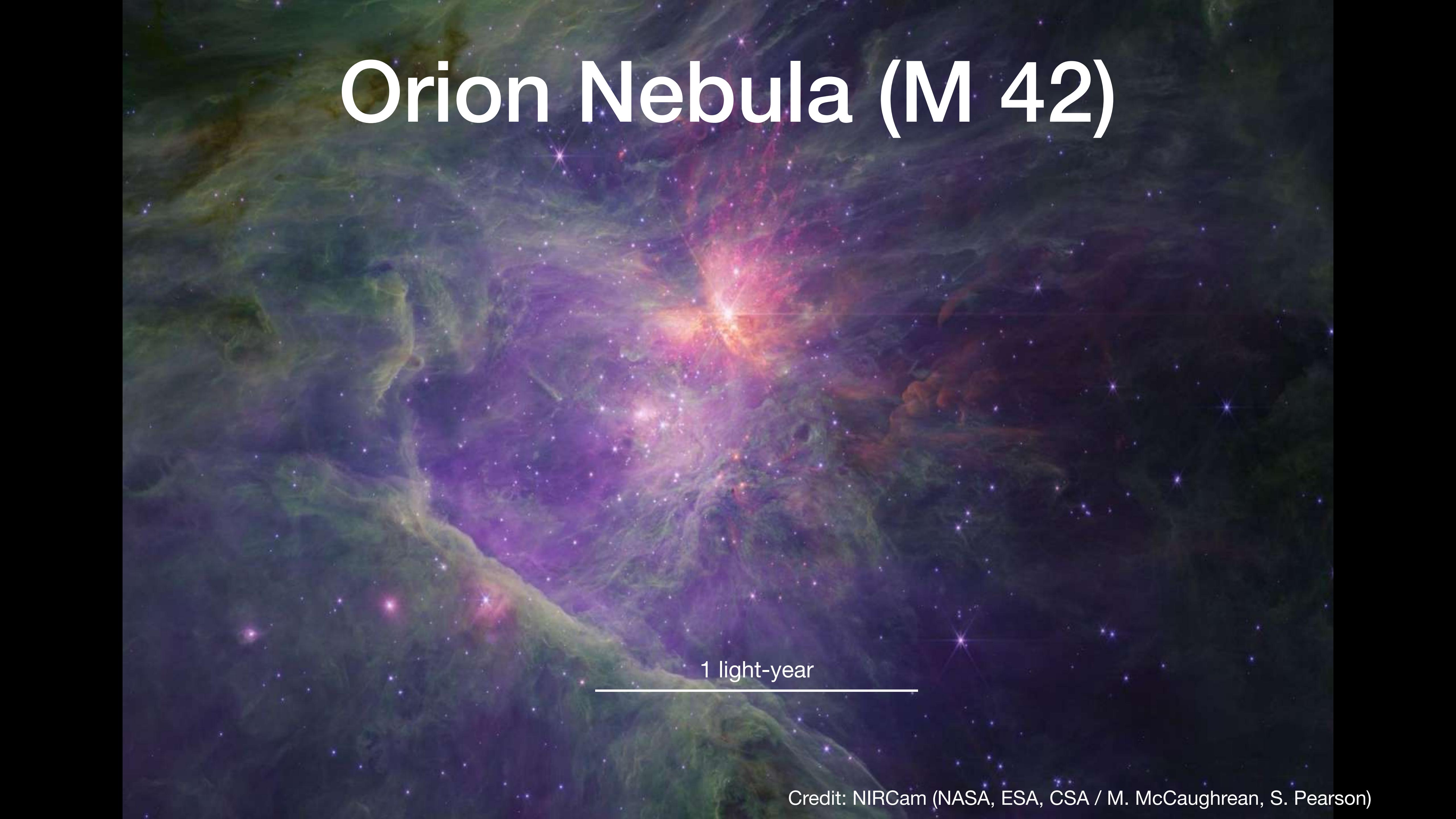


# Planet Formation and Exoplanets: From Dust to Planets

Shang-Min Tsai  
ASIAA

# Orion Nebula (M 42)

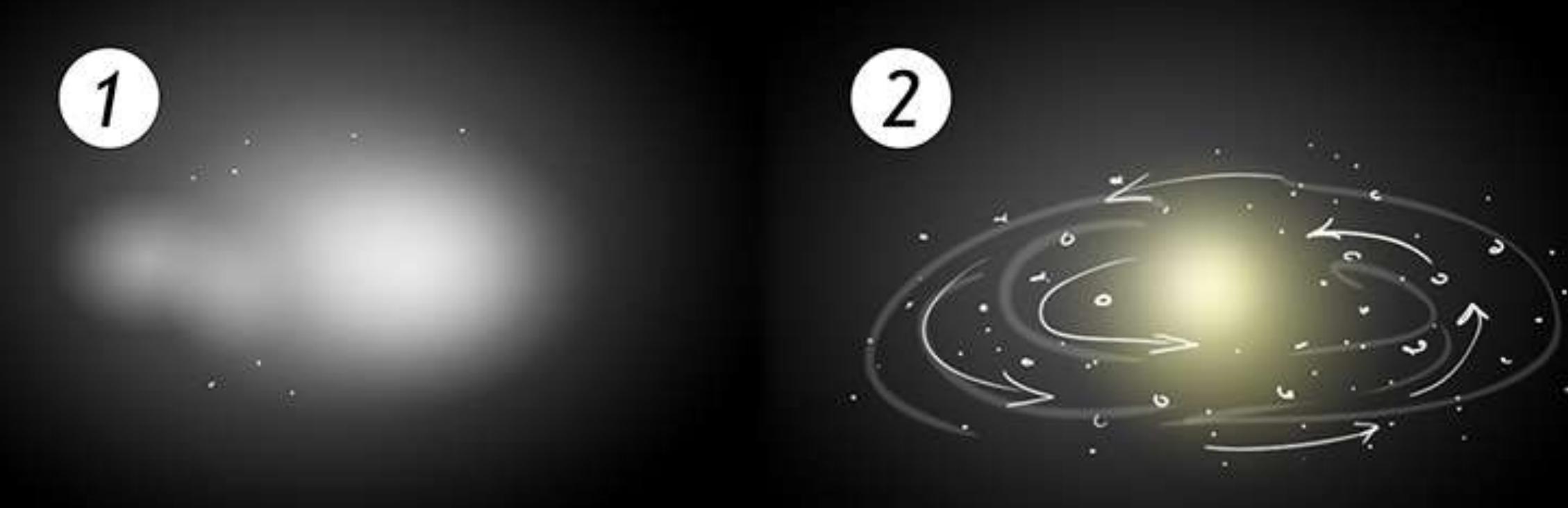


A detailed image of the Orion Nebula (M 42) in deep space. The nebula is a vast cloud of gas and dust, primarily composed of hydrogen and helium, with temperatures ranging from 100 to 1000 degrees Celsius. It is located approximately 1350 light-years away from Earth. The central region of the nebula is a dense cluster of young stars, including the Trapezium star system. The nebula's intricate structure is visible, with various shades of red, orange, yellow, green, and blue. The background is filled with numerous small stars of varying brightness. A scale bar at the bottom indicates a distance of 1 light-year.

1 light-year

# The Nebular Hypothesis (Kant 1755)

## – the origin story of Solar System



**Nebula**  
**(99% gas and 1% dust)**

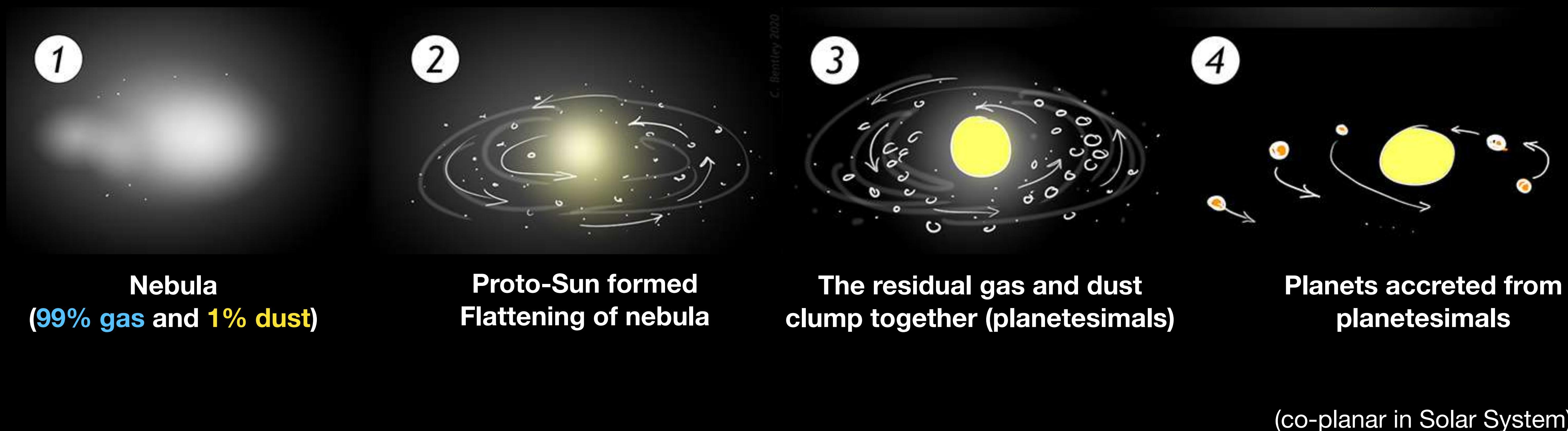
**Proto-Sun formed**  
**Flattening of nebula**

# The Nebular Hypothesis

(Kant 1755)

## – the origin story of Solar System

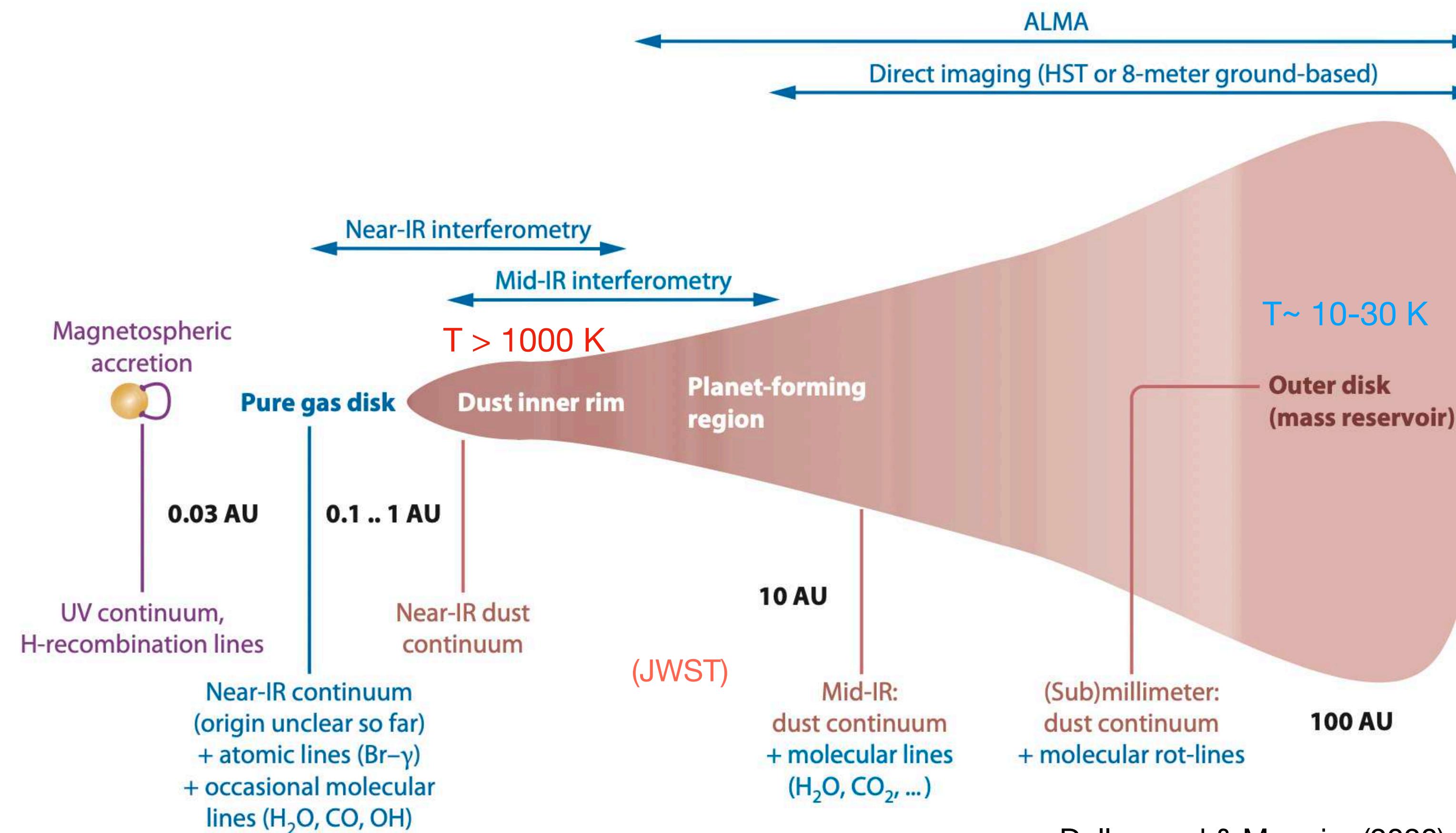
from pizza dough to pepperoni pizza



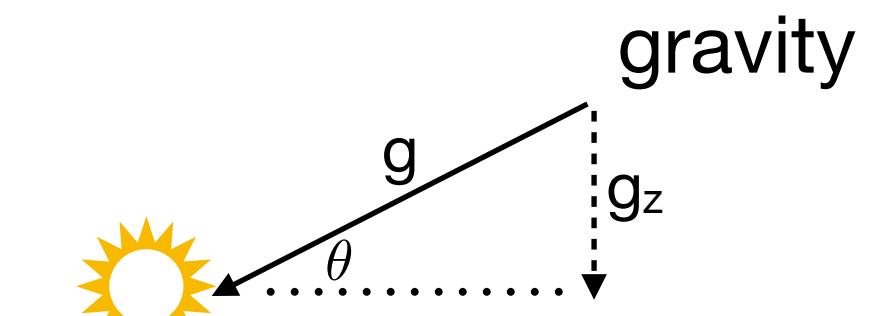
- Various observation windows
- Truncation by photoevaporation and magnetic field
- Flaring geometry

# Disk structure

## from observations



flaring geometry



$$\frac{1}{\rho} \frac{\partial P}{\partial z} = -\frac{GM}{r^2} \left(\frac{z}{r}\right) = -\Omega^2 z$$

P gradient      z-gravity

angular velocity

$$P = \rho c_s^2 \quad (\text{c}_s: \text{sound speed})$$

$$\text{density scale height: } H = \frac{c_s}{\Omega}$$

So  $H$  increases with decreasing  $\Omega$  increasing  $r$

# Mass of the disk

## – Minimum Mass Solar Nebula (MMSN)

(Weidenschilling 1977)



- Can we reconstruct the disk based on the **refractory materials** (rock and metal) we see in the Solar System today?

- The giant planets dominate the mass of the planetary system:  
Total of giant planets' core mass  $\sim 0.2 M_{\text{Jupiter}}$

In reality,  $x$  depends on T or the distance from the Sun:

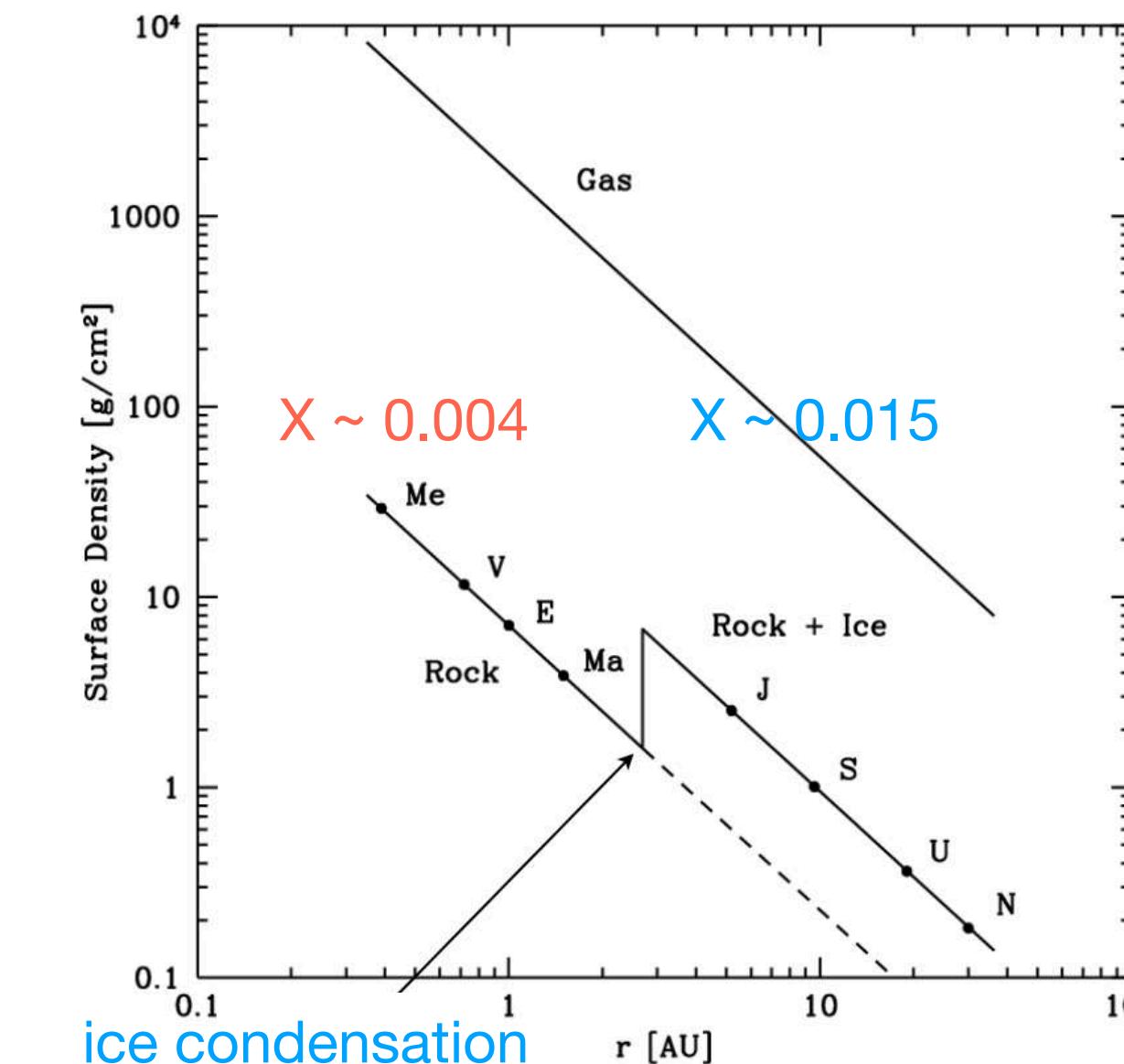
- The key ingredient in the estimate is the dust to gas ratio:

$$x = \frac{\text{mass of dust}}{\text{mass of gas}}$$

(mm) 

line emission (CO)

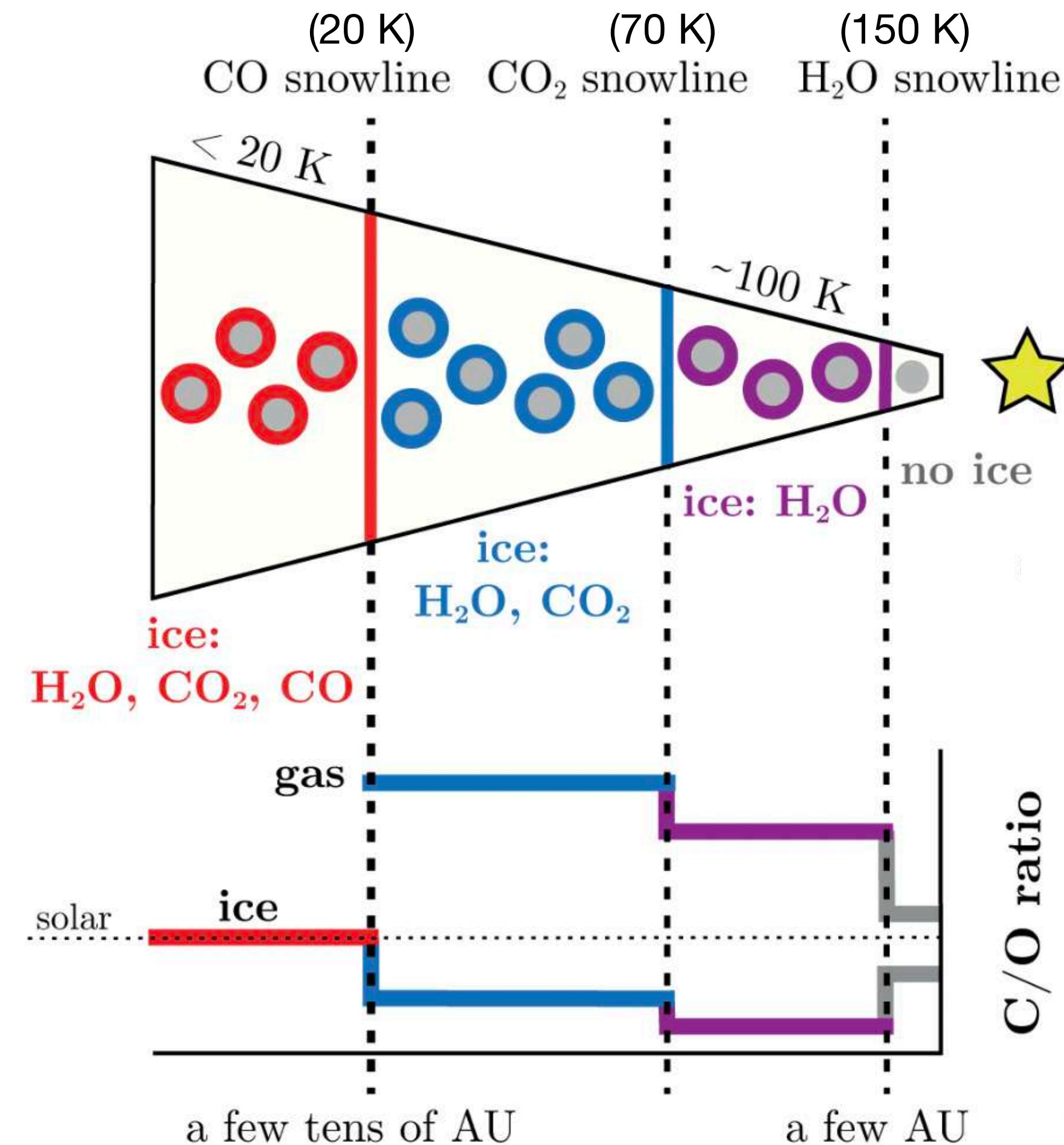
- For  $x = 0.01$ , MMSN  $\sim 20 M_{\text{Jupiter}}$  or  $\sim 0.02 M_{\odot}$



Ruden (1999)

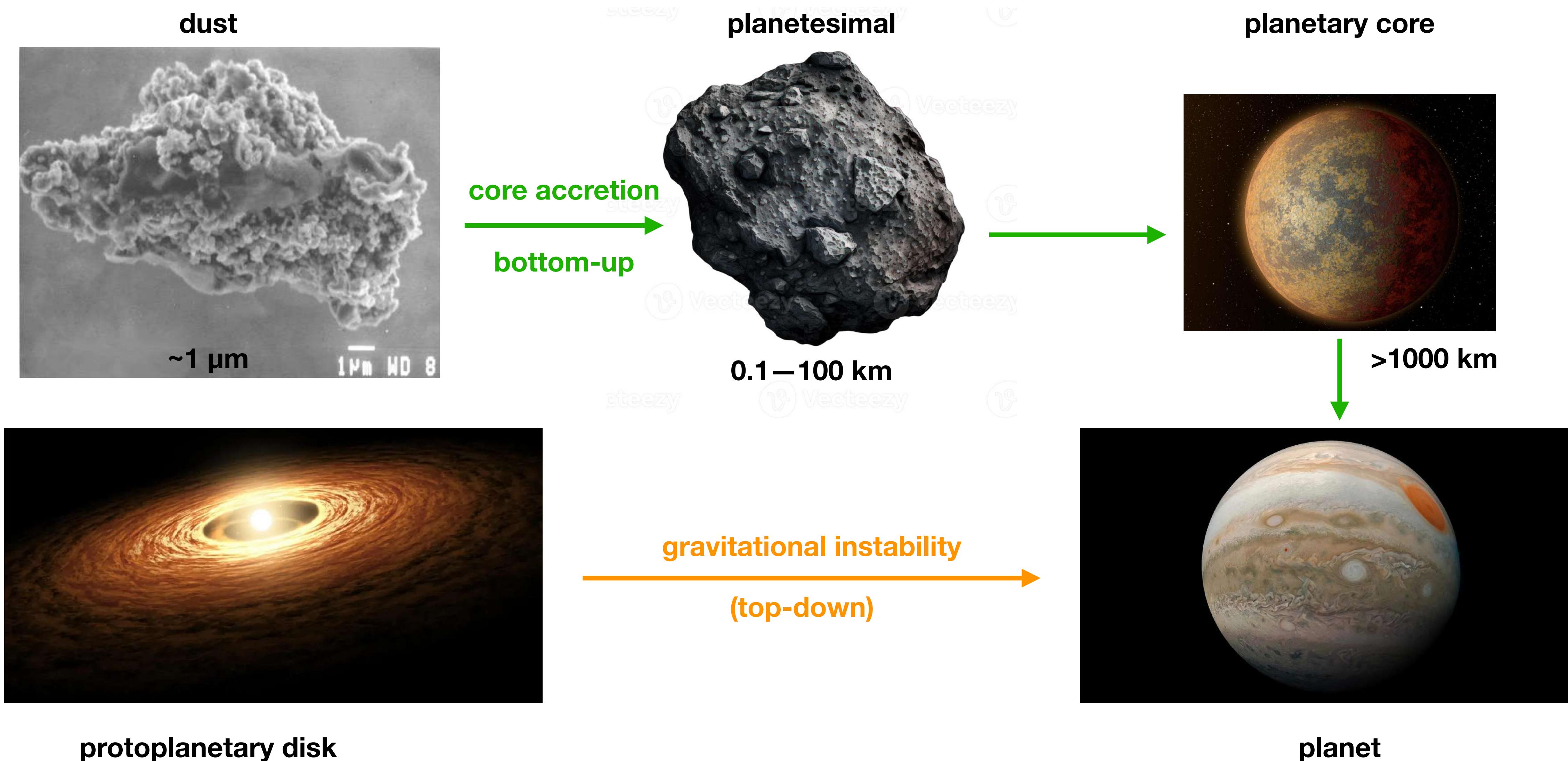
# Disk Chemistry

- Determining what raw materials are available for planet formation
- Tracing back the formation history of the planets



Credit: Merel van't Hoff

# Planet Formation



# Core Accretion

- Predictions:
  - Jupiter has a massive core
  - metal rich stars have more gas giants
- Challenges:
  - early models suggested that the formation timescale of Jupiter  $> \tau_{\text{disk}}$
  - dust to planetesimals growth not fully understood
  - challenging to form giant planets at large orbits ( $>\sim 20$  AU)

# Gravitational Instability

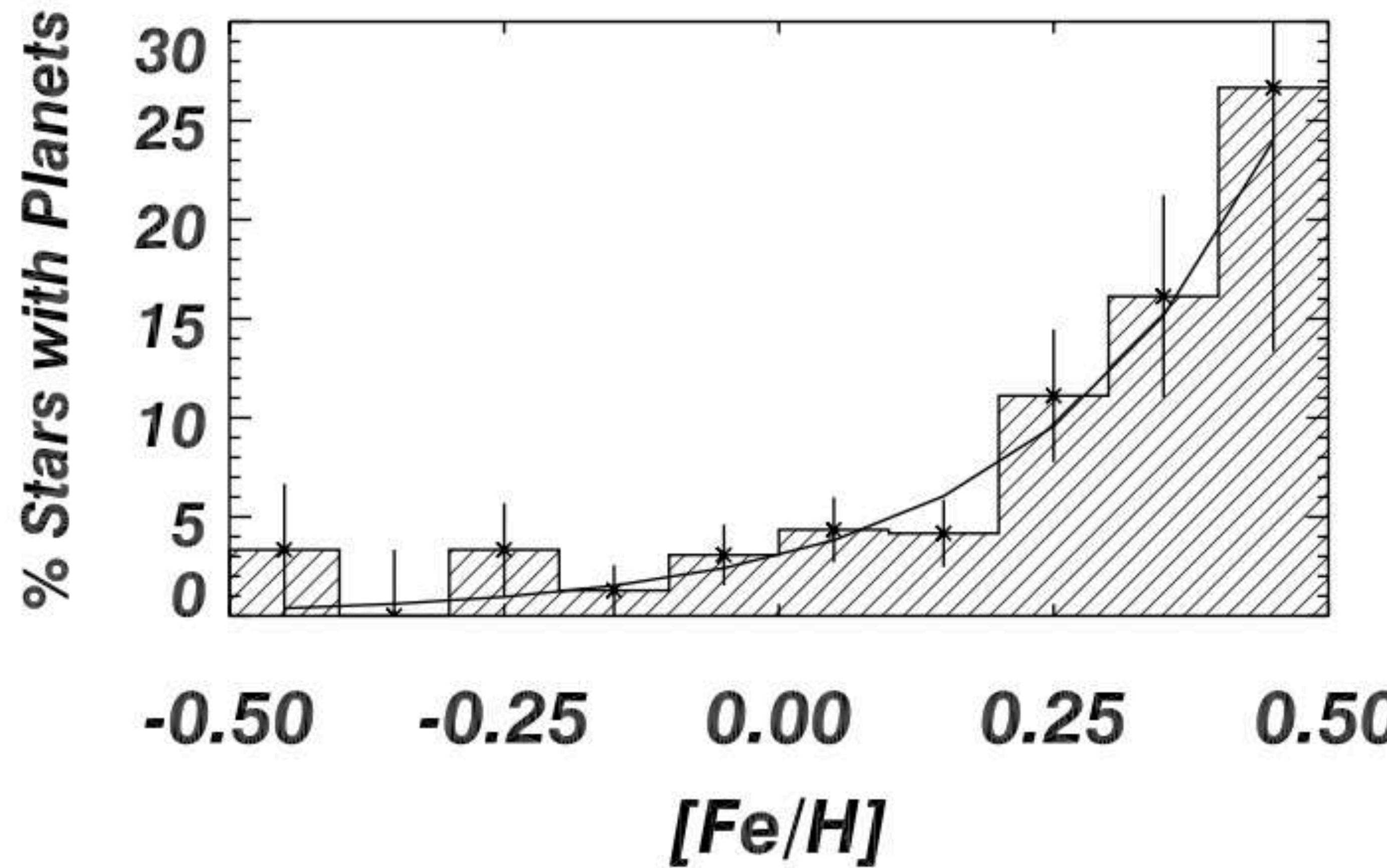
$$Q = \frac{c_s \Omega}{\pi G \Sigma} \sim \frac{H}{r} \frac{M^*}{M_{disk}} \quad Q \lesssim 1, \text{unstable}$$

(Toomer 1964)

$H/r \sim 0.1$  for a typical disk  
so  $M^* / M_{disk}$  needs to be  $\sim 10$

