

Modeling Thermoregulation in Neonates

Introduction

Neonates, especially those born prematurely, struggle to regulate their body temperature. This happens for several reasons: their bodies have a high surface-area-to-volume ratio, their skin is thin, they have little subcutaneous fat, and their thermoregulatory system isn't fully developed. If their temperature drops too low (hypothermia), they can burn through energy too quickly, experience metabolic stress, develop respiratory issues, or, in severe cases, face life-threatening complications. On the other hand, if they overheat (hyperthermia), they risk dehydration and other health problems. Keeping newborns at a stable temperature is a top priority in NICUs to help improve their survival chances and long-term health.

The way newborns gain and lose heat follows basic principles of heat transfer—conduction, convection, radiation, and evaporation. Medical interventions like incubators, radiant warmers, and skin-to-skin contact (kangaroo care) help manage these heat exchanges to keep babies warm.

Mathematical Approach

My work involves mathematically modeling how a newborn baby's body temperature changes. I'm using the idea of heat balance, looking at how much heat the baby produces and how much they lose to their surroundings. By considering factors like metabolism and heat transfer through the air, surfaces, and evaporation, I've developed an equation. This equation helps predict the rate at which the baby's temperature goes up or down over time, taking into account both their own heat production and the environment they're in.

Heat Balance

To understand and predict how an infant's body temperature changes over time, we can use a heat balance model based on the principles of heat transfer.

The heat balance equation describes how the body gains and loses heat.

M = metabolic heat production

W = work done (external work is probably minimal/negligible in newborns)

R = heat transfer by radiation

C = heat transfer by convection

E = evaporative heat loss

L = warming and wetting of air which is inhaled and then exhaled (could be included under E)

K = heat transfer by conduction

S = heat storage in the body

Heat balance exists when

$$M - W = R + C + E + L + K + S$$

See:

<https://ergo.human.cornell.edu/studentdownloads/DEA3500notes/Thermal/thregnotes.html>

M (Metabolic heat production)

Newborns generate heat through non-shivering thermogenesis:

- Heat is produced by metabolism of brown fat
- Thermal receptors transmit impulses of the hypothalamus, which stimulate the sympathetic nervous system and causes norepinephrine release in brown fat
- Norepinephrine in brown fat activates lipase, which results in lipolysis and fatty acid oxidation
- This chemical process generates heat by releasing energy produced instead of storing it as ATP

See:

http://www.cmnrp.ca/uploads/documents/Newborn_Thermoregulation_SLM_2013_06.pdf

R (Radiative Heat Loss and Gain)

Radiation is the exchange of infrared heat between the neonate and surrounding surfaces. It is modeled using the Stefan-Boltzmann law.

See: <https://courses.lumenlearning.com/suny\\-physics/chapter/14\\-7\\-radiation/\\#::~:~:text=The rate of heat transfer by emitted radiation is determined,its absolute temperature in kelvin and https://www.sciencedirect.com/topics/engineering/radiative\\-heat\\-loss>

C (Convective Heat Loss)

Convective heat transfer occurs when heat is lost to the surrounding air. This is influenced by air movement and temperature gradients.

A calculator and equations can be found here:

https://www.engineeringtoolbox.com/convective\\-heat\\-transfer\\-d_430.html

K (Conductive Heat Transfer)

Conduction occurs when the neonate is in direct contact with another surface (like their mattress).

A calculator for conductive heat transfer with equations found here:

https://www.engineeringtoolbox.com/conductive\\-heat\\-transfer\\-d_428.html

E/L (Evaporative and Respiratory Heat Loss)

Evaporation accounts for heat loss from the skin (sweating) and respiratory tract (exhalation). There are not many sources on how to mathematically model model this in humans.

A differential equation for neonatal temperature regulation can be derived from the first law of thermodynamics (principle of energy conservation) applied to heat transfer. This derivation would be based on the heat balance equation.

First Law of Thermodynamics (applied to the human body)

- The rate of change of stored heat in the body (S) is equal to heat production (M) minus heat loss (R, C, K, E, L):

$$S = M - (R + C + K + E + L)$$

- Heat storage can be expressed using the heat capacity equation:

$$S = mc_b \frac{dT_b}{dt}$$

where c_b represents the specific heat of the newborn body, and T_b represents the temperature of the newborn body. The formula describes the rate of change of the body temperature (T_b) over time.

- Combining these we get:

$$mc_b \frac{dT_b}{dt} = M - (R + C + K + E + L)$$

See: <https://www.toppr.com/guides/physics/-formulas/heat/-capacity/-formula/>

Model Development

We need to redefine M (metabolic heat production) as a function of T_b (the neonate's body temp). Simply, we can model this as:

$$M = T - T_b$$

Where T is the desired body temperature (a setpoint temp), T_b is the actual body temperature, and M represents metabolic heat production.

