

# Message Authentication Codes

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# Agenda: Message Authentication Codes

1. Motivation: why integrity protection
2. Encryption doesn't provide authenticity.
3. MAC schemes: syntax and security definitions
4. Block cipher based MAC schemes:  $\pi_1$ ,  $\pi_2$ , CBC MAC, XCBC
5. Example attacks and secure MAC schemes
6. Hash-based MAC scheme

# Motivation

# Message Authentication

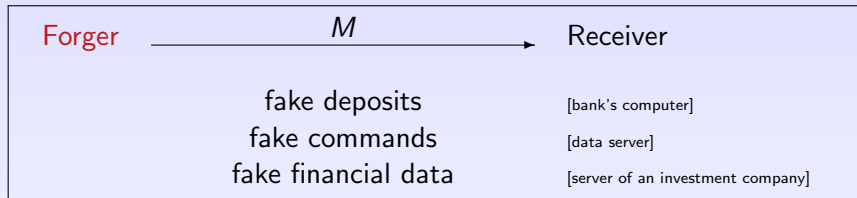
We often want to protect **integrity** of messages.

Private-key setting : MACs

Public-key setting : Digital Signatures

# Motivation

Integrity is important in many applications.



Receiver thinks  $M$  comes from some legitimate sender, but in fact  $M$  comes from **Forger**.

## Points to remember

- ▶ We do **not** assume that  $M$  is to be kept secret.
- ▶  $F$  (forger) controls the channel, i.e.  $F$  can drop, inject, modify, repeat packets.

# Encryption does NOT provide authenticity.

$F$  wants to change deposit from 100 to 900.

Some may think “it is hard for  $F$  to change ciphertext so that it decrypts to 900 without knowing the key  $K$ .”

**WRONG!** This is easy!

Example : suppose encryption is OTP.

## Example :One-time Pad

- ▶ Suppose  $M = 0001$  [i.e. 1 in decimal].
- ▶  $F$  wants to change  $M$  to  $M' = 1001$  [i.e. 9 in decimal].
- ▶ Suppose  $K = 1010$ .
- ▶ So  $C = M \oplus K = 1011$ .

$F$  : take  $C = 1011$   
let  $\Delta = M \oplus M' = 0001 \oplus 1001 = 1000$   
compute  $C' = C \oplus \Delta = 1011 \oplus 1000 = 0011$

- ▶ When receiver decrypts, they get  $0011 \oplus 1010 = 1001 = M'$ !
- ▶  $F$  did not need to know  $K$ .

## False conclusions:

- ▶ “Don’t use OTP.”  
OTP is for secrecy! It does its job, not something else. (Don’t use a car if you want to fly.)
- ▶ “Example is contrived.”  
OTP is for real. CTR is pretty much OTP. CBC doesn’t work much better either.
- ▶ “Should add redundancy”  
Adding pads won’t help here.



## Correct conclusions

Encryption gives you privacy, not authenticity.

[In fact, with most encryption schemes, any ciphertext will decrypt to something.]

### Bottom line:

Good cryptographic design is **goal-oriented**.

Must understand goal before designing schemes.

A good designer uses the right tool for the desired goal.

# Message Authentication Codes

Syntax and security definitions

# Syntax (MAC : scheme & tag)

## Definition

A MAC scheme consists of 3 algorithms :  $\pi = (\mathcal{K}, \text{MAC}, \text{VF})$

$\mathcal{K}$  : randomized key generation algorithm

$$K \xleftarrow{\$} \mathcal{K}$$

$[\text{Keys}(\pi) = \{K \mid K \text{ has non-zero probability of being output by } \mathcal{K}\}]$

$\text{MAC}$  : MAC-generation algorithm  
randomized or stateful

$$\text{Tag} \xleftarrow{\$} \text{MAC}_K(M)$$

$[M \in \{0, 1\}^*, K \in \text{Keys}(\pi), \text{Tag} \in \{0, 1\}^* \cup \{\perp\}]$

