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# Safety Critical Systems AX Report (H)

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# 1 INTRODUCTION

Artificial Intelligence has been one of the hot topics of this decade as it is surrounding our lives more as days go by. We can see it in objects ranging from aircrafts to smart home controllers.

However, as AI has become so powerful, it may come with a cost for the majority of us. Because of the large number of risks when letting Artificial Intelligence and Machine Learning robots/devices perform certain actions for us (Mannino [2015]), we need to devote a huge amount of effort in designing better safety-oriented architectures, well-crafted and thorough testing as well as regular check-ups and revisions. Therefore, we need to see a considerable increase in tools that assess and mitigate risks when introducing AI into systems of any type. Such a tool will be discussed in further detail in this report.

## 1.1 AI-DRIVEN TECHNOLOGIES

The importance of introducing AI into a field with safety as a top priority is crucial. Because there is no human involved, the goal of the whole AI community is to let the machines actually take our place in performing certain actions, so that our tasks would be simplified. As good examples where it has become more and more developed, here is a list of examples:

- Transportation (driverless cars, subways)
- Game playing machines (Deepmind's Go playing machine that beat the en-titre champion) Silver [2016]
- Medical robotics
- Manufacturing machines
- Education

As the list can go on, we can see how AI spans throughout most of the major aspects of our lives, thus the need of careful monitoring its development.

## 1.2 HOW AI CAN GO WRONG

Going past the many fields driven by Artificial Intelligence nowadays, we need to also take a close look at how many technologies have proven to be very prone to failure.

One interesting case is something that happened only a few months ago with an Uber driverless car going through a red light in front of San Francisco's Museum of Modern Art (Wakabayashi [2015]).

As it was recorded on camera, the car just rushes through a busy street on red light. This is a clear sign of how developers are not placing enough testing and robust checks before launching such a safety critical systems into an open environment.

We can see that the cause of the previous example could have been harmful for us humans. However, there have been cases where AI was involved and it was even deadly. Such an event is the killing of a Volkswagen employee who was grabbed and killed by one of the manufacturing robots in the plant (Dockterman [2015]). Moreover, a robot in one Silicon Valley mall struck a child on the head by mistake (Rocha [2016]).

In conclusion, after discussing various developments in the Artificial Intelligence world and how these safety critical systems can fail drastically, a tool for assessing and mitigating such risks will be presented in the rest of this report.

## 2 TOOL

After extensive research, I came to the conclusion that for such an application the best technique that should be used is Model Checking adapted specifically for AI systems.

### 2.1 REASONS BEHIND CHOICE

Firstly, it's needed to be pointed out that various techniques (e.g. Fault Tree Analysis, Effects and Criticality Analysis) work as well for some sub-fields of AI-driven systems, but I believe that Model Checking is eventually the optimal choice. This is because of various reasons which will be exposed below.

### 2.2 AI IS YOUNG

Because of the relatively young age of AI and ML in mainstream technology, there is quite a significant amount of improvements needed to be implemented. As shown before, there are many cases where they failed and lead to possible catastrophic outcomes.

Model Checking is a solution to the problem: having a model system, check automatically (and exhaustively) if that particular system meets some given specification. I believe this is a very good approach to the tool needed to be implemented because the model checking applies to finite state systems (Wah [2009]). As AI is young, we need to make sure (even at the cost of time and not so optimal design decisions) that the system behaves correctly and gives the correct output regardless of the situation.

As such, a technique such as Model Checking would automatically check all possible combinations and determine whether the system is prepared to use AI/ML safely or not.

### 2.3 STATE OF THE ART USAGES OF THE MODEL

There are many cases in the technology world where manufacturers and government bodies adopted Model Checking for AI-driven systems, thus making me more inclined in having confidence in the technique's effectiveness.