This means that when T_b is below T , M is positive, which would reflect the body's attempt to increase heat production.

Updated Model:

The general heat balance equation (from above) is:

$$mc_b \frac{dT_b}{dt} = M - (R + C + K + E + L)$$

We can then substitute $M = T - T_b$

$$mc_b \frac{dT_b}{dt} = (T - T_b) - (R + C + K + E + L)$$

Simplifications

We can assume that total heat loss ($R + C + K + E + L$) is proportional to $T_b - T_e$, where T_e is the environmental temperature.

$$(R + C + K + E + L) = k(T_b - T_e)$$

where k is a constant (ratio) that depends on heat dissipation properties.

Then, our equation would become:

$$mc_b \frac{dT_b}{dt} = (T - T_b) - k(T_b - T_e)$$

After some rearranging:

$$mc_b \frac{dT_b}{dt} + T_b(1 + k) = T + kT_e$$

This is a **First Order Differential Equation**. This would model the rate of change of the neonates body temperature over time and accounts for metabolic heat production and heat loss to the environment.

Enhanced Model with Proportional and Integral Control (Implemented for Graphing):

I implemented a model that incorporates proportional and integral control elements in addition to the heat exchange with the environment. In this enhanced model, the rate of change of the neonate's body temperature is determined by:

$$\frac{dT_b}{dt} = K_p(T_B - T_b) + K_i \int (T_B - T_b)dt + \alpha_i(T_b - T_e)$$

Here:

- $K_p(T_B - T_b)$ represents the proportional control, where the corrective action is proportional to the current temperature error.
- $K_i \int (T_B - T_b)dt$ represents the integral control, which accounts for the accumulated temperature error over time to eliminate steady-state deviations.
- $\alpha_i(T_b - T_e)$ represents the heat exchange with the environment, proportional to the temperature difference.

Project Implementation

This code simulates how a newborn's body temperature changes over time using a mathematical model. We start by setting up key parameters like desired body temperature (37 degrees Celsius), environmental temperature (35 degrees Celsius, typical for an incubator), and an initial body temperature (35 degrees Celsius). It also defines constants that influence how quickly the temperature changes. The simulation then runs through many small time steps, calculating the rate of temperature change at each step based on the current body temperature and the environment. This rate of change is then used to update the body temperature for the next step. Throughout this process, the code keeps track of the time and the corresponding body temperature. Finally, it generates a plot to visualize how the baby's body temperature evolves over the simulated time period.

```
In [ ]: #define params
K_p = 0.1
K_i = 0.1
alpha_i = 0.01
T_B = 37
T_e = 35 #incubators are typically set at 35 deg celsius // near ideal body
```

```

T_b0 = 35 #initial body temperature
I0 = 0    #initial integral term
delta_t = 0.001 #time step
n_steps = 100000 #number of iterations

#initialize vars
T_b = T_b0
I = I0
time_values = []
T_b_values = []

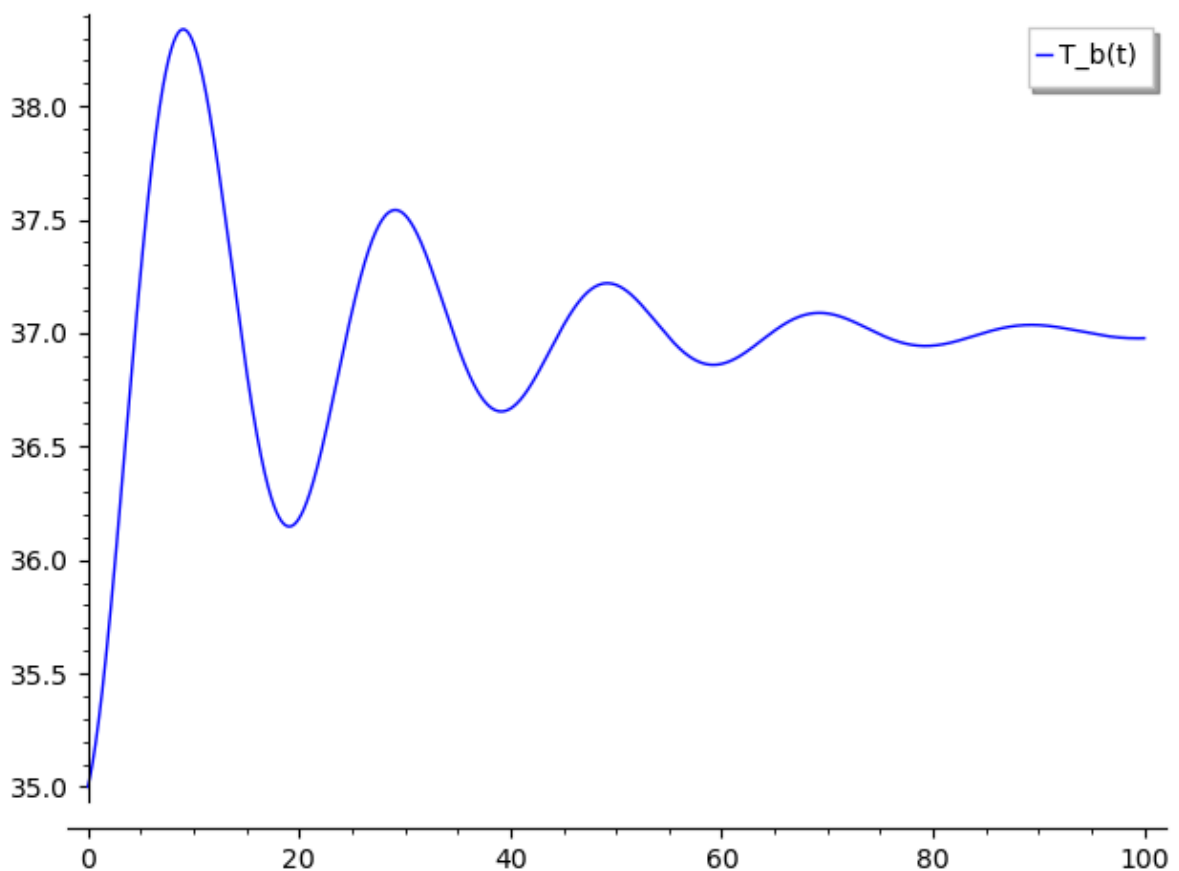
#iterate using eulers method
for i in range(n_steps):
    t = i * delta_t
    dT_b_dt = K_p * (T_B - T_b) + K_i * I + alpha_i * (T_b - T_e)
    T_b += delta_t * dT_b_dt
    I += delta_t * (T_B - T_b)

    time_values.append(t)
    T_b_values.append(T_b)

#plot the results
plot_sol = line(zip(time_values, T_b_values), legend_label="T_b(t)")
show(plot_sol)

