

# Turing machines

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# Outline

- 1 Turing Machines
  - Introduction
  - Definitions and Examples
  - Variants of TMs
- 2 Enumerators
- 3 Church-Turing Thesis

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- 1 Turing Machines
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We have learned about DFAs, NFAs, and PDAs.

Now we turn to a much more powerful type of machine. In particular, these machines have the same power as our computers!

## Turing Machines

Invented by Alan Turing in 1936.

- 1 A TM uses infinite tape (with a left end) as its **unlimited memory**.
- 2 A TM can read/**write** symbols and move **left**/right on the tape.
- 3 Tape initially contains only the input string followed by **blank symbols**.
- 4 A TM can halt and **accept** or **reject**.
- 5 A TM can halt and produce an output by leaving it on the tape.
- 6 A TM can **loop** forever.

# TMs are more powerful than FAs and PDAs

Consider languages that you know are not regular or not context-free.

- $L_1 = \{0^n 1^n \mid n \geq 0\}$
- $L_2 = \{ww^{\mathcal{R}} \mid w \in \{0, 1\}^*\}$
- $L_3 = \{w\#w \mid w \in \{0, 1\}^*\}$

Turing machines can recognize these languages. We will construct these Turing machines later.

# Defining Turing Machines

We will learn how to define Turing machines at three different levels of details.

**Formal definition:** State diagram. Tape manipulation. Head movement.

**Implementation description:** English description. Talk of tapes and head movement.

**Algorithm description:** No mentioning of tapes and head movement.

# Formal definition of Turing Machines

## Definition

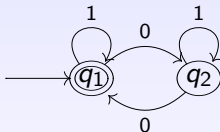
A **Turing Machine** is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$  where

- ❶  $Q$  is a finite set of states,
- ❷  $\Sigma$  is a finite set of input alphabet (the blank symbol  $\sqcup \notin \Sigma$ ),
- ❸  $\Gamma$  is a finite set of **tape alphabet** where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$
- ❹  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function,
- ❺  $q_0 \in Q$  is the start state,
- ❻  $q_{\text{accept}} \in Q$  is the accept state,
- ❼  $q_{\text{reject}} \in Q$  is the **reject state** where  $q_{\text{accept}} \neq q_{\text{reject}}$ .

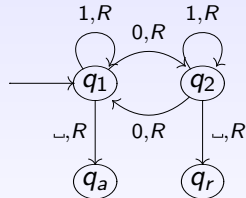
# Examples of TMs

$$L_0 = \{ w \mid w \text{ contains an even number of 0s} \}$$

A DFA for  $L_0$  looks like this:



A TM for  $L_0$  looks like this:



(Note:  $q_r$  is often omitted.)

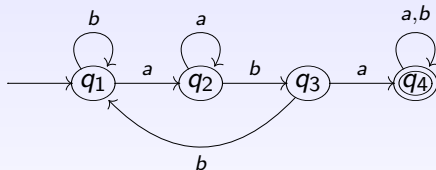
(Note: Outgoing arrows from  $q_a$ ,  $q_r$  are omitted.)



# Examples of TMs

$$L_1 = \{w \mid w \text{ contains aba as a substring}\}$$

A DFA for  $L_1$  looks like this:

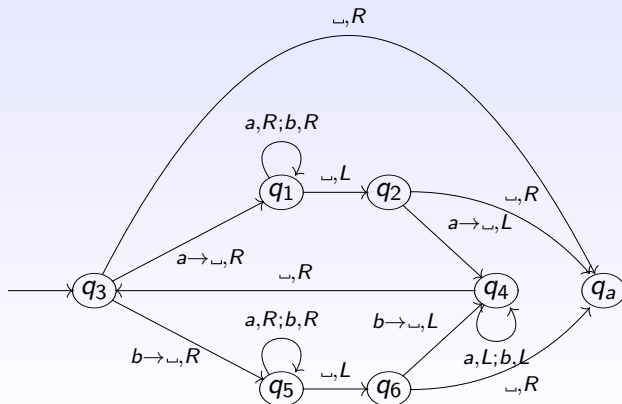


Can you draw a TM for  $L_1$ ?

Can you convert your TM diagram into the corresponding formal definition?

# More examples

$$L_2 = \{w \mid w \text{ is a palindrome over } \{a, b\}\}$$



# Terminology: configuration

Let  $u, v$  be strings and  $q$  be a state. The configuration  $uqv$  is the configuration in which

- the current state is  $q$
- the tape contents is  $uv$
- the tape head is under the first symbol of  $v$

## Terminology: **yield**

Configuration  $C_1$  **yields** configuration  $C_2$  if the TM can legally go from  $C_1$  to  $C_2$  in a single step. In particular, suppose that  $u$  and  $v$  are strings and that  $a$ ,  $b$ , and  $c$  are symbols, then

[moving left]  $uaq_i**b**v$  **yields**  $uq_j**a**cv$  if  $\delta(q_i, b) = (q_j, c, L)$ .

