize two representations of Curry programs. This process consists of:

#### 1. Creating

- a Coq data structure that represents the program.
- a context that contains all necessary information for typing expressions.
- 2. Formalizing typing rules with inductively defined propositions.
- 3. Parsing Curry code to Coq programs automatically.

To represent a program in Coq, we need to list all elements it can possibly contain and link them together in a meaningful way. In case of CuMin this is relatively easy; a program consists of several function declarations, which have a signature and a body. Signatures combine quantifiers and type variables, while the body contains variables and expressions. The resulting typing rules are straightforward, because types and expressions are very specific and some procedures are simplified, for example it is not allowed to supply more than one argument to a function at a time.

While FlatCurry is designed to accurately represent Curry code and therefore has a more abstract program structure, the basic layout is similar.

```
Definition total_map (K V : Type) := K -> V.  
Definition partial_map (K V : Type) := total_map K (option V).  
Definition tmap_empty \{K \ V : Type\} \ (v : V) : total_map K V := (fun _ => v).  
Definition emptymap <math>\{K \ V : Type\} : partial_map K \ V := tmap_empty \ None.
```

### 3 Theory

```
Definition t_update {K V : Type} (beq : K -> K -> bool) (m : total_map K V) (k : K) (v : V) := fun k' => if beq k k' then v else m k'.  
Definition update {K V : Type} (beq : K -> K -> bool) (m : partial_map K V) (k : K) (v : V) := t_update beq m k (Some v).
```

## 4 CuMin

#### 4.1 Syntax

CuMin is a simplified sublanguage of Curry, which restricts the syntax to allow more concrete typing rules and data types. Although it requires some transformations to substitute missing constructs, CuMin can express the majority of Curry programs. Figure 4.1 shows the syntax of CuMin in Backus–Naur Form:

```
P ::= D; P \mid D
D ::= f :: \kappa \tau; f \overline{x_n} = e
\kappa ::= \forall^{\epsilon} \alpha. \kappa \mid \forall^* \alpha. \kappa \mid \epsilon
\tau ::= \alpha \mid \text{Bool} \mid \text{Nat} \mid [\tau] \mid (\tau, \tau') \mid \tau \to \tau'
e ::= x \mid f_{\overline{\tau_m}} \mid e_1 e_2 \mid \text{let } x = e_1 \text{ in } e_2 \mid n \mid e_1 + e_2 \mid e_1 \stackrel{\circ}{=} e_2
\mid (e_1, e_2) \mid \text{case } e \text{ of } \langle (x, y) \to e_1 \rangle
\mid \text{True} \mid \text{False} \mid \text{case } e \text{ of } \langle \text{True} \to e_1; \text{ False} \to e_2 \rangle
\mid \text{Nil}_{\tau} \mid \text{Cons}(e_1, e_2) \mid \text{case } e \text{ of } \langle \text{Nil} \to e_1; \text{Cons}(x, y) \to e_2 \rangle
\mid \text{failure}_{\tau} \mid \text{anything}_{\tau}
```

Figure 4.1: Syntax of CuMin

A program P is a list of function declarations D, which contain a function name f, a list of quantifiers  $\kappa$ , a type  $\tau$  and a function definition. Quantifiers have a tag  $t \in \{\epsilon, *\}$  that determines the valid types of the variable  $\alpha$ . Star-tagged type variables can only be specialized to non-functional types, while  $\epsilon$  allows every specialization. The notation  $\overline{x_n}$  in function definitions represents n variables  $x_1, ..., x_n$  that occur after the function name and are followed by an expression e. A function's type  $\tau$  can consist of type variables, primitive Bool or Nat types, lists, pairs and functions. An example for a CuMin function is fst, which returns the first element of a pair:

```
\begin{split} \text{fst} &:: \forall^* \alpha. \forall^* \beta. (\alpha, \beta) \to \alpha \\ \text{fst} & p = \text{case } p \text{ of } \langle (u, v) \to u \rangle \end{split} \qquad \text{one} &:: \epsilon \text{ Nat} \\ \text{one} &= \text{fst}_{Nat, Bool}(1, \text{True}) \end{split}
```

It is important to notice that polymorphic functions need to be explicitly specialized

before they are applied to another expression, as shown in one, because there is no type inference.