```

Out[]:

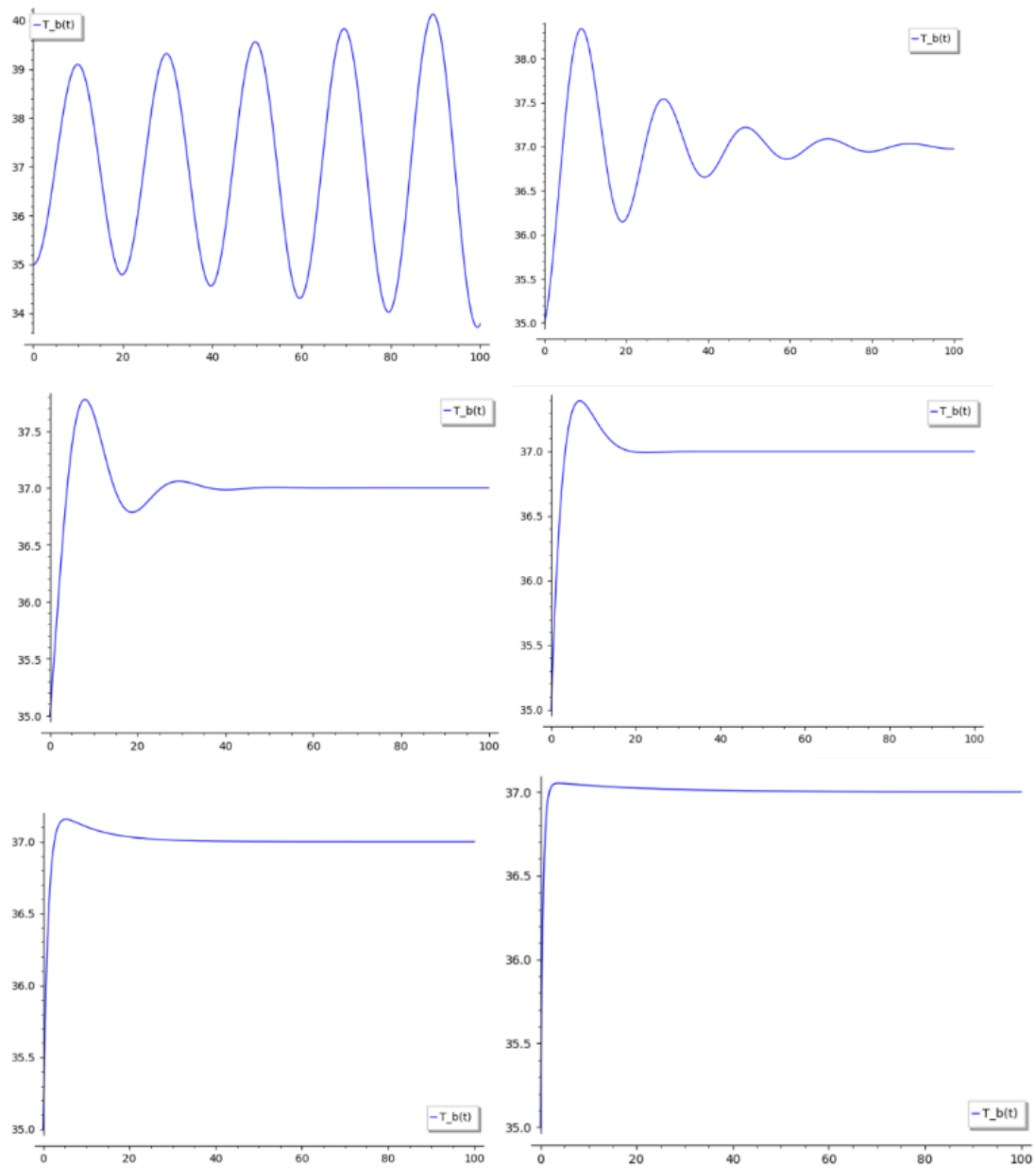


Data Analysis

K_p : Proportional Gain

Increasing/decreasing