

Lecture 12: Independent Sets

Harvard SEAS - Fall 2022

2022-10-13

1 Announcements

Recommended Reading: CLRS Sec 16.1–16.2

2 Definitions

In the active learning exercise, you've seen the definition of independent sets, which are closely related to graph colorings:

Definition 2.1. Let $G = (V, E)$ be a graph. An *independent set* in G is a subset $S \subseteq V$ such that there are no edges entirely in S . That is, $\{u, v\} \in E$ implies that $u \notin S$ or $v \notin S$.

A proper k -coloring of a graph G is equivalent to a partition of V into k independent sets (each color class should be an independent set).

When we have a graph $G = (V, E)$ representing conflicts, instead of partitioning V into a small number of conflict-free subsets (as coloring would), it is sometimes useful to instead find a single, large conflict-free subset. This gives rise to the following computational problem:

Input : A graph $G = (V, E)$

Output : An independent set $S \subseteq V$ in G of maximum size

Computational Problem Independent Set

Example: Throwing a big party where everyone will get along.

Like with graph coloring, we can try a greedy algorithm for Independent Set:

```

1 GreedyIndSet( $G$ )
  Input      : A graph  $G = (V, E)$ 
  Output    : A “large” independent set in  $G$ 
2 Choose an ordering  $v_0, v_1, v_2, \dots, v_{n-1}$  of  $V$ ;
3  $S = \emptyset$ ;
4 foreach  $i = 0$  to  $n - 1$  do
5   |
6   |   return  $S$ 

```

And, similarly to coloring, we can only prove fairly weak bounds on the performance of the greedy algorithm in general:

Theorem 2.2. *For every graph G with n vertices and m edges, $\text{GreedyIndSet}(G)$ can be implemented in time $O(n + m)$ and outputs an independent set of size at least $n/(d_{\max} + 1)$, where d_{\max} is the maximum vertex degree in G .*

Proof.

□

However, when there is more structure in the conflict graph, a careful ordering for the greedy algorithm can yield an optimal solution. An example of such structure comes from the Interval Scheduling problem we saw in the first lecture:

```

Input      : A collection of intervals  $[a_0, b_0], \dots, [a_{n-1}, b_{n-1}]$ , where each  $a_i, b_i \in \mathbb{R}$  and
                $a_i \leq b_i$ 
Output    : YES if the intervals are disjoint (for all  $i \neq j$ ,  $[a_i, b_i] \cap [a_j, b_j] = \emptyset$ )
               NO otherwise

```

Computational Problem IntervalScheduling-Decision

We saw that we could solve this problem in time $O(n \log n)$ by reduction to Sorting. However, if the answer is NO, we might be satisfied by trying to schedule *as many* intervals *as possible*:

```

Input      : A collection of intervals  $[a_0, b_0], \dots, [a_{n-1}, b_{n-1}]$ , where each  $a_i, b_i \in \mathbb{Q}$  and
                $a_i \leq b_i$ 
Output    : A maximum-size subset  $S \subseteq [n]$  such that  $\forall i \neq j \in S$ ,  $[a_i, b_i] \cap [a_j, b_j] = \emptyset$ .

```

Computational Problem IntervalScheduling-Optimization

Example:

Q: How can we model IntervalScheduling-Optimization as an Independent Set problem?

With this graph-theoretic modelling, we can instantiate **GreedyIndSet()** for IntervalScheduling-Optimization:

```
1 GreedyIntervalScheduling( $x$ )
   Input    : A list  $x$  of  $n$  intervals  $[a, b]$ , with  $a, b \in \mathbb{Q}$ 
   Output   : A “large” subset of the input intervals that are disjoint from each other
2 Choose an ordering of the input intervals  $[a_0, b_0], [a_1, b_1], \dots, [a_{n-1}, b_{n-1}]$ ;
3  $S = \emptyset$ ;
4 foreach  $i = 0$  to  $n - 1$  do
5   |                                     ;
6   | return  $S$ 
```

Q: What ordering of the input intervals should we use?

Theorem 2.3. *If the input intervals are sorted by then we have that **GreedyIntervalScheduling**(x) will find an optimal solution to IntervalScheduling-Optimization, and can be implemented in time $O(n \log n)$.*

Proof.

□