Besides function application, there are literal boolean values and natural numbers, variables, arithmetic expressions, let bindings and case constructs and constructors for pairs and lists. The two remaining expressions arise from Curry's logical parts: anything<sub> $\tau$ </sub> represents every possible value of type  $\tau$ , similar to free variables. failure<sub> $\tau$ </sub> represents a failed computation, for example fail = anything<sub>Nat</sub>  $\stackrel{\circ}{=}$  True. Since anything<sub>Nat</sub> can be evaluated to natural numbers only, the equation always fails because Nat and Bool are not comparable.

The Coq implementation follows the theoretical description closely. Variables, quantifiers, functions and programs are identified by an id instead of a name, to simplify comparing values. Case expressions for lists and pairs have two id arguments that represent the variables x and y, the head/tail or left/right side of the term e.

```
Inductive id : Type :=
                                         Inductive tm : Type :=
| Id : nat -> id.
                                           | tvar
                                                   : id -> tm
                                                    : tm -> tm -> tm
                                           | tapp
Inductive tag : Type :=
                                                    : id -> list ty -> tm
                                           | tfun
| tag_star : tag
                                                    : id -> tm -> tm -> tm
                                           | tlet
| tag_empty : tag.
                                           | ttrue : tm
                                           | tfalse : tm
Inductive quantifier : Type :=
                                           | tfail : ty -> tm
| for_all : id -> tag -> quantifier.
                                           | tany
                                                    : ty -> tm
                                           | tzero : tm
                                           | tsucc : tm -> tm
Inductive ty : Type :=
| TVar : id -> ty
                                           | tadd
                                                    : tm -> tm -> tm
| TBool : ty
                                           | teqn
                                                    : tm \rightarrow tm \rightarrow tm
| TNat : ty
                                           | tpair
                                                    : tm -> tm -> tm
| TList : ty -> ty
                                           | tnil
                                                    : ty -> tm
| TPair : ty -> ty -> ty
                                           | tcons : tm -> tm -> tm
| TFun : ty -> ty -> ty.
                                           | tcaseb : tm -> tm -> tm
                                           | tcasep : tm -> id -> id -> tm -> tm
Definition program := list func_decl.
                                          | tcasel : tm -> id -> id -> tm -> tm -> tm.
Inductive func_decl : Type :=
| FDecl : id -> list quantifier -> ty -> list id -> tm -> func_decl.
```

This is the definition of fst in Coq syntax. All names are substituted by IDs, which do not necessarily need to be distinct from each other in general, but within their respective domain. Quantifier's IDs are used in the function's type to represent type variables, following the above definition. The argument IDs of the function need to appear in the following term, in this case Id 3 is passed to a case expression. The IDs Id 4 and Id 5 represent the left and right side of the pair Id 3, of which at least one needs to occur in the next term, otherwise the function is constant.

```
FDecl (Id 0)
      [for_all (Id 1) tag_star; for_all (Id 2) tag_star]
      (TFun (TPair (TVar (Id 1)) (TVar (Id 2))) (TVar (Id 1)))
```

TODO: label?

```
[Id 3]
(tcasep (tvar (Id 3)) (Id 4) (Id 5) (tvar (Id 4))).
```

#### 4.2 Context

As mentioned in chapter 3, we need a context in order to be able to type expressions. This basic version contains no program information and stores two partial maps: One maps type variable IDs to tags, the other variable IDs to types.

```
Inductive context : Type :=
| con : (partial_map id tag) -> (partial_map id ty) -> context.
```

There are two selector functions tagcon and typecon to access the corresponding maps of a context and two update functions tag\_update and type\_update to update values.