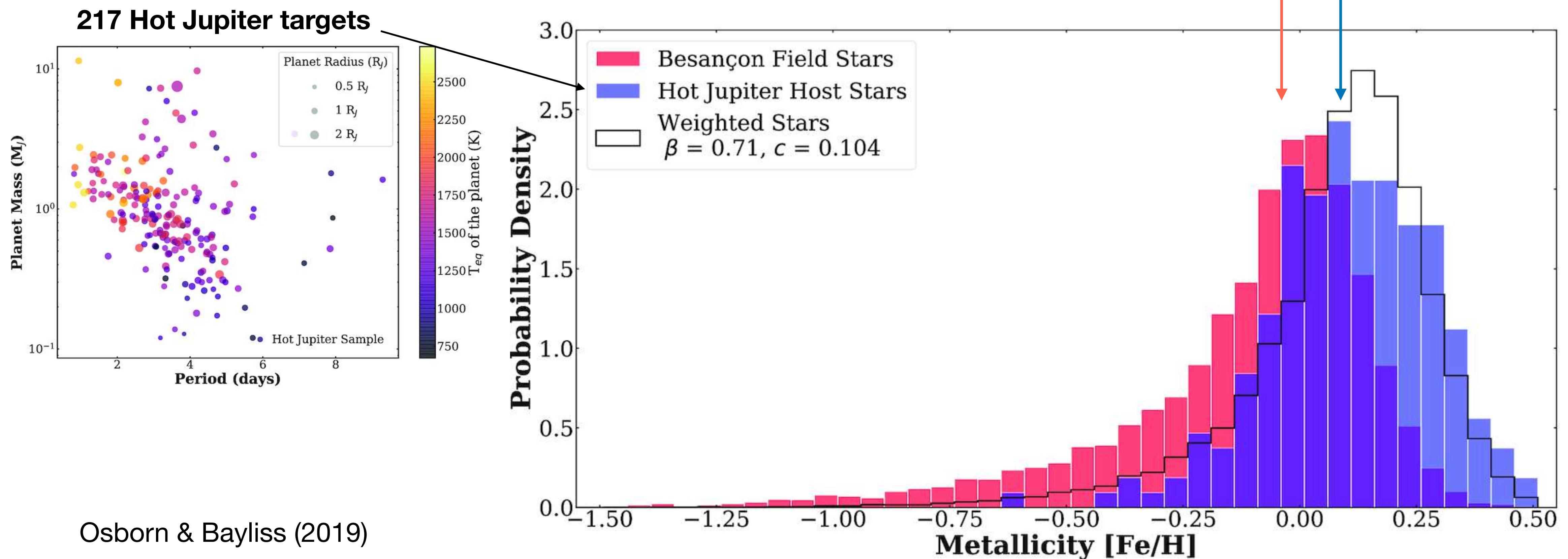
- Predictions:
  - Jupiter has no or a low-mass core
  - metal rich stars should *not* have more gas giants  
(low metallicity cool faster)
- Challenges:
  - challenging to form giant planets at closer orbits ( $<\sim 20$  AU)
  - Requires massive disks ( $\sim 0.1 M^*$ ), which might be rare

# Metal rich stars have more gas giants

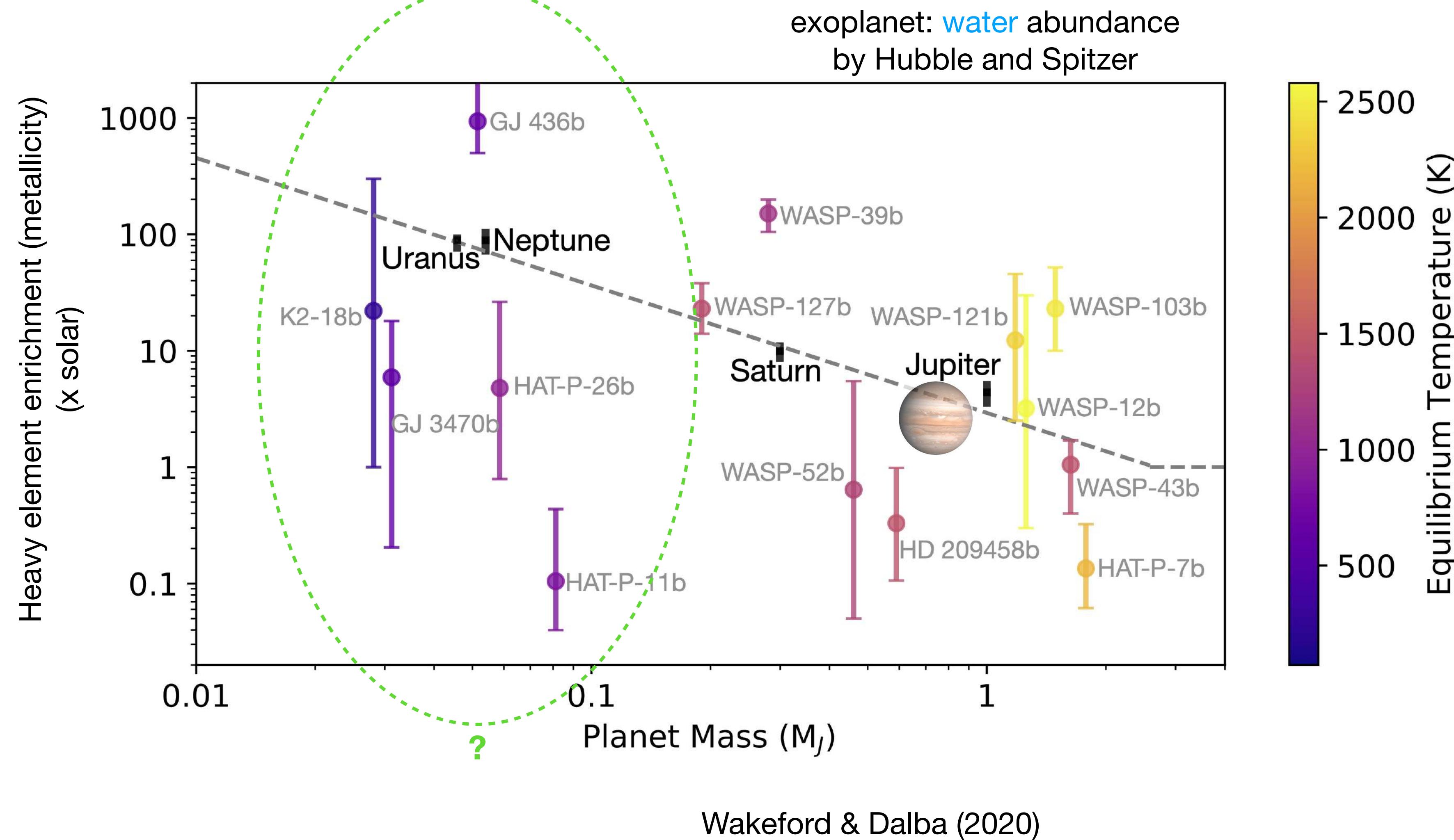


Valenti & Fischer (2005)

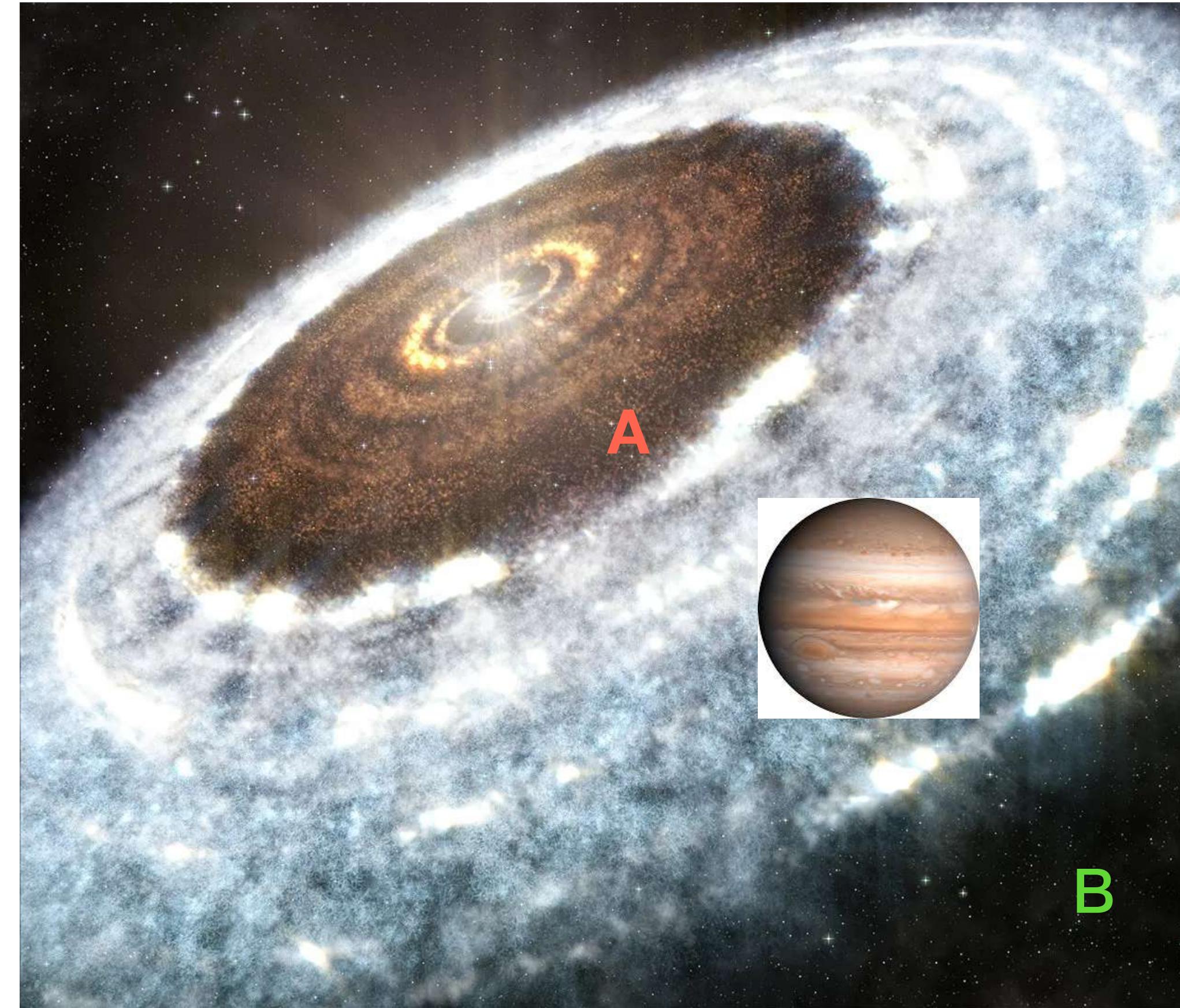
# Metal rich stars have more gas giants



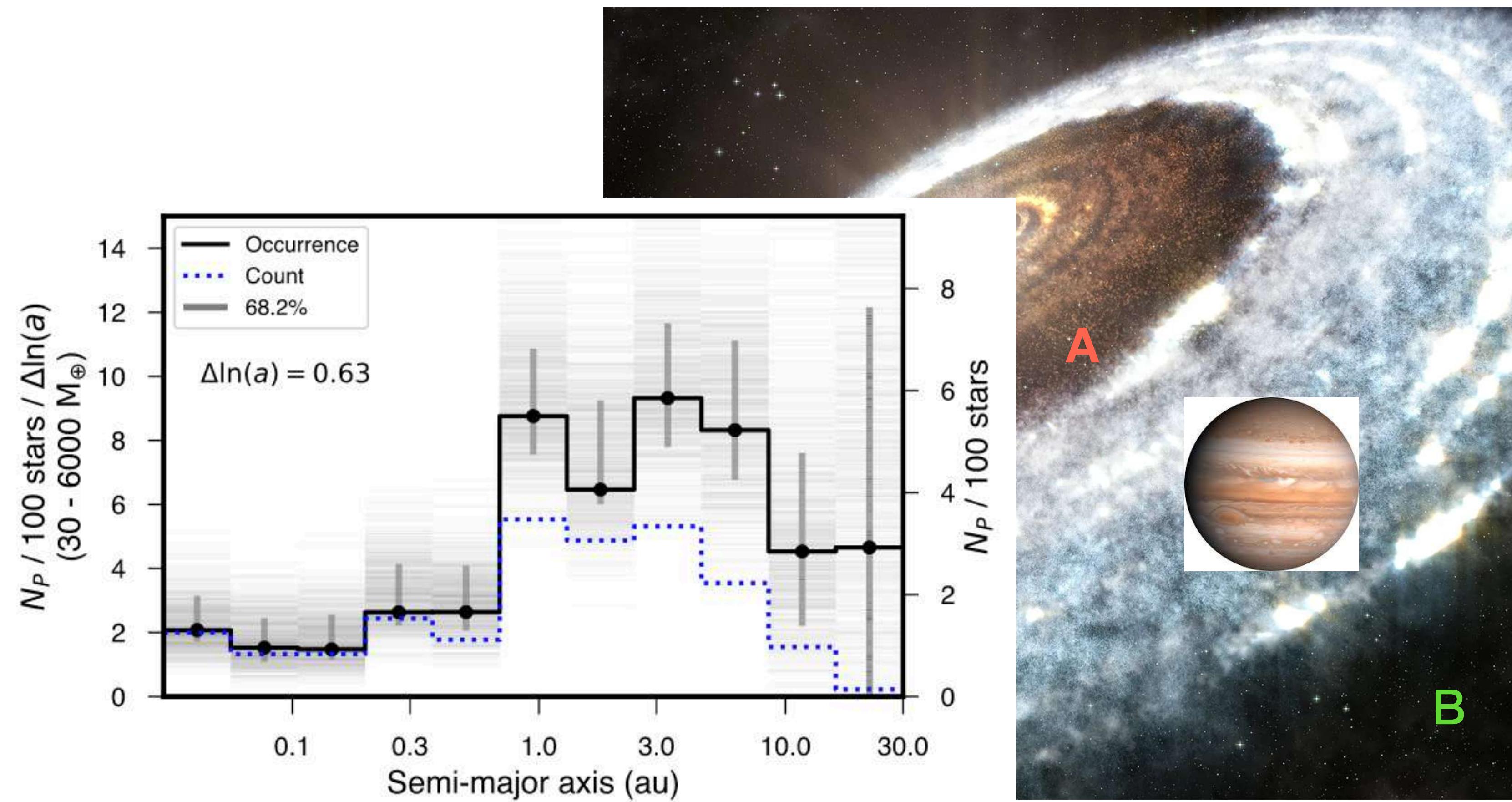
# More massive planets have less heavy elements?



# Planet Formation: Location, Location, Location!

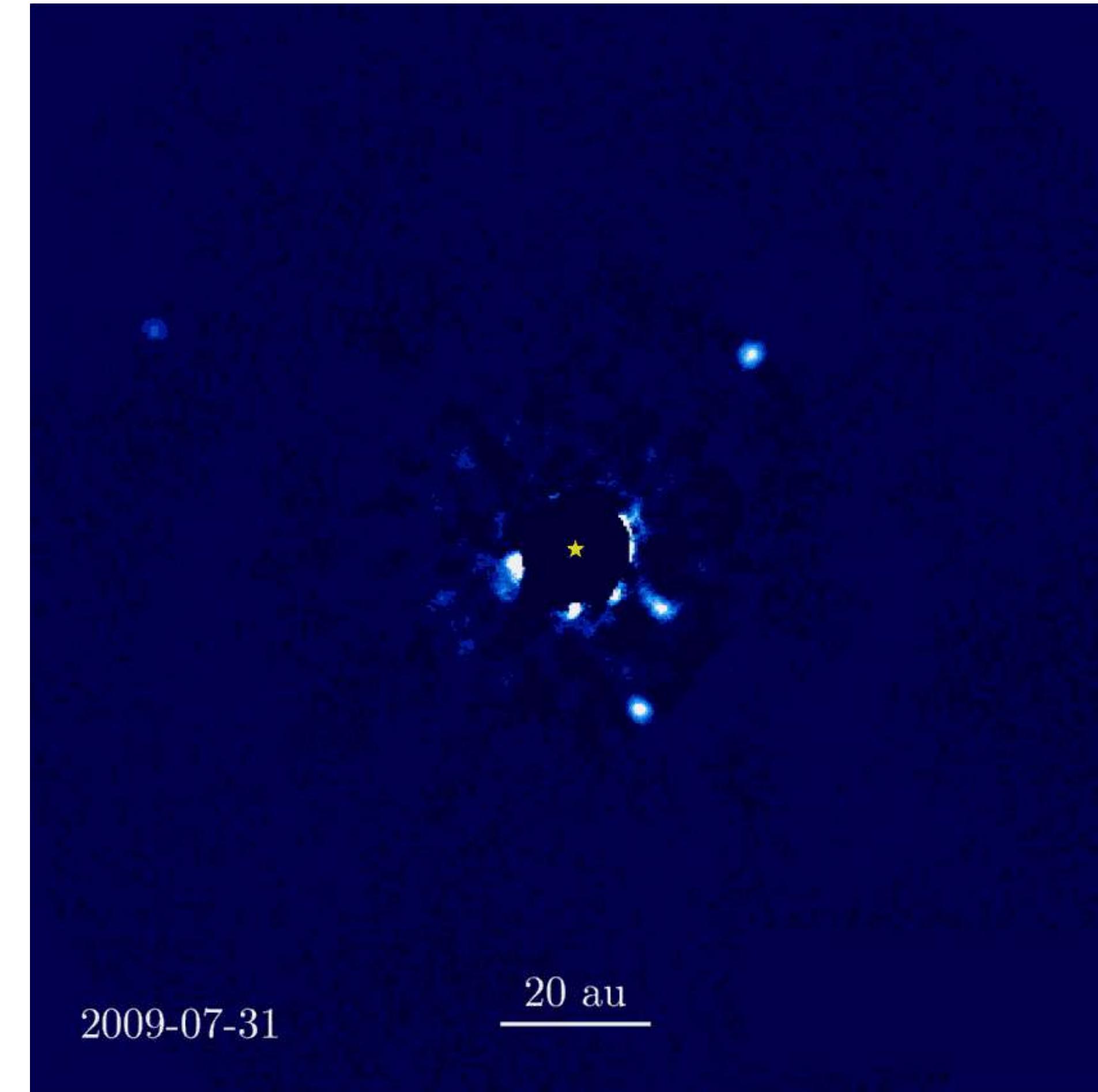
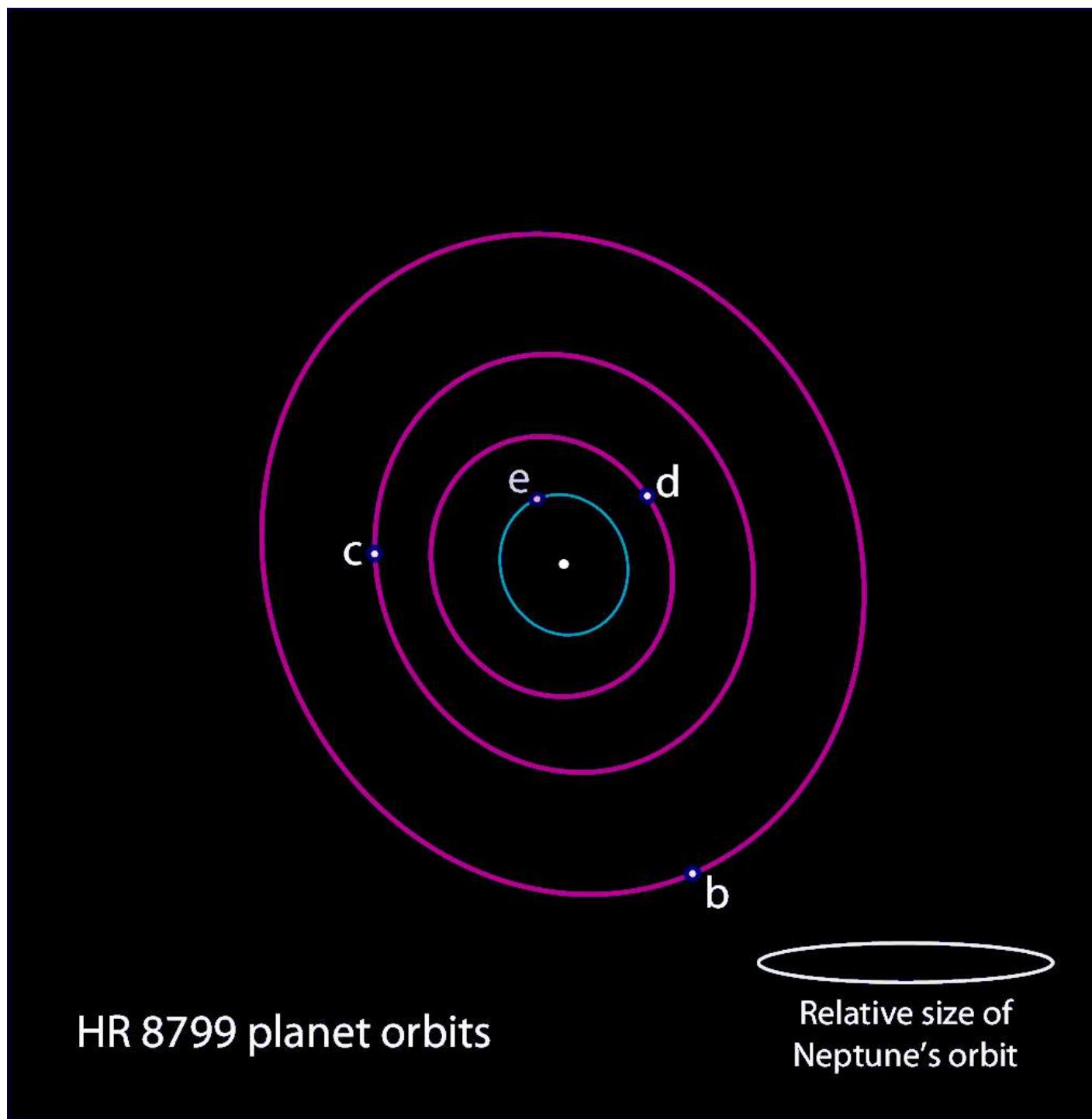


# Planet Formation: Location, Location, Location!



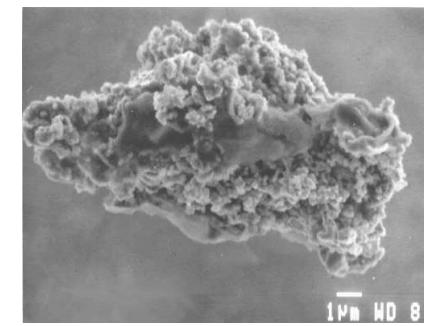
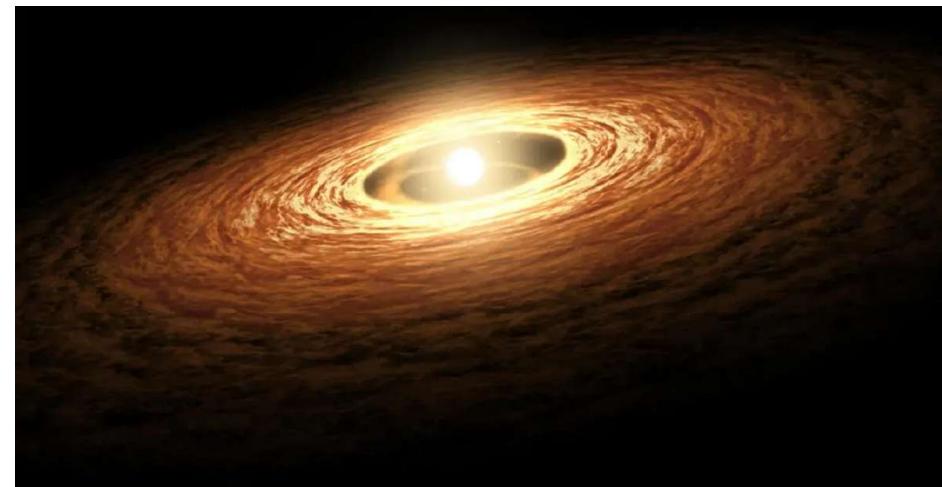
# HR 8799

— by core accretion or gravitational instability?

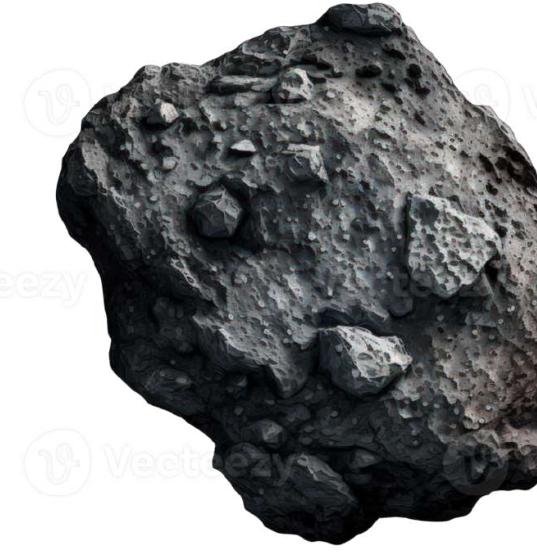


Keck Observatory

# Stage of Planet Formation



Grains



Planетesimals



Planetary Embryos



Terrestrial planets



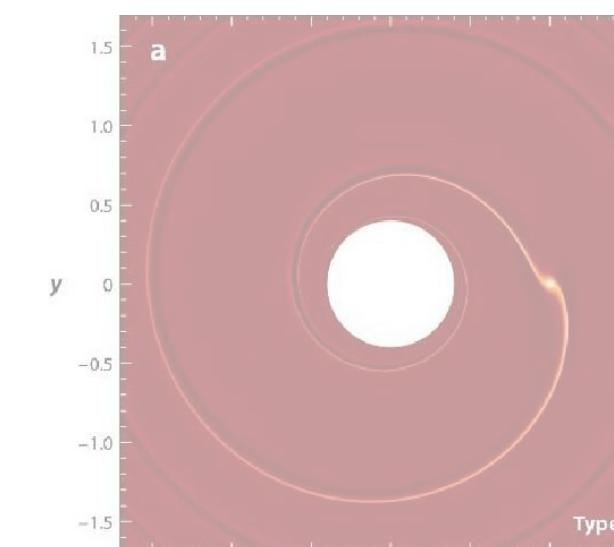
Gas Giants

no more gas

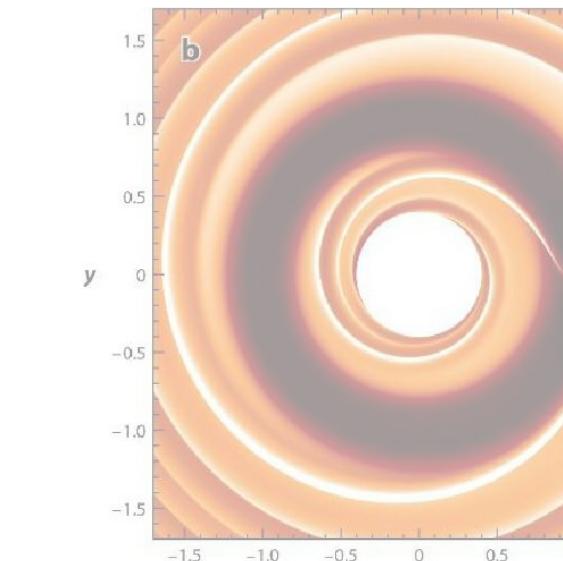
dynamical instabilities?

with gas

Type I migration



Type II migration



# From dust to planetesimals

~ $\mu\text{m}$



~km

- As disk cools, silicate and iron compounds first condense to small grains

smallest mean free path

- Dust particles ( $\mu\text{m}$ ) are smaller than the **mean free path** of gas molecules (~cm):

$$\text{Knudsen number} : \frac{\lambda}{R}$$

$\lambda$  : mean free path  $R$  : particle size

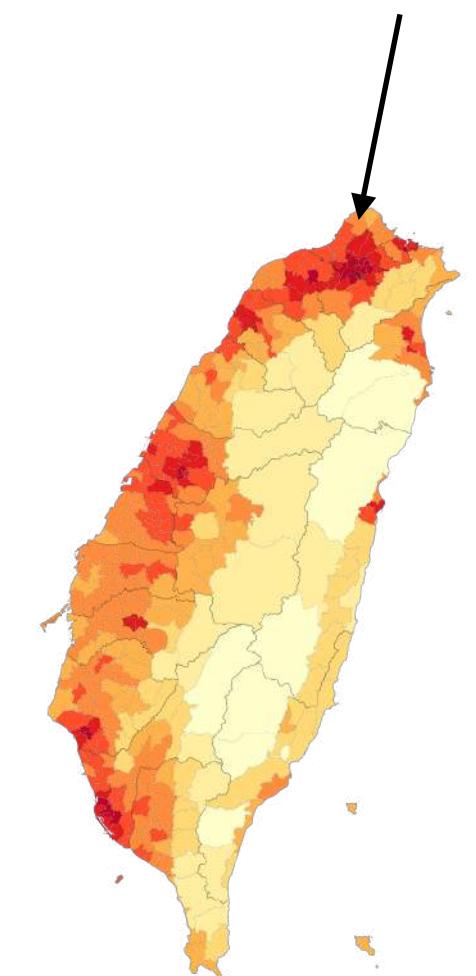
- For  $\frac{\lambda}{R} \ll 1$  (fluid regime),  $F_{\text{drag}} = \frac{1}{2} C_d \rho \pi R^2 v^2$  (Stokes drag)

- For small particles in a disk,  $\frac{\lambda}{R} \gg 1$  (particle regime), Epstein drag applies:

$$F_{\text{drag}} = \frac{4}{3} \pi R^2 \rho_{\text{gas}} v_{\text{th}} v$$

which is **linear** in  $v$

thermal velocity of gas



# Dust and gas interact via drag

- Stopping time:  $t_s = \frac{m_{dust}v}{F_{\text{drag}}}$  – gas-dust coupling timescale

inserting the Epstein drag:  $t_s = \frac{m_{dust}vR}{m_{gas}v_{th}v} = \frac{\rho_{dust}}{\rho_{gas}} \frac{R}{v_{th}}$



# Dust and gas interact via drag

- Stopping time:  $t_s = \frac{m_{dust}v}{F_{\text{drag}}}$  — gas-dust coupling times

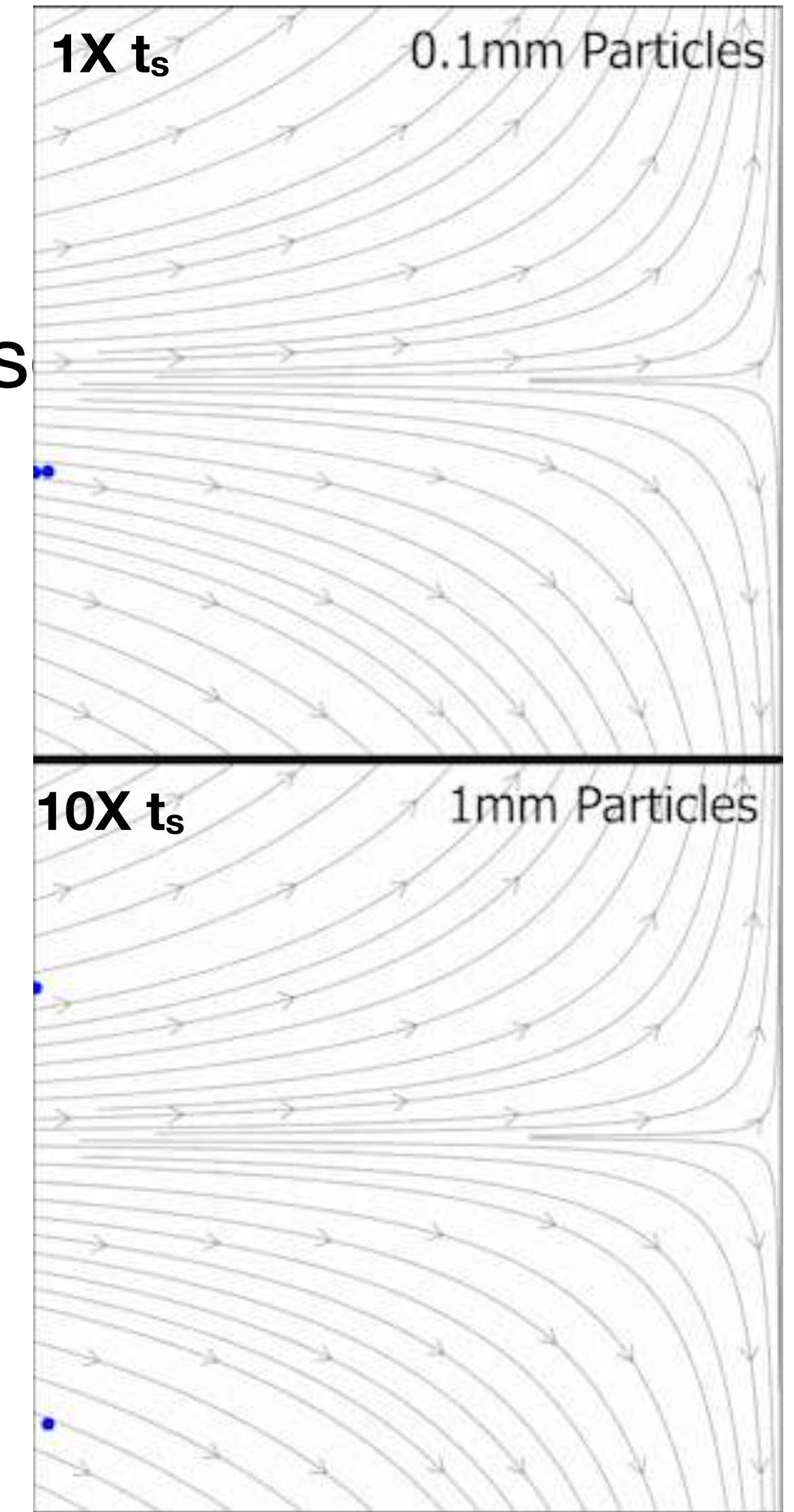
inserting the Epstein drag:  $t_s = \frac{m_{dust}vR}{m_{gas}v_{th}v} = \frac{\rho_{dust}}{\rho_{gas}} \frac{R}{v_{th}}$

- Typical properties:

$$\rho_{dust} = 3 \text{ g/cm}^3 \quad \rho_{gas} = 10^{-9} \text{ g/cm}^3 \quad v_{th} = 2.5 \times 10^5 \text{ cm/s}$$

For  $R = 1 \text{ m}$ ,  $t_s \sim 10^6 \text{ s}$

For  $R = 1 \text{ um}$ ,  $t_s \sim 1 \text{ s}$   $\mu\text{m}$ -sized particles in a disk are well coupled to the gas!



