

# RECURSION

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## LECTURE 06-2

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# AN INTERESTING PROCEDURE

- ▶ Consider this procedure:

```
def outputCount(count)
    print(count)
    outputCount(count - 1)
```

- ▶ What does this do?

```
>>> outputCount(10)
????
```

# AN INTERESTING PROCEDURE

- ▶ Consider this procedure:

```
def outputCount(count)
    print(count)
    outputCount(count - 1)
```

- ▶ It counts down from 10

```
>>> outputCount(10)
```

```
10
```

```
9
```

```
8
```

```
...
```

- ▶ But then it keeps going!

# AN INTERESTING PROCEDURE

- ▶ Consider this procedure:

```
def outputCount(count)
    print(count)
    outputCount(count - 1)
```

- ▶ It counts down from 10

```
>>> outputCount(10)
10
9
8
...
```

- ▶ But then it keeps going!
- ▶ The calls stack up, deeper and deeper, until Python's "maximum recursion depth" gets reached and Python bails with an error.

# AN INTERESTING PROCEDURE

- ▶ Consider this procedure:

```
def outputCount(count)
    print(count)
    outputCount(count - 1)
```

- ▶ It counts down from 10

```
>>> outputCount(10)
```

```
10
```

```
9
```

```
8
```

```
...
```

- ▶ But then it keeps going!
- ▶ Can we re-write it so it only counts down to 1?

# COUNTING DOWN TO 1

- Yes. Here is the *rewrite*:

```
def outputCount(count)
    print(count)
    if count > 1:
        outputCount(count - 1)
```

- It counts down from 10 and stops.

```
>>> outputCount(10)
```

```
10
```

```
9
```

```
8
```

```
7
```

```
6
```

```
5
```

```
4
```

```
3
```

```
2
```

```
1
```

```
>>>
```

# A RECURSIVE PROCEDURE

- ▶ A function or procedure that "calls itself" is **recursive**.
- ▶ Here, I've rewritten it for (perhaps) a decent explanation

```
def countDownFrom(start)
    if start == 1:
        print(1)
    elif start > 1:
        print(start)
        countDownFrom(start - 1)
```

- ▶ You can think of it this way, describing **the procedure for counting down to 1**:  
*"When asked to count down from 1 down to 1, just say "one." When asked to count down from a number larger than 1, just say the starting number. And **then follow this same procedure** for counting down from its predecessor."*

# COUNTING DOWN TO 1

- ▶ This code counts from `count` down to 1.

```
def outputCount(count)
    print(count)
    if count > 1:
        outputCount(count - 1)
```

- ▶ Its procedure to count from 10, down, relies on the procedure to count from 9.

```
>>> outputCount(10)
```

```
10
```

```
9
```

```
8
```

```
7
```

```
6
```

```
5
```

```
4
```

```
3
```

```
2
```

```
1
```

```
>>>
```



These are just the lines of `outputCount(9)`.



## THE SAME, WITH A SMALL TWEAK

- ▶ How about this procedure, *with just one change*:

```
def outputCountTweaked(count)
    print(count)
    if count > 1:
        outputCountTweaked(count - 1)
    print(count)
```

- ▶ What does it do?

```
>>> outputCountTweaked(5)
????
```

## THE SAME, WITH A SMALL TWEAK

- ▶ How about this procedure, *with just one change*:

```
def outputCountTweaked(count)
    print(count)
    if count > 1:
        outputCountTweaked(count - 1)
    print(count)
```

- ▶ It prints the numbers from 5 down to 1, then counts back up again:

```
>>> outputCountTweaked(5)
```

```
5
4
3
2
1
1
2
3
4
5
```

```
>>>
```

# THE SAME, WITH A SMALL TWEAK

- ▶ How about this procedure, *with just one change*:

```
def outputCountTweaked(count)
    print(count)
    if count > 1:
        outputCountTweaked(count - 1)
    print(count)
```

- ▶ It prints the numbers from 5 down to 1, then counts back up again:

```
>>> outputCountTweaked(5)
```

5

4

3

2

1

1

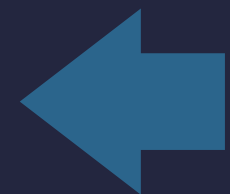
2

3

4

5

```
>>>
```



This is just the lines of `outputCountTweaked(4)`.