Since the program is not part of the context, we need another way to make it accessible. One option are variables, which are introduced by writing Variable name: type. They can be used in place of a regular function argument, for example as shown in the predefined map function:

Even though A and B are not introduced as types in the signature, they can be used to parametrize lists. Likewise, f can be applied to arguments, despite the missing function argument map usually has. Although functions containing variables can be *defined* this way, they are only usable outside of the own section because variables have a type, but no value. Outside of the section all variables used in a definition are appended to its type, for example map: list A -> list B becomes forall A B : Type, (A -> B) -> list A -> list B

#### 4.3 Data types

Figure 4.2: Rules for being a data type

CuMin does not allow data type constructs containing functions, for example a list of functions. Instead, data types can be constructed only by combining base types, polymorphic variables and lists or pairs. There is no syntax for explicitly naming data types or

creating new constructors, therefore data types exist only as part of a function signature. As a result, it is necessary to always state the full type.

The inductively defined proposition  $is\_data\_type$  takes a context plus a type and returns a proposition, which can be proven using the provided rules if the type is indeed a data type. Coq allows notations to be announced before they are actually defined by adding Reserved to a notation. The definition is specified after the last rule, prefaced by where. The syntax used is  $\Gamma \vdash \tau \setminus is\_data\_type$ , which means that in the context  $\Gamma$  the type  $\tau$  is a data type.

Rules follow a common structure: First, all occurring variables need to be quantified. Then conditions can be stated, followed by an assignment of a type to an expression. The rules D\_Bool and D\_Nat simply state that basic types are data types. D\_Var requires type variables to have a star tag in order to be a data type, because as mentioned above, nested function types are not allowed. Lists and pairs are data types if their argument type(s) are data types.

## 4.4 Typing

Typing requires a set of rules that covers every valid expression and assigns corresponding types. The following inference rules [2] are composed of typing relations  $\Gamma \vdash e :: \tau$  that state the type  $\tau$  of an expression e in a context  $\Gamma$ . The notation  $\Gamma, e_1 :: \tau_1 \vdash e_2 :: \tau_2$  means that  $e_2$  can only be typed to  $\tau_2$  if  $\Gamma$  contains the information  $e_1 :: \tau_1$ . As mentioned in section 2.4, the premises of an inference rule above the line need to be fulfilled in order for to conclusion below to hold.

Figure 4.3: Typing rules for CuMin

The first row of rules holds unconditionally, basic expressions like boolean values and natural numbers have the type Bool and Nat respectively, there is also an empty list of every type. Variables can only be typed if there is an entry in the context that binds the variable to a type; these bindings are created in let and case expressions.

The second row begins with the application of two expressions, which requires the first one to have a functional type and the second term to match the function's argument type. The resulting type may be another function or a basic type, depending on the arity of the original function. A let construct binds a variable x to an expression  $e_1$ , which is used within the expression  $e_2$  and needs to be added to the context in order to type  $e_2$ . The row's last inference rule describes typing a function call with specific types  $\overline{\tau_m}$ . The program P needs to contain a matching function declaration with a list of quantified type variables  $\overline{\alpha_m}$ . For every  $\alpha_i$  the corresponding  $\tau_i$  needs to be a data type if their quantifier has a star tag, because we must ensure that these variables are replaced by non-functional types, which data types fulfill by definition. The type of a function call is represented by the expression  $\tau[\overline{\tau_m/\alpha_m}]$ , which is a type substitution of every occurrence of  $\alpha_i$  in  $\tau$  with  $\tau_i$ .

The third row contains arithmetic operations and constructors. Both + and  $\stackrel{\circ}{=}$  can only be applied to natural numbers, while the first returns a Nat and the latter a Bool. In aspect of constructors, pairs can be constructed from two expressions of arbitrary types  $\tau_1$  and  $\tau_2$ , the resulting type is a pair  $(\tau_1, \tau_2)$ . The list constructor Cons takes two expressions  $e_1$  and  $e_2$ , the first of which needs to be a head element of type  $\tau$  and the second a tail list of type  $[\tau]$ , which results in a list of  $\tau$ .