K_p impacts stability and speed of convergence

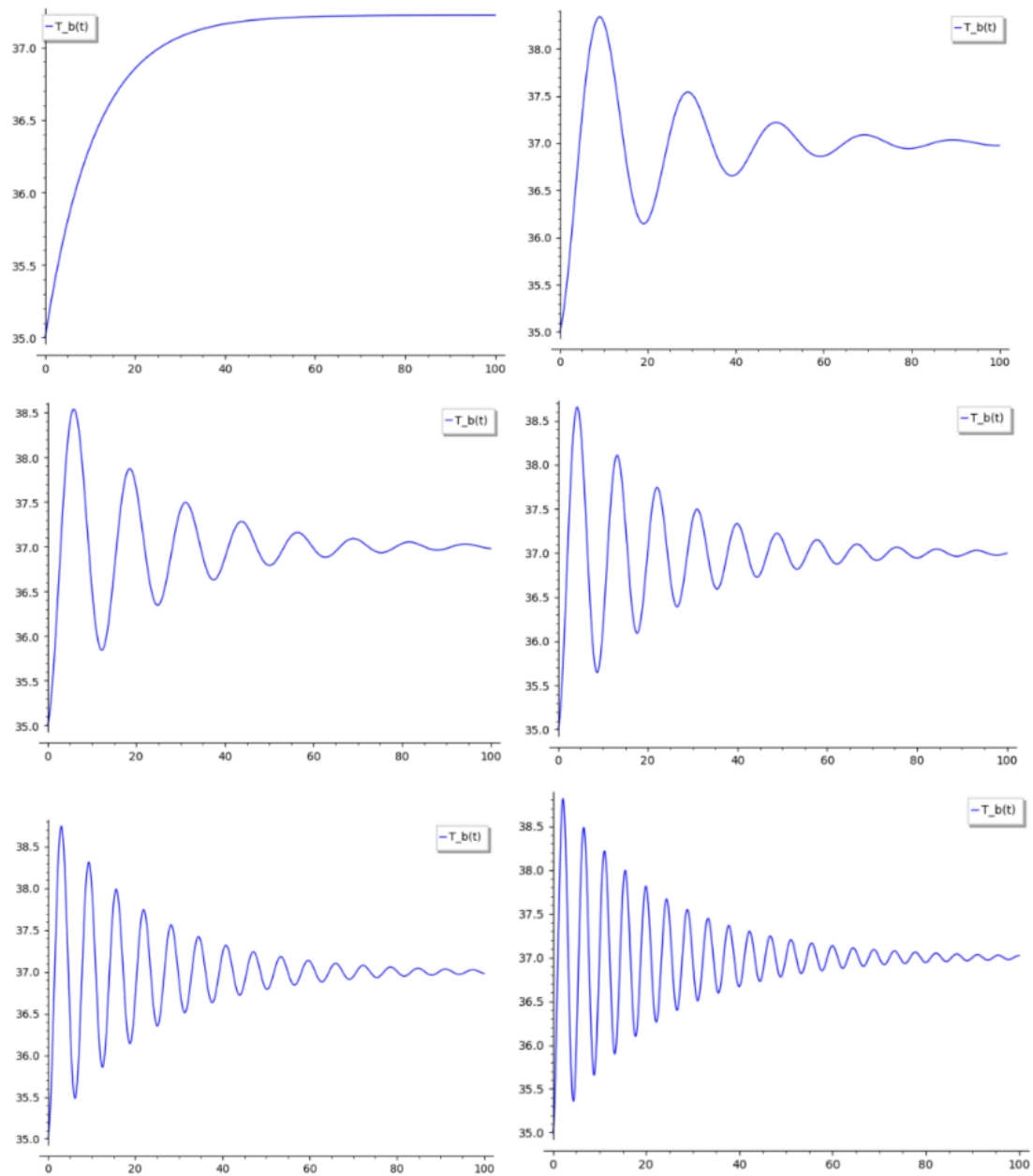
[0, 0.01, 0.25, 0.05, 1, 2]



