# Message Authentication Codes

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# Agenda: Message Authentication Codes

- 1. Motivation: why integrity protection
- 2. Encryption doesn't provide authenticity.
- 3. MAC schemes: syntax and security definitions
- 4. Block cipher based MAC schemes:  $\pi_1$ ,  $\pi_2$ , CBC MAC, XCBC
- 5. Example attacks and secure MAC schemes
- 6. Hash-based MAC scheme

# Motivation

# Message Authentication

We often want to protect integrity of messages.

Private-key setting: MACs

Public-key setting: Digital Signatures

#### Motivation

Integrity is important in many applications.



Receiver thinks M comes from some legitimate sender, but in fact M comes from Forger.

#### Points to remember

- ▶ We do not assume that *M* is to be kept secret.
- F (forger) controls the channel, i.e. F can drop, inject, modify, repeat packets.

# Encryption does NOT provide anthenticity.

F wants to change deposit from 100 to 900.

Some may think "it is hard for F to change ciphertext so that it decrypts to 900 without knowing the key K."

WRONG! This is easy!

 ${\sf Example: suppose\ encryption\ is\ OTP.}$ 

# Example :One-time Pad

- ▶ Suppose M = 0001 [i.e. 1 in decimal].
- ▶ F wants to change M to M' = 1001 [i.e. 9 in decimal].
- ► Suppose K = 1010.
- ▶ So  $C = M \oplus K = 1011$ .

```
F: take C=1011 let \Delta=M\oplus M'=0001\oplus 1001=1000 compute C'=C\oplus \Delta=1011\oplus 1000=0011
```

- ▶ When receiver decrypts, they get  $0011 \oplus 1010 = 1001 = M'!$
- F did not need to know K.

#### False conclusions:

- "Don't use OTP." OTP is for secrecy! It does its job, not something else. (Don't use a car if you want to fly.)
- "Example is contrived." OTP is for real. CTR is pretty much OTP. CBC doesn't work much better either.
- "Should add redundancy" Adding pads won't help here.

#### Correct conclusions

Encryption gives you privacy, not authenticity.

[In fact, with most encryption schemes, any ciphertxt will decrypt to something.]

#### Bottom line:

Good cryptographic design is goal-oriented.

Must understand goal before designing schemes.

A good designer uses the right tool for the desired goal.

# Message Authentication Codes

Syntax and security definitions

# Syntax (MAC : scheme & tag)

#### Definition

A MAC scheme consists of 3 algorithms :  $\pi = (\mathcal{K}, MAC, VF)$ 

$${\mathcal K}$$
 : randomized key generation algorithm

$$K \stackrel{\$}{\leftarrow} \mathcal{K}$$

 $[\mathit{Keys}(\pi) = \{\mathit{K} | \mathit{K} \text{ has non-zero probability of being output by } \mathcal{K}\}]$ 

# MAC : MAC-generation algorithm randomized or stateful

$$Tag \stackrel{\$}{\leftarrow} MAC_K(M)$$

$$[M \in \{0,1\}^*, K \in \mathit{Keys}(\pi), \mathit{Tag} \in \{0,1\}^* \cup \{\bot\}]$$

## Syntax

$$d \leftarrow VF_K(M, Tag)$$

$$[M \in \{0,1\}^*, K \in \textit{Keys}(\pi), \textit{Tag} \in \{0,1\}^*, d \in \{0,1\}]$$

#### Correctness

$$\forall K \in \mathit{Keys}(\pi), M \in \{0,1\}^*$$
,

$$\mathsf{Pr}\left[ \ \mathit{Tag} = \bot \ \mathsf{OR} \ \mathit{VF}_{\mathcal{K}}(\mathit{M}, \mathit{Tag}) = 1 \ : \ \mathit{Tag} \xleftarrow{\mathfrak{s}} \mathit{MAC}_{\mathcal{K}}(\mathit{M}) \ \right] = 1 \ .$$

## Points to remember about syntax

- ► Just syntax. No security yet.
- ► VF is deterministic. Hard to require stateful receiver with consistent states.
- ▶ MAC-generation could be deterministic & stateless. When this happens,  $VF_K(\cdot, \cdot)$  just recomputes Tag and compare, i.e.

```
egin{aligned} VF_K(M,\mathit{Tag}) & Tag' \leftarrow \mathit{MAC}_K(M) \\ & \mathsf{lf} \; (\mathit{Tag} = \mathit{Tag}' \mathsf{and} \, \mathit{Tag}' 
eq \bot) \; \mathsf{then} \; 1 \; \mathsf{else} \; 0 \end{aligned}
```

So for deterministic MAC, we can say  $\pi = (\mathcal{K}, MAC)$ .

# Security definition

#### Issues

Want: hard for adversary F to forge valid tags.

