



南方科技大学
SOUTHERN UNIVERSITY OF SCIENCE AND TECHNOLOGY

Brain Intelligence and Artificial Intelligence

人脑智能与机器智能

Lecture 2 – Visual System

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Lecture 1 Recap

1. BI inspires AI.
 - I. Biological neuron → Artificial neuron
 - II. Animal vision → CNN
2. AI helps understand BI.
 - I. Neural / Behavioral data analysis
 - II. Explain the necessity of observed brain structures or functions
 - III. Resemblance between BI and AI
3. Levels/scales: molecular, synapse, neurons, networks, maps, system, CNS
4. Learning in the brain vs Learning in AI

Reverse-engineer the brain

to understand the design principles of brain

The **Marr's** three levels of explanation

top-down

Level 1: Computation theory

- What is the problem to be solved?
- What are the inputs and outputs to the computation?
- What is the goal, and what is the logic by which it is carried out?

Level 2: Algorithmic

- How is the information represented and processed to achieve the computational goal?

Level 3: Implementation

- How is the computation realized in physical or biological hardware?

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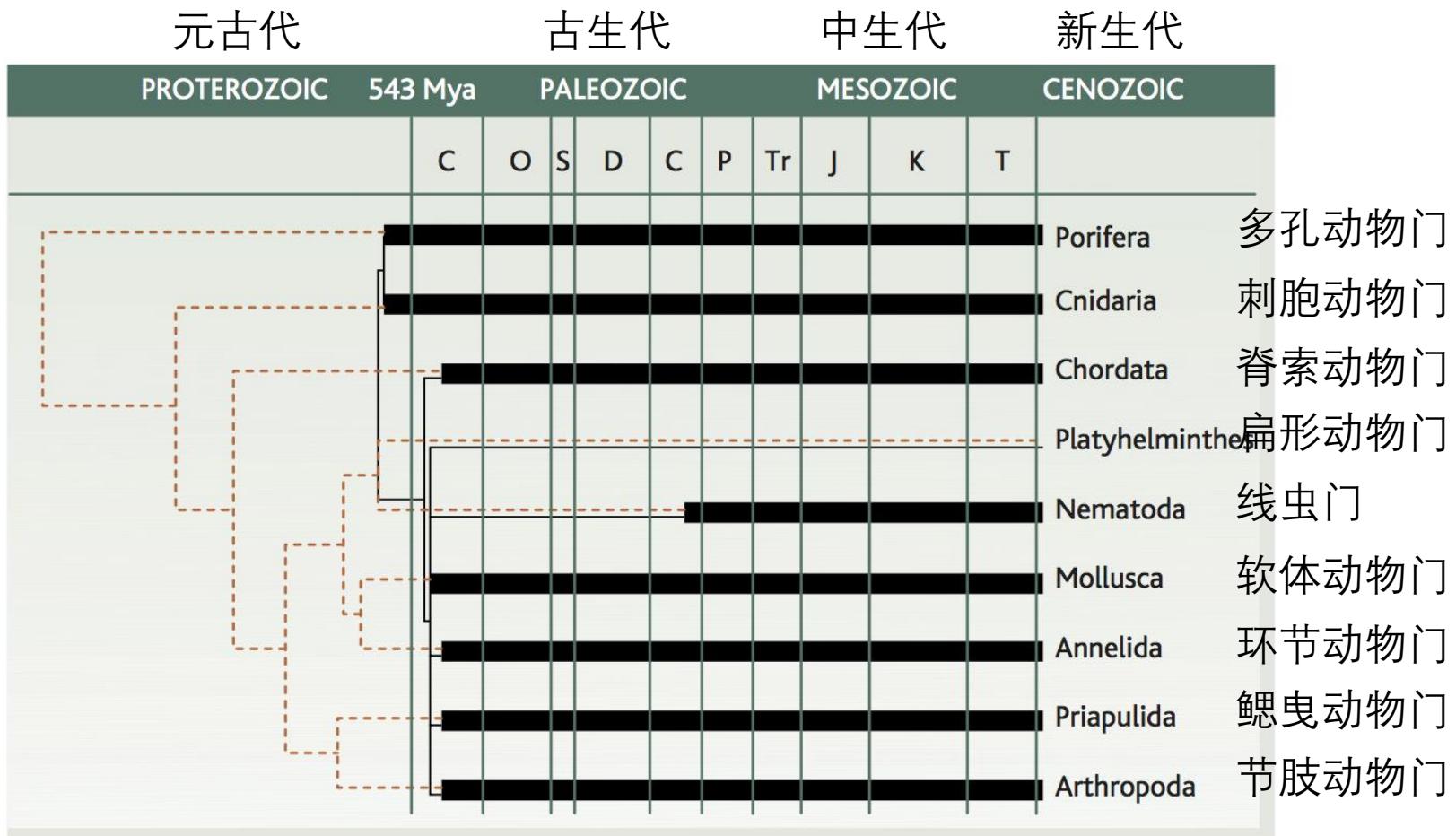
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Bottom-up

Lecture 2 – Visual System

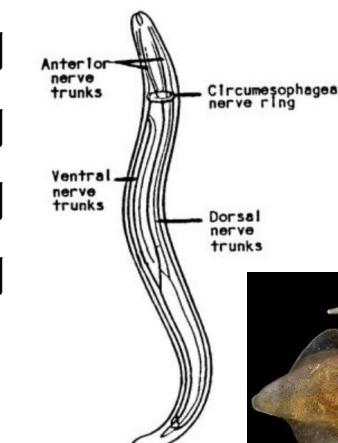
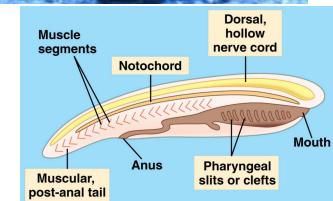
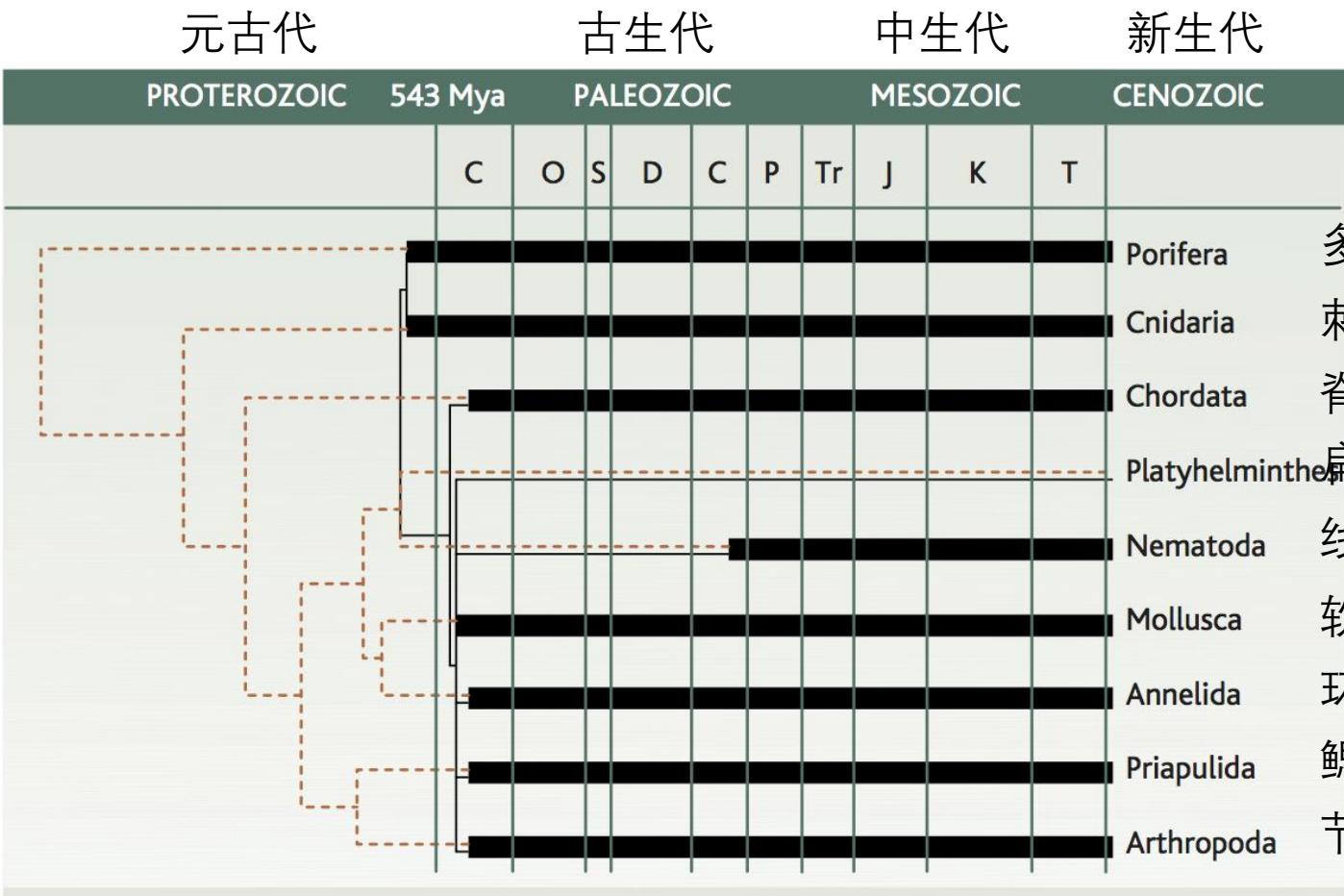
0. Marr's three levels of explanation
1. Evolution of the eye
2. Function of the visual system
3. Structure of the eye
4. Photoreceptors
5. Information integration by ganglion cell
6. **Visual pathways**: photoreceptors, interneurons, ganglion cells, LGN, V1, ventral/dorsal streams...
7. Some discussions about **BI & AI in visual system**

Animal Evolution



Jermain, Poladian, Charleston (2005). Is the "Big Bang" in Animal Evolution Real? *Science* 影-AI&BI 2022

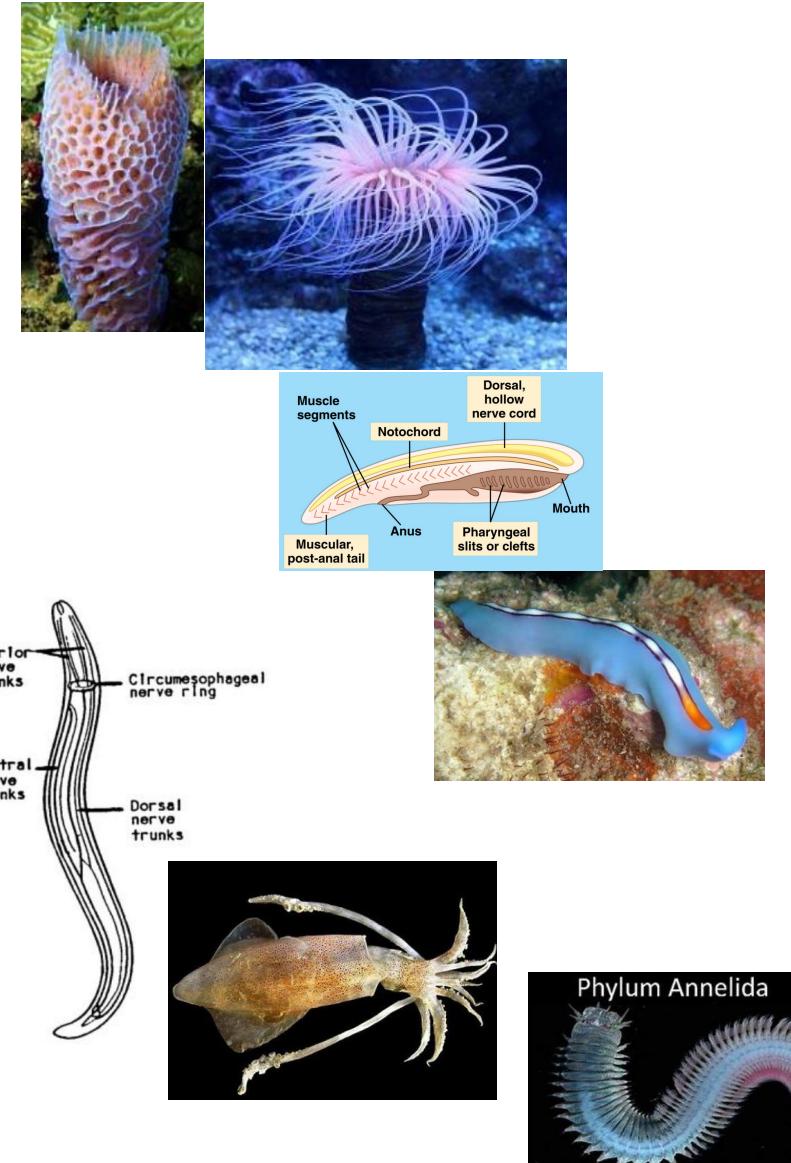
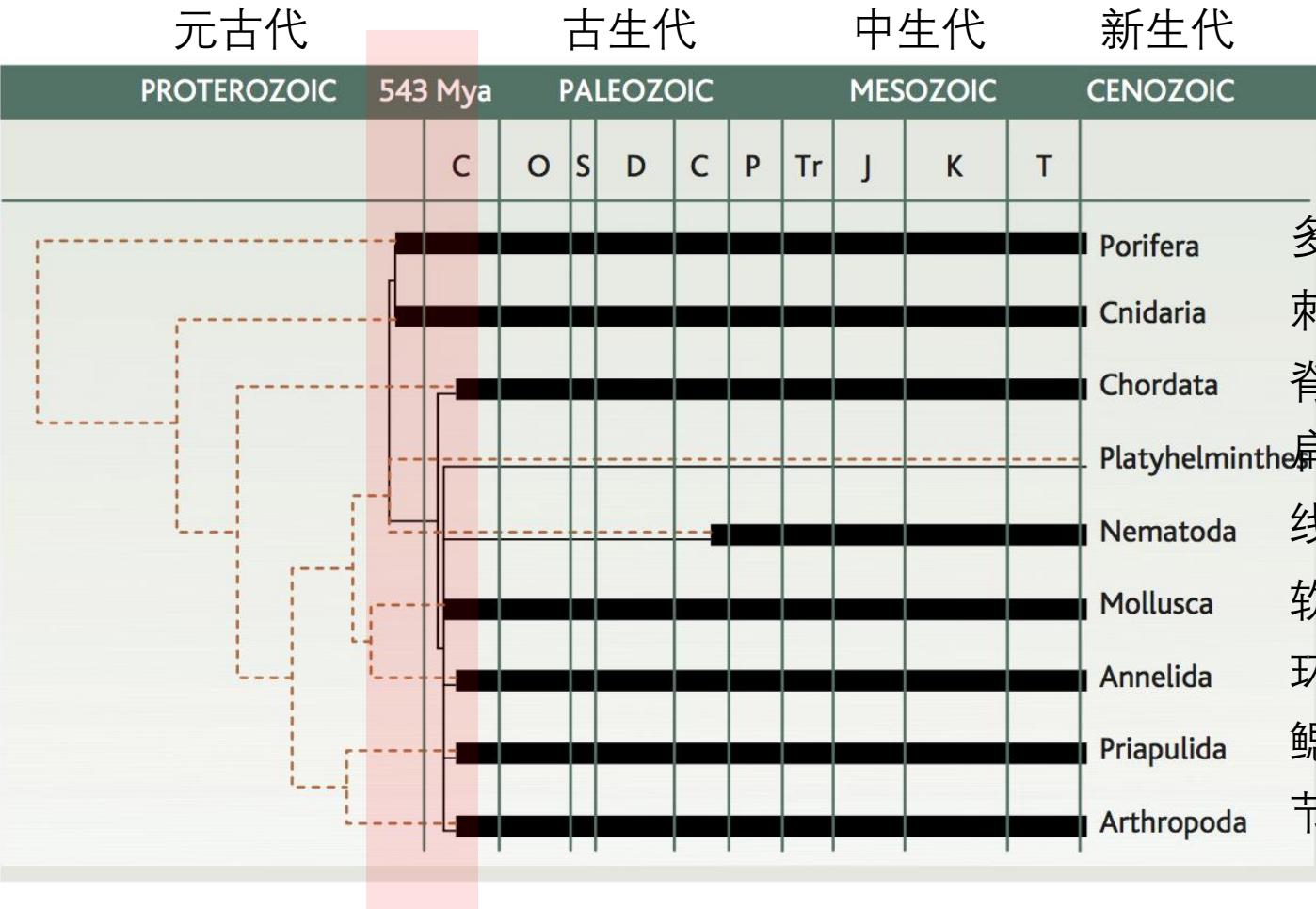
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Cambrian explosion (寒武纪生命大爆发)

Cambrian explosion



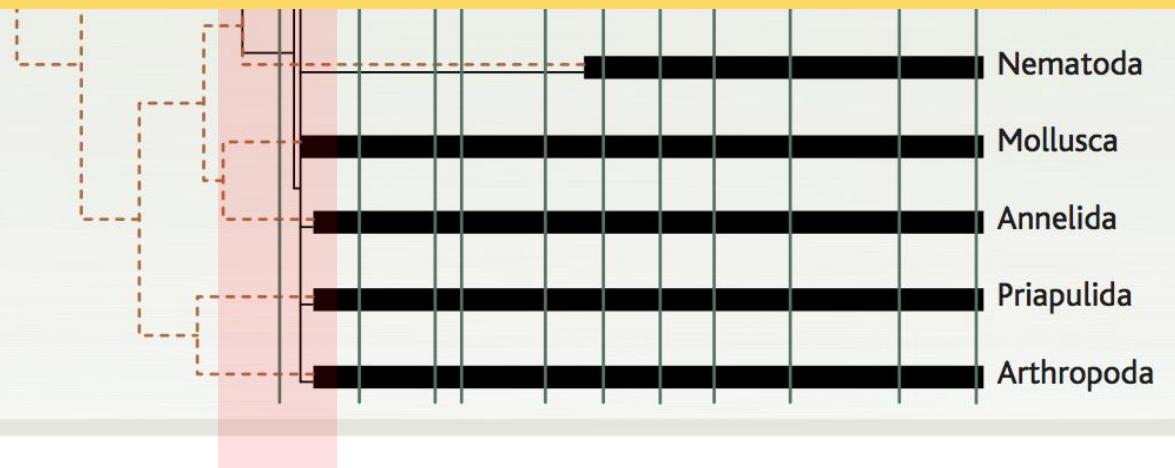
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Cambrian explosion (寒武纪生命大爆发)

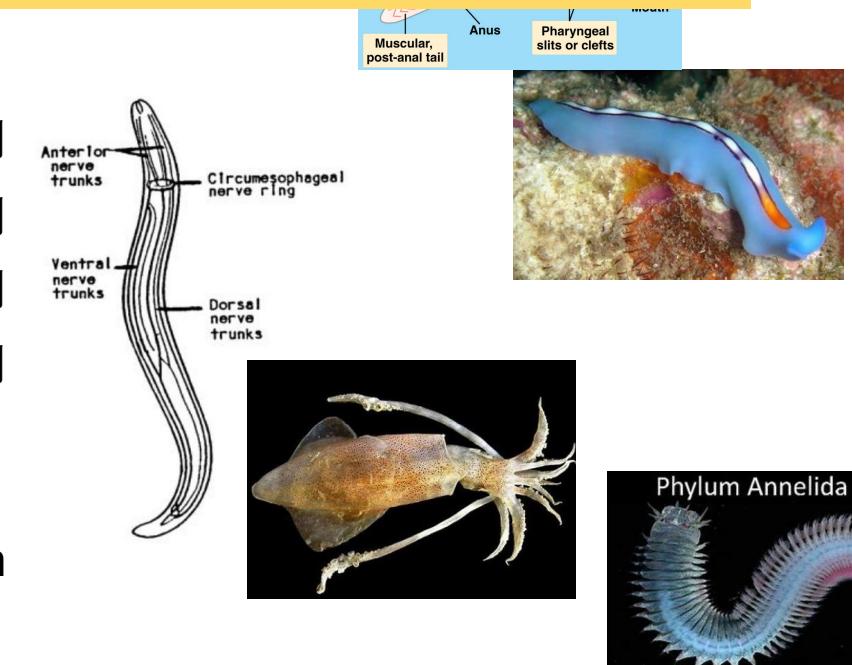
Cambrian explosion



What did cause the “Big Bang”?

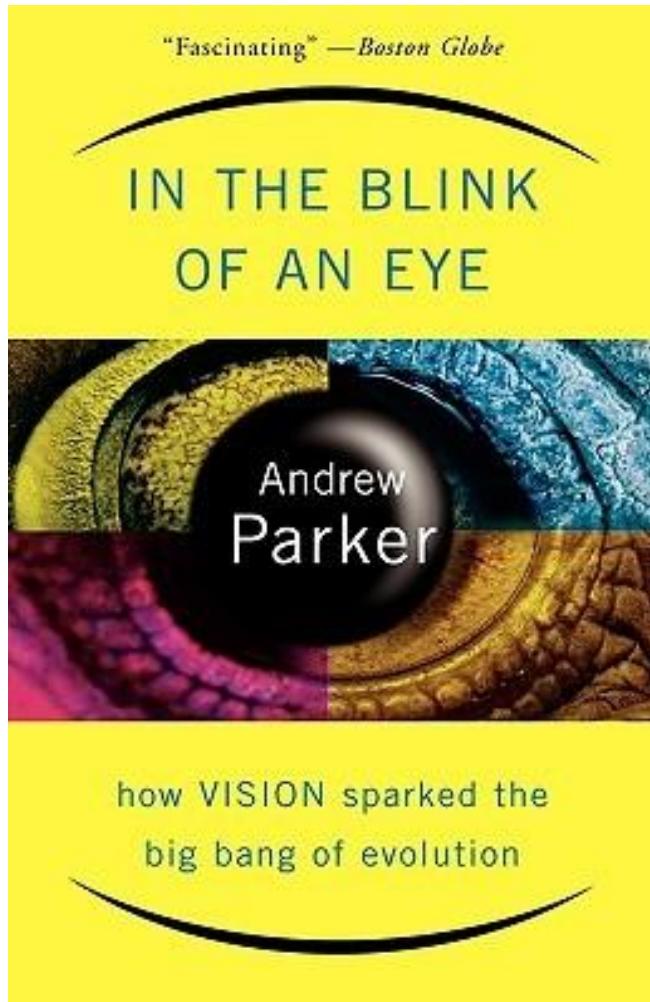


线虫门
软体动物门
环节动物门
鳃曳动物门
节肢动物门



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Evolution of the Eye



Andrea Parker
In the Blink of an Eye
南科大 刘泉影 AI&BI 2022

Eyes first appeared approximately 543 million years ago during the Cambrian period—the geological period that marks the rapid increase in biodiversity.

