

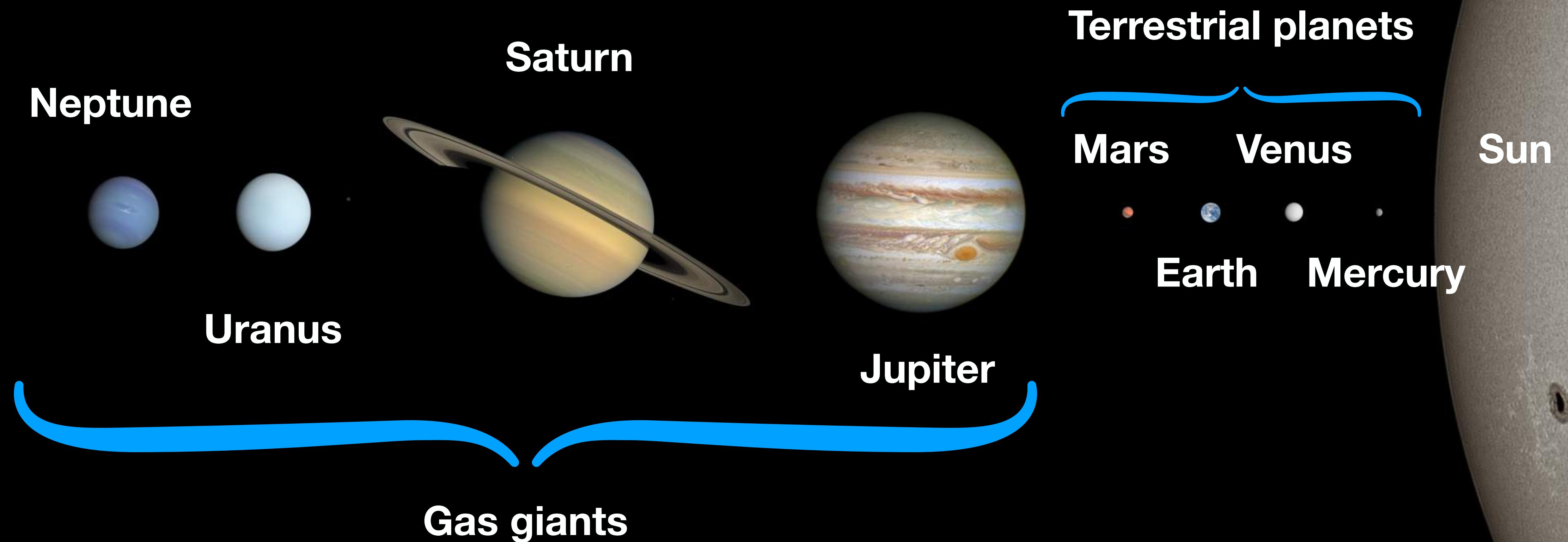
# Planet Formation Theory

Min-Kai Lin (林明楷)

July 2024

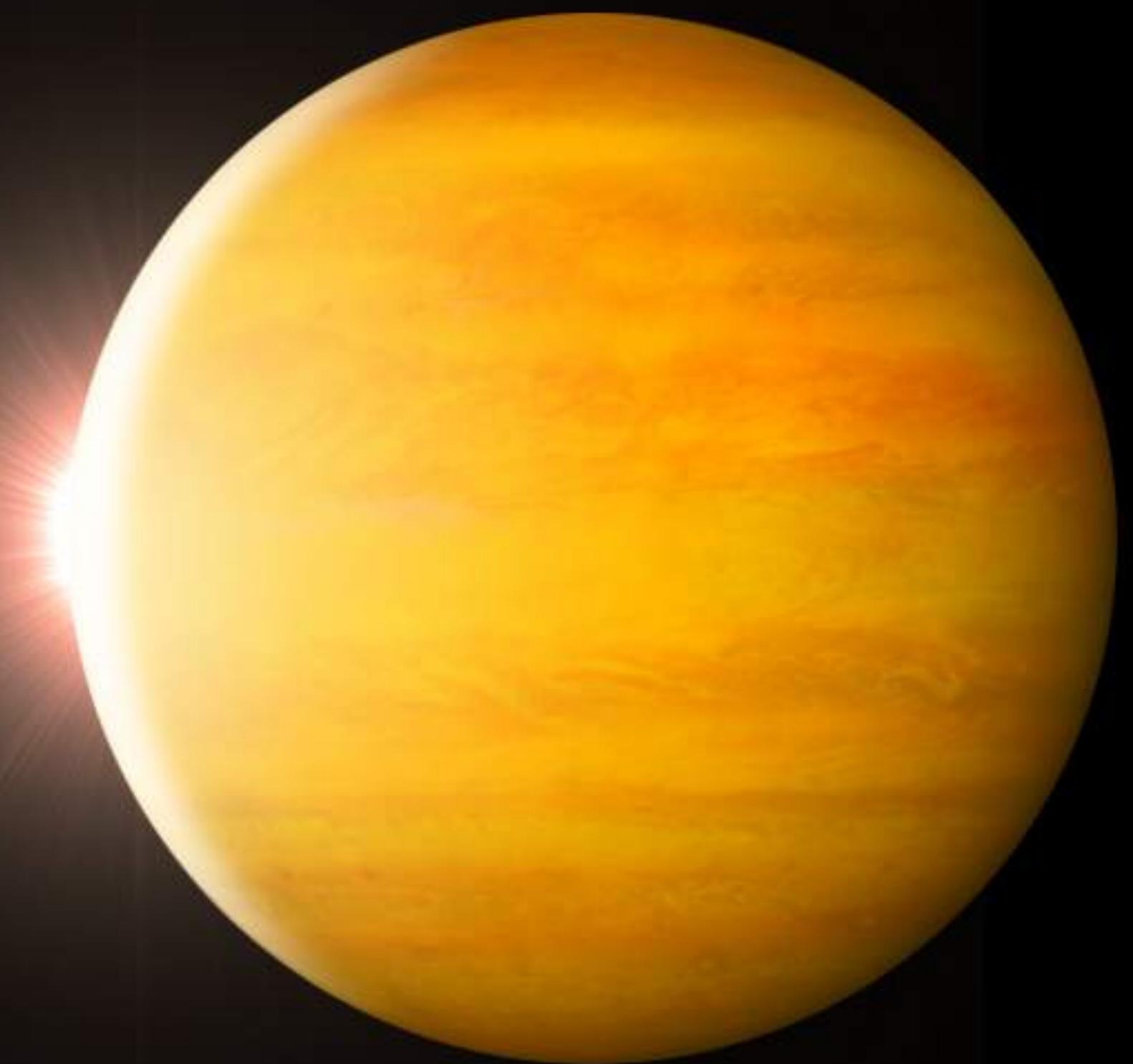


# The solar system



# 1995: a Hot Jupiter outside the solar system

THE FIRST PLANET DISCOVERED  
AROUND A **SUN-LIKE** STAR



51 Pegasi b

Discovered October 6, 1995

TEMPERATURE  
51 Pegasi b has a temperature  
of **1000°C/1800°F**.



ORBITAL PERIOD  
51 Pegasi b orbits its  
host star **every 4 days**.

DISTANCE FROM EARTH  
51 Pegasi b is **50**  
**light-years** from Earth.

## PLANET COMPARISON

51 Pegasi b



Jupiter

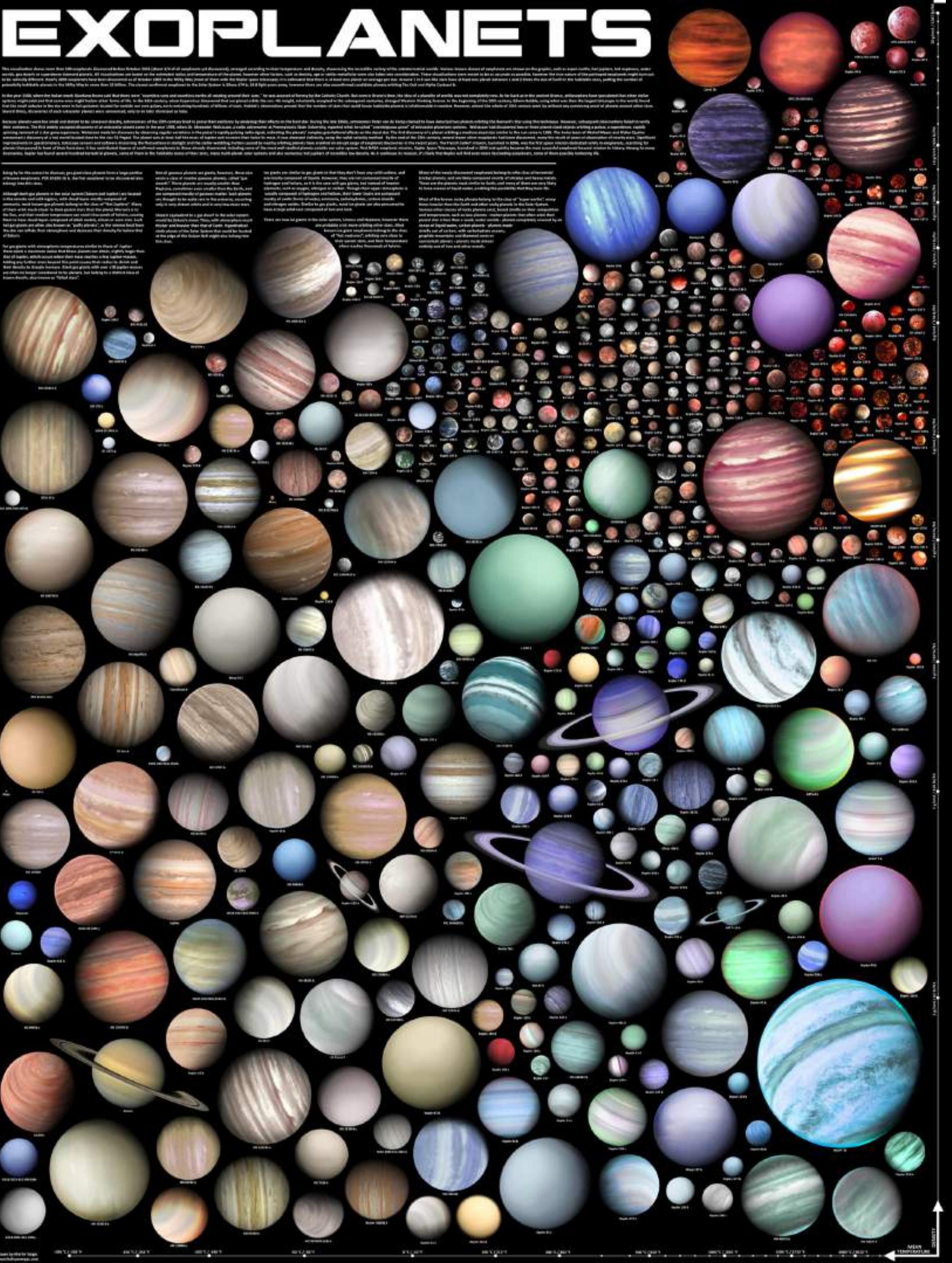


51 Pegasi b is **47% less massive**,  
**but 50% larger than Jupiter**.

Credit: NASA

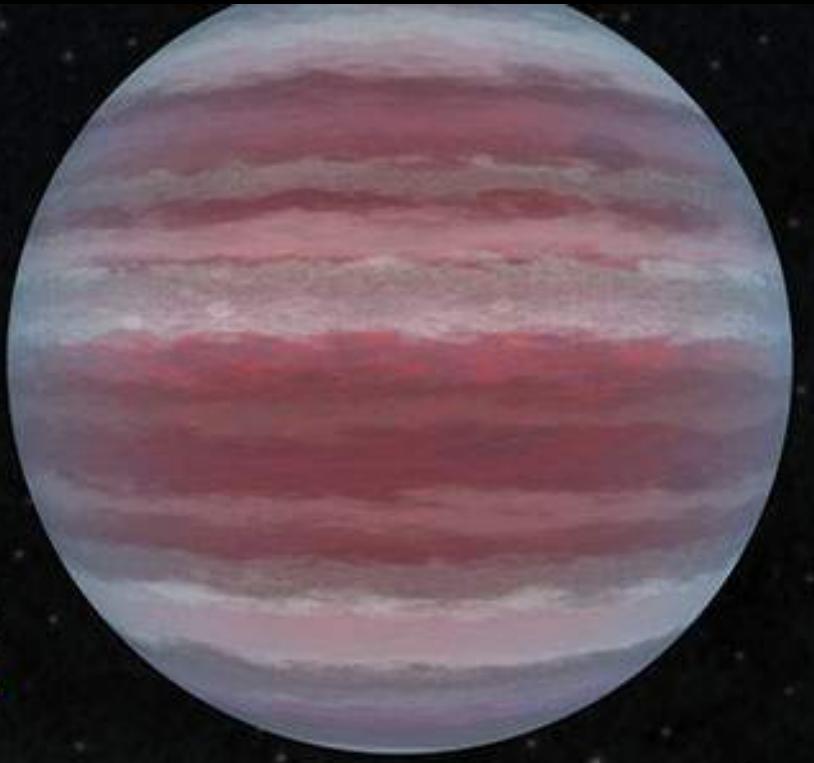
# 2024: thousands of planets

- >5000 exoplanets
  - Eccentric
  - Inclined
  - Multi-stellar
  - Hot/cold Jupiters
  - Free-floating



Credit: Martin Vargic

# Exoplanet demography



30%

## GAS GIANT

The size of Saturn or Jupiter (the largest planet in our solar system), or many times bigger. They can be hotter than some stars!



31 %

## SUPER-EARTH

Planets in this size range between Earth and Neptune don't exist in our solar system. Super-Earths, a reference to larger size, might be rocky worlds like Earth, while mini-Neptunes are likely shrouded in puffy atmospheres.



4%

## TERRESTRIAL

Small, rocky planets. Around the size of our home planet, or a little smaller.



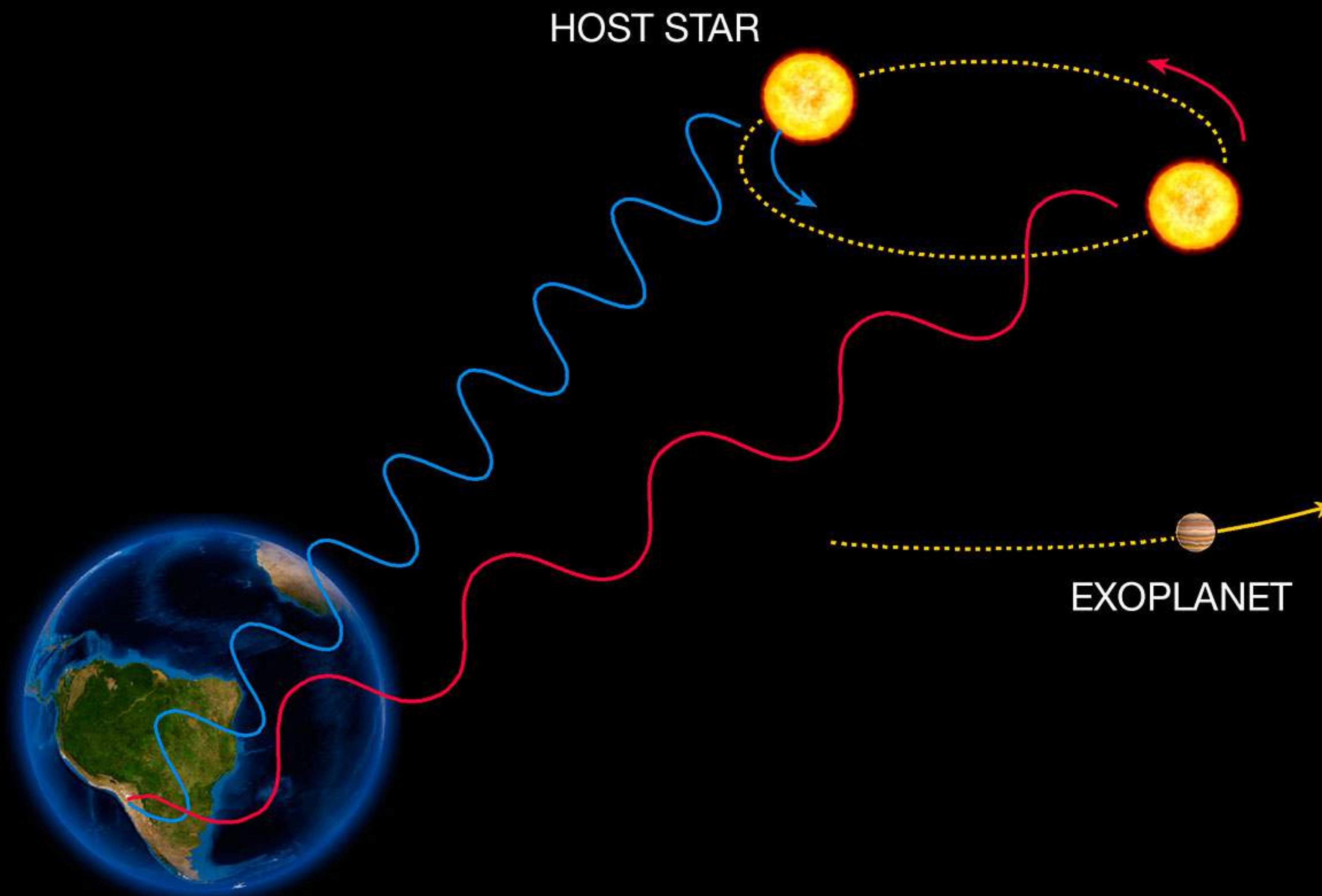
35 %

## NEPTUNE-LIKE

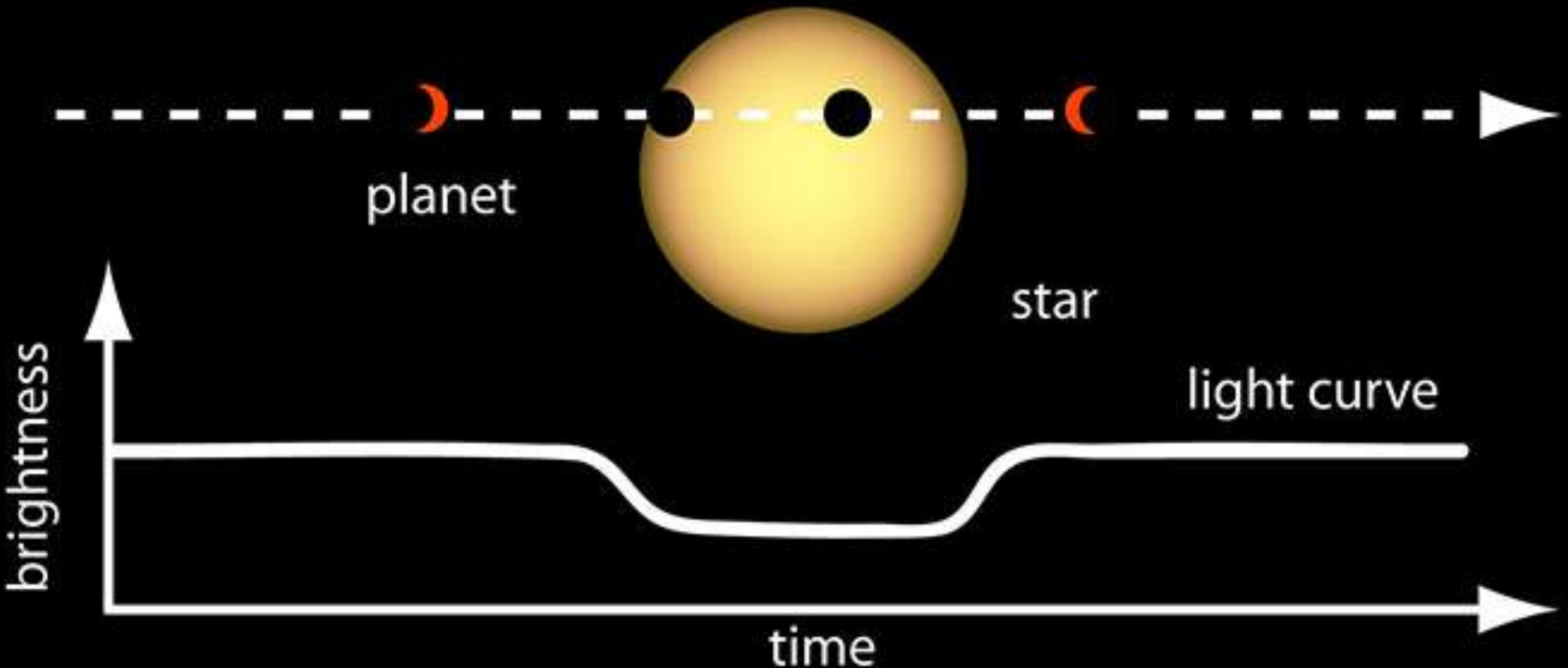
Similar in size to Neptune and Uranus. They can be ice giants, or much warmer. "Warm" Neptunes are more rare.

**5000+**  
**PLANETS FOUND**

# Finding exoplanets: Doppler effect



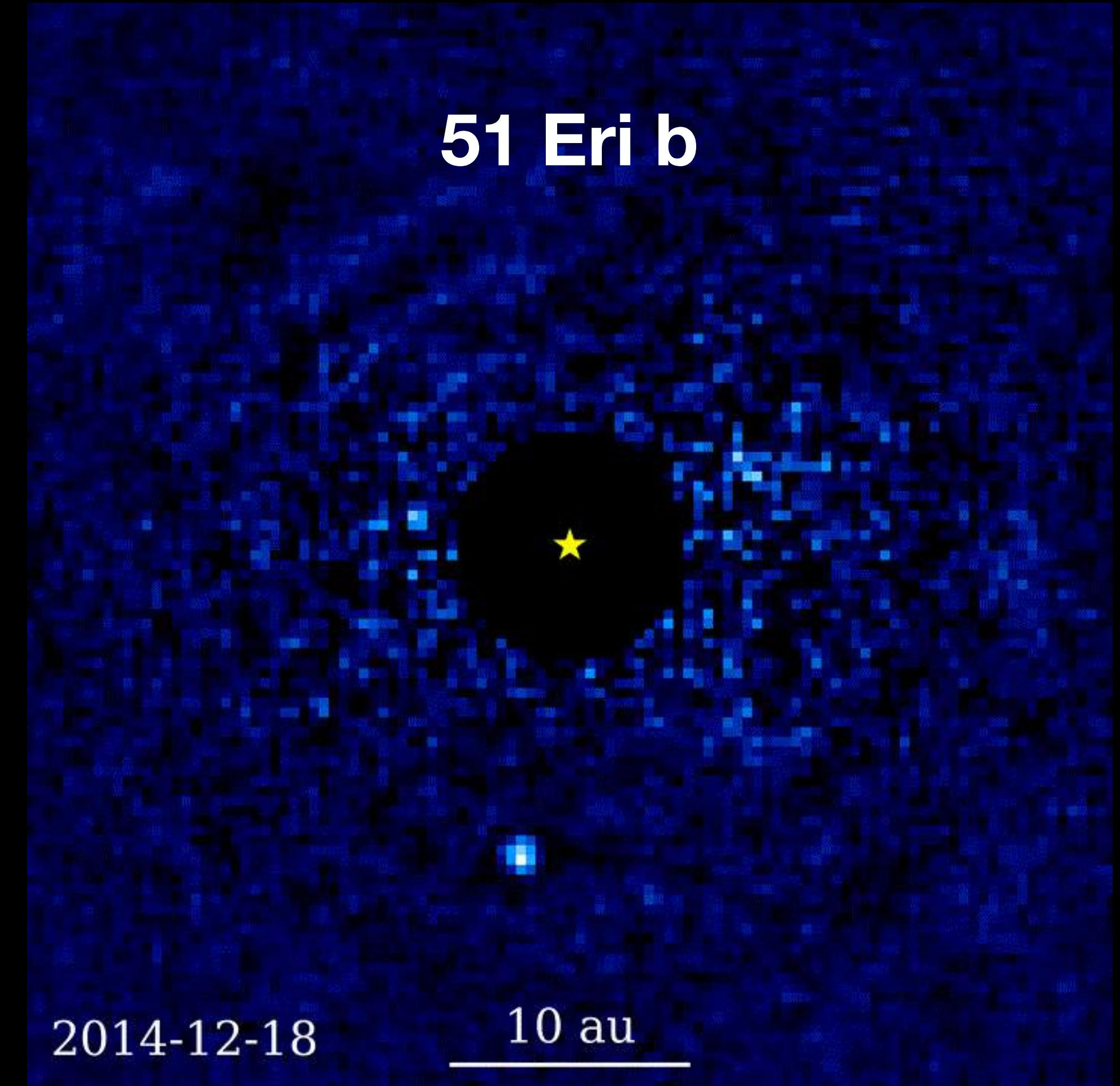
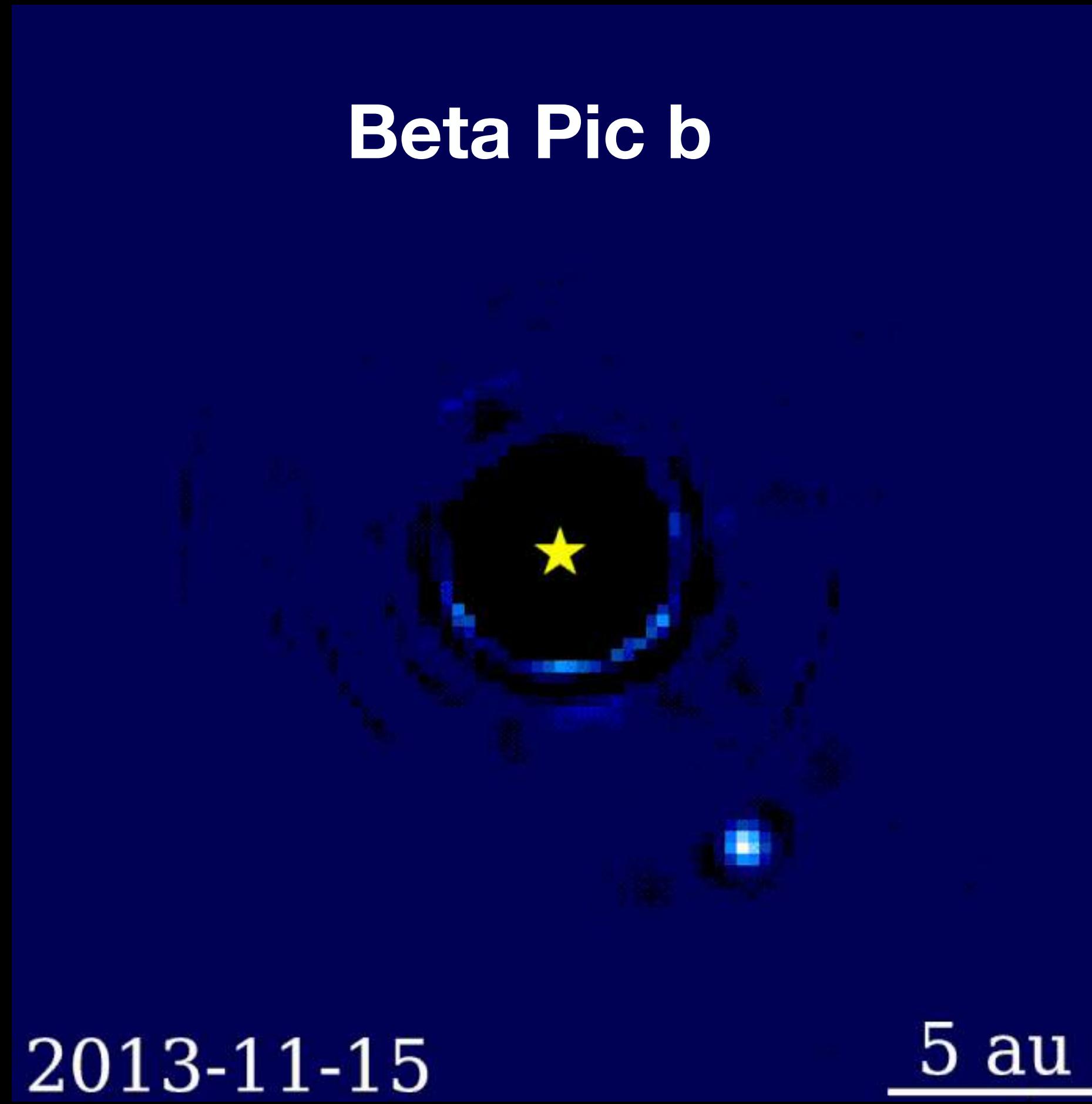
# Finding exoplanets: transits