- ► Want this for any messages, not just "meaningful" ones. (Want security guarantee for all applications)
- Want more than hardness of key recovery.
   (Maybe can forge without knowing key. That'd be bad.)
- ▶ Let F see valid message-tag pairs before forging? (No-message attacks? Chosen-message attacks?)
- ▶ Replay? (F sees a (M, Tag) pair and repeats it.) (Don't allow for now.)
- What if F can forge many pairs of valid (M, Tag)'s and is content if any of the pair is valid? (Let F submits many verification queries & wins if at least one is valid)

# Security definition (cont.)

- "signing" query  $(\# \text{ of queries } = q_s; \# \text{ of bits } = \mu_s)$
- verification query  $(\# \text{ of queries } = q_v; \# \text{ of bits of } M\text{'s} = \mu_v)$
- ▶ F win if  $VF_K(\cdot)$  ever returns 1 on a pair (M, Tag) not previously returned by  $MAC_K(\cdot)$ .

#### **WUF-CMA**

Subroutine Initialize

$$K \stackrel{\$}{\leftarrow} KG$$
;  $S \leftarrow \emptyset$ ;  $win \leftarrow false$ 

Subroutine Tag(M)

$$S \leftarrow S \cup \{M\}$$
; Return Tag $(K, M)$ 

Subroutine Vf(M, T) $v \leftarrow Vf(M, T)$ 

If v = 1 and  $M_{ij}$ 

If v = 1 and  $M \notin S$  then  $win \leftarrow$  true Return v

Subroutine *Finalize*Return *win* 

Experiment **Exp**<sub>MA</sub><sup>wuf-cma</sup>(A)

Initialize

A<sup>Tag,Vf</sup>

Return Finalize

#### wuf-cma advantage

The **wuf-cma advantage** of an adversary A mounting a chosen-message attack against MA is

$$\mathsf{Adv}^{\mathrm{wuf-cma}}_\mathsf{MA}(\mathcal{A}) = \mathsf{Pr} \left[ \ \mathsf{Exp}^{\mathrm{wuf-cma}}_\mathsf{MA}(\mathcal{A}) \Rightarrow \mathsf{true} \ \right] \ .$$

#### SUF-CMA

$$\mathsf{K} \overset{\$}{\leftarrow} \mathsf{KG} \; ; \; \mathsf{S} \; \leftarrow \; \emptyset \; ; \; \mathit{win} \; \leftarrow \; \mathsf{false}$$

Subroutine 
$$Tag(M)$$

$$T \stackrel{\$}{\leftarrow} \text{Tag}(K, M)$$
;  $S \leftarrow S \cup \{(M, T)\}$   
Return  $\text{Tag}(K, M)$ 

Subroutine 
$$Vf(M, T)$$

$$v \leftarrow Vf(M, T)$$
  
If  $v = 1$  and  $(M, T) \notin S$  then  $win \leftarrow true$ 

\_\_\_\_\_

#### suf-cma advantage

Return v

The **suf-cma advantage** of an adversary *A* mounting a chosen-message attack against MA is

$$\mathsf{Adv}^{\mathrm{suf\text{-}cma}}_\mathsf{MA}(A) = \mathsf{Pr} \left[ \ \mathsf{Exp}^{\mathrm{suf\text{-}cma}}_\mathsf{MA}(A) \Rightarrow \mathsf{true} \ \right] \ .$$

Subroutine *Finalize*Return *win* 

Experiment **Exp**<sub>MA</sub><sup>suf-cma</sup> *Initialize* 

 $A^{\mathtt{Tag},\mathtt{Vf}}$ 

Return Finalize

# Block cipher based MAC schemes

# Example: MAC scheme $\pi_0$

#### MAC scheme $\pi_0$

Let  $F: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L$  be a family of functions.

$$MAC_K(M)$$
  
if  $(|M| \neq n)$  then return  $\bot$   
Return  $F_K(M)$ 

#### Theorem: $PRF \Longrightarrow MAC$

If  $F: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L$  is a secure PRF and  $2^L$  is large, then  $\pi_0$  is a secure MAC.

For every efficient adversary A against  $\pi_0$ , there exists an efficient adversary B against F such that

$$\mathsf{Adv}^{\mathrm{uf\text{-}cma}}_{\pi_0}(A) \leq \mathsf{Adv}^{\mathrm{prf}}_{F}(B) + rac{1}{2^L}$$
 .

# Example: MAC scheme $\pi'_0$

# MAC scheme $\pi_0'$

Let  $F: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L$  and  $F': \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^t$  be families of functions. Let F' be the same as F except the output is truncated to t bits.

$$MAC_K(M)$$
  
if  $(|M| \neq n)$  then return  $\bot$   
Return  $F'_K(M)$ 

<u>Fact</u>: Let t and L be integers and let t < L. Then, if  $F : \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L$  is a secure PRF, then  $F' : \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^t$  is also a secure PRF.