Oxford zoologist Andrew Parker proposed “Light Switch Theory”, suggesting that it was **the development of vision** in primitive animals that caused the explosion.

Precambrian creatures were **unable to see**, making it impossible to find friend or foe.

With **the evolution of the eye**, the size, shape, color, and behavior of animals was suddenly revealed for the first time. Once the lights were "turned on," all animals had to **either adapt or die**, and in a geological instant, the world became a very different place.

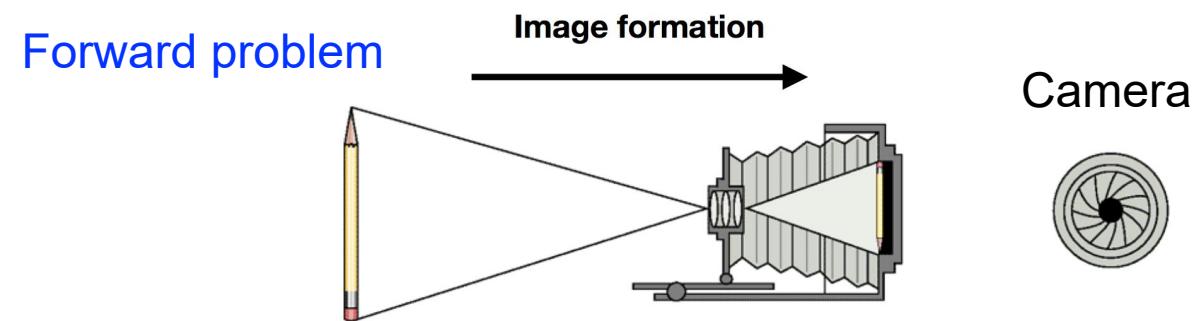
Function of the Visual System

The **visual system** is a part of the central nervous system (CNS) that gives organisms the ability to **detect**, **process**, **interpret** information from **visible light**, with the goal of building a **representation** of the surrounding environment.

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The visual system is **NOT** a camera.



Function of the Visual System

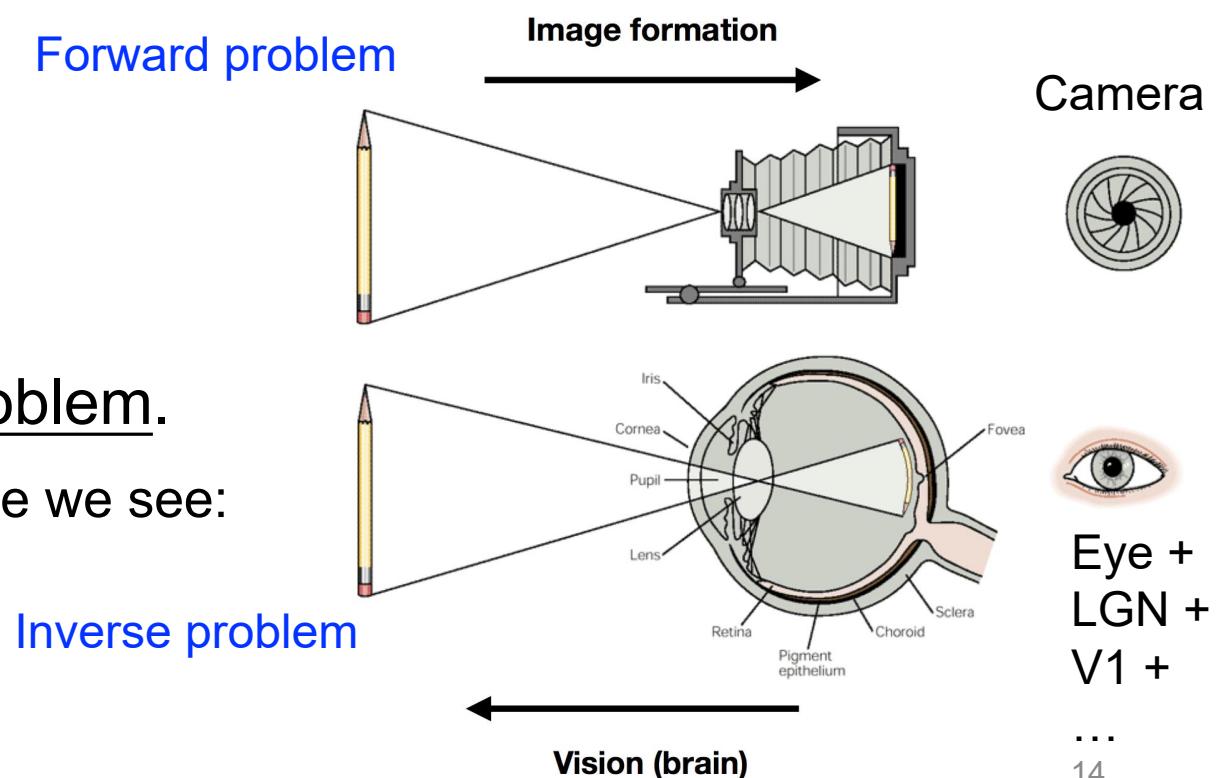
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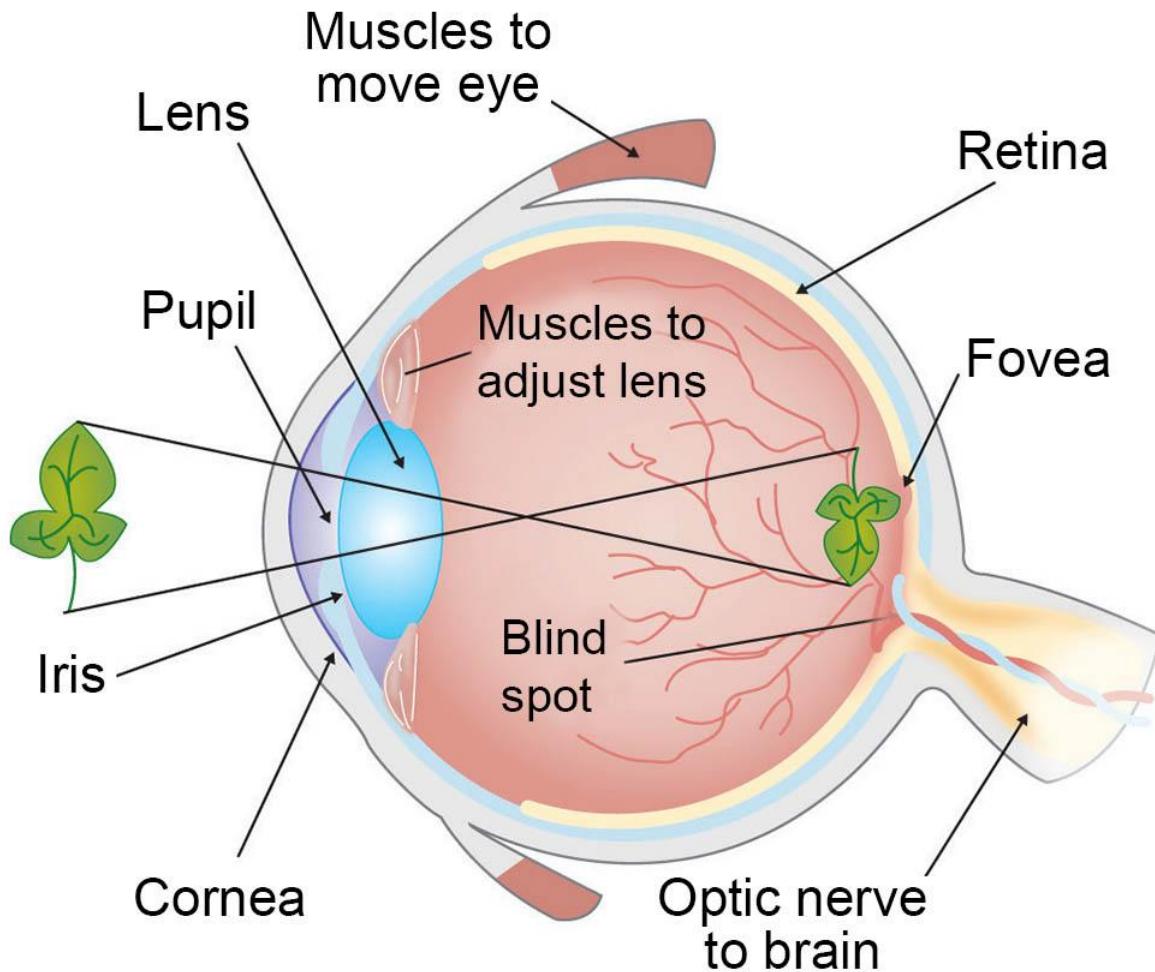
The visual system solves the inverse problem.

Based on the neural activity, to **infer** the image we see:

- Detect
- Process
- Interpret



Structure of the Eye



Lens + Cornea: help focus light onto the eye, like camera **lenses**.

Photoreceptors (感光细胞) in **Retina**: convert light energy into neuronal activity, like camera **sensors**.

Pupil: to adjust based on illumination of light, like **aperture** of the camera.

Optic nerve: transmit the image signal to the brain, like camera **electronics**.

Eye can **clean itself**, by producing tears, blinking.

Eye can even **repair itself** in the event of modest damage to its optic.



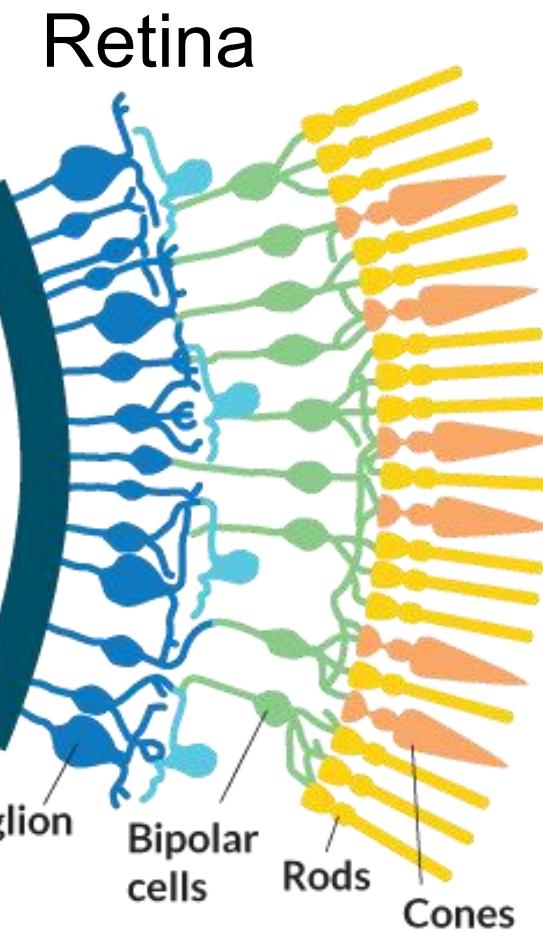
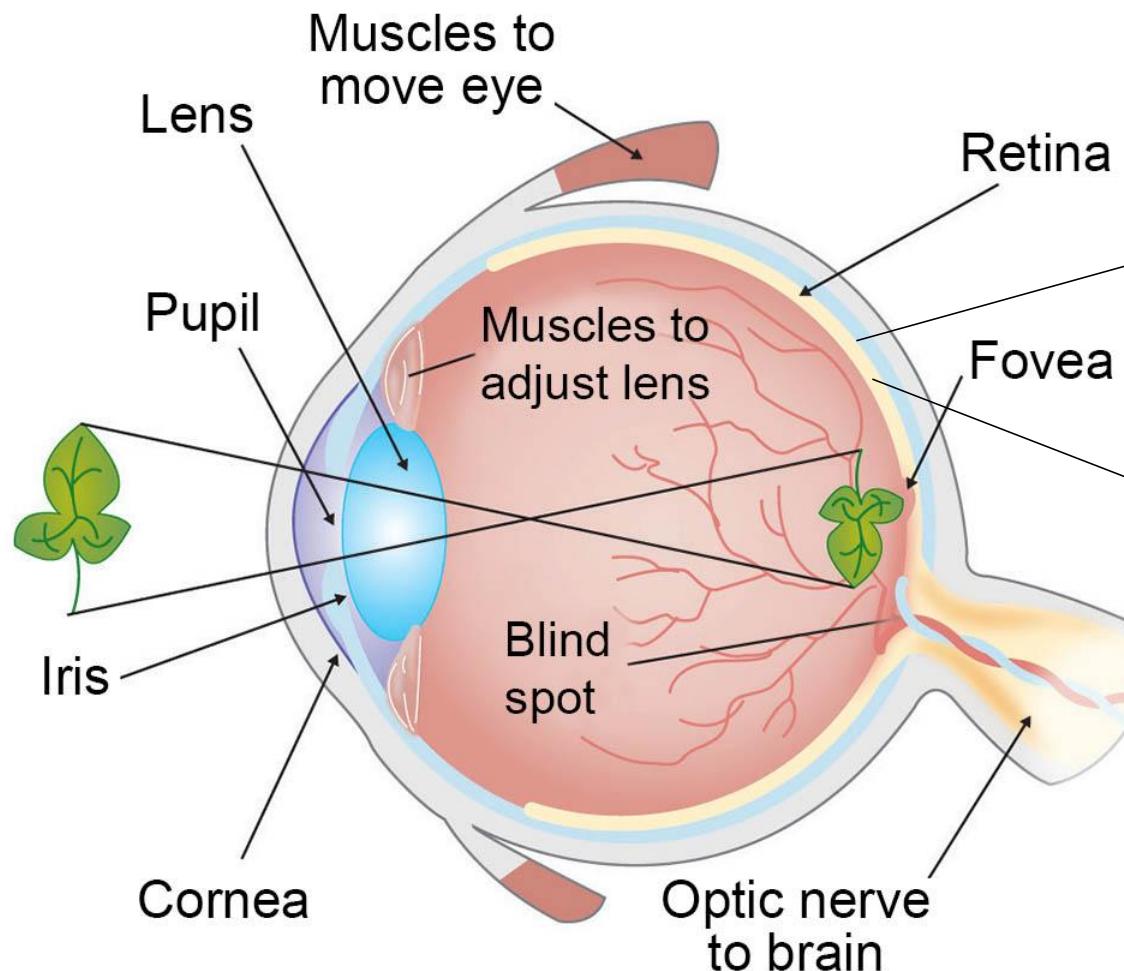
including many lenses
arrayed across the eye.

The structures of the eye
are **different** across
species.

The insects has many
lenses arrayed across
the eye.

Not all animal have
retinas like ours.

Structure of the Eye

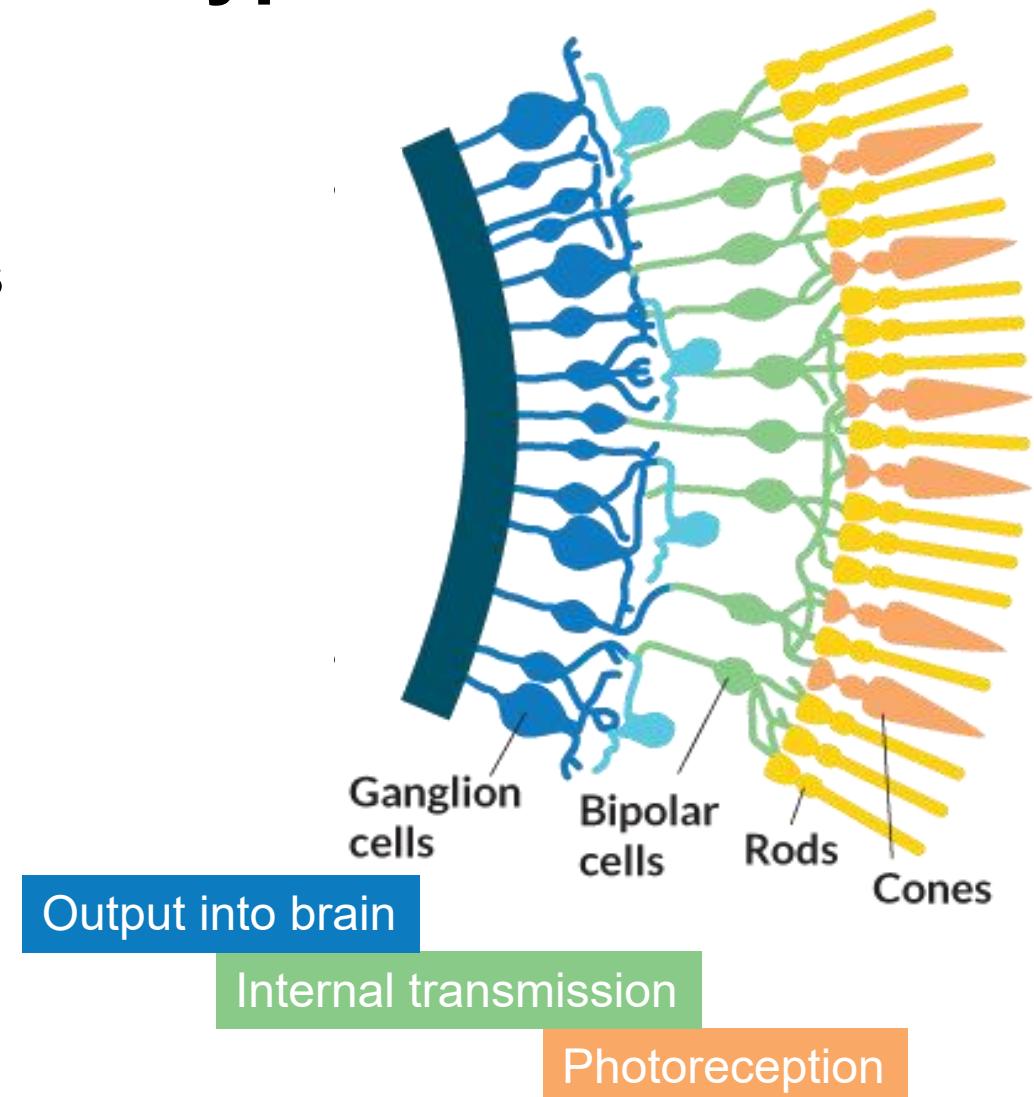


Diversity in the cell types

Photoreceptors: 2 types (rods and cones)

Interneurons (eg., bipolar cells): > 50 types

Retinal ganglion cells: > 30 types



Arranged in an inside-out fashion

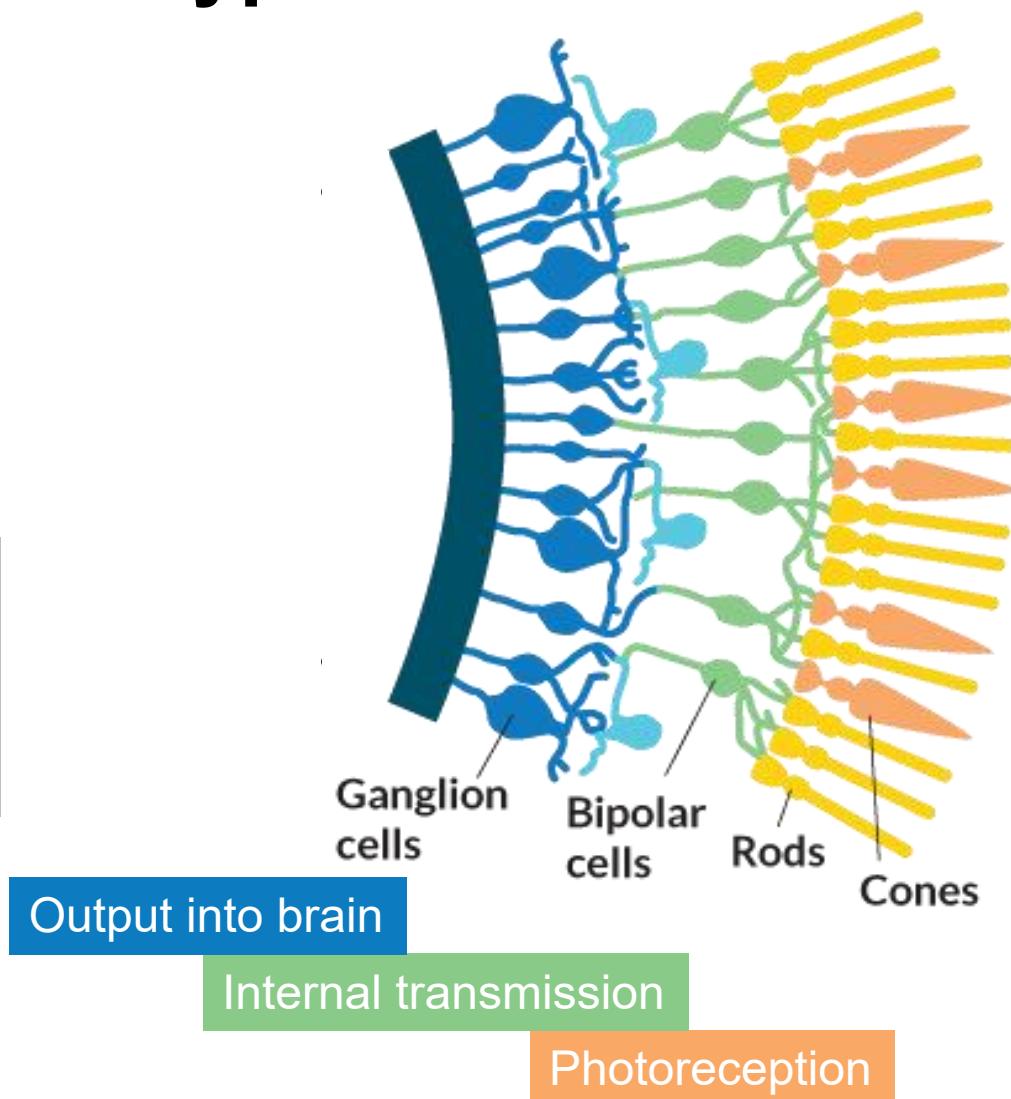
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Diversity in the cell types

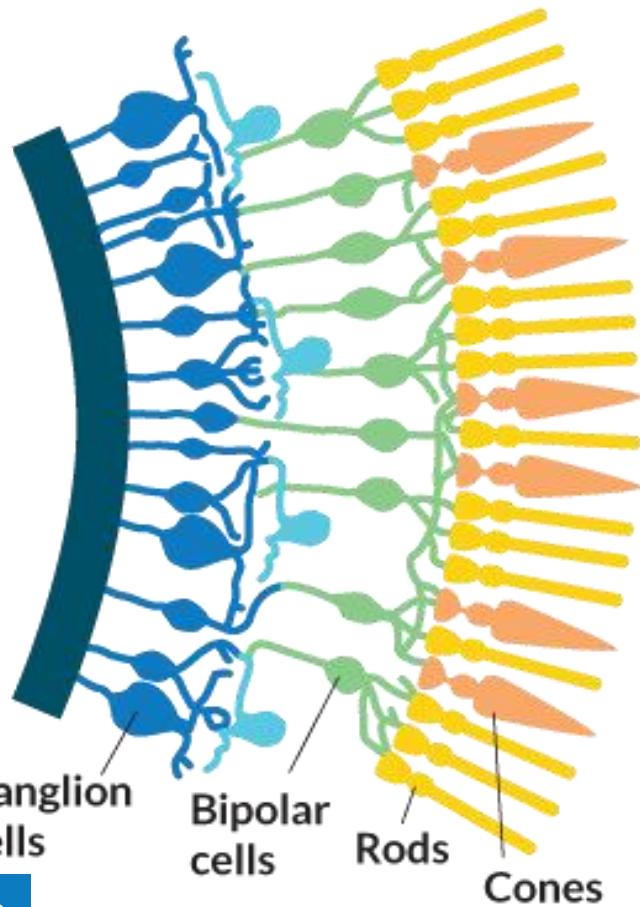
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Q: Do you think this diversity is well designed by nature, or happens by chance?