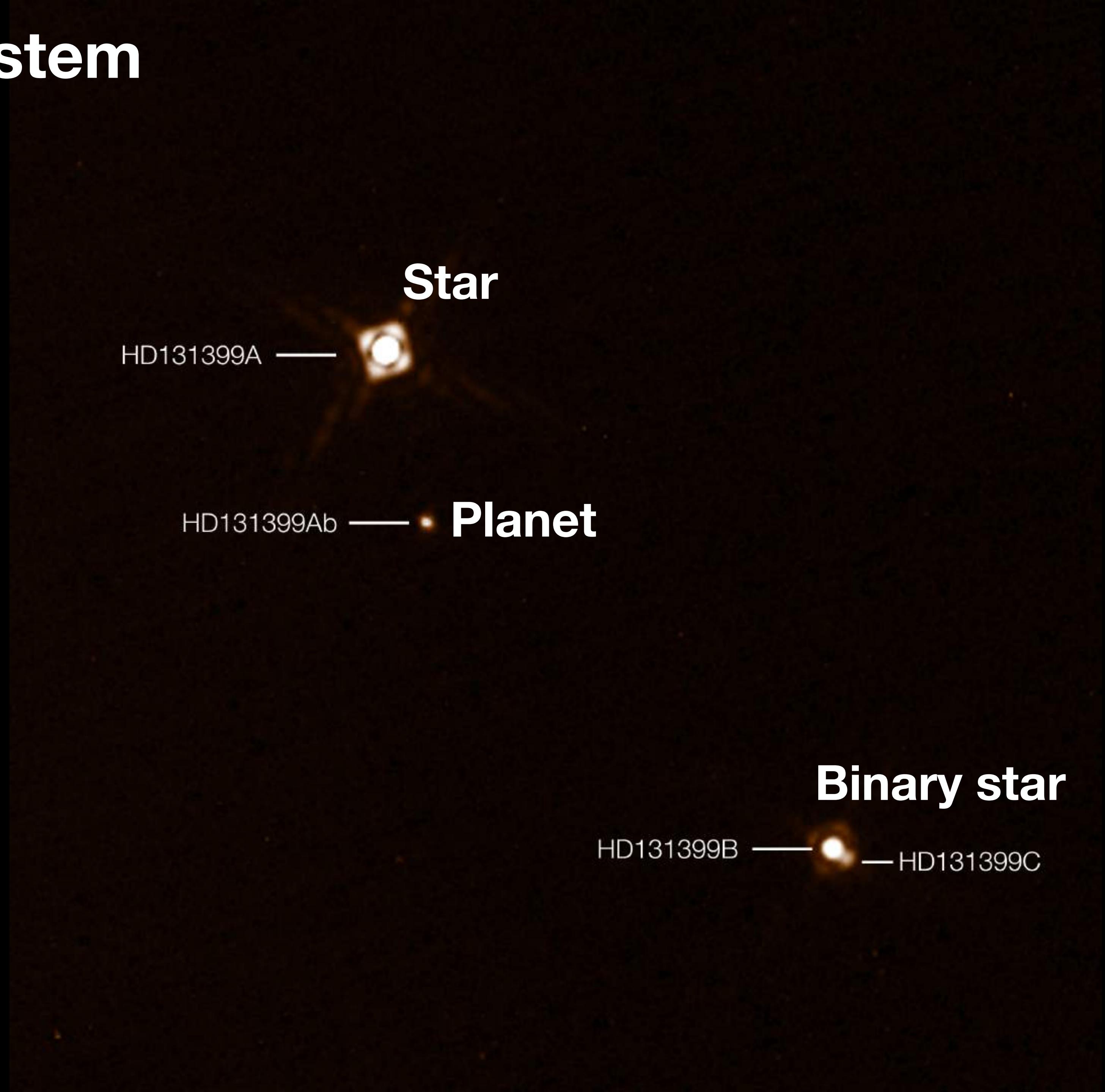
# Finding exoplanets directly



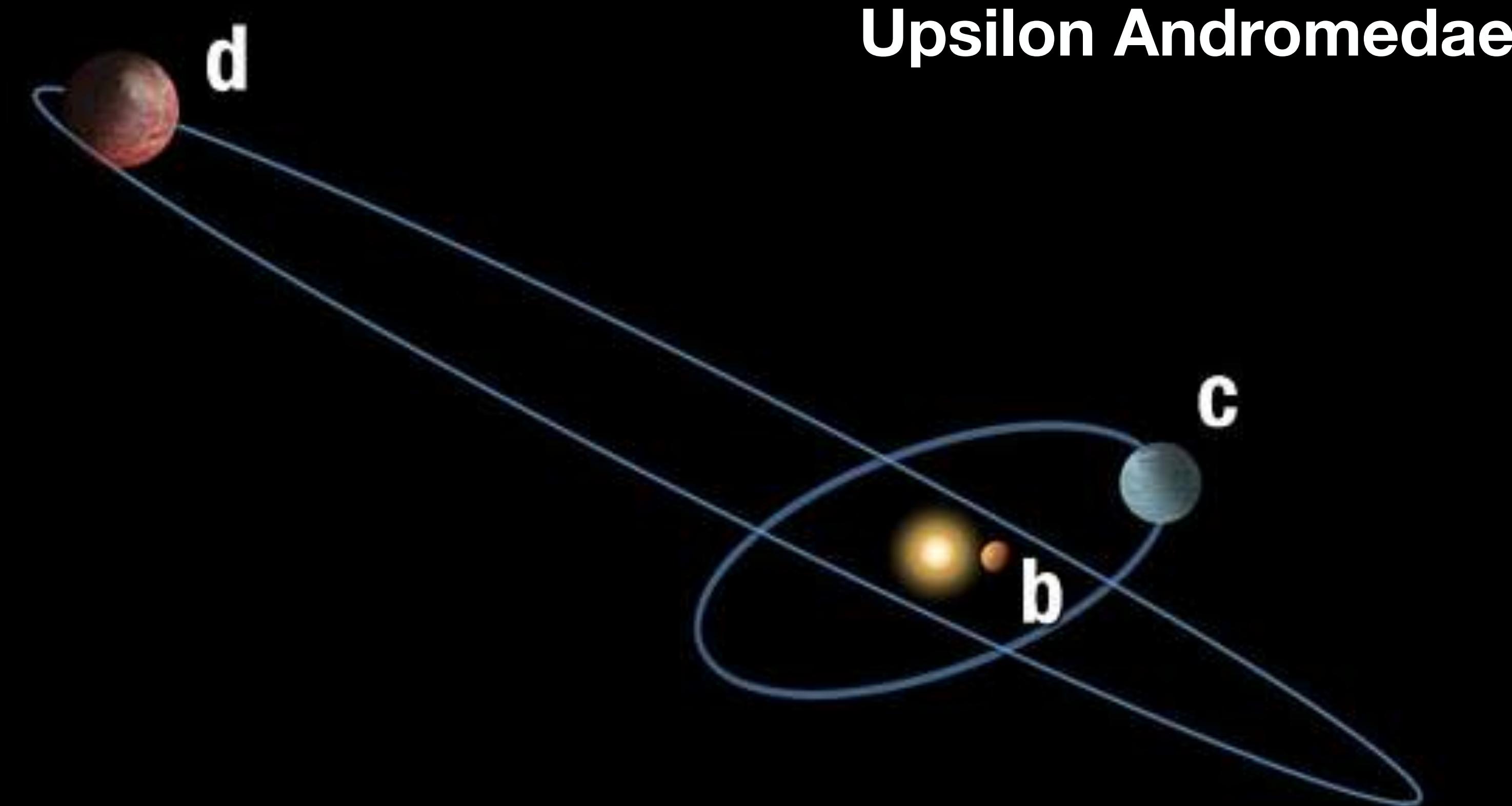
# Other directly-imaged planets



# Triple star system



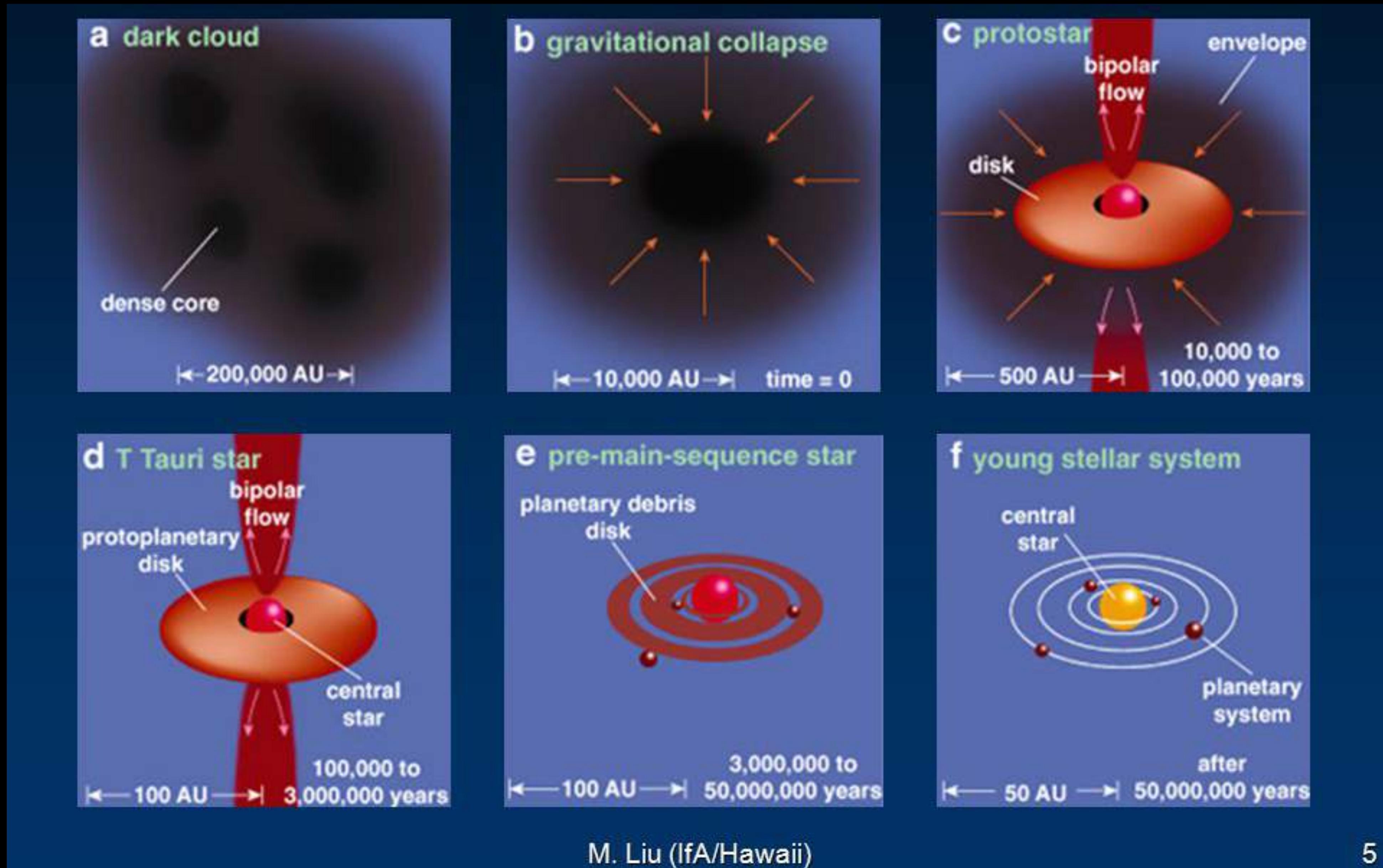
# Inclined systems



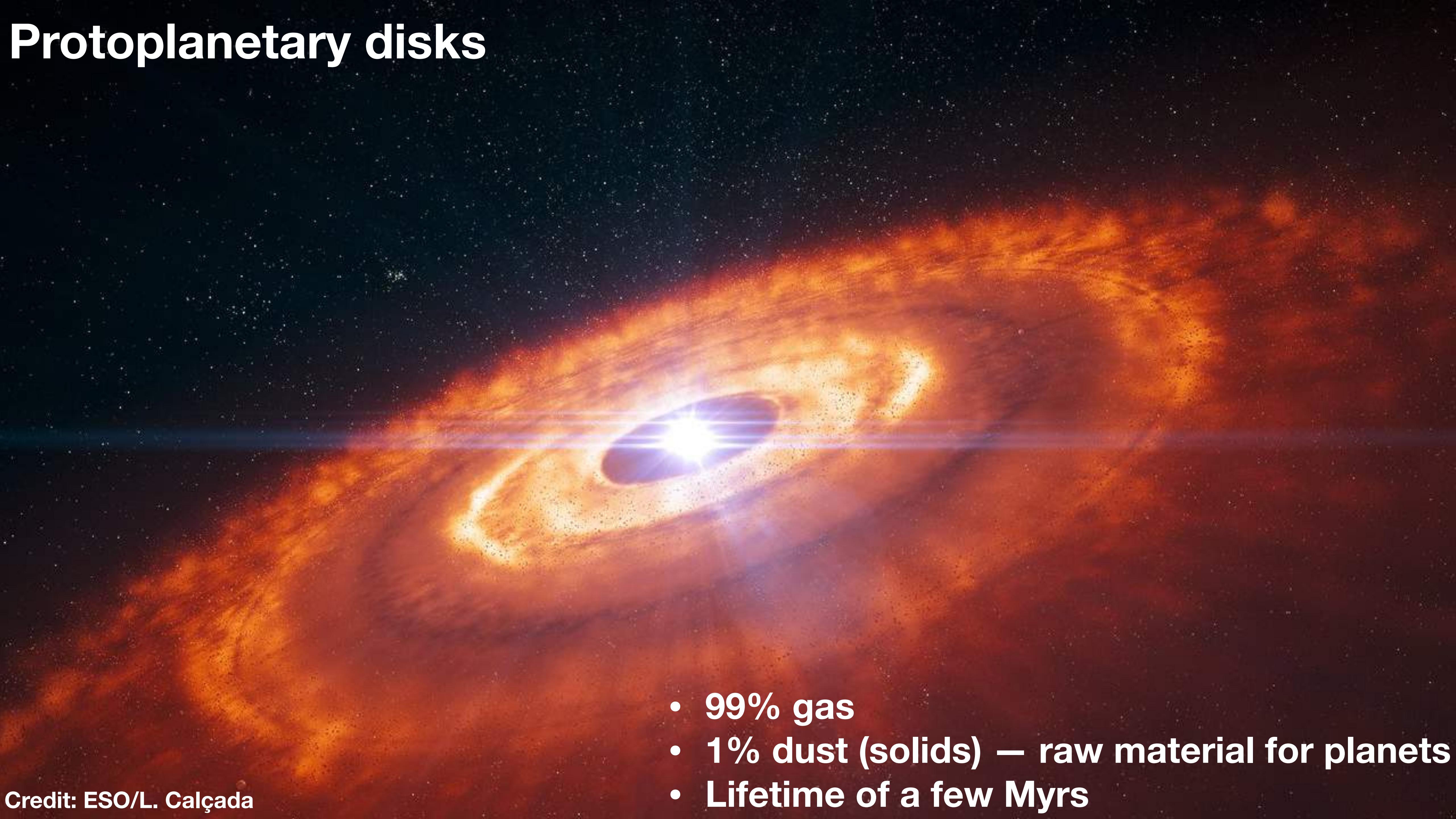
**Upsilon Andromedae**

**How do planets form and evolve to such a diversity?**

# Star & planet formation is one story



# Protoplanetary disks

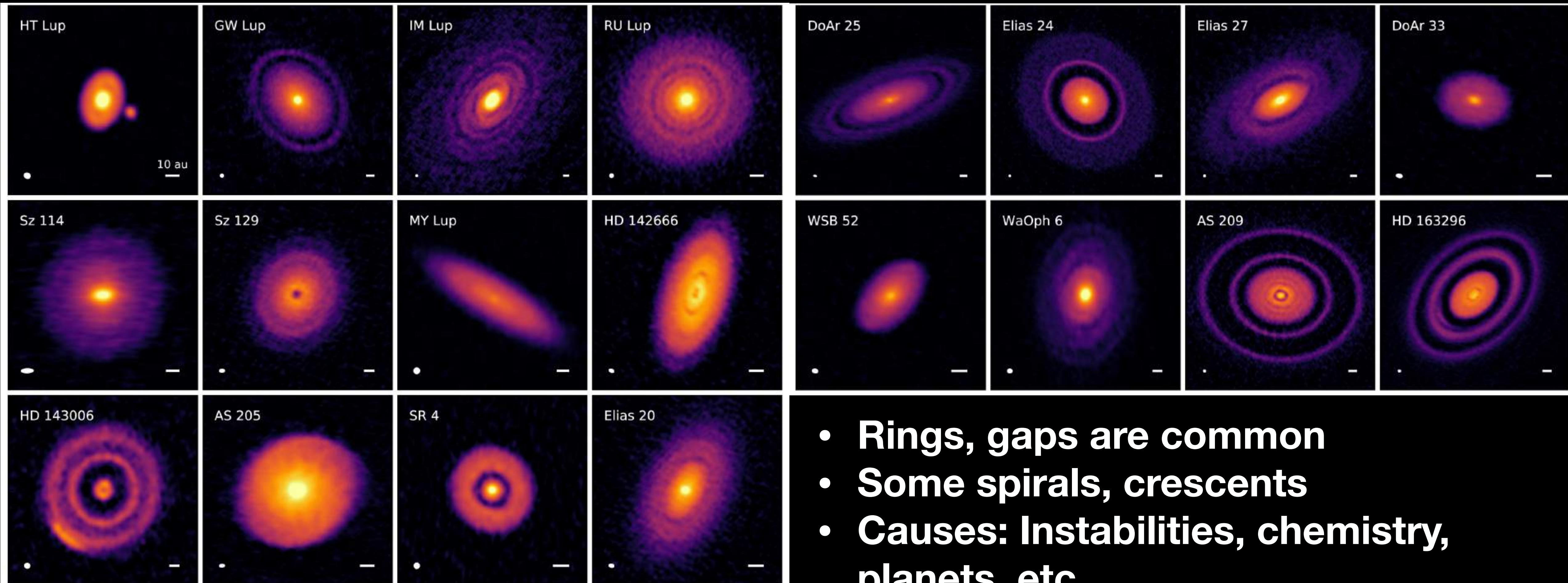


- 99% gas
- 1% dust (solids) – raw material for planets
- Lifetime of a few Myrs

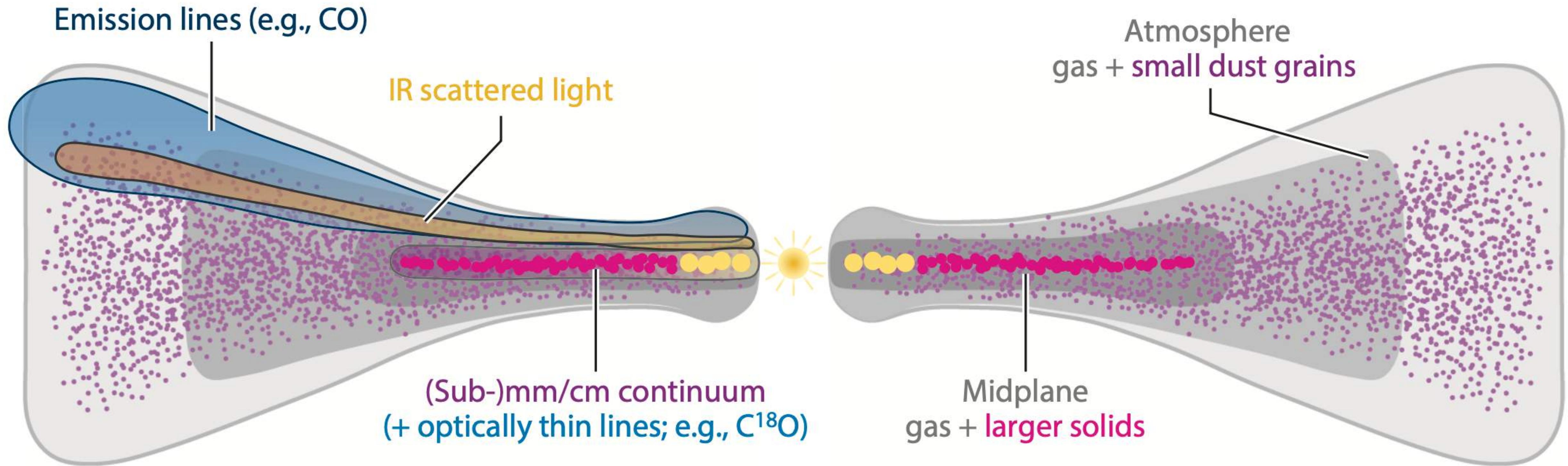
# A real PPD around HL Tau



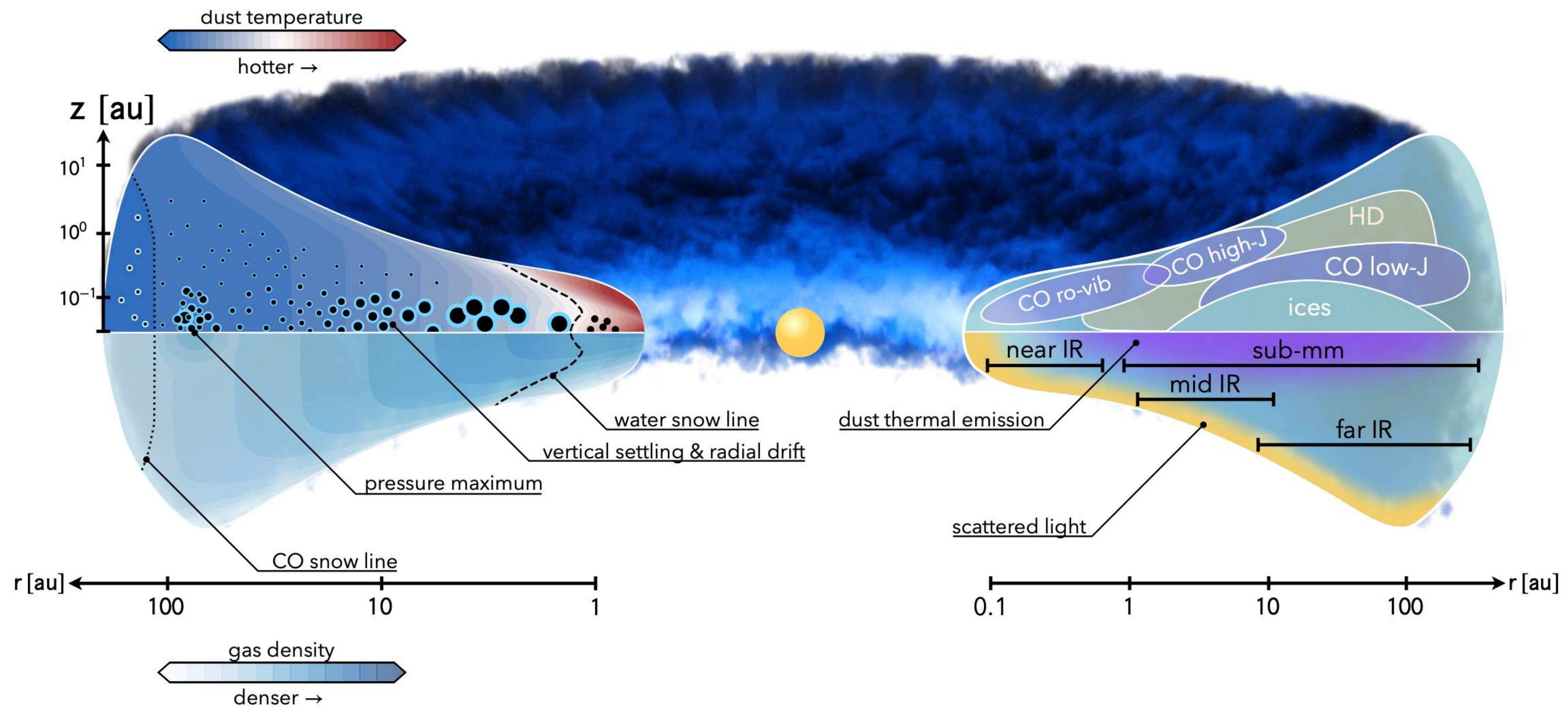
# The era of observational planet formation