# Coagulation (growth by collision)

- Two-body collisions can lead to **growth (coagulation)** and **destruction (fragmentation)**

- Coagulation equation (Smoluchowski 1916):

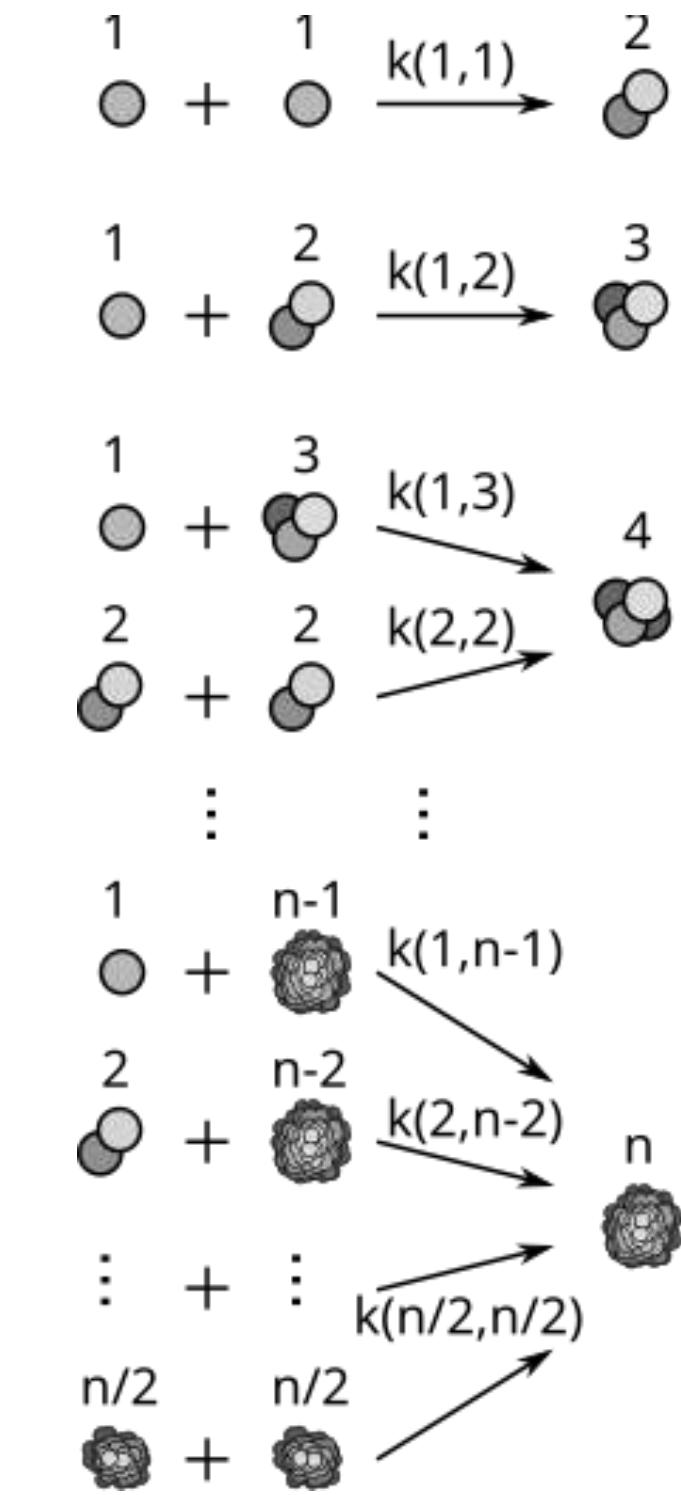
$$\frac{\partial n_k}{\partial t} = \frac{1}{2} \sum_{i+j=k} K_{ij} n_i n_j - n_k \sum_{i=1}^{\infty} K_{ki} n_i$$

(neglecting fragmentation)

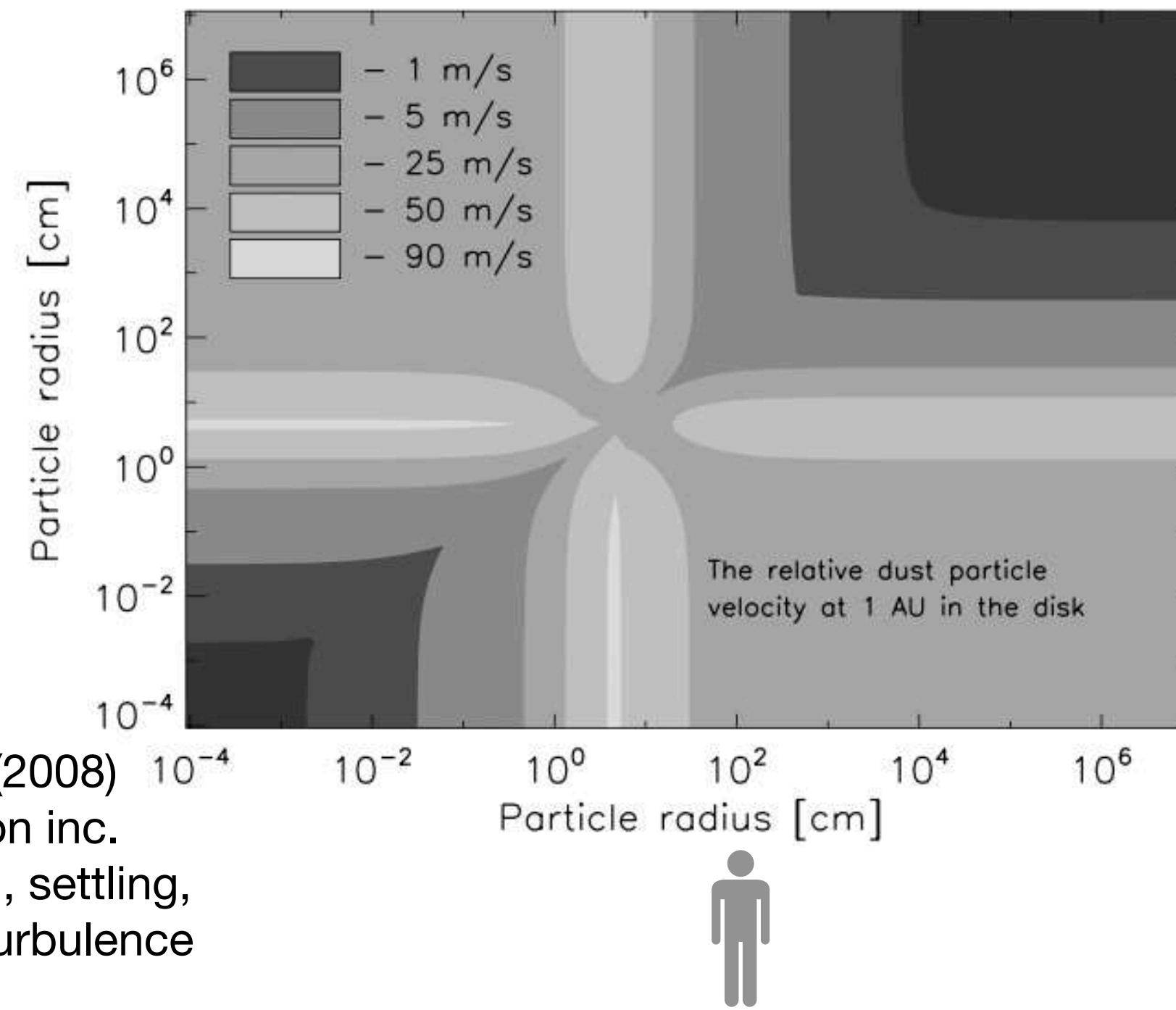
$K_{ij}$  : interaction kernel between particles of mass i and j

depends on composition, structure, collision velocity, geometry, ... etc.  
typically determined by lab experiments

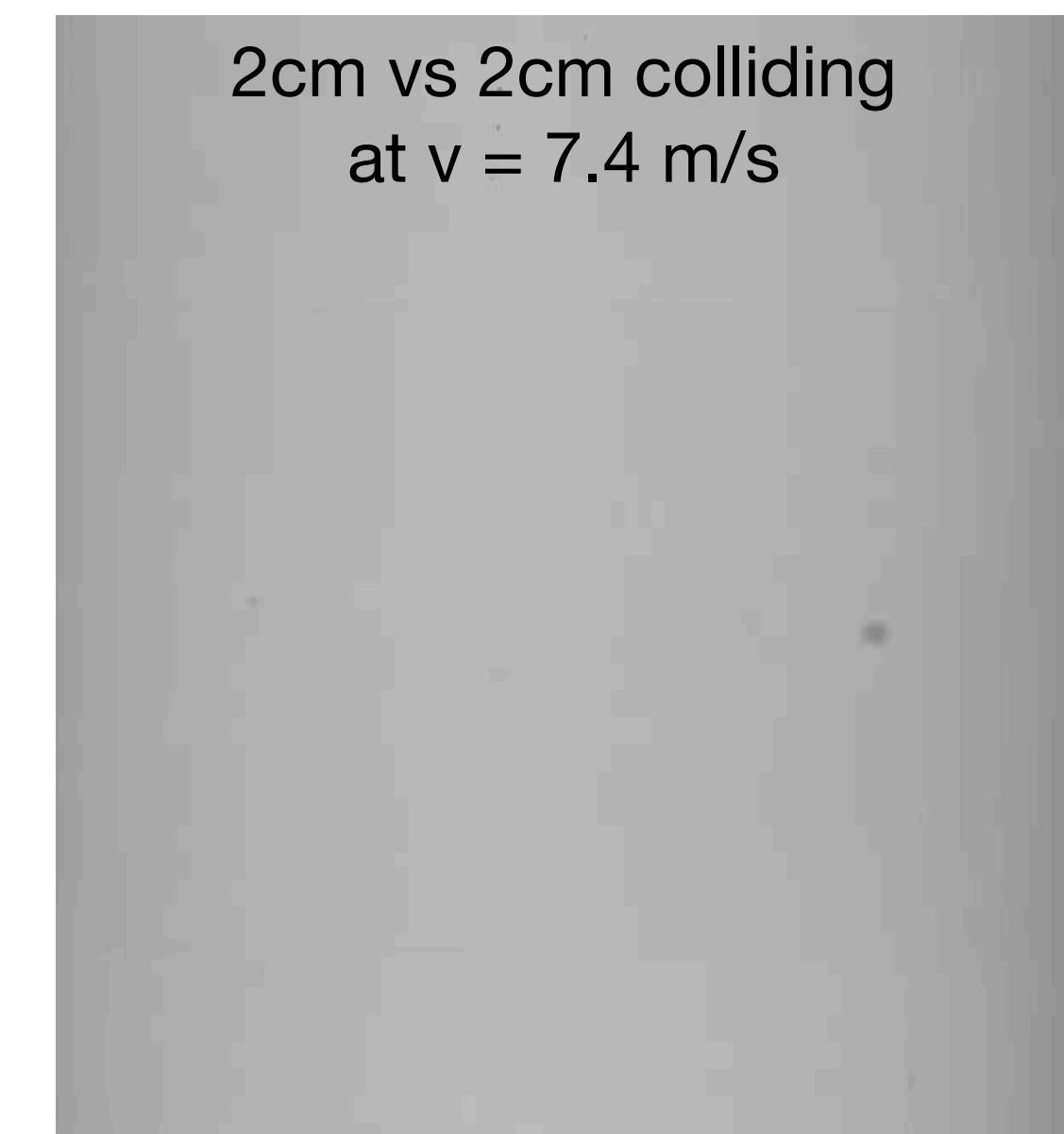
- Numerical models (Brauer et al. 2008; based on experiments) show that  $\mu\text{-}$ sized particles can grow into cm-sized particles by coagulation within  $\sim 10^4$  yrs, also consistent with the settling timescale



# Meter-size barrier: Fragmentation barrier



- Small particles are tightly coupled to the gas. The relative velocity is dominated by Brownian motion ( $\sim v_{th}$ , small)
- For intermediate sizes (10–100 cm), relative velocities can become very high primarily due to turbulence
- Large bodies are decoupled from the gas and less influenced by turbulence (lower surface-mass ratio)



- Experiments show that for silicates, fragmentation starts from  $v \sim 1$  m/s
- For water ice, fragmentation starts from  $v \sim 10$  m/s
- Therefore, particles with sizes 0.1–1 m do not stick, instead, they shatter in collisions

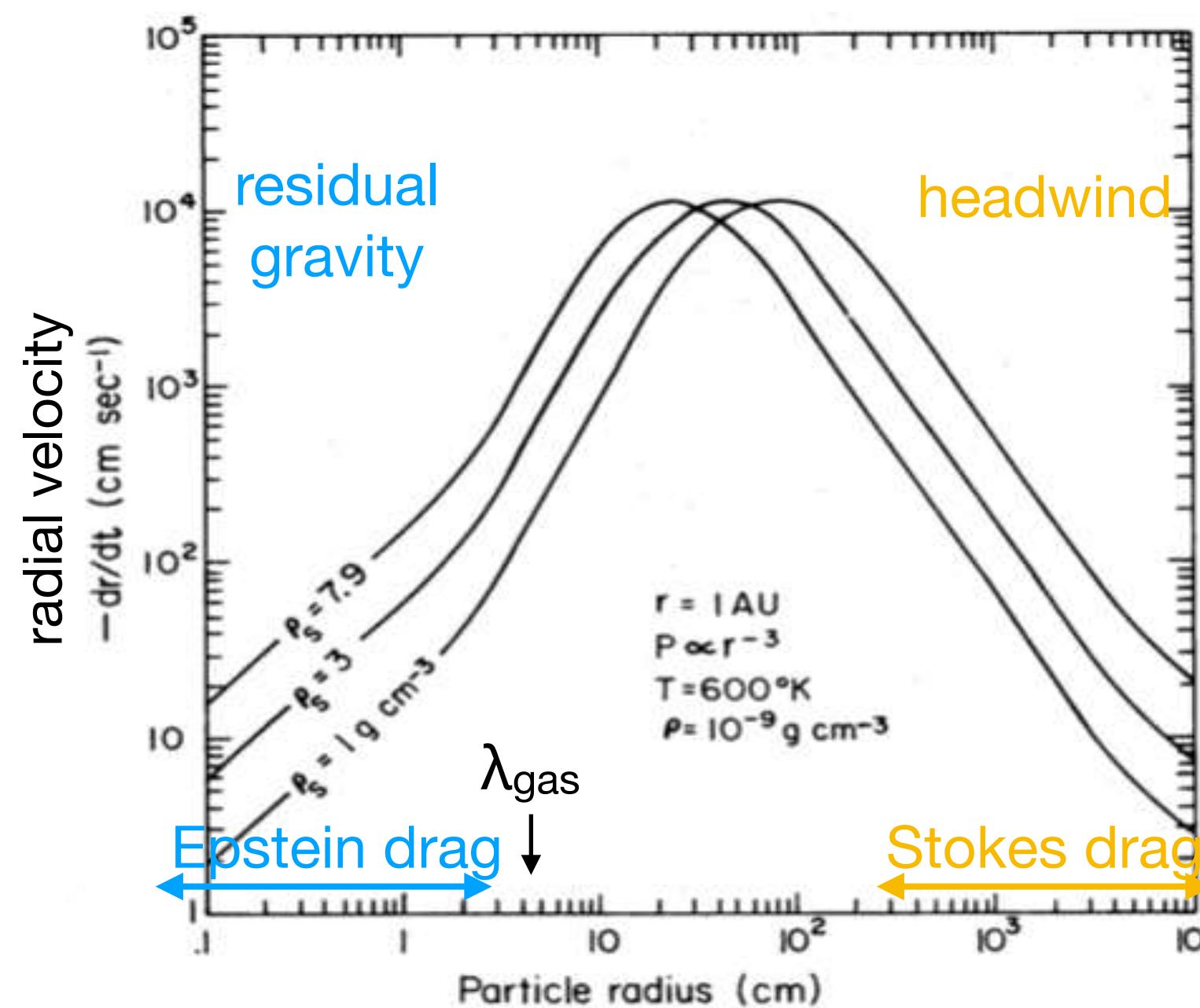
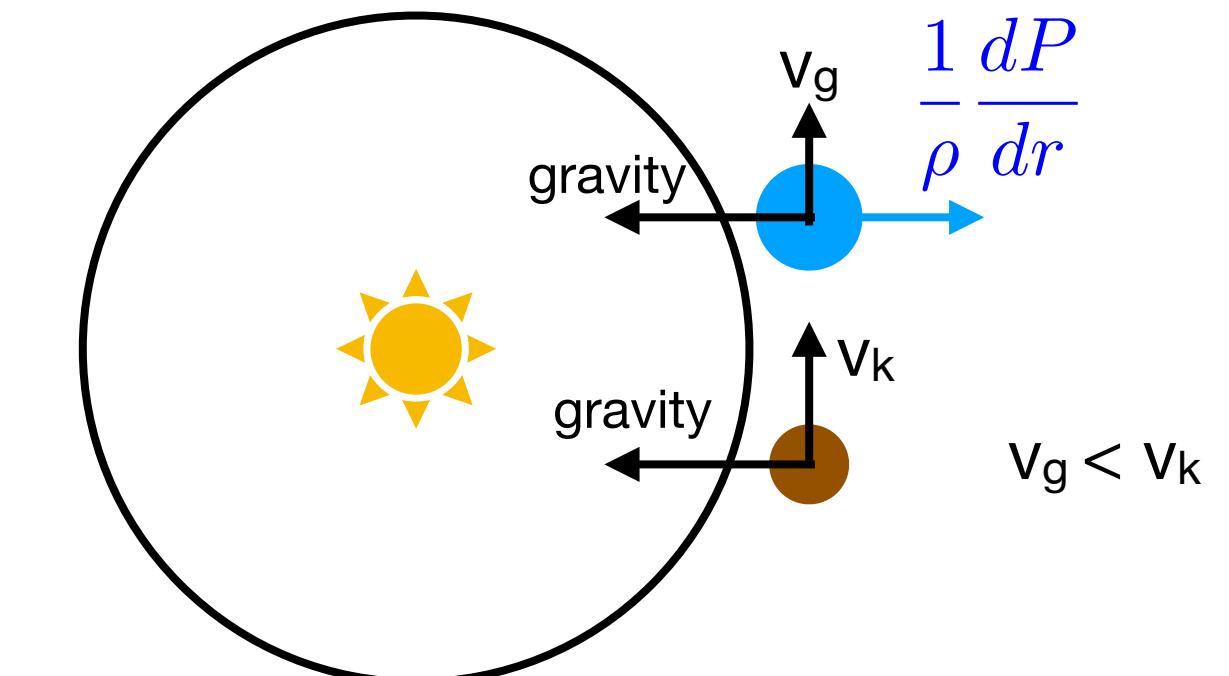
# Meter-size barrier: Drift barrier

- The source of the radial drift for particles **not fully coupled** to the gas: gas moves in sub-Keplerian speed
- centrifugal balance for solids:
- centrifugal balance for gas:

$$\frac{v_k^2}{r} = \frac{GM}{r^2} \quad (v_k: \text{Keplerian speed})$$

$$\frac{v_g^2}{r} = \frac{GM}{r^2} + \frac{1}{\rho} \frac{dP}{dr} < \frac{v_k^2}{r}$$

$\boxed{< 0}$

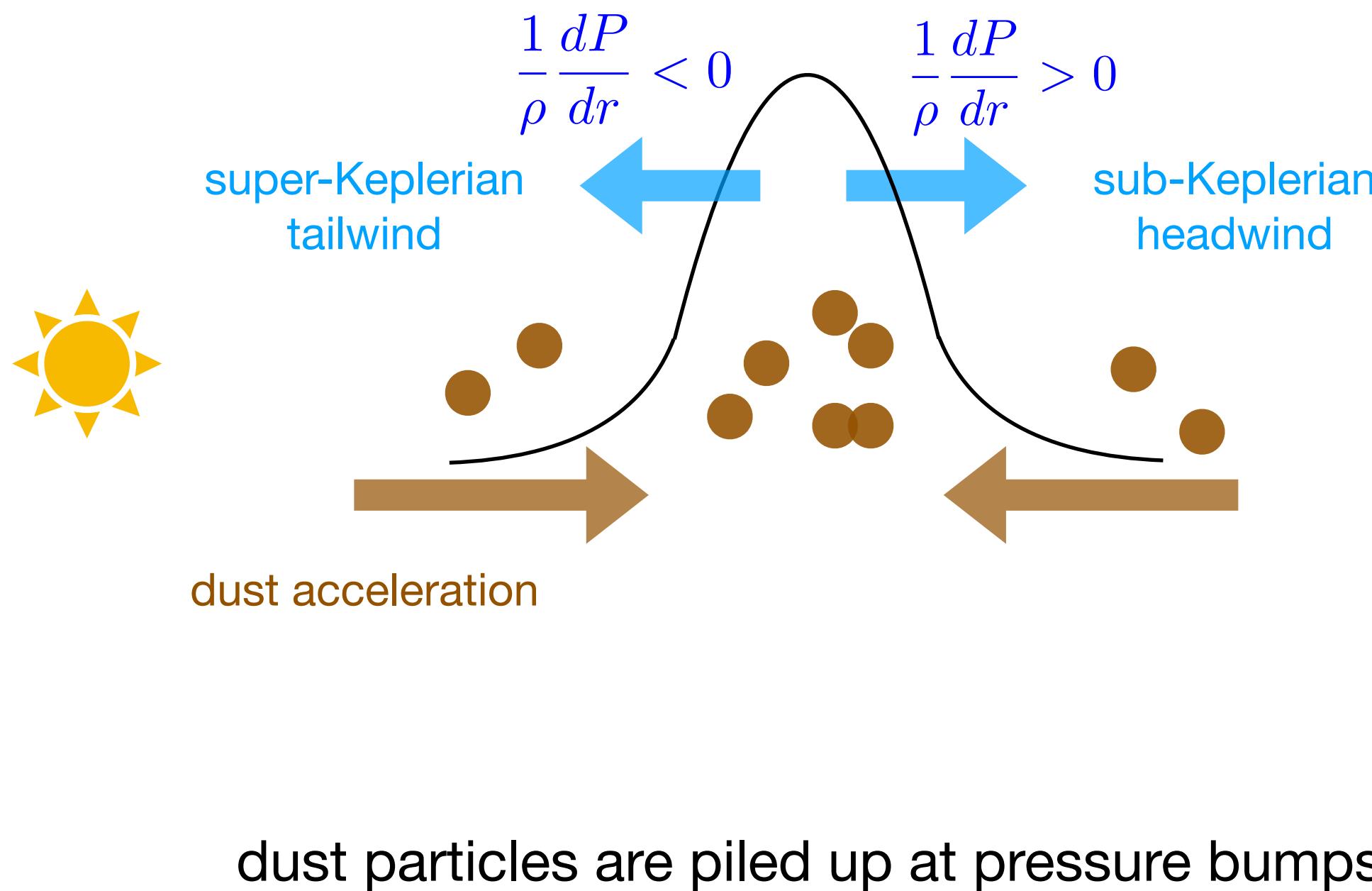


- Tiny particles are strongly coupled to gas and pulled inward by the small residual component of stellar gravity
- Large bodies experience a “headwind” and have their orbits decayed  
But very large particles have enough inertia
- The radial velocity reaches a peak at the transition between these two regimes, at sizes of ~ meter
- Meter-sized bodies have inward velocity ~ 100 m/s. They go towards the star from 1 AU within ~ 100 yrs

# Processes to overcome the meter size barrier

## – by concentrating dust particles

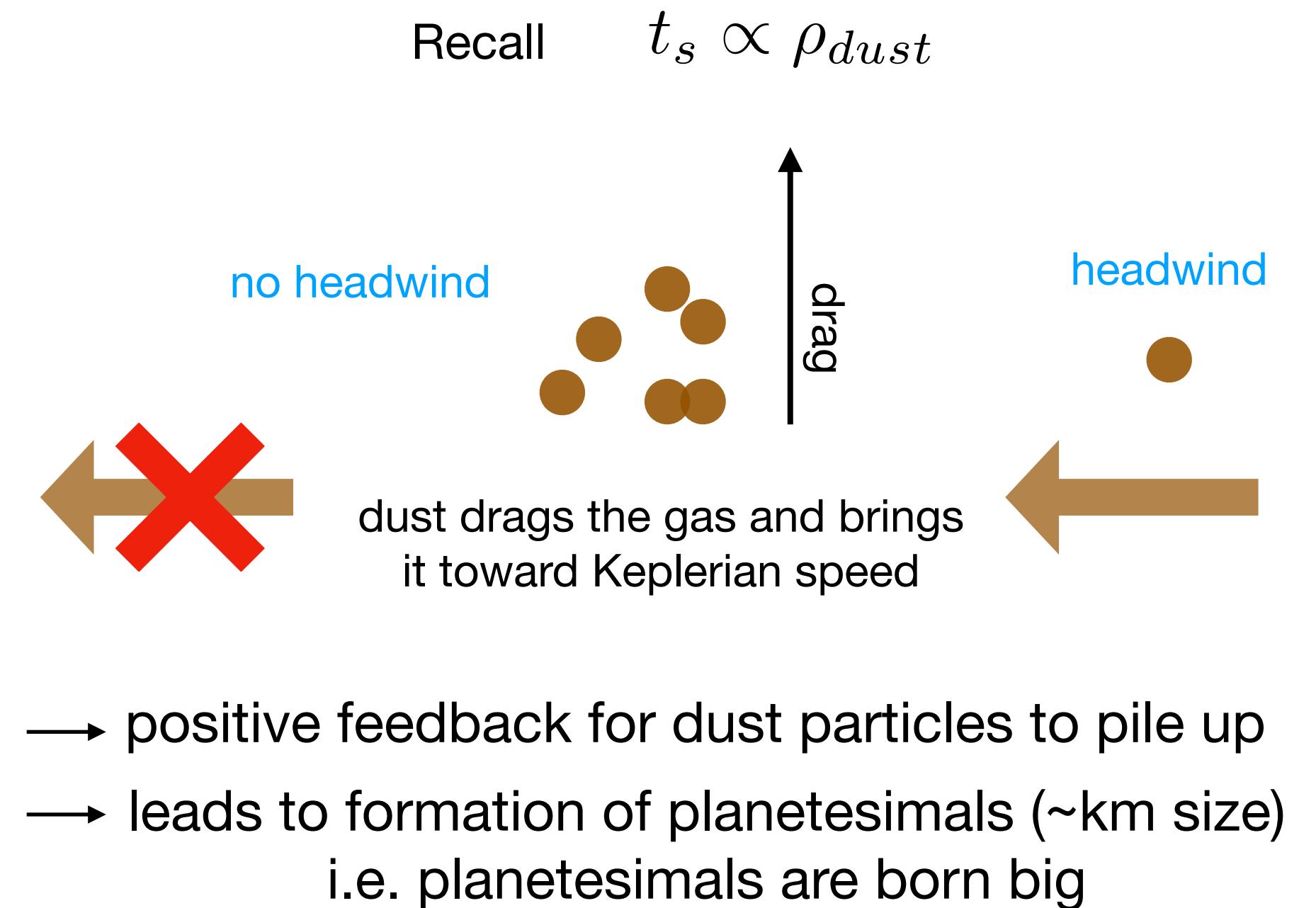
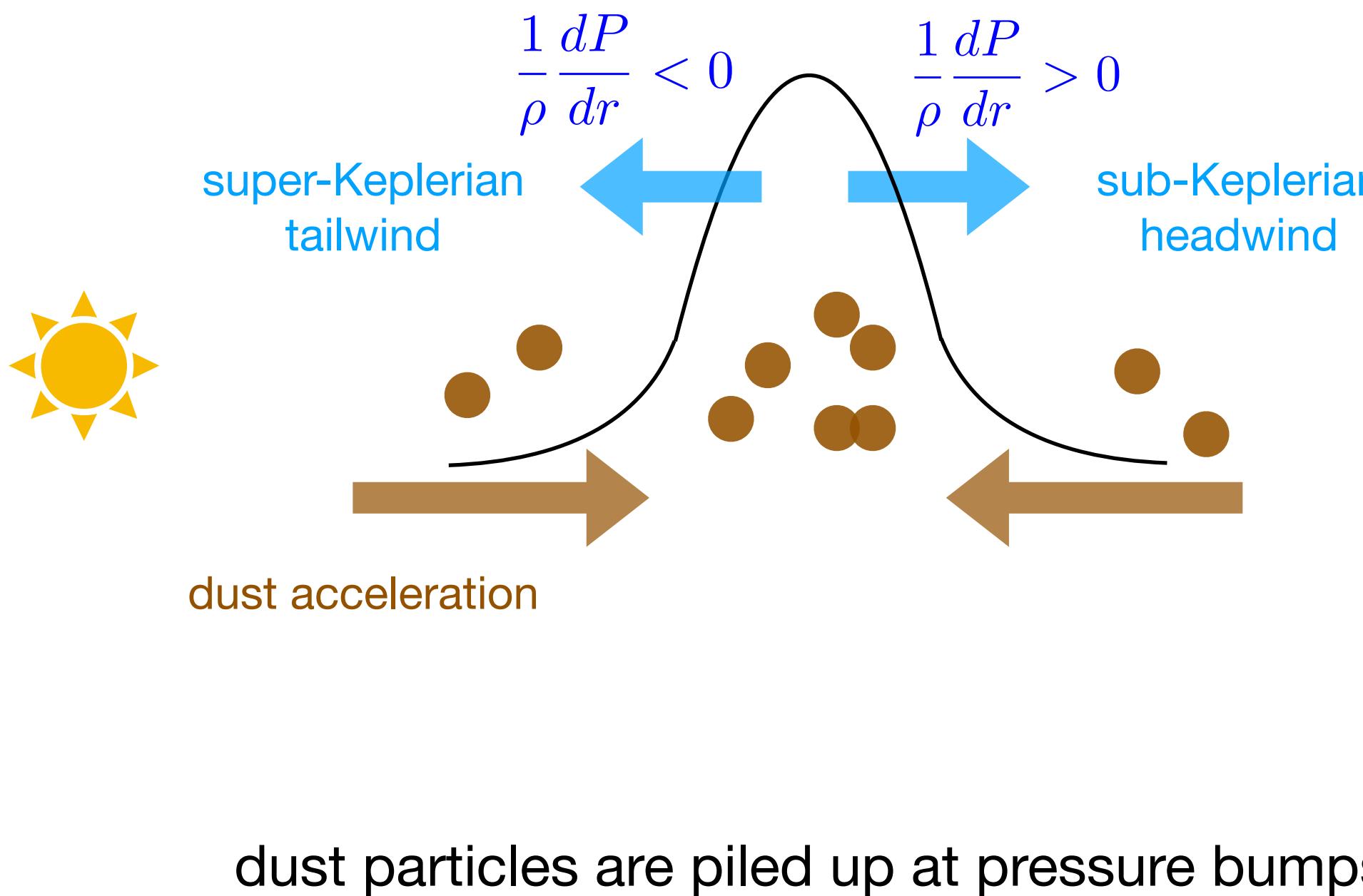
- Long-lived pressure bumps  
(by instabilities, vortices, sublimation, etc.)
- Streaming instability
  - particles increase their own concentration via **feedback** on the gas:



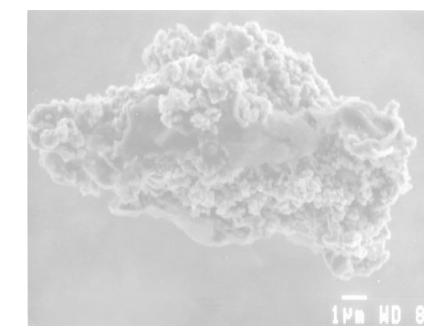
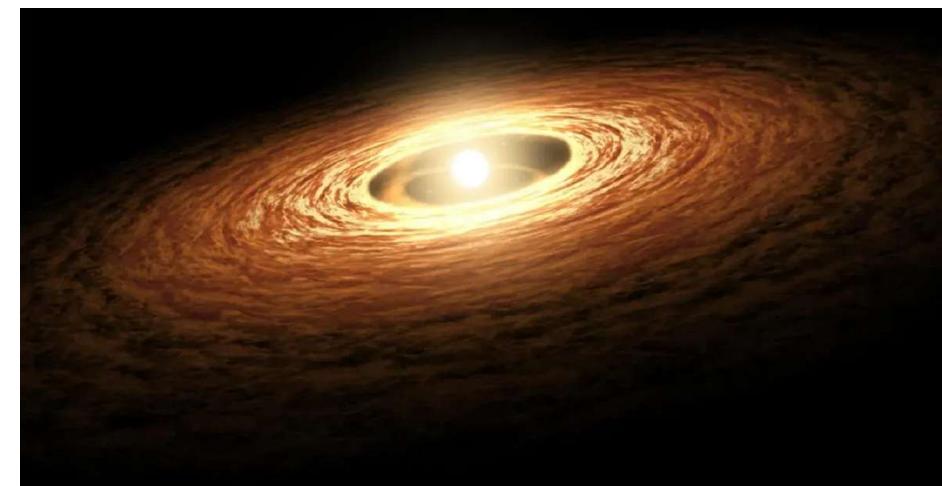
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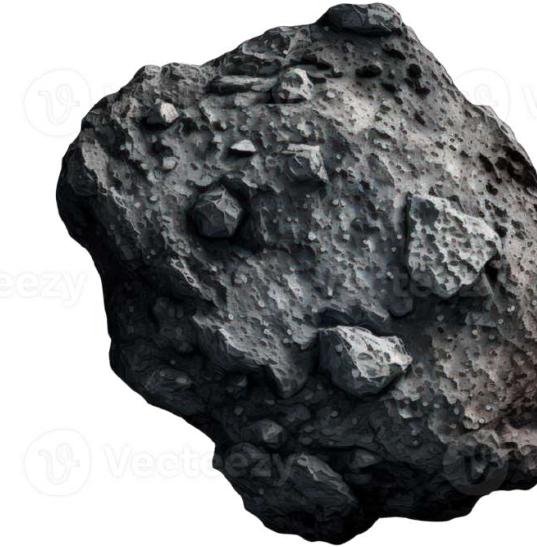
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# Stage of Planet Formation



Grains



Planетesimals



Planetary Embryos



Terrestrial planets

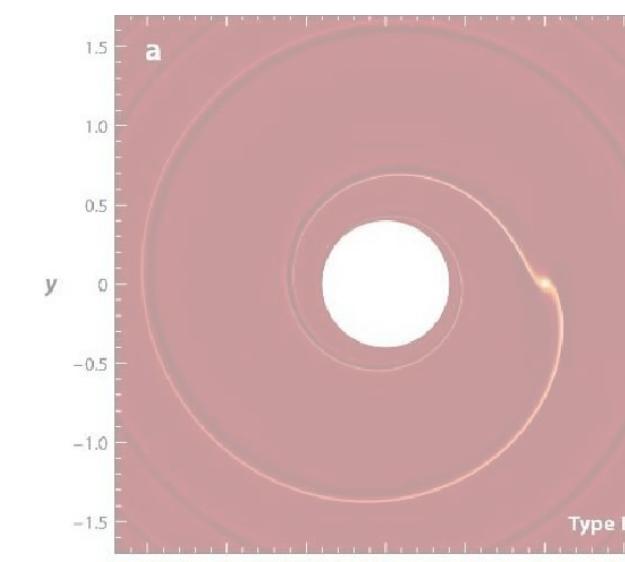


Gas Giants

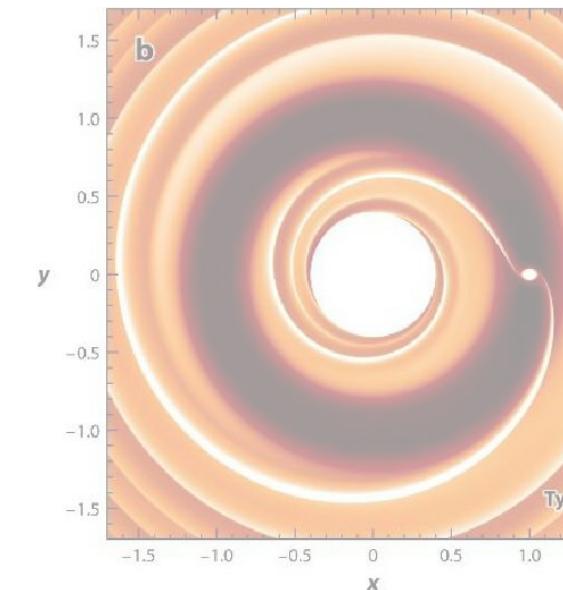
no more gas

dynamical instabilities?

Type I migration



Type II migration



# From planetesimals to planetary embryos



~km

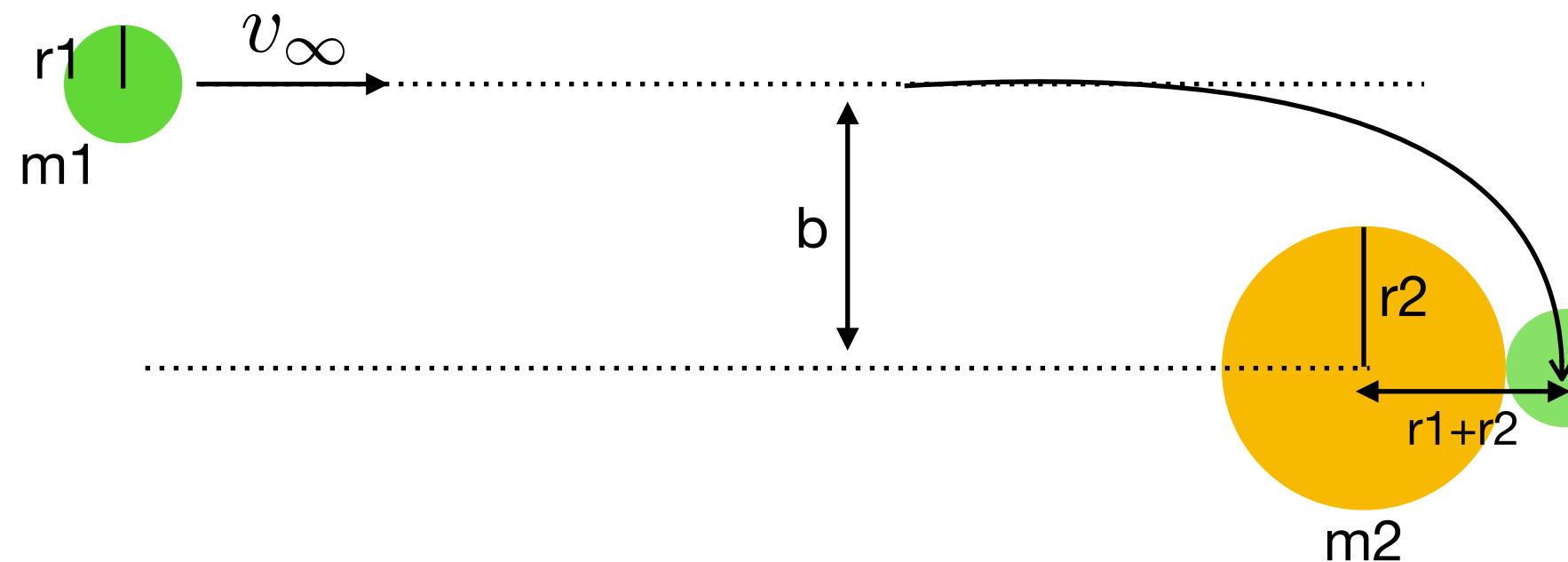


~100-1000 km

Different controlling factors:

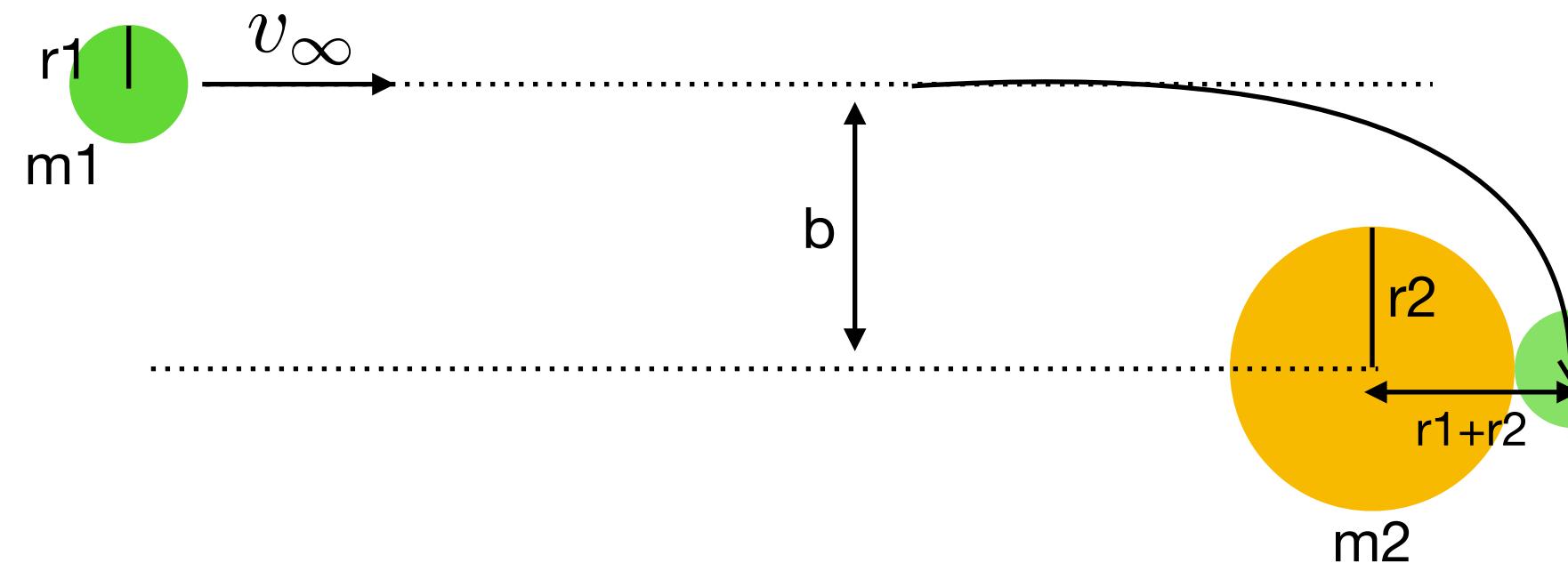
- **gravity**, rather than aerodynamic forces dominates the evolution
- mutual gravitational interactions and collisions
- gravitational encounters can excite random velocities of planetesimals

# Gravitational focusing



- In a pool game, the collisional cross section is simply the geometric cross section
  - Gravity increases the effective collisional cross section, i.e. **gravitational focusing**  
The collisional cross section of two gravitating balls (two-body approximation):  $\sigma = \pi b^2$   
Conservation of angular momentum:  $\mu b v_\infty = \mu(r_1 + r_2)v \rightarrow b^2 = (r_1 + r_2)^2 \left(\frac{v}{v_\infty}\right)^2$
- > 1 or < 1?
- reduced mass  $\mu = \frac{m_1 m_2}{m_1 + m_2}$

# Gravitational focusing



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Rewriting v with the **mutual escape velocity**:  $\frac{\mu v_{esc}^2}{2} = \frac{Gm_1m_2}{r_1 + r_2}$  reduced mass  $\mu = \frac{m_1m_2}{m_1 + m_2}$   
Conservation of energy:  $\frac{\mu}{2}v_\infty^2 = \frac{\mu}{2}v^2 - \frac{v_{esc}^2}{2} \frac{m_1m_2}{m_1 + m_2}$

Collisional cross section:  $\sigma = \pi b^2 = \pi(r_1 + r_2)^2 \left(1 + \frac{v_{esc}^2}{v^2}\right)$   
geometric cross section      gravitational focusing factor

# Growth rate of planetary embryos

- one large core accreting from background planetesimals

- The growth rate of a planetary embryo of mass  $M$  accreting from a background of planetesimals with volume mass density ( $\rho_s$ ):

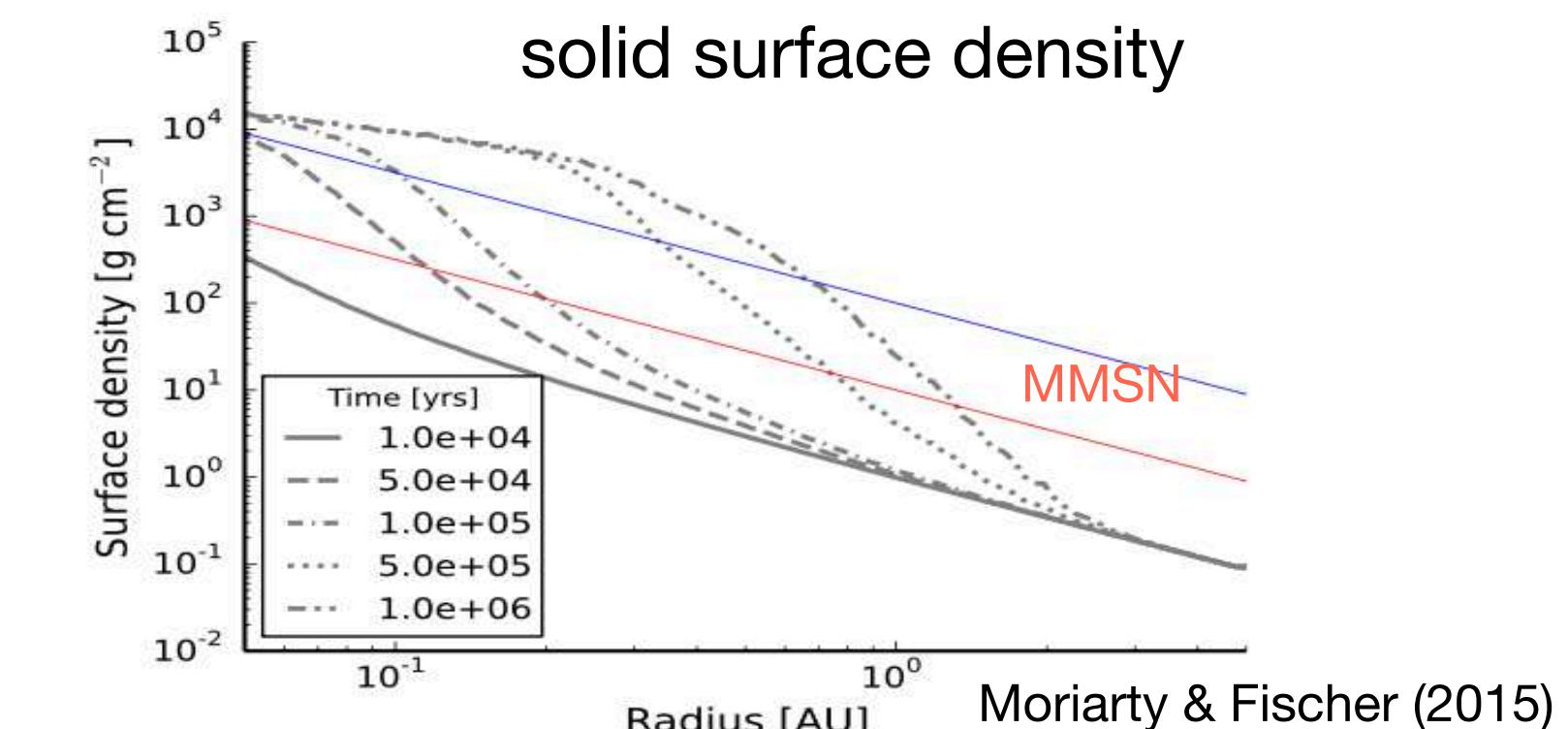
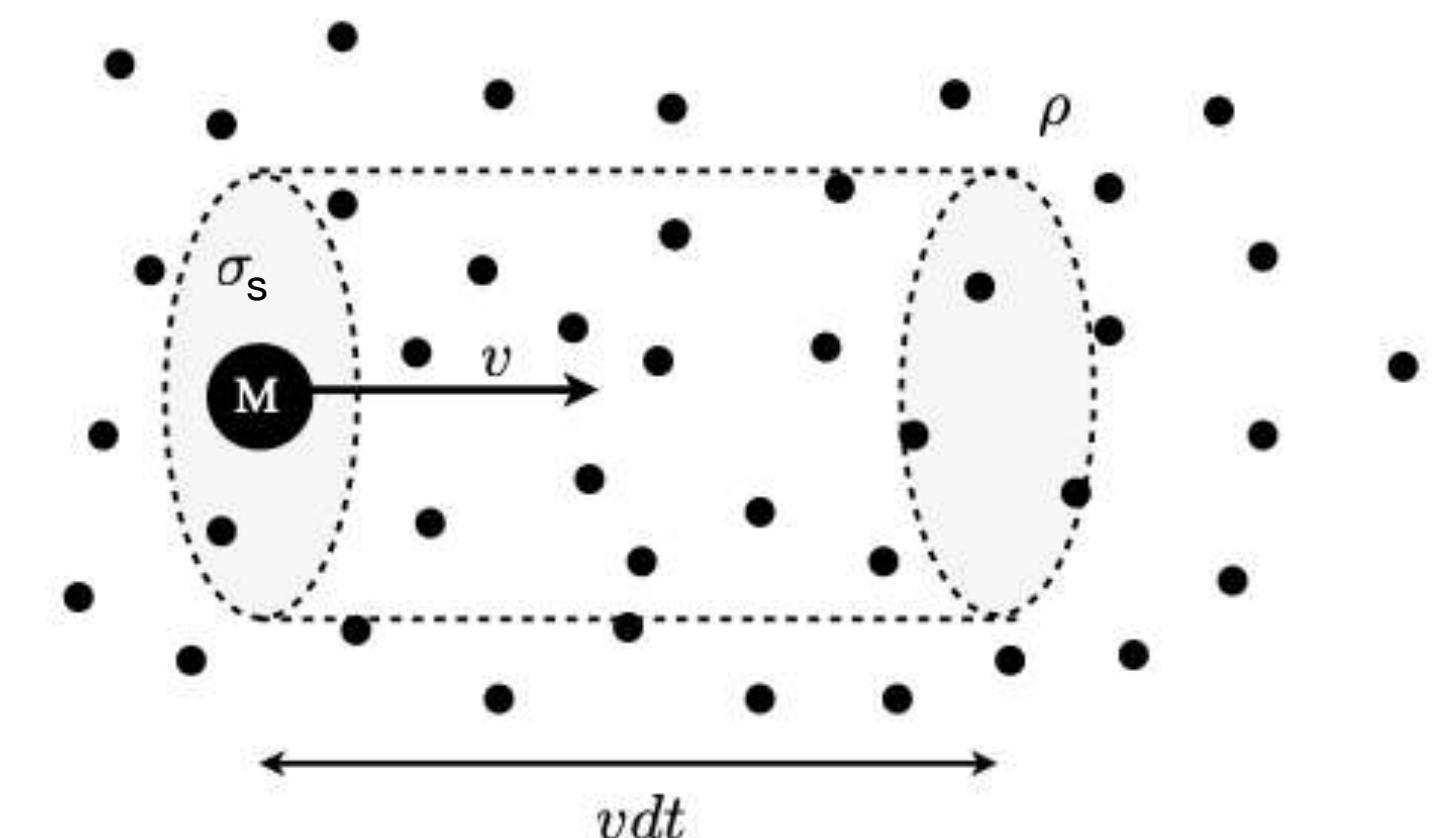
$$dM = \rho_s \sigma v dt$$

$$\frac{dM}{dt} = \rho_s v \pi R^2 F_g \quad (R = r_2 \gg r_1)$$

where the gravitational focusing factor  $F_g = 1 + \frac{v_{esc}^2}{v^2}$   
rewrite  $\rho_s = \frac{\Sigma_s}{H}$  with the scaling  $\frac{H}{a} \sim \frac{v}{a\Omega}$  ( $\Sigma_s$ : surface density  $\Omega$ : orbital frequency)

, it is convenient to express the **growth rate**  $\frac{dM}{dt} \sim \underline{\Sigma_s} \Omega \pi R^2 F_g$

- $\Sigma_s \Omega$  decreases with distance from the star, so the growth rate is slower at larger orbits



Moriarty & Fischer (2015)

# Runaway growth

Growth rate:  $\frac{dM}{dt} \sim \Sigma_s \Omega \pi R^2 F_g$        $F_g = 1 + \frac{v_{esc}^2}{v^2}$

- If  $v \gtrsim v_{esc}$ ,  $\frac{dM}{dt} \propto R^2$
- As the embryo grows,  $v_{esc}$  increases
- If  $v \ll v_{esc}$ ,  $F_g \simeq \left(\frac{v_{esc}}{v}\right)^2 = \frac{1}{v^2} \frac{2GM}{R} \propto R^2$ , and  $\frac{dM}{dt} \propto R^2 F_g \propto R^4$

Recall  $v_{esc} = \sqrt{\frac{2GM}{R}}$ ,  $M \propto R^3$

# Runaway growth

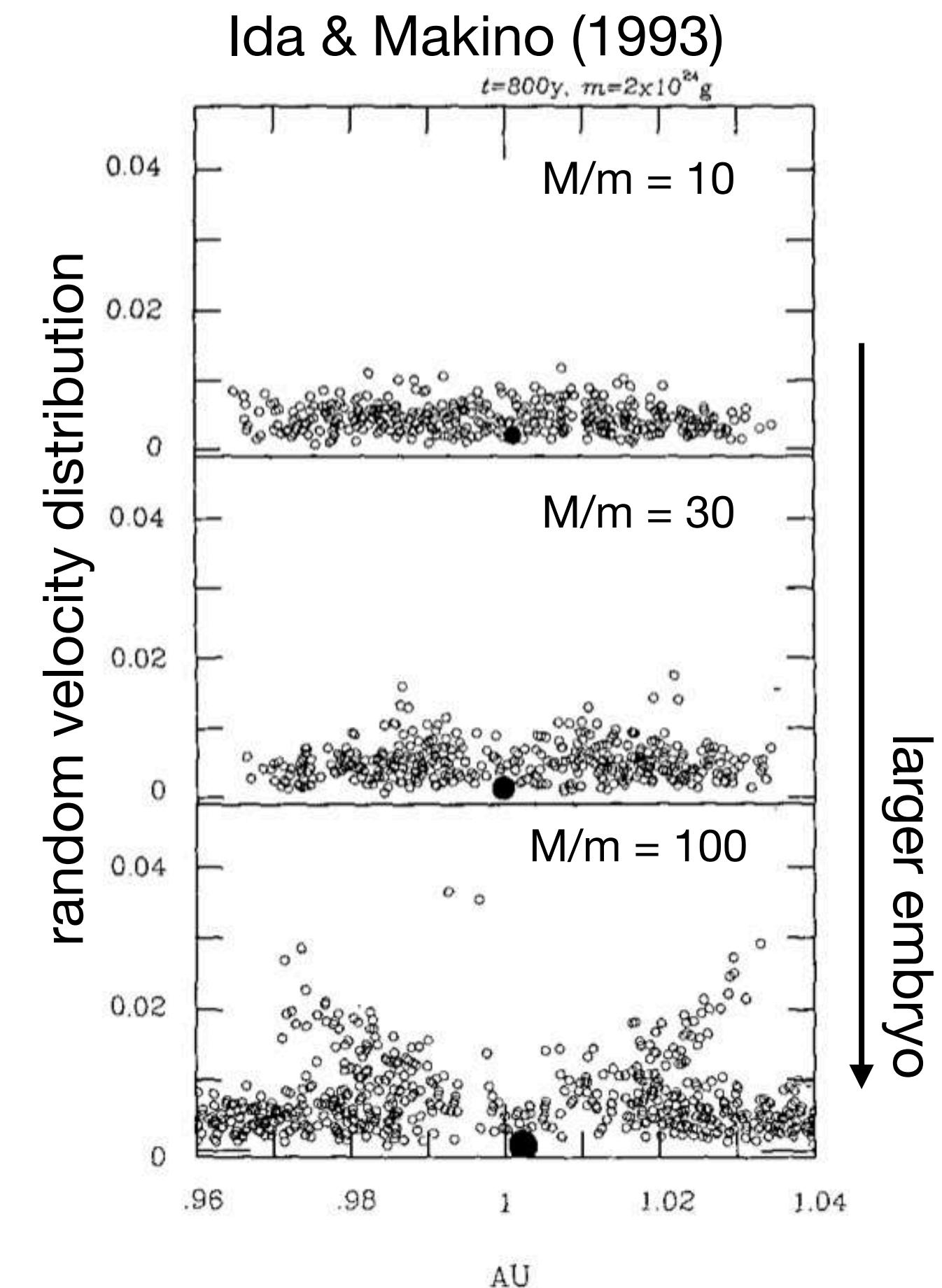
Growth rate:  $\frac{dM}{dt} \sim \Sigma_s \Omega \pi R^2 F_g$        $F_g = 1 + \frac{v_{esc}^2}{v^2}$

- If  $v \gtrsim v_{esc}$ ,  $\frac{dM}{dt} \propto R^2$   $\longrightarrow \frac{1}{M} \frac{dM}{dt} \propto M^{-\frac{1}{3}}$   
faster growth for lower mass
- As the embryo grows,  $v_{esc}$  increases
- If  $v \ll v_{esc}$ ,  $F_g \simeq (\frac{v_{esc}}{v})^2 = \frac{1}{v^2} \frac{2GM}{R} \propto R^2$ , and  $\frac{dM}{dt} \propto R^2 F_g \propto R^4$   $\longrightarrow \frac{1}{M} \frac{dM}{dt} \propto M^{\frac{1}{3}}$   
faster growth for higher mass
- Once in the runaway regime, more massive bodies grow faster!  
The mass ratio between small and large planetesimals increases monotonically

# Oligarchic growth

Can runaway growth keeps going?

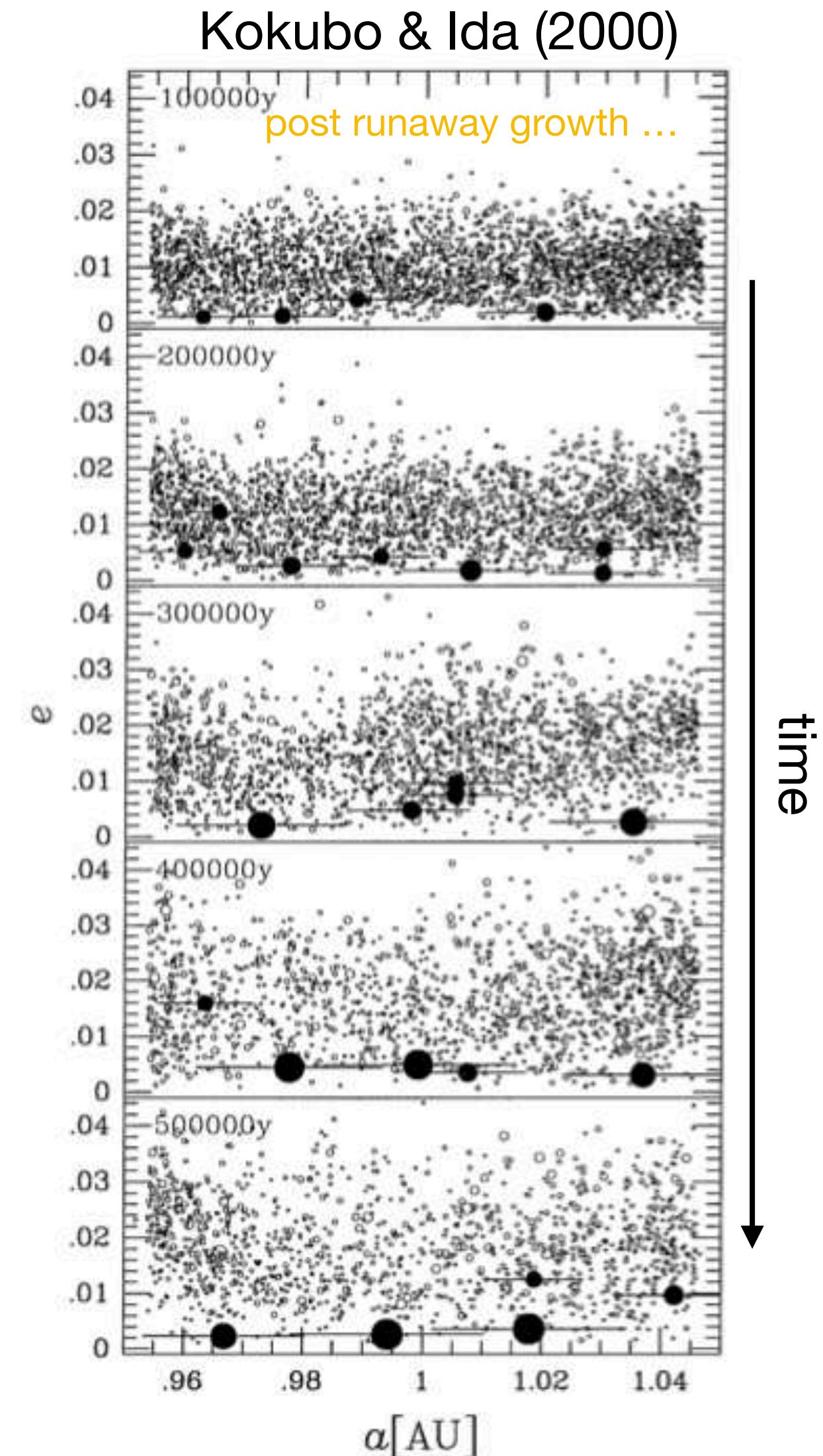
- After the large bodies have grown large enough ( $\sim 100$  km), they start to exert gravitational feedback on the random velocities of the smaller planetesimals
- $v$  of planetesimals immediately around the embryo is excited
- As  $\frac{dM}{dt} \propto R^2$ , the growth rate is reduced to  $\frac{1}{M} \frac{dM}{dt} \propto M^{-\frac{1}{3}}$   
*(faster growth for lower mass)*
- Eventually, the growth rate of big embryos slow down with increasing mass. This growth regime proceeds towards a set of similar mass embryos (hence “oligarchy”)
- Oligarchic growth is the self-limiting mode of runaway growth



# Oligarchic growth

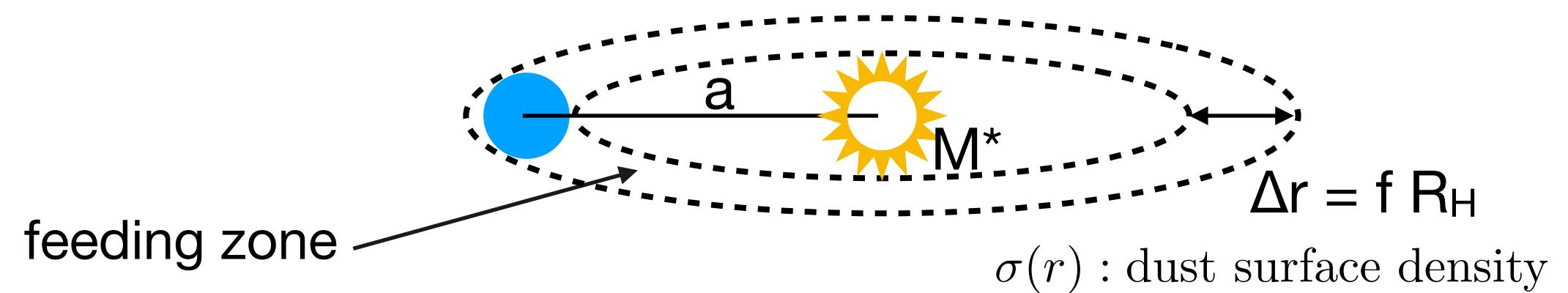
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*(faster growth for lower mass)*
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# Isolation mass