One such example is how NASA used a SPIN-based Model Checker to verify the safety properties of autonomous planning systems. In their case, such an APS would decide what a rover or spacecraft should perform next (Cucullu [2005]). Therefore, they needed to assure that the APS would always pick a safe choice that would not require human supervision eventually. Therefore, they came up with a solution that would replace the current empirical testing at that time (proven inefficient) with a Model Checker SPIN implementation using the Promela programming language. It proved to be very successful, being able to develop over 3 billion plans for their finite-state system. Even though this is very time-consuming and it is not optimal, as anyone can imagine, the decision a rover or a spacecraft system makes is of crucial importance, thus we can sacrifice cost for the benefit of confidence in the machine's abilities to perform the task correctly.

Another good example is coming from the aviation field: Rockwell Collins is an important avionics and IT company that delivers safety critical systems to governmental agencies and various aircraft manufacturers. They developed 2 systems of interest to us in this report: one is called ADGS-2100 Adaptive Display and Guidance System Window Manager - it was designed to provide pilots with heads up and down displays, as well as display management software, while the other one is FCS 5000 - a new family of Flight Control Systems.



Figure 2.1: Pilot Display Panels

After considering various techniques to assess and mitigate risk as early and efficiently as possible, they ended up with using Model Checking (Miller [2005]) for both applications. The systems are crucial, such that whichever would fail during a flight it would impose great risks to both pilots and other travellers. Therefore, they have imposed a Simulink specification us-

ing only Boolean and enum types (Miller [2010]). The company has been very pleased with the approach, having 5 autonomous components which had, in turn, 563 properties developed and checked altogether. This revealed around 100 errors in early versions of the window manager. By the end of the project, however, the developers were checking properties after the design change, reporting that they were very satisfied with the new technique for assessing and mitigating risk.

## 2.4 AVAILABLE TOOLS

After showing how the tool is used by large companies and governmental agencies throughout the globe, there is a need to discuss about available tools to get started when applying the Model Checking technique. Because such systems where AI is becoming more involved are taking over the technology field, people need fast, reliable and easy to get started tools. Such an example is the above-mentioned SPIN.

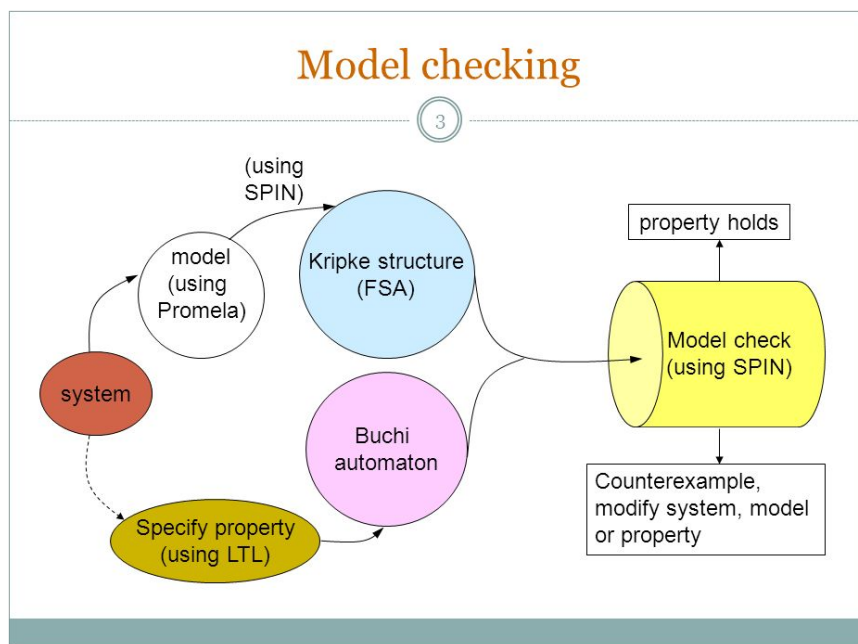


Figure 2.2: SPIN Model Checking

Not only that the model received the extremely prestigious ACM System Software Award in 2001, but it also has annual conferences held specifically for this technique. The systems need to be described in Promela language, while the properties that need to be verified are expressed as LTL (Linear Temporal Logic) formulas (Holzmann [2003]).