[moving right]  $uaq_i**b**v$  **yields**  $uacq_j**v**$  if  $\delta(q_i, b) = (q_j, c, R)$ .

The special cases are

- the left most end of the tape  
left moving transition:  $q_ibv \Rightarrow q_jcv$
- the right most end of the input  
 $uq_ia$  becomes  $uq_i\_\_$

To see this, try stepping through the computation of a TM on a particular input.

## More terminology

### Definition

Let  $q_0$  be the start state of a TM. The **start configuration** of  $M$  on input  $w$  is the configuration  $q_0 w$ .

### Definition

Let  $q_a$  be the accept state of a TM. The **accepting configuration** is the configuration in which the state is  $q_a$ .

### Definition

Let  $q_r$  be the reject state of a TM. The **rejecting configuration** is the configuration in which the state is  $q_r$ .

### Definition

Accepting and rejecting configurations are **halting configurations**. They do not yield further configurations.

# Acceptance of TMs

## Definition

A TM  $M$  **accepts** input  $w$  if a sequence of configurations  $C_1, C_2, \dots, C_k$  exists where

- 1  $C_1$  is the start configuration of  $M$  on input  $w$ ,
- 2 each  $C_i$  yields  $C_{i+1}$ , and
- 3  $C_k$  is an accepting configuration

So if  $L$  is the language **recognized** by a TM  $M$ , then

- $w \in L$  iff  $M$  accepts  $w$ , and
- $w \notin L$  iff  $M$  does not accept  $w$ .

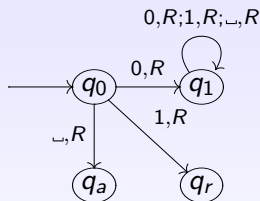
Notice: There are **two** possible ways in which  $w \notin L$ .

- $M$  processes  $w$  and at some point lands in  $q_r$  or
- $M$  processes  $w$  and never lands in  $q_r$  or  $q_a$ .

In the second case, we say that  $M$  **loops** on  $w$ .

# Example

Consider the following TM  $M$ :



Can you think of strings accepted/rejected/looped on by  $M$ ?  
(Try  $\varepsilon$ , 0, 1, 11, etc.)

## Example: Implementation description of TM

We can also describe a TM in prose rather than drawing a diagram.

$$L_3 = \{ w\#w \mid w \in \{0,1\}^* \}$$

$M_3$  = “On input string  $w$ ,

- 1 **Scan** the input to be sure that it contains a single  $\#$  symbol. If not, reject.
- 2 **Zig-zag** across the tape to corresponding positions on either side of the  $\#$  symbol to check on whether these positions contain the same symbol. If they do not, reject. **Cross off** symbols as they are checked to keep track of which symbols correspond.
- 3 When all symbols to either the left or the right of the  $\#$  have been crossed of (whichever comes first), check for any remaining symbols on the other side of the  $\#$ . If any symbols remain, reject. Otherwise, accept.”



Notice that we do not give details for  $M$ , but we make sure that everything we specify can actually be done on a TM. For example,

- 1 **Scanning**: This can be done by starting from the left end and reading each symbol and moving right until hitting a blank symbol.
- 2 **Zig-zagging**: This can be done by marking the symbol under our tape head, moving right until we pass the  $\#$  symbol and just pass the last marked symbol, marking the symbol under our tape head, moving left until we pass the  $\#$  symbol and reach a marked symbol, then moving right one position. Repeat.

When we write a TM description, staying above this level of details helps simplifying the description.

# Examples of TMs

$$L_4 = \{ 0^{2^n} \mid n \geq 0 \}$$

$M_4$  = "On input string  $w$ ,

- 1 If the first symbol is  $\sqcup$ , reject.
- 2 Sweep left to right across the tape, crossing off every other 0.
- 3 If in stage 1 the tape contained a single 0, accept.
- 4 If in stage 1 the tape contained more than a single 0 and the number of 0s was odd, reject.
- 5 Return the head to the left-hand end of the tape.
- 6 Go to stage 1."