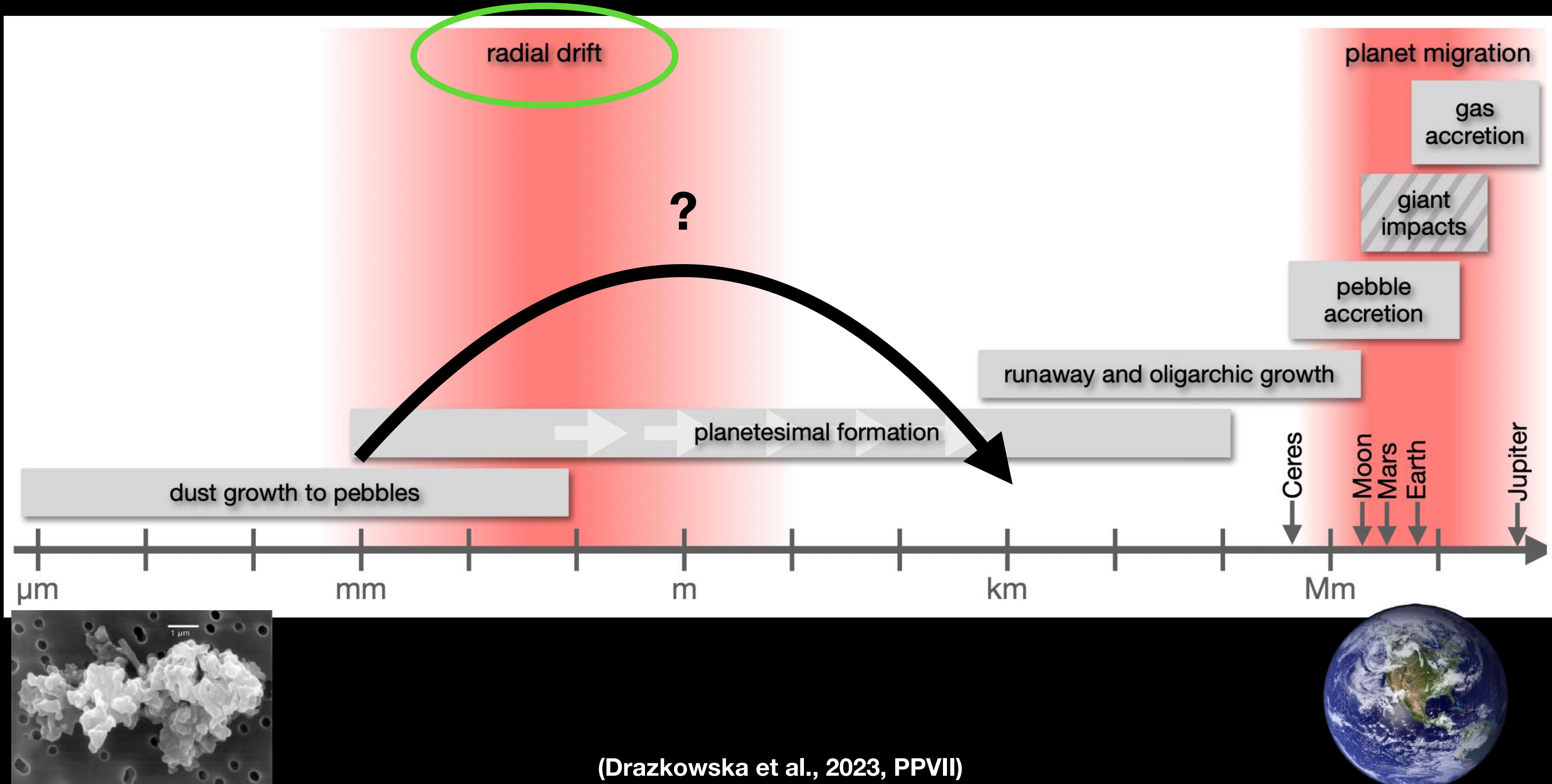
# Dust & gas in protoplanetary disks



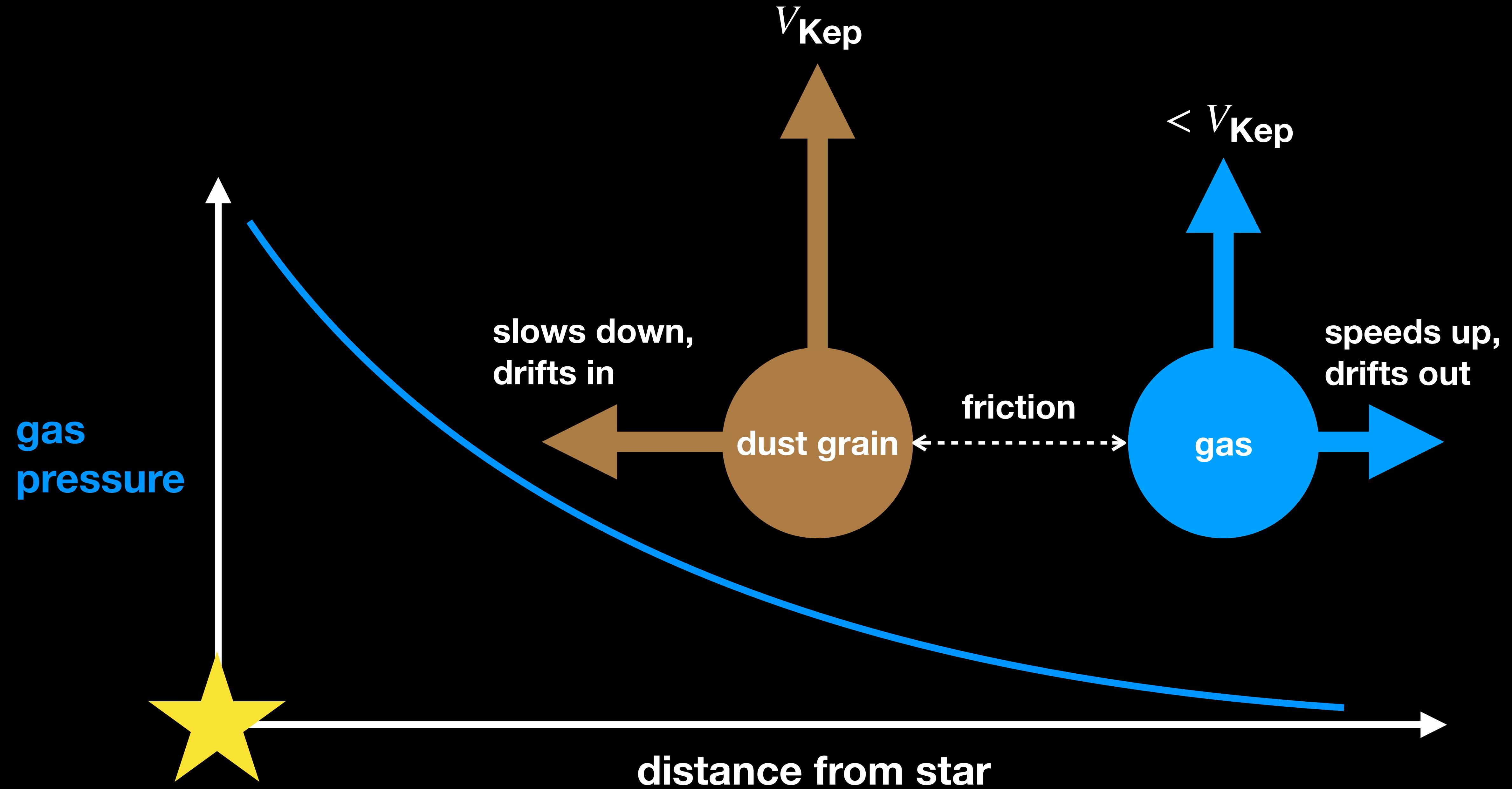
# Dust dynamics



# Planet formation in a nutshell

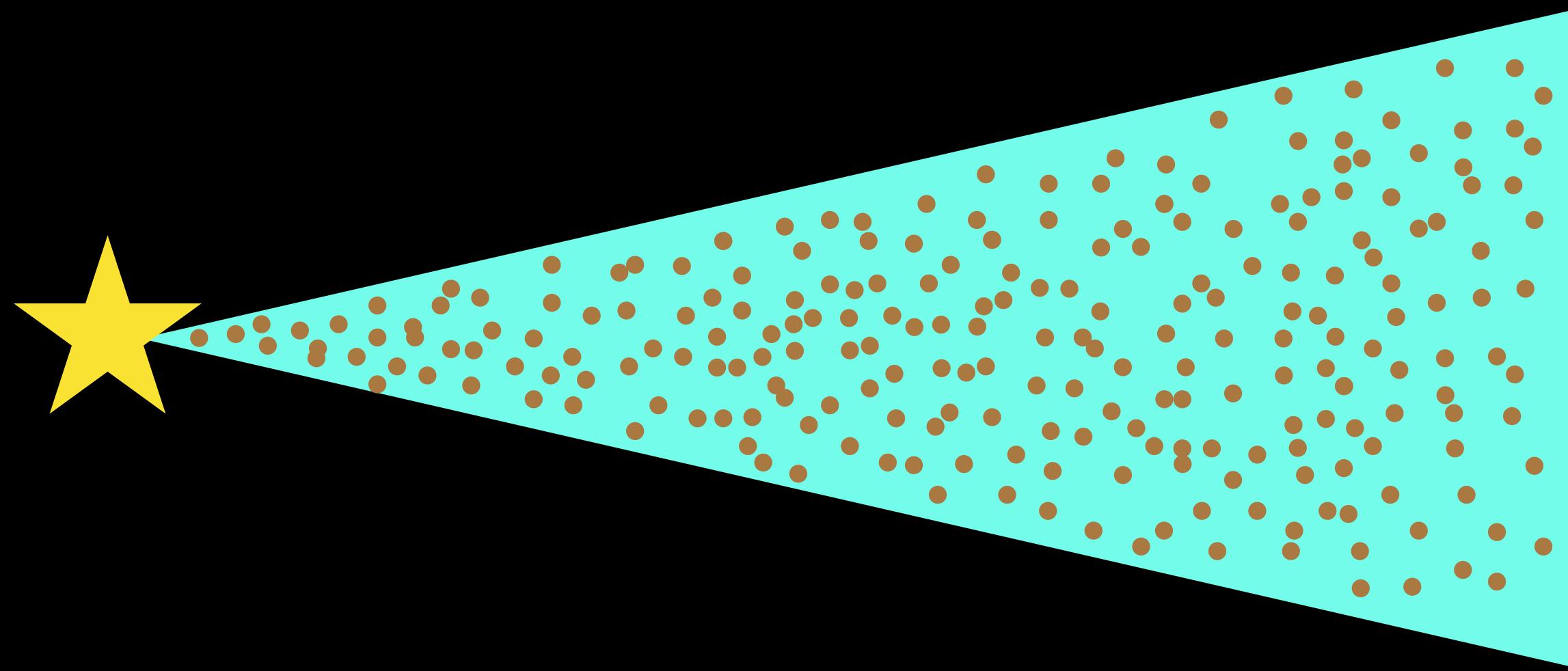


# Radial drift of dust grains

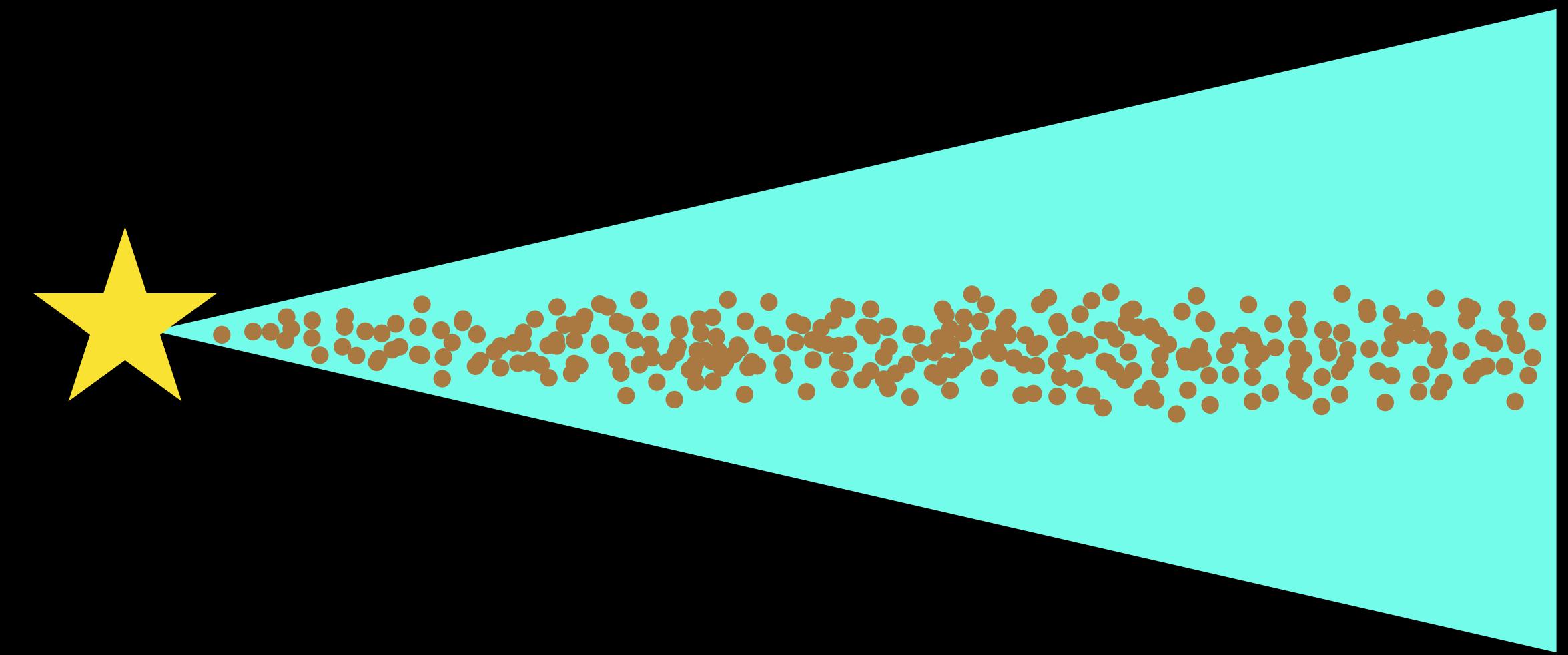


# Vertical dust settling

**well-mixed dust in young disk**

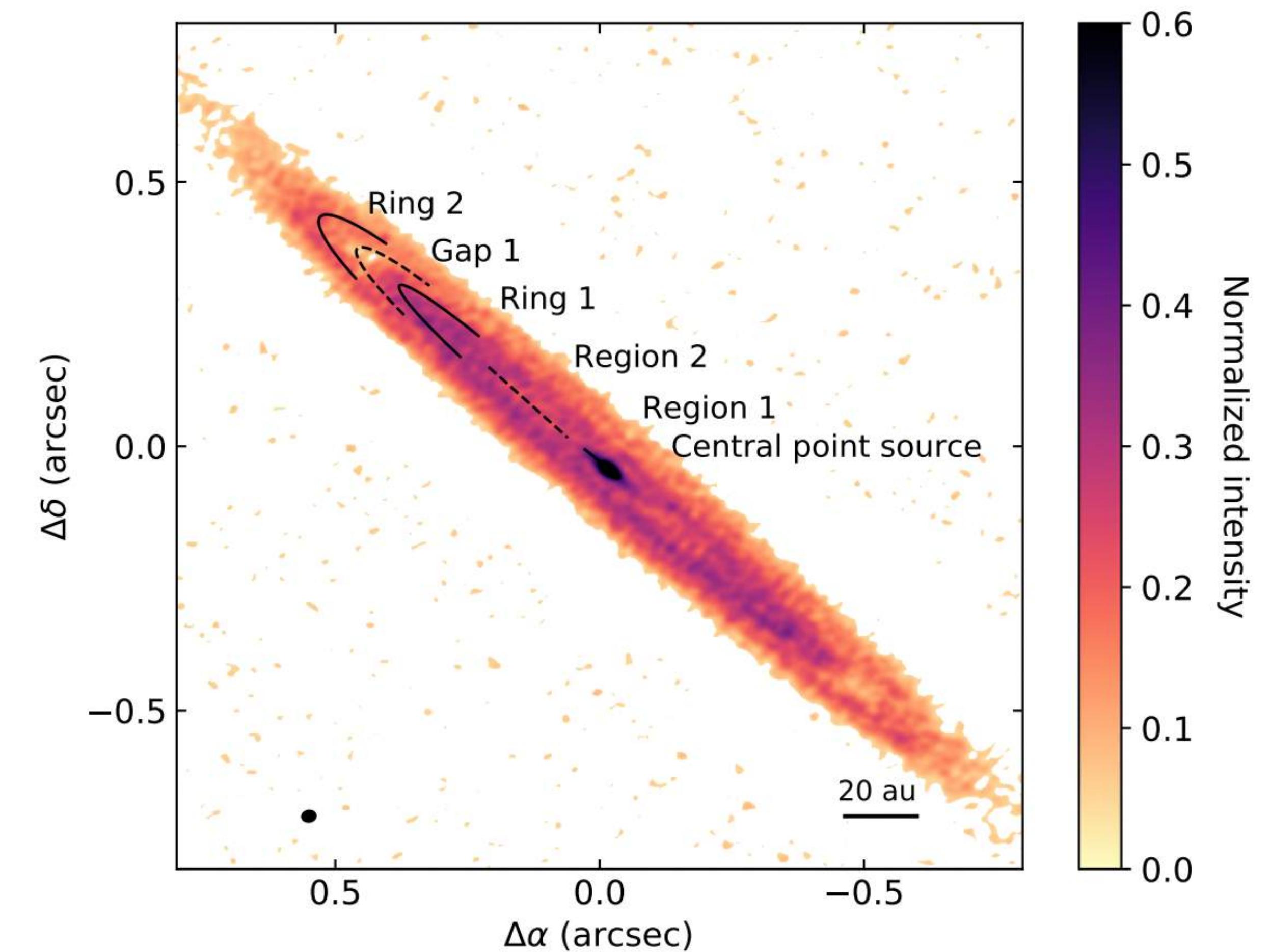
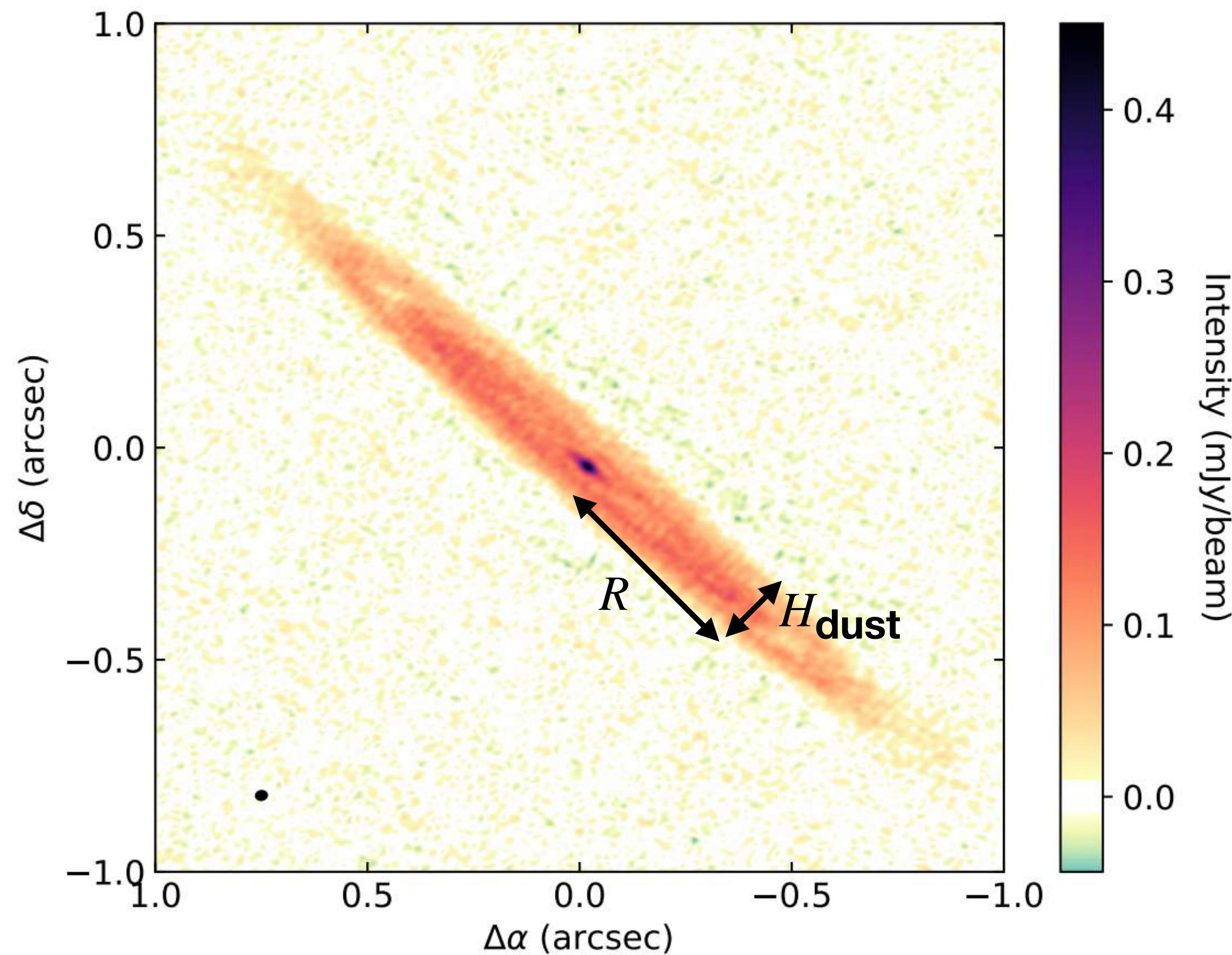


**dust sediments to the midplane**



# Observational evidence of settling

Oph 163131 (Villenave et al. 2022)



$$H_{\text{dust}} \sim 0.005R$$

# Theoretical modeling

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_g \cdot \nabla \right) \rho_g = -\rho_g (\nabla \cdot \mathbf{v}_g),$$

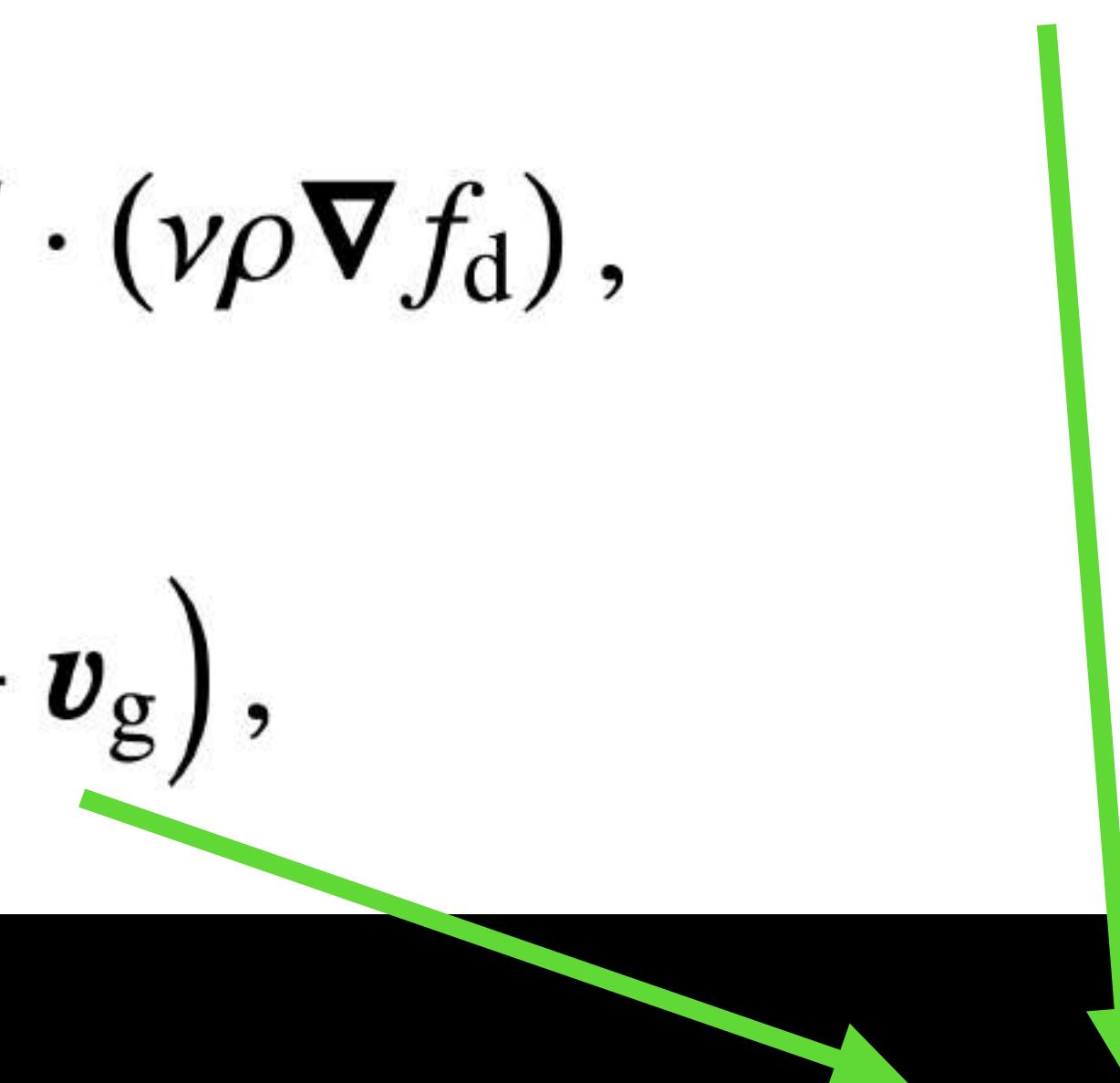
$$\left( \frac{\partial}{\partial t} + \mathbf{v}_g \cdot \nabla \right) \mathbf{v}_g = -\frac{1}{\rho_g} \nabla P + \frac{1}{\rho_g} \nabla \cdot \hat{T} - \nabla \Phi_* - \frac{\epsilon}{t_s} (\mathbf{v}_g - \mathbf{v}_d),$$

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_d \cdot \nabla \right) \rho_d = -\rho_d (\nabla \cdot \mathbf{v}_d) + \nabla \cdot (\nu \rho \nabla f_d),$$

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_d \cdot \nabla \right) \mathbf{v}_d = -\nabla \Phi_* - \frac{1}{t_s} (\mathbf{v}_d - \mathbf{v}_g),$$

Gas

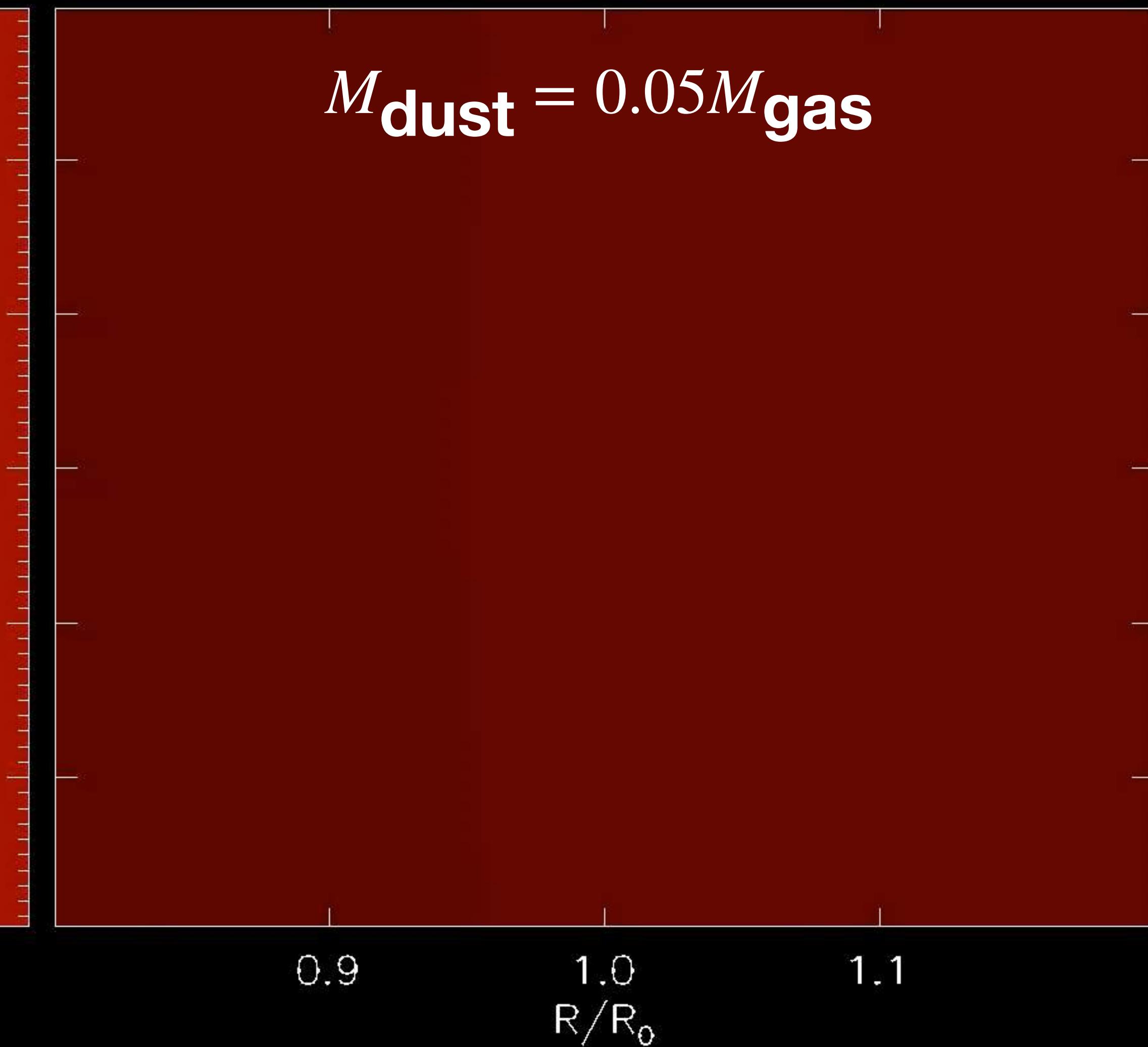
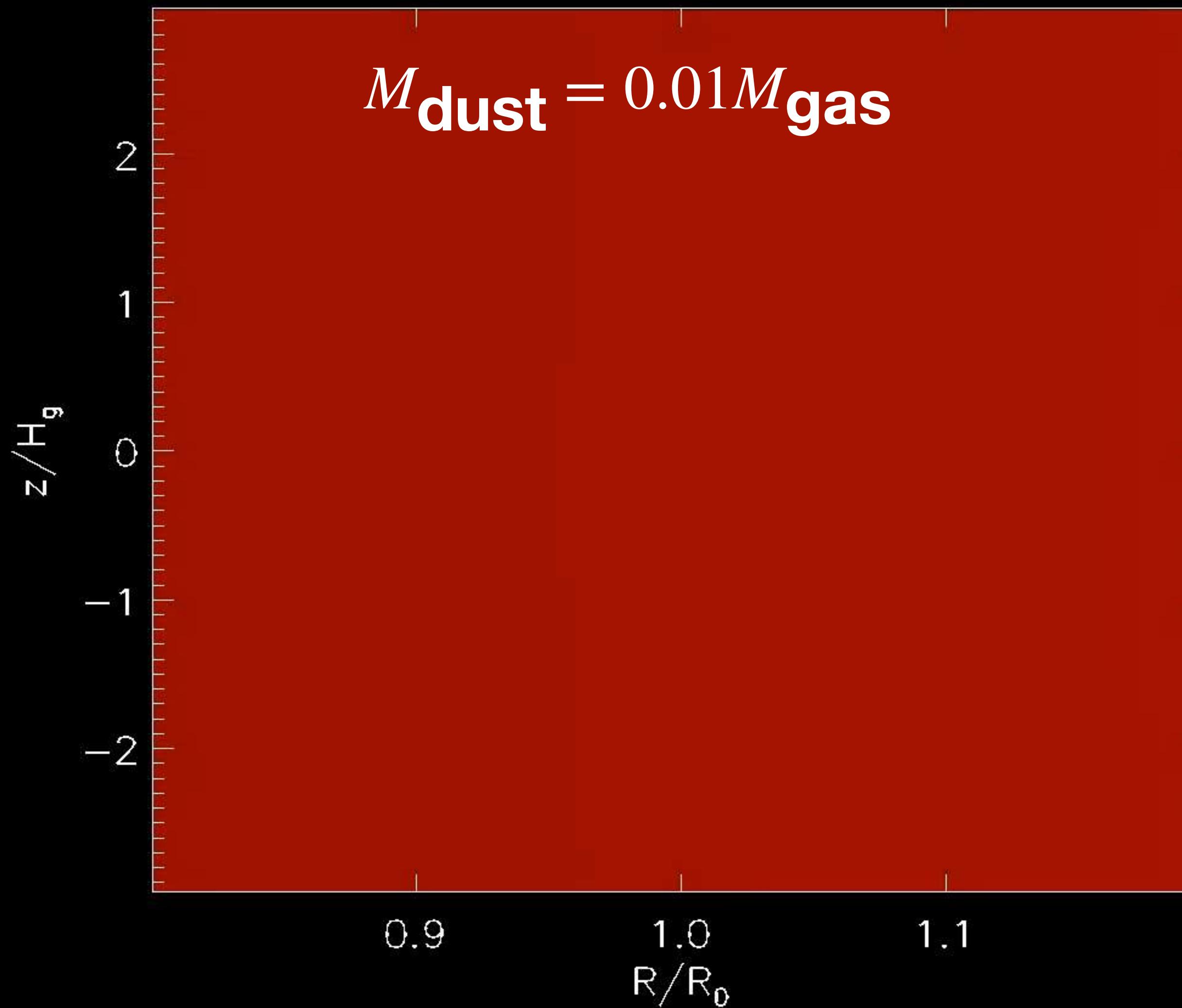
Dust (as a fluid)