K_i: integral gain

If K_i is too high, it can lead to overshooting and instability; too low and the body may not fully return to T_B

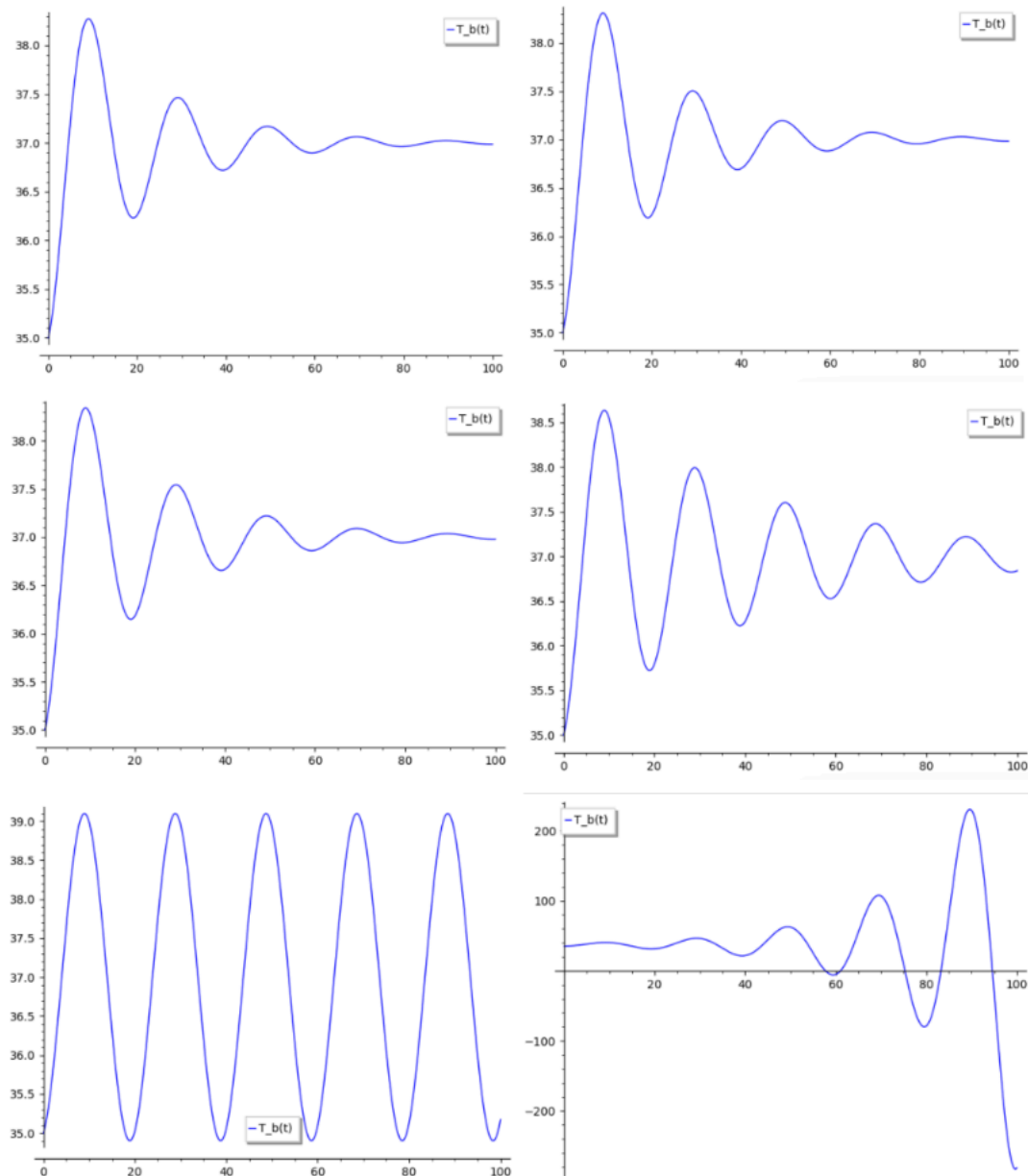
[0, 0.1, 0.25, 0.5, 1, 2]