# Examples of TMs

$$L_5 = \{ a^i b^j c^k \mid i \times j = k \text{ and } i, j, k \geq 1 \}$$

$M_5$  = "On input string  $w$ ,

- ➊ Scan the input from left to right. Make sure that it has the format  $a^* b^* c^*$ . Reject if it does not.
- ➋ Return the head to the left-hand end of the tape.
- ➌ Cross off an  $a$  and scan to the right until a  $b$  occurs. Zig-zagging between the  $b$ 's and the  $c$ 's, crossing off one of each until all  $b$ 's are gone.
- ➍ Restore the crossed off  $b$ 's and repeat stage 3 until all  $a$ 's are crossed off. Check whether all  $b$ 's and  $c$ 's are also crossed off. If so, accept. Otherwise, reject."

This TM essentially multiplies.

Other examples: add, subtract, divide.

## Examples of TMs

$$L_6 = \{ \#x_1\#x_2\ldots\#x_l \mid \text{each } x_i \in \{0,1\}^* \\ \text{and } x_i \neq x_j \text{ for each } i \neq j \}$$

We design a machine  $M_6$  that compares  $x_1$  with  $x_2, x_3, \dots, x_l$ , then comparing  $x_2$  with  $x_3, x_4, \dots, x_l$ , etc. If there's a stage during which there are two equal strings, we reject. Otherwise, we accept when we are done with the last stage.

# Examples of TMs

$$L_6 = \{ \#x_1\#x_2 \dots \#x_l \mid \text{each } x_i \in \{0,1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j \}$$

$M_6$  = "On input string  $w$ ,

- ➊ If the first symbol is not  $\#$ , reject. Place a mark on top of the leftmost tape symbol.
- ➋ Scan right to the next  $\#$  and place a second mark on top of it. If we never see  $\#$ , accept.
- ➌ Zig-zag to compare the two strings to the right of the marked  $\#$ . If they are equal, reject.
- ➍ Move the rightmost of the two marks to the next  $\#$  symbol to the right. If we see no  $\#$  symbol before  $\sqcup$ , move the leftmost mark to the next  $\#$  to its right and the rightmost mark to the  $\#$  after that. If there's no more  $\#$  for the rightmost mark, accept.
- ➎ Go to stage 3."

# Recognizing and deciding a language

## Definition

A language is **Turing-recognizable** if some Turing Machine **recognizes** it.

Note: A Turing Machine  $M$  recognizes a language  $L$  iff  $M$  halts and accepts every string in  $L$  and doesn't accept any string not in  $L$ .

## Definition

A language is **Turing-decidable** if some Turing Machine **decides** it.

Note: A Turing Machine  $M$  decides a language  $L$  iff  $M$  halts and accepts every string in  $L$  and halts and rejects every string not in  $L$ .

# Practice problems

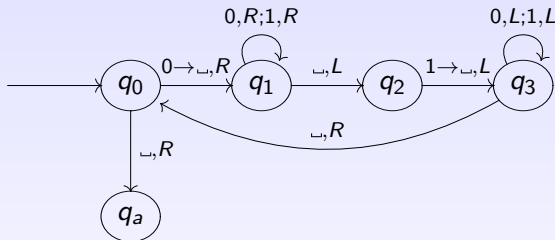
Draw a TM recognizing the language

$$\{w \mid w \text{ contains } 111 \text{ as a substring}\} .$$

(Do not omit transitions leading to  $q_r$ .)

# Practice problems

Consider the following Turing Machine  $M$ . ( $q_r$  is omitted.)



- 1 Write the sequence of *configurations* that  $M$  goes through on 01.
- 2 Does  $M$  accept 01?
- 3 Write the sequence of *configurations* that  $M$  goes through on 01101.
- 4 Does  $M$  accept 01101?



# Practice problems

Let the alphabet  $\Sigma$  be  $\{1\}$ .

- ➊ Draw a Turing Machine to compute the predecessor function. Some examples.
  - If the input is 11, then output is 1.
  - If the input is 1, then the output is  $\varepsilon$ .
  - If the input is  $\varepsilon$ , then the output is  $\varepsilon$ .
  
- ➋ Draw a Turing Machine that duplicates its input. In particular, if the input is  $w$ , then the output is  $ww$ .

# Variants of Turing Machines

It turns out that tinkering with the definition of a TM doesn't change its power. Consider these variants:

- 1 TM with multiple tracks
- 2 TM with doubly-infinite tape
- 3 TM that allows insertion and deletion
- 4 TM with multiple heads (but a single tape)
- 5 TM with multiple tapes
- 6 TM with RAM (Random Access Memory)

# Designing TMs for languages

Variant of TM	Language
Multitape TM	$\{w\#w \mid w \in \{0,1\}^*\}$ $\{1^f \mid f \text{ is a fibonacci number.}\}$
Nondeterministic TM	$\{a^i b^j c^k \mid i = j \text{ or } j = k\}$ $\{ww \mid w \in \{0,1\}^*\}$

# Multitrack Turing Machines

## Multitrack Turing Machines

A **multitrack Turing machine** is like an ordinary Turing machine except that it has multiple tracks on its single tape. Formally, the main difference lies in the signature of the transition function  $\delta$  for this type of machine:

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R\}$$

where  $k$  is the number of tracks.