Case expressions work similarly but have type specific properties. The first argument has to be of the case's type, for example Bool for the boolean case expressions. Depending on the form of the term, the corresponding branch expression, all of which need to have the same type, is returned. The list case returns either  $e_1$ , if the list is empty, or  $e_2$  otherwise. In the latter case, bindings for the list's head and tail need to be added to the context in order to type  $e_2$ . This is also necessary in the pair case, however, since there is only one constructor, there is no choice of different terms to return. While this may be unusual for case expressions, the construct serves a purpose nevertheless: accessing a

pair's individual components. The last case expression for boolean values works like an if-then-else construct. Depending on the first argument, either  $e_1$  or  $e_2$  is returned.

Finally, there is a **failure** of every type, that can be returned in place of a value if the computation fails and an **anything** of every data type. The restriction to non-functional types is necessary, because functions are not enumerable.

TODO: Redundanzen entfernen

To implement the above rules, we begin by introducing an inductively defined proposition similar to  $\is_{\mathtt{data\_type}}$  with an additional argument. Since we want to assign types  $\tau$  to expressions e within a context  $\Gamma$ , we use a ternary proposition  $\mathtt{has\_type}$   $\Gamma$  e  $\tau$  that represents the typing relation  $\Gamma \vdash e :: \tau$  used above. Since :: is the cons constructor in Coq, we will use  $\in_{\mathtt{mas\_type}}$  in instead. Another detail is the usage of a Variable to represent the program. As mentioned earlier, variables are appended to a definition's type, that is,  $\mathtt{has\_type}$  has the type  $\mathtt{program} \to \mathtt{context} \to \mathtt{tm} \to \mathtt{ty} \to \mathtt{Prop}$ , although the below definition is missing the  $\mathtt{program}$ .

Supplying arguments to a function is limited to one at a time, hence we apply an expression  $e_2 :: \tau_1$  to a functional expression  $e_1 :: \tau_1 \to \tau_2$ . Because we supplied  $e_2$  with its first argument, the resulting type is the return type of the function, which may be of functional type itself, since a recursive definition is possible.

The tlet expression has three arguments: an ID that represents the variable bound to the expression  $e_1$  in  $e_2$ . Because we introduce a new variable that occurs in  $e_2$ , we need to update  $\Gamma$  to map the variable to the type of  $e_1$ , for instance the expression tlet (Id 0) 4 (tadd (tvar (Id 0) 8)) is only typeable if  $\Gamma$  (Id 0) = TNat.

There are case expressions for bools, lists and pairs. The boolean case works like a if-then-else construct that returns  $e_1$  if e is true and  $e_2$  otherwise. Both expressions must have the same type, since the case expression would otherwise have multiple types, depending on the condition. T\_CaseP is no usual case expression, because there is only one case,  $e_1$ . This construct is useful to access the first and second component of a pair by introducing variables, similar to the tlet expression. The last case expression works

with lists and returns e1 if e is the empty list. In case of a non-empty list, two variables for the list's head and tail are introduced and  $e_2$  is return. Since there are no possible variables in nil, this is only necessary for typing  $e_2$ .

Function specialization is the most complex rule, since it involves looking up the function's declaration in a program and checking the specialized type.

The lookup function uses the predefined find, which takes a boolean predicate plus a list and returns an optional of the first (and only, since functions are named uniquely) element that fulfills the predicate or None otherwise. An anonymous function is used to compare the function's ID to every entry's ID until a match is found. Because the search is not guaranteed to succeed, an option func\_decl is returned. At this point there are two options to handle variables: quantification or let expressions. Via forall quantified variables are easy to work with and allow limited pattern matching, for instance forall id fd, lookup\_func Prog id = Some fd -> . . . This definitions ensures that lookup\_func succeeds and binds the function declaration to fd, which saves us from using fromOption to extract the optional value.