# THE SAME, WITH A SMALL TWEAK

- ▶ How about this procedure, *with just one change*:

```
def outputCountTweaked(count)
    print(count)
    if count > 1:
        outputCountTweaked(count - 1)
    print(count)
```

- ▶ Why? Recall that function and procedure calls "stack up"...

```
>>> outputCountTweaked(5)
```

```
5
```

```
4
```

```
3
```

```
2
```

```
1
```

```
1
```

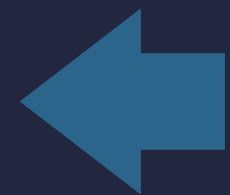
```
2
```

```
3
```

```
4
```

```
5
```

```
>>>
```



This is just the lines of `outputCountTweaked(4)`.

- ▶ ... and so the call with `count` of 5 waits for the call for 4 to finish, then prints it's second 5.

# RECURSION

- ▶ A procedure or function that calls itself is *recursive*.
  - ➡ Some clever algorithms are naturally expressed this way.
  - ➡ The general programming technique is *recursion*.
- ▶ **Reading** on recursion:
  - ♦ TP 4.9-4.11, 5.5
  - ♦ CP 1.7

## RECURSIVE PROCEDURES

- ▶ Recursive procedures are very common in computer science. They are sometimes a natural way of expressing an algorithm.
- ▶ Here is a procedure for sorting a collection of items:  
"Pick an item from that collection. Divide the rest into a collection of things that come before that item, and a collection of things that come after it. **Follow this same procedure** to sort each of those collections into an order, and put the chosen item between those two orderings."

# RECURSIVE PROCEDURES

- ▶ Recursive procedures are very common in computer science. They are sometimes a natural way of expressing an algorithm.
- ▶ Here is a procedure for sorting a collection of items:  
"Pick an item from that collection. Partition the other items into a collection of things that come before that item, and a collection of things that come after it. **Follow this same procedure** to sort each of those collections into an order, and put the chosen item between those two orderings."
- ▶ Here is a procedure for sorting a collection of items:  
"Split the collection into two collections, arbitrarily. **Follow this same procedure** to sort each of those collections into an order. Merge those two orderings."

# TWO FAMOUS SORTING ALGORITHMS IN PYTHON

- ▶ Both of these sorting algorithms are famous. The first is called "quick sort."
- ▶ The **quick sort** procedure for sorting a collection of items:  
"Pick an item from that collection. Partition the other items into a collection of things that come before that item, and a collection of things that come after it. *Follow this same quick sort procedure to sort each of those collections into an order, and put the extra item between those two orderings.*"
- ▶ Here is a Python procedure that mimics the above:

```
def quick_sort(items):  
    item = choose_item(items)  
    befores, afters = partition(items, item)  
    sorted_befores = quick_sort(befores)  
    sorted_afters = quick_sort(afters)  
    return sorted_befores + [item] + sorted_afters
```

- ▶ It is not quite correct! It doesn't properly recognize the "bottom case".



# TWO FAMOUS SORTING ALGORITHMS IN PYTHON

- ▶ Both of these sorting algorithms are famous. The first is called "quick sort."
- ▶ The **quick sort** procedure for sorting a collection of items:  
"Pick an item from that collection. Partition the other items into a collection of things that come before that item, and a collection of things that come after it. *Follow this same quick sort procedure to sort each of those collections into an order, and put the extra item between those two orderings.*"
- ▶ Here is **the correct** Python procedure that mimics the above:

```
def quick_sort(items):  
    if len(items) == 0: # nothing to sort  
        return []  
    item = choose_item(items)  
    befores, afters = partition(items, item)  
    sorted_befores = quick_sort(befores)  
    sorted_afters = quick_sort(afters)  
    return sorted_befores + [item] + sorted_afters
```

## A RECURSIVE PROCEDURE

- ▶ Handling an empty list is called a **base case** of this recursive algorithm.
  - ➡ A base case is typically an "easy enough to handle" case.
- ▶ The other kind of case is called a **recursive case**.
  - ➡ It is any case that is handled by procedure calling itself.
  - ➡ When it calls itself, it typically hands itself an "easier" case to handle.