– *the largest mass a planetary embryo can reach*



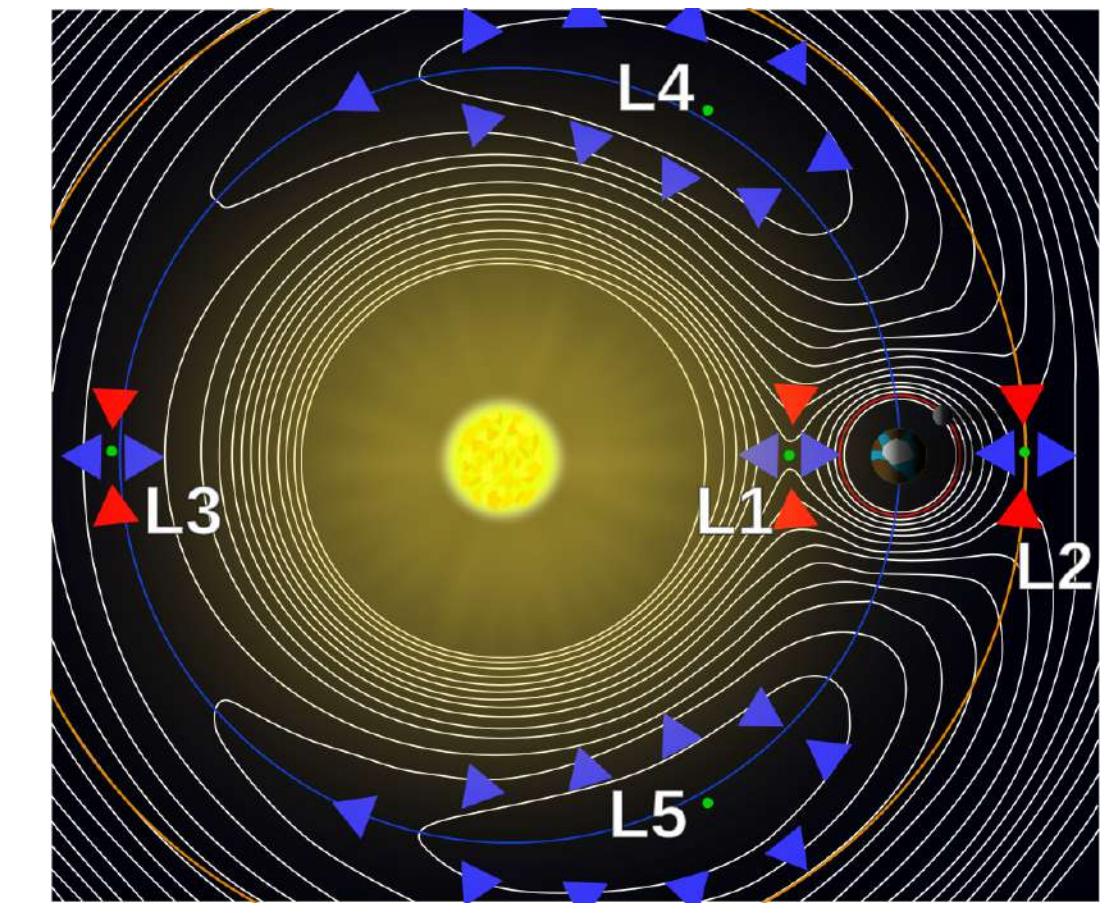
The maximum mass of a planetary embryo can accrete is

$$M = \int_{a-\Delta r}^{a+\Delta r} 2\pi r \sigma(r) dr$$

The gravitational reach  $\Delta r$  is given by the Hill radius

where  $f$  is typically 2–6

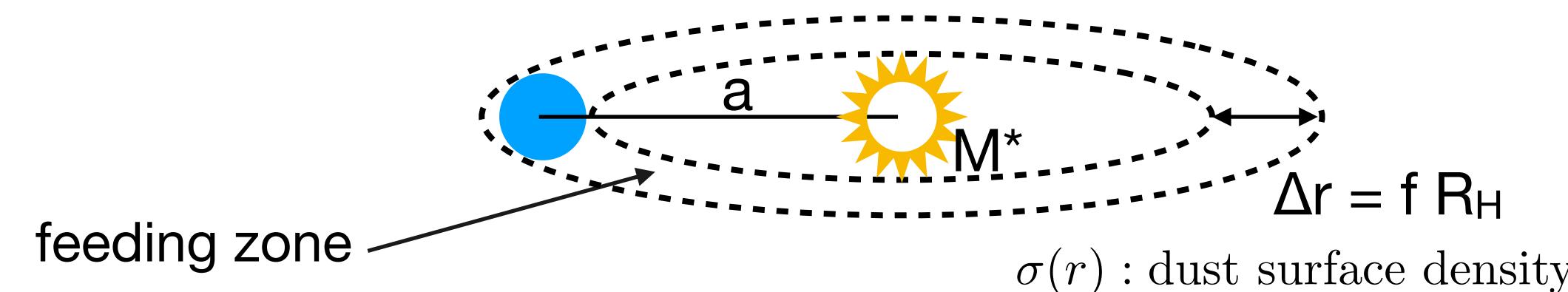
$$\Delta r \sim f R_H, \quad R_H \sim \left(\frac{m_p}{3M^*}\right)^{\frac{1}{3}}$$



$R_H$ : where the gravity of the planet dominates over the tidal influence of the star

# Isolation mass

– *the largest mass a planetary embryo can reach*

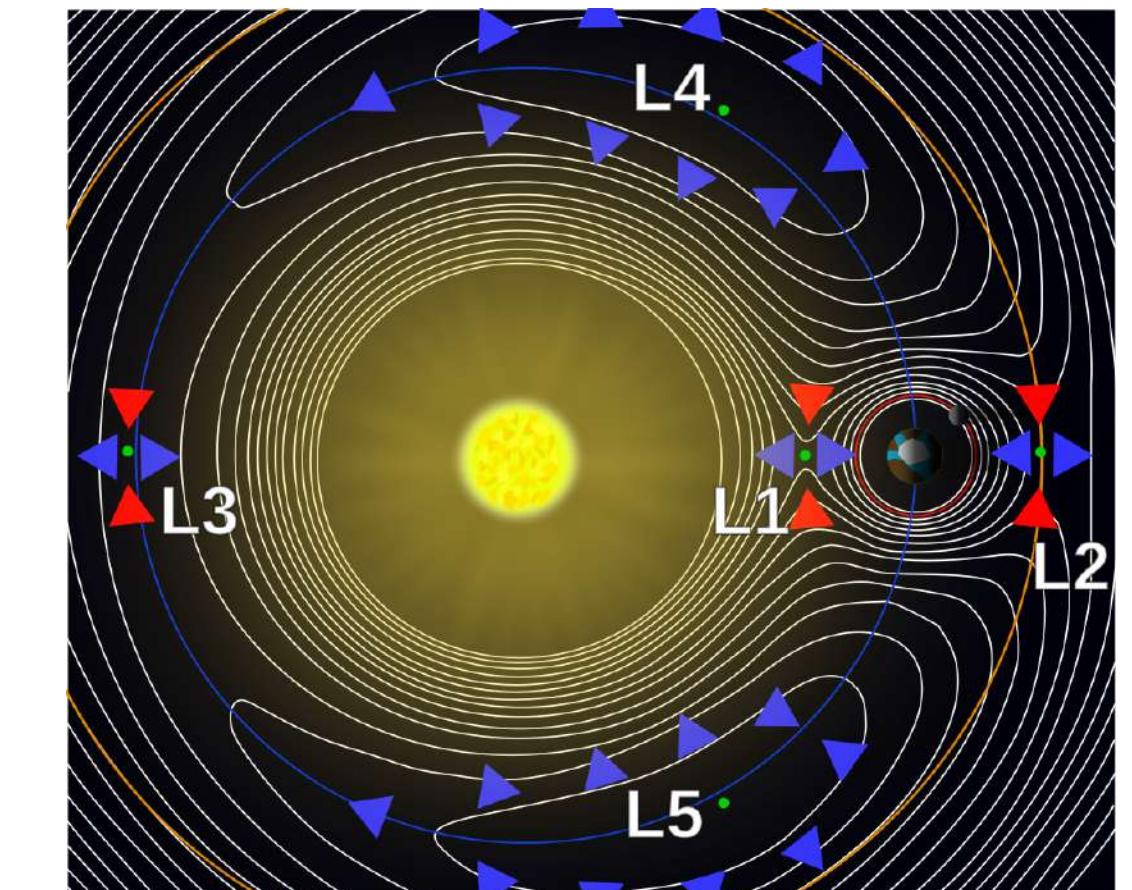


The maximum mass of a planetary embryo can accrete is

$$M = \int_{a-\Delta r}^{a+\Delta r} 2\pi r \sigma(r) dr$$

The gravitational reach  $\Delta r$  is given by the Hill radius  $\Delta r \sim f R_H$ ,  $R_H \approx a \left( \frac{m_p}{3M^*} \right)^{\frac{1}{3}}$   
where  $f$  is typically 2–6

We then obtain the isolation mass by solving  $M_{iso} \sim 2\pi a (2\Delta r) \sigma(a) = 4\pi a^2 f \sigma(a) \left( \frac{M_{iso}}{3M^*} \right)^{\frac{1}{3}}$   
which yields  $M_{iso} \sim (4\pi a^2 f \sigma(a))^{3/2} \left( \frac{1}{3M^*} \right)^{\frac{1}{2}}$

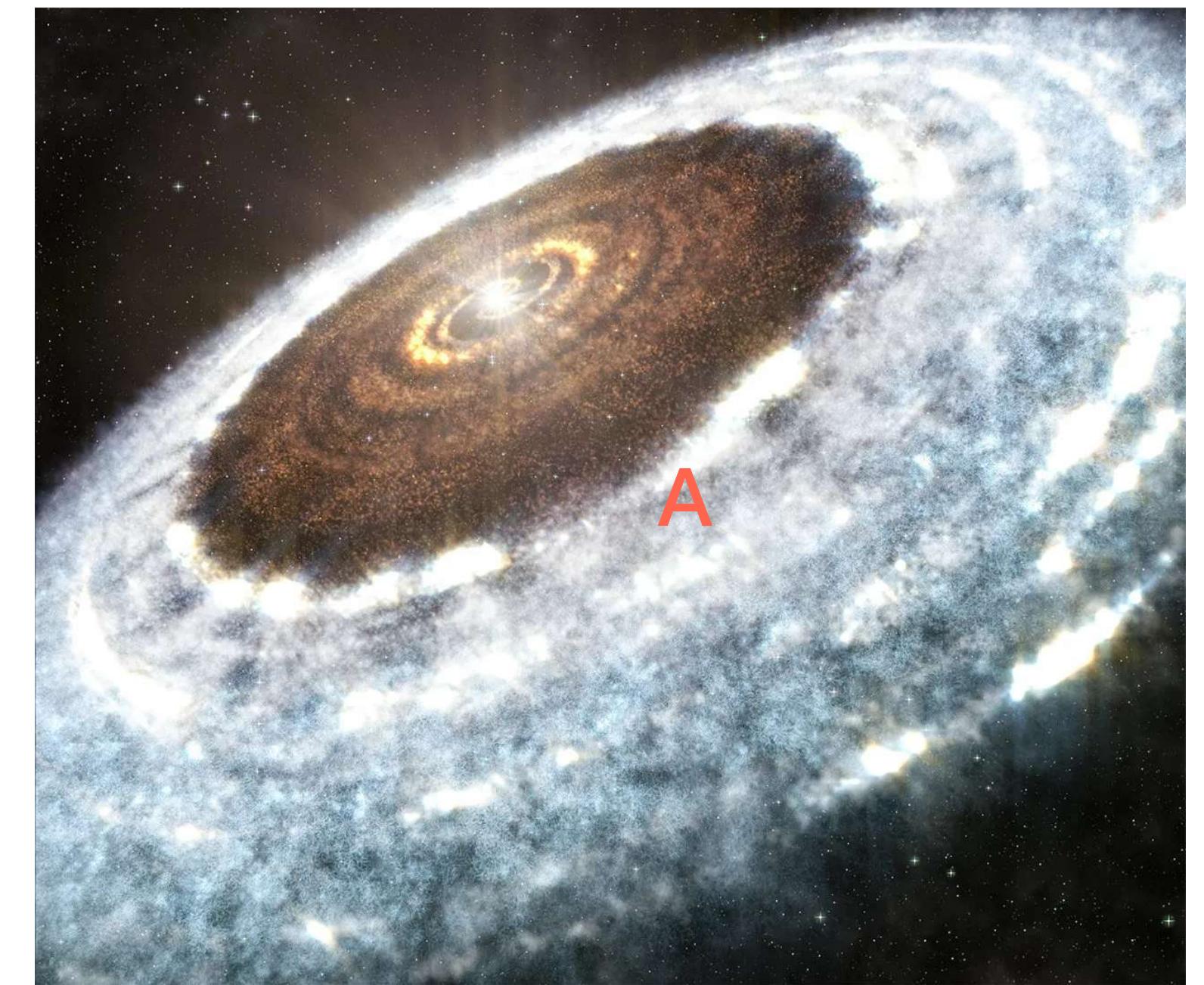


$R_H$ : where the gravity of the planet dominates over the gravitational influence of the star

- As long as the planetesimal surface density  $\sigma(a)$  falls slower than  $a^{-2}$ ,  $M_{iso}$  increases with distance because of the larger feeding zone (in general  $\sigma(a) \propto a^{-1.5}$ )

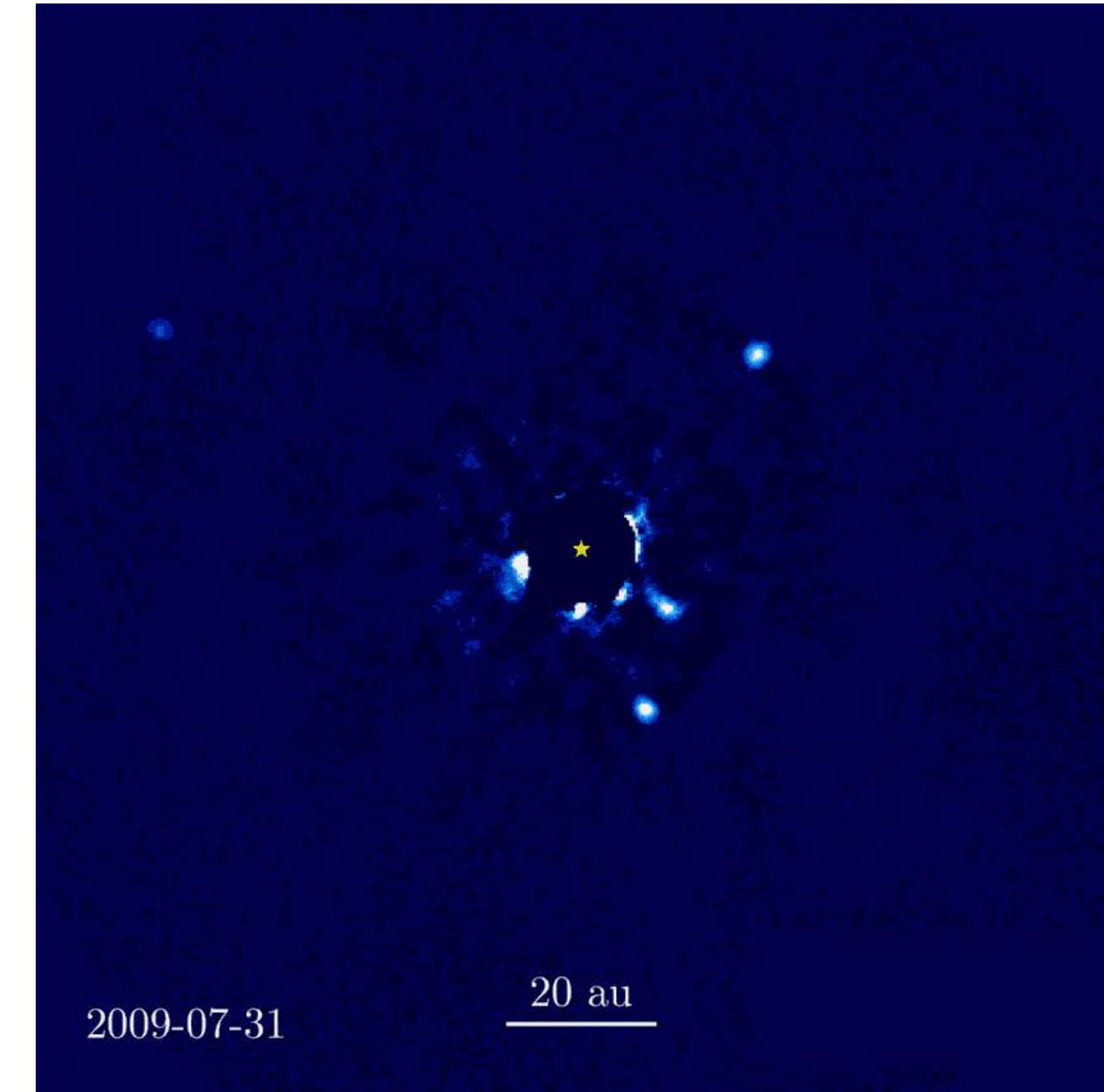
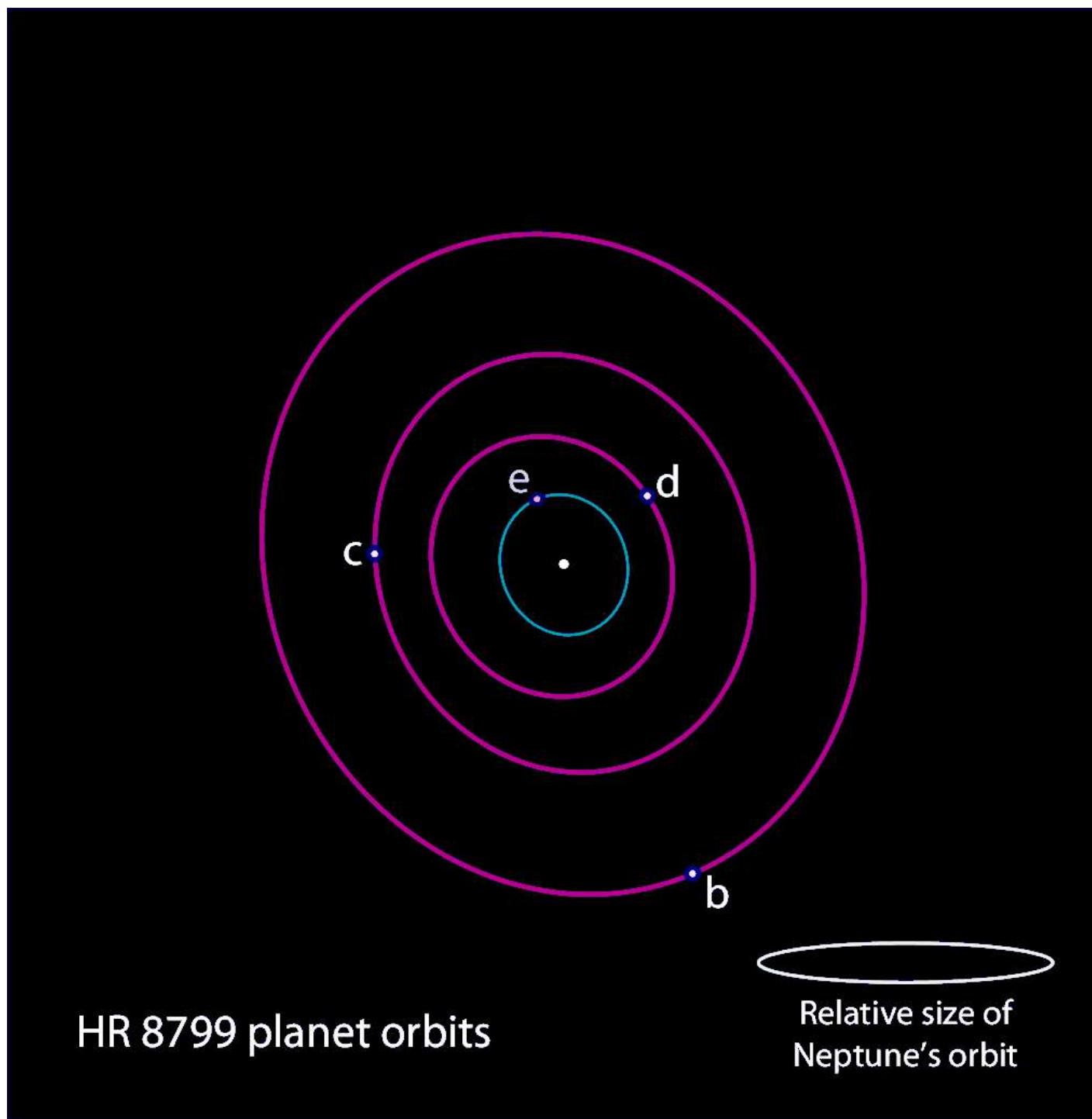
# Core accretion depending on the orbital distance

- **The feeding zone size** increases with distance from the star since the Hill radius is larger at greater distances.
- **The Growth rate** generally decreases with increasing distance from the star due to lower surface density.
- Right beyond **the snow line** is a favorable location for planetesimal formation ( $\sim 2 - 10$  AU).



# HR 8799

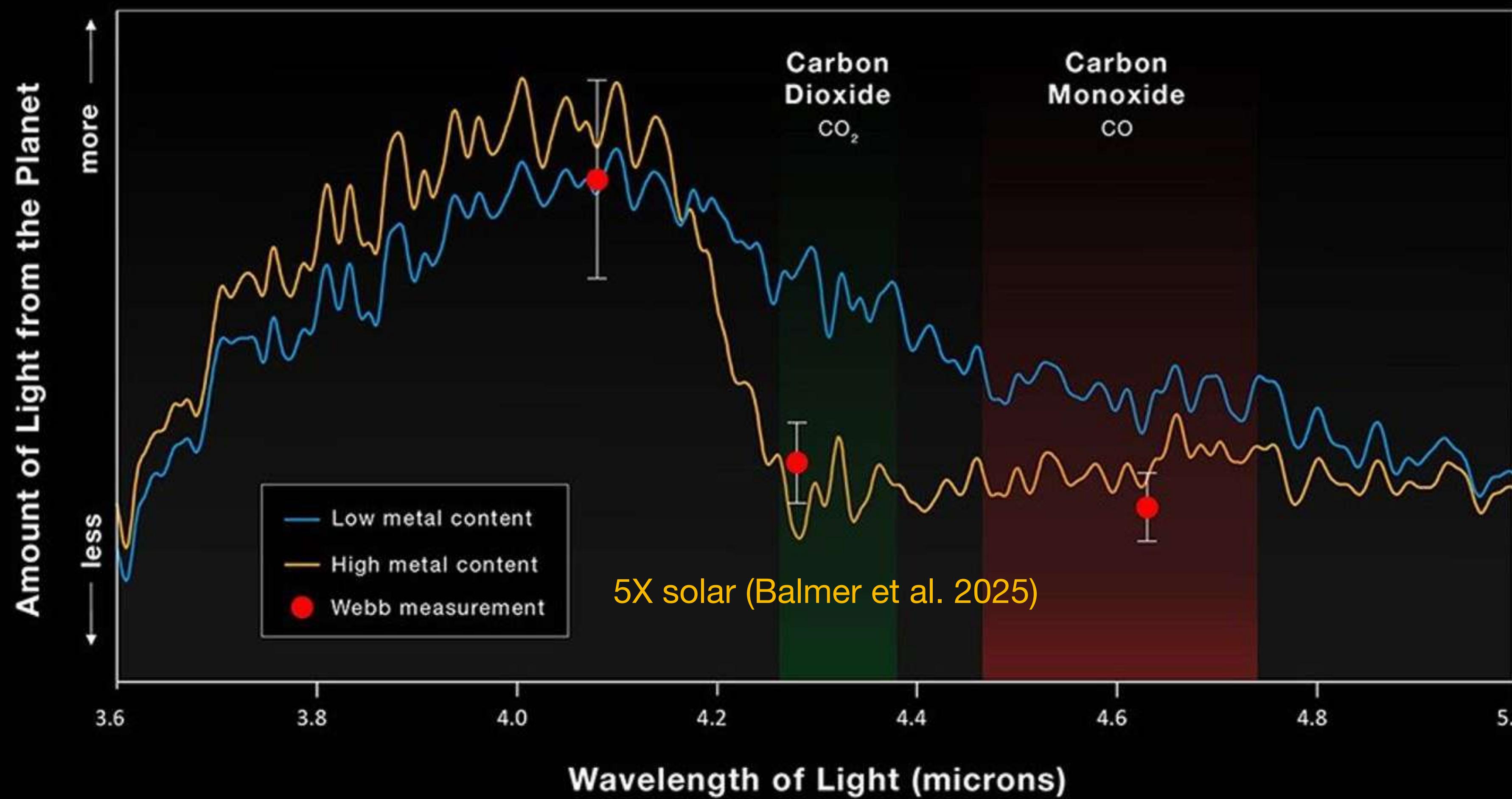
— by core accretion or gravitational instability?



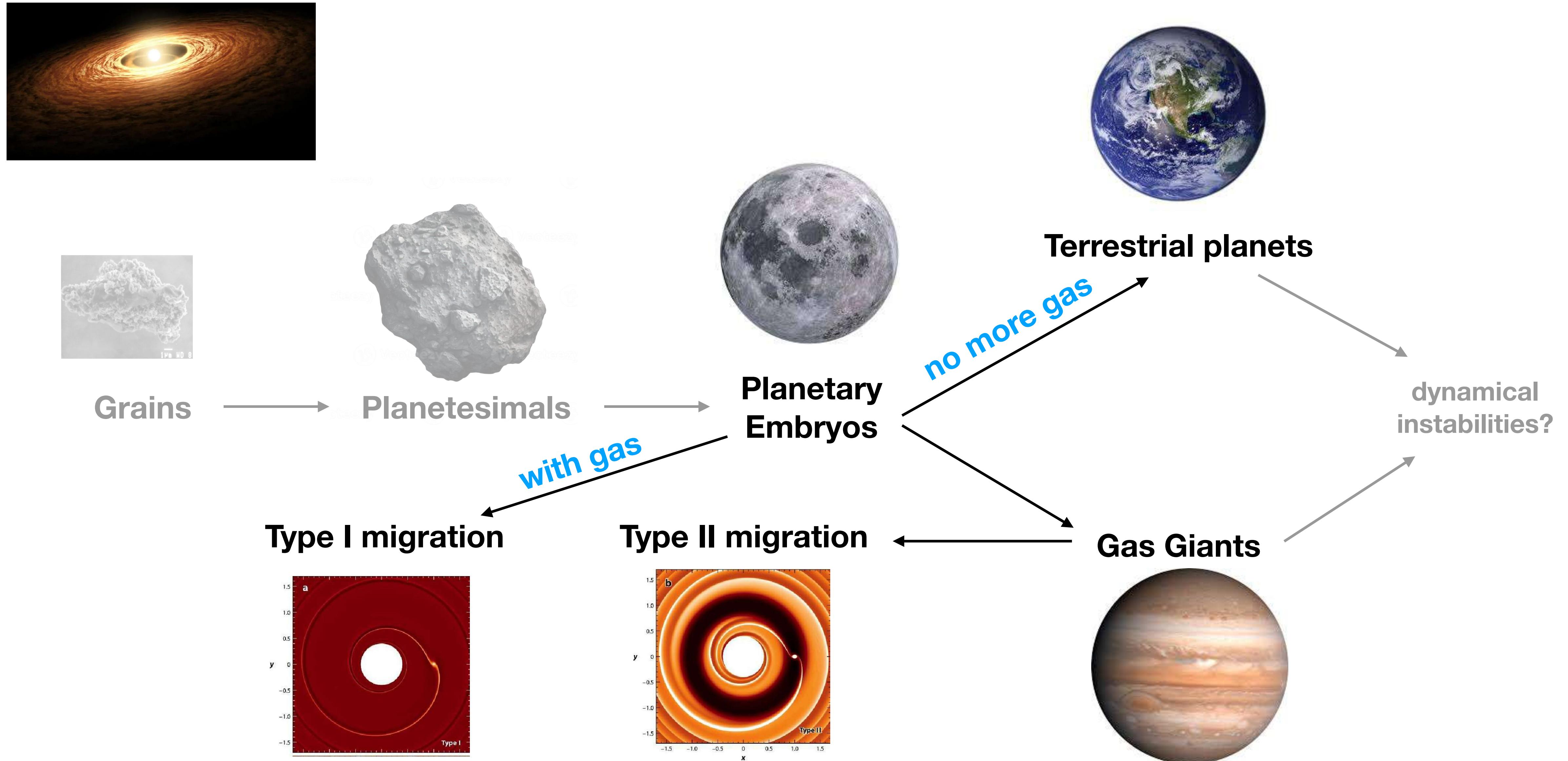
Keck Observatory

# HR 8799

– by core accretion?