friction between dust and gas

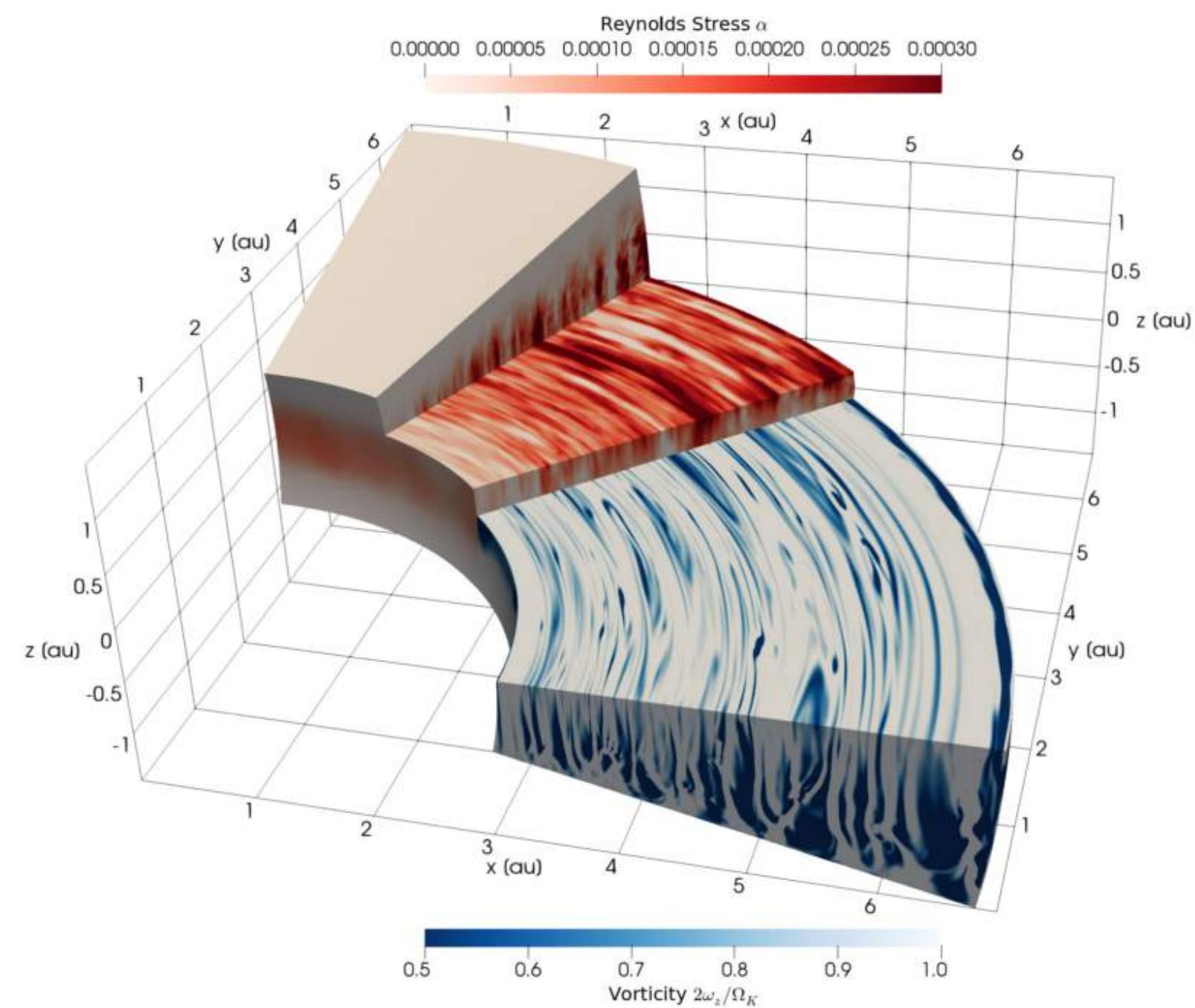
# Numerical simulations of dust settling

time= 0.00 ORB

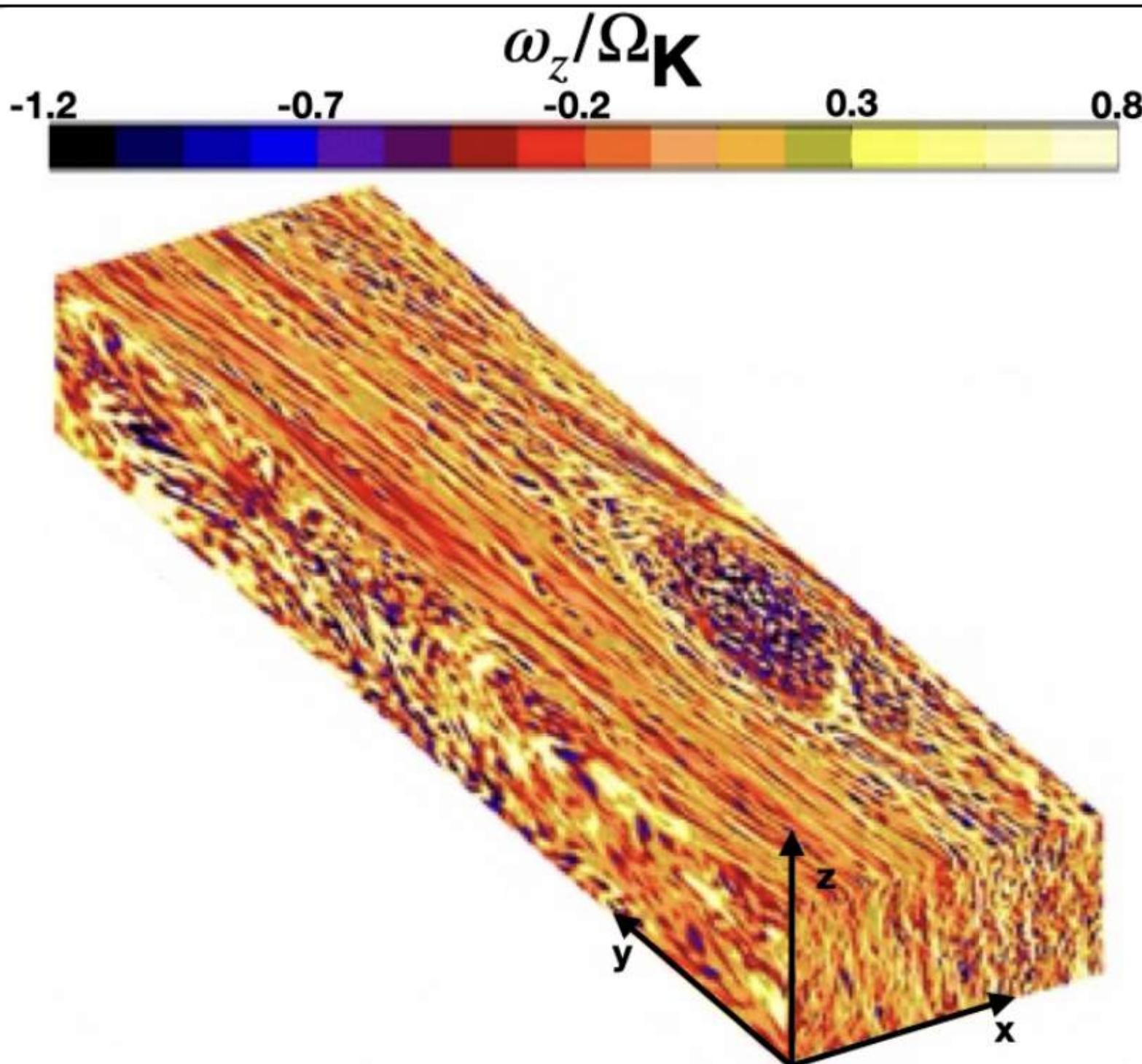


# Gas instabilities in protoplanetary disks

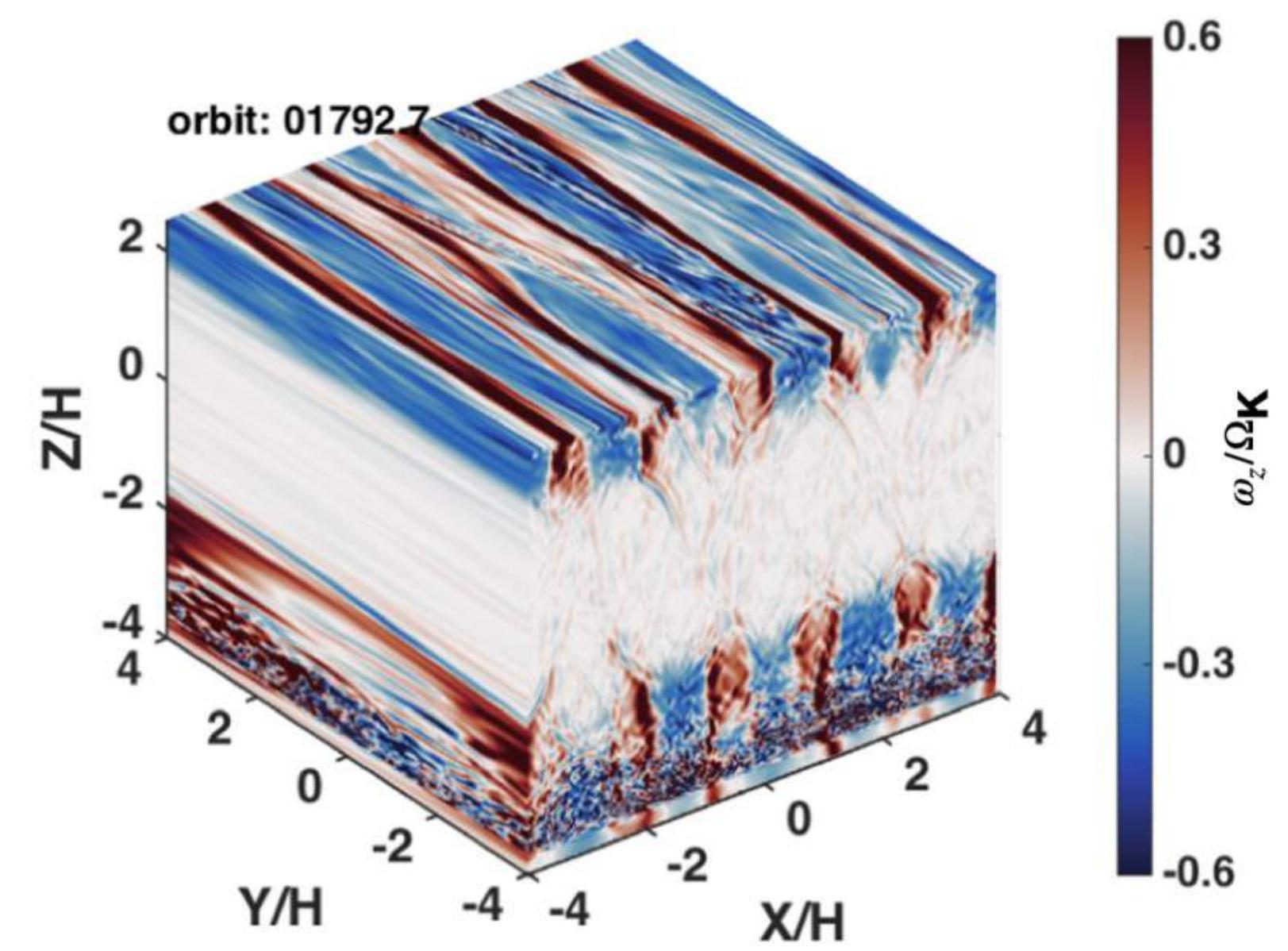
Vertical Shear Instability



Convective Overstability



Zombie Vortex Instability



$$\tau_{\text{cool}} \Omega_K \ll 1$$

$$q \neq 0$$

$$\alpha_S \sim 10^{-4}$$

$$\tau_{\text{cool}} \Omega_K \sim 1$$

$$-1 < p/q < 1/(\gamma - 1)$$

$$\alpha_S \sim 10^{-3}$$

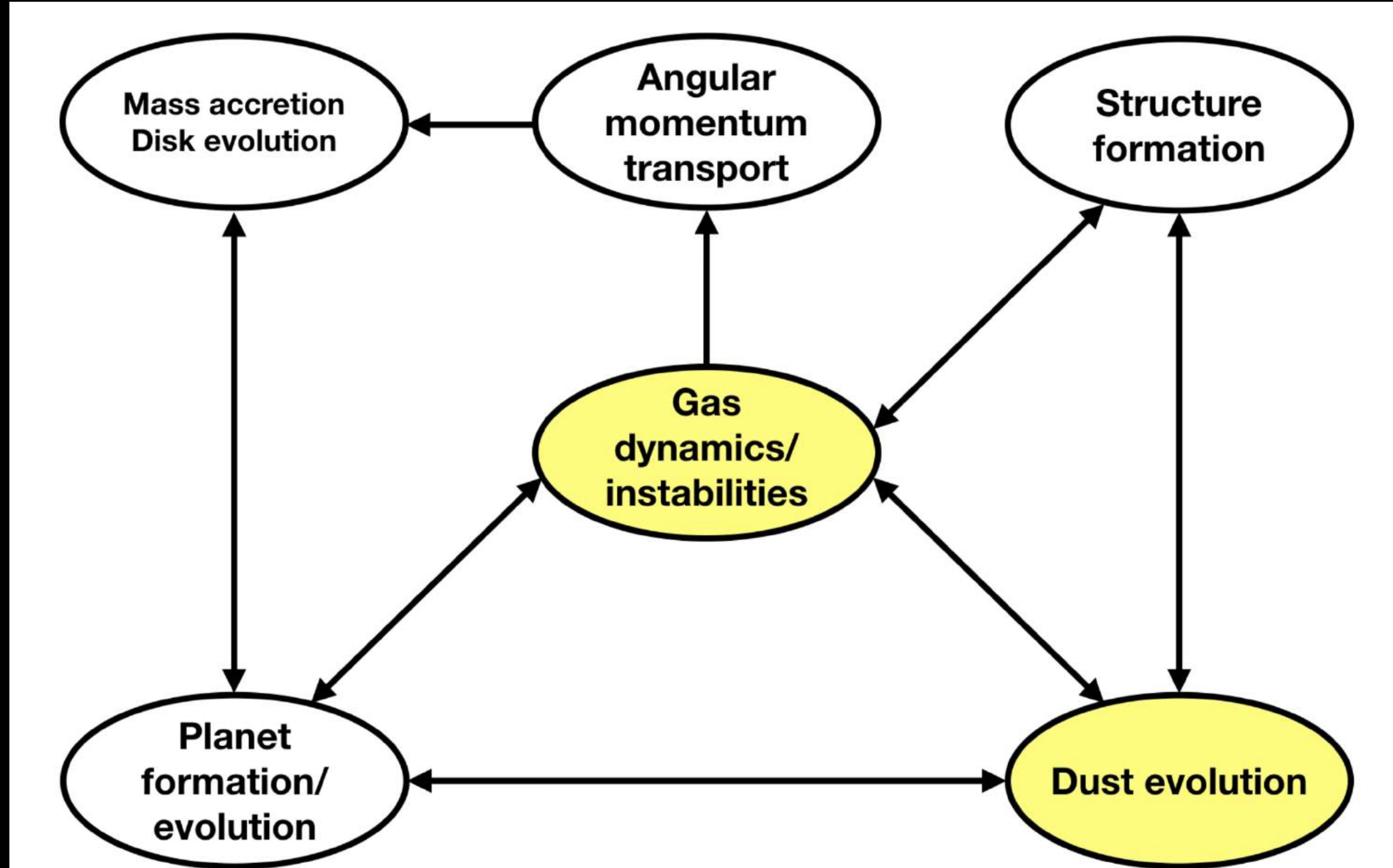
$$\tau_{\text{cool}} \Omega_K \gg 1$$

$$|z| \gtrsim \sqrt{\gamma/(\gamma - 1)} H$$

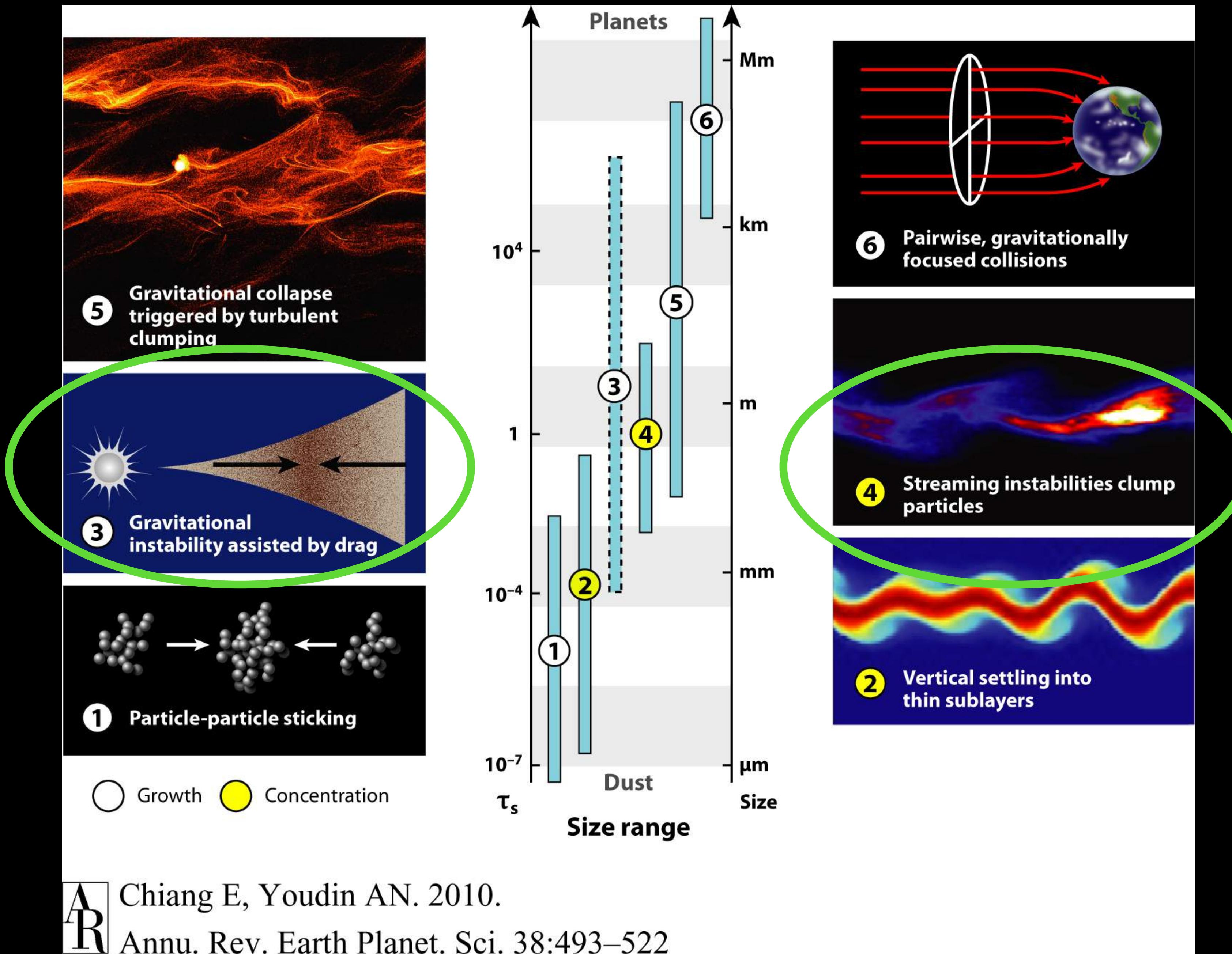
$$\alpha_S \sim (10^{-5} - 10^{-4})^\dagger$$

Outcome: turbulence & vortices

# Gas dynamics of protoplanetary disks



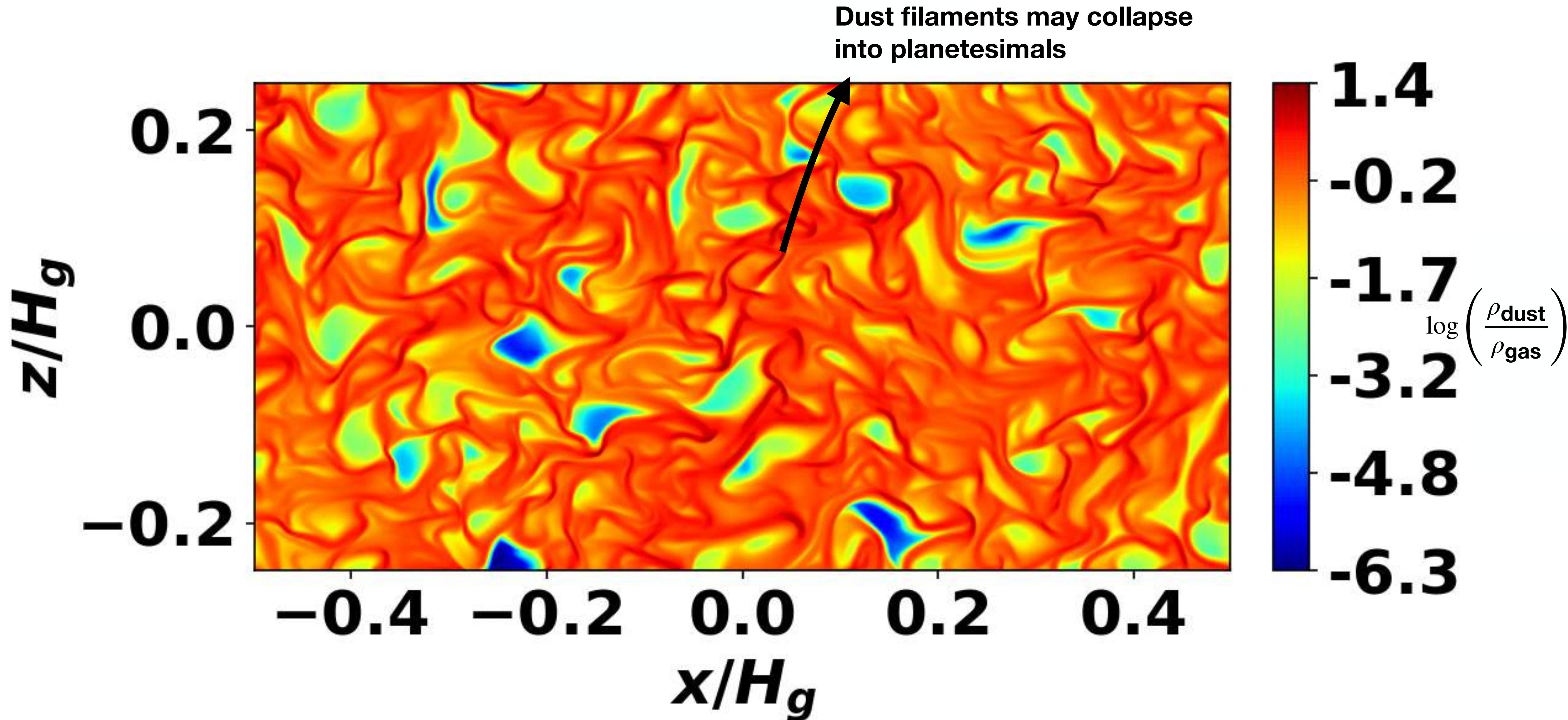
# Dust+gas instabilities



Chiang E, Youdin AN. 2010.

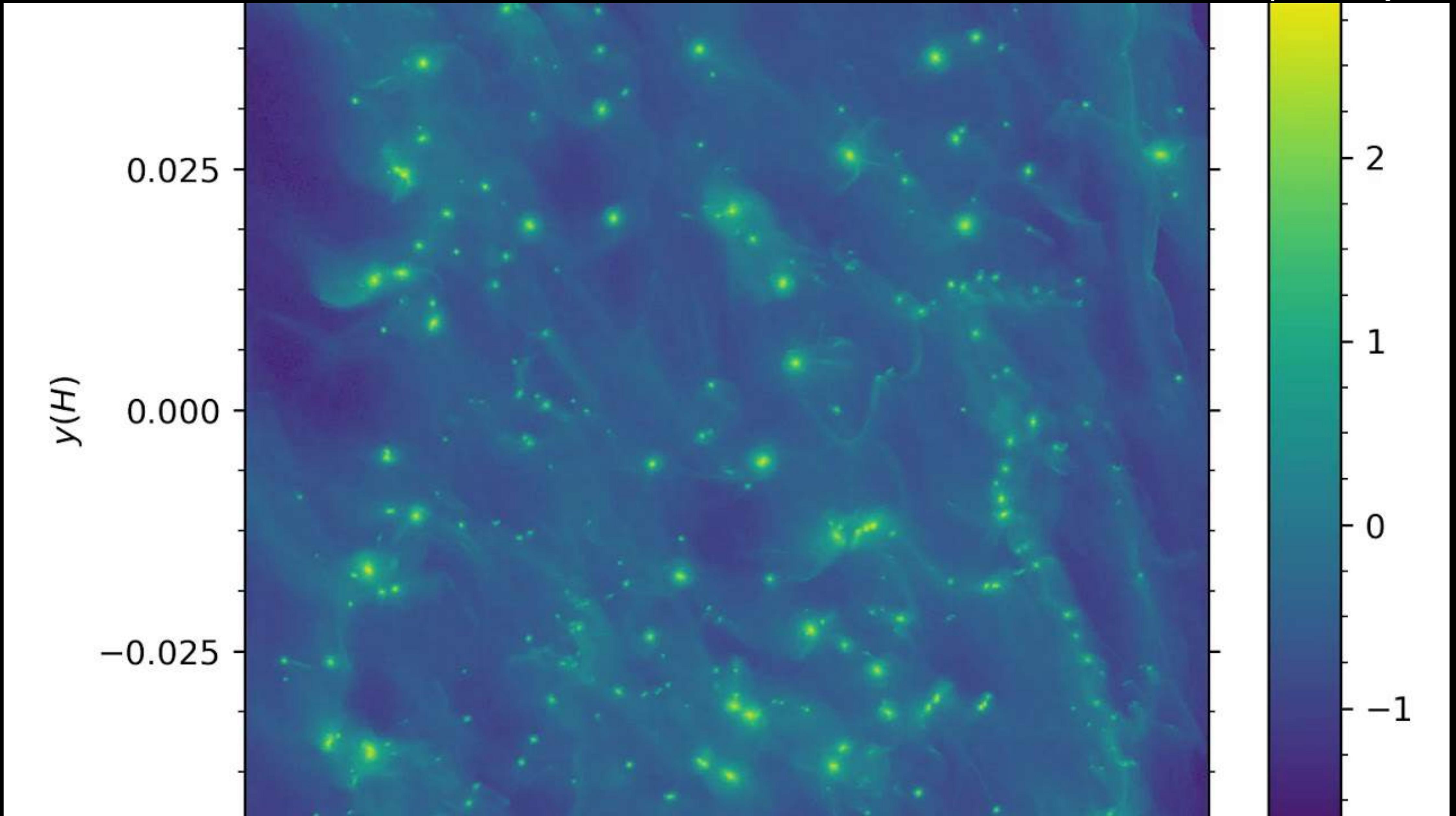
Annu. Rev. Earth Planet. Sci. 38:493–522