# Syntax

$VF$  : MAC-verification algorithm  
deterministic

$$d \leftarrow VF_K(M, Tag)$$

$$[M \in \{0, 1\}^*, K \in Keys(\pi), Tag \in \{0, 1\}^*, d \in \{0, 1\}]$$

## Correctness

$$\forall K \in Keys(\pi), M \in \{0, 1\}^*,$$

$$\Pr \left[ Tag = \perp \text{ OR } VF_K(M, Tag) = 1 : Tag \xleftarrow{\$} MAC_K(M) \right] = 1 .$$

# Points to remember about syntax

- ▶ Just **syntax**. No security yet.
- ▶  $VF$  is **deterministic**. Hard to require stateful receiver with **consistent** states.
- ▶ MAC-generation could be **deterministic & stateless**. When this happens,  $VF_K(\cdot, \cdot)$  just recomputes Tag and compare, i.e.

$VF_K(M, Tag)$

$Tag' \leftarrow MAC_K(M)$

If  $(Tag = Tag' \text{ and } Tag' \neq \perp)$  then 1 else 0

So for deterministic MAC, we can say  $\pi = (\mathcal{K}, MAC)$ .

# Security definition

## Issues

**Want:** hard for adversary  $F$  to forge valid tags.

- ▶ Want this for **any** messages, not just “meaningful” ones.  
(Want security guarantee for all applications)
- ▶ Want **more than** hardness of **key recovery**.  
(Maybe can forge without knowing key. That'd be bad.)
- ▶ Let  $F$  **see valid message-tag pairs** *before* forging?  
(No-message attacks? Chosen-message attacks?)
- ▶ **Replay?** ( $F$  sees a  $(M, Tag)$  pair and repeats it.)  
(Don't allow for now.)
- ▶ What if  $F$  can **forge many pairs** of valid  $(M, Tag)$ 's and is content if any of the pair is valid?  
(Let  $F$  submits many verification queries & wins if at least one is valid)

## Security definition (cont.)

- ▶ “signing” query  
(# of queries =  $q_s$  ; # of bits =  $\mu_s$ )
- ▶ verification query  
(# of queries =  $q_v$  ; # of bits of  $M$ 's =  $\mu_v$ )
- ▶ **F** win if  $VF_K(\cdot)$  ever returns 1 on a pair  $(M, Tag)$  not previously returned by  $MAC_K(\cdot)$ .

# WUF-CMA

Subroutine *Initialize*

$K \xleftarrow{\$} \text{KG}; S \leftarrow \emptyset; \text{win} \leftarrow \text{false}$

Subroutine *Tag*( $M$ )

$S \leftarrow S \cup \{M\}; \text{Return Tag}(K, M)$

Subroutine *Vf*( $M, T$ )

$v \leftarrow \text{Vf}(M, T)$

If  $v = 1$  and  $M \notin S$  then  $\text{win} \leftarrow \text{true}$

Return  $v$

Subroutine *Finalize*

Return  $\text{win}$

Experiment  $\mathbf{Exp}_{\text{MA}}^{\text{wuf-cma}}(A)$

*Initialize*

$A_{\text{Tag}, \text{Vf}}$

Return *Finalize*

## wuf-cma advantage

The **wuf-cma advantage** of an adversary  $A$  mounting a chosen-message attack against MA is

$$\mathbf{Adv}_{\text{MA}}^{\text{wuf-cma}}(A) = \Pr \left[ \mathbf{Exp}_{\text{MA}}^{\text{wuf-cma}}(A) \Rightarrow \text{true} \right] .$$



# SUF-CMA

Subroutine *Initialize*

$K \xleftarrow{\$} \text{KG}; S \leftarrow \emptyset; \text{win} \leftarrow \text{false}$

Subroutine *Tag*( $M$ )

$T \xleftarrow{\$} \text{Tag}(K, M); S \leftarrow S \cup \{(M, T)\}$

Return  $\text{Tag}(K, M)$

Subroutine *Vf*( $M, T$ )

$v \leftarrow \text{Vf}(M, T)$

If  $v = 1$  and  $(M, T) \notin S$  then  $\text{win} \leftarrow \text{true}$

Return  $v$

Subroutine *Finalize*

Return  $\text{win}$

Experiment  $\text{Exp}_{\text{MA}}^{\text{suf-cma}}$

*Initialize*

$A_{\text{Tag}, \text{Vf}}$

Return *Finalize*

## suf-cma advantage

The **suf-cma advantage** of an adversary  $A$  mounting a chosen-message attack against MA is

$$\text{Adv}_{\text{MA}}^{\text{suf-cma}}(A) = \Pr \left[ \text{Exp}_{\text{MA}}^{\text{suf-cma}}(A) \Rightarrow \text{true} \right] .$$

# Block cipher based MAC schemes

## Example: MAC scheme $\pi_0$

### MAC scheme $\pi_0$

Let  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  be a family of functions.