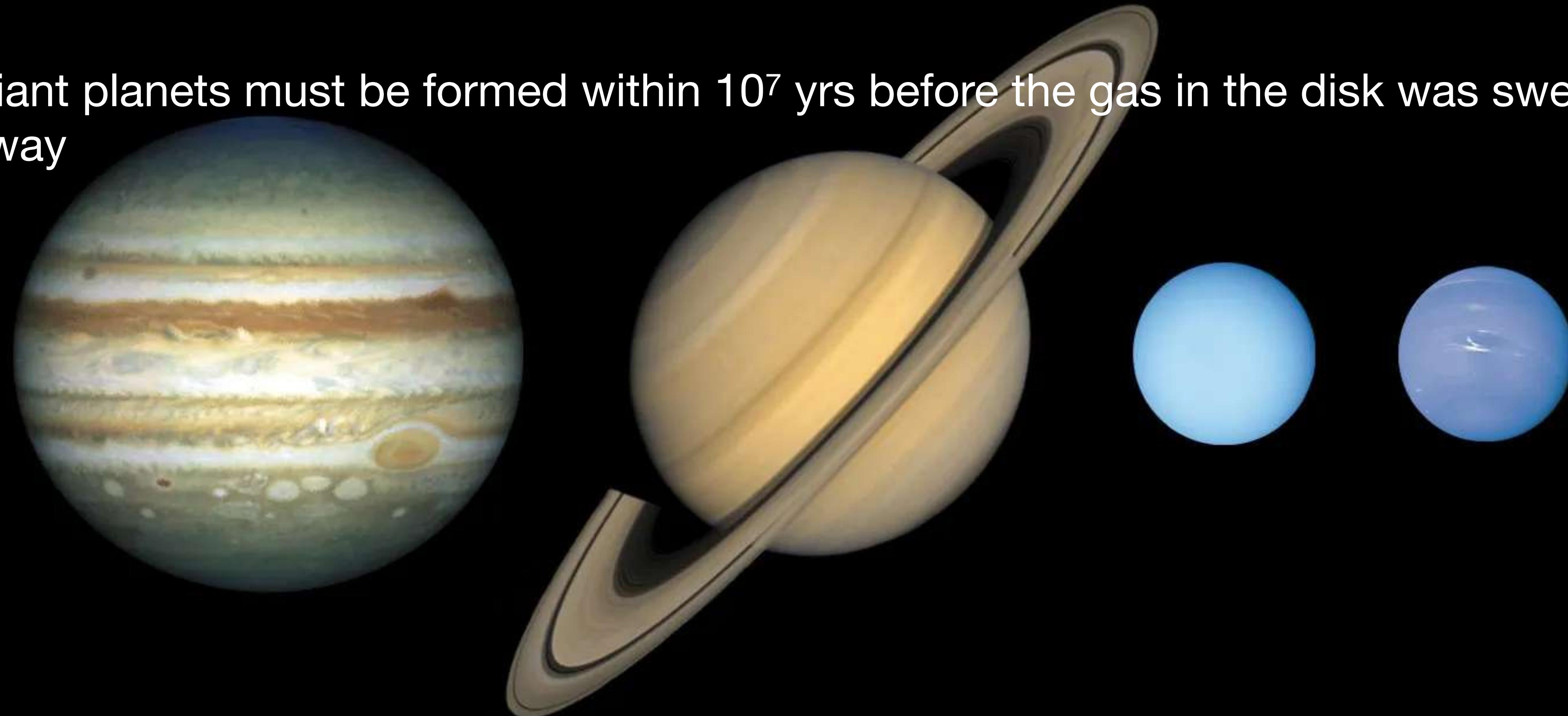


# Stage of Planet Formation



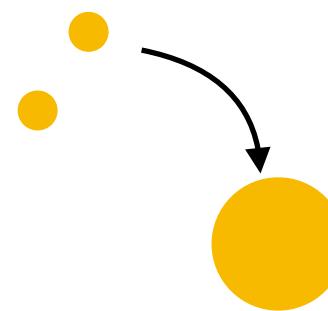
# Forming giant planets

- Once the mass of a planetary core reaches  $\sim 5 M_{\text{Earth}}$ , it can gravitationally bind a gas envelope
- Giant planets must be formed within  $10^7$  yrs before the gas in the disk was swept away



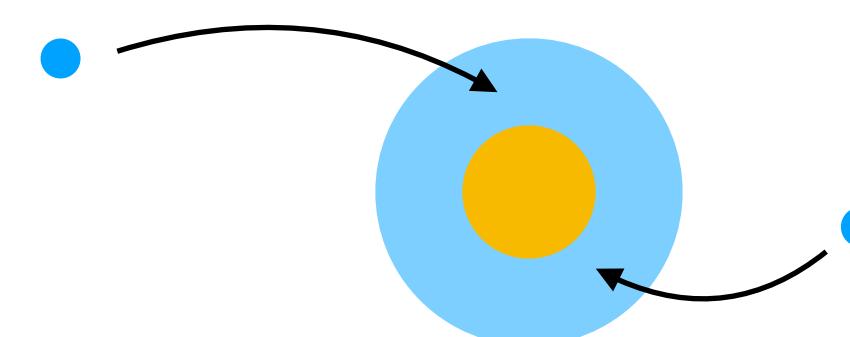
# Gas accretion

**Core formation**  
(resembling terrestrial planet formation)



**Hydrostatic growth**

$$\frac{dP}{dr} = -\frac{GM\rho}{R}$$



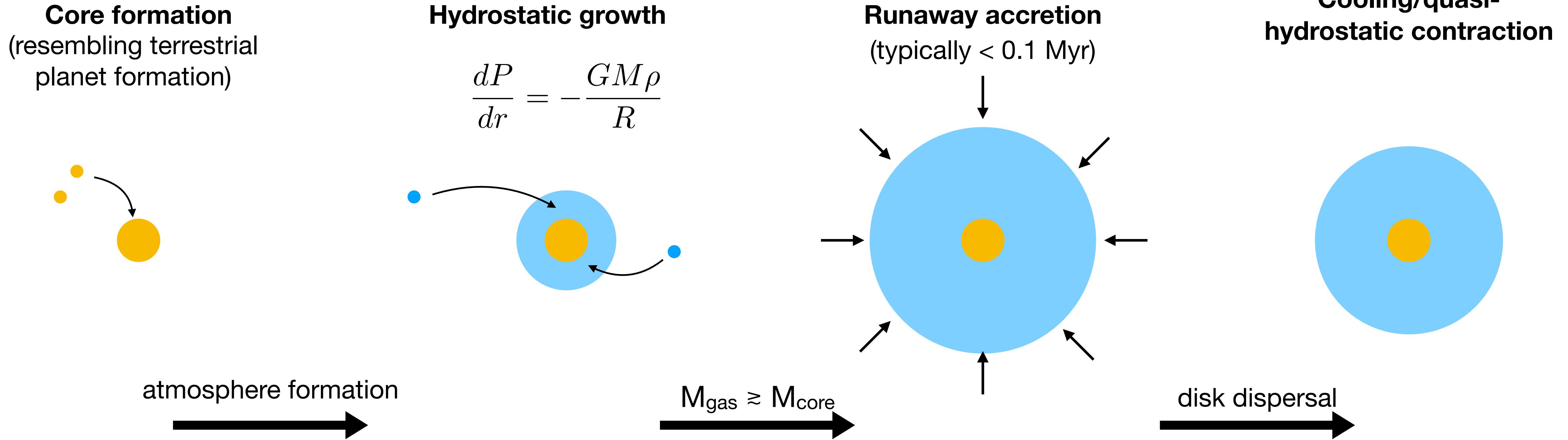
atmosphere formation



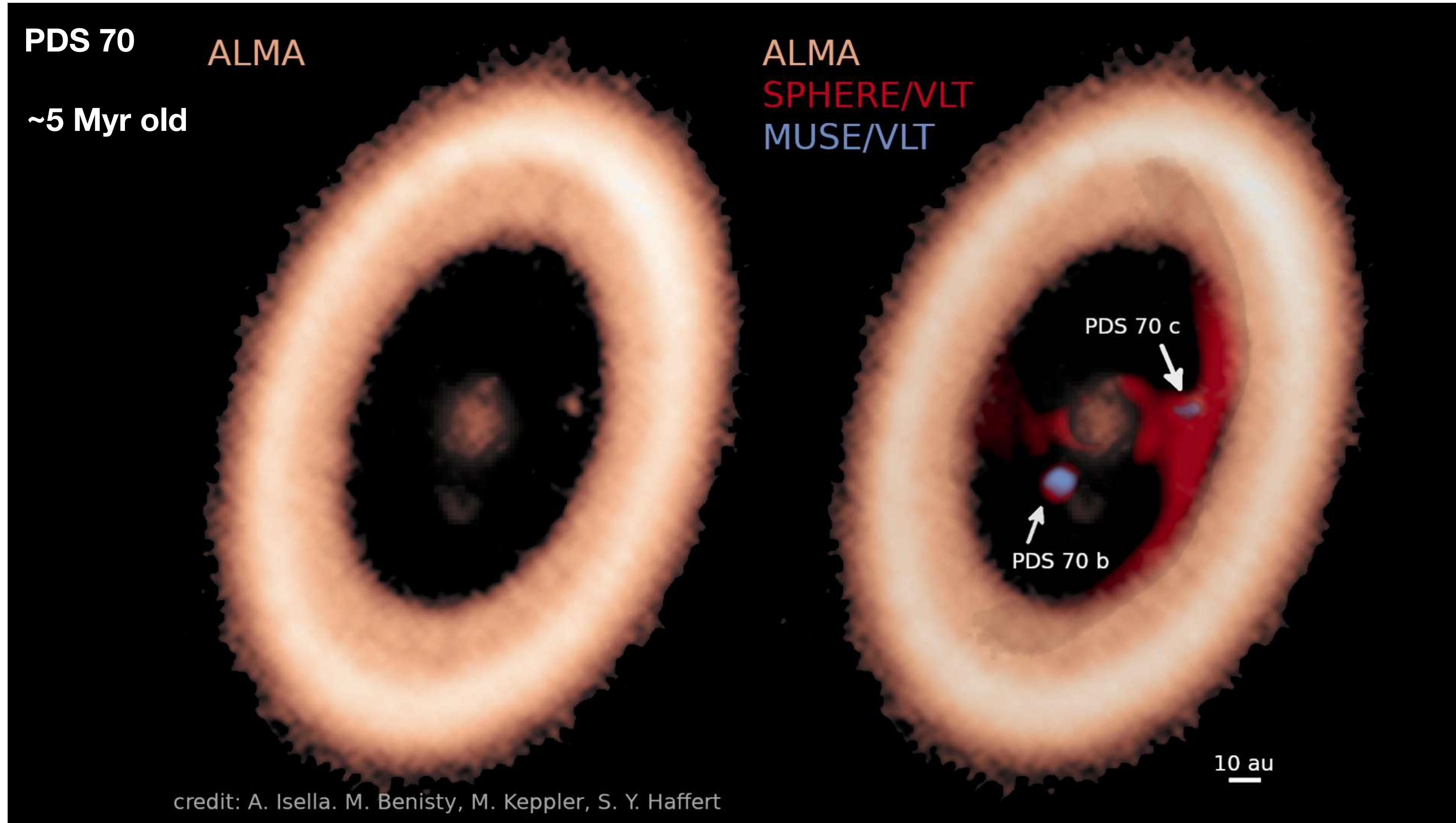
disk dispersal

Neptune-like planets

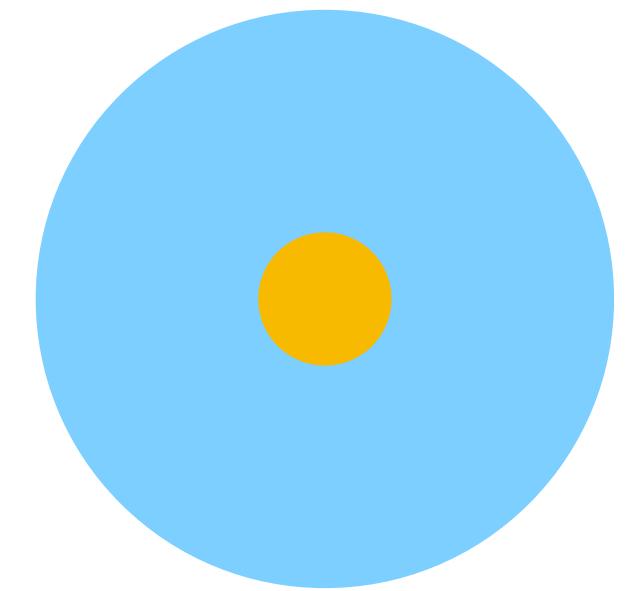
# Gas accretion



# Gas accretion

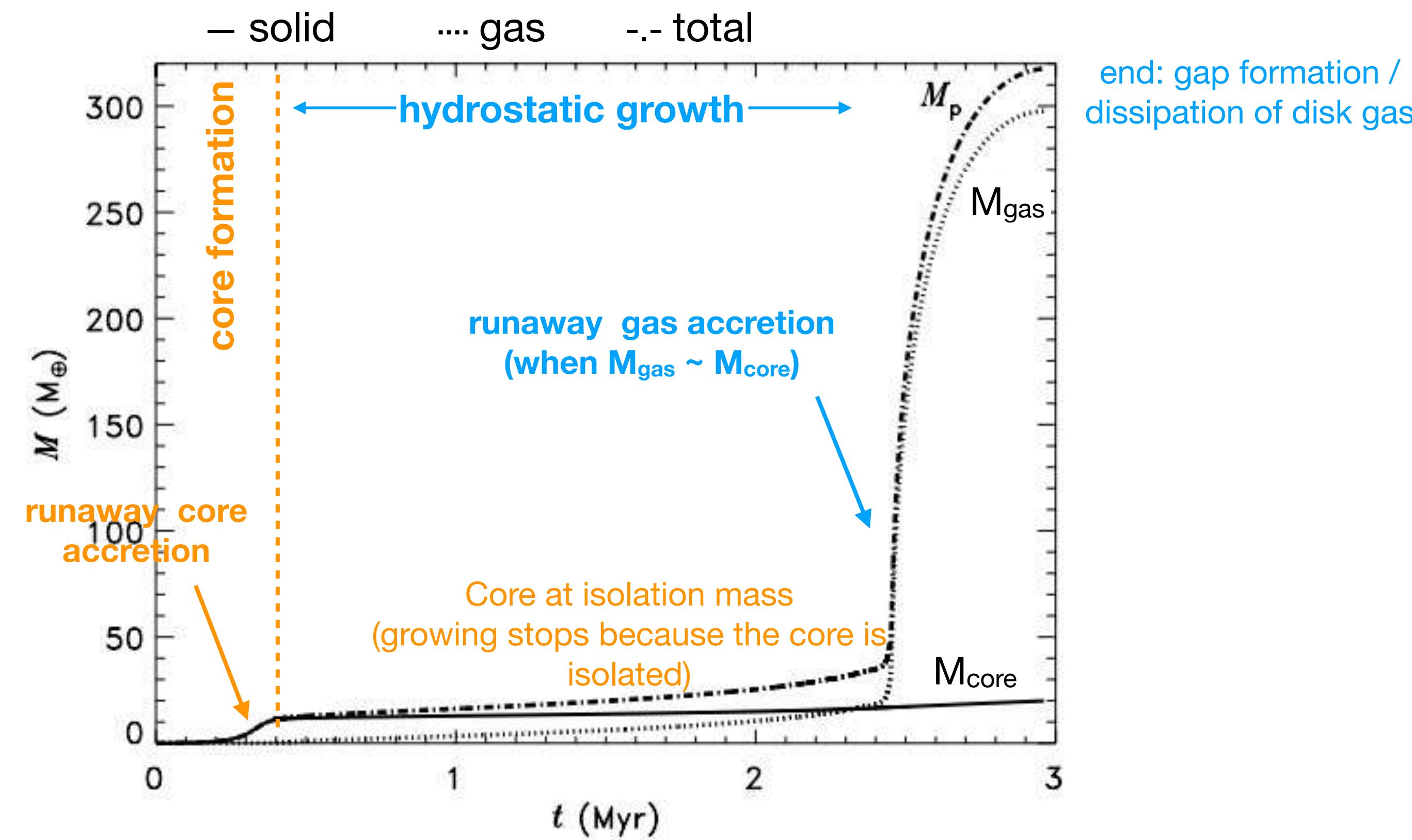


Cooling/quasi-hydrostatic contraction



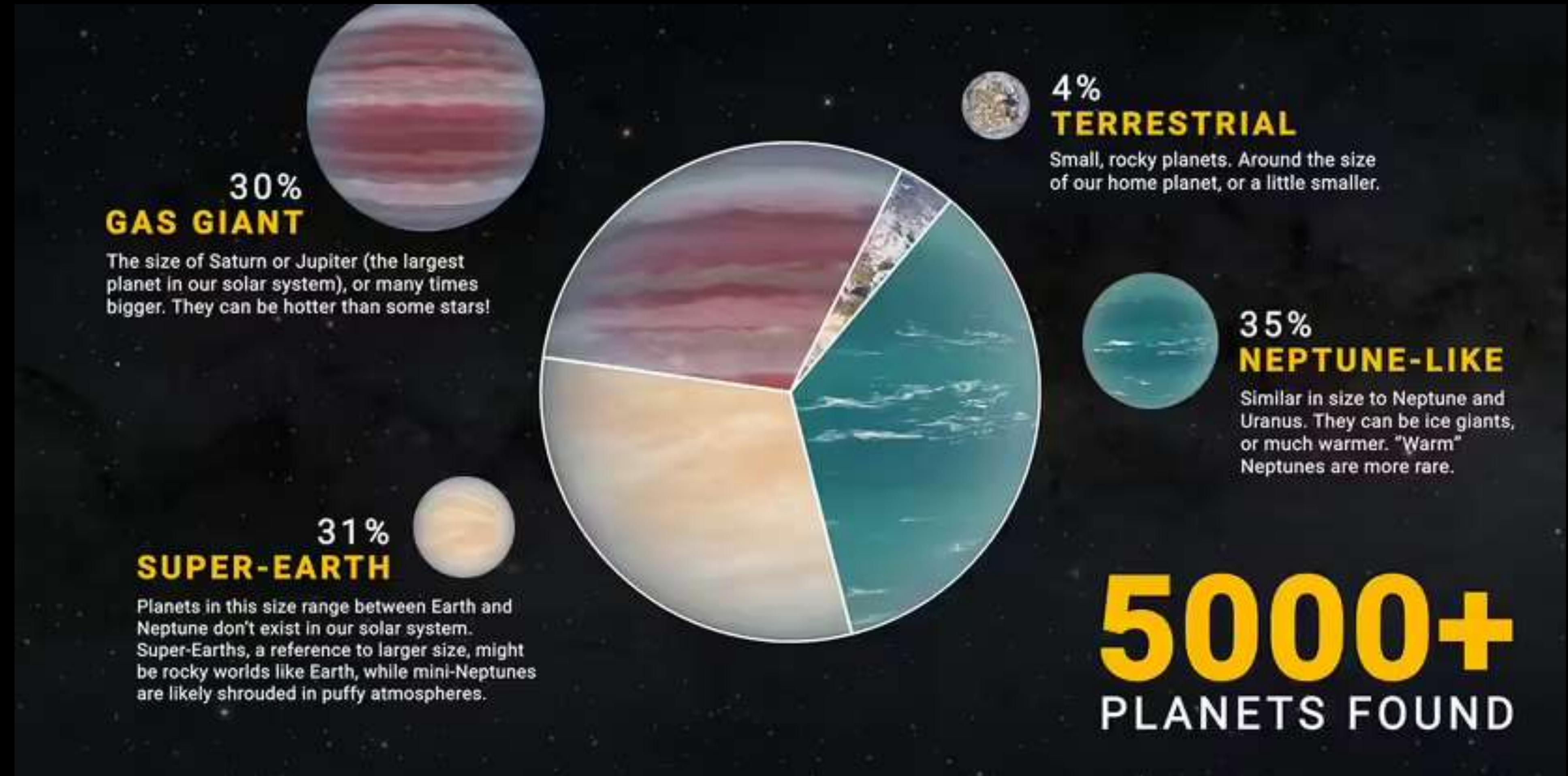
# Stages of forming giant planets

- making Jupiter with a core accretion model via 3D hydrodynamic calculations

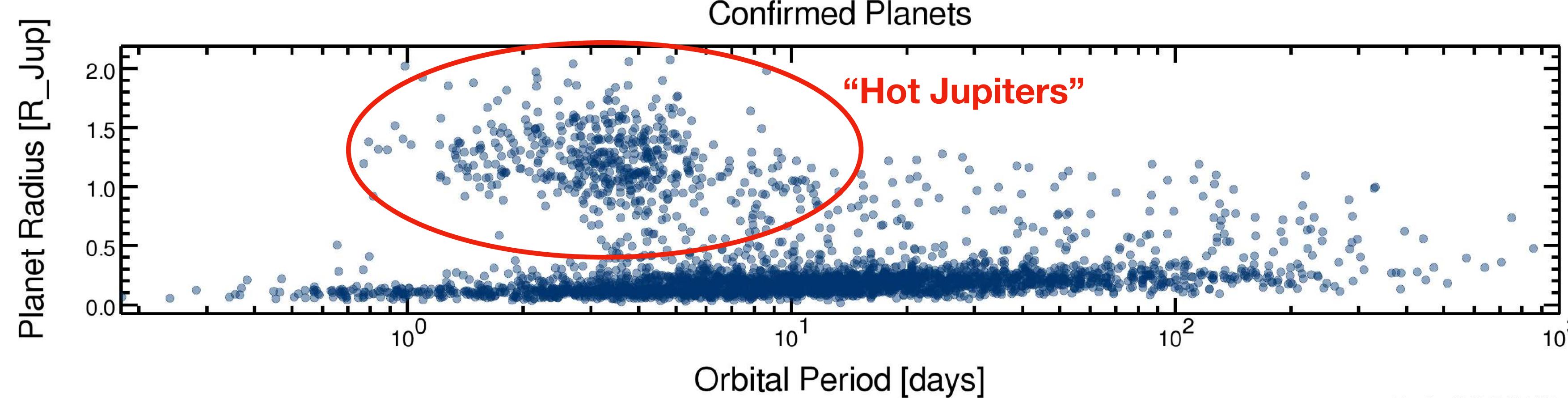
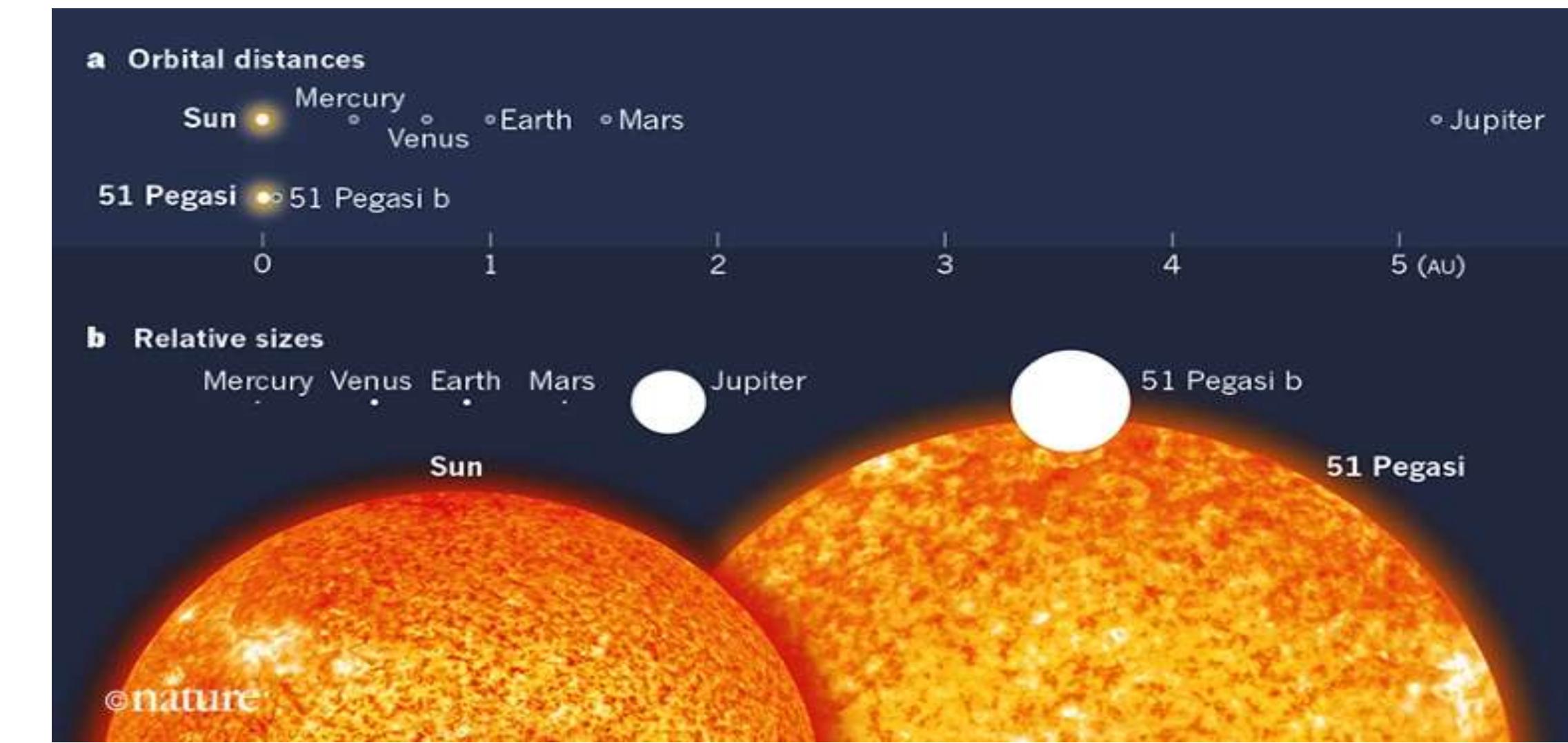
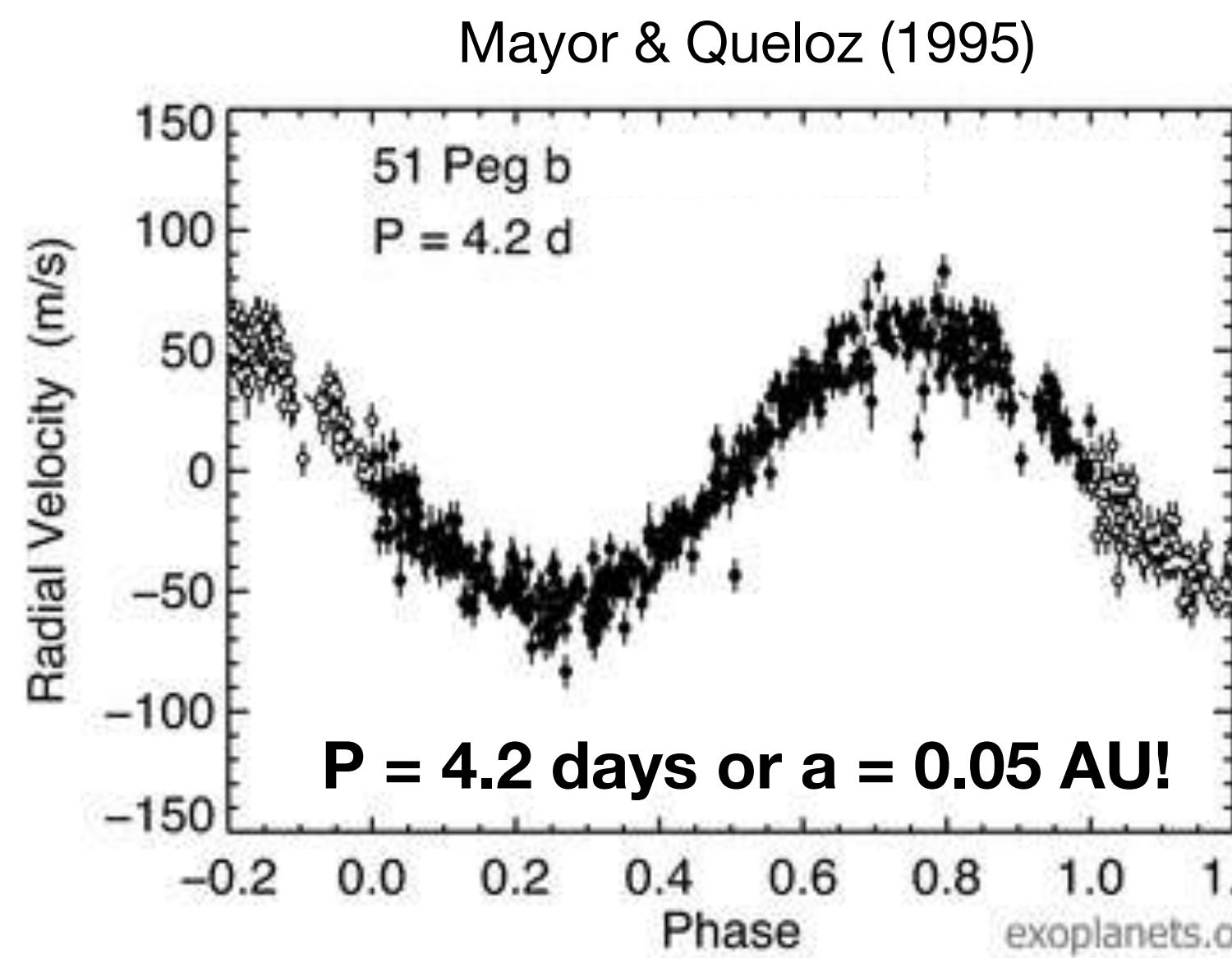


Lissauer et al. (2009)

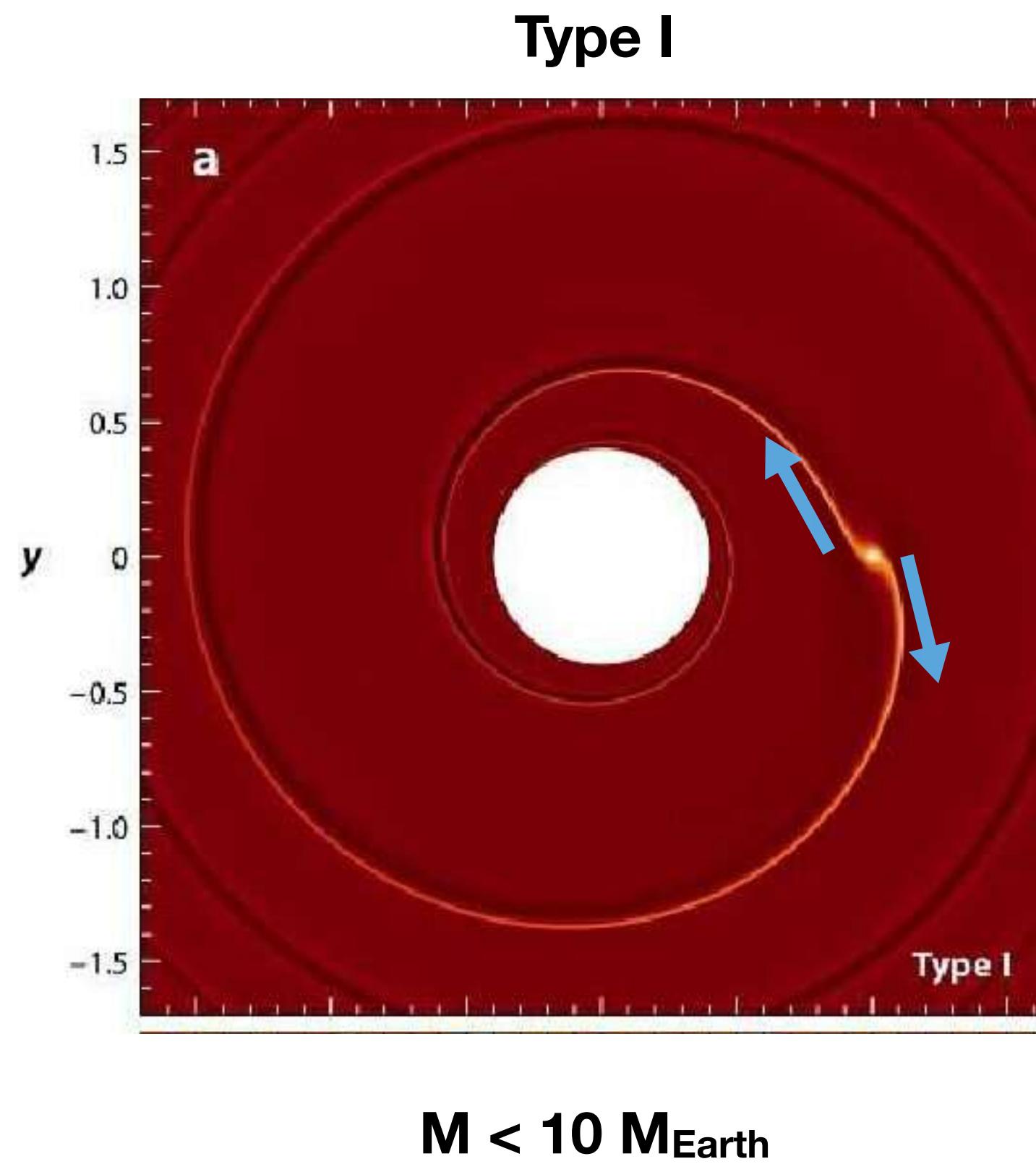
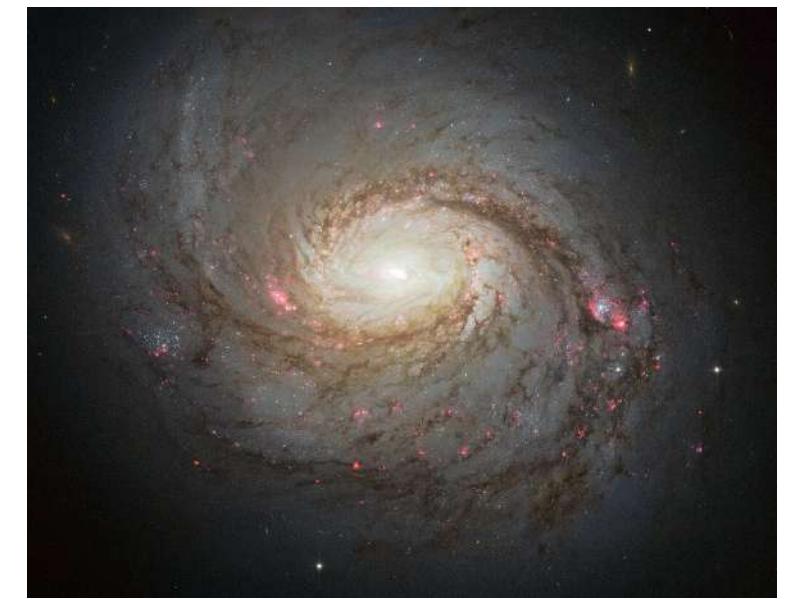
# Exoplanets: planets outside the solar system