▷	a	b	c
▷	d	e	f

△

# Doubly-Infinite Tape Turing Machines

## Doubly-Infinite Tape Turing Machines

A **doubly-infinite tape Turing machine** is like an ordinary Turing machine except that its tape is infinitely long in both directions.

How would you simulate this type of TM with a TM we know?

0	+1	+2	+3	+4	...
▶	-1	-2	-3	-4	...

# Multihead Turing Machines

## Multihead Turing Machines

A **multihead Turing machine** is like an ordinary Turing machine except that it has multiple heads. Formally, the main difference lies in the signature of the transition function  $\delta$ :

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R\}^k$$

where  $k$  is the number of heads.

▷	a	b	c	d	e	f
▷				△		
▷		△				
▷	△					

# Multitape Turing Machines

## Multitape Turing Machines

A **multitape Turing machine** is like an ordinary Turing machine except that it has multiple tapes. Formally, the main difference lies in the signature of the transition function  $\delta$ :

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$$

where  $k$  is the number of tapes.

▷	a	b	c	d	e	f
▷				△		
▷	g	h	i	j	k	l
▷			△			

# Multitape Turing Machines operation

The expression

$$\delta(q_i, a_1, \dots, a_k) = (q_j, b_1, \dots, b_k, L, R, \dots, L)$$

means that, if the machine was in state  $q_i$  with tape heads reading  $a_1, \dots, a_k$ , then it will transition to state  $q_j$ , writing  $b_1, \dots, b_k$ , and moving the tape heads as indicated.



# Multitape TMs and Single-Tape TMs are equivalent

## Theorem

*Every multitape Turing machine has an equivalent single tape Turing machine.*

Proof idea: Use a single tape to store the contents of all the tapes. Use special symbols to mark the end of each tape and the position of each tape head.

# Nondeterministic Turing Machines

## Nondeterministic Turing Machines

A **nondeterministic Turing machine** is like an ordinary Turing machine except that it can make nondeterministic moves. Formally, the main difference lies in the signature of the transition function  $\delta$ :

$$\delta : Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\}) .$$

# Nondeterministic TMs and Deterministic TMs are equivalent

## Theorem

*Every nondeterministic Turing machine has an equivalent deterministic Turing machine.*

Proof idea: Use a 3-tape Turing machine, one for storing the input (aka input tape), one for scratch work in the simulation (aka simulation tape), and one for remembering our current location in the tree (aka address tape).

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# Enumerators

An enumerator  $E$  works as follows. It starts with a blank input tape, then prints out strings in  $L(E)$  one at a time. It can do so with or without repetitions and in any order.

## Theorem

*A language is Turing-recognizable if and only if some enumerator enumerates it.*

Proof idea:

[ $\Leftarrow$ ] Given an enumerator  $E$  enumerating a language  $L$ , we can construct a TM  $M$  recognizing  $L$ .

[ $\Rightarrow$ ] Given a TM  $M$  recognizing a language  $L$ , we can construct an enumerator enumerating  $L$ .

# Enumerator $\equiv$ TM: Proof I

## Theorem

*A language is Turing-recognizable if and only if some enumerator enumerates it.*

Proof:

[ $\Leftarrow$ ] Given an enumerator  $E$  enumerating a language  $L$ , we can construct a TM  $M$  recognizing  $L$ .

$M =$  On input  $w$ ,

1. Run  $E$
2. If  $w$  is printed, accept  $w$ ."

# Enumerator $\equiv$ TM: Proof II

## Theorem

*A language is Turing-recognizable if and only if some enumerator enumerates it.*

Proof:

[ $\Rightarrow$ ] Given a TM  $M$  recognizing a language  $L$ , we can construct an enumerator enumerating  $L$ .

$E =$

“For  $i = 1, 2, 3, \dots$

1. Run  $M$  on  $s_1, s_2, \dots, s_i$  for  $i$  steps each
2. If any computations accept, print the corresponding  $s_j$ .”

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# Church-Turing Thesis

Anything you can write an algorithm for, you can do with a TM.

So we figure out the limits of what we can do with real computers by studying the limits of what we can do with TMs.

In particular, there are problems that take a long time to solve. These are called **intractable** problems.

Worse, there are problems that cannot be solved at all!! These are called **undecidable** problems.