We do not know.
But I personally believe it is well designed.



Output into brain

Internal transmission

Photoreception

Arranged in an inside-out fashion

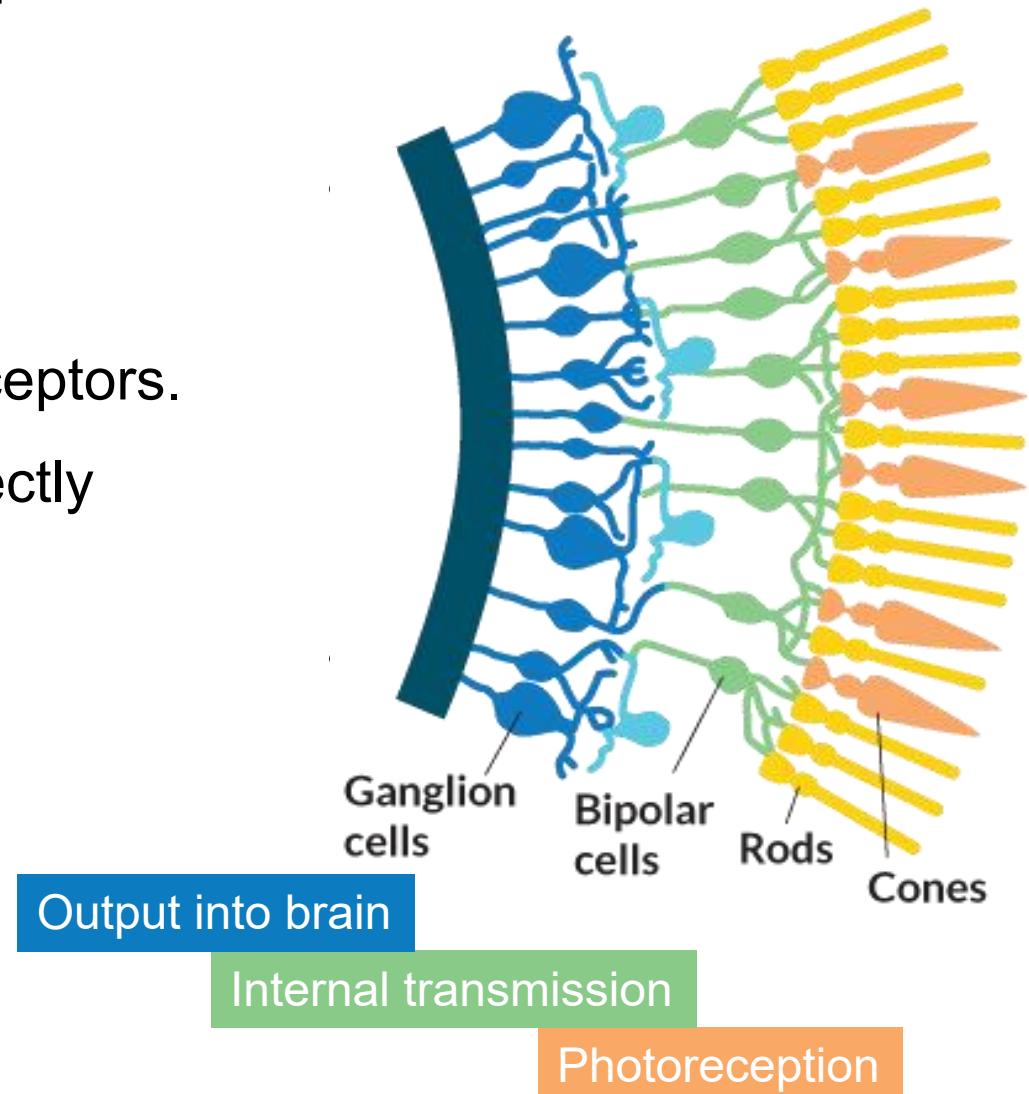
Photoreceptors

Photoreceptors: 2 types (rods and cones)

In 2002, scientists found a **new** type of photoreceptors.

The newly discovered cells turn light energy directly into brain signals.

The signals govern the body's 24-hour clock.



Berson et al (2002). Phototransduction by Retinal Ganglion Cells That Set the Circadian Clock, *Science*

Arranged in an inside-out fashion

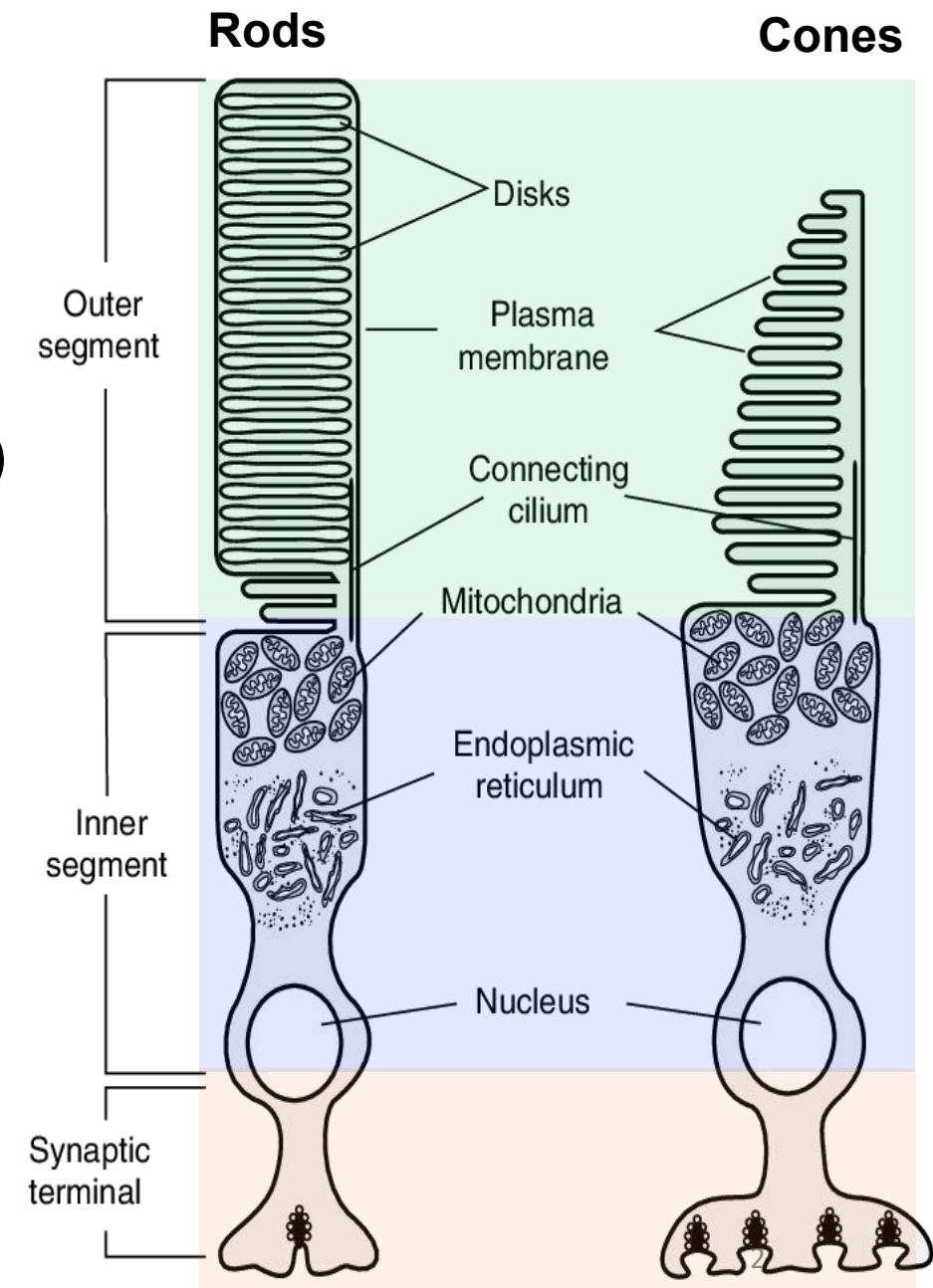
Rods and Cones

Rods (120 millions): more sensitive to light than the cones, not sensitive to color. (**night**)

Cones (6~7 millions): color sensitivity, concentrated in the central yellow spot known as the macula. (**day**)

Signal conversion

- Outer segment: Electromagnetic → Chemical
- Inner segment: Chemical → Electrical
- Synaptic terminal: Electrical → Chemical



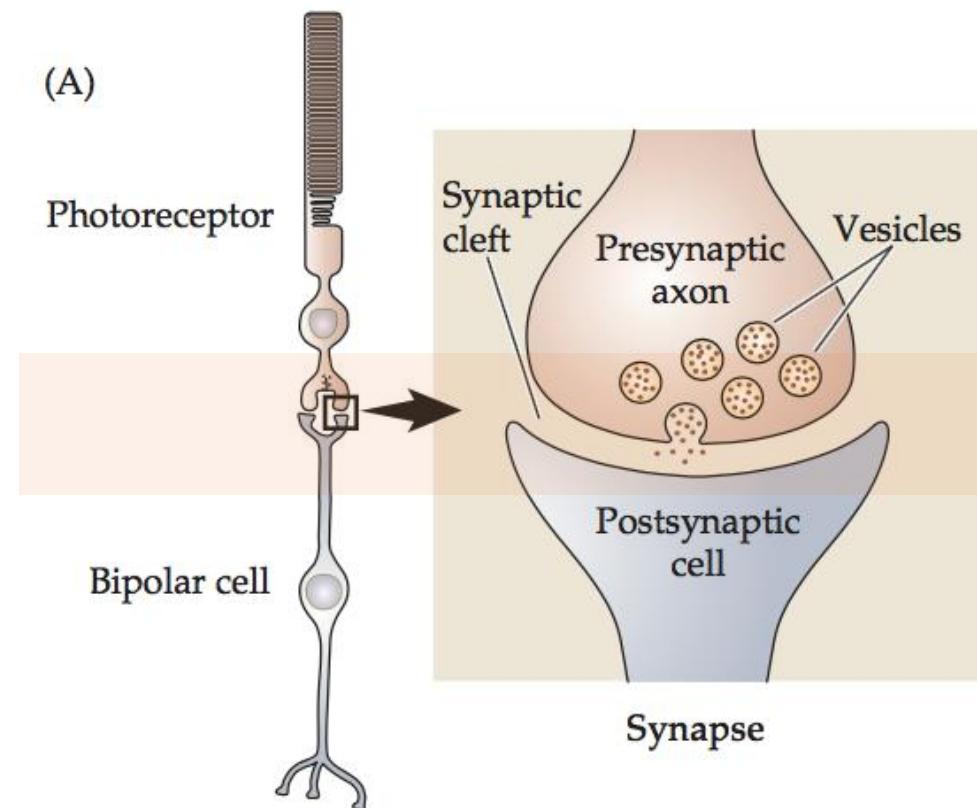
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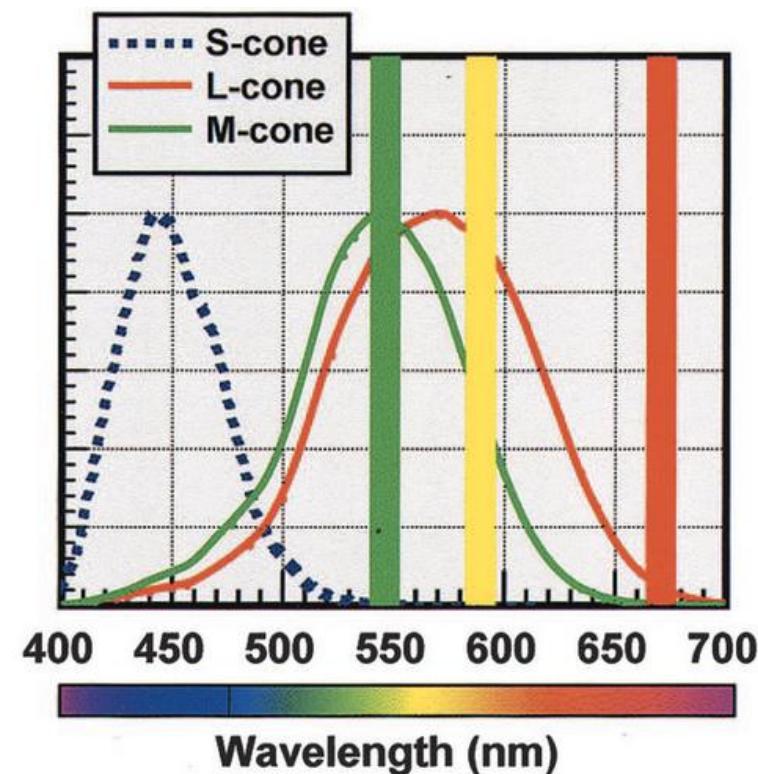
Human: one type of Rods, three types of Cones

Rods: have a peak sensitivity at 498 nm wavelength.

Short-wave cones: 420-440 nm, detecting blue light

Middle-wave cones: 530-550 nm, detecting green light

Long-wave cones: 565-580 nm, detecting red light



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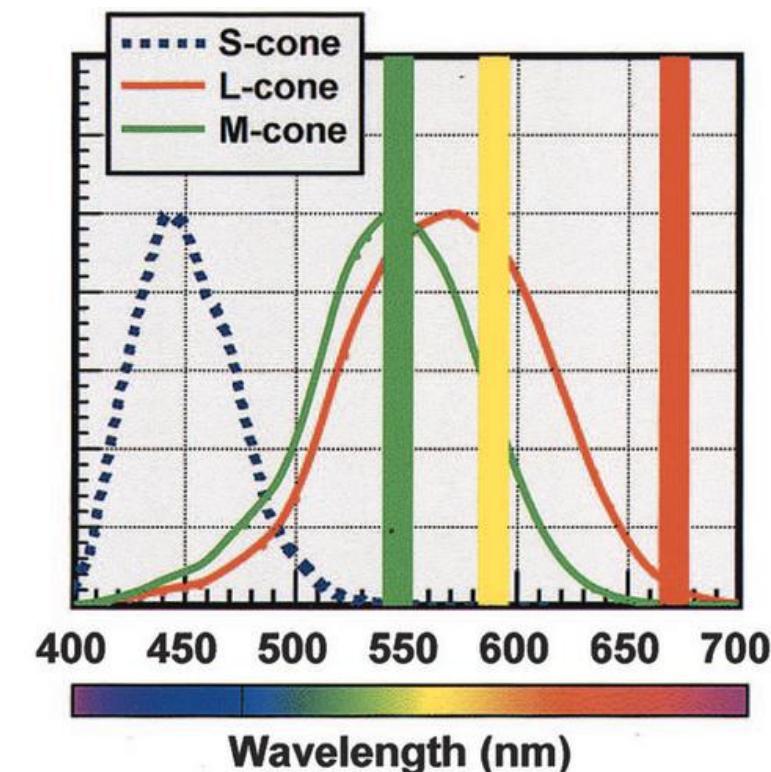
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The color represented as a combination of these 3 types of cones, just as the way of **RGB color system**.

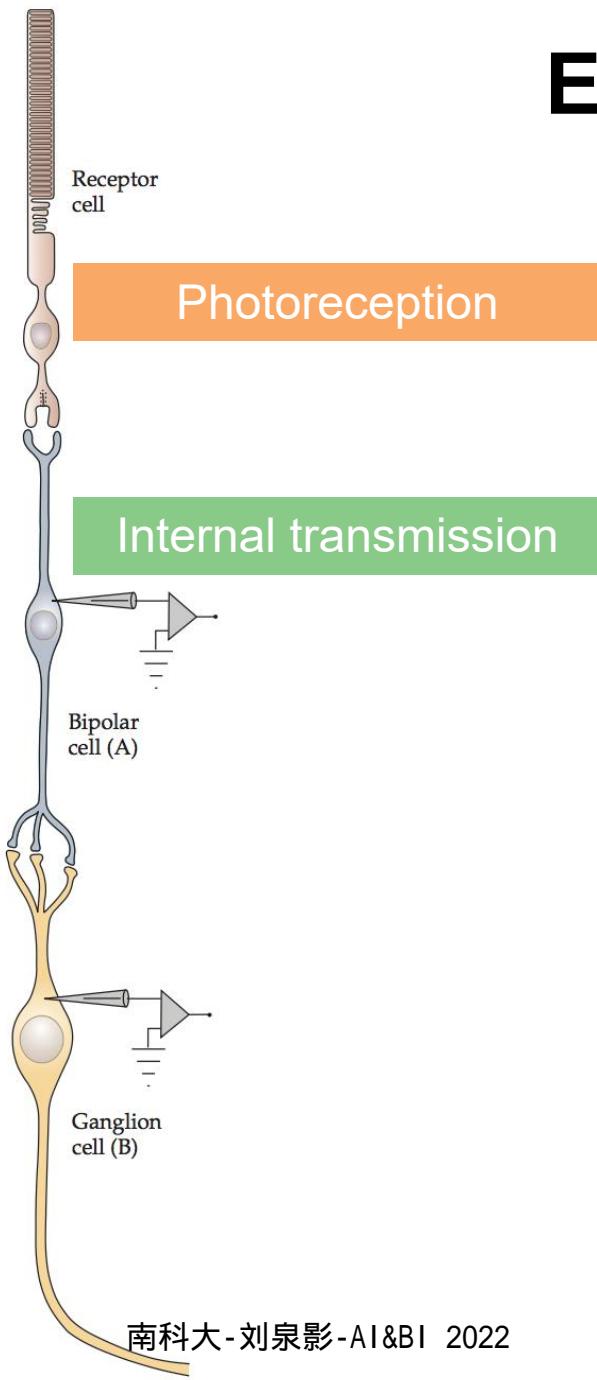
Color blindness: Lack one type of cones



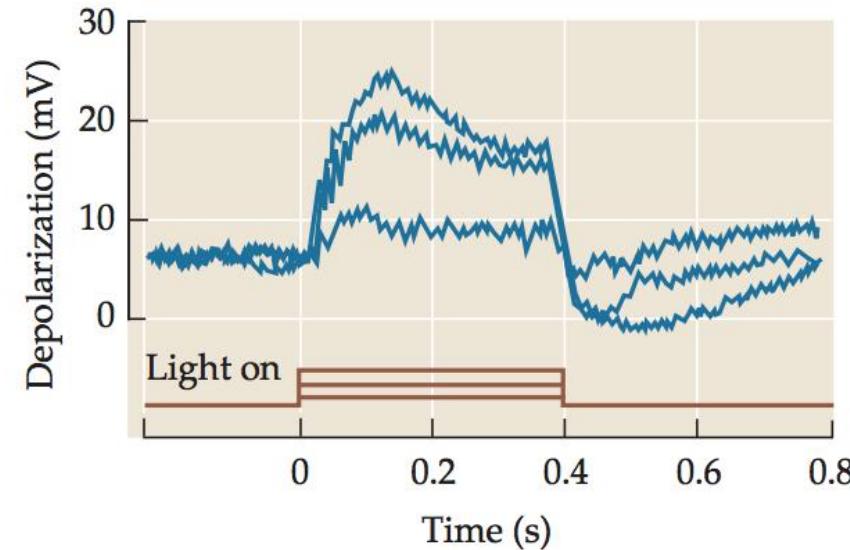
Red-Green blindness lacks m-cone.

Fish and birds have 4 types of cones used for vision.