Apart from SPIN, NASA also made its verification tool using the Model Checking technique for the highly popular programming language Java.

Coming to a conclusion, recent studies and developments show that there are many tools available out there that can make a developer's job to put a Model Checking technique into practice quite easy.

## 2.5 CASE STUDY

A specific case study that I came up with is

## 2.6 IMPLEMENTATION OF THE TOOL

The idea I came up with was easy to implement and very efficient. As web tools are gaining more popularity among developers as days go by, the Electron framework developed by GitHub was a choice that stood out (GitHub [2017]).

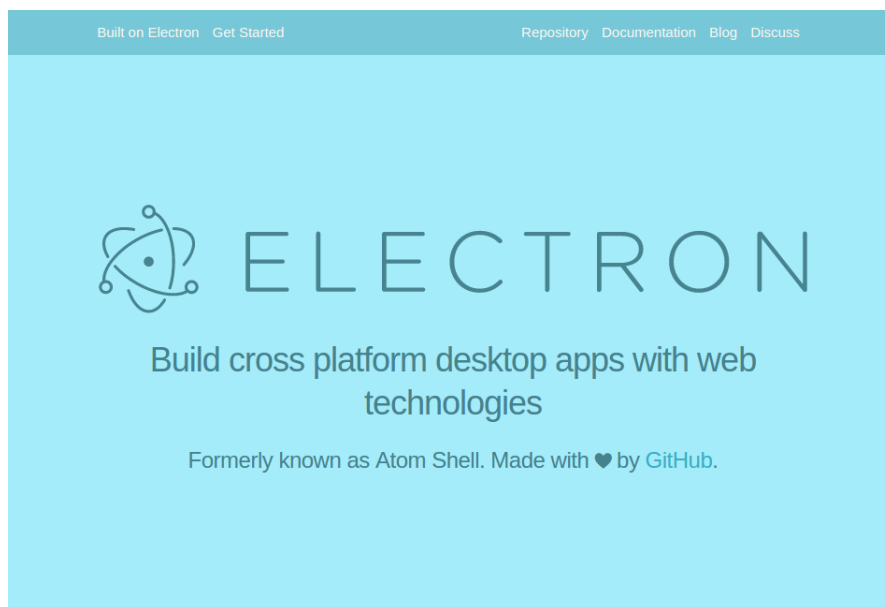


Figure 2.3: GitHub's Electron Framework

Therefore, I used only web languages (JavaScript, HTML, CSS) to build a native application on all three major OS families (macOS, Windows, Linux). This was a very important design choice because I believe that websites are not such a good choice for assessing and mitigating risks in safety critical systems. This is because of a variety of reasons:

- Security: having an offline application that cannot (if developed so) require an Internet connection eliminates the risks of man-in-the-middle attacks and many other techniques that might threaten the checking process and valuable information
- Familiarity: having an app that performs natively regardless of the specific operating system will make the developers "feel at home", becoming more productive

- System calls: the developers can take benefit from the system calls that can be used from within Electron
- Reduced costs: as websites require server-side power and hosting, they are not a valuable option for such a tool because there would be huge costs (before we mentioned how NASA had more than 3 billion plans when running the Model Checking); however, with a standalone app, we can reduce the costs drastically as we can include the whole "server" inside the app and the local machine's resources would be used to power up the huge number of plans

As we showed why a website wouldn't have been such a good idea for implementing the tool, we also need to take into consideration the limited time developers have. What I mean by that is developers can just build an app with web tools once and have it on 3 platforms from a single shot. This gives them the time that could have been wasted on building 3 native platforms with 3 different frameworks (that also would have required prior research and training).

In terms of using SPIN in my application, I have created the Electron app to give the user a visual interface where he/she could press buttons, customise variables and afterwards Promela models would be created and test systems that would be selected from local storage by the developer. My app is in a very raw form for demonstration purposes as it was not required to build a fully fledged app.