# First exoplanet around a Sun-like star

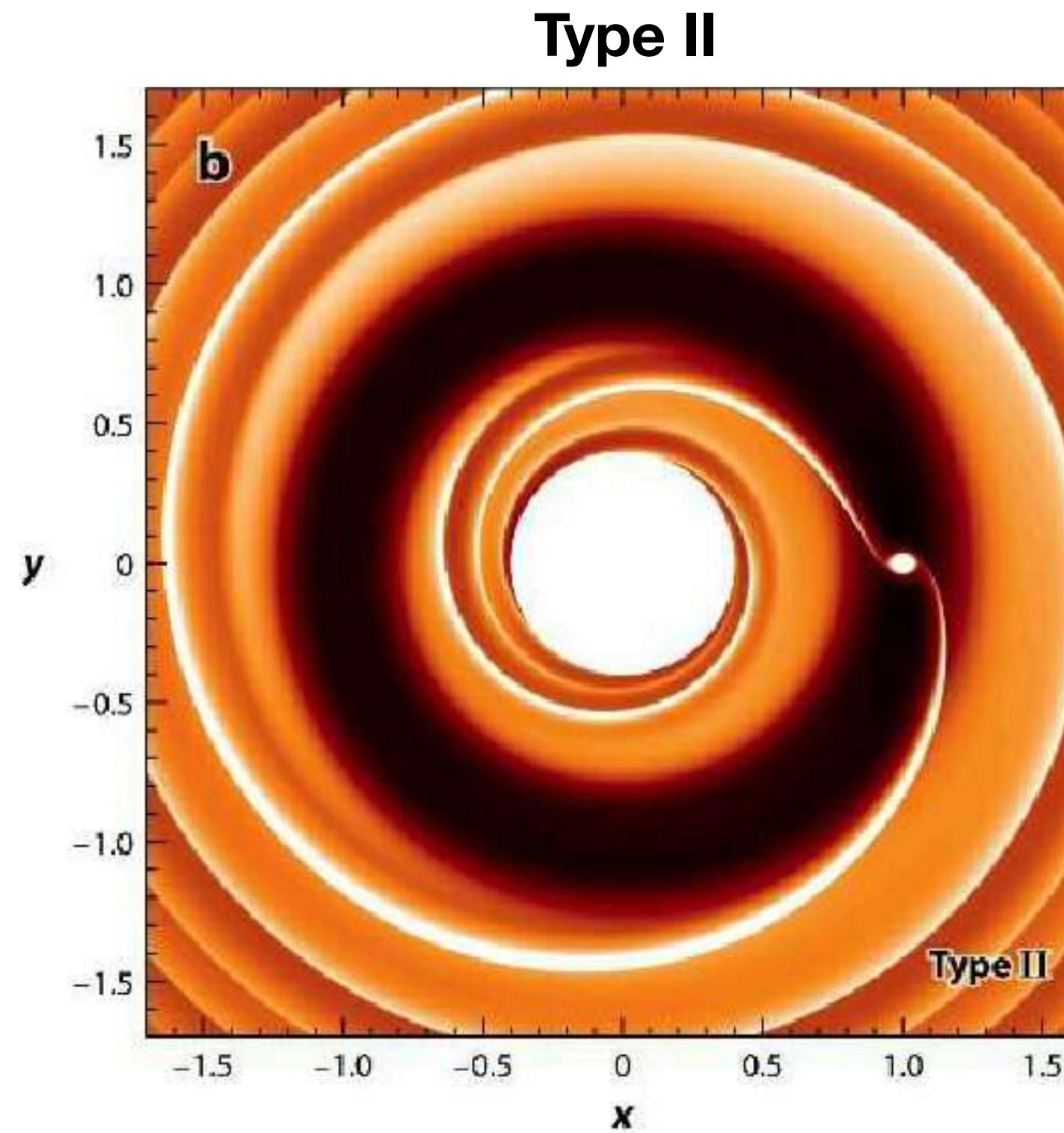


# Planet migration



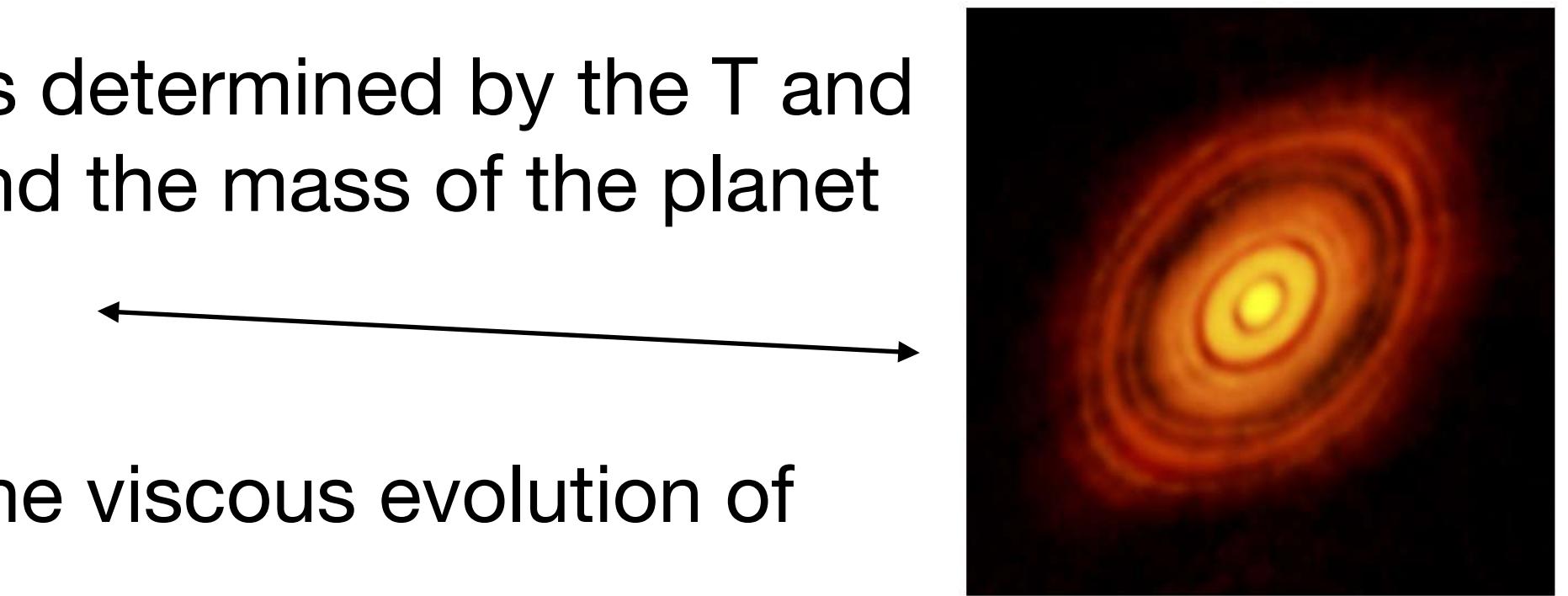
- Planet creates spiral density waves which exert a torque on the planet
- The **outer** spiral wave applies a bigger torque than the **inner** one
- The planet loses its angular momentum and migrates **toward the star**
- Short timescale: For  $M < 10 M_{\text{Earth}}$  at 5 AU in a MMSN, the planet would reach the star  $\sim 10^5$  yrs

# Planet migration

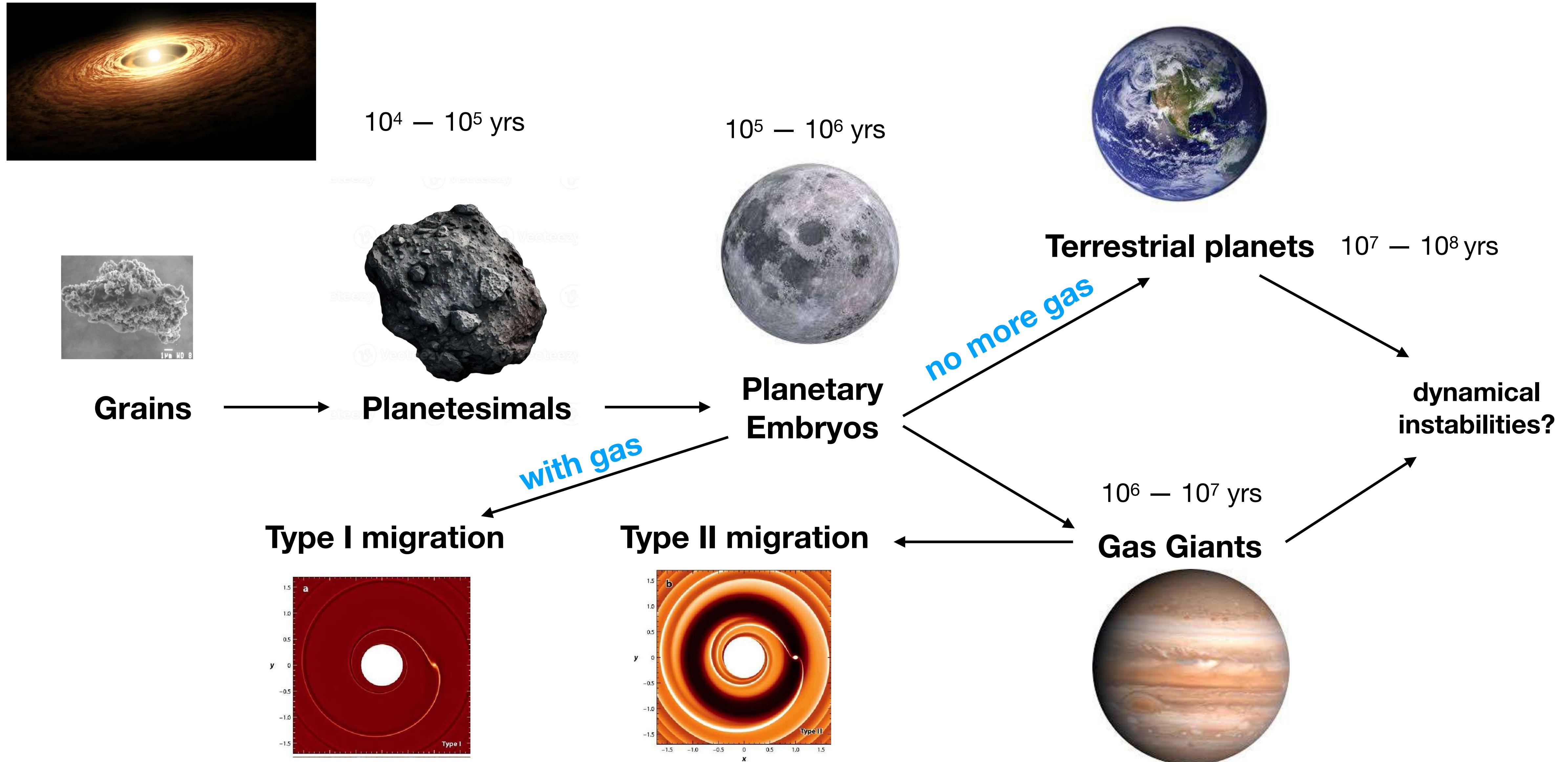


$M_p \gtrsim$  Saturn mass ( $\sim 100 M_{\text{Earth}}$ ), with a Hill sphere greater than the disk scale height and therefore opens up a gap

- The width of the gap is determined by the T and viscosity of the disk and the mass of the planet  
=> infer planets
- Planet migrates with the viscous evolution of the disk
- Longer timescale: Planet's orbit evolves on a similar timescale as the disk is evolves on the viscous timescale  $\tau = \frac{R^2}{\nu} \sim 10^6 \text{ yrs}$
- Most likely explanation of how hot Jupiters form

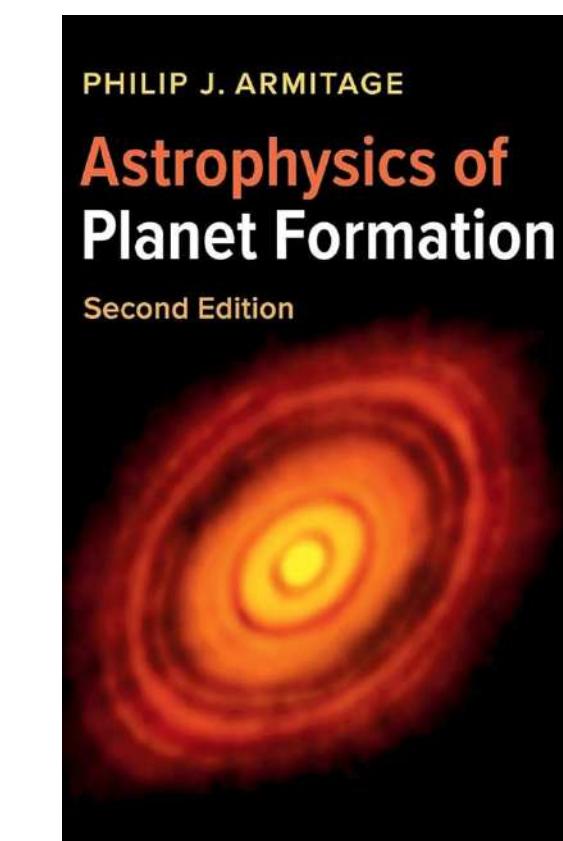


# Stage of Planet Formation

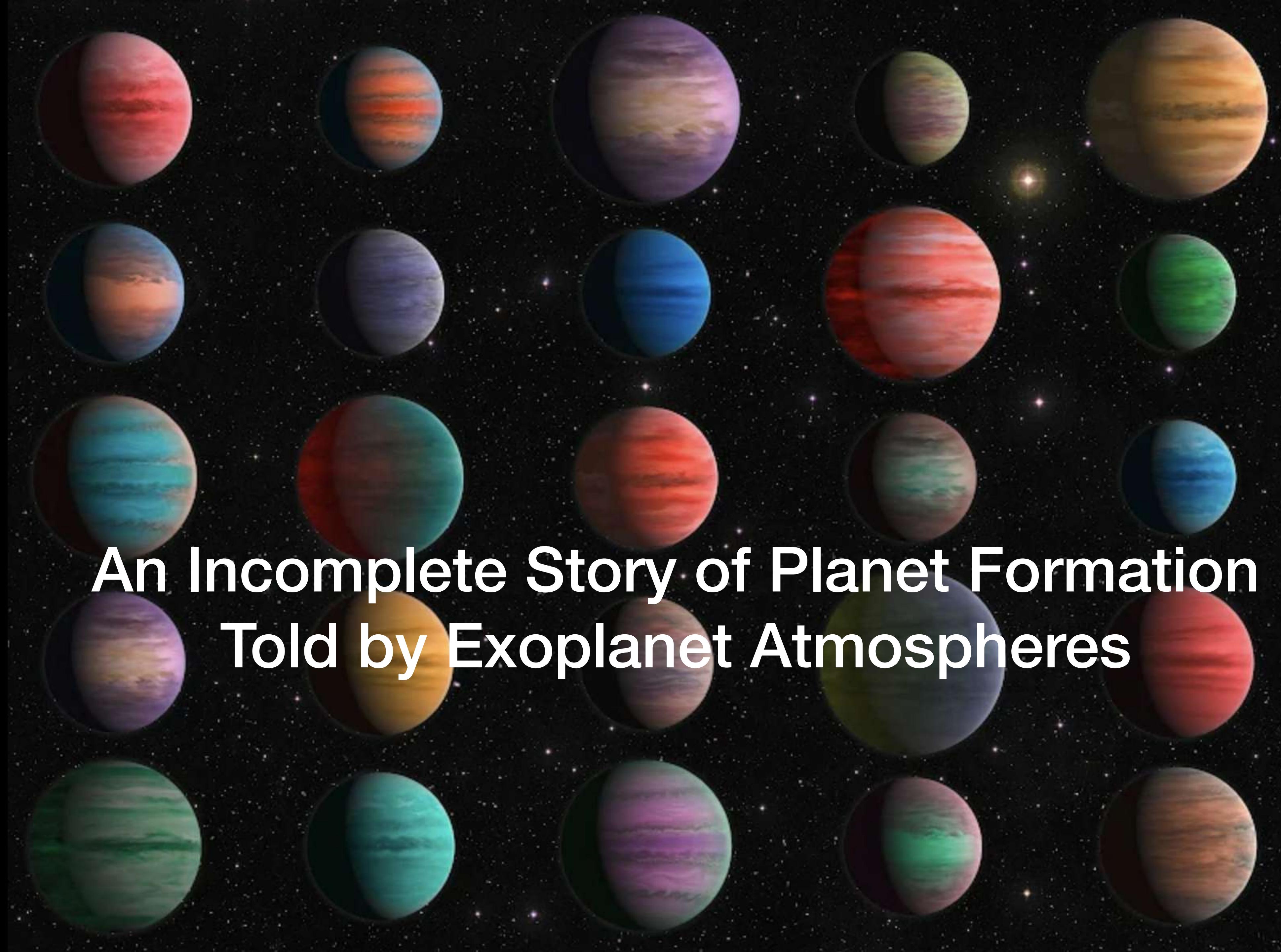


# Reading materials

- Digestable notes (Philip Armitage)  
<https://solarsystemorigins.wordpress.com>
- Comprehensive with equations  
Ch. 13 of “Planetary Sciences” by de Pater and Lissauer, Cambridge University Press  
Review by Johansen and Lambrechts (2017): Forming Planets via Pebble Accretion



- Self-sufficient and didactic:



An Incomplete Story of Planet Formation  
Told by Exoplanet Atmospheres

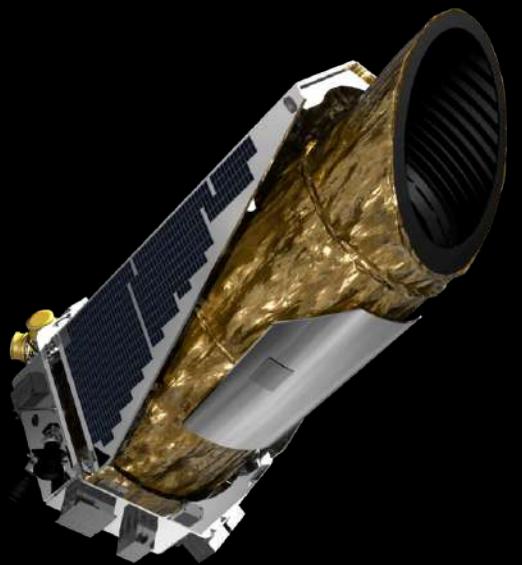
# Exoplanet science has come a long way!

confirmed exoplanets:  $N = 0$  before 1992

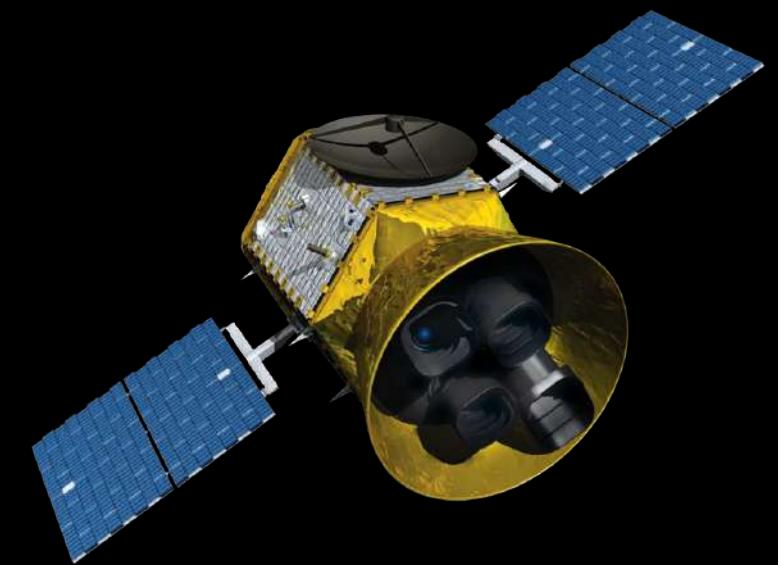


$N > 5000$  today

Planet hunters:

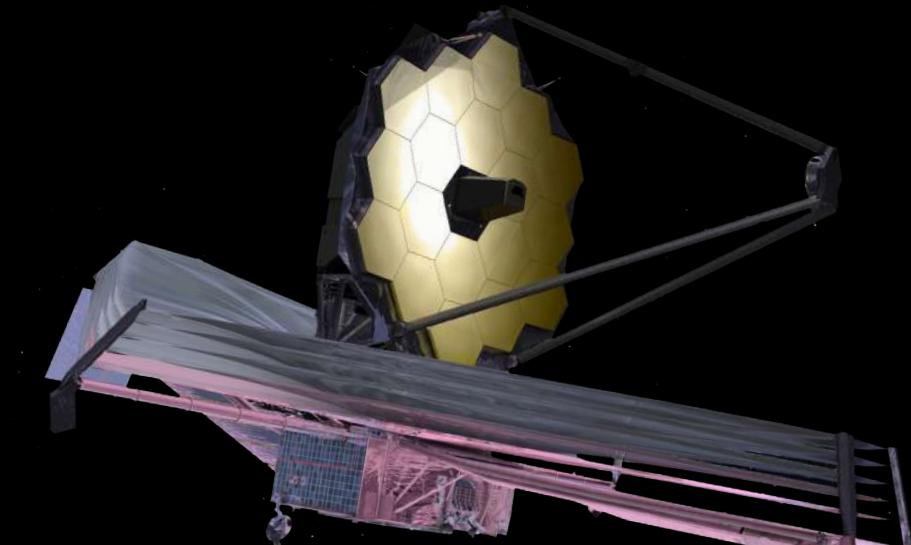


Kepler

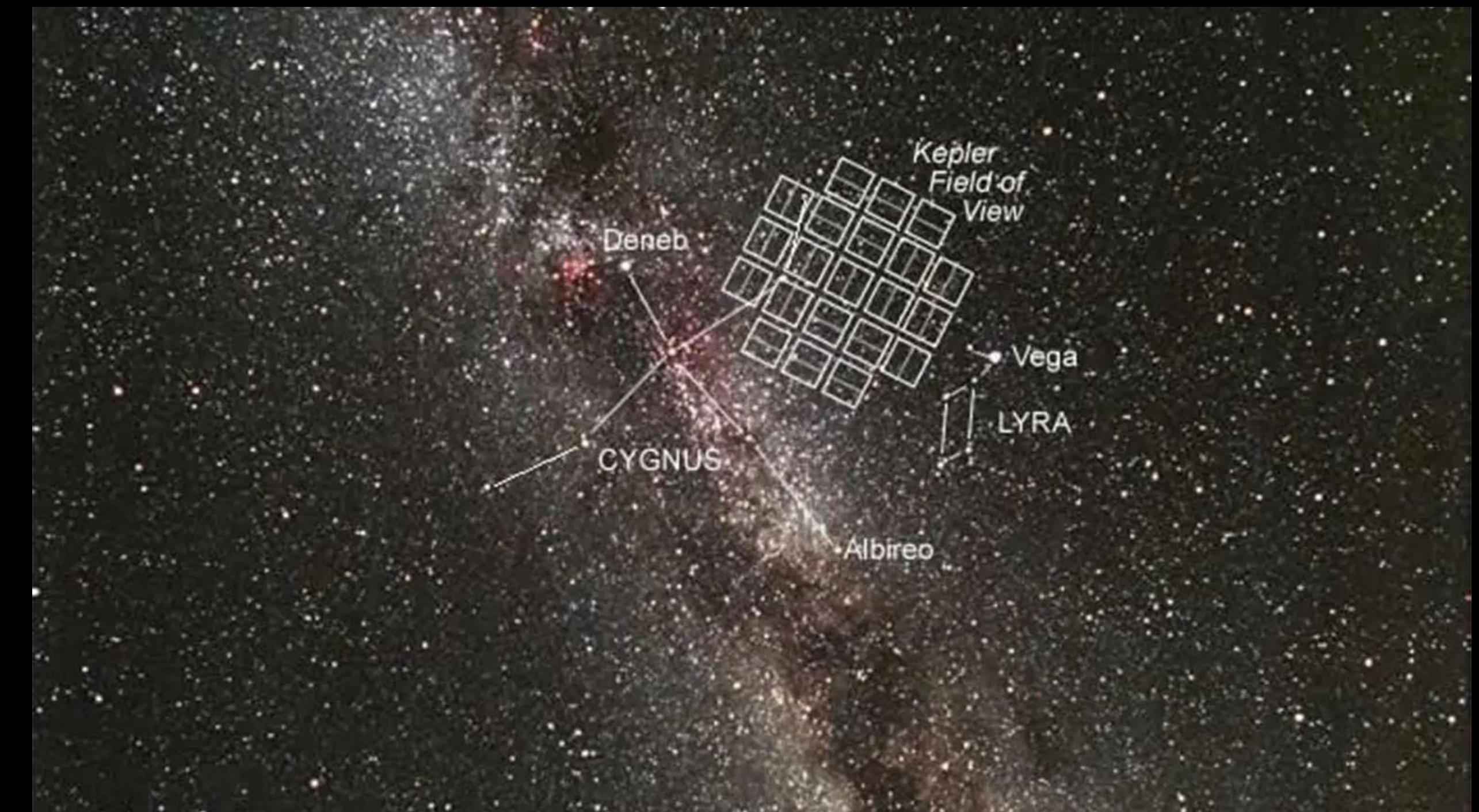


TESS

Characterization:

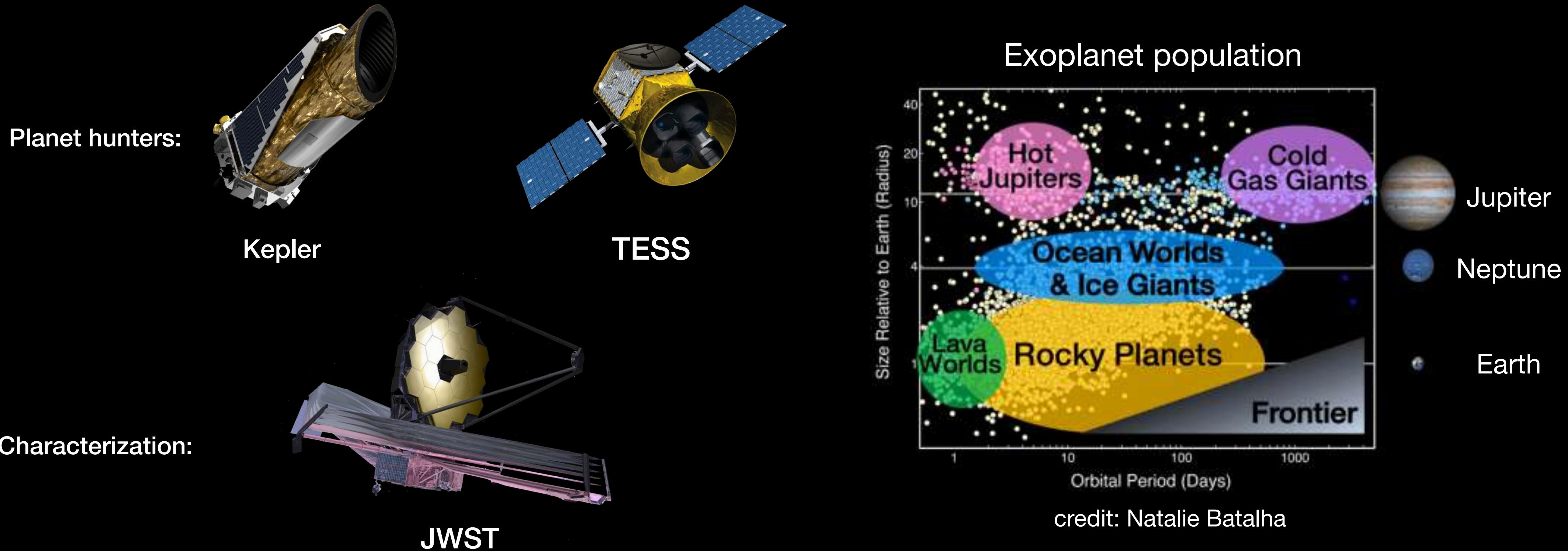


JWST



# Exoplanet science has come a long way!

confirmed exoplanets:  $N = 0$  before 1992  $\longrightarrow$   $N > 5000$  today



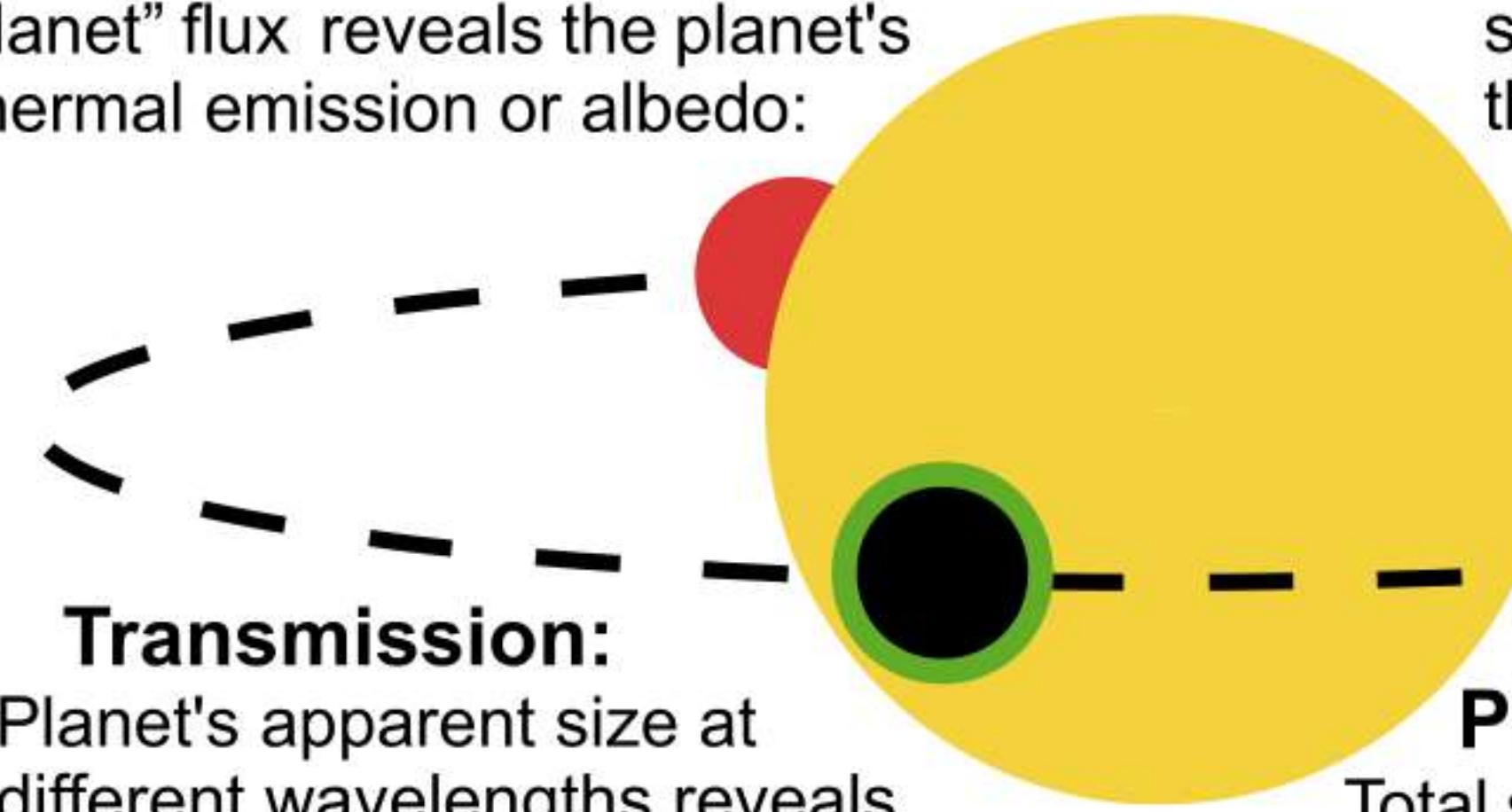
# Exploring exoplanet atmospheres from afar

## Eclipse:

Removing “star” from “star plus planet” flux reveals the planet’s thermal emission or albedo:

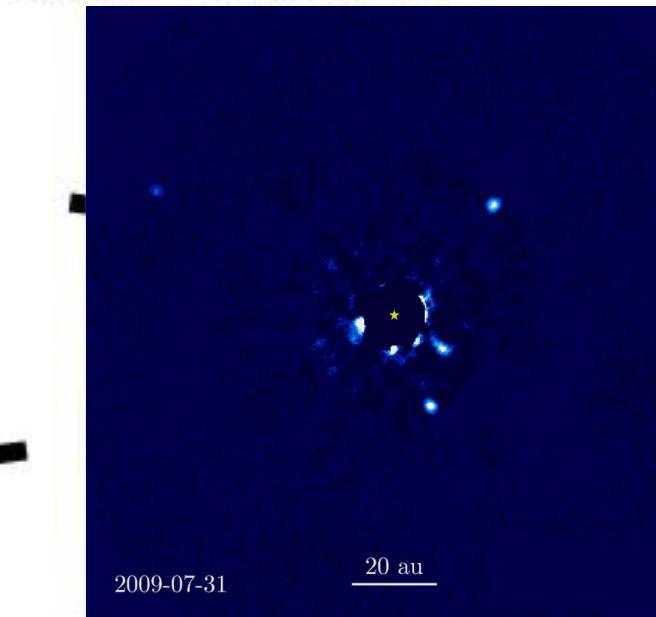
## Transmission:

Planet’s apparent size at different wavelengths reveals atmospheric opacity and composition.