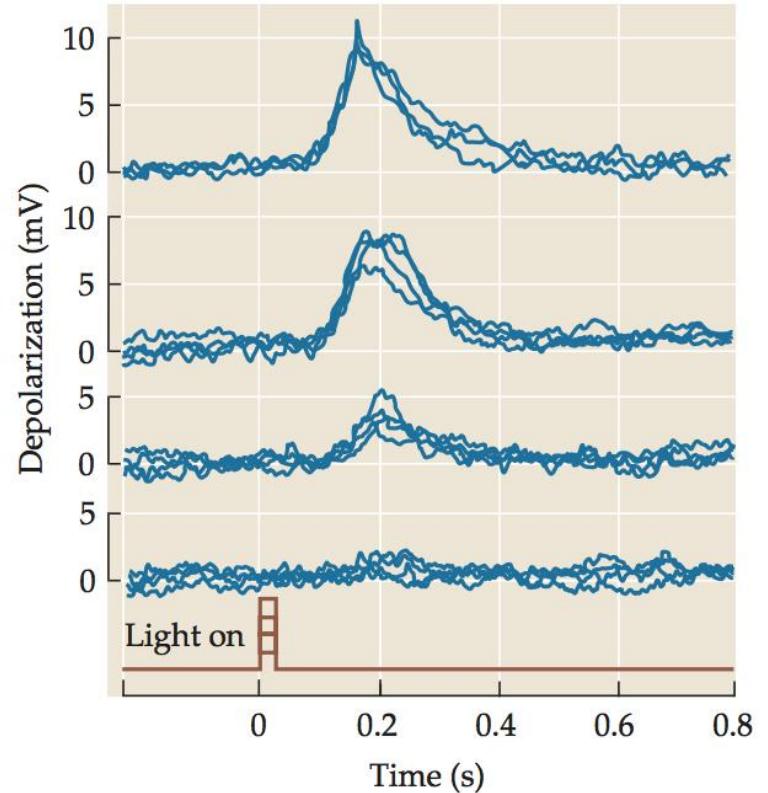
Electrical signals are generated ...

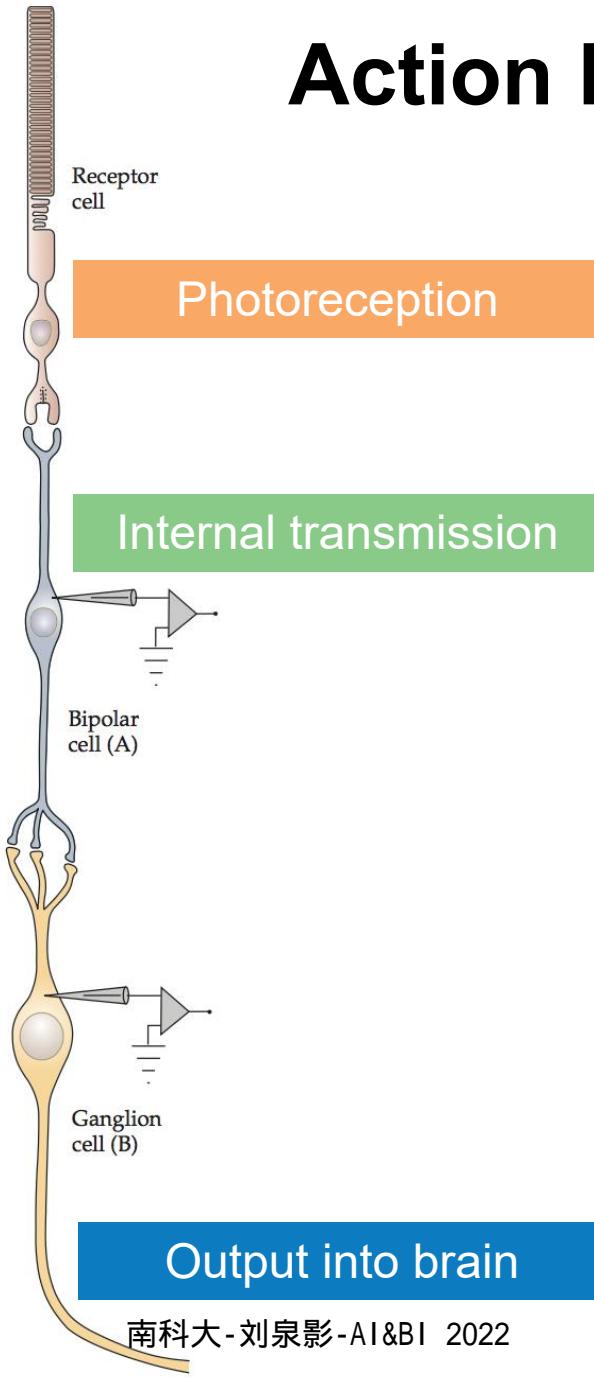


(A) Bipolar cell: graded response to light

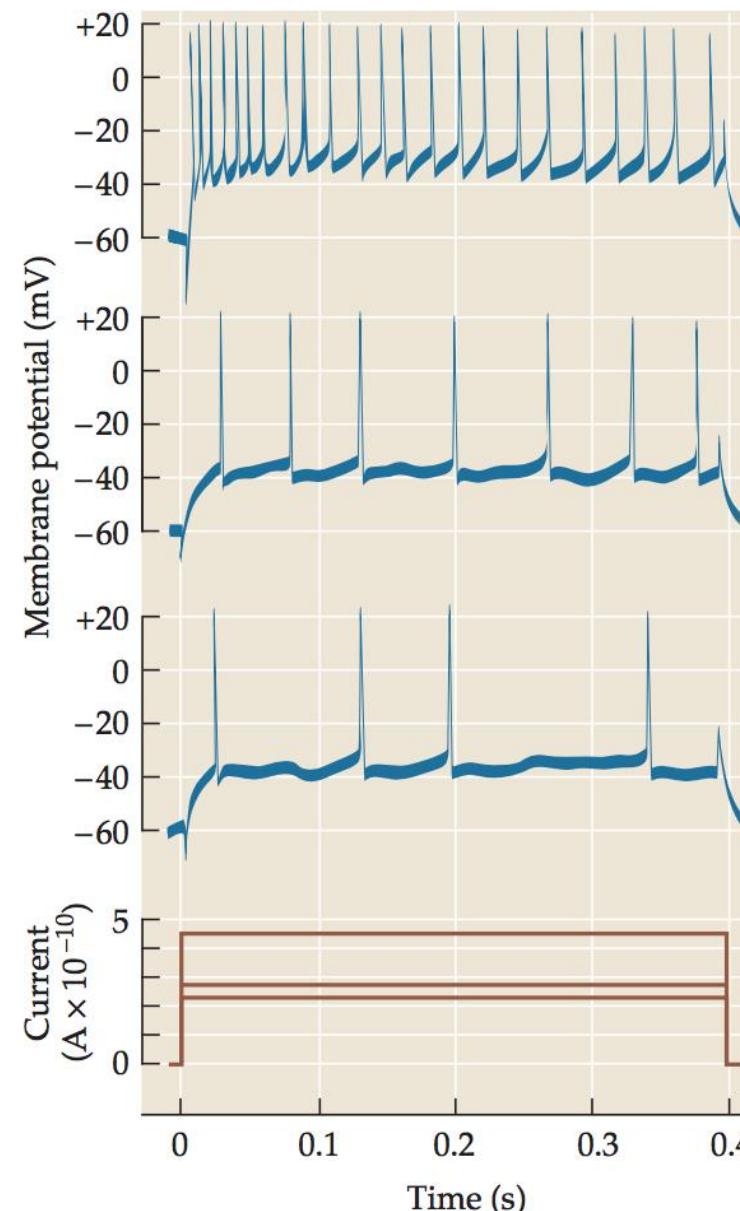


(B) Ganglion cell: graded responses to light





Action Potentials are generated by Ganglion cells.



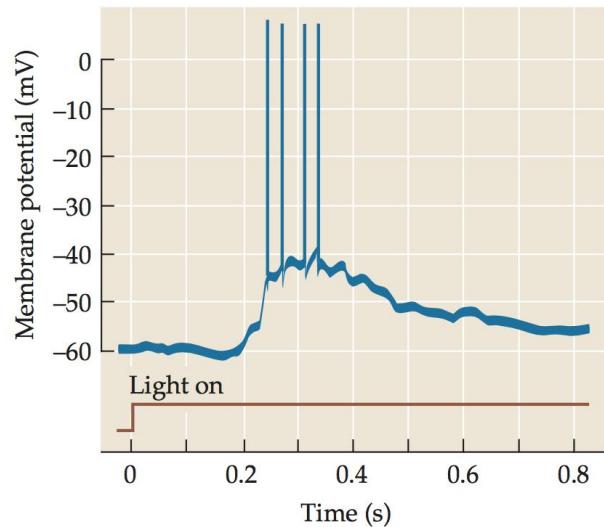
Why action potentials?

- Higher signal to noise ratio
- Travel long distance

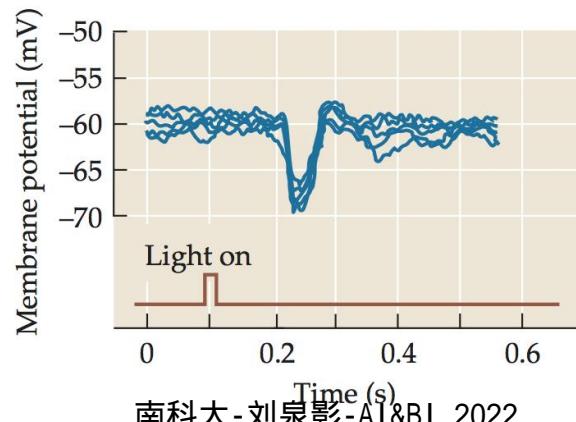
Action potentials are necessary to carry information along the length of a cell.

Information Integration by Ganglion Cell

(A) Excitatory synaptic potentials



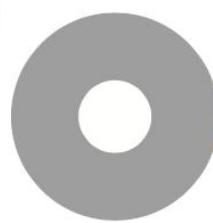
(B) Inhibitory synaptic potential



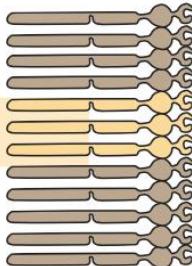
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Pattern of
illumination
of retina

(A)

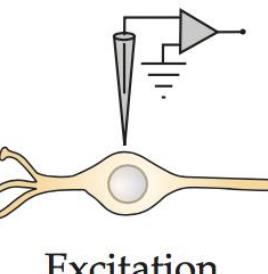


Illumination of
photoreceptors



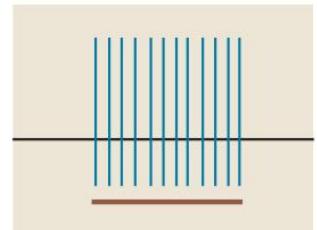
On-center cells

Ganglion cell

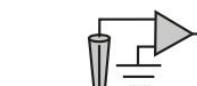
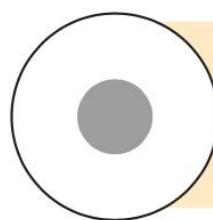


Excitation

Ganglion cell
response

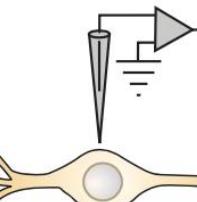
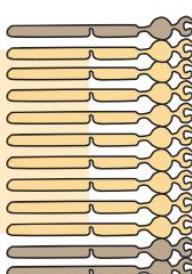
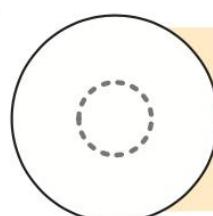


(B)

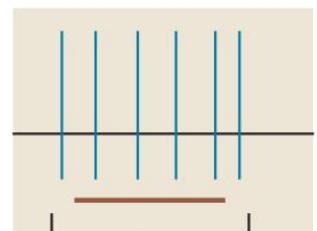
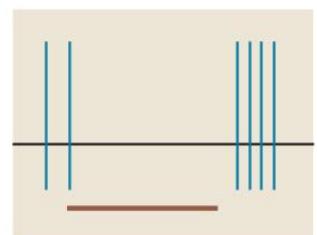


Inhibition

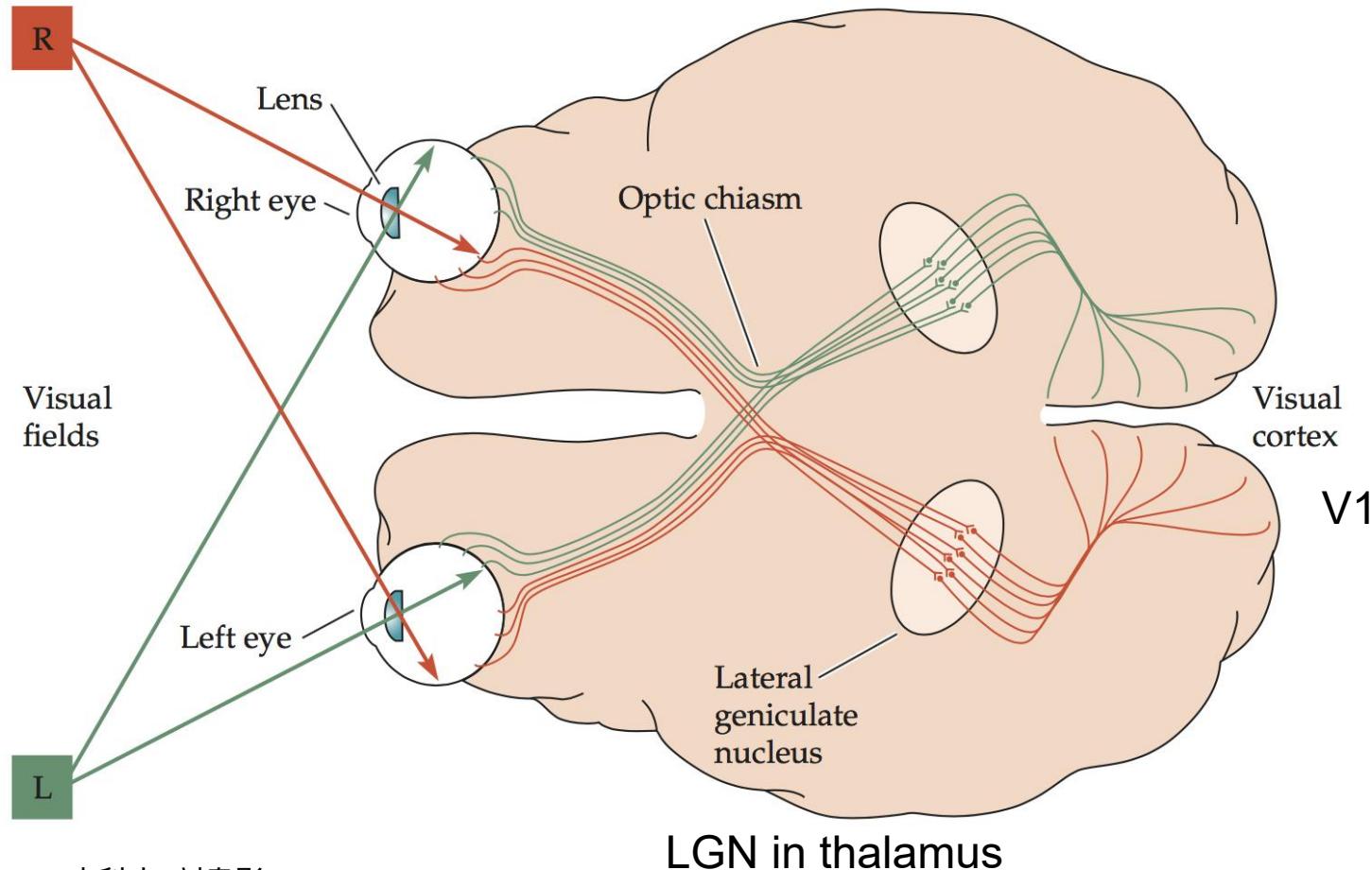
(C)



Excitation
and inhibition



Visual pathways: from the eye to the cerebral cortex

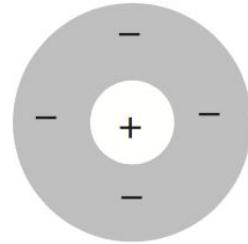


- Rods, cones
- Interneurons
- Ganglion cells
- Optic fiber
- LGN
- V1
- Ventral / dorsal pathways

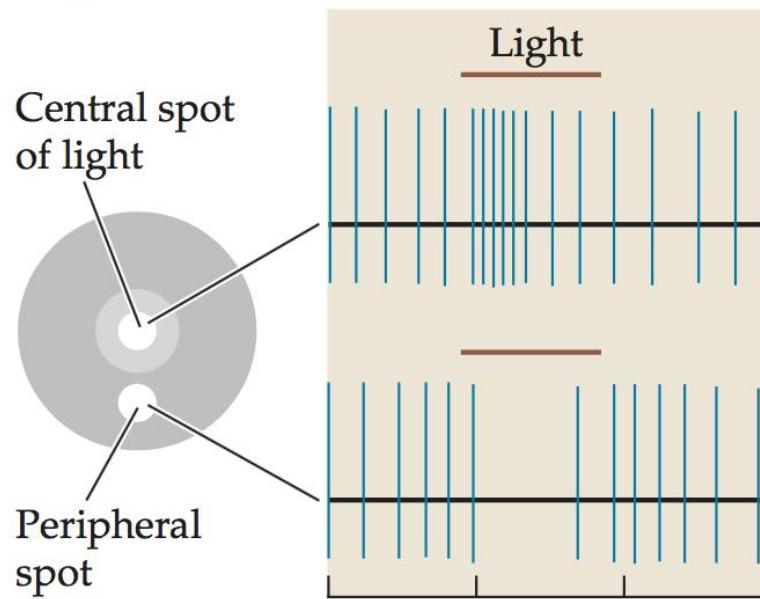
Ganglion Cells

On-center cells

On-center field

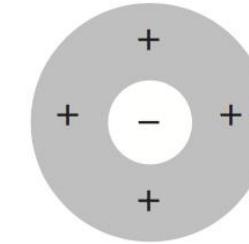


On-center cell responses

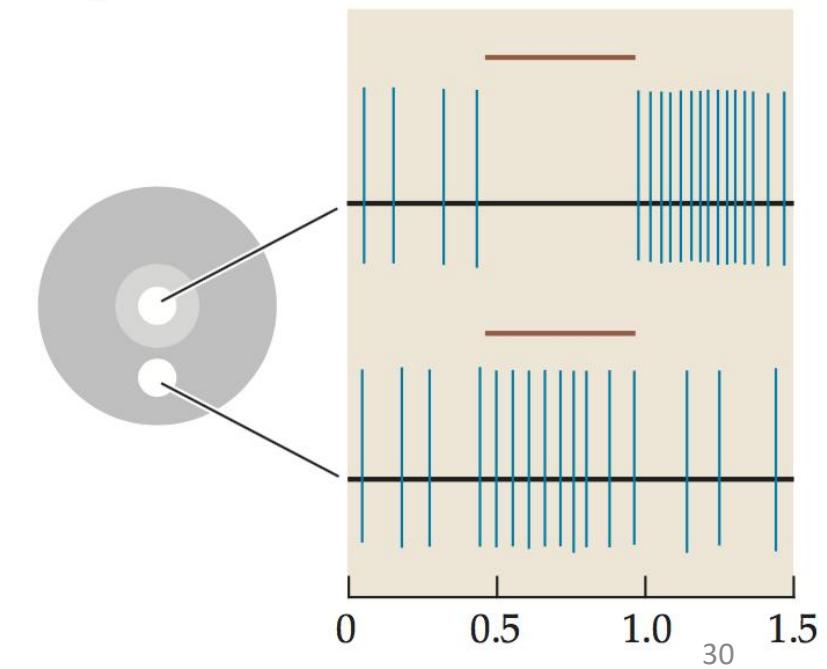


Off-center cells

Off-center field

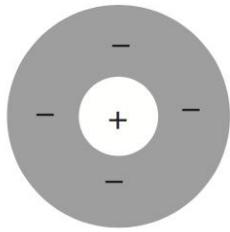


Off-center cell responses

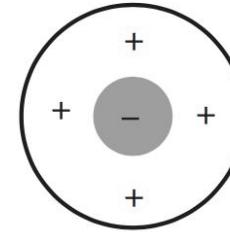


LGN Cells

On-center field

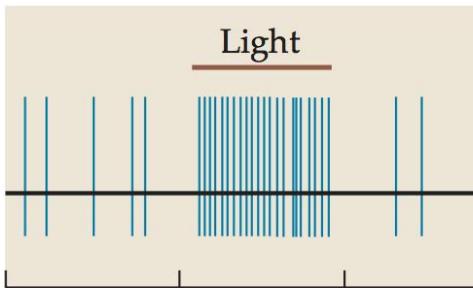
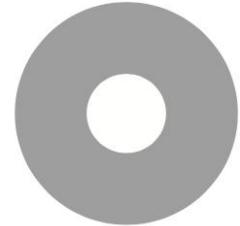


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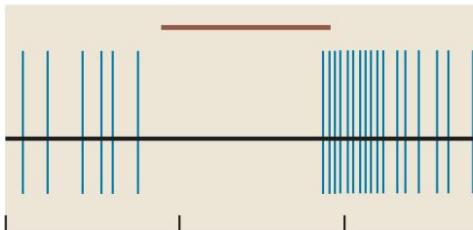
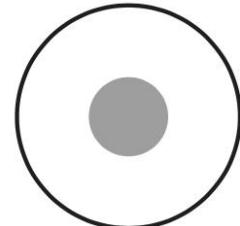


On-center cell responses

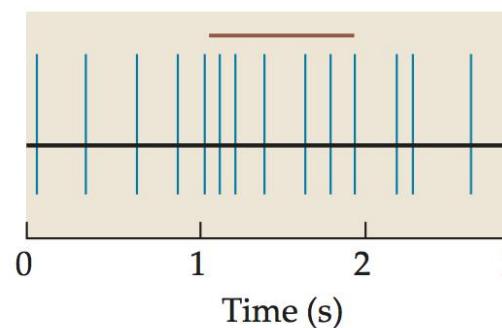
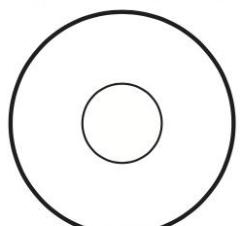
Central illumination