The big disadvantage of this solution is the effort and redundancy arising from using quantified variables: If a variable is not found explicitly in the conclusion of a rule, that is, Gamma |- e \sum in T, it needs to be instantiated manually when applying the rule in a proof.\(^1\) This is especially cumbersome for function declarations, since this information is already contained in the program. Because of this limitation, we will use let instead, which is not as comfortable, but makes proofs significantly shorter.

The next step is to specialize the function with specialize\_func fd tys = Some T. It takes a function declaration plus a list of types and checks if the length of the list of quantified type variables in the function declaration matches the length of the provided type list. Subsequently the substitution begins: for every pair  $(\forall^t \alpha_i, \tau_i)$  the type variable  $\alpha_i$  is replaced with  $\tau_i$  in the function type  $\tau$ . The substitution itself is a recursive function that takes an ID, a replacement type  $\tau$  and the type  $\tau'$ . Basic types and type variables with a different ID in  $\tau'$  remain unchanged. If the ID of a type variable matches the

<sup>&</sup>lt;sup>1</sup>This is actually not completely true, there are automated tactics that are able to infer this information, as shown in section 4.6

provided ID, it is replaced by  $\tau$ . Because types are nested structures, the substitution of functions, lists and pairs is recursively applied to the argument types. Technically, this can produce invalid substitutions, since matching variables are replaced, regardless of their tag. Hence, this requirement is checked by the next premise.

The last condition of the rule is Forall (is\_data\_type Gamma) (fd\_to\_star\_tys fd tys). We need to check that every type in tys is a data type if its quantifier has a star tag, fd\_to\_star\_tys returns these types from a function declaration and a list of types. To check the data type property, we use Forall with is\_data\_type Gamma, that is, a function ty -> Prop. Every element of the type list is applied to the property by Forall; the returned propositions need do be proven when using this rule.

One last technicality needs to be mentioned: Coq does not allow notations in a section, such as used with has\_type, to be exported. While modules allow this, they do not have the same semantics regarding variables, hence we need to use both in combination to circumvent this issue. Additionally, notations defined in modules cannot be exported unless they are part of a scope. Scopes are a list of notations and their interpretations, which can be named and imported.

```
Module TypingNotation.
Notation "Prog > Gamma '|-' t '\in' T" := (has_type Prog Gamma t T)
  (at level 40) : typing_scope.
End TypingNotation.
```

This combination of sections, modules and scopes works the following way: The module is outside of has\_type's section, thus has\_type has an additional program argument. A new notation similar to the original one is defined, supplemented by a program parameter, followed by the definition of a typing\_scope. The notation can now be imported by writing Import TypingNotation. Open Scope typing\_scope.

This concludes the segment about typing rules in CuMin. The following section will demonstrate the usage in proofs based on a few examples.

#### 4.5 Examples

The first example proves that let x = 0 in 1 + x is a natural number. Because the outermost term is a let expression, we need to apply the corresponding rule.  $T_Let$  has quantified variables for both expressions, their types and the variable ID. As shown in

Figure 4.4, all variables can be matched to a part of the expression, except for T1, that is, the type of  $e_1$ .

Figure 4.4: Matching quantified variables with arguments

The application of T\_Let needs manually specified arguments, because T1 is not explicitly stated in the expression. Since tzero is of type TNat, we can tell Coq to assume the type of T1 by writing with (T1 := TNat) behind the tactic. In the following proof we need to show that 0, 1 and the addition 1 + x are TNats, which is mostly done by applying the corresponding rules. Since T\_Let adds a binding of x (Id 5 in Coq) to the type of  $e_1$  to the context, we can apply T\_Var successfully in the context type\_update empty (Id 5) TNat.