Alpha_i: Heat Exchange Coefficient

A larger α_i would mean stronger environmental influence. Would be relevant when comparing well-insulated vs. exposed conditions. Behavior changes drastically as you increase α_i above 0.25, as shown in the graphs below:

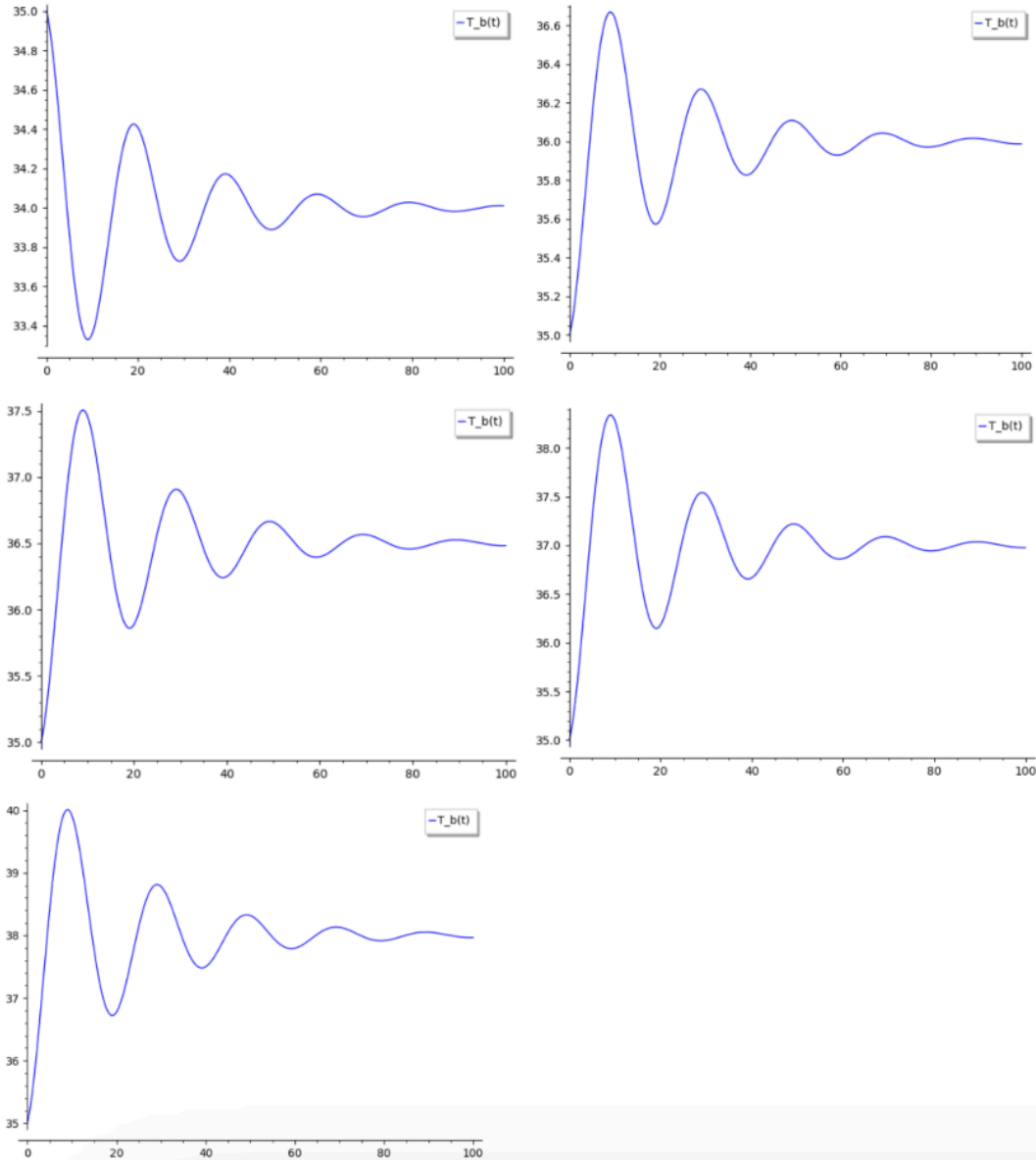
[0, 0.005, 0.01, 0.05, 0.1, 0.2]



T_B: Target body temperature. Clinically, this should be between 36°C and 37.5°C.