So, if F is a secure PRF, then  $\pi'_0$  is also a secure MAC.

# MAC for large inputs?

What if the input messages are longer than one block?

# Example: MAC scheme $\pi_1$

```
Let F: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L be a family of functions. 

MAC_K(M)

if (|M| \mod n \neq 0 \text{ or } |M| = 0) then return \bot

Break M into n-bit blocks M = M[1] \dots M[s]

for i = 1 to s do y_i \leftarrow F_K(M[i])

Tag \leftarrow y_1 \oplus \dots \oplus y_s

Return Tag
```

# Example : MAC scheme $\pi_2$

What if we modify  $\pi_1$  to prevent the previous attack by enciphering the block number along with the data block?

```
\pi_2
```

```
Let m be an integer such that 1 \leq m \leq n-1.

Let F: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L be a family of functions.

MAC_K(M)
t \leftarrow n-m
if (|M| \bmod t \neq 0 \text{ or } |M| = 0 \text{ or } |M|/t \geq 2^m) then return \bot
Break M into t-bit blocks M = M[1] \dots M[s]
for i = 1 to s do y_i \leftarrow F_K([i]_m || M[i])
Tag \leftarrow y_1 \oplus \dots \oplus y_s
Return Tag
```

Secure?

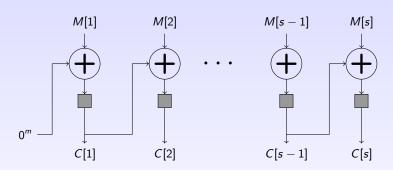
#### **CBC MAC**

**CBC MAC** is like CBC encryption but with  $IV = 0^n$  and the tag is the last ciphertext block.

If fixed-length input, then SECURE.

Otherwise, INSECURE!

# CBC MAC: pictorially



- ▶ The tagging algorithm for CBC MAC. The gray boxes denote the permutation  $E_K$  where E is the underlying block cipher and K is the shared secret key.
- ▶ It is assumed here that the message length is a *fixed* multiple of the block size.

#### **ECBC MAC**

- ► CBC MAC is vulnerable to a length-extension attack.
- ► How to fix CBC MAC for variable-length messages?
  - Encipher the last block of output with another key.
  - The additional encipherment prevents the length-extension attack.
  - ► This solution is called ECBC MAC.

Let  $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^L$  be a block cipher.

#### Theorem: ECBC MAC security

For every efficient q-query PRF adversary A attacking ECBC, there exists an efficient adversary B attacking F such that

$$Adv_{ECBC}^{uf-cma}(A) \leq Adv_F^{prp-cpa}(B) + \frac{2q^2}{2^n}$$
.

## ECBC MAC security: interpretation

$$\mathsf{Adv}^{\mathrm{uf\text{-}cma}}_{\mathit{ECBC}}(A) \leq \mathsf{Adv}^{\mathrm{prp\text{-}cpa}}_{\mathit{F}}(B) + rac{2q^2}{2^n} \ .$$

Suppose q is the number of message MACed with a secret key.

- ▶ ECBC MAC is secure as long as  $q << 2^{n/2}$
- ▶ Suppose we want  $Adv_{ECBC}^{\text{uf-cma}}(A) \leq 1/2^{32}$ 
  - ► Then,  $q^2/2^n < 1/2^{32}$
  - ▶ With AES, n = 128. So,  $q \le 2^{48}$ . Acceptable.
  - ▶ With 3DES, n = 64. So,  $q \le 2^{16}$ . Unacceptable.
- ▶ Once  $q = 2^{n/2}$ , we can attack ECBC using birthday attack + length-extension.
  - Find  $M_1 \neq M_2$  that get MACed to the same tag t, then query for tag of  $M_1 || w$ , and forge with  $(M_2 || w, t)$ .

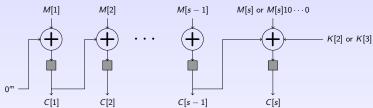
# Padding input messages

What if input messages aren't of length multiple of n?

- ▶ pad with 0's?
- ▶ pad with 100...0?
- ▶ pad with 100...0 with dummy block?
- CMAC (like CBC MAC) but
  - ▶ pad with 100...0 if necessary and don't pad if unnecessary
  - ▶ then xor the last block with another key  $K_1$  if there's a pad or with  $K_2$  if there's no pad.
  - No dummy block.
  - No additional encipherment like ECBC MAC.

#### **XCBC MAC**

**XCBC MAC** is like CBC MAC but uses two extra keys so that it can deal with variable-length input messages.



- ▶ The tagging algorithm for CBC MAC. The gray boxes denote the permutation  $E_K$  where E is the underlying block cipher and K is the shared secret key.
- ▶ If the last block M[s] is too short, it is padded by  $10 \cdots 0$  until its length equals the block size.
- If the last block has not been padded, then K[2] is additionally exclusive-ored with the message. Otherwise, K[3] is used instead.