The next example demonstrates the application app =  $(\text{union}_{[Nat]} u) v$  of two variables to the function union. We do not consider the creation of the variable bindings, they are assumed to be natural numbers, that is,  $\Gamma, u :: \text{Nat}, v :: \text{Nat}, t_{\alpha} = * \vdash \text{app} :: \text{Nat}$ .

```
union :: \forall^* \alpha.(\alpha \to (\alpha \to \alpha))
union x y = \text{case anything}_{Bool} \text{ of } \langle \text{True} \to x; \text{False} \to y \rangle
```

The function union is comparable to Curry's? operator, since anything  $B_{ool}$  can be either True or False; the boolean case expression becomes a non-deterministic choice between both arguments. Now we want to prove that applying two Nat expressions to union results in a natural number.

Proofs can be structured using bullet points, which makes it easier to follow the reasoning in writing. Valid options are: +, -, \* or a concatenation of up to 3 of the same symbols

listed. When a rule generates multiple subgoals, every subgoal needs to be marked using the same bullet point.

TODO: Am Anfang einführen?

We begin by applying T\_App twice, since we supply two arguments to the function. In both cases we need to specify T1, that is, the type of the argument applied, for the same reason as in the previous example. The resulting subgoal list has three entries: the specialization of union (with ID 1 in Coq) needs to match both argument's types and the variables must be bound to the correct types in the context.

```
______(1/3)
prog > cntxt |- tfun (Id 1) [TNat] \( \sum_{in} \) TFun TNat (TFun TNat TNat)
______(2/3)
prog > cntxt |- tvar (Id 3) \( \sum_{in} \) TNat
______(3/3)
prog > cntxt |- tvar (Id 4) \( \sum_{in} \) TNat
```

The first subgoal is a tfun expression, hence we use T\_Fun and get two additional subgoals to prove. The first goal states that union's specialized type needs to match the arguments. The specialized type is computed by looking up the declaration in the program, removing the option from the result and substituting  $\alpha$  with TNat in the function type. Since only computable functions are used in this goal, reflexivity solves this directly.

```
specialize_func (fromOption default_fd (lookup_func prog (Id 1))) [TNat] =
Some (TFun TNat (TFun TNat TNat))
_____(2/2)
Forall (is_data_type cntxt)
(fd_to_star_tys (fromOption default_fd (lookup_func prog (Id 1))) [TNat])
```

The second subgoal requires all argument types to be data types if the corresponding quantifier has a star tag. Since this is true for  $\alpha$ , we need to prove that TNat is a data type, which is shown by applying D\_Nat. We complete the first \*-subgoal with Forall\_nil and have two subgoals regarding variables left. Since we assumed these variables to be bound in the context initially, applying T\_Var and reflexivity finishes the proof.

## 4.6 Automated proofs

The examples shown in the previous section have a common structure: They all work with has\_type propositions and can be proven by applying rules of the inductive definition. A tactic that proves an arbitrary has\_type expression would need to try every rule, but would find a match eventually. Unfortunately this is not sufficient, because we already saw that some variables cannot be instantiated from the supplied expression and therefore require the manual definition of the regarding variables.

Reconsidering the last example, one may notice the following: It is possible to infer the missing types of the arguments because the specialized function type also contains the arguments. The usage of apply entails that all variables need to be instantiated immediately, even if the information may appear later. We need a way to postpone assigning values to variables until it is necessary – the tactic eapply does precisely this.

```
prog > cntxt |- tfun (Id 1) [TNat] \( \sin \) in TFun ?T10 (TFun ?T1 TNat) \\
prog > cntxt |- tvar (Id 3) \( \sin \) in ?T10 \\
prog > cntxt |- tvar (Id 4) \( \sin \) in ?T1
```

Using eapply replaces unknown values with variables, indicated by a question mark, without the need for manual input. When we apply T\_Fun, the type TFun ?T10 (TFun ?T1 TNat) is matched with TFun TNat (TFun TNat TNat), that is, the specialized function type. Consequently, all necessary information was inferred automatically.