Annular illumination

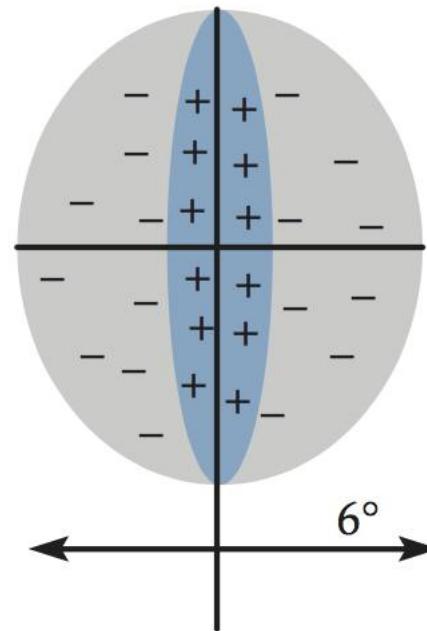


Diffuse illumination

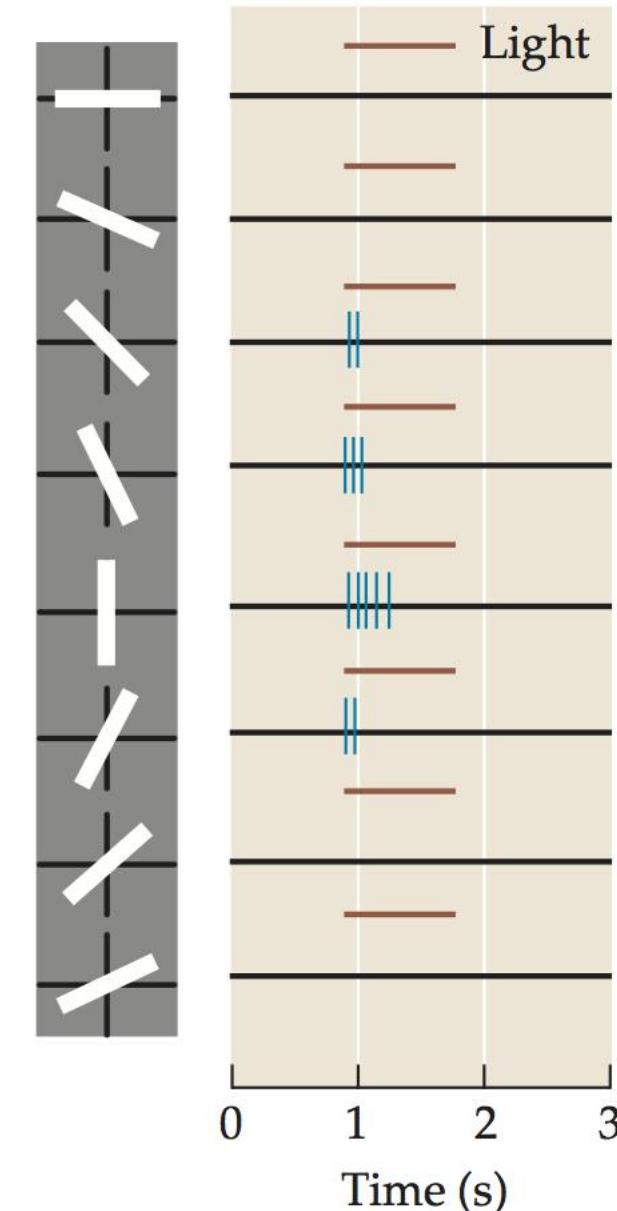


A Simple Cell in Cat's Striate Cortex (V1)

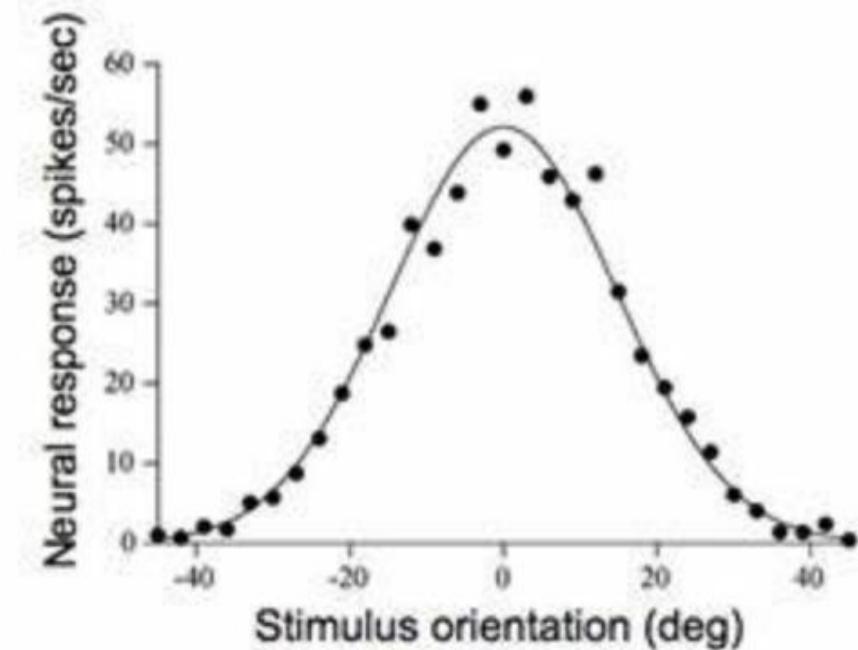
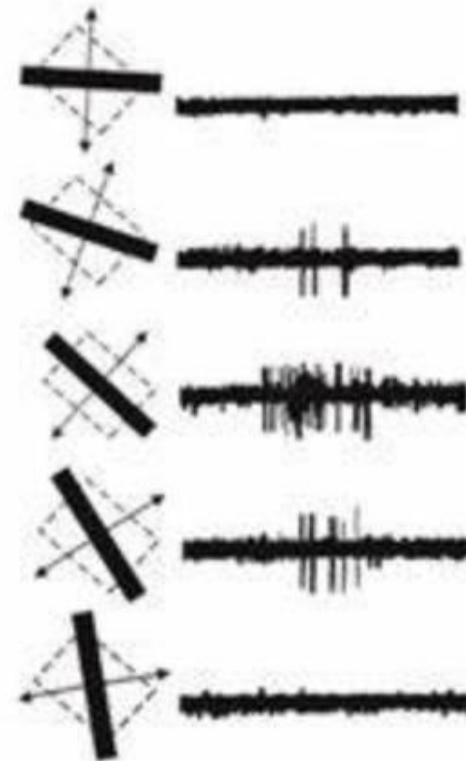
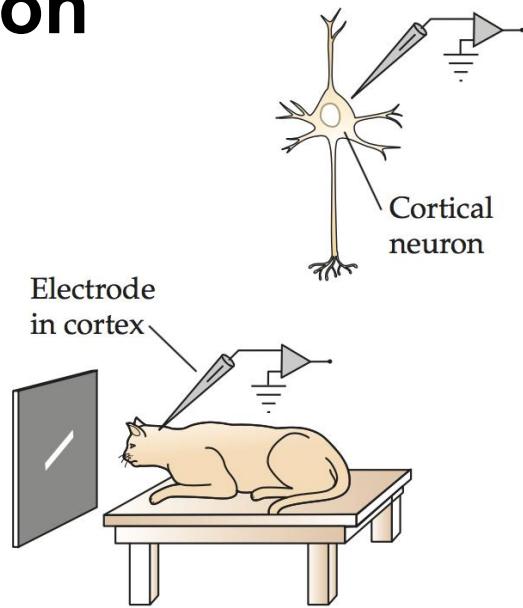
(B) Receptive field



(C) Importance of orientation of bar of light



V1 neuron



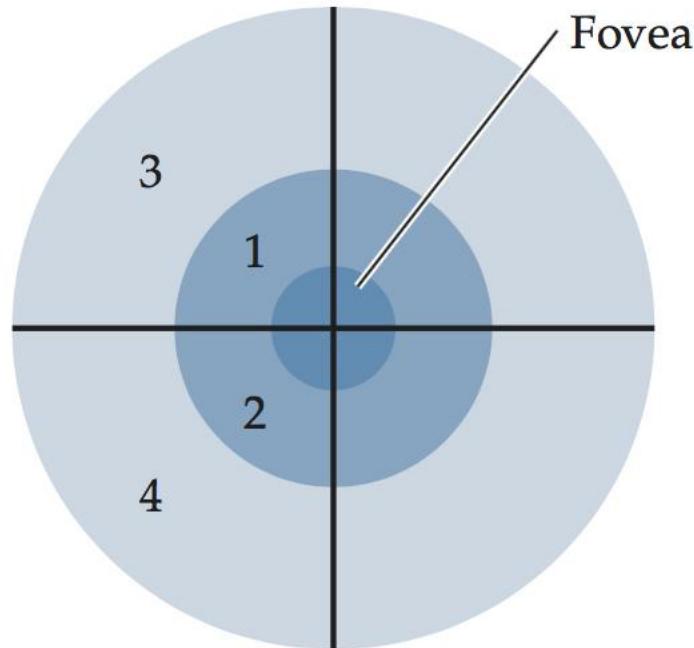
David H. Hubel &
Torsten N. Wiesel

Nobel Prize for Physiology or Medicine in 1981

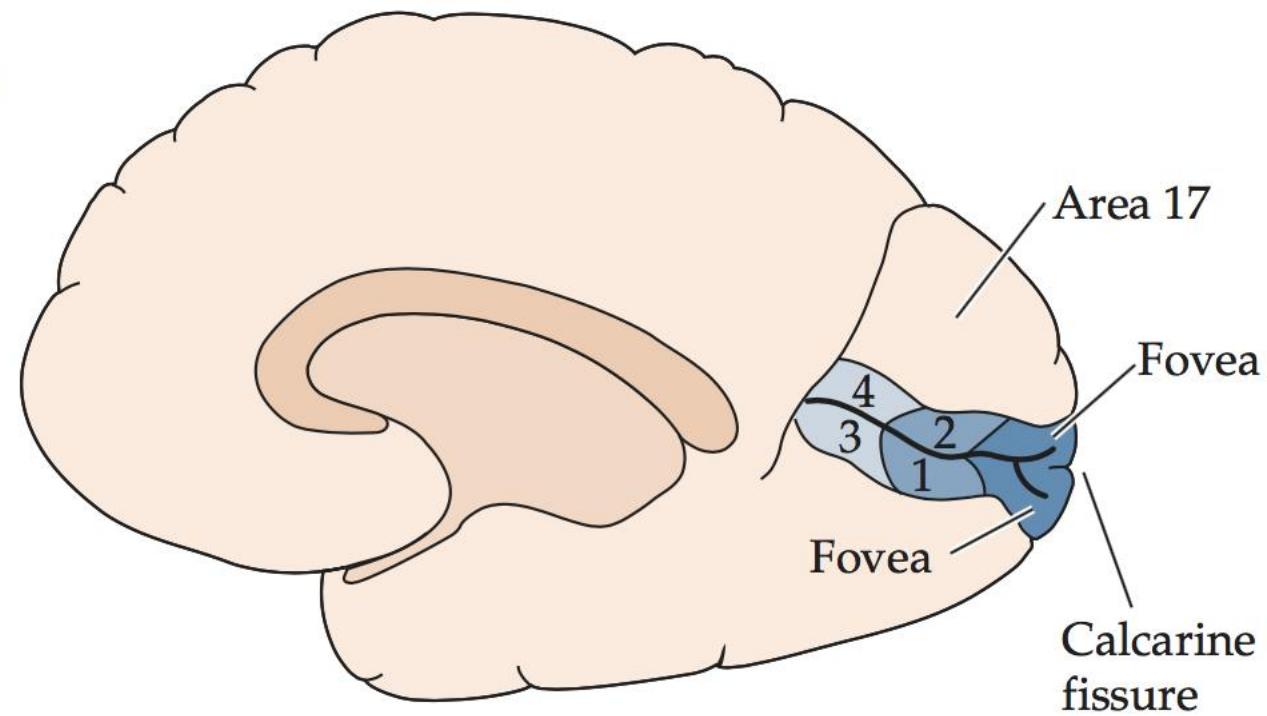
- Hubel, D. H. 1982. *Nature* 299: 515–524.
Hubel, D. H., and Wiesel, T. N. 1959. *J. Physiol.* 148: 574–591.
Hubel, D. H., and Wiesel, T. N. 1962. *J. Physiol.* 160: 106–154.
Hubel, D. H., and Wiesel, T. N. 1968. *J. Physiol.* 195: 215–243.

Visual Field Map of the Cortex

(A) Left visual field

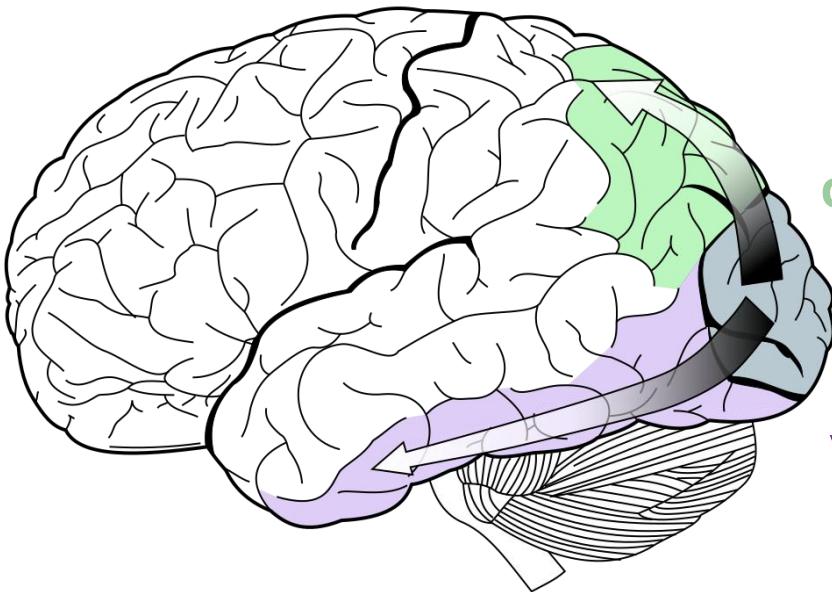


(B) Right primary visual cortex



Perceptual integration

-- the **dorsal** and **ventral** streams



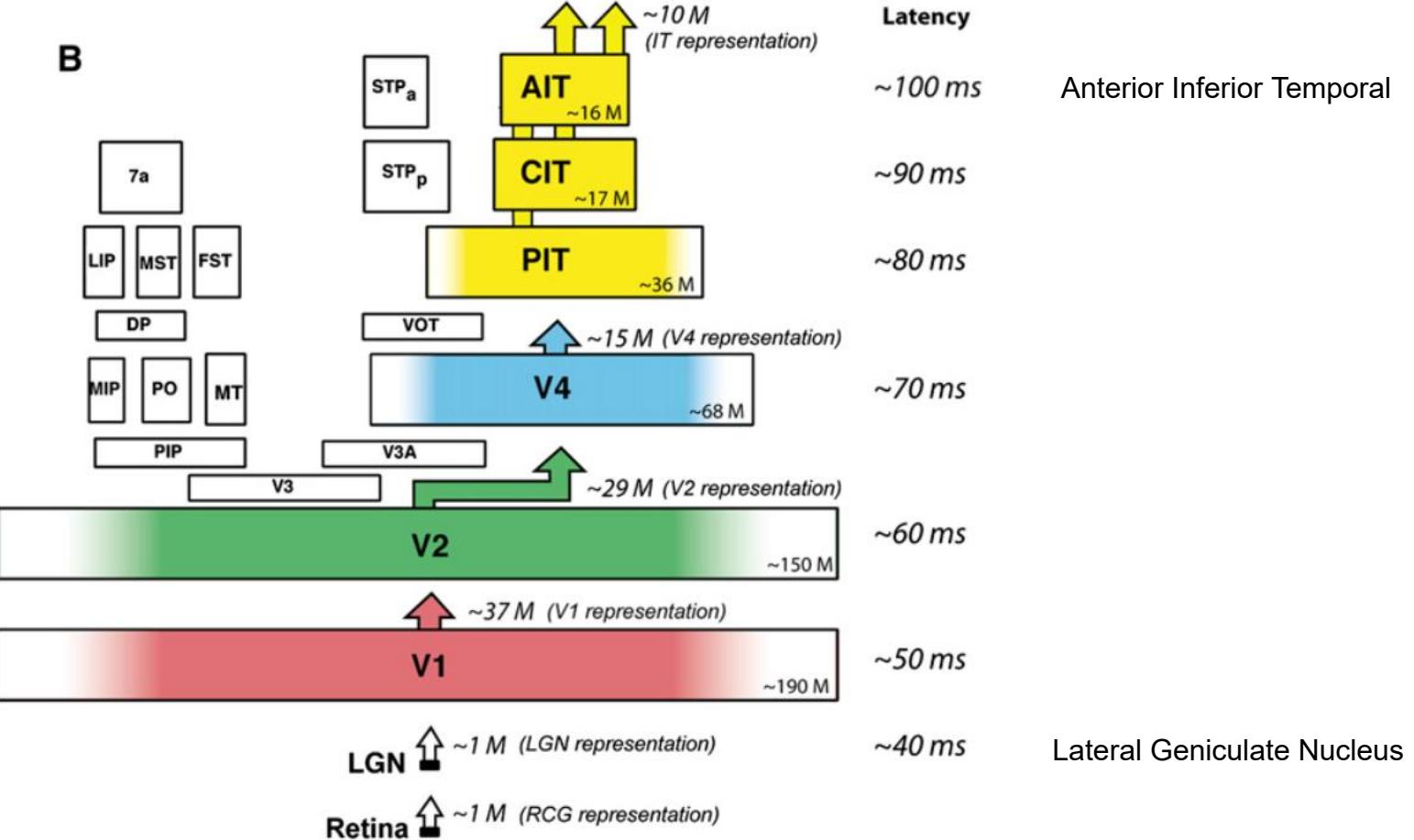
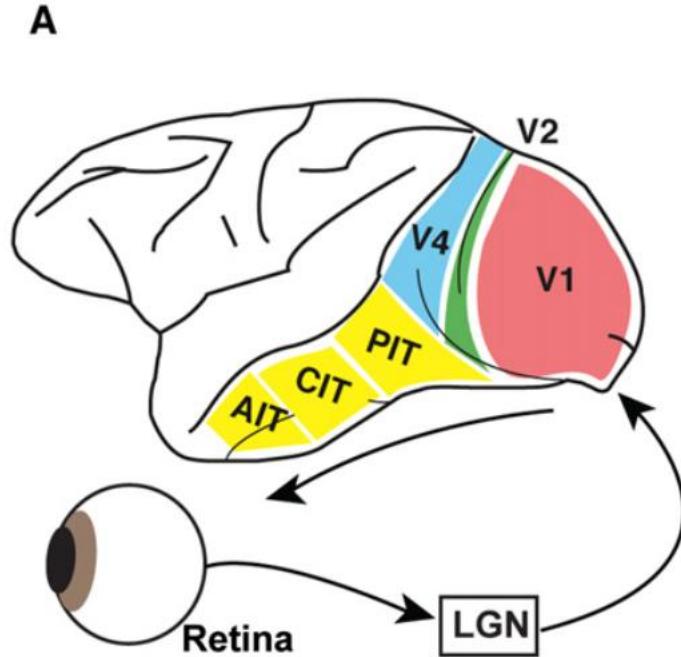
dorsal ‘where’ pathway

&

ventral ‘what’ pathway

- the motion & spatial location
 - V1, V2, V3, MT (V5), MST & inferior parietal cortex
-
- the detailed features, form & object identity
 - V1, V2, V4 & inferior temporal areas

The Ventral Visual Pathway: for object recognition

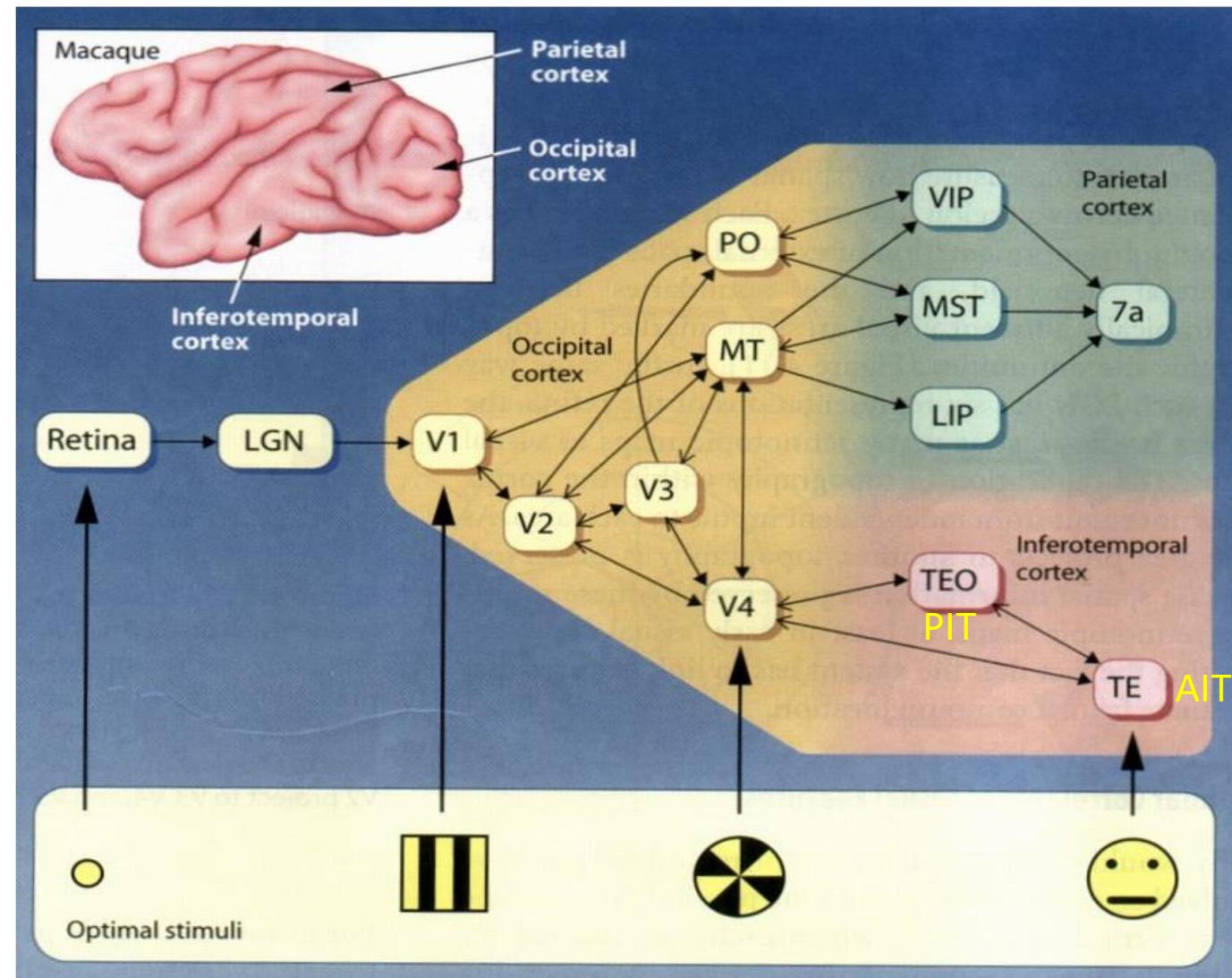
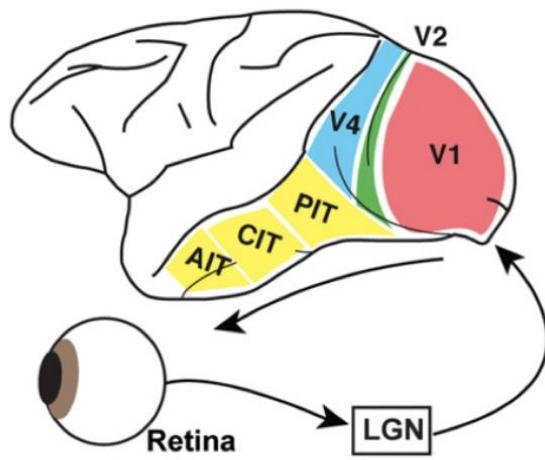


(A) Ventral stream cortical area locations in the macaque monkey brain, and flow of visual information from the retina.