## BASE CASE VERSUS RECURSIVE CASE

- Note that we could have used 0 as the base case for our count down code:

```
def countdownFrom(start)
    if start == 0:
        return
    else:
        print(start)
        countdownFrom(start - 1)
```

# BASE CASE VERSUS RECURSIVE CASE

- ▶ The **base case** is when someone *counts down from 0*:

```
def countdownFrom(start)
  if start == 0:
    return # Do nothing when start is 0
  else:
    print(start)
    countdownFrom(start - 1)
```

# BASE CASE VERSUS RECURSIVE CASE

- Our *recursive case* is when someone counts down from a positive number

```
def countdownFrom(start)
    if start == 0:
        return
    else:
        print(start)                # Print the number then...
        countdownFrom(start - 1)    # count from one below it.
```

# THE SECOND RECURSIVE SORT: MERGE SORT

- ▶ Both of these sorting algorithms are famous. The second is called "merge sort."
- ▶ Here is the **merge sort** procedure for sorting a collection of items:  
"Split the collection into two collections. **Follow this same merge sort procedure** to sort each of those collections into an order. Merge these two orderings"
- ▶ Here is a Python procedure that mimics the above:

```
def merge_sort(items):  
    if len(items) == 0: # nothing to sort  
        return []  
    part1, part2 = split(items)  
    sorted1 = merge_sort(part1)  
    sorted2 = merge_sort(part2)  
    return merge(sorted1, sorted2)
```

▶ .

# THE SECOND RECURSIVE SORT: MERGE SORT

- ▶ Both of these sorting algorithms are famous. The second is called "merge sort."
- ▶ Here is the **merge sort** procedure for sorting a collection of items:  
"Split the collection into two collections. *Follow this same merge sort procedure to sort each of those collections into an order.* Merge these two orderings"
- ▶ Here is a Python procedure that mimics the above:

```
def merge_sort(items):  
    if len(items) == 0: # nothing to sort  
        return []  
    part1, part2 = split(items)  
    sorted1 = merge_sort(part1)  
    sorted2 = merge_sort(part2)  
    return merge(sorted1, sorted2)
```

- ▶ We will look at these sorts more carefully in the second half of the course.

# RECURSIVE FUNCTIONS

- ▶ Let's invent a recursive function.
- ▶ Suppose we wanted to write Python code that computes this sum:

$$1 + 2 + 3 + \dots + 99 + 100 == \text{????}$$

- ▶ And we want it to work for any value of `n`, not just up to `100`.



# RECURSIVE FUNCTIONS

- ▶ Let's invent a recursive function.
- ▶ Suppose we wanted to write Python code that computes this sum:

$$1 + 2 + 3 + \dots + (n-1) + n == \text{????}$$

- ▶ And we want it to work for any value of  $n$ , not just up to 100.

```
def sumUpTo(n) :  
    ????
```

# RECURSIVE FUNCTIONS

- ▶ Let's invent a recursive function.
- ▶ Suppose we wanted to write Python code that computed this sum:

$$(1 + 2 + 3 + \dots + (n-1)) + n == \text{????}$$

- ▶ We see that the sum up to  $n$  relies on computing the sum up to  $n-1$
- ▶ So we try this:

```
def sumUpTo(n):  
    return sumUpTo(n-1) + n
```

- ▶ But this turns out to have the same problem as our first count code.
  - ➡ There's no base case to stop the "unwinding" of the sum.

# RECURSIVE FUNCTIONS

- ▶ Let's invent a recursive function.
- ▶ Suppose we wanted to write Python code that computed this sum:

$$(1 + 2 + 3 + \dots + (n-1)) + n == \text{????}$$

- ▶ Here is working code that has 1 as the base case

```
def sumUpTo(n):  
    if n == 1:  
        return 1  
    else:  
        return sumUpTo(n-1) + n
```

# RECURSIVE FUNCTIONS

- ▶ Let's invent a recursive function.
- ▶ Suppose we wanted to write Python code that computed this sum:

$$(1 + 2 + 3 + \dots + (n-1)) + n == \text{????}$$

- ▶ This one considers non-positive sums as "trivially 0":

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    else:  
        return sumUpTo(n-1) + n
```

# RECURSION AS SUBSTITUTION

- ▶ Defined recursively how are we to think of an expression like what's below?