The tactic constructor tests every constructor of an inductive type, which works for inductively defined propositions. To automate the entire proof, we need to combine both ideas: A tactic that tries every rule and replaces unknown values with variables until they are known – econstructor. This is not just a combination of 'e' and constructor, but an existing, powerful tactic. It is possible to prove the example by using econstructor eleven times, but luckily there is the tactic repeat t that applies the supplied tactic t to every subgoal and recursively to every additional generated subgoal until it fails or there is no more progress.

```
Example t7a : prog > cntxt |- app1 in TNat.

Proof.

repeat econstructor.

Qed.
```

This results in a fully automated, single-line proof and shows a small part of the powerful tactics Coq offers.

Summarizing the last chapter, we began by transferring the formal definition of CuMin's syntax to Coq, followed by the definition of a context that contains variable bindings and tag information to enable typing of expressions. We used inductively defined propositions to describe data types and created a proposition that maps expressions to types with respect to a context. In the final sections we proved some examples and had a more detailed look at how proofs in Coq work and how they can be automated.

In the following chapter we will follow the same procedure with FlatCurry, omitting the identical parts and focusing on the differences. Furthermore, we will look into transferring Curry programs to Coq and real world applications.

# 5 FlatCurry

FlatCurry is a flat representation of Curry code that enables meta-programming, that is, the transformation of Curry programs in Curry.[1] Since we want to reason about Curry programs in Coq, the respective module FlatCurry. Types will be the foundation of the Coq implementation. The generation of FlatCurry code involves two transformations: Firstly, lambda lifting is applied, that is, local function definitions, for example introduced by where or let clauses, are replaced by top-level definitions. Secondly, pattern matching is substituted by case and or expressions, the latter in case of overlapping patterns.

TODO: Kapitelüberblick

#### 5.1 Syntax

The syntax we use in Coq is similar to the Curry code<sup>1</sup>, hence we discuss the Coq implementation only. Generally, Curry allows data types and constructors to have identical names, which is not possible in Coq; likewise Type is a reserved keyword, as we have seen multiple times.

There are three type synonyms that identify variables and other names, for example functions:

- VarIndex: Variables in expressions are represented by a nat.
- TVarIndex: Type variables in type expressions are also represented by a **nat** but have a different name. The distinction between the two variable types is useful to prevent mistakes.
- QName: A qualified name is a pair of strings: the module name and the name the function, data type, etc. Thus, the same name can occur in multiple modules and still be uniquely addressable.

We will discuss the relevant elements of the FlatCurry syntax in a top-down approach, beginning with a program. Besides its name, a program contains a list of imports and lists for type, function and operator declarations. Imports need to be handled manually when working with multiple modules.

Nutzung

TODO: Verlinkung

praktische

Types in FlatCurry are more abstract compared to CuMin, but there are similar elements: Type variables and function types are almost the same, except the index of the variable.

<sup>&</sup>lt;sup>1</sup>https://www-ps.informatik.uni-kiel.de/kics2/lib/FlatCurry.Types.html

We do not distinguish types and data types as we did before, therefore there is no need for an is\_data\_type property or a context that maps types to tags.

#### TODO: Typvari-

```
Inductive TypeExpr : Type :=
           : TVarIndex -> TypeExpr
  | TVar
  | FuncType : TypeExpr -> TypeExpr
                                         -> TypeExpr
                        -> list TypeExpr -> TypeExpr.
            : QName
```

able durch Funktion ersetzbar?

The big difference is the absence of explicit types, for example Nat or Bool. Every type is represented by a TCons construct, which consists of a qualified name and a list of types. The latter contains type parameters, for example the expression Left 42 has the type Either Int a, which is represented in FlatCurry by the qualified name ("Prelude", "Either") and a list containing Int plus a type variable. Base types like Int do not have type parameters, hence the list is empty.

```
(TCons ("Prelude", "Either") [(TCons ("Prelude", "Int") [] ), (TVar 0)])
```