(B) Each area is plotted so that its size is proportional to its cortical surface area (Felleman and Van Essen, 1991). Approximate total number of neurons (both hemispheres) is shown in the corner of each area (M = million). The approximate dimensionality of each representation (number of projection neurons) is shown above each area, based on neuronal densities (Collins et al., 2010), layer 2/3 neuronal fraction (O'Kusky and Colonnier, 1982), and portion (color) dedicated to processing the central 10 deg of the visual field (Brewer et al., 2002). Approximate median response latency is listed on the right (Nowak and Bullier, 1997; Schmolesky et al., 1998).

Along the Ventral Visual Pathway, feature extraction from simple to complex.

A



Q1:

Whether can we predict the neural signals based on the input image?

Image → neural activity

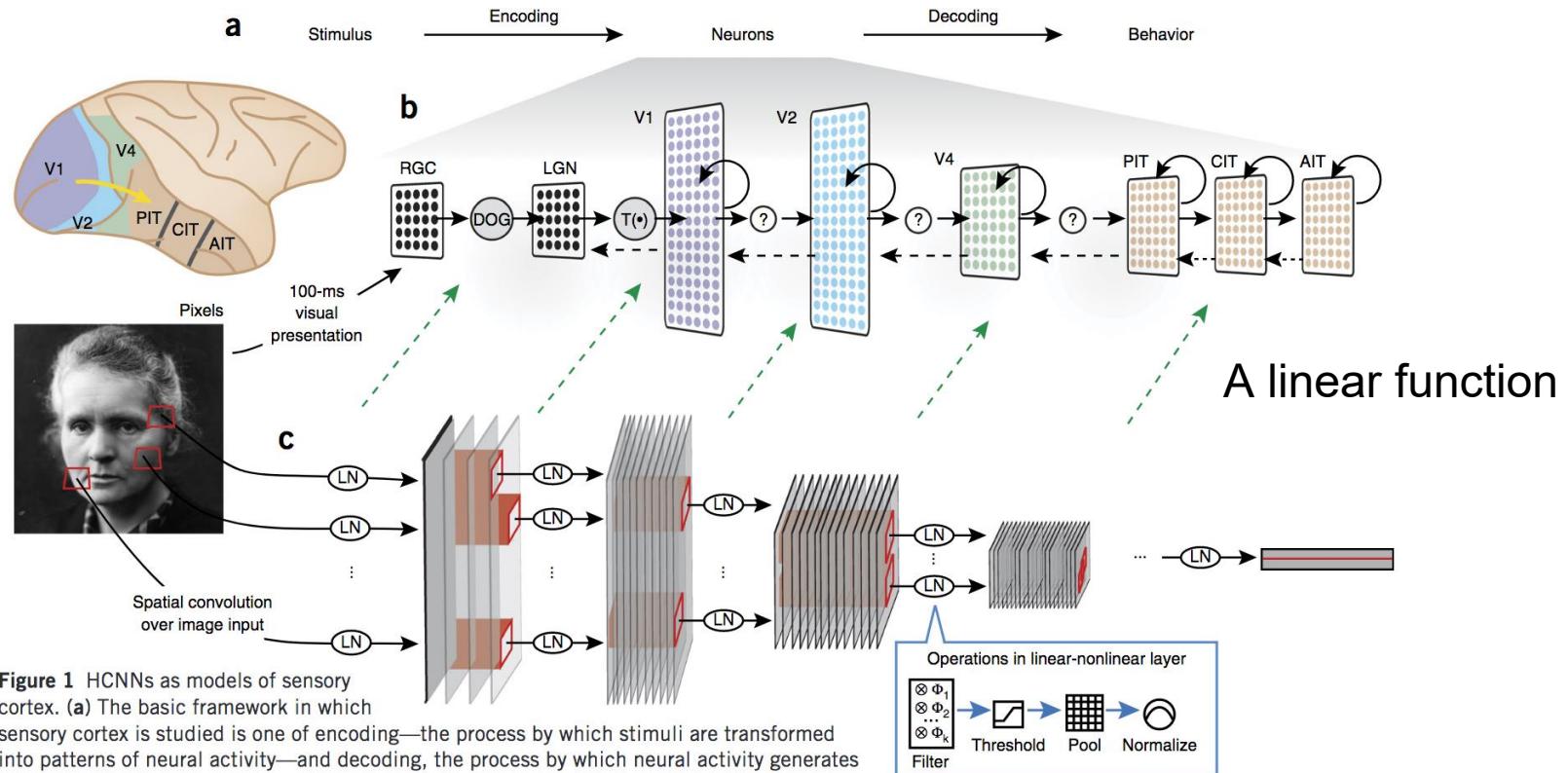
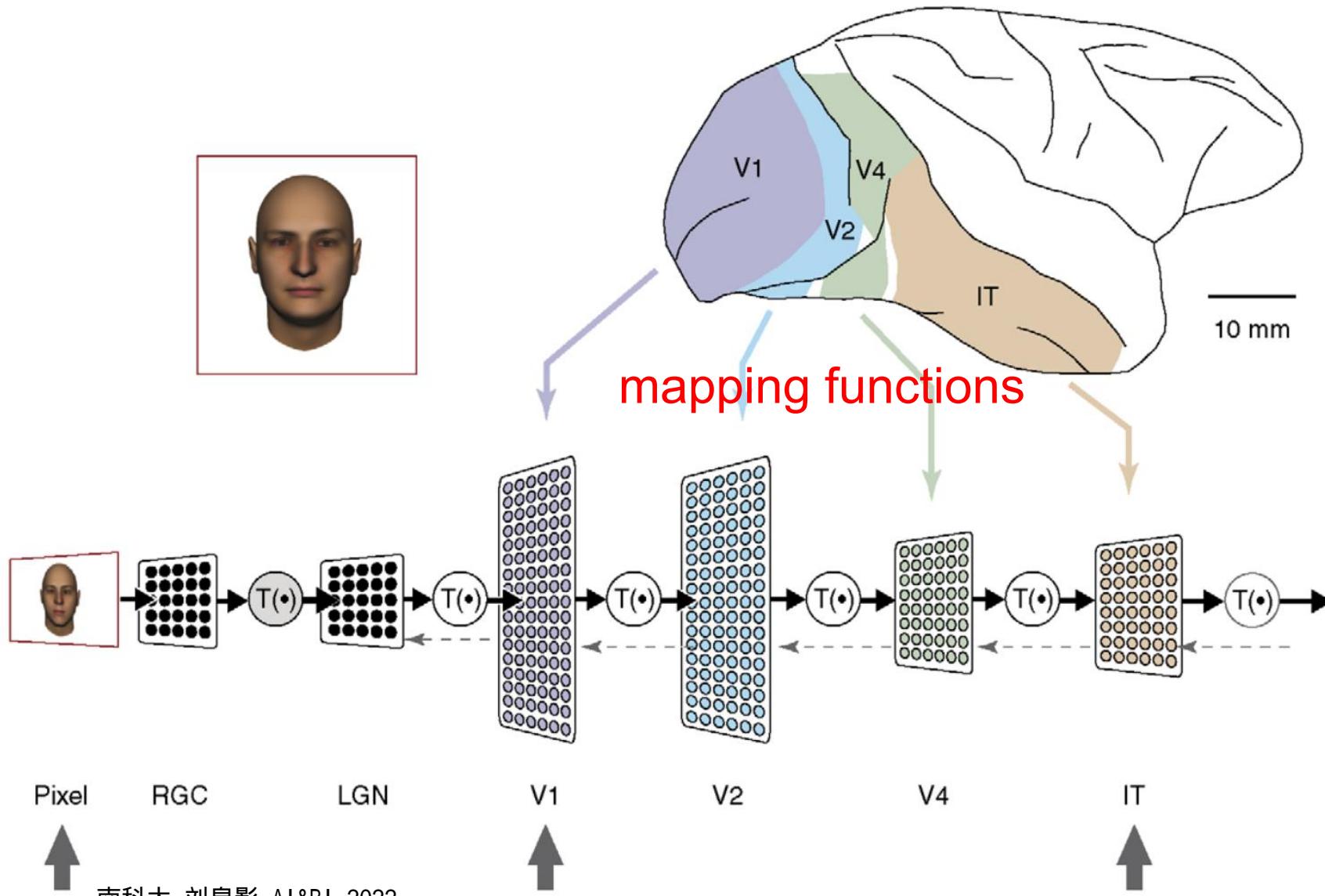


Figure 1 HCNNS as models of sensory cortex. (a) The basic framework in which sensory cortex is studied is one of encoding—the process by which stimuli are transformed into patterns of neural activity—and decoding, the process by which neural activity generates behavior. HCNNS have been used to make models of the encoding step; that is, they describe the mapping of stimuli to neural responses as measured in brain. (b) The ventral visual pathway is the most comprehensively studied sensory cascade. It consists of a series of connected cortical brain areas (macaque brain shown). PIT, posterior inferior temporal cortex; CIT, central; AIT, anterior; RGC, retinal ganglion cell; LGN, lateral geniculate nucleus. DOG, difference of Gaussians model; $T(\bullet)$, transformation. (c) HCNNS are multilayer neural networks, each of whose layers are made up of a linear-nonlinear (LN) combination of simple operations such as filtering, thresholding, pooling and normalization. The filter bank in each layer consists of a set of weights analogous to synaptic strengths. Each filter in the filter bank corresponds to a distinct template, analogous to Gabor wavelets with different frequencies and orientations; the image shows a model with four filters in layer 1, eight in layer 2, and so on. The operations within a layer are applied locally to spatial patches within the input, corresponding to simple, limited-size receptive fields (red boxes). The composition of multiple layers leads to a complex nonlinear transform of the original input stimulus. At each layer, retinopy decreases and effective receptive field size increases. HCNNS are good candidates for models of the ventral visual pathway. By definition, they are image computable, meaning that they generate responses for arbitrary input images; they are also mappable, meaning that they can be naturally identified in a component-wise fashion with observable structures in the ventral pathway; and, when their parameters are chosen correctly, they are predictive, meaning that layers within the network describe the neural response patterns to large classes of stimuli outside the domain on which the models were built.

Biological neural representation resembles to the artificial neural representation.



Technical limits

Low-dimensional
neuron recordings

easy ↑
hard ↓

High-dimensional
CNN feature maps

DiCarlo and Cox, TiCS, 2007

Brain score: how well existing models explain the neural data

<http://www.brain-score.org/#leaderboard>

Sort by average score

Rank	Model	average	V1	V2	V4	IT	behavior	engineering	Deng2009-top1
1	efficientnet-b0 <i>Tan et al., 2019</i>	.442	.215	.317	.556	.547	.573		
2	efficientnet-b6 <i>Tan et al., 2019</i>	.435	.263	.295	.563	.541	.513		
3	efficientnet-b2 <i>Tan et al., 2019</i>	.434	.213	.317	.569	.547	.526		
4	efficientnet-b4 <i>Tan et al., 2019</i>	.434	.228	.286	.575	.543	.535		
5	CORnet-S <i>Kubilius et al., 2018</i>	.417	.294	.242	.581	.423	.545	.747	.747
6	vgg-19 <i>Simonyan et al., 2014</i>	.408	.347	.341	.610	.248	.494	.711	.711
7	resnet-50-robust <i>Santurkar et al., 2019</i>	.408	.378	.365	.537	.243	.515		
8	resnet-101_v1 <i>He et al., 2015</i>	.407	.266	.341	.590	.274	.561	.764	.764
9	vgg-16 <i>Simonyan et al., 2014</i>	.406	.355	.336	.620	.259	.461	.715	.715
10	resnet-152_v1 <i>南科大-刘泉影AI&BI 2022</i>	.405	.282	.338	.598	.277	.533	.768	.768

Sort by V4 score

Model	average	V1	V2	V4	IT	behavior	engineering	Deng2009-top1
vgg-16 <i>Simonyan et al., 2014</i>	.406	.355	.336	.620	.259	.461	.715	.715
vgg-19 <i>Simonyan et al., 2014</i>	.408	.347	.341	.610	.248	.494	.711	.711
xception <i>Chollet et al., 2016</i>	.384	.245	.306	.610	.249	.508	.790	.790
densenet-169 <i>Huang et al., 2016</i>	.404	.281	.322	.601	.274	.543	.759	.759
resnet-50-pytorch <i>He et al., 2015</i>	.399	.289	.317	.600	.259	.528	.752	.752
resnet-101_v2 <i>He et al., 2015</i>	.404	.274	.332	.599	.263	.555	.774	.774
resnet50-SIN_IN <i>Geirhos et al., 2019</i>	.404	.282	.324	.599	.276	.541	.746	.746
densenet-201 <i>Huang et al., 2016</i>	.402	.277	.325	.599	.273	.537	.772	.772
resnet-152_v1 <i>He et al., 2015</i>	.405	.282	.338	.598	.277	.533	.768	.768
resnet50-SIN_IN_IN <i>Geirhos et al., 2019</i>	.397	.275	.321	.596	.273	.523	.767	.767

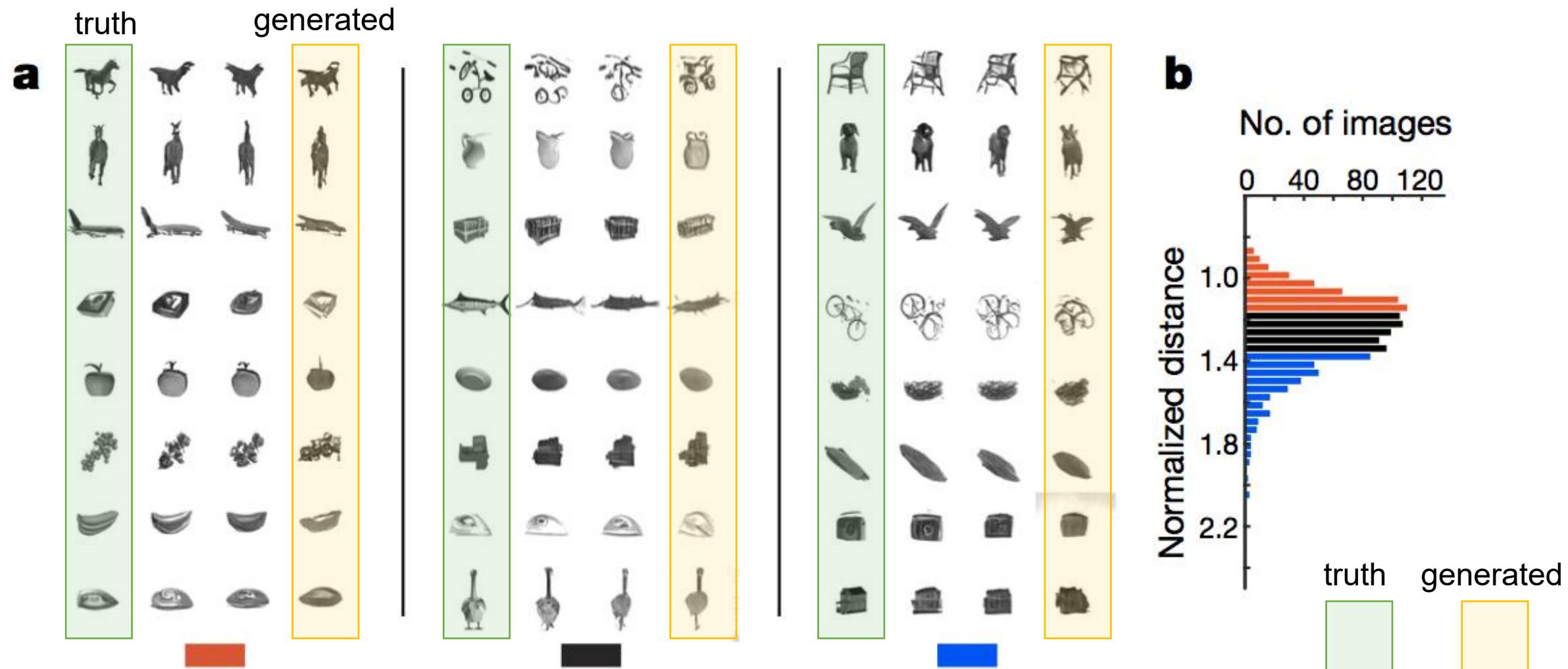
Q2:

**Whether can we reconstruct the
image based on neural signals?**

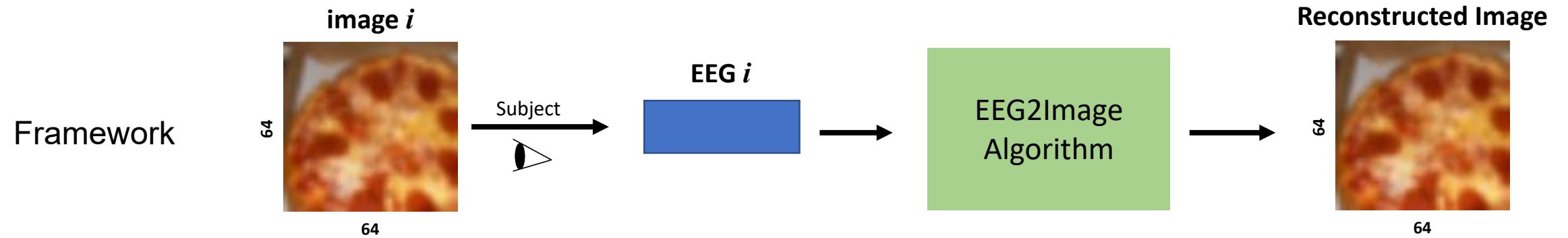
neural activity → image

We will show you some detailed studies/algorithms in the following weeks.