## Direct Imaging:

Spatially resolving planet from star allows measurement of thermal emission or albedo.



1. two for the price of one
2. little info about R, M, T

## Phase Curves:

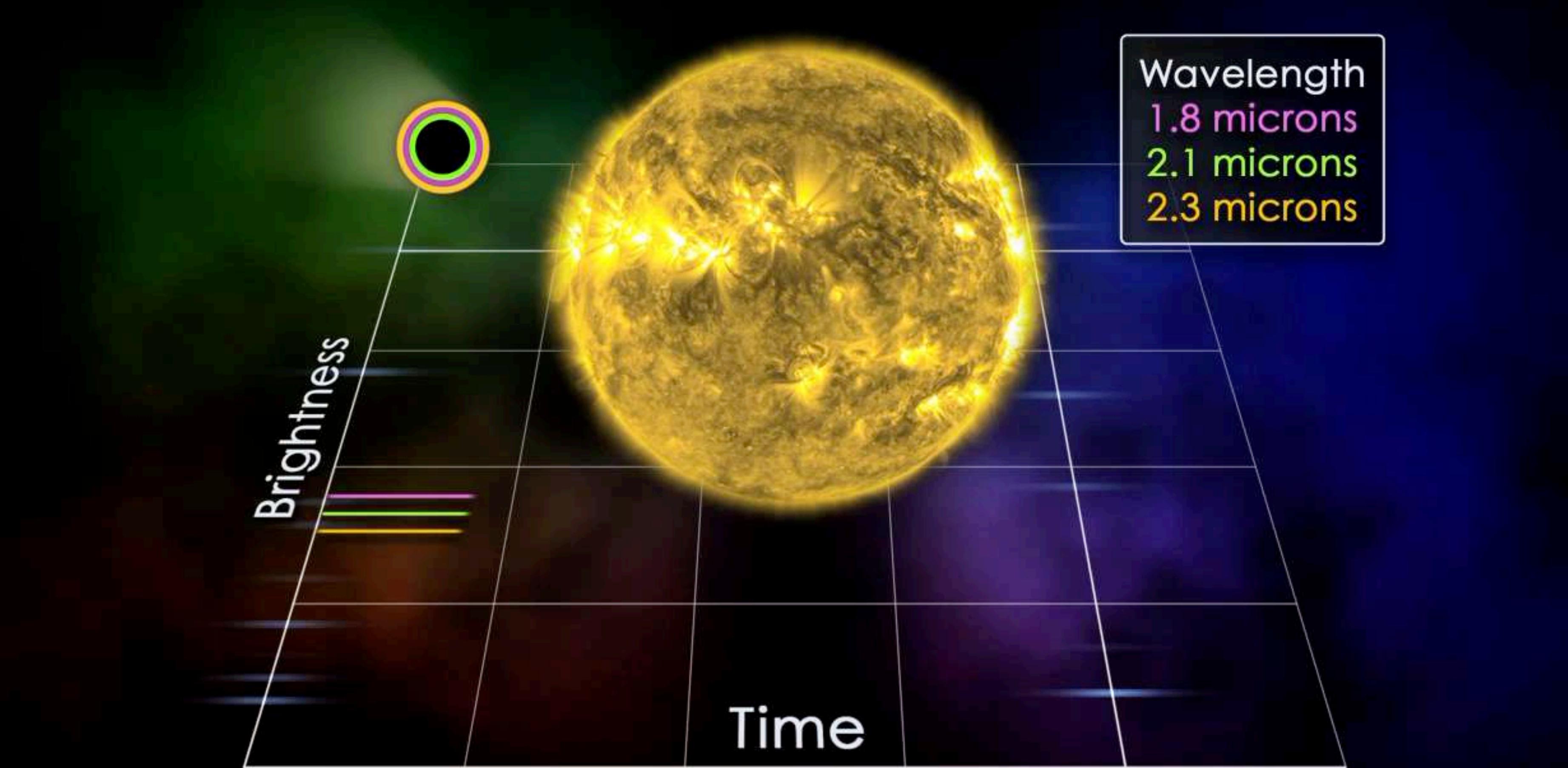
Total system light throughout an orbit constrains atmospheric circulation and/or composition.

# Transit

—4000+ exoplanets discovered

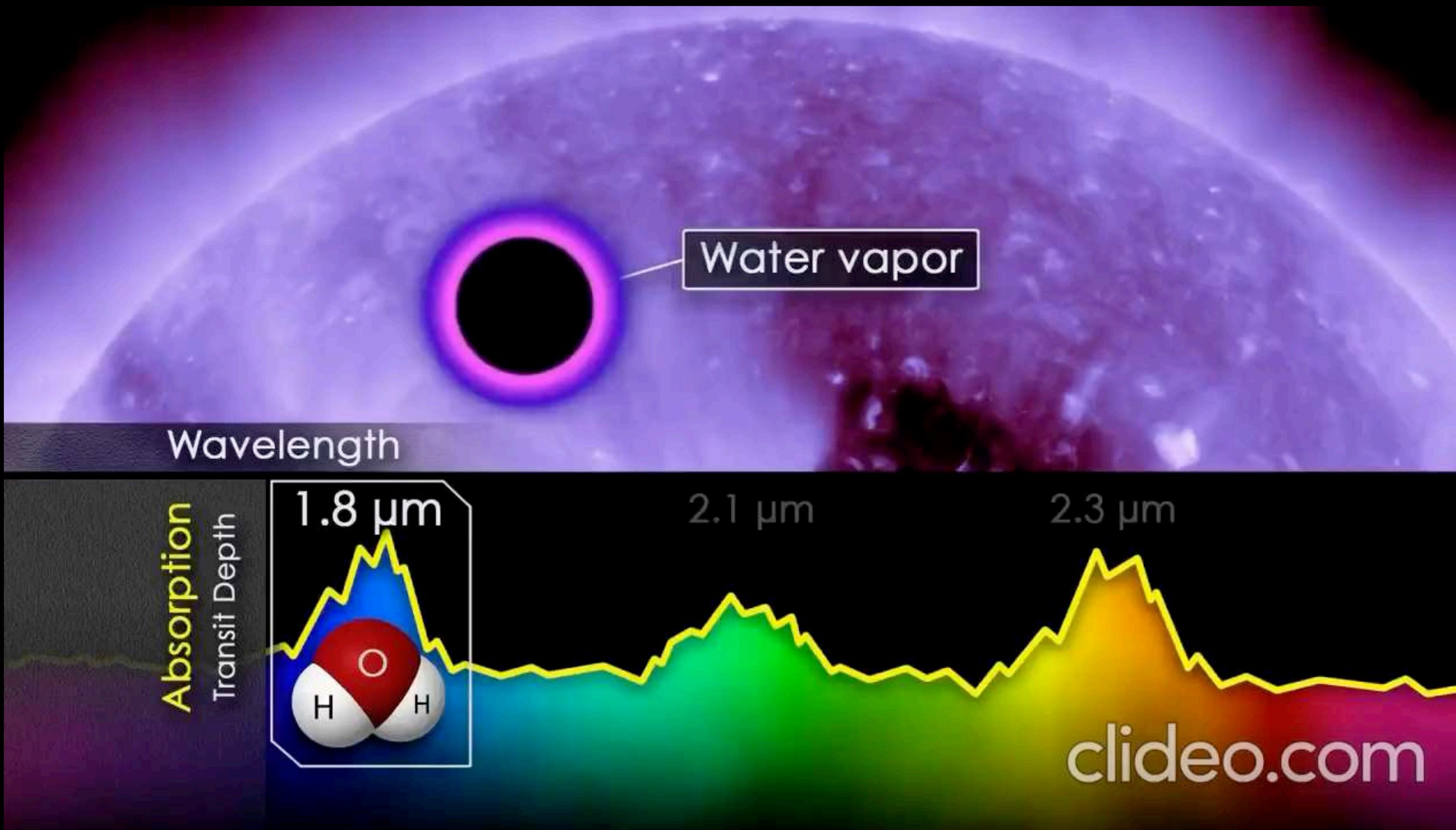


# Transmission spectroscopy



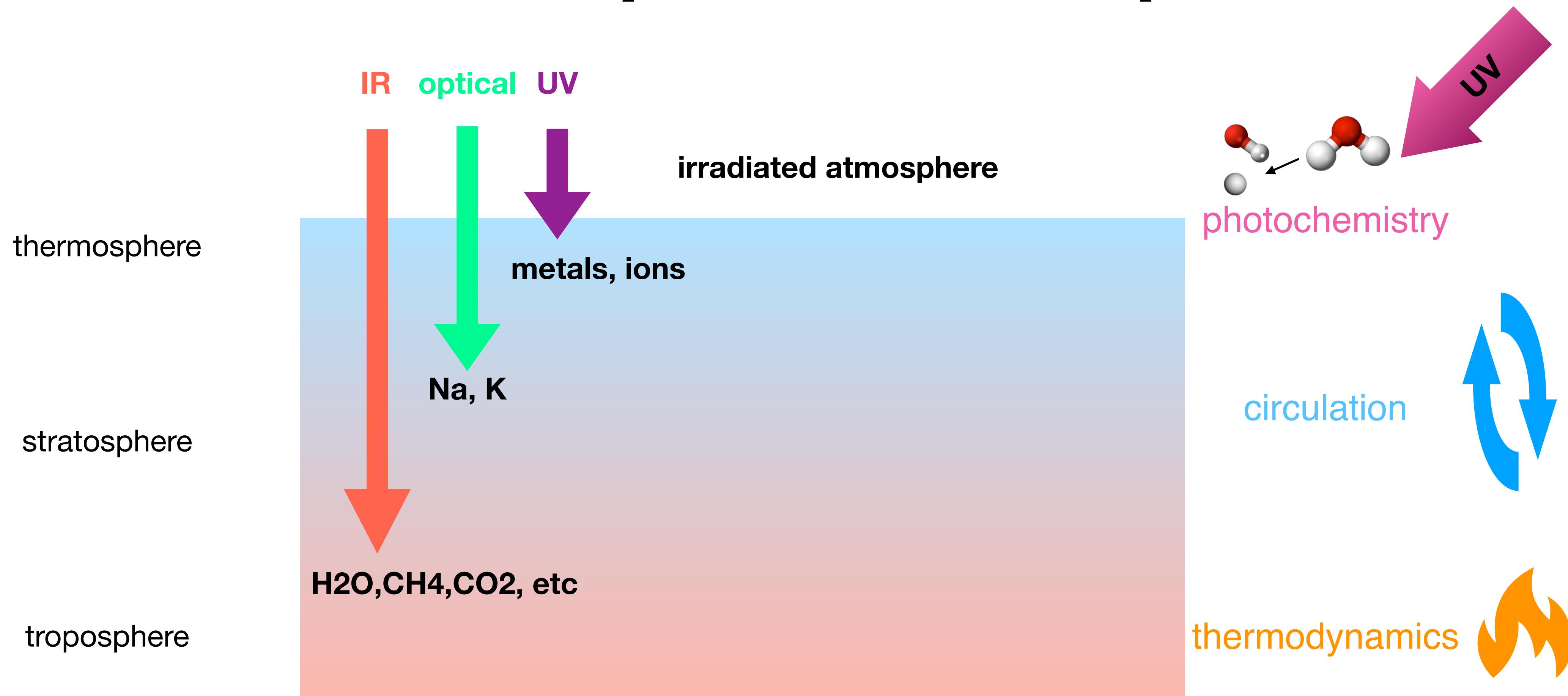
credit: NASA's Goddard Space Flight Center

# Transmission spectroscopy

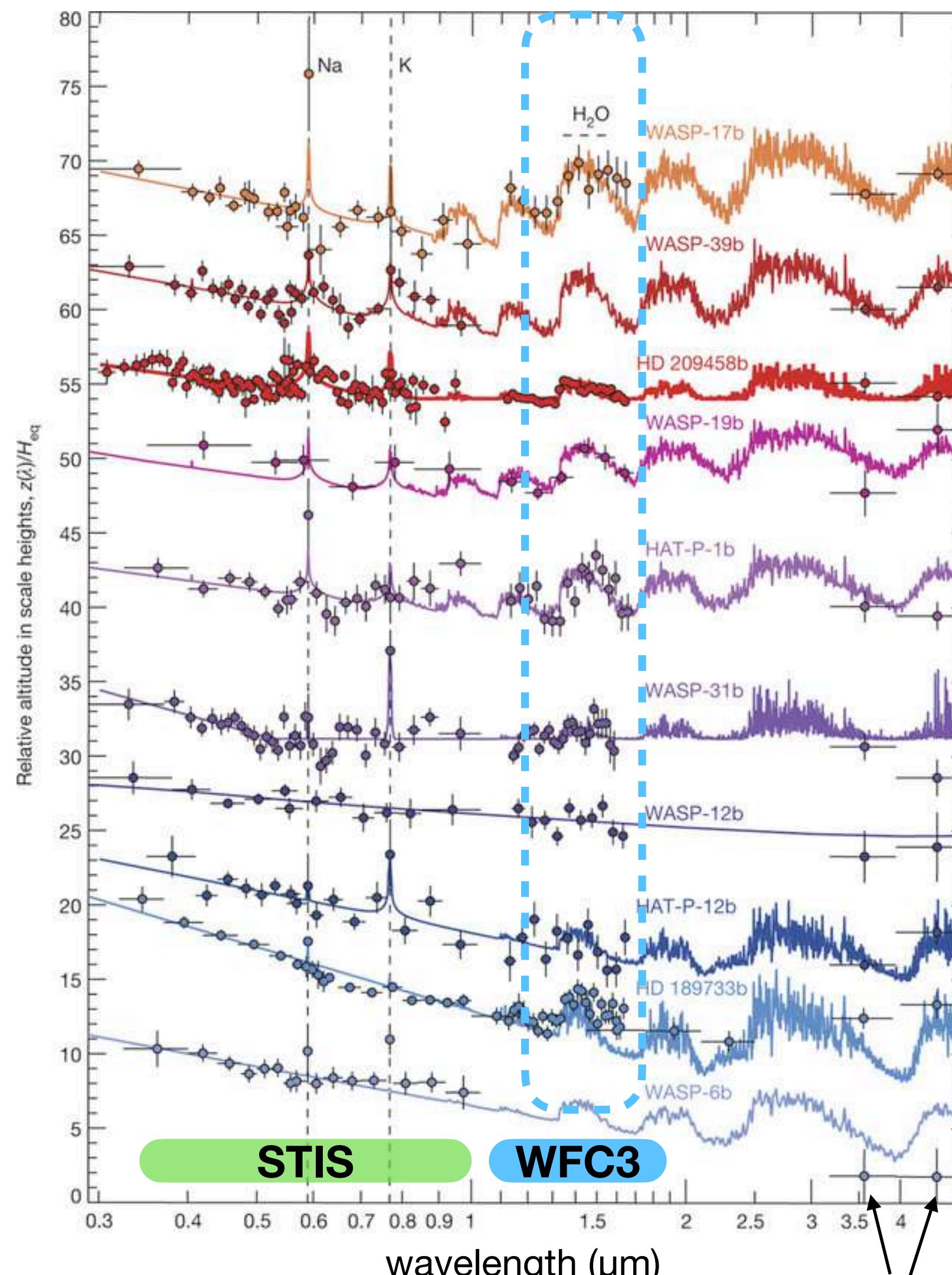


credit: NASA Goddard Media Studios

# What governs the atmospheric composition?

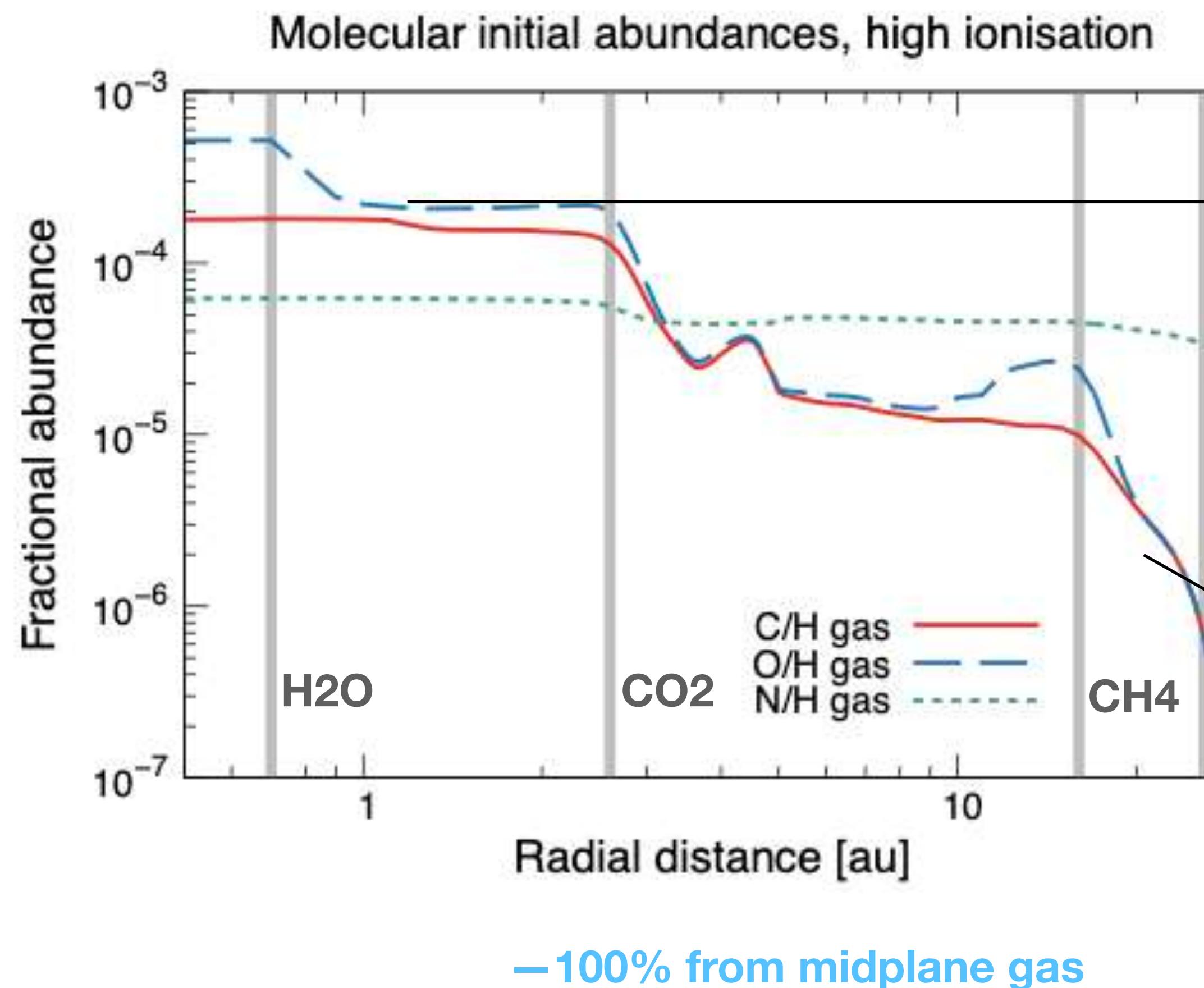


# Water is easier to measure for hot giant exoplanets

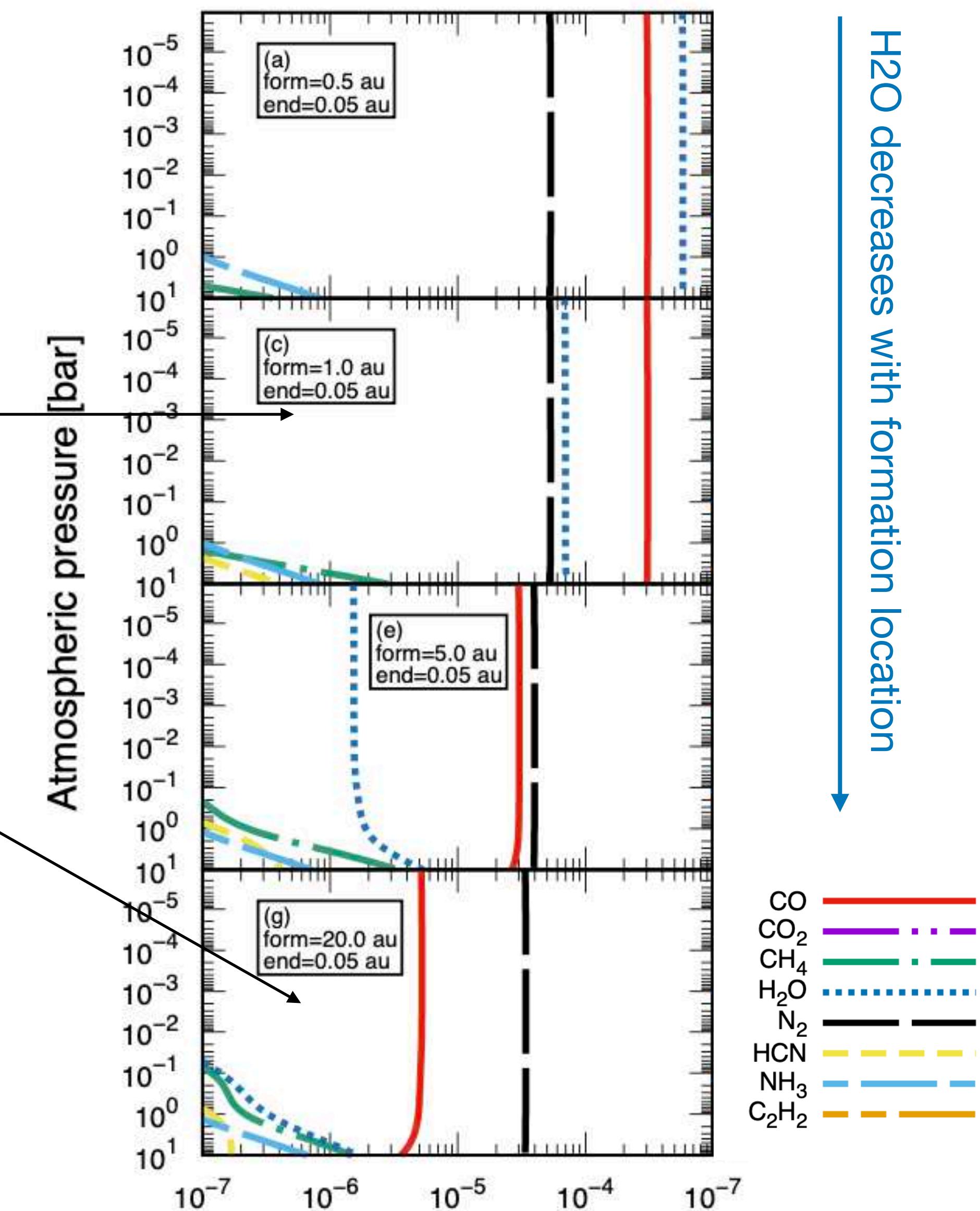


- Water is ubiquitous with varying absorption strength
- Enhanced optical scattering slopes

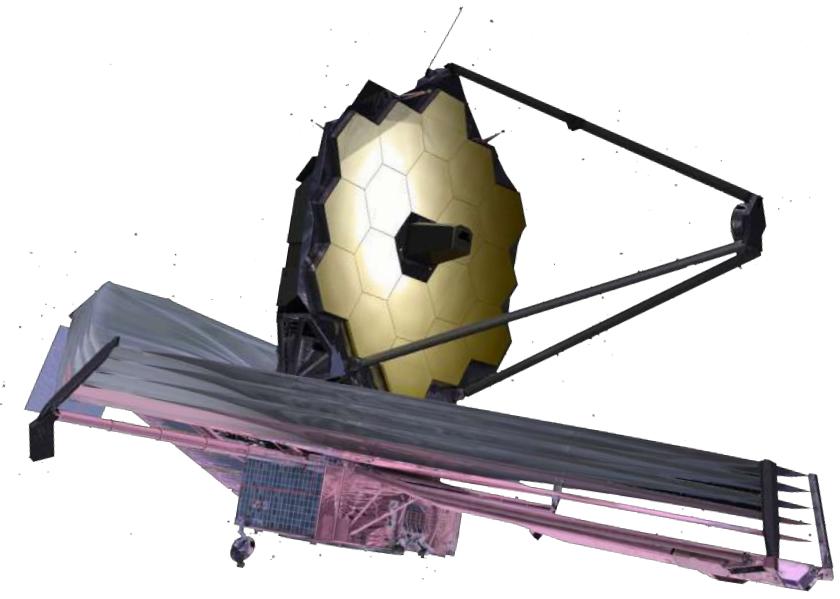
# Formation locations relative to snowline positions



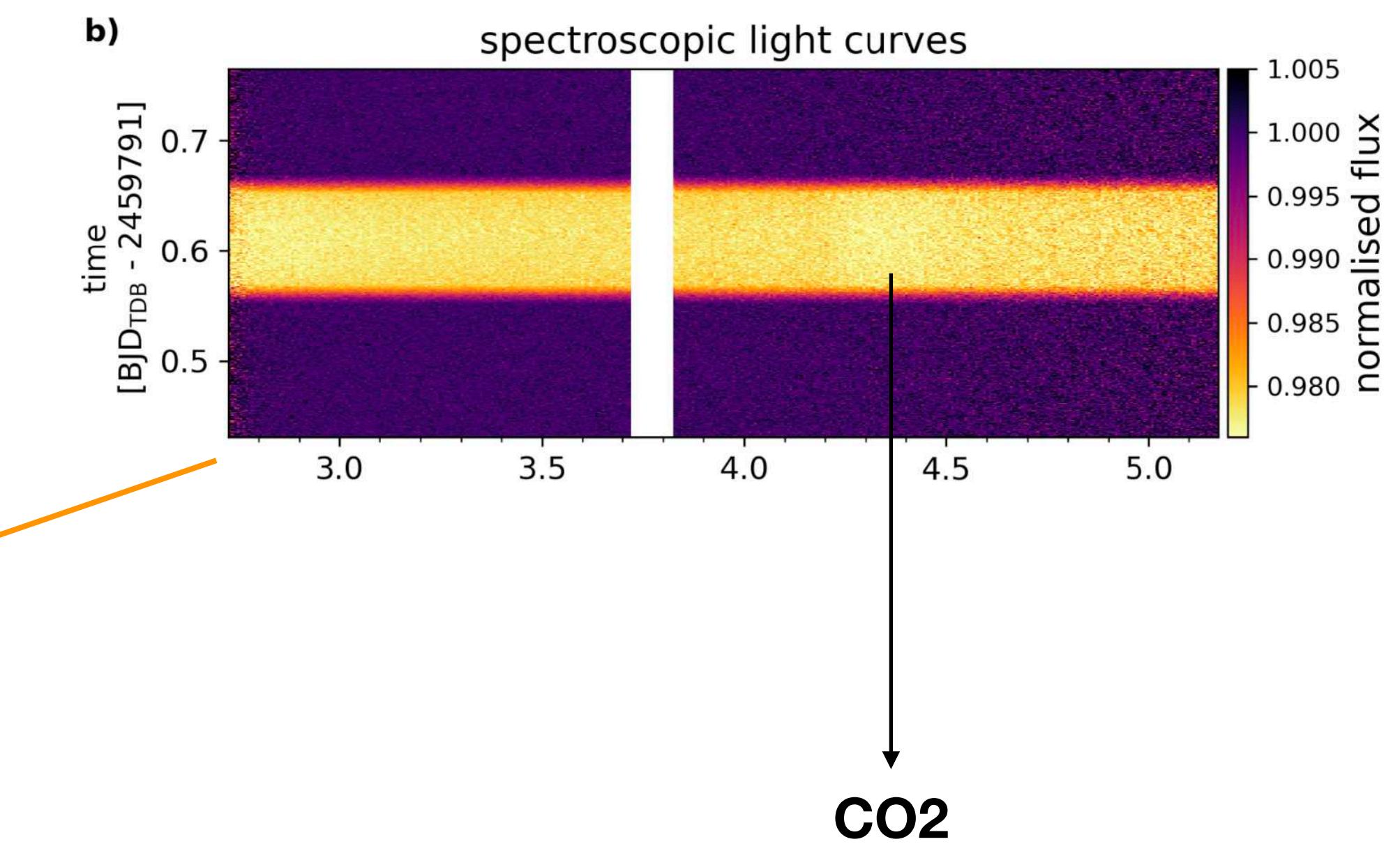
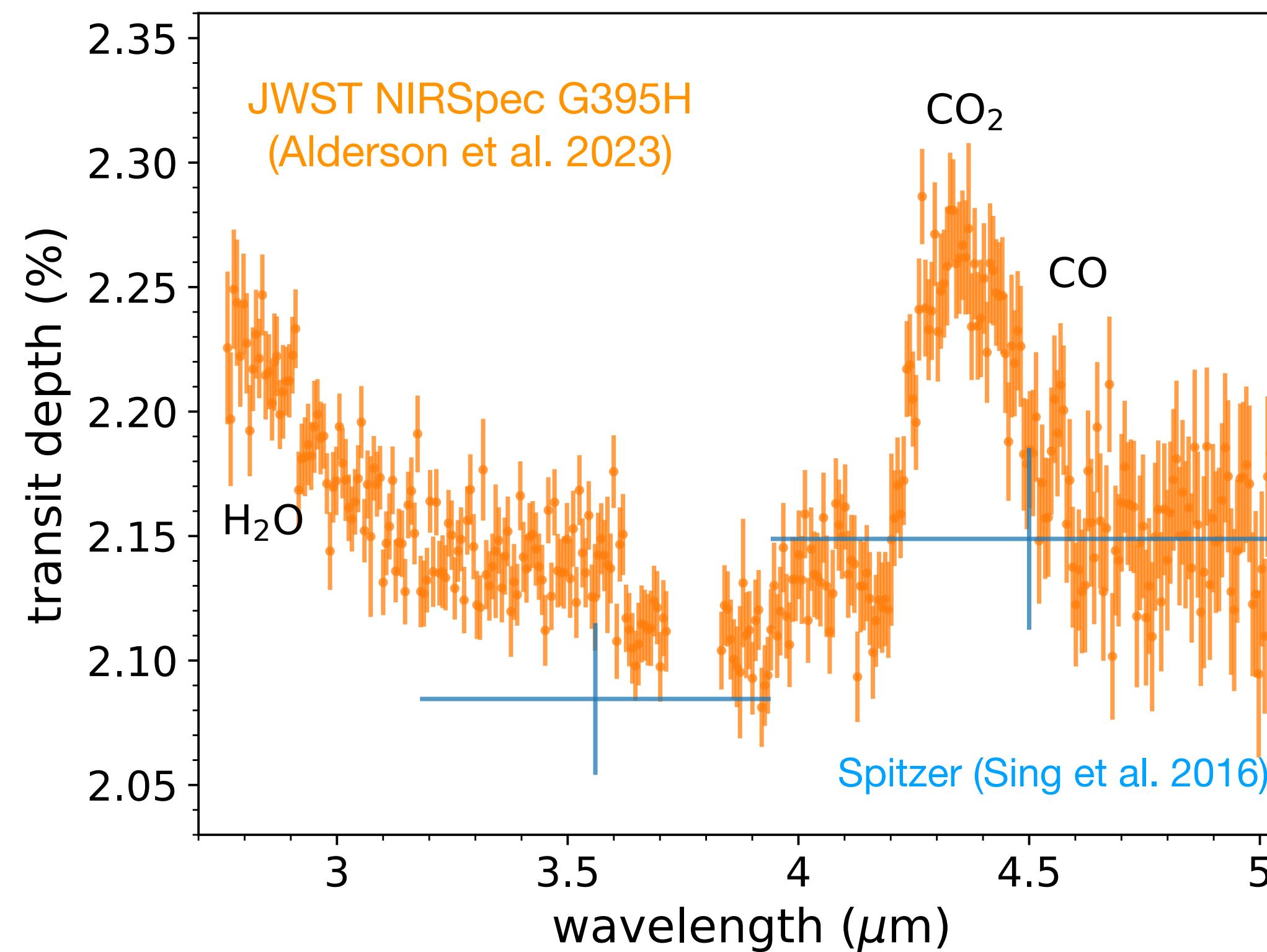
Notsu et al. (2020)