In terms of risk assessment warnings, I have selected a colour palette that would make the developer pay attention regarding the current status: vivid red, orange and green colours would denote various levels of risk. Furthermore, I have also spent extensive research into automating my app and I have found a very interesting paper (Yamada [2011]). I believe that it sounds promising and it would bring great performance and overall improved user experience to the tool.

Taking all these into account, I believe that the electronic tool I developed needs to be extended and further developer to become a viable option for software engineers mitigating and assessing risk, but this is a promising start.

### 3 EVALUATION

The techniques that were used to conduct the evaluation process were observation followed by interviews. I have asked participants (5) to use my tool and, afterwards, use another tool that was developed by software companies (in particular Java PathFinder). I believe that JPF is a mature tool with lots of contributors, thus making it a viable candidate to compare my software to.

#### 3.1 CONDUCTING THE EVALUATION

6 Computing Science students that are undertaking the Software Critical Systems course were selected to trial in the evaluation process. A voluntary informed consent was needed from

the participants before undergoing the process, assuring them that the whole process was anonymous and they could withdraw at any time. The whole evaluation lasted for about 2 hours with a break after one hour.

I have assumed that everyone had the same level of expertise in Safety Critical Systems with AI/ML agents because we were all taking the course at university. Also, I did not possess the necessary means to get trained experts in the field to participate.

In terms of accurately assessing the risk, I have used a Java project that had a simple specification to be met.

### 3.2 EVALUATION TECHNIQUES

As mentioned above, I have started the evaluation with laboratory observations. I made this decision because I believe in a case such as software comparison and testing, a controlled environment is more suitable.

Three of them were testing one of the apps, while the other three were testing JPF. They were shown the basic instructions on how to use both of them.

The main positive outcome of that was their interest and enthusiasm when using a standalone app with a proper GUI than an old-fashioned terminal tool. Their feedback was also useful in terms of the colours: they suggested the more vivid nuances and clear display format. Moreover, 4 participants suggested to include a menu bar app to be able to stay updated at any moment. This can be done easily in Electron which facilitates simple Node modules that can display certain output from the tool in macOS's menu bar, Windows' bottom bar and so on. They felt the application very natural as it blended in with their OS of choice.

All the participants were also very keen to find out more about the automatic generation of Promela from UML diagrams. They suggested that UMLs are essential to a consistent software tool and thus to mitigating risks. Therefore, this automated generation is a must feature in the future.

All these suggestions and discussions occurred after the 1 hour testing of the 2 apps, each having 30 minutes for one tool (the preparation and tutorial on how to use them was done beforehand). The following hour was dedicated to interviews where I gathered the whole output.

Unfortunately, as we are pressed with many deadlines for university courses, the participants could not devote more time and they had to end the evaluation process after 2 hours.



## 4 RESULTS

The results were fantastic, receiving much better feedback than I expected. I have received great output on the delivery of the notifications, how the developers would find out if they are assessing and mitigating risks properly, all in a clean and native user experience.

The AI is unexpected at this point in time because of the huge implications and ramifications it has, so building tools to mitigate risks is very time consuming. Therefore, I will not continue building on the tool I developed. However, it was a rather interesting experience and I learned useful and beneficial aspects of conducting evaluations, different model checking tools available, as well as development of a cross-platform native app in a state-of-the-art technology.

## 5 CONCLUSION

Summing up, I believe that this is an inceptual time of the AI revolution and we need much more focus on developing sophisticated, efficient and optimal applications that will assess and mitigate risks properly. Everything is becoming driven, conducted, performed by some form of artificial intelligence machine, therefore they are taking over a large variety of sub-fields of technology.

Among them, the most important are safety critical systems which require careful assessment and thorough preparation. We let robots drive our cars, subways, manufacture our cars, teach our students, therefore being able to prevent and, in worst case, solve AI failures is vital and I believe we have much more to learn in the coming years.

The extensive research I've done for completing this assessment has been a huge opportunity that got me into artificial intelligence and machine learning world-wide improvements as well as many different risk mitigating/assessing tools and techniques.

I consider the experience to have been very beneficial and useful for me as a professional software engineer.

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