$MAC_K(M)$

if  $(|M| \neq n)$  then return  $\perp$

Return  $F_K(M)$

### Theorem: PRF $\implies$ MAC

If  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  is a secure PRF and  $2^L$  is large, then  $\pi_0$  is a secure MAC.

For every efficient adversary  $A$  against  $\pi_0$ , there exists an efficient adversary  $B$  against  $F$  such that

$$\mathbf{Adv}_{\pi_0}^{\text{uf-cma}}(A) \leq \mathbf{Adv}_F^{\text{prf}}(B) + \frac{1}{2^L}.$$

## Example: MAC scheme $\pi'_0$

### MAC scheme $\pi'_0$

Let  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  and  $F' : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^t$  be families of functions. Let  $F'$  be the same as  $F$  except the output is truncated to  $t$  bits.

$MAC_K(M)$

if  $(|M| \neq n)$  then return  $\perp$

Return  $F'_K(M)$

Fact: Let  $t$  and  $L$  be integers and let  $t < L$ . Then, if  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  is a secure PRF, then  $F' : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^t$  is also a secure PRF.

So, if  $F$  is a secure PRF, then  $\pi'_0$  is also a secure MAC.

## MAC for large inputs?

What if the input messages are longer than one block?

## Example: MAC scheme $\pi_1$

$\pi_1$

Let  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  be a family of functions.

$MAC_K(M)$

if  $(|M| \bmod n \neq 0 \text{ or } |M| = 0)$  then return  $\perp$

Break  $M$  into  $n$ -bit blocks  $M = M[1] \dots M[s]$

for  $i = 1$  to  $s$  do  $y_i \leftarrow F_K(M[i])$

$Tag \leftarrow y_1 \oplus \dots \oplus y_s$

Return  $Tag$

## Example : MAC scheme $\pi_2$

What if we modify  $\pi_1$  to prevent the previous attack by enciphering the block number along with the data block?

$\pi_2$

Let  $m$  be an integer such that  $1 \leq m \leq n - 1$ .

Let  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  be a family of functions.

$MAC_K(M)$

$t \leftarrow n - m$

if  $(|M| \bmod t \neq 0 \text{ or } |M| = 0 \text{ or } |M|/t \geq 2^m)$  then return  $\perp$

Break  $M$  into  $t$ -bit blocks  $M = M[1] \dots M[s]$

for  $i = 1$  to  $s$  do  $y_i \leftarrow F_K([i]_m \| M[i])$

$Tag \leftarrow y_1 \oplus \dots \oplus y_s$

Return  $Tag$

Secure?

# CBC MAC

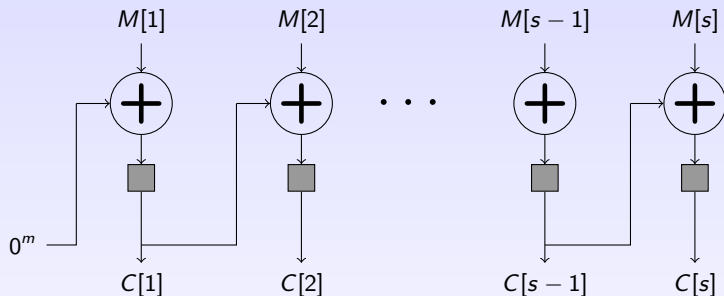
**CBC MAC** is like CBC encryption but with  $IV = 0^n$  and the tag is the last ciphertext block.

If fixed-length input, then **SECURE**.