The next construct are declarations of functions, types and constructors. They are identified by qualified names and have a visibility, which determines if the declaration is visible when the module is imported in another program. A function's arity, that is, the number of arguments, is represented by a natural number; this information is useful when working with partial function applications. It is followed by the function's type, represented by a TypeExpr. Lastly, rules encapsulate a list of variables and an expression, which is similar to CuMin's syntax, except for the possibility to state multiple rules instead of one.

```
Inductive FuncDecl : Type :=
  | Func : QName -> nat -> Visibility -> TypeExpr -> TRule -> FuncDecl.
Inductive TypeDecl : Type :=
          : QName -> Visibility -> list TVarIndex -> list ConsDecl -> TypeDecl
  | TypeSyn : QName -> Visibility -> list TVarIndex -> TypeExpr
                                                                    -> TypeDecl.
Inductive ConsDecl : Type :=
  | Cons : QName -> nat -> Visibility -> list TypeExpr -> ConsDecl.
```

Type declarations are a new construct since it is not possible to define data types in CuMin. There are two constructors: type synonyms, for example type IntL = [Int], and constructor to create new types. While both have a list of type variables, the synonym takes a type expression and the new type a list of constructor declarations.

```
data BTree a = Leaf | Branch a (BTree a) (BTree a)
Typec ("MyProg","BTree") Public [0]
[(Cons ("MyProg", "Leaf") 0 Public [] ), (Cons ("MyProg", "Branch") 3 Public
                                            [(TVar 0),
                                             (TCons ("MyProg", "BTree") [(TVar 0)] ),
                                             (TCons ("MyProg", "BTree") [(TVar 0)])])
```

The definition of binary trees has a type variable a that is represented by the number nil. The list of constructor declarations contains Leaf, a constructor without any arguments, and a constructor Branch that takes a decoration of type a and two subtrees, both of type BTree a.

FlatCurry expressions share some common elements with the CuMin definition: There are expressions for variables and literals, let and case constructs, the application of functions and a way to express nondeterminism. Nevertheless, most expressions are more abstract and require more complex typing rules, for example, there is only one generic case expression instead of a specific definition for every type.

Lit Literals can be integers, characters or floating point numbers. While there is a nat type in Coq, the other types cannot be represented that easily. The module Ascii contains data structures and notations for characters, but it is not possible to use escaped symbols like \n without converting them to a decimal, three digit representation, in this case 010. Similarly, the module Reals can represent floats, but the dot notation that FlatCurry uses (for example 1.2) is not available, instead numbers need to be written as fractions. Because we are mainly interested in typing expressions, we avoid this problem by using strings to represent chars and floats. That is not to say that an accurate representation is not feasible, but rather that it adds little value in the context of typing expressions.

**Comb** The application of functions and constructors is represented by Comb. Its first argument is a CombType, which is either a function/constructor call with all arguments provided (FuncCall/ConsCall) or a partial call (FuncPartCall/ConsPartCall). The latter has an integer value that is the number of missing arguments. The other arguments of Comb are a qualified name of a function or constructor and a list of expressions, that it is applied to.

The application of map to double and [1] results in a complete function call of map, since all necessary arguments have been provided. Because double is missing its argument,

#### TODO:

Kann man Paragraphüberschriften benutzen?

#### 5 FlatCurry

the function call is partial with one argument remaining. The list [1] is represented by the application of **cons** to the integer literal 1 and the empty list, which is a constructor without arguments.

**Let** While CuMin allows only one binding in a let expression, this limitation is not present in FlatCurry. Multiple bindings are represented by a list of (VarIndex, Expr) pairs that bind a variable to an expression, the last argument is the expression that the bindings occur in. The expression let x = y + 1, y = z + 2, z = 3 in x + y results in the following code:

FlatCurry misses unnecessary variables and bindings are sorted hierarchically, that is, if a variable occurs in another binding, its position in the list is ahead of the binding.

#### Case

- 5.2 Context
- 5.3 Typing
- 5.4 Examples
- 5.5 Conversion of FlatCurry to Coq

# 6 Conclusion

# Bibliography

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