# Streaming instability: unstable dust-gas interaction

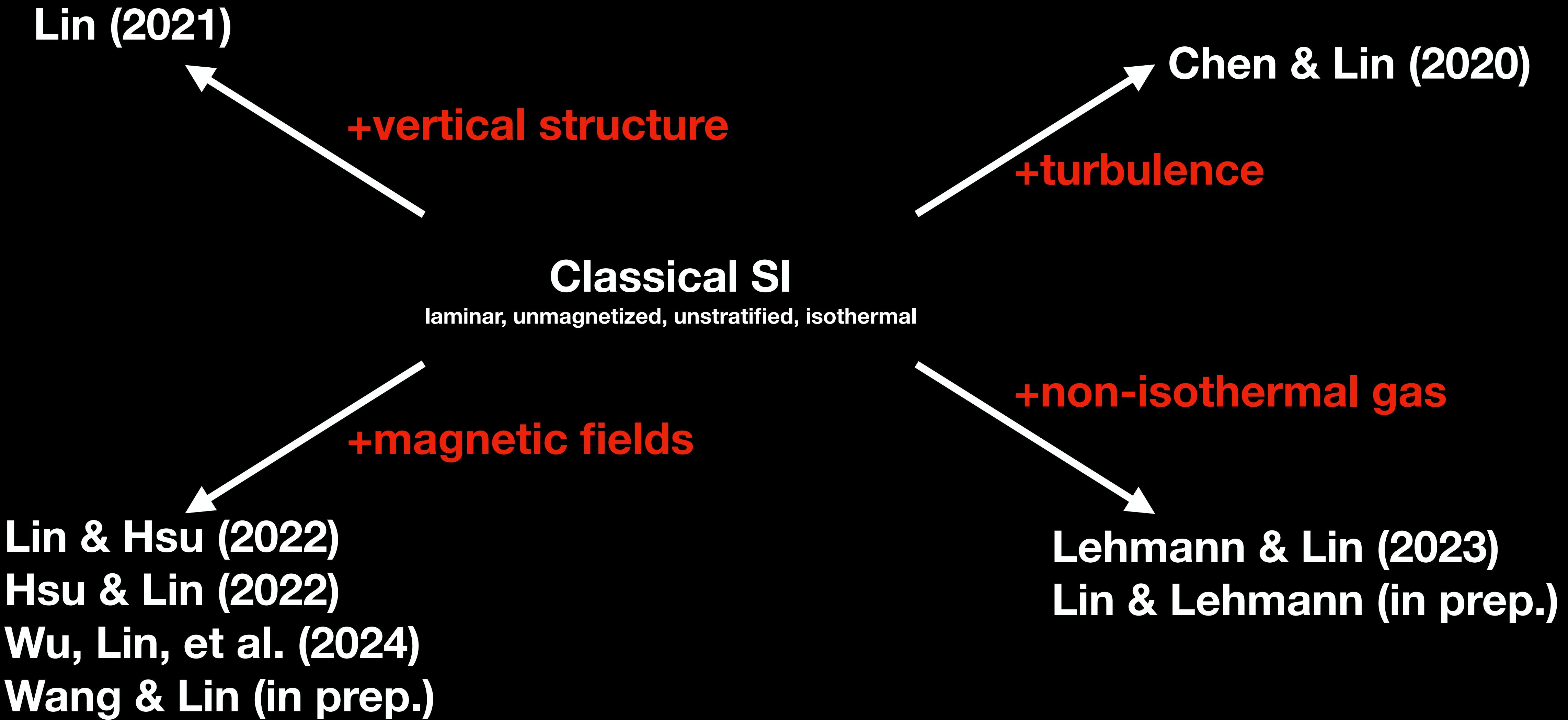


# Making planetesimals from the SI

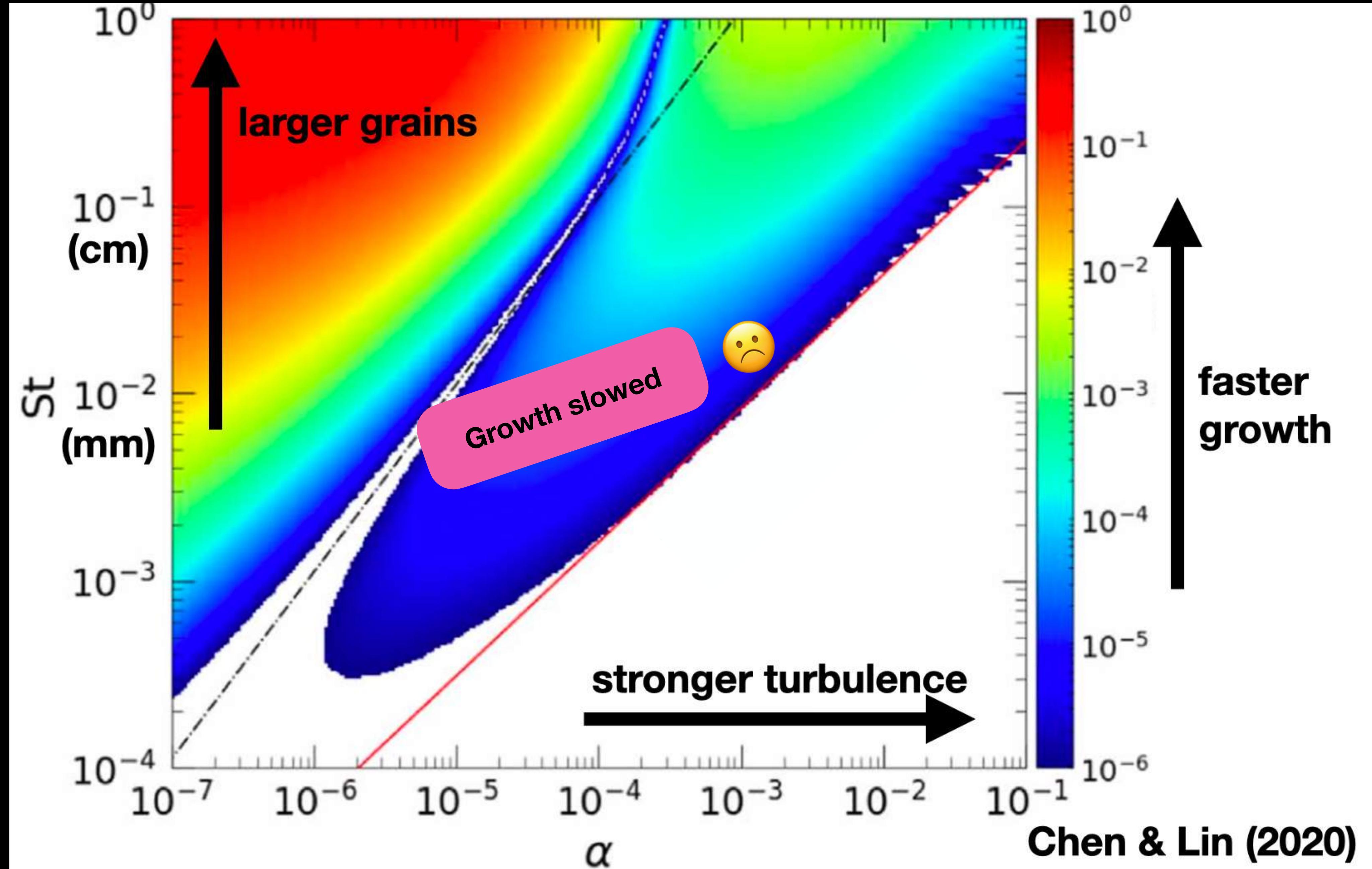
(Nesvorný et al., 2020)



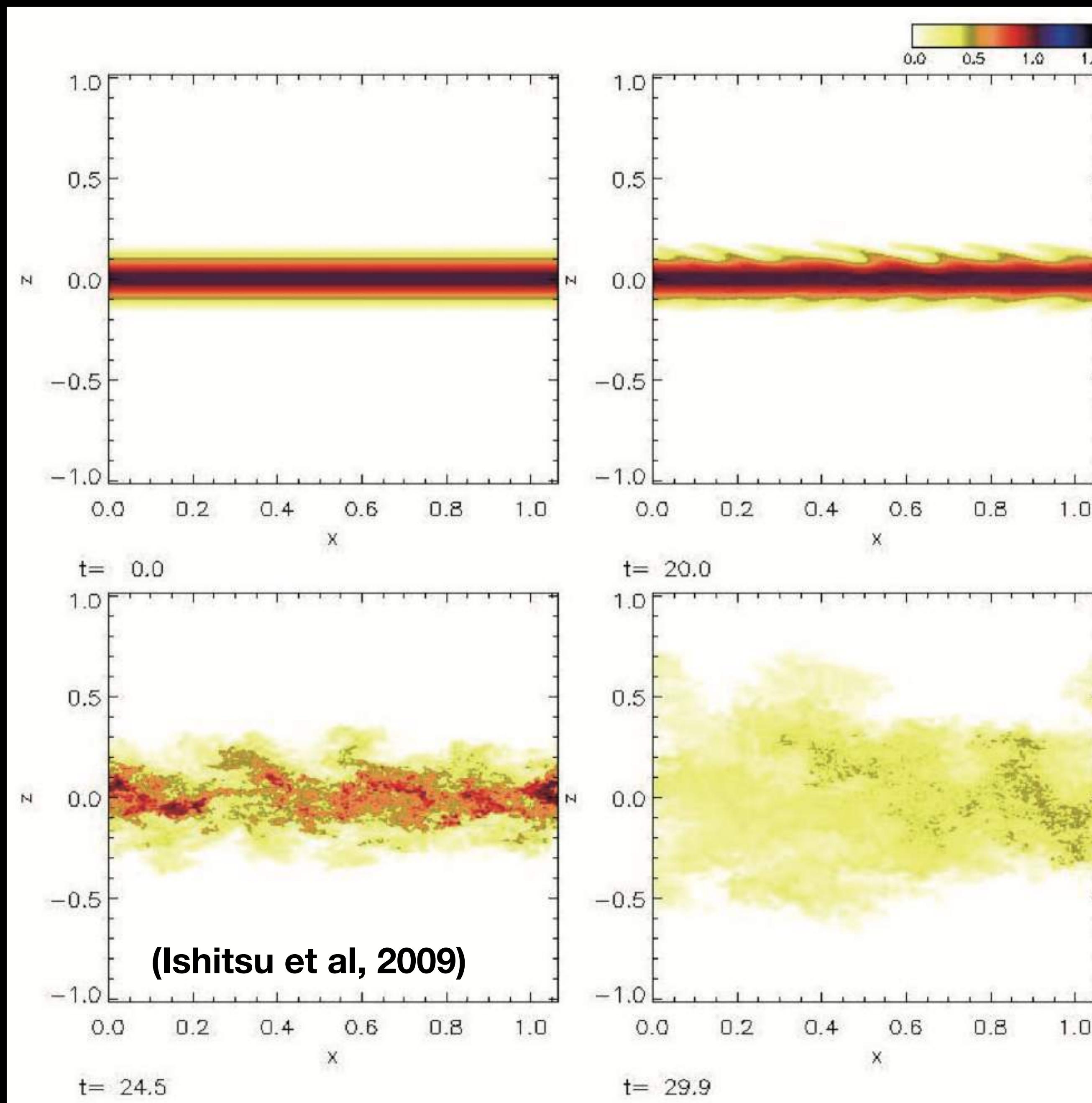
# Generalized dust-gas interaction



# Adding turbulence



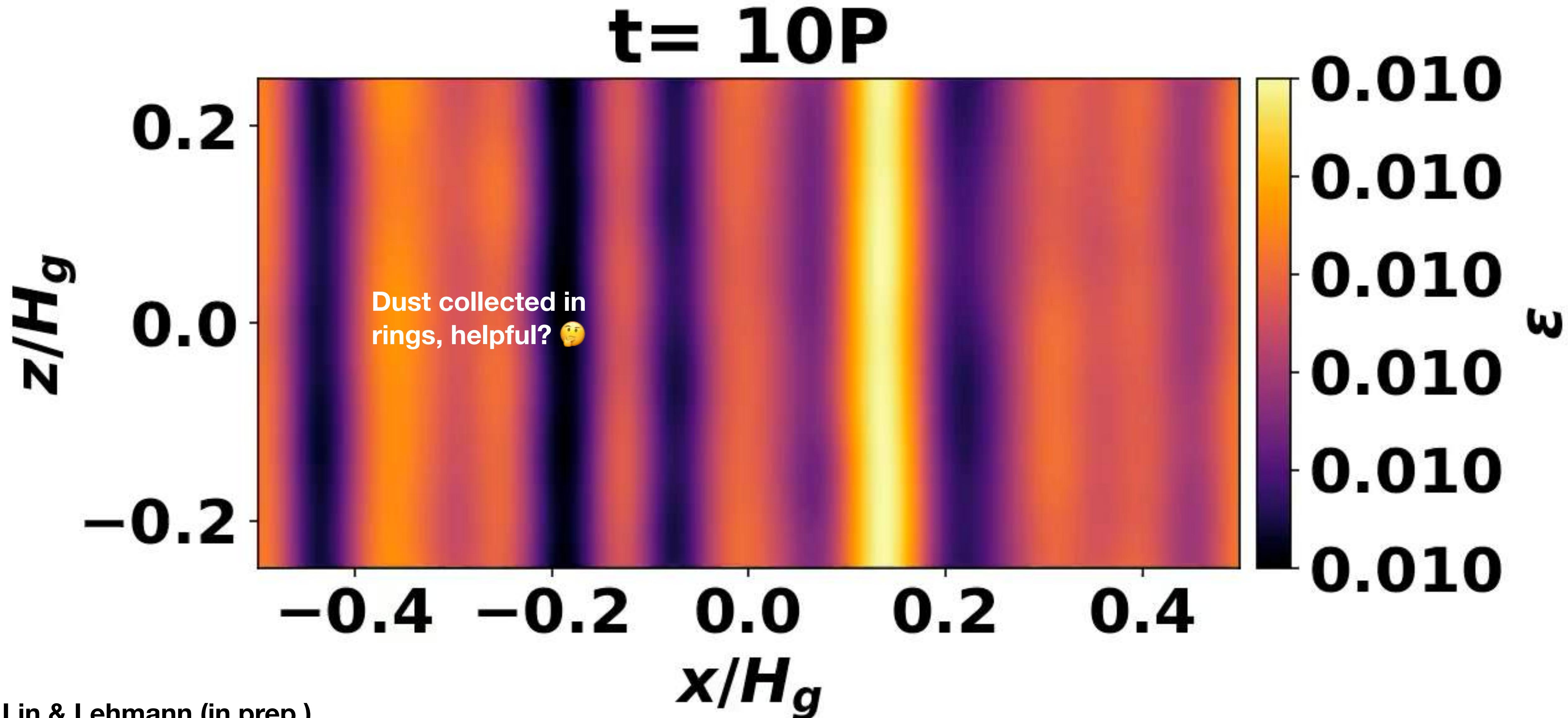
# Adding vertical structure



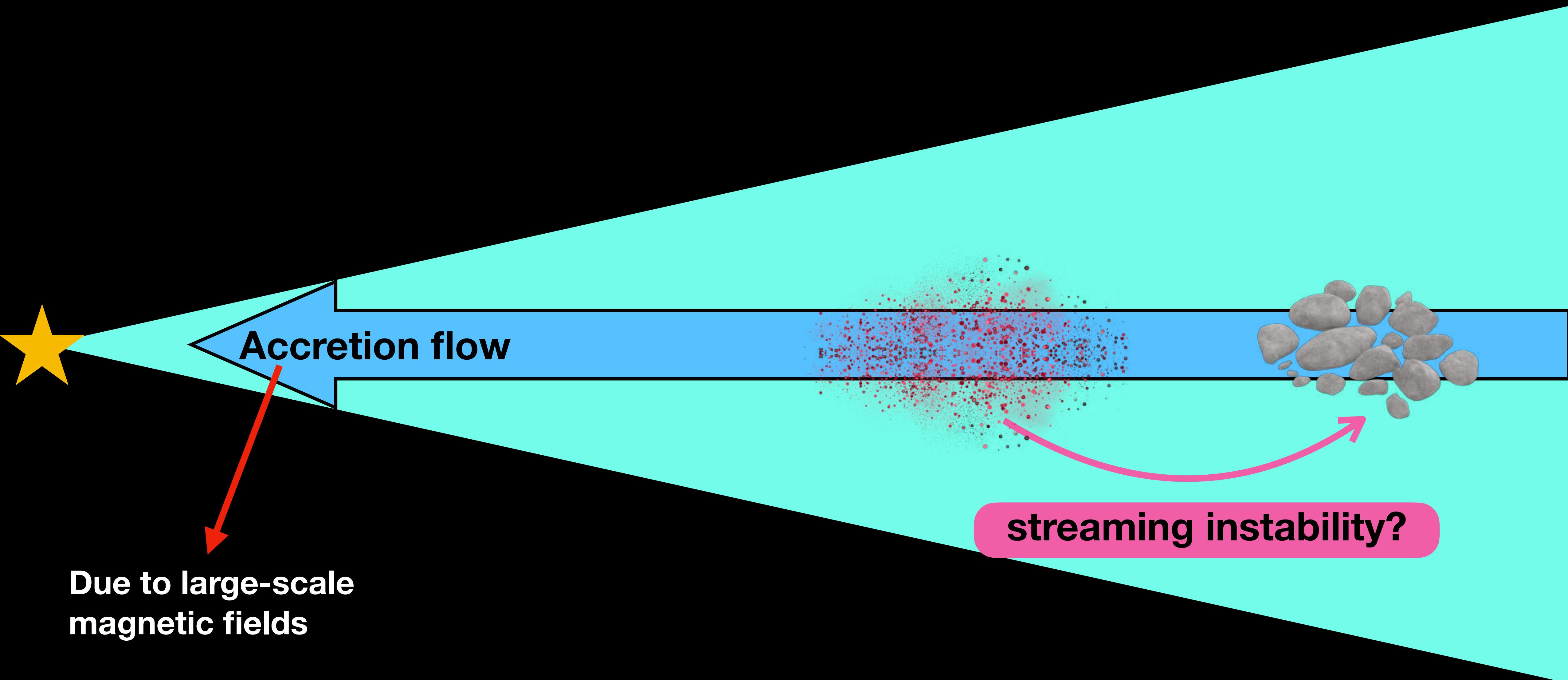
dust layer  
dispersed



# Adding thermodynamics (convection)

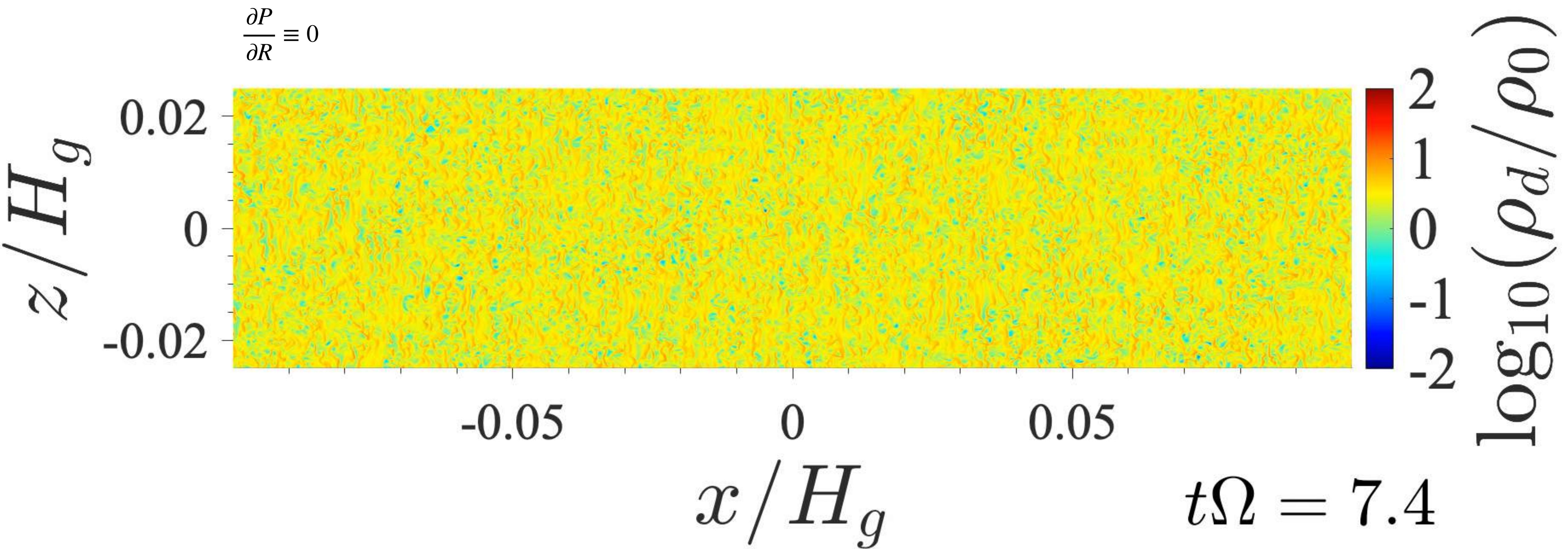


# What about magnetic fields?

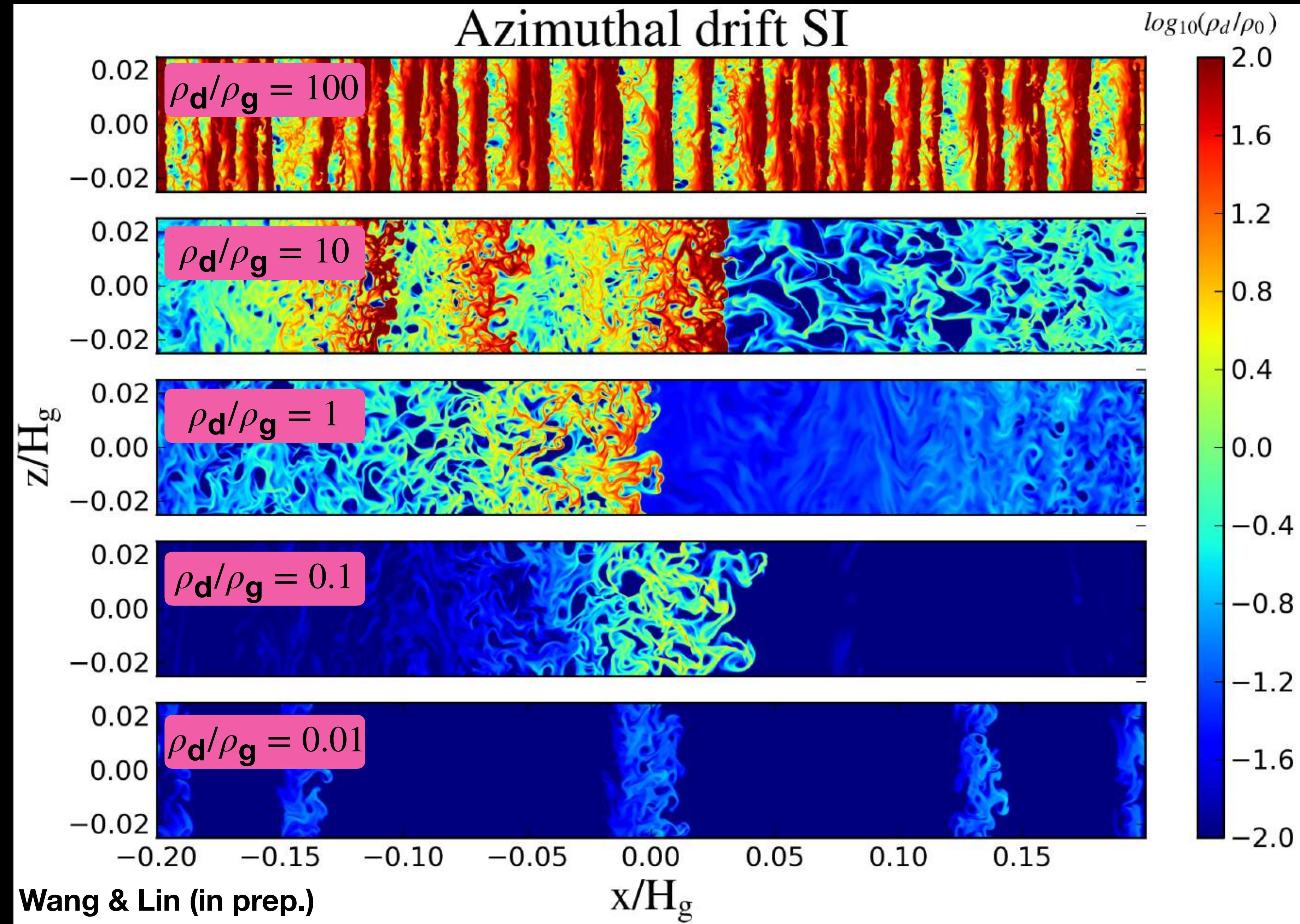


(e.g. Riols et al. 2020, Cui & Bai 2021)

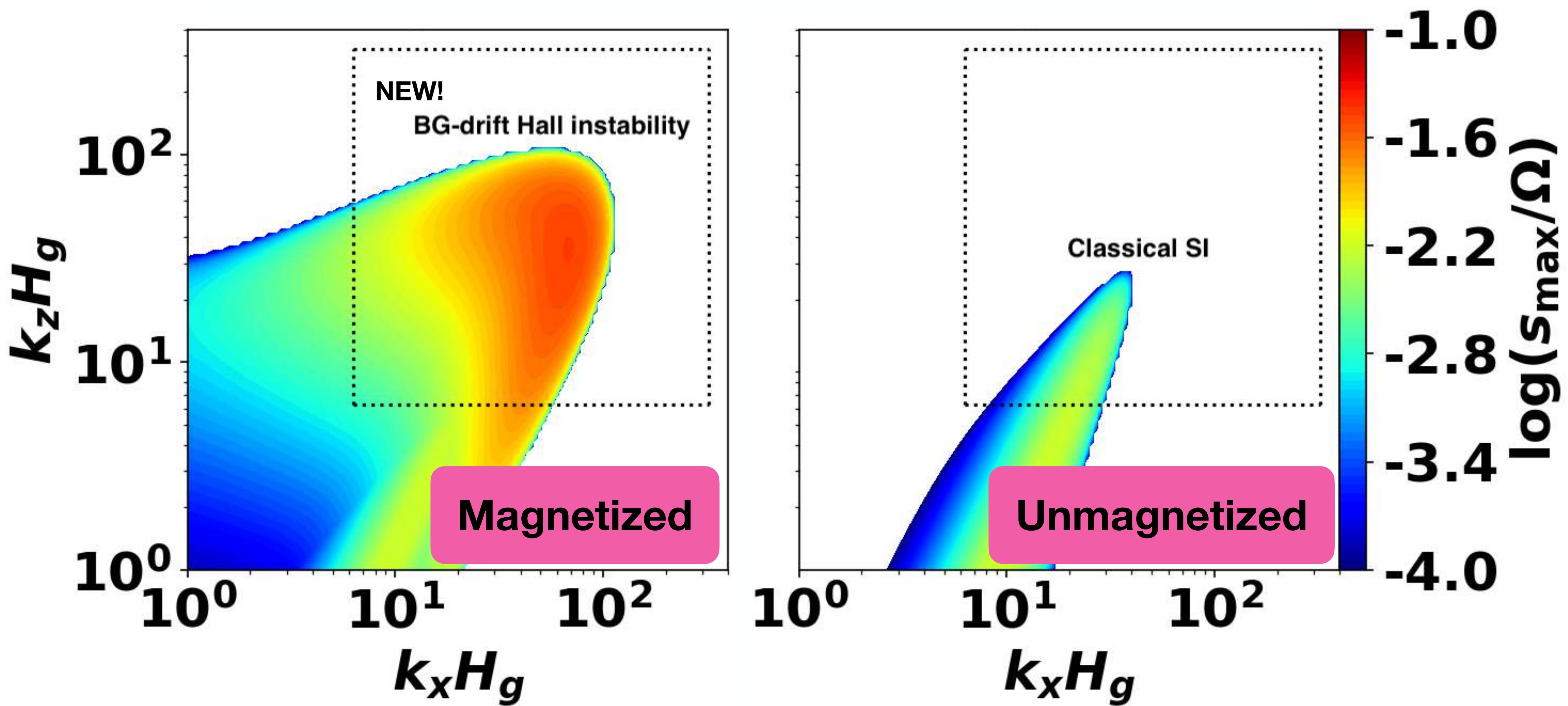
# Nonlinear evolution of the SI in accreting disks