# CMAC (aka OMAC for One-key MAC)

- ▶ CMAC is XCBC MAC but with K[2] and K[3] derived from  $E_{K[1]}(0^n)$ .
- ► CMAC is recommended by NIST.

# Example attacks against MACs

# Example: Attack against $\pi_1$

$$\begin{array}{ll} \mathsf{Adverary} \ A_1^{\mathit{MAC}_{\mathcal{K}}(\cdot),\mathit{VF}_{\mathcal{K}}(\cdot,\cdot)} \\ M \ \leftarrow \ 0^n || 0^n \\ \mathit{Tag} \ \leftarrow \ 0^L \\ d \ \leftarrow \ \mathit{VF}_{\mathcal{K}}(\mathit{M},\mathit{Tag}) \end{array}$$

$$\mathsf{Adv}^{\mathrm{uf\text{-}cma}}_{\pi_1}(A_1) = 1$$

Resources:  $t = O(n + L), q_s = 0, q_v = 1, \mu_s = 0, \mu_v = 2n$ 

# Example: Attack against $\pi_2$

#### idea:

To forge on  $M = b_1b_2$ , we want to know what

$$F_K([1]_mb_1)\oplus F_K([2]_mb_2)$$

looks like. So we ask a query  $b_1a_2 \& a_1b_2$  then XOR out the extras, i.e.  $F_K([1]_ma_1) \& F_K([2]_ma_2)$ .

```
We ask for Tag_1 of M_1 = a_1a_2

Tag_2 of M_2 = a_1b_2

Tag_3 of M_3 = b_1a_2
```

Forge on  $M = b_1b_2$  with tag value  $tag_1 \oplus tag_2 \oplus tag_3$ .

```
M_1: tag_1 = F_K([1]_m a_1) \oplus F_K([2]_m a_2)

M_2: tag_2 = F_K([1]_m a_1) \oplus F_K([2]_m b_2)

M_3: tag_3 = F_K([1]_m b_1) \oplus F_K([2]_m a_2)

M: tag = F_K([1]_m b_1) \oplus F_K([2]_m b_2)
```

# Example: Attack against $\pi_2$

```
Adverary A_2^{MAC_K(\cdot),VF_K(\cdot,\cdot)}

Let a_1,b_1 be distinct I-m-bit strings

Let a_2,b_2 be distinct I-m-bit strings

Tag_1 \leftarrow MAC_K(a_1a_2)

Tag_2 \leftarrow MAC_K(a_1b_2)

Tag_3 \leftarrow MAC_K(b_1a_2)

Tag \leftarrow Tag_1 \oplus Tag_2 \oplus Tag_3

d \leftarrow VF_K(b_1b_2,Tag)
```

$$\mathsf{Adv}^{\mathrm{uf\text{-}cma}}_{\pi_1}(\mathcal{A}_1) = 1$$

Resources: ??

# Attack against variable-length input version of CBC MAC

$$\mathsf{Adv}^{\mathrm{uf\text{-}cma}}_\pi(A) = 1$$

Resources: 
$$q_v = 1, q_s = 1, \mu_v = 2n, \mu_s = n, t = O(n)$$

This attack doesn't work if input length is fixed. In fact, CBC MAC with fixed-length inputs is secure.

#### Making $\pi_2$ secure

 $\pi_2$  with *i* being a counter is secure.

MAC algorithm is **stateful**: *ctr* starts at zero and gets incremented across messages.

#### stateful $\pi_2$

```
Let F: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^L be a family of functions.
MAC_{K}(M):
    static ctr \leftarrow 0
     t \leftarrow n - m
    If (|M| \mod t \neq 0 \text{ or } |M| = 0 \text{ or } ctr + \frac{|M|}{t} \geq 2^m) then return \perp
     Break M into t-bits blocks M = M[1]||\cdots||M[s]|
     For i = 1, \dots, s do y_i \leftarrow F_K([ctr + i]_m || M[i])
     Tag \leftarrow y_1 \oplus \cdots \oplus y_s
     ctr \leftarrow ctr + s
     Return Tag
```

#### Stateful version of $\pi_2$ is a secure MAC.

#### Result (informal)

 $\pi$  is a secure MAC assuming that F is a PRF.

To prove this, we need to show that,  $\forall A$  attacking  $\pi$ , we can construct B attacking F.

#### Idea

- $\blacktriangleright$  B runs A using g in place of  $F_K$  to compute  $T_{ag}$
- ▶ If *A* ever forges successfully, *B* outputs 1. Otherwise, it outputs 0.

#### MAC based on hash function

#### **HMAC**

 $MAC_K(M) = H(K \oplus \text{opad} || H(K \oplus \text{ipad} || M))$