Otherwise, **INSECURE!**



## CBC MAC: pictorially



- ▶ The tagging algorithm for CBC MAC. The gray boxes denote the permutation  $E_K$  where  $E$  is the underlying block cipher and  $K$  is the shared secret key.
- ▶ It is assumed here that the message length is a *fixed* multiple of the block size.

# ECBC MAC

- ▶ CBC MAC is vulnerable to a **length-extension attack**.
- ▶ How to fix CBC MAC for variable-length messages?
  - ▶ Encipher the last block of output with another key.
  - ▶ The additional encipherment prevents the length-extension attack.
  - ▶ This solution is called **ECBC MAC**.

Let  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  be a block cipher.

## Theorem: ECBC MAC security

For every efficient  $q$ -query PRF adversary  $A$  attacking ECBC, there exists an efficient adversary  $B$  attacking  $F$  such that

$$\mathbf{Adv}_{ECBC}^{\text{uf-cma}}(A) \leq \mathbf{Adv}_F^{\text{prp-cpa}}(B) + \frac{2q^2}{2^n}.$$

## ECBC MAC security: interpretation

$$\mathbf{Adv}_{ECBC}^{\text{uf-cma}}(A) \leq \mathbf{Adv}_F^{\text{prp-cpa}}(B) + \frac{2q^2}{2^n}.$$

Suppose  $q$  is the number of message MACed with a secret key.

- ▶ ECBC MAC is secure as long as  $q \ll 2^{n/2}$
- ▶ Suppose we want  $\mathbf{Adv}_{ECBC}^{\text{uf-cma}}(A) \leq 1/2^{32}$ 
  - ▶ Then,  $q^2/2^n < 1/2^{32}$
  - ▶ With AES,  $n = 128$ . So,  $q \leq 2^{48}$ . Acceptable.
  - ▶ With 3DES,  $n = 64$ . So,  $q \leq 2^{16}$ . Unacceptable.
- ▶ Once  $q = 2^{n/2}$ , we can attack ECBC using birthday attack + length-extension.
  - ▶ Find  $M_1 \neq M_2$  that get MACed to the same tag  $t$ , then query for tag of  $M_1 \| w$ , and forge with  $(M_2 \| w, t)$ .

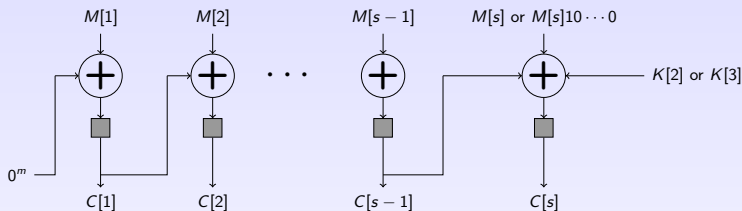
# Padding input messages

What if input messages aren't of length multiple of  $n$ ?

- ▶ pad with 0's?
- ▶ pad with 100...0?
- ▶ pad with 100...0 with dummy block?
- ▶ CMAC (like CBC MAC) but
  - ▶ pad with 100...0 if necessary and don't pad if unnecessary
  - ▶ then xor the last block with another key  $K_1$  if there's a pad or with  $K_2$  if there's no pad.
  - ▶ No dummy block.
  - ▶ No additional encipherment like ECBC MAC.

# XCBC MAC

**XCBC MAC** is like CBC MAC but uses two extra keys so that it can deal with variable-length input messages.



- ▶ The tagging algorithm for CBC MAC. The gray boxes denote the permutation  $E_K$  where  $E$  is the underlying block cipher and  $K$  is the shared secret key.
- ▶ If the last block  $M[s]$  is too short, it is padded by  $10 \dots 0$  until its length equals the block size.
- ▶ If the last block has not been padded, then  $K[2]$  is additionally exclusive-ored with the message. Otherwise,  $K[3]$  is used instead.