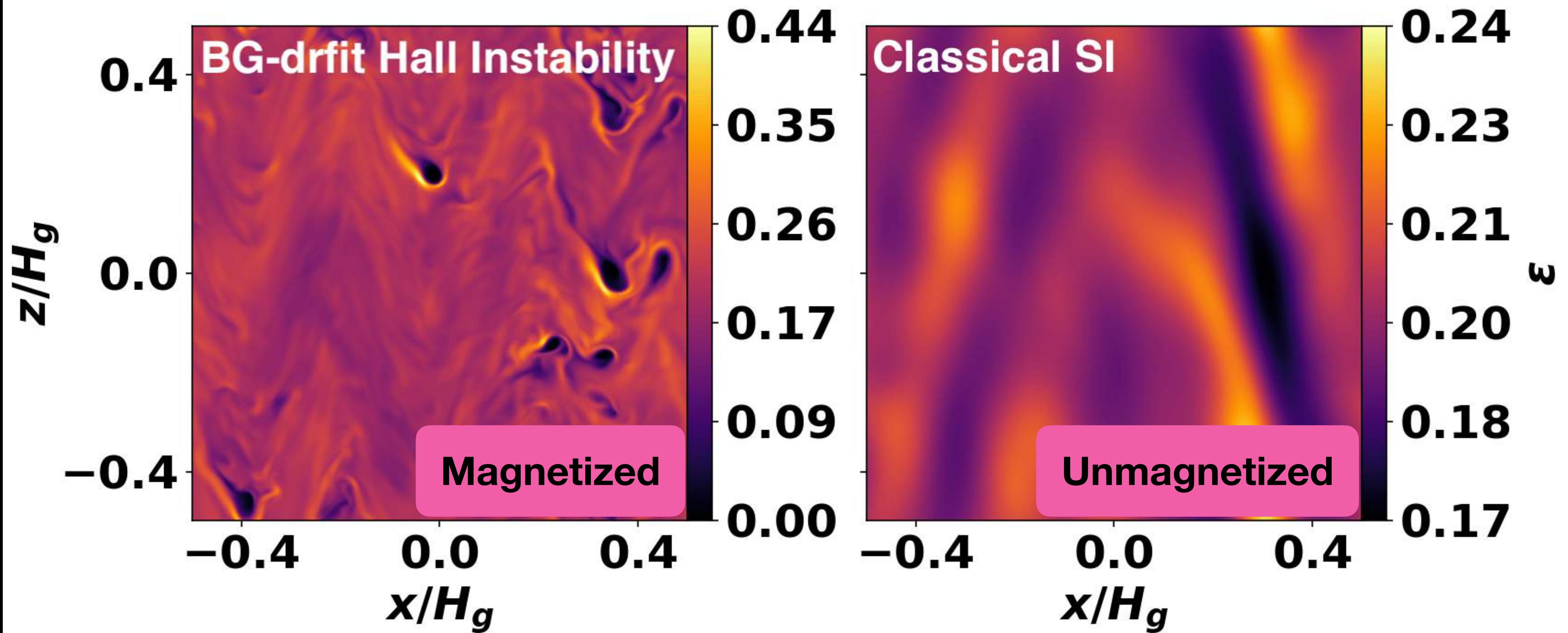
# Parameter study



# Live magnetic field

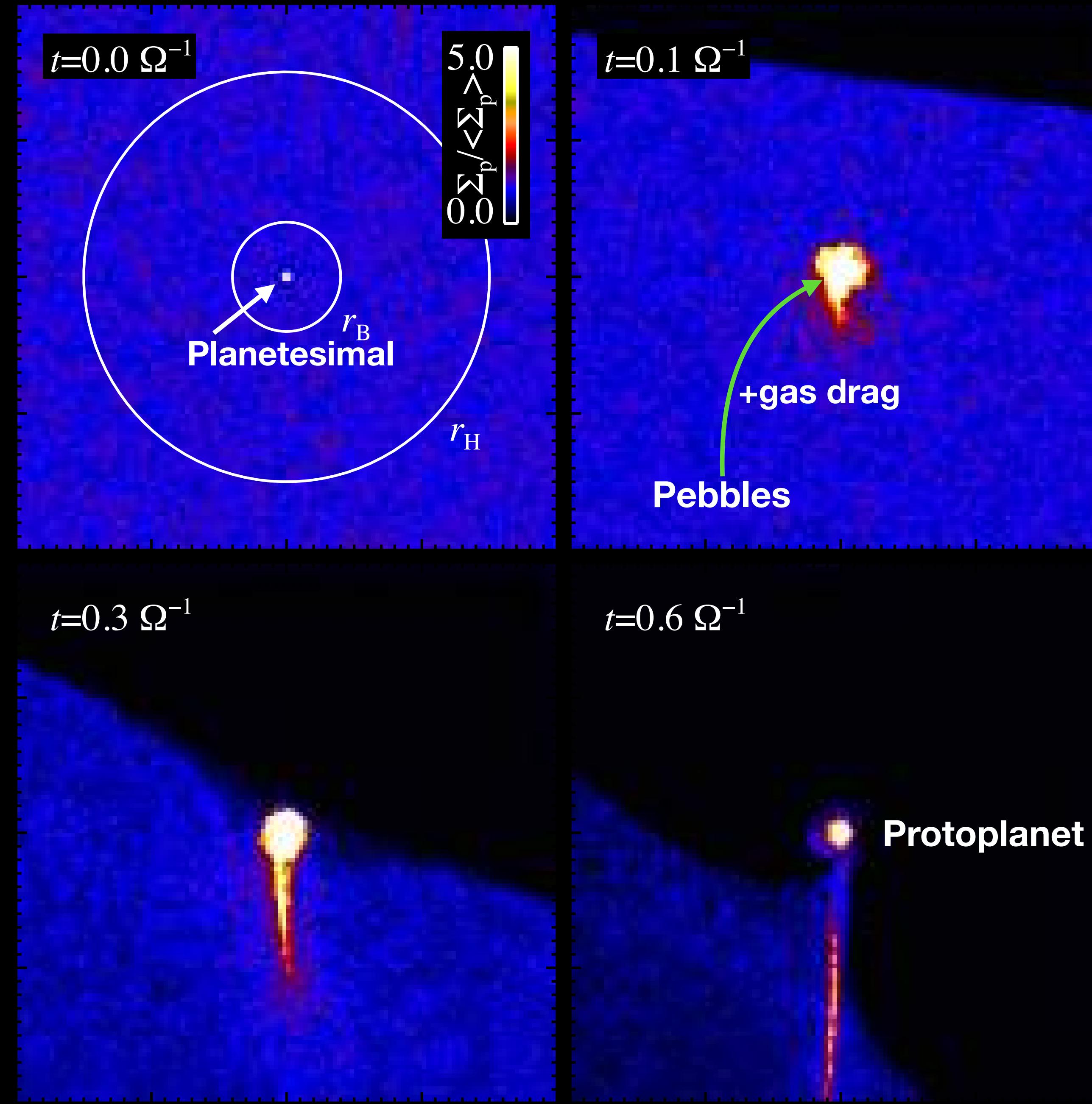


# Dusty-MHD simulations



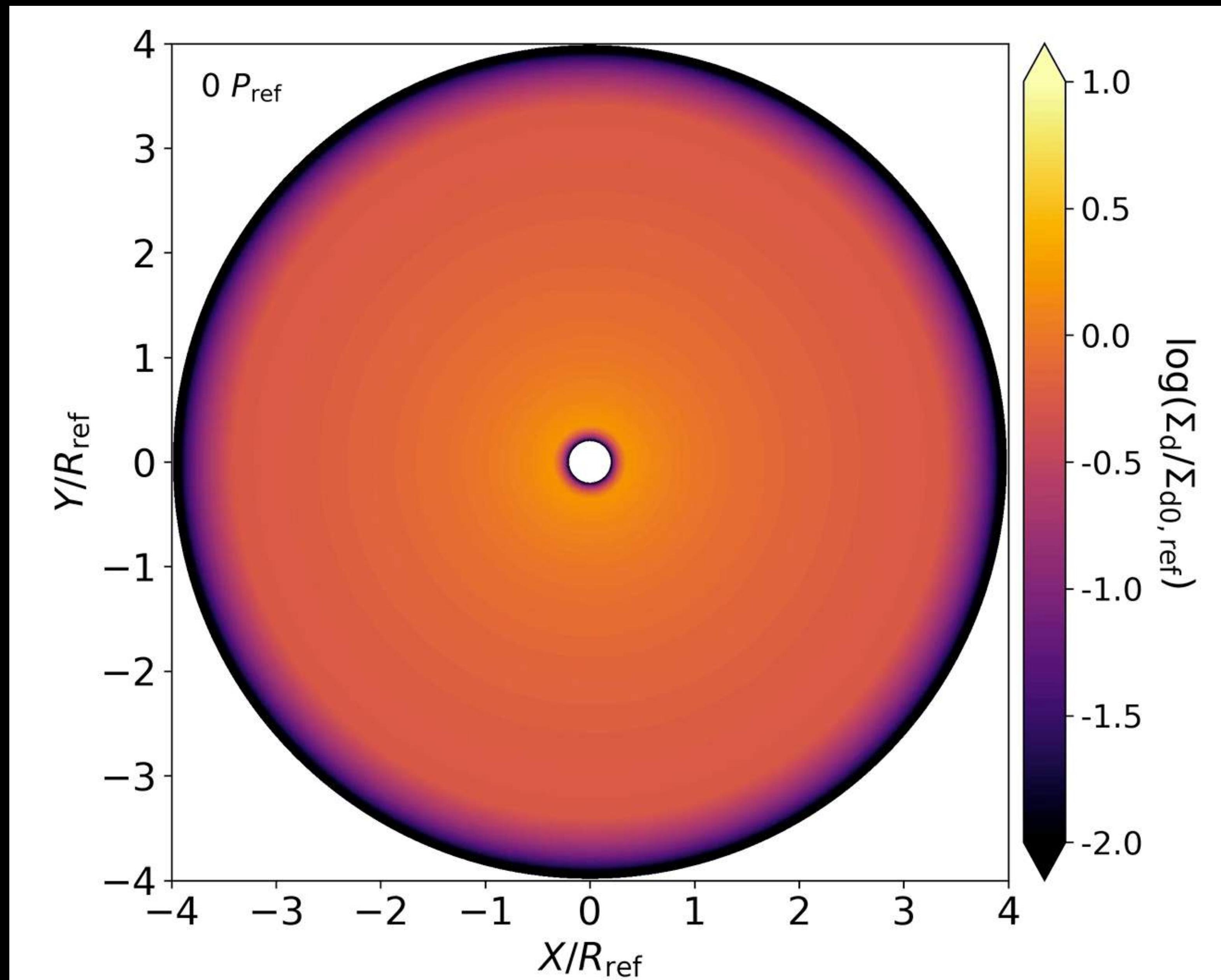
- **Streaming Instability is the leading hypothesis for planetesimal formation**
- **Realistic physics/geometries may inhibit or promote the SI**
- **SI is not the only theory for planetesimal formation**
- **But planetesimals must form – what's next?**

# Building towards a planet via pebble accretion



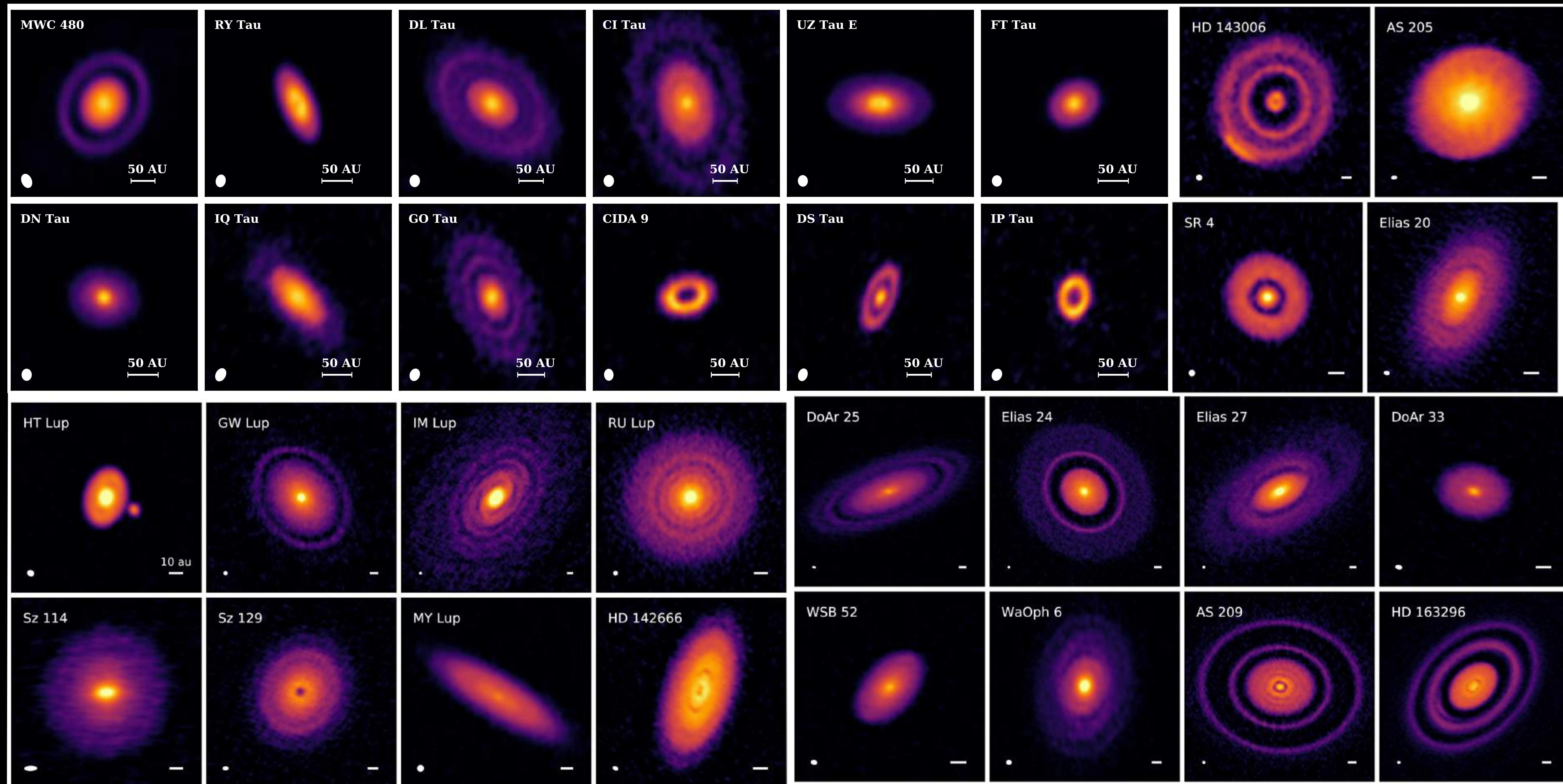
**What happens after planet formation?**

# Disk-planet interaction



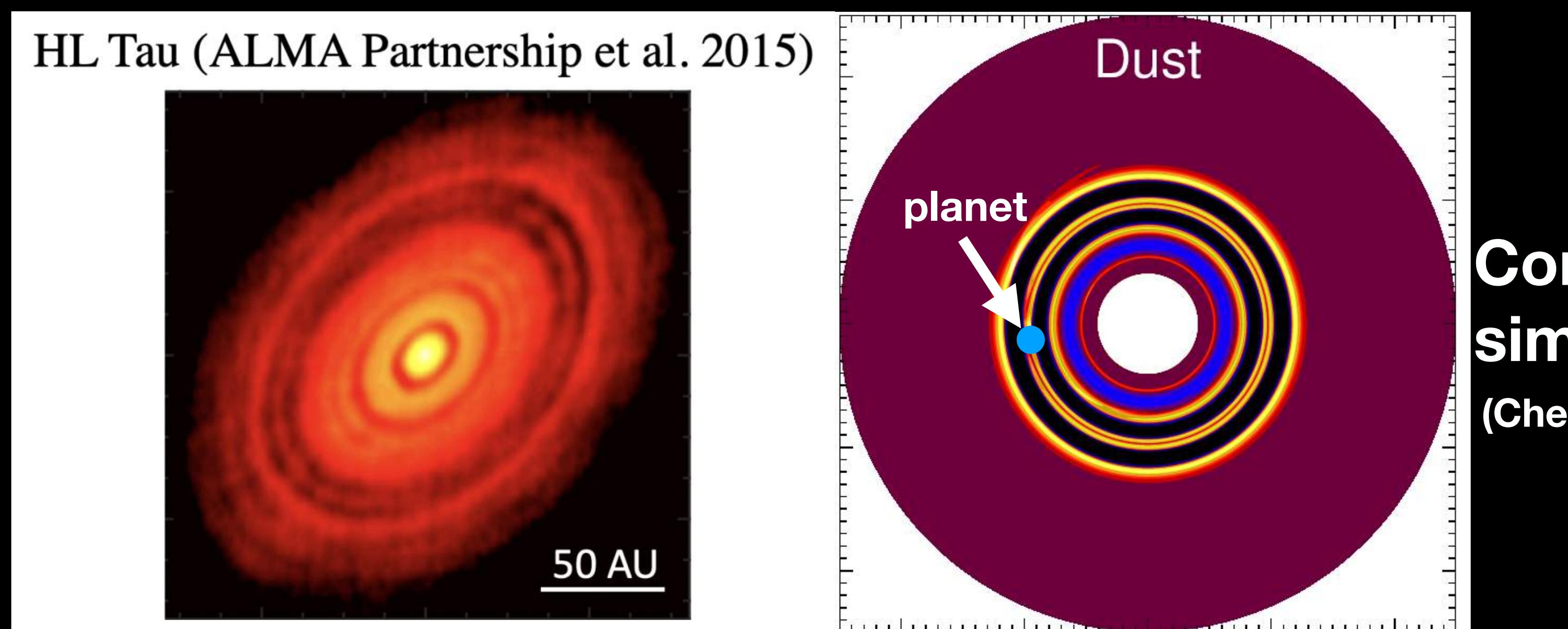
# Rings and gaps: planet signatures?

(Andrews et al, 2018; Long et al 2018)

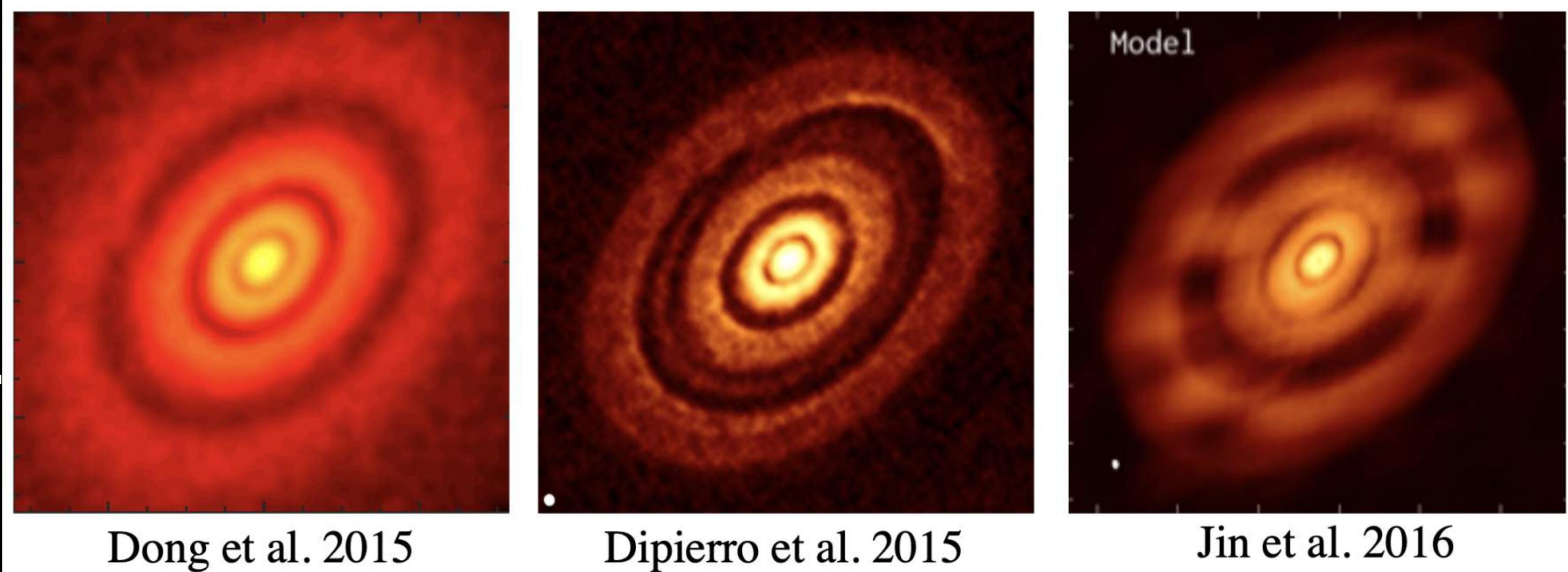


# Going beyond simulations

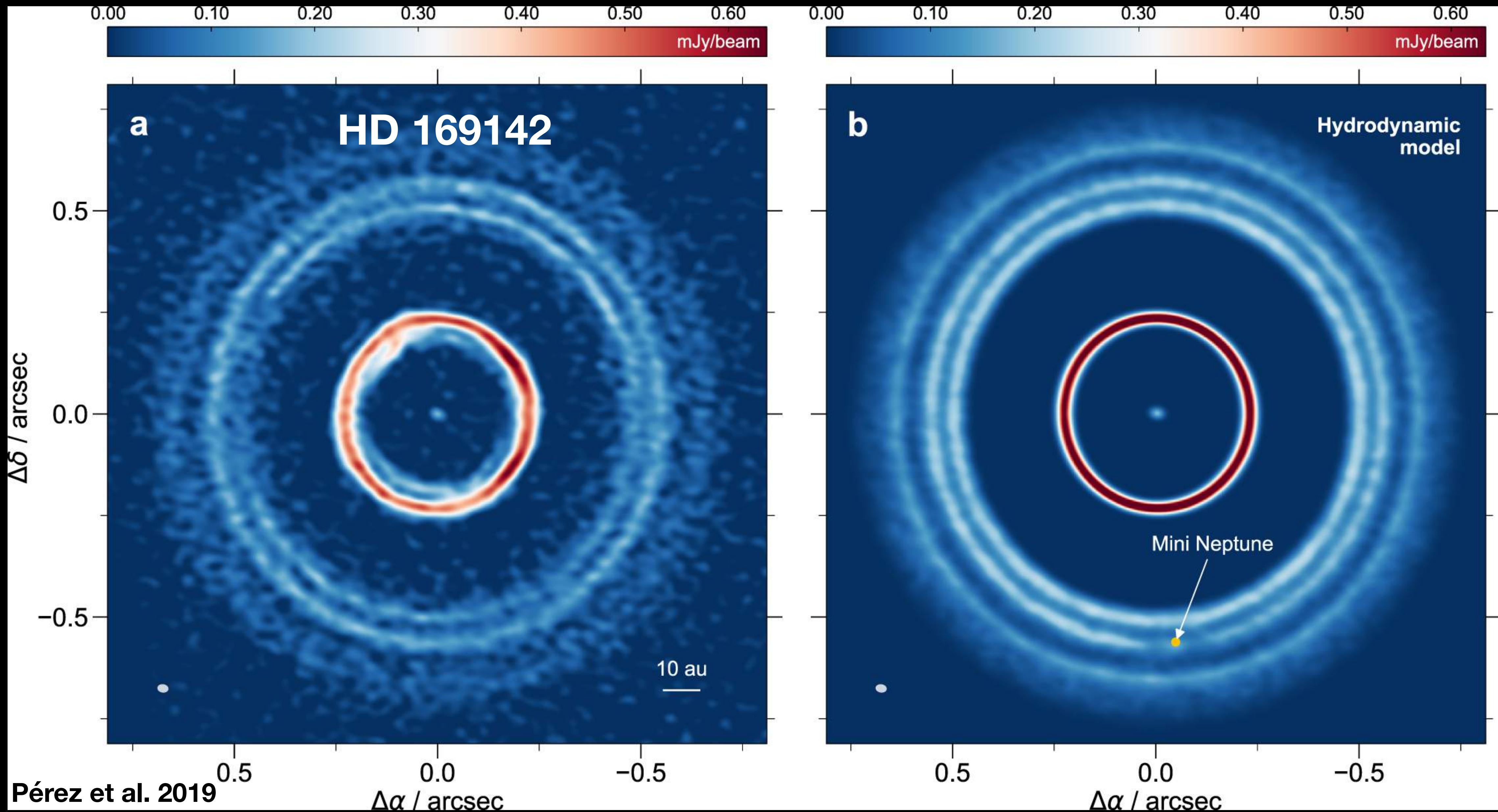
Observation



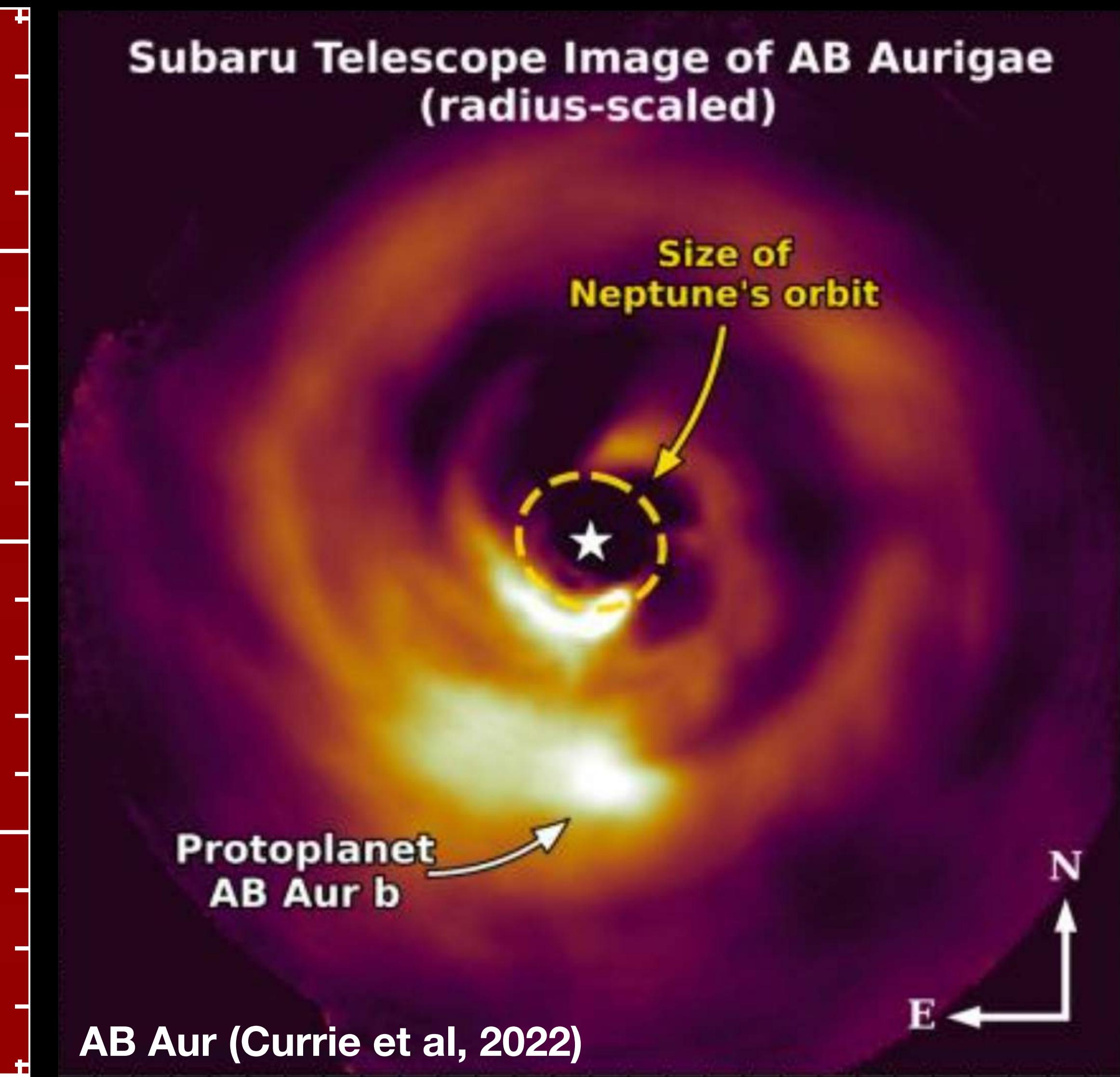
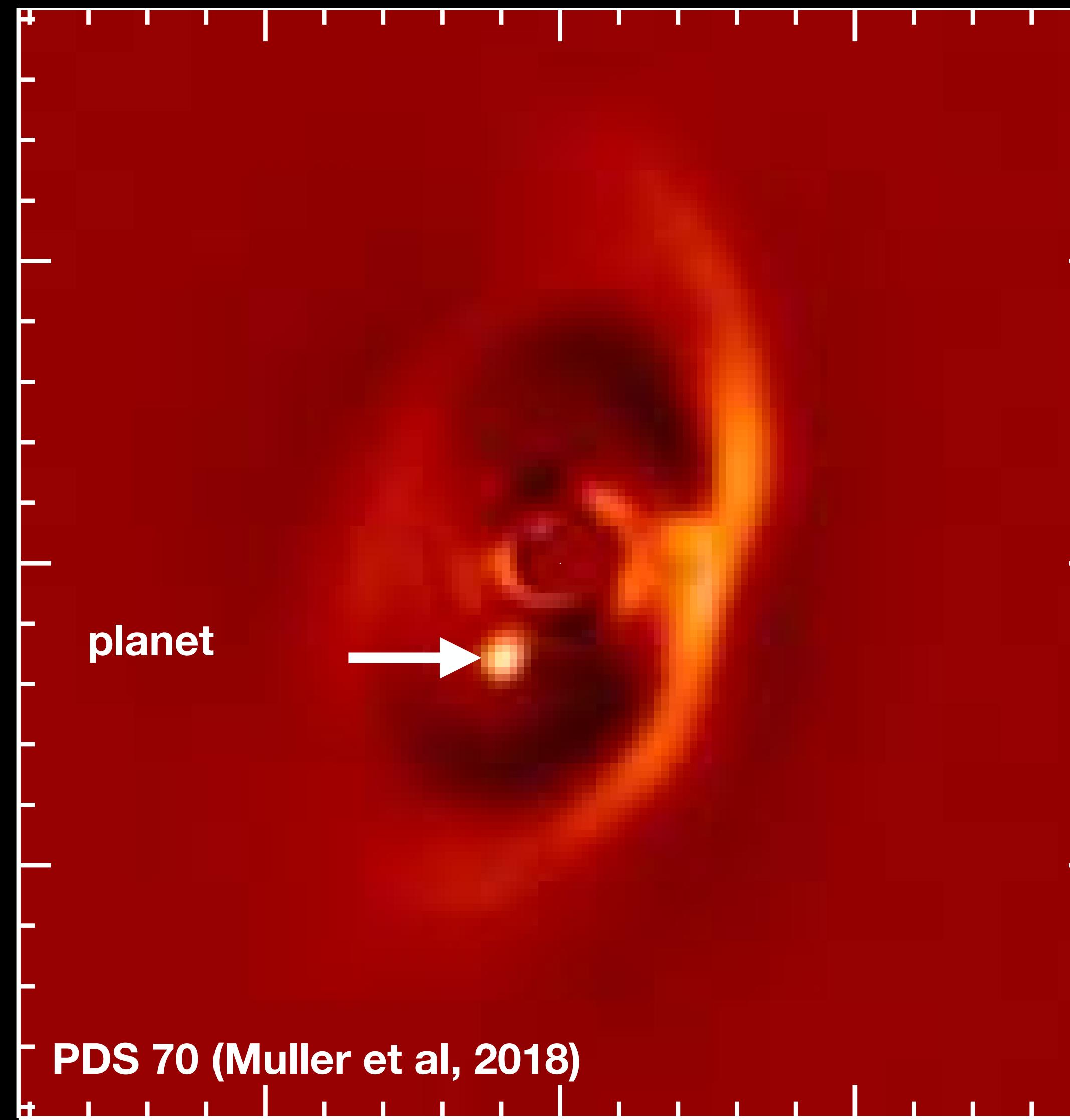
Simulation  
+  
synthetic obs.