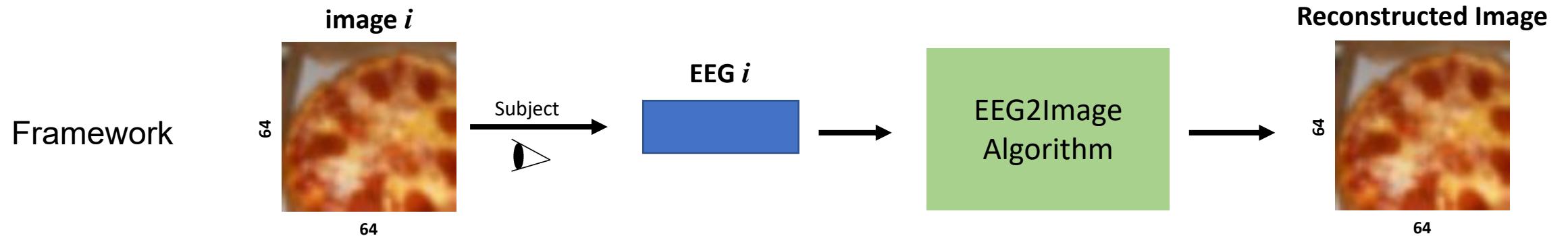
Reconstruct images based on IT neuronal activity via GAN



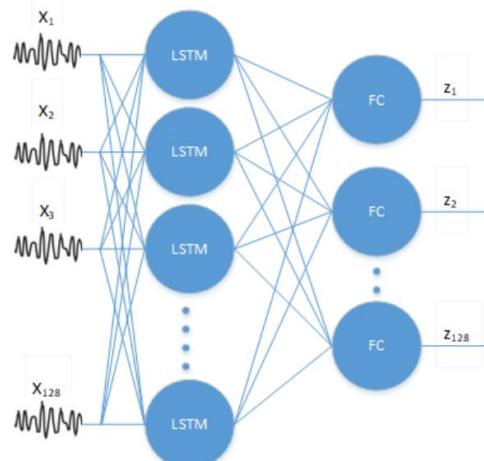
Reconstruct images based EEG activity via GAN



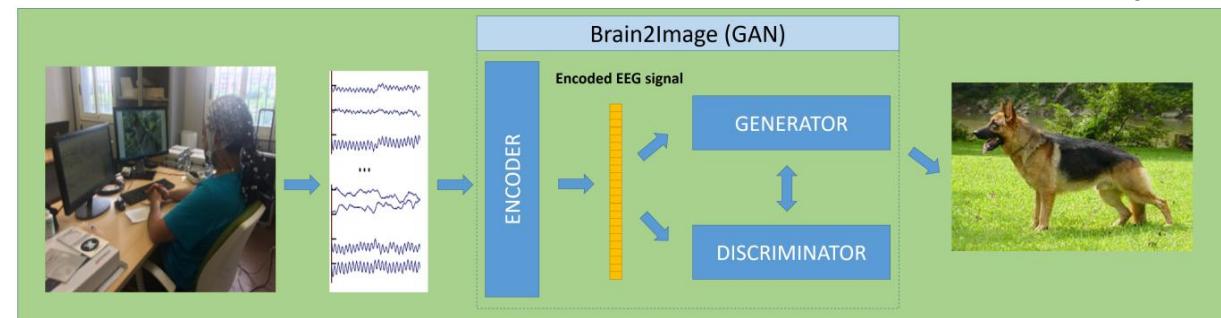
Reconstruct images based EEG activity via GAN



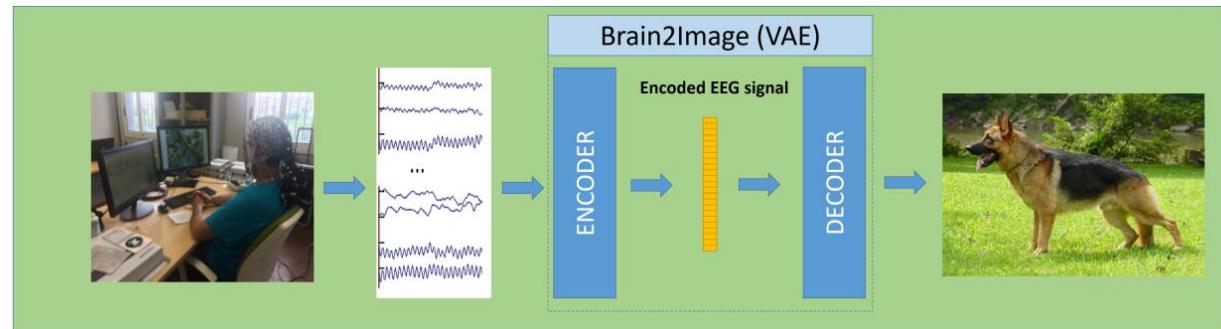
The first work (ACMMM 2017)



GAN version

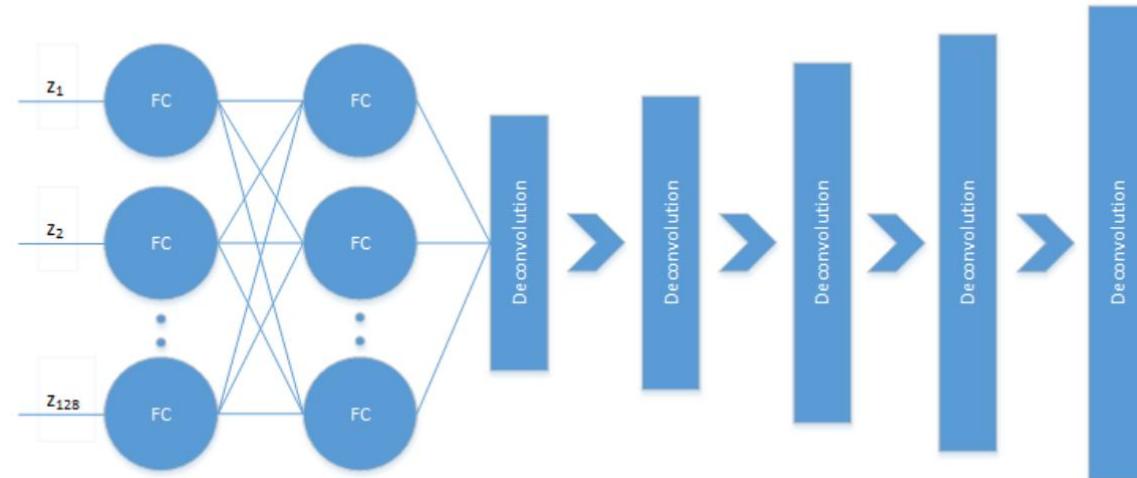


VAE version



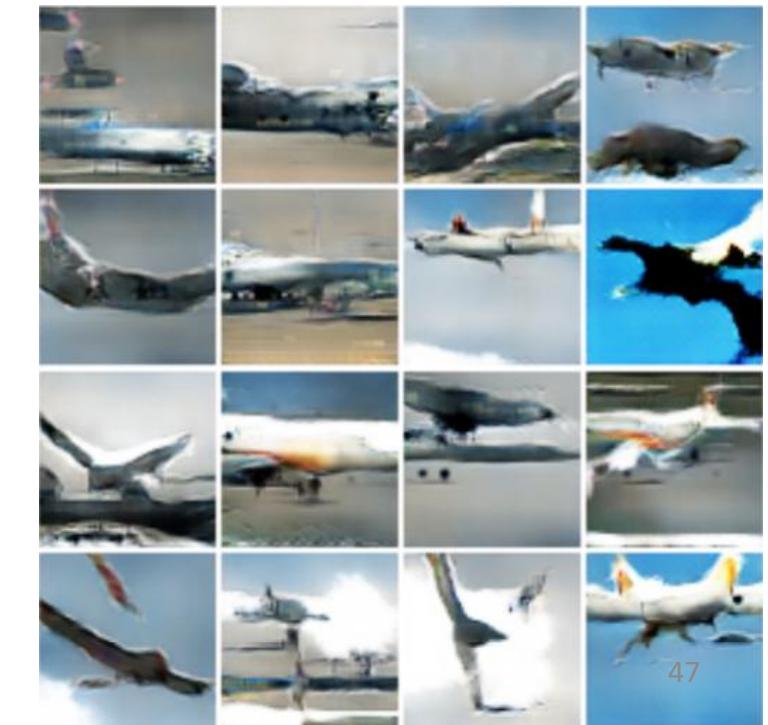
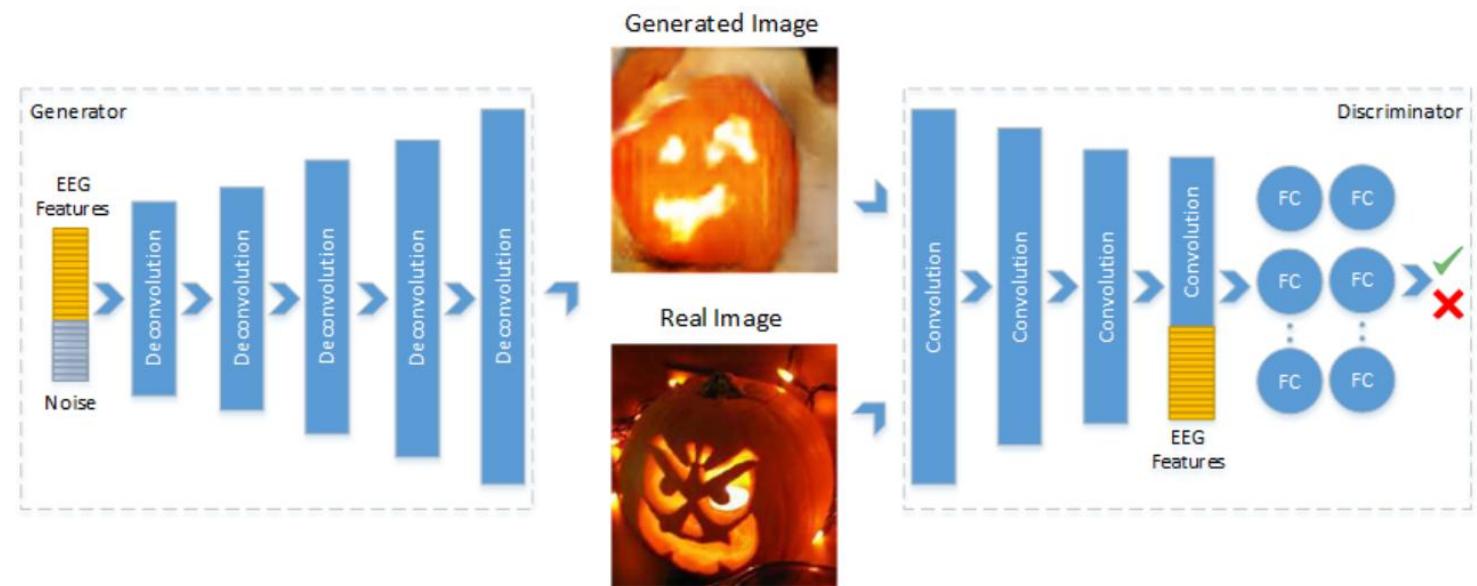
Kavasidis, Isaak, et al. "Brain2image: Converting brain signals into images." *Proceedings of the 25th ACM international conference on Multimedia*. 2017.

Brain2image: VAE version

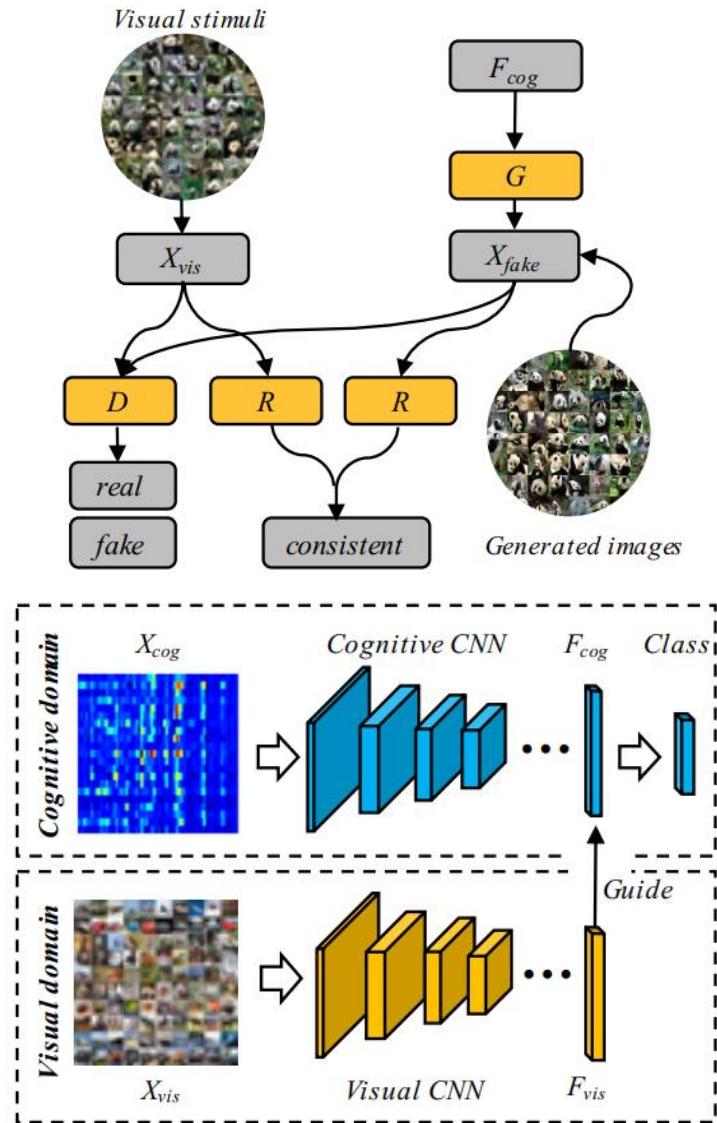


Kavasidis, Isaak, et al. "Brain2image: Converting brain signals into images." *Proceedings of the 25th ACM international conference on Multimedia*. 2017.

Brain2image: GAN version



A work in IJCAI 2019



南科大
Figure 2 - Visual-guided EEG classification.

Idea:
Guide EEG decoding via pre-trained CNN representation

#1 Classification: $L = - \sum_{j=1}^N y_j \log S_j + (F_{cog} - F_{vis})^2$
#2 Loss_d:

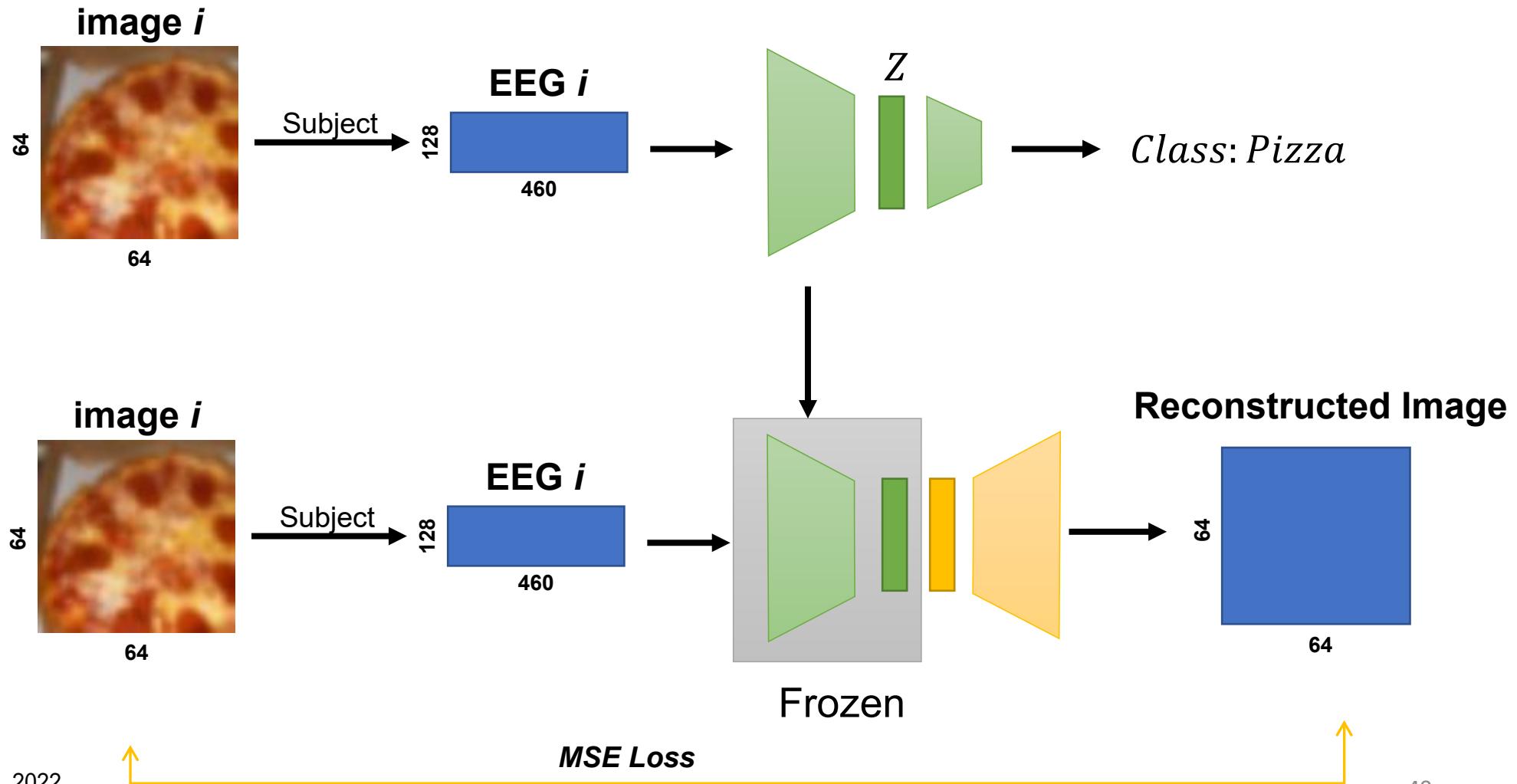
perceptual loss: $\| f(X_{vis}) - f(X_{fake}) \|_2^2$
f in the first FCN layer
semantic loss: softmax cross entropy

Core idea:
Make EEG features more **similar to** pre-trained CNN features



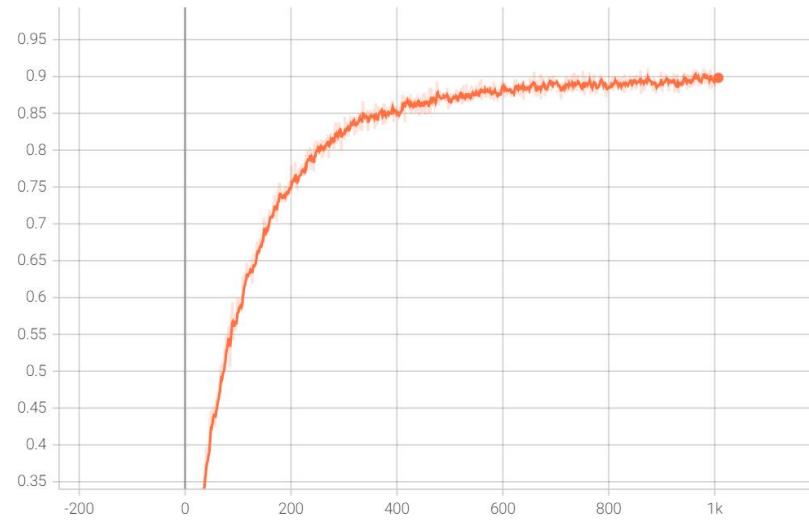
NCC lab tried to replicate the results. But

Our attempt 1

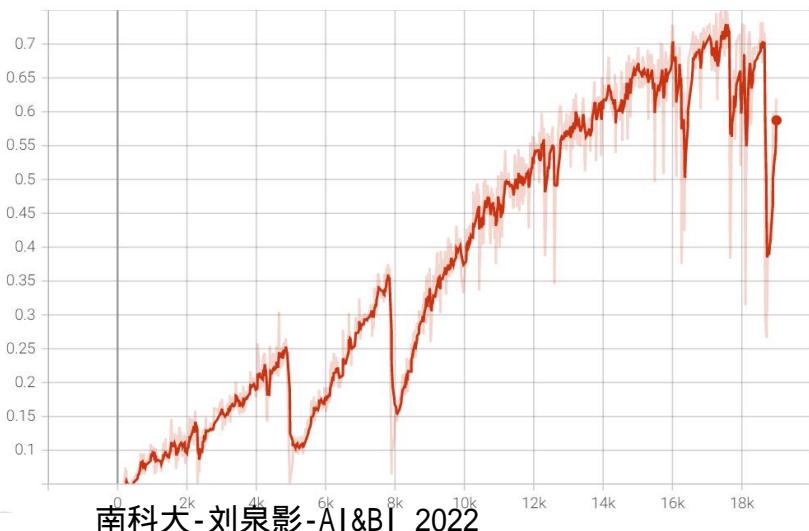


Attempt 1: Results

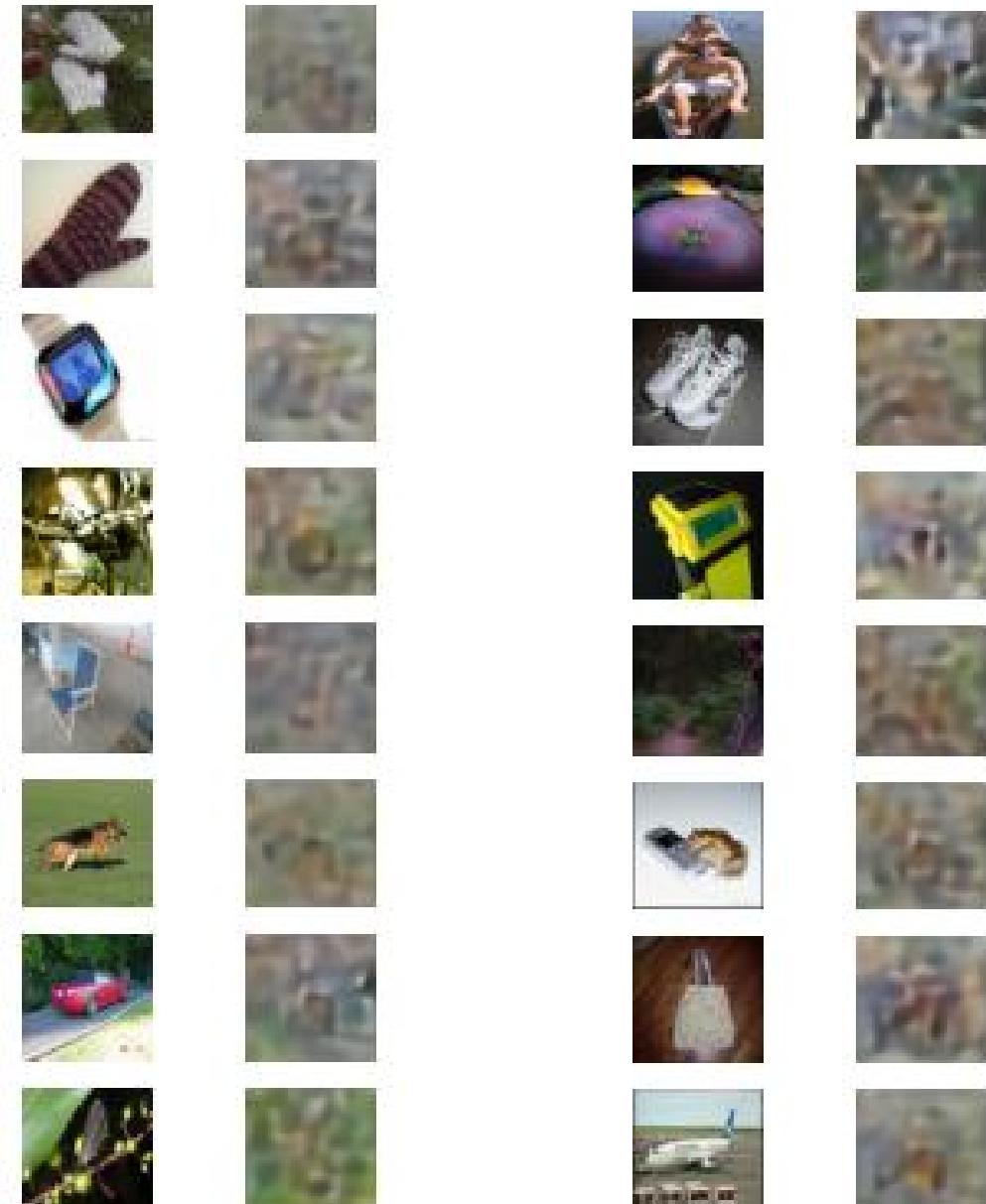
EEG classification accuracy using CNN encoder