```
>>> sumUpTo(5)
```

## RECURSION AS SUBSTITUTION

- ▶ Defined recursively how are we to think of an expression like what's below?

`>>> sumUpTo(5)`

- ▶ I imagine some series of rewriting steps, or substitutions, so this is like:

`>>> sumUpTo(4) + 5`

## RECURSION AS SUBSTITUTION

- ▶ Defined recursively how are we to think of an expression like what's below?

`>>> sumUpTo(5)`

- ▶ I imagine some series of rewriting steps, or substitutions, so this is like:

`>>> sumUpTo(4) + 5`

- ▶ which is like

`>>> (sumUpTo(3) + 4) + 5`

# RECURSION AS SUBSTITUTION

- ▶ Defined recursively how are we to think of an expression like what's below?

>>> `sumUpTo(5)`

- ▶ I consider some series of rewriting steps, or substitutions, so this is like:

>>> `sumUpTo(4) + 5`

- ▶ which is like

>>> `(sumUpTo(3) + 4) + 5`

- ▶ which is like

>>> `((sumUpTo(2) + 3) + 4) + 5`

- ▶ And so on...



# RECURSION AS SUBSTITUTION

- ▶ Defined recursively how are we to think of an expression like what's below?

>>> `sumUpTo(5)`

- ▶ I consider some series of rewriting steps, or substitutions, so this is like:

>>> `sumUpTo(4) + 5`

- ▶ which is like

>>> `(sumUpTo(3) + 4) + 5`

- ▶ which is like

>>> `((sumUpTo(2) + 3) + 4) + 5`

- ▶ And so on. So `sumUpTo(5)` is this sum:

>>> `((((0) + 1) + 2) + 3) + 4) + 5`

- ▶ It is the recursion, unwound down to the base case. And so:

>>> `sumUpTo(5)`

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# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

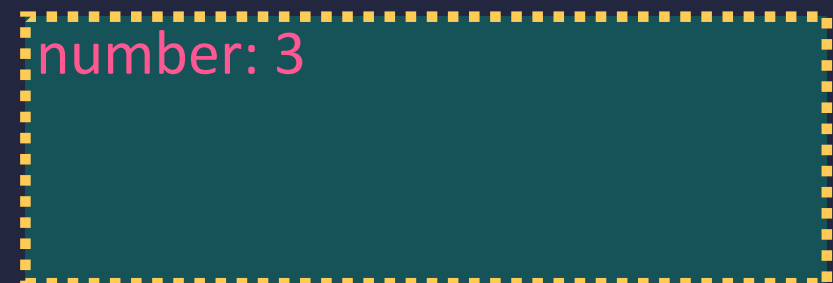
- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```

➡ 

```
number = int(input("Number? "))  
print(sumUpTo(number))
```

global frame



# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
number = int(input("Number? "))  
→ print(sumUpTo(number))
```

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
number = int(input("Number? "))  
print(sumUpTo(number))
```

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
➡ def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
➡ number = int(input("Number? "))  
print(sumUpTo(number))
```

sumUpTo(3) frame

n: 3

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
number = int(input("Number? "))  
print(sumUpTo(number))
```



sumUpTo(3) frame



global frame



# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
→ def sumUpTo(n) :  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
def sumUpTo(n) :  
    if n <= 0:  
        return 0  
→    return sumUpTo(n-1) + n  
  
→ number = int(input("Number? "))  
print(sumUpTo(number))
```

sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

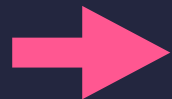
global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
number = int(input("Number? "))  
print(sumUpTo(number))
```



sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

global frame

number: 3



# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
number = int(input("Number? "))  
print(sumUpTo(number))
```

sumUpTo(1) frame

n: 1

sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
number = int(input("Number? "))  
print(sumUpTo(number))
```



sumUpTo(1) frame

n: 1

sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

global frame

number: 3

## PYTHON'S EXECUTION OF A RECURSION

- Let's take a look at Python's execution of this script:

```

def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n

def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n

def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n

def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n

number = int(input("Number? "))
print(sumUpTo(number))

```

sumUpTo(0) frame

n: 0

sumUpTo(1) frame

n: 1

sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

global frame

number: 3

## PYTHON'S EXECUTION OF A RECURSION

- Let's take a look at Python's execution of this script:

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
number = int(input("Number? "))
print(sumUpTo(number))
```

sumUpTo(0) frame

n: 0  
returning 0

sumUpTo(1) frame

n: 1

sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- Let's take a look at Python's execution of this script:

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
def sumUpTo(n):
    if n <= 0:
        return 0
    return sumUpTo(n-1) + n
```

```
number = int(input("Number? "))
print(sumUpTo(number))
```

sumUpTo(0) frame

n: 0  
returning 0

sumUpTo(1) frame

n: 1  
returning 1

sumUpTo(2) frame

n: 2

sumUpTo(3) frame

n: 3

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```

```
number = int(input("Number? "))  
print(sumUpTo(number))
```

sumUpTo(1) frame

n: 1  
returning 1

sumUpTo(2) frame

n: 2  
returning 3

sumUpTo(3) frame

n: 3

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n
```



```
number = int(input("Number? "))  
print(sumUpTo(number))
```



sumUpTo(2) frame

n: 2  
returning 3

sumUpTo(3) frame

n: 3  
returning 6

global frame

number: 3

# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
number = int(input("Number? "))  
print(sumUpTo(number))
```

sumUpTo(3) frame

n: 3  
returning 6

global frame

number: 3



# PYTHON'S EXECUTION OF A RECURSIVE FUNCTION

- ▶ Let's take a look at Python's execution of this script:

```
def sumUpTo(n):  
    if n <= 0:  
        return 0  
    return sumUpTo(n-1) + n  
  
number = int(input("Number? "))  
print(sumUpTo(number))
```

global frame

number: 3

*Outputs 6 to the console.*

# THE FIBONACCI FUNCTION

- ▶ Consider the following integer sequence:
  - ➡ It starts with a 1.
  - ➡ The second number is also a 1.

*1, 1,*

# THE FIBONACCI FUNCTION

- ▶ Consider the following integer sequence:
  - ➡ It starts with a 1.
  - ➡ The second number is also a 1.
  - ➡ The next number is the sum of the previous two.

*1, 1, 2,*

# THE FIBONACCI FUNCTION

- ▶ Consider the following integer sequence:
  - ➡ It starts with a 1.
  - ➡ The second number is also a 1.
  - ➡ The next number is the sum of the previous two.
  - ➡ And so are the rest of the numbers.

*1, 1, 2, 3,*

# THE FIBONACCI FUNCTION

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*1, 1, 2, 3, 5,*

# THE FIBONACCI FUNCTION

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*1, 1, 2, 3, 5, 8,*

# THE FIBONACCI FUNCTION

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  - ➡ It starts with a 1.
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*1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, ...*
- ▶ This is the Fibonacci sequence, and it has lots of interesting properties.
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*1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, ...*

- ▶ This is the Fibonacci sequence, and it has lots of interesting properties.
- ▶ Let's just write its code as a Python function:

```
def fibonacci(n):  
    if n == 1 or n == 2:  
        return 1  
    else:  
        return fibonacci(n-2) + fibonacci(n-1)
```



# DEMO OF "NOISY" RECURSIVE FUNCTIONS

- ▶ (in Terminal)

# SUMMARY

- ▶ Functions and procedures can call other functions and procedures.
  - ➡ They can also *call themselves*. This makes them **recursive**.
- ▶ Each active function has its local variables stored in its **call frame**.
  - ➡ With recursion, several call frames for the same-named function *stack* up.
  - ➡ Each call has a different value for the parameter in each frame.
- ▶ Recursive functions are designed to handle two cases:
  - a **recursive case**: this leads the function to call itself
    - ➡ usually a (slightly) simpler case
  - a **base case**: this stops the "unwinding" or "deepening" of the recursive calls
    - ➡ they are (usually) easy cases; immediately return a result
- ▶ The tricky part is learning to express algorithms in this way.