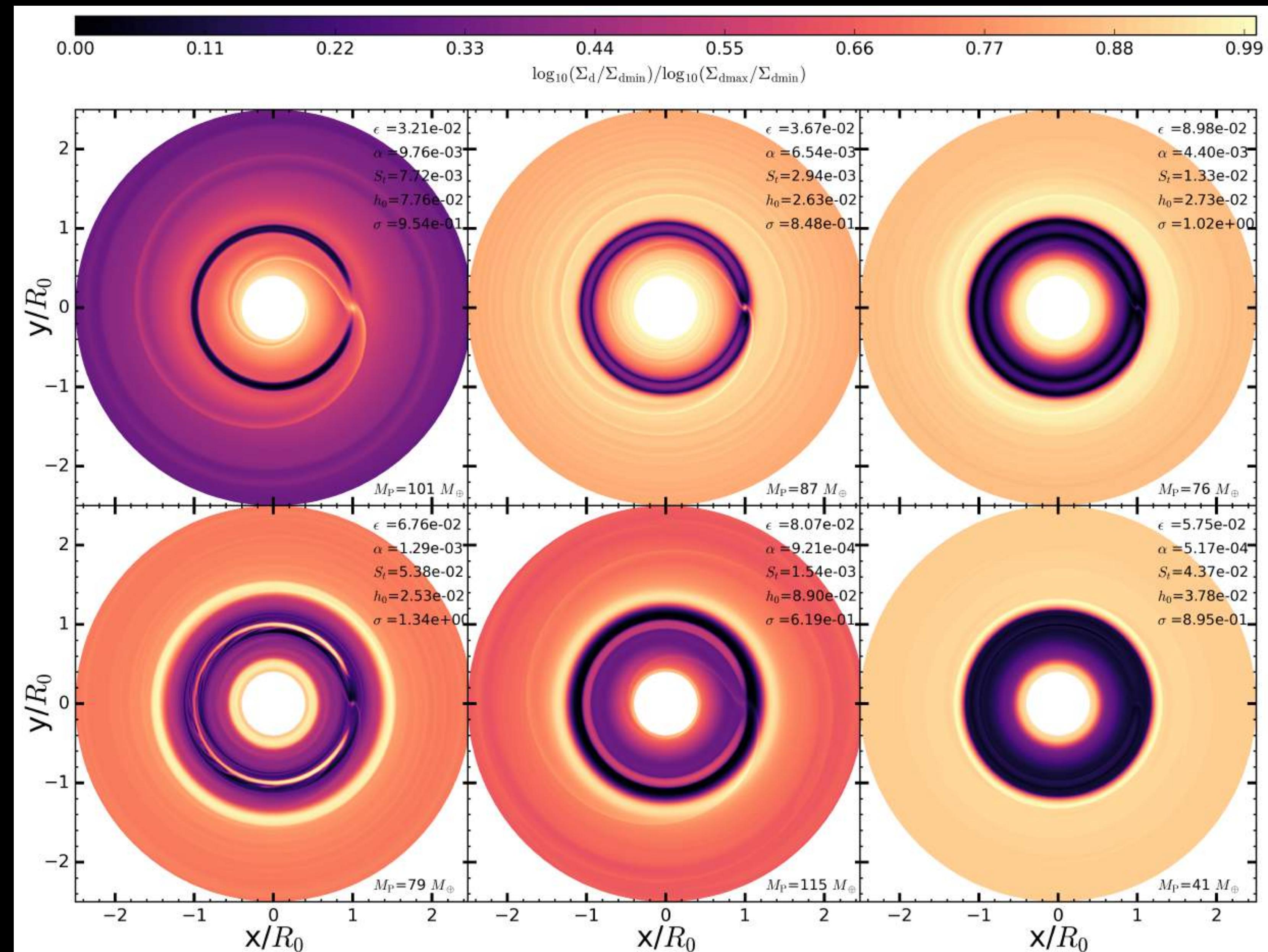
# Detecting planets via sub-structures



# Observations of planets in a disk

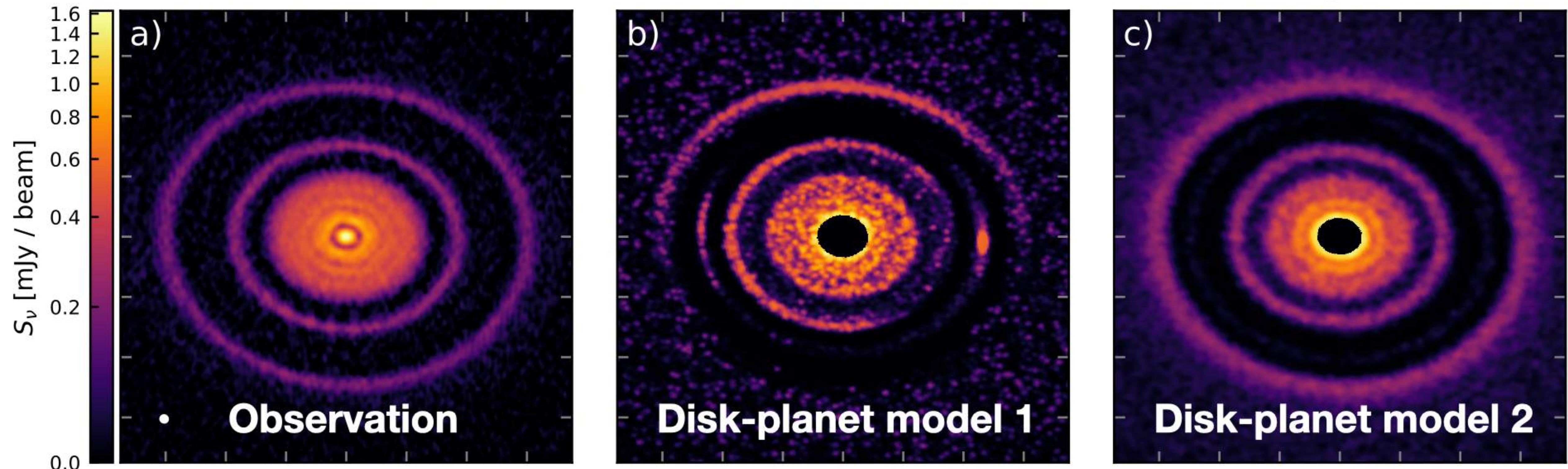


# Many parameters, many outcomes



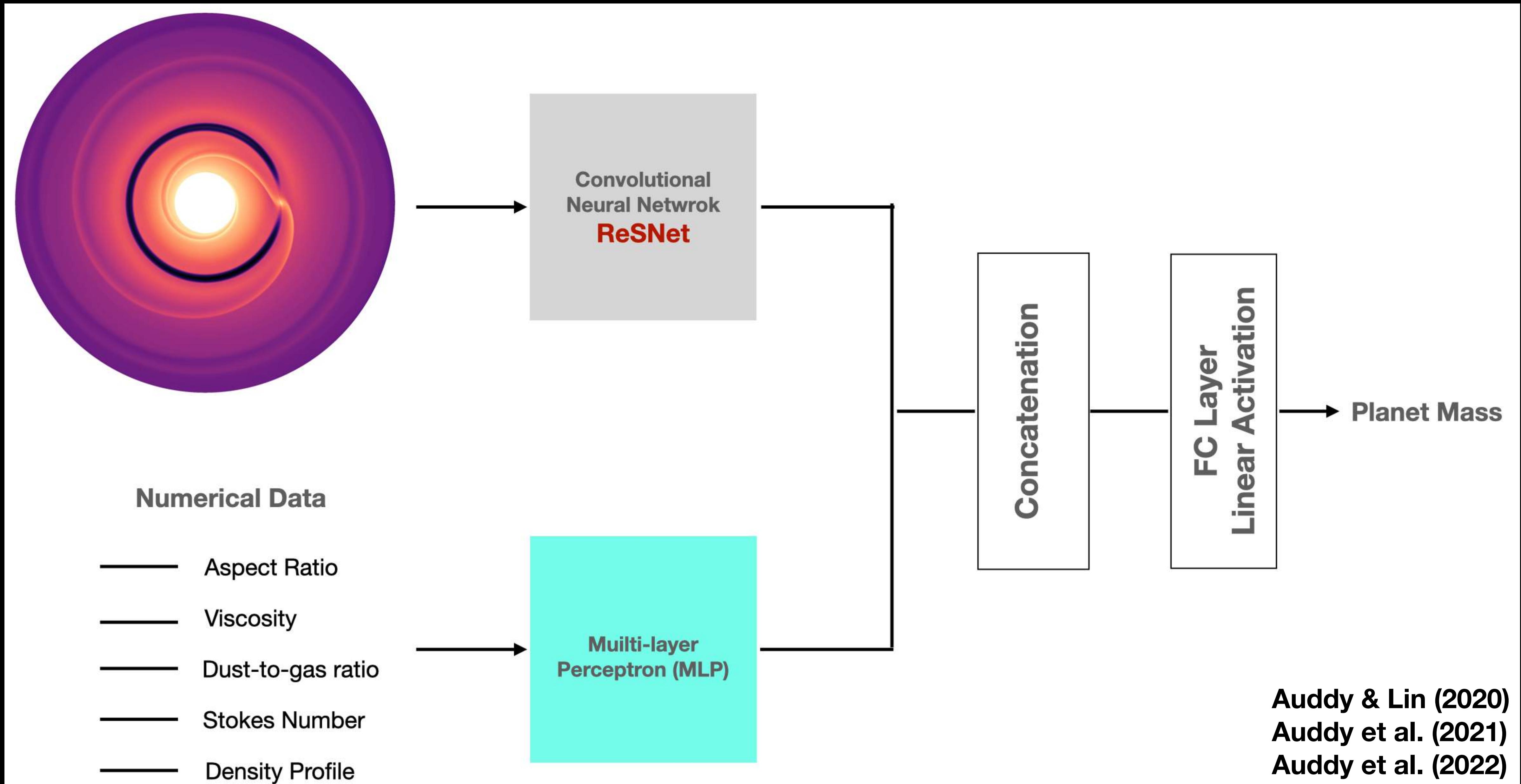
# Detecting unseen planets via disk morphology

AS 209, DSHARP (Zhang et al. 2018)

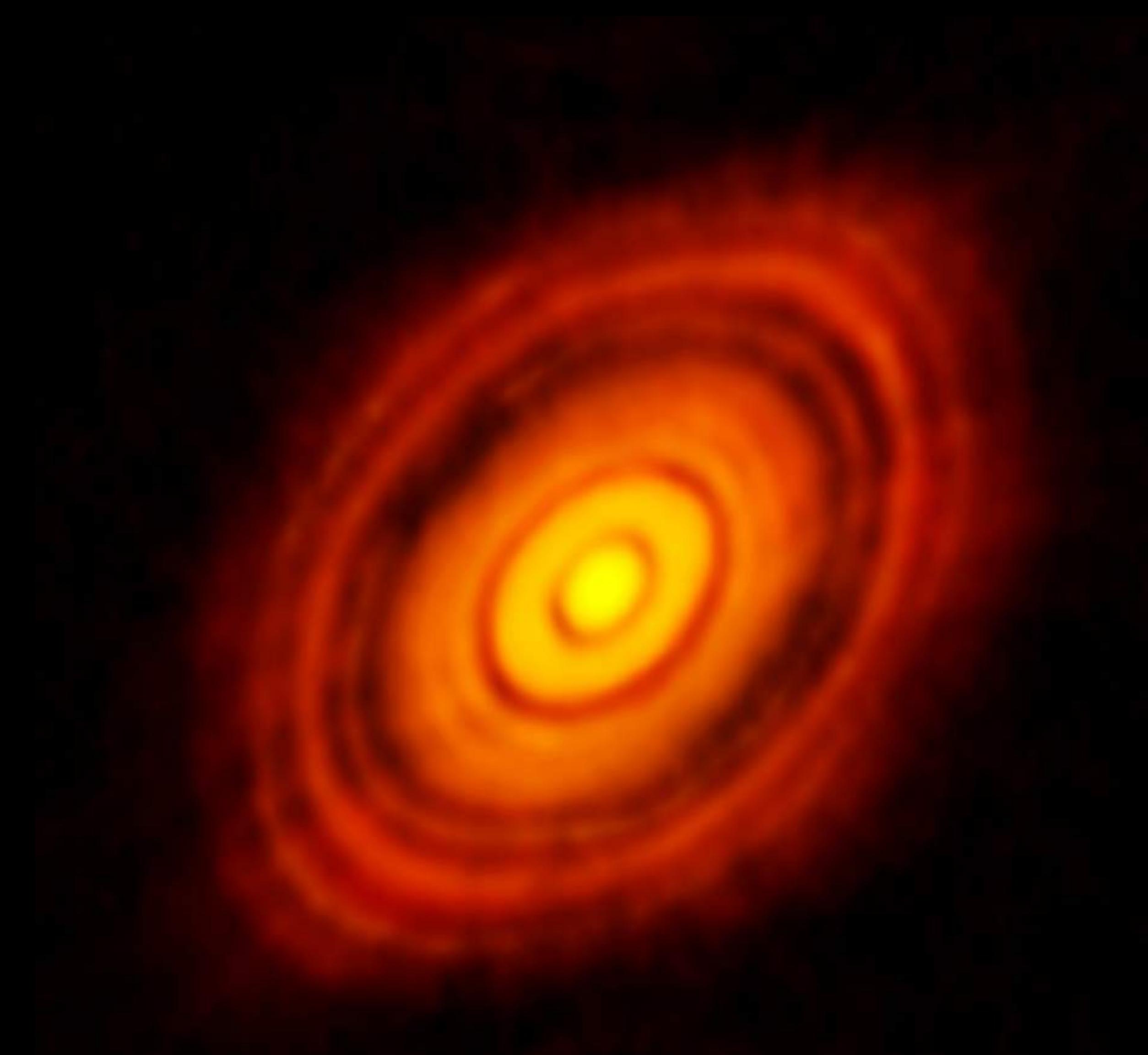


But each observation requires many simulations

# Modeling planet gaps with artificial/convolutional NN



# Estimating planet masses around HL Tau



- **Hydrodynamic simulations**

(Dong et al. 2015, Dipierro et al. 2015, Jin et al. 2016)

$$M_p = 0.2 - 0.35M_J, 0.17 - 0.27M_J, 0.2 - 0.55M_J$$

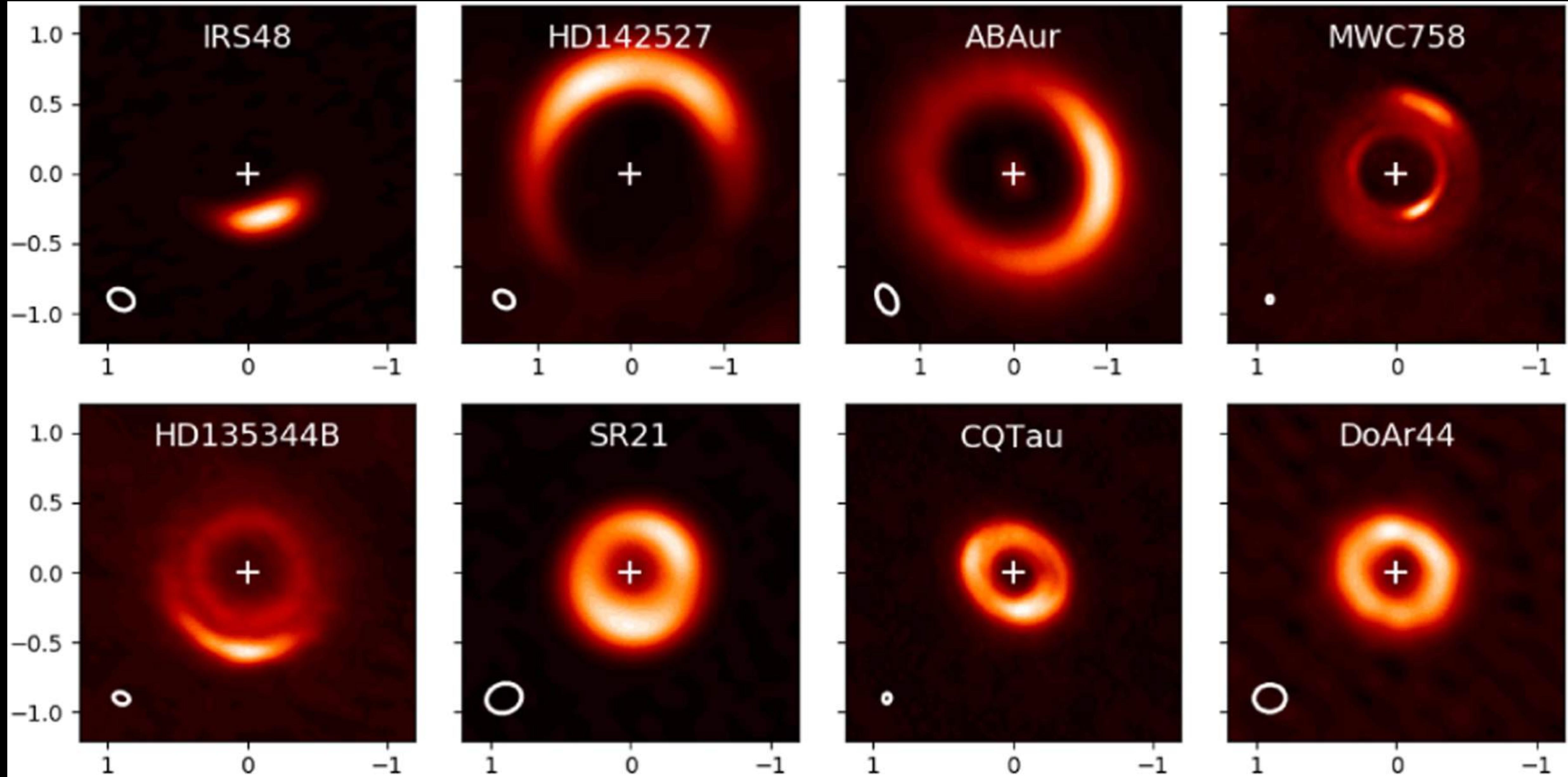
- **Disk-Planet Neural Network**

(Auddy & Lin, 2020)

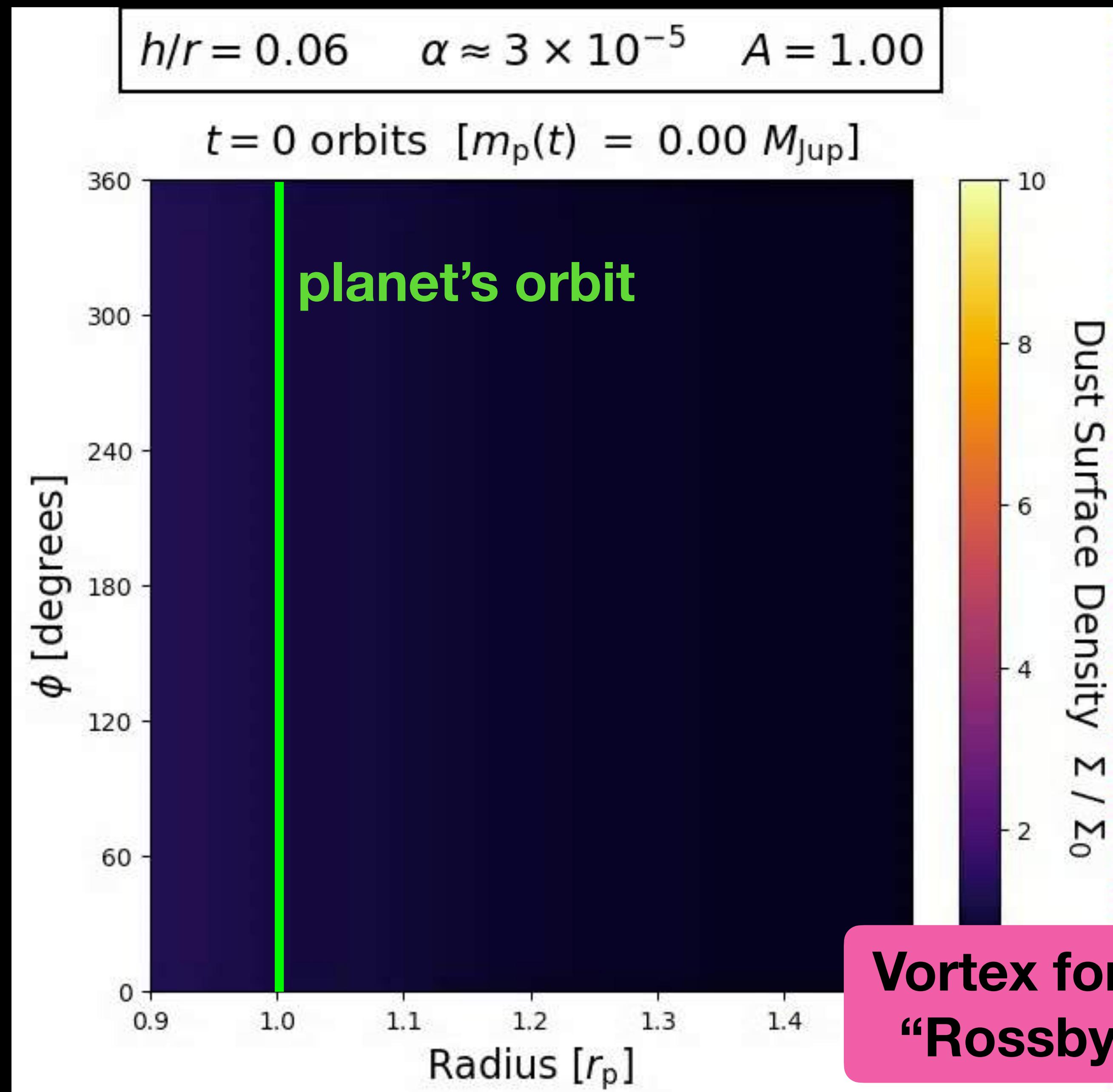
$$M_p = 0.24M_J, 0.21M_J, 0.2M_J$$

# Some disks are asymmetric

(van de Marel, et al. 2021)