# Example attacks against MACs

## Example: Attack against $\pi_1$

Adversary  $A_1^{MAC_K(\cdot), VF_K(\cdot, \cdot)}$

$$M \leftarrow 0^n || 0^n$$

$$Tag \leftarrow 0^L$$

$$d \leftarrow VF_K(M, Tag)$$

$$\mathbf{Adv}_{\pi_1}^{\text{uf-cma}}(A_1) = 1$$

Resources:  $t = O(n + L)$ ,  $q_s = 0$ ,  $q_v = 1$ ,  $\mu_s = 0$ ,  $\mu_v = 2n$

## Example: Attack against $\pi_2$

### idea:

To forge on  $M = b_1b_2$ , we want to know what

$$F_K([1]_m b_1) \oplus F_K([2]_m b_2)$$

looks like. So we ask a query  $b_1a_2$  &  $a_1b_2$  then *XOR* out the extras, i.e.  $F_K([1]_m a_1)$  &  $F_K([2]_m a_2)$ .

We ask for  $Tag_1$  of  $M_1 = a_1a_2$

$Tag_2$  of  $M_2 = a_1b_2$

$Tag_3$  of  $M_3 = b_1a_2$

Forge on  $M = b_1b_2$  with tag value  $tag_1 \oplus tag_2 \oplus tag_3$ .

$$M_1 : tag_1 = F_K([1]_m a_1) \oplus F_K([2]_m a_2)$$

$$M_2 : tag_2 = F_K([1]_m a_1) \oplus F_K([2]_m b_2)$$

$$M_3 : tag_3 = F_K([1]_m b_1) \oplus F_K([2]_m a_2)$$

$$M : tag = F_K([1]_m b_1) \oplus F_K([2]_m b_2)$$



## Example: Attack against $\pi_2$

Adversary  $A_2^{MAC_K(\cdot), VF_K(\cdot, \cdot)}$

Let  $a_1, b_1$  be distinct  $l - m$ -bit strings

Let  $a_2, b_2$  be distinct  $l - m$ -bit strings

$Tag_1 \leftarrow MAC_K(a_1 a_2)$

$Tag_2 \leftarrow MAC_K(a_1 b_2)$

$Tag_3 \leftarrow MAC_K(b_1 a_2)$

$Tag \leftarrow Tag_1 \oplus Tag_2 \oplus Tag_3$

$d \leftarrow VF_K(b_1 b_2, Tag)$

$$\mathbf{Adv}_{\pi_1}^{\text{uf-cma}}(A_1) = 1$$

Resources: ??

# Attack against variable-length input version of CBC MAC

$$\mathbf{Adv}_{\pi}^{\text{uf-cma}}(A) = 1$$

Resources:  $q_v = 1, q_s = 1, \mu_v = 2n, \mu_s = n, t = O(n)$

This attack doesn't work if input length is fixed.

In fact, CBC MAC with fixed-length inputs is secure.

# Making $\pi_2$ secure

$\pi_2$  with  $i$  being a counter is **secure**.

MAC algorithm is **stateful**:  $ctr$  starts at zero and gets incremented across messages.

## stateful $\pi_2$

Let  $F : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^L$  be a family of functions.

$MAC_K(M) :$

static  $ctr \leftarrow 0$

$t \leftarrow n - m$

If  $(|M| \bmod t \neq 0 \text{ or } |M| = 0 \text{ or } ctr + \frac{|M|}{t} \geq 2^m)$  then return  $\perp$

Break  $M$  into  $t$ -bits blocks  $M = M[1] || \dots || M[s]$

For  $i = 1, \dots, s$  do  $y_i \leftarrow F_K([i]_m || M[i])$

$Tag \leftarrow y_1 \oplus \dots \oplus y_s$

$ctr \leftarrow ctr + s$

Return  $Tag$

## Stateful version of $\pi_2$ is a secure MAC.

### Result (informal)

$\pi$  is a secure *MAC* assuming that  $F$  is a PRF.

To prove this, we need to show that,  $\forall A$  attacking  $\pi$ , we can construct  $B$  attacking  $F$ .

### Idea

- ▶  $B$  runs  $A$  using  $g$  in place of  $F_K$  to compute  $Tag$
- ▶ If  $A$  ever forges successfully,  $B$  outputs 1. Otherwise, it outputs 0.

# MAC based on hash function

## HMAC

$$MAC_K(M) = H(K \oplus \text{opad} \| H(K \oplus \text{ipad} \| M))$$