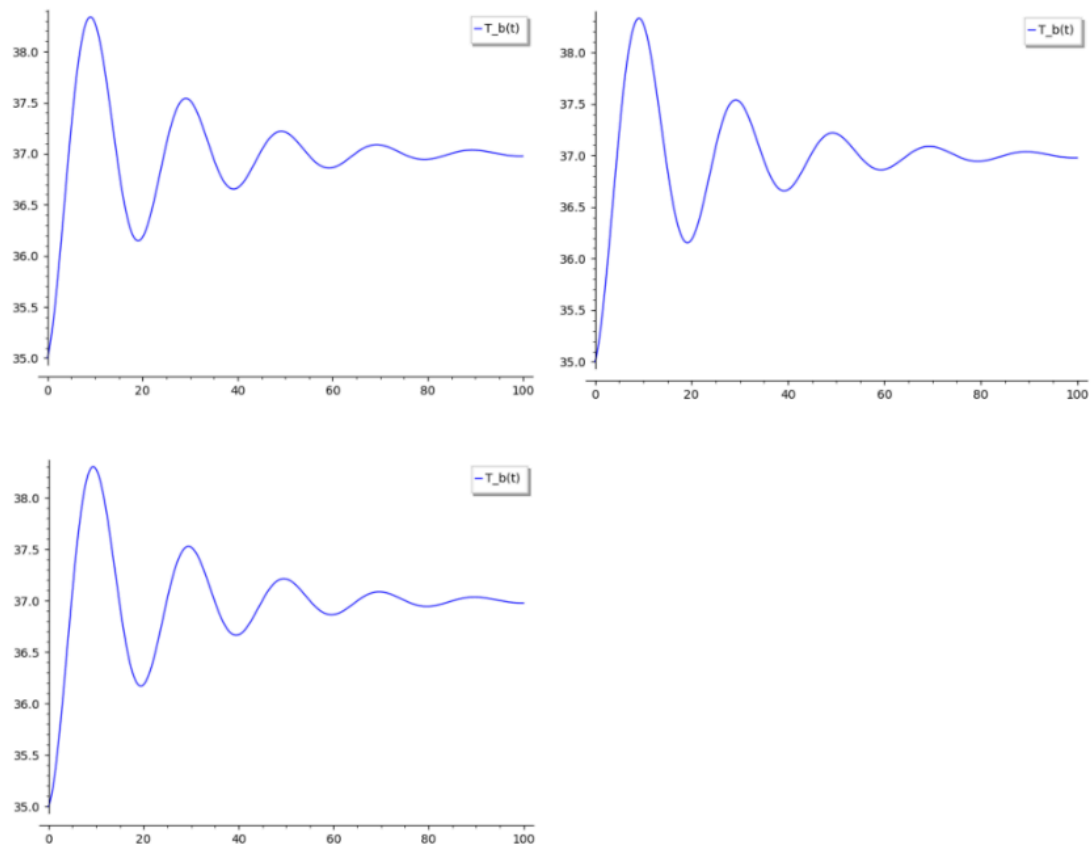
- T_B determines where the horizontal asymptote lies. If T_B is above the initial body temperature, the newborn will work to decrease body temperature (seen in figure one) initially, and then overshoot, and then oscillate around the asymptote. The opposite happens if the ideal/target body temperature is above the initial body temperature

[34, 36, 36.5, 37, 38]



T_e: Environmental Temperature

Baseline temperature of the incubator, the room, or body heat from a caregiver during kangaroo care. Incubators are typically set around 35°C. During Kangaroo Care, the temperature would more likely be about 36.5°C. These are modeled below. An extreme temperature, 42°C, is modeled third.