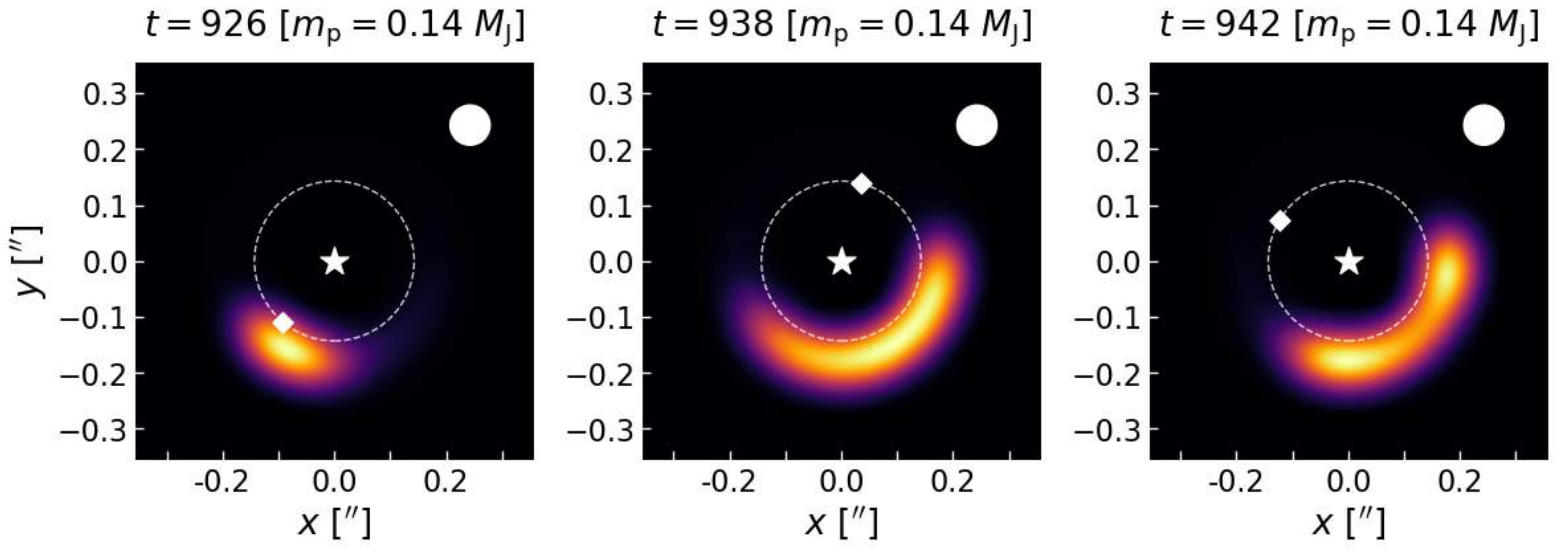


# Can planets explain asymmetries?



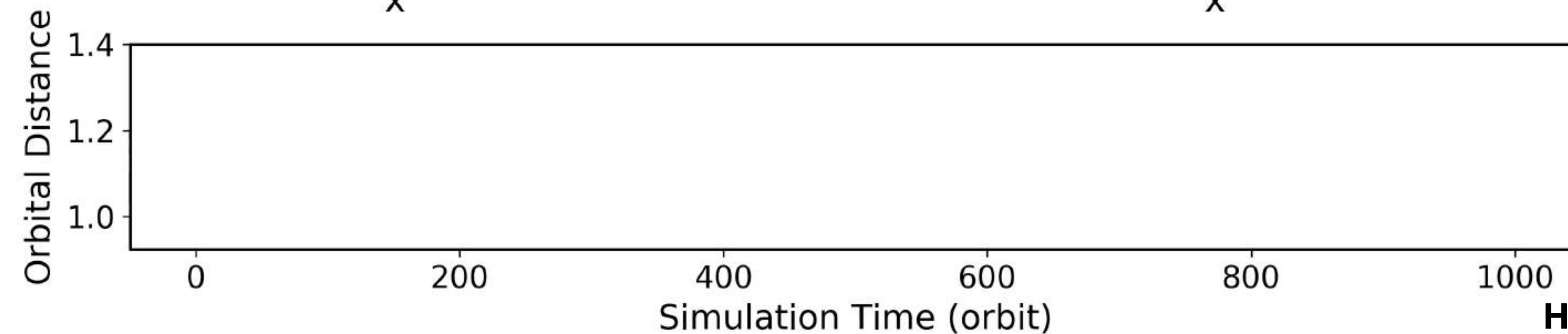
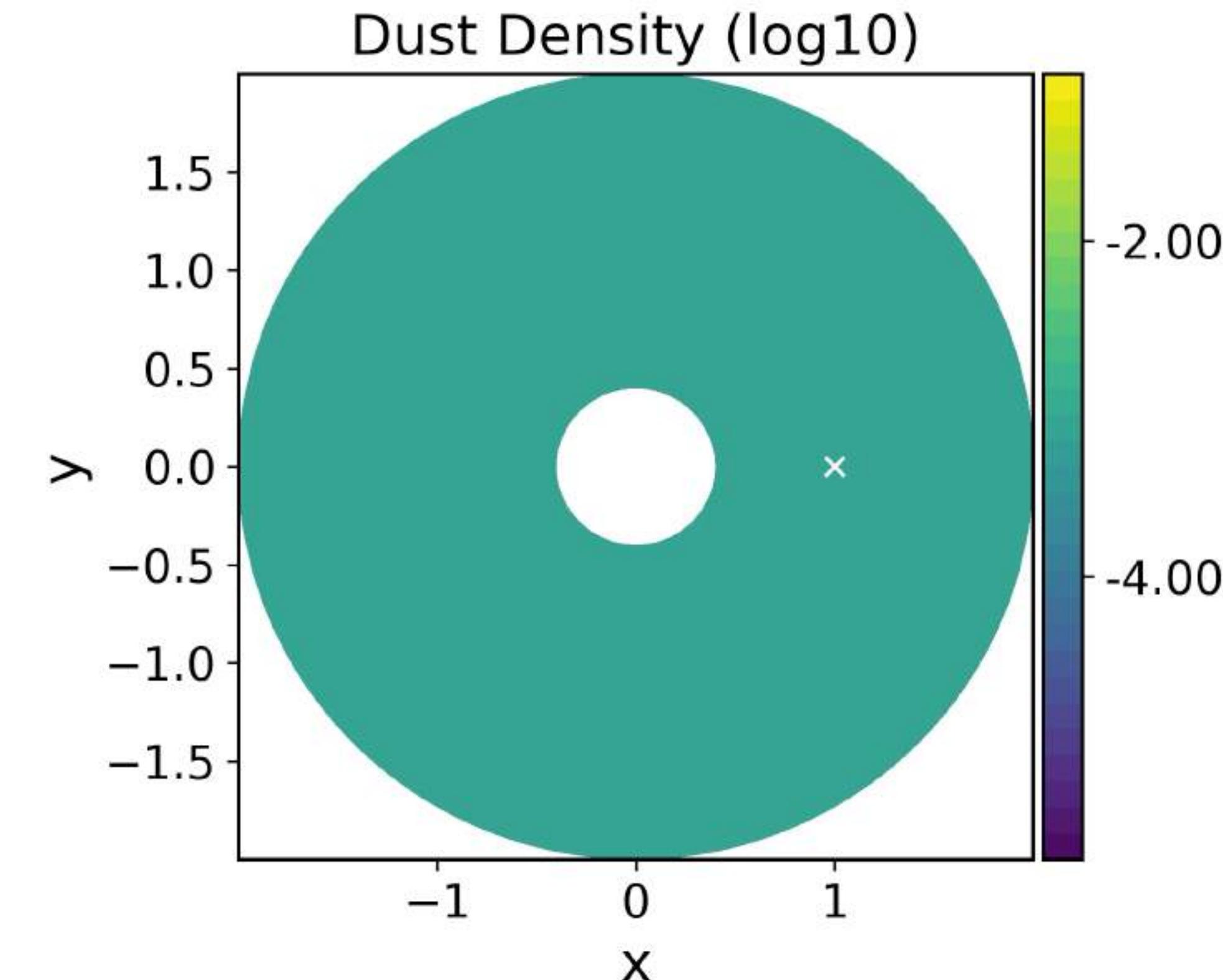
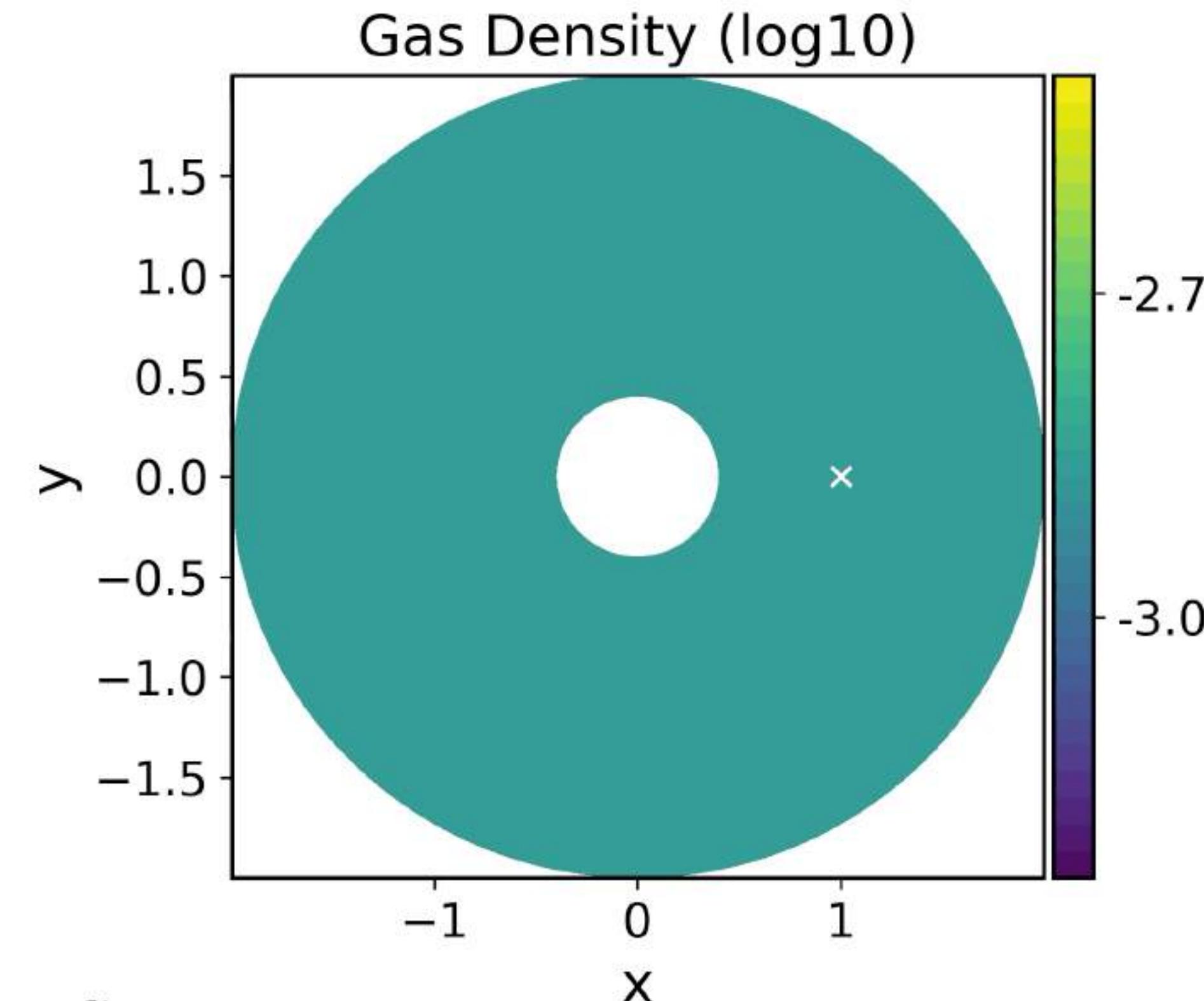
Vortex formation due to the  
“Rossby wave” instability

# Synthetic observations

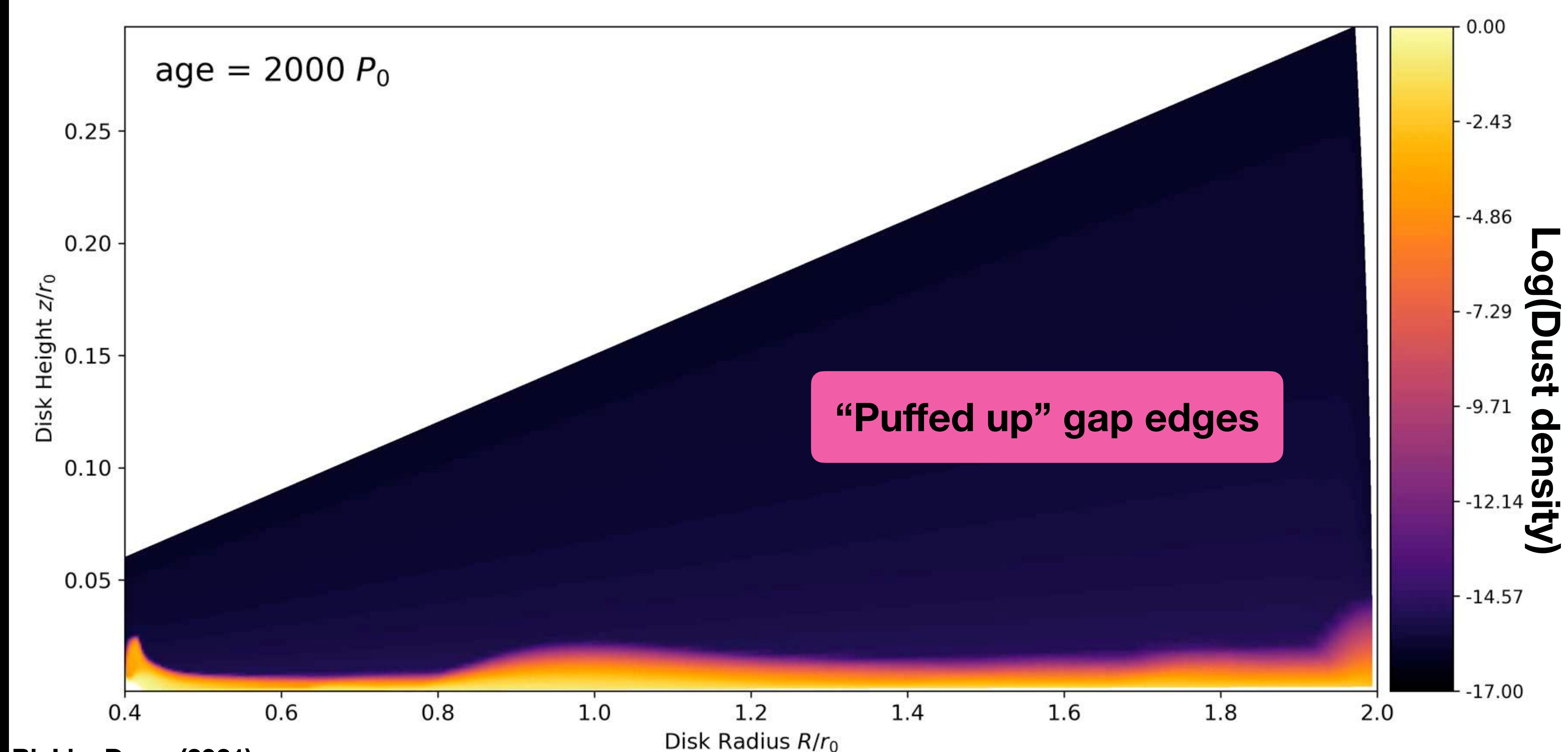


# Moving planets in dusty disks

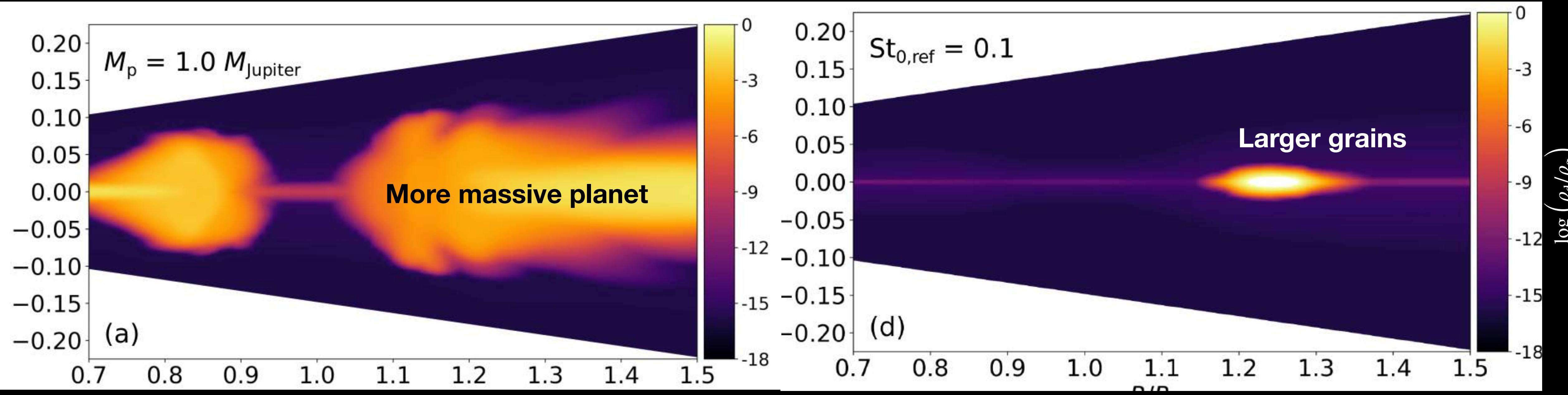
$Z = 0.5, St = 3 \times 10^{-2}, 0$  orbits



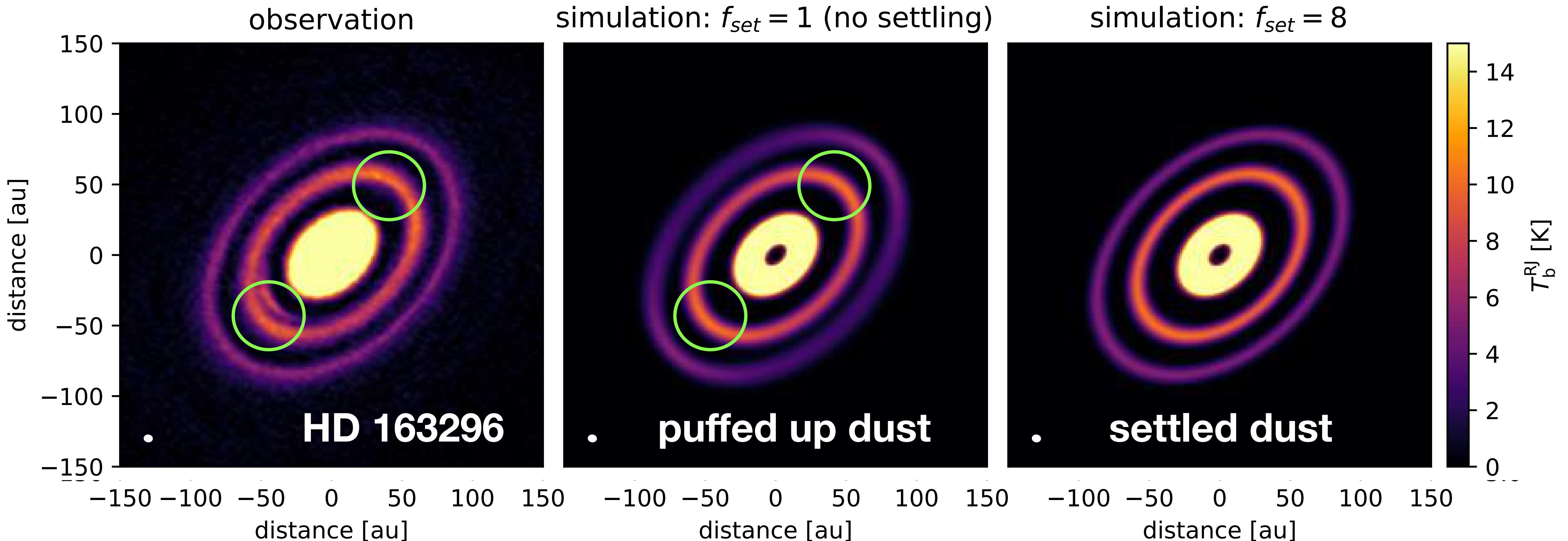
# Three-dimensional models



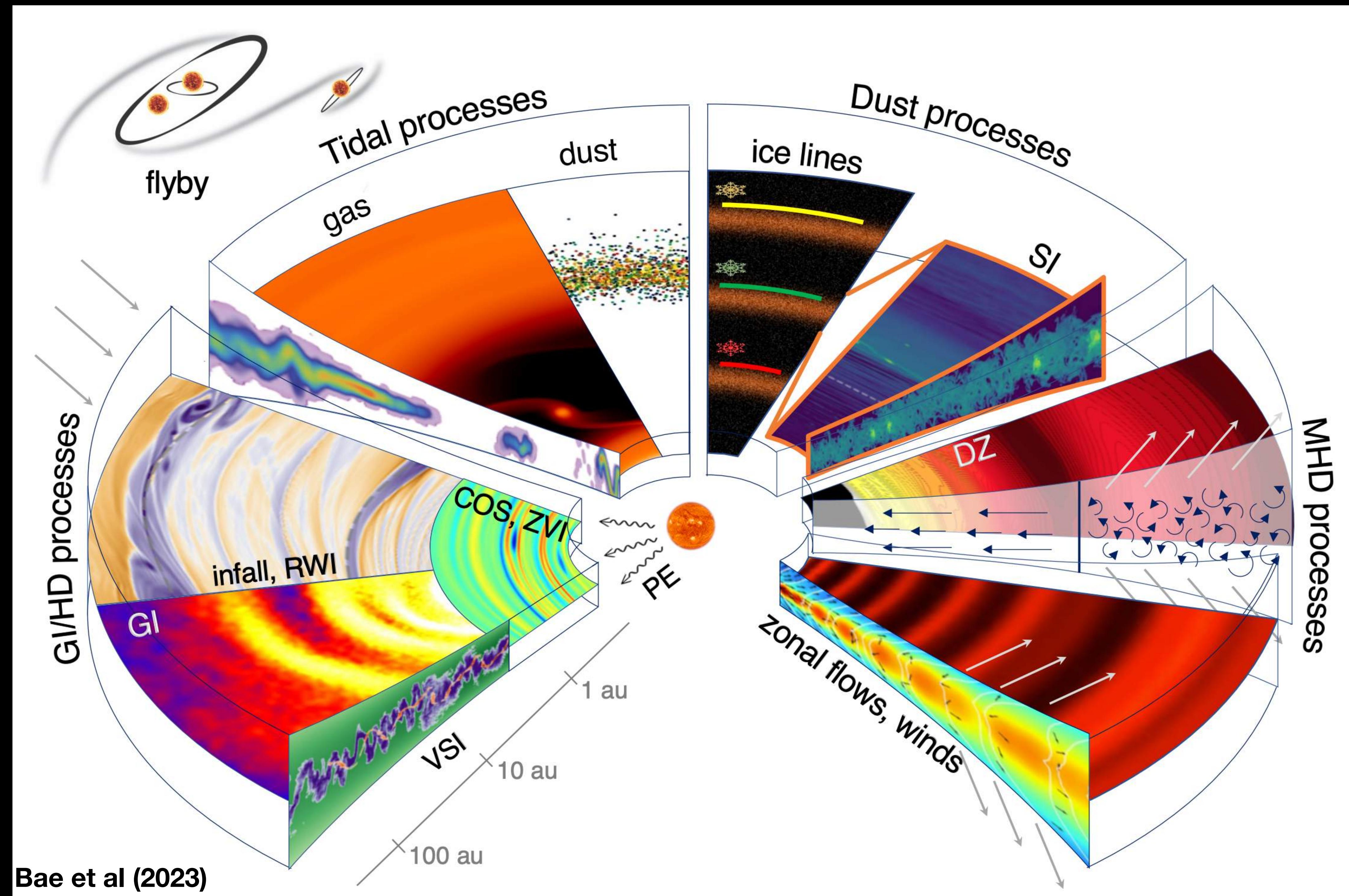
# Planet mass & grain sizes



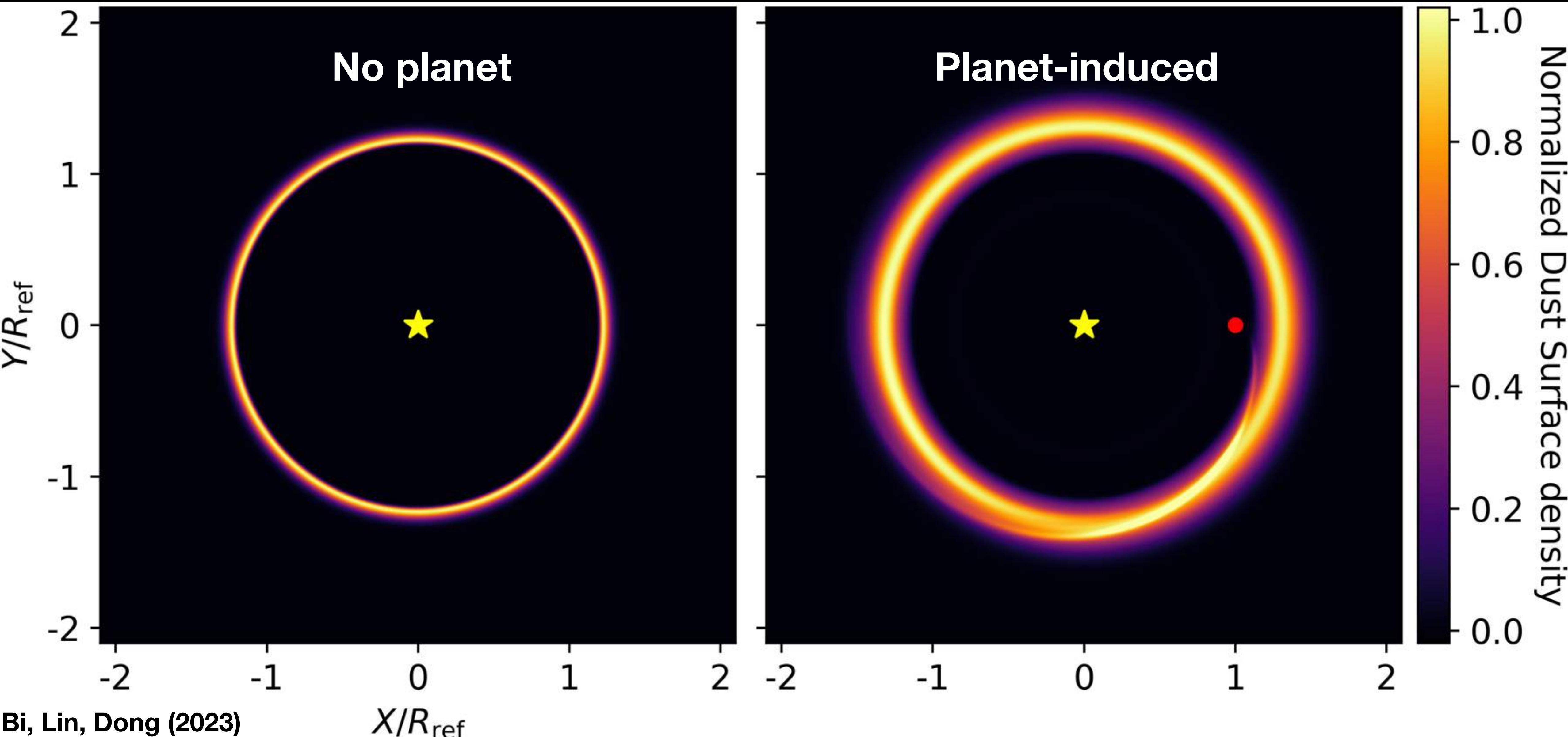
# Puffed up rings in observations: Sign of planets?



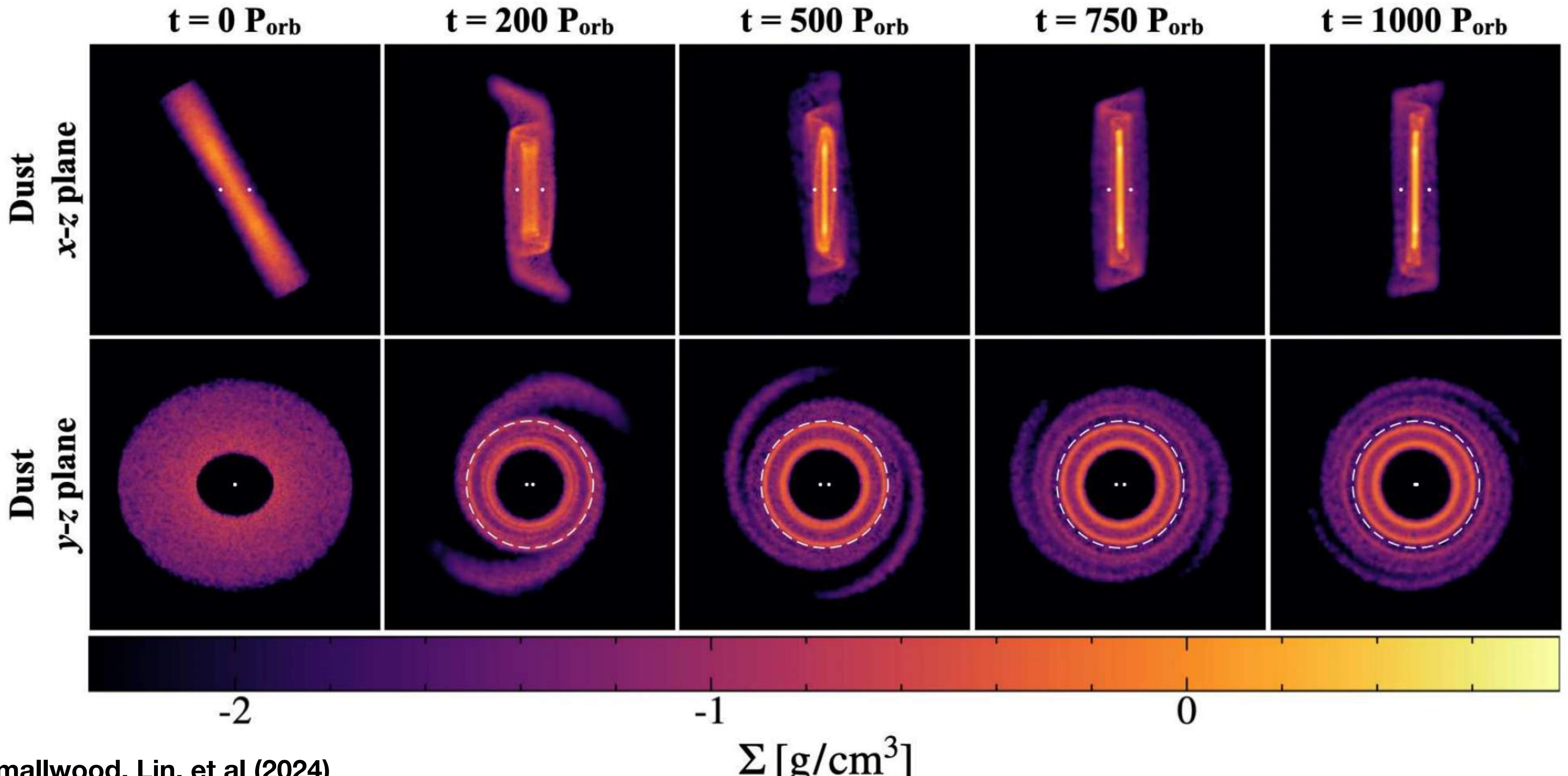
**Are all sub-structures due to unseen planets?**



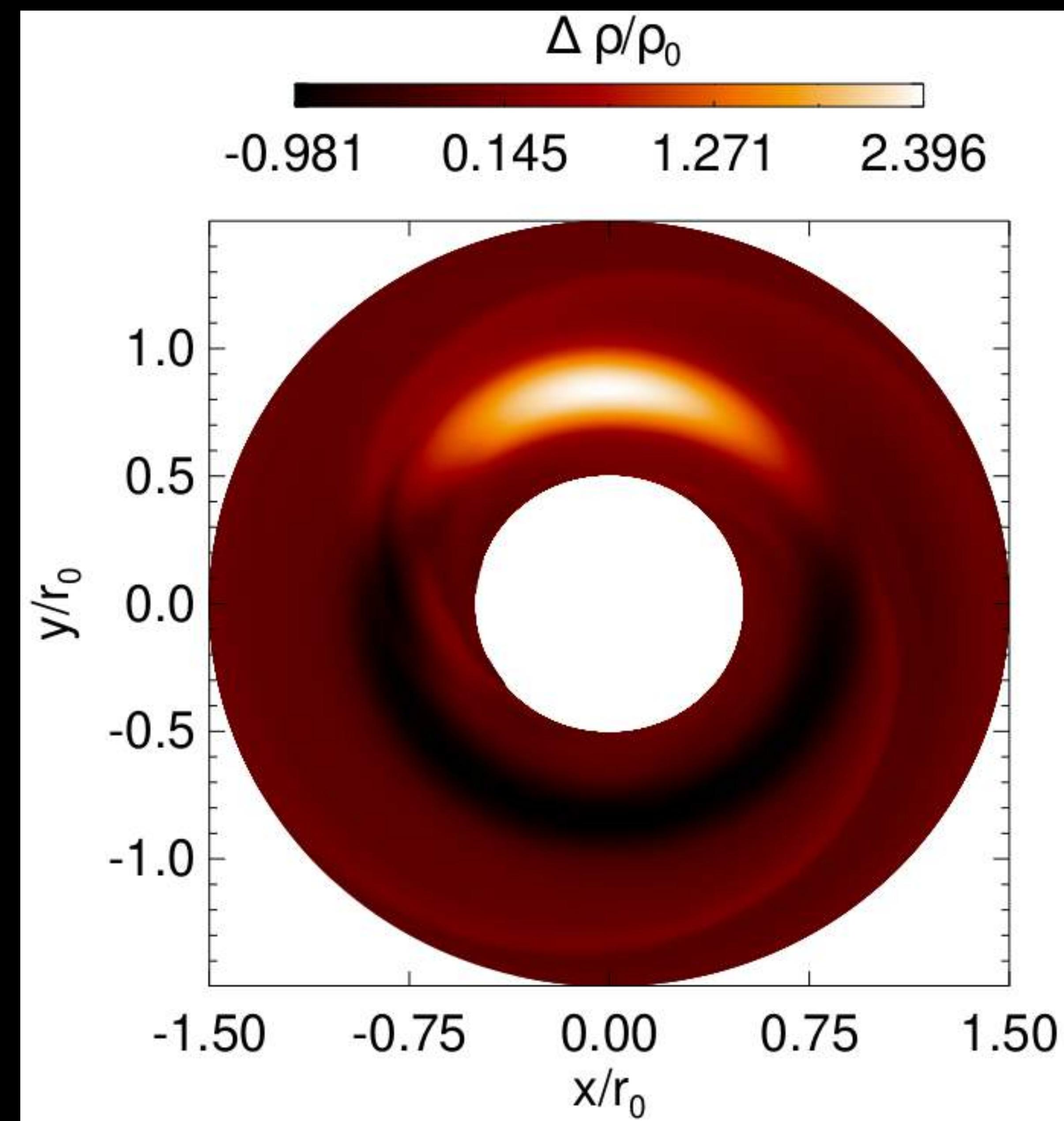
# Planet vs. non-planet dust rings



# Dust rings in misaligned circumbinary disks



# Vortex formation from convection



# Missed topics

- **Fundamentals of PPD gas dynamics: magnetic fields, winds, accretion**
- **From protoplanets to a fully grown planet**
- **Planet formation via disk instability**
- **Disks around planets, satellite formation**
- **Planet migration: gas and planetesimal-driven**
- **Planet-planet interactions, N-body problems**

See “**Protostars & Planets VII**” book

# Summary

- **Planetary systems are ubiquitous, formation should be easy**
- **Mainstream idea is to build planets from small grains across many orders of magnitude**
- **Gas and dust dynamics are critical, turbulence, SI**
- **Planets shape protoplanetary disks**
- **Disk substructures are not exclusive to disk-planet interaction**

X @linminkai