EEG classification accuracy using LSTM encoder



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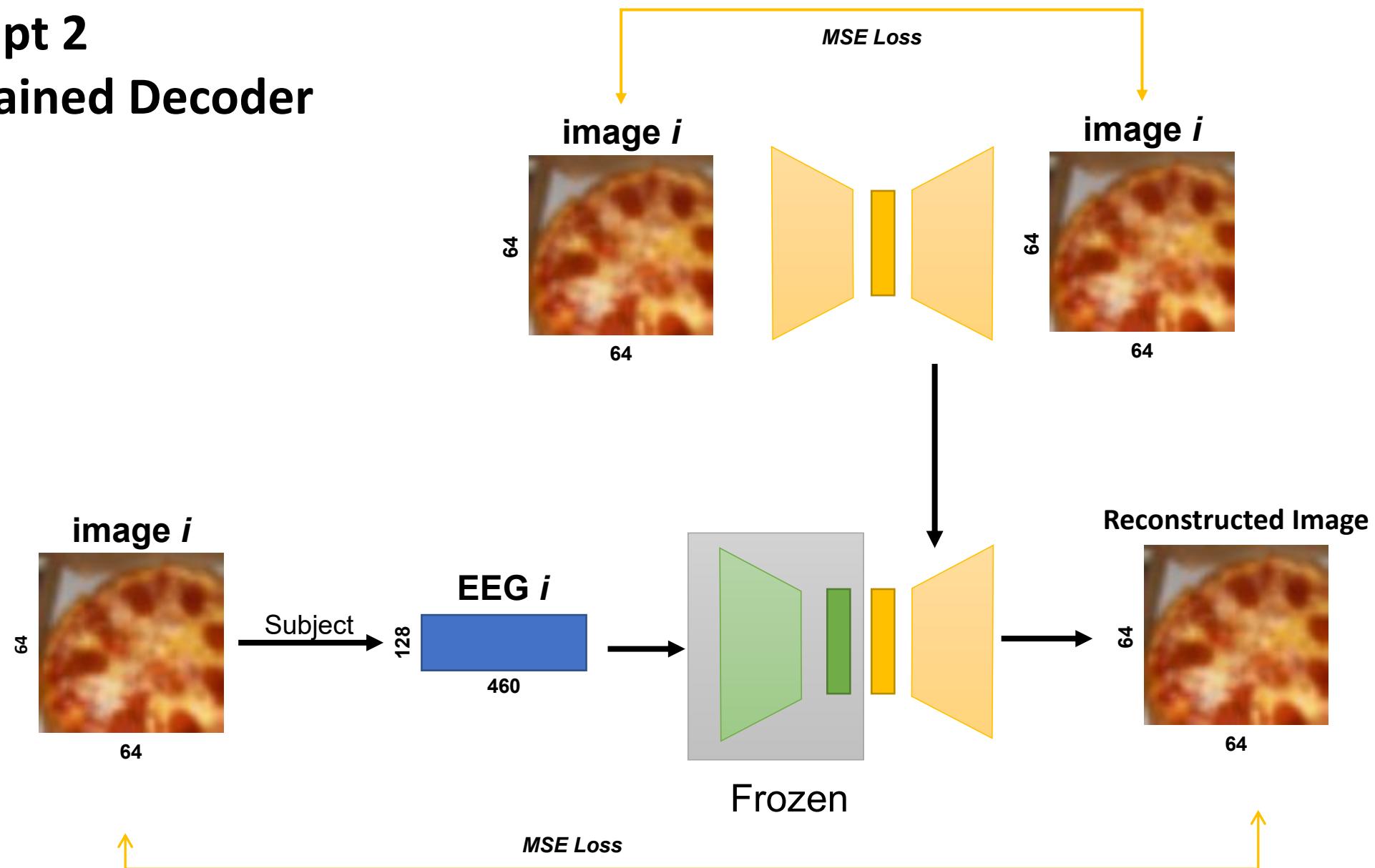


Train

Test

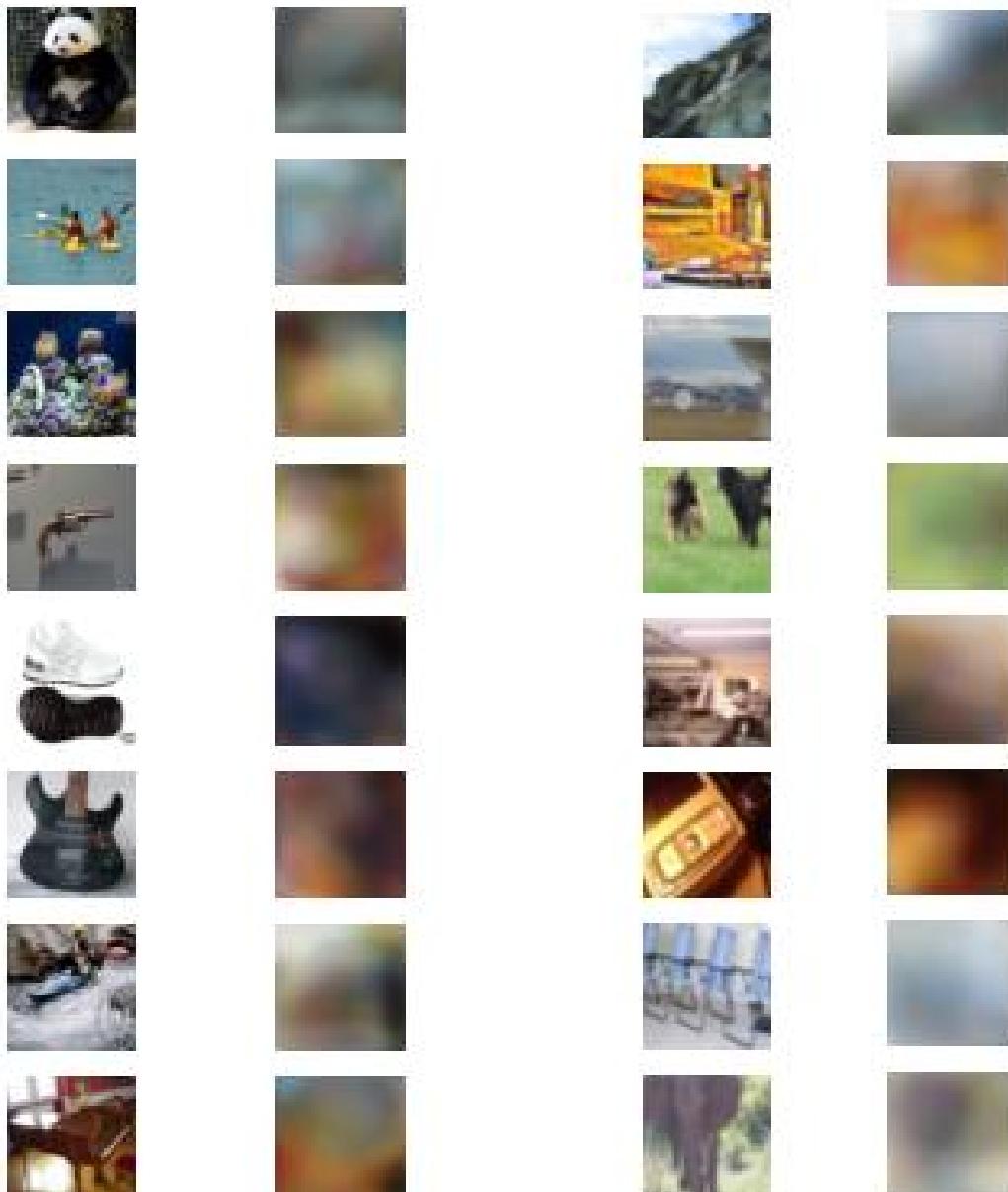
Attempt 2

Pre-trained Decoder



Attempt 2: Results

Autoencoder: Image to Image



Test

**Overfitting
On training set**

Q3:

Whether can mechanism of visual system help AI improve performance?

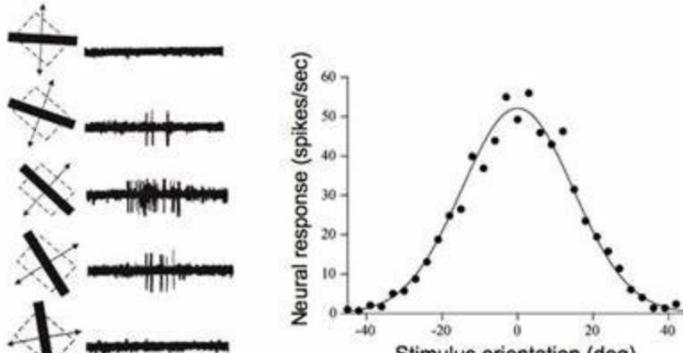
BI inspires AI

VOneNet 的设计思路为：通过使用一个精心设计的**V1模块**来替换**CNN**的第一层，使得**CNN**的活动模式更像真实的初级视觉皮层，以提升模型对图像扰动的鲁棒性。其核心的 **V1模块** 便是**VOneBlock**。

VOneNet 的设计思路为：通过使用一个精心设计的**V1模块**来替换**CNN**的第一层，使得**CNN**的活动模式更像真实的初级视觉皮层，以提升模型对图像扰动的鲁棒性。其核心的 **V1模块** 便是**VOneBlock**。

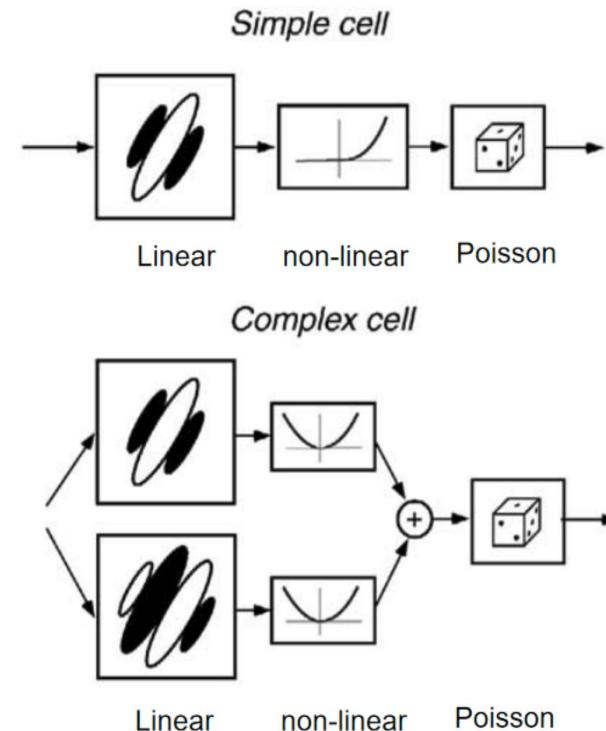
在V1区中，存在着两种重要的细胞：复杂细胞和简单细胞，它们由Wiesel和Hubel在上世纪60年代共同发现。

这两种细胞的共同点在于：它们都对具有特定方向、频率和对比度的正弦光栅信号有偏好性

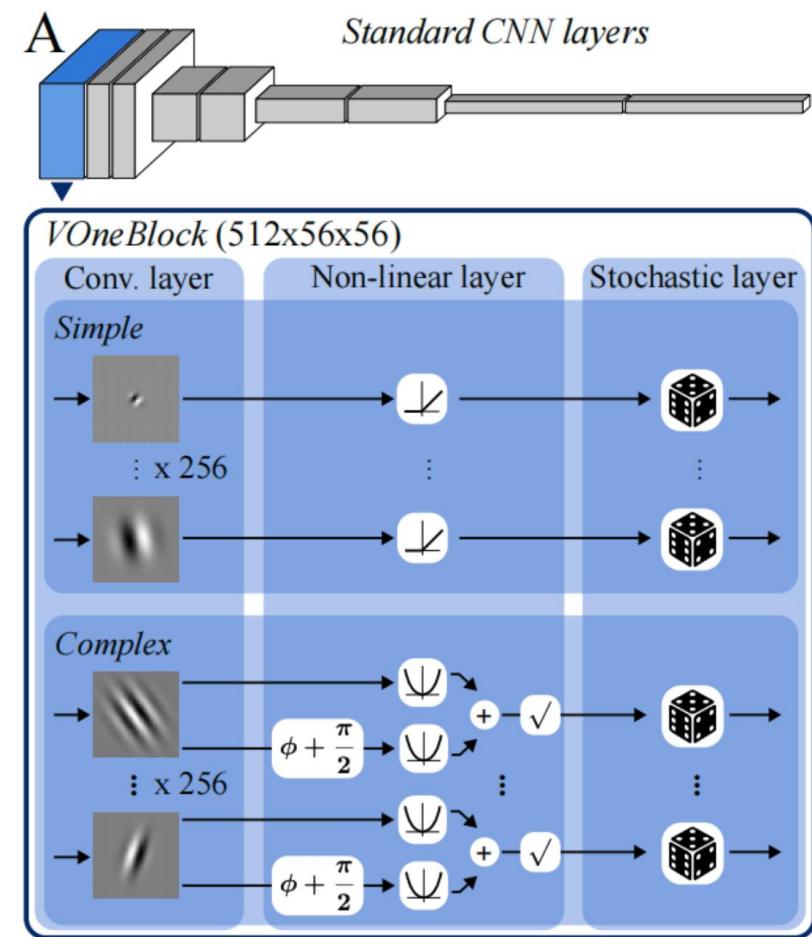


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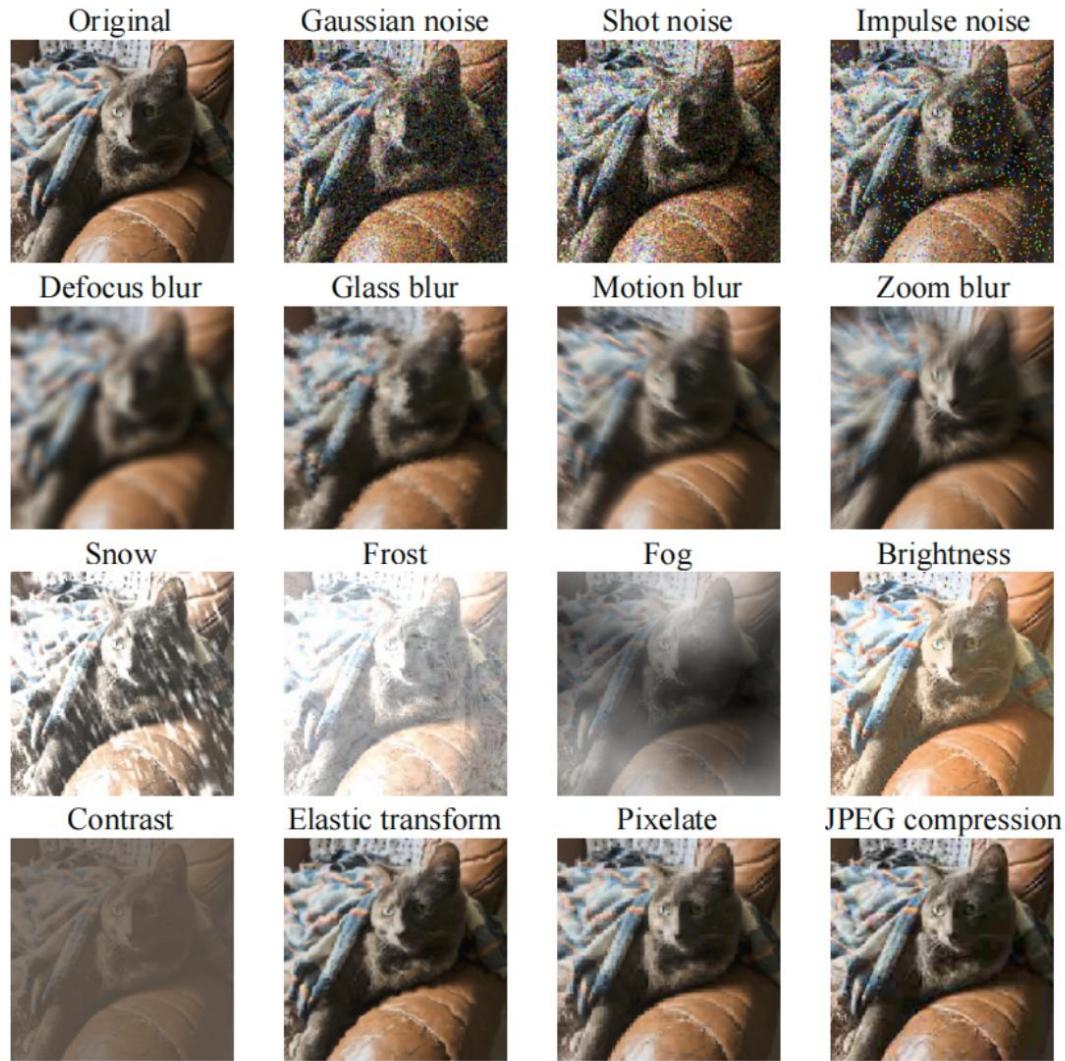
Rust等人在2005年提出了建模简单细胞和复杂细胞的**Linear-Nonlinear-Poisson**模型（LNP模型）。



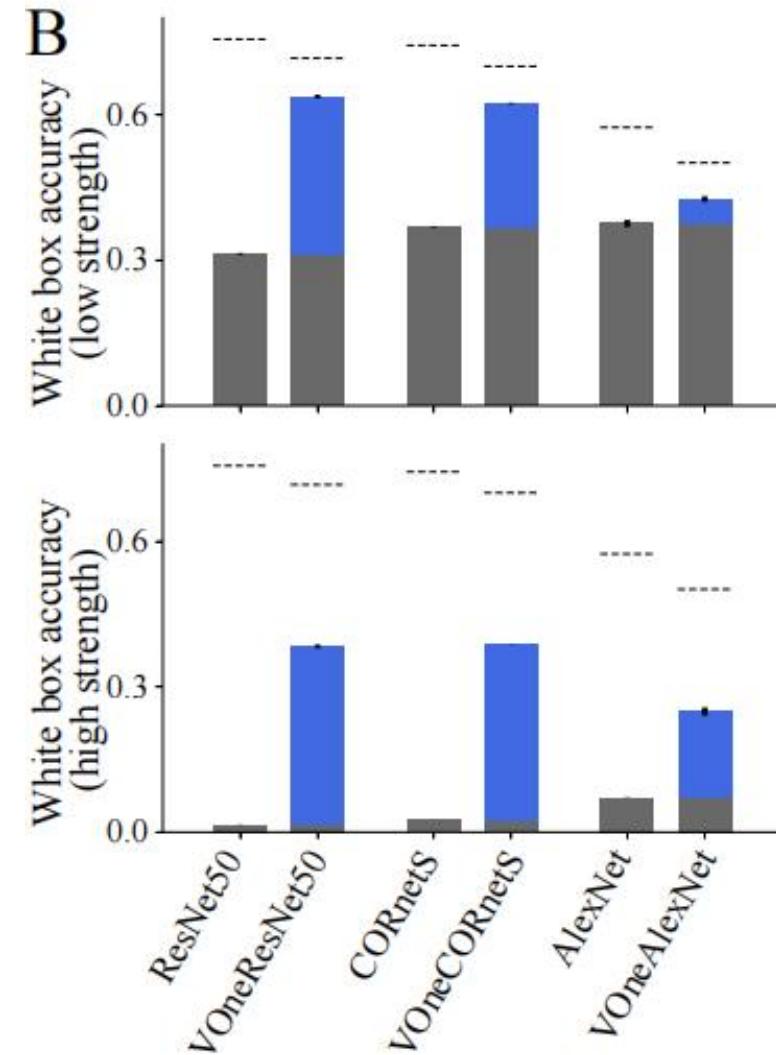
在CNN中设计一个V1模块，使得CNN第一层具有大脑V1神经元的性质



What are the benefits from VOneNet? → Robustness to perturbations



南科大-刘泉影-AI&B. 2022 22种不同的图像损坏方式。



More details

文章分享 | 基于灵长类初级视觉皮层启发的CNN前端组件可以改善视觉模型对图像干扰的鲁棒性

Original NCC lab 神经计算与控制实验室 2022-04-28 15:03 Posted on 广东



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#文献阅读

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/ 简介 /

本推文介绍的是2020年发表在NeurIPS的文章“**Simulating a Primary Visual Cortex at the Front of CNNs Improves Robustness to Image Perturbations**”[1]。在文章中，作者提出了一种可衡量CNN人工神经元激活与V1脑区真实的神经元活动之间可解释性的指标“**V1 explained variance**”，并发现了V1 explained variance与视觉模型的对抗鲁棒性成正比关系。基于此发现，作者设计了一种受大脑神经元LNP模型[2]启发的CNN前端组件**VOneBlock**。

实验结果表明，在添加了VOneBlock后，CNN模型对两种常用的图像干扰手段（即white-box attack和image corruption）的鲁棒性都有明显提升。

该VoneNet模型代码见Github: <https://github.com/dicarlolab/vonenet>

The **Marr's** three levels of explanation

top-down

Level 1: Computation theory

- What is the problem to be solved?
- What are the inputs and outputs to the computation?
- What is the goal, and what is the logic by which it is carried out?

Level 2: Algorithmic

- How is the information represented and processed to achieve the computational goal?

Level 3: Implementation

- How is the computation realized in physical or biological hardware?

Reverse-engineer our visual system

to understand the design principles of vision

Future directions

Design eye-like camera

Explain/Treat visual disease

Design AI for computer vision

Human vision enhancement

Explain visual phenomenon

Decode/encode neural signals

Summary of Lecture 2 – Visual System

0. Marr's three levels of explanation
1. Evolution of the eye
2. Function of the visual system
3. Structure of the eye
4. Photoreceptors
5. Information integration by ganglion cell
6. **Visual pathways**: photoreceptors, interneurons, ganglion cells, LGN, V1, ventral/dorsal streams...
7. Some discussions about **BI & AI in visual system**

Recommended materials

Some materials are from the textbook

- From Neuron to Brain (Ch1-Ch3)

Recommended book

- Principles of Neural Design, by Peter Sterling and Simon Laughlin
- Dapello, J., Marques, T., Schrimpf, M., Geiger, F., Cox, D., & DiCarlo, J. J. (2020). Simulating a primary visual cortex at the front of CNNs improves robustness to image perturbations. *Advances in Neural Information Processing Systems*, 33, 13073-13087.

<https://proceedings.neurips.cc/paper/2020/file/98b17f068d5d9b7668e19fb8ae470841-Paper.pdf>