If $T_B = T_e = T_{b0}$, the model is constant at the value these are equal to. This is shown below.

```
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K_i = 0.1
alpha_i = 0.01
T_B = 37
T_e = 37 #incubators are typically set at 35 deg celsius // near ideal body
T_b0 = 37 #initial body temperature
I0 = 0 #initial integral term
delta_t = 0.001 #time step
n_steps = 100000 #number of iterations

#initialize vars
T_b = T_b0
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time_values = []
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#iterate using eulers method
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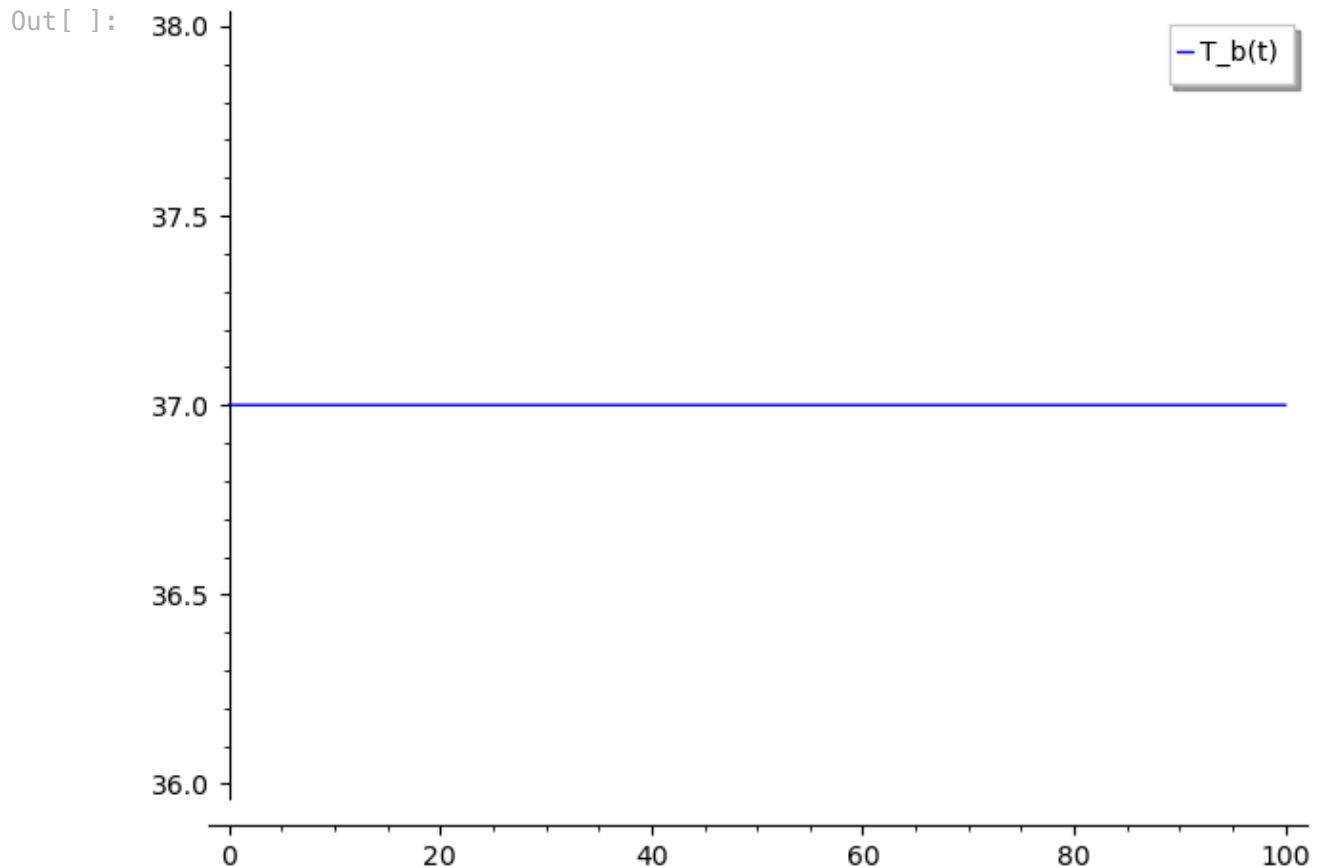
```

for i in range(n_steps):
    t = i * delta_t
    dT_b_dt = K_p * (T_B - T_b) + K_i * I + alpha_i * (T_b - T_e)
    T_b += delta_t * dT_b_dt
    I += delta_t * (T_B - T_b)

    time_values.append(t)
    T_b_values.append(T_b)

#plot the results
plot_sol = line(zip(time_values, T_b_values), legend_label="T_b(t)")
show(plot_sol)

```



Conclusion

This model provides a framework for understanding the complexity of factors that influence a newborn's body temperature. By applying the principles of heat transfer and thermodynamics, we derived a differential equation that serves as a tool for predicting temperature changes in response to metabolic heat production and environmental conditions. This approach can be used to further investigate optimal environmental conditions, like incubator settings, and inform interventions for neonates at risk of temperature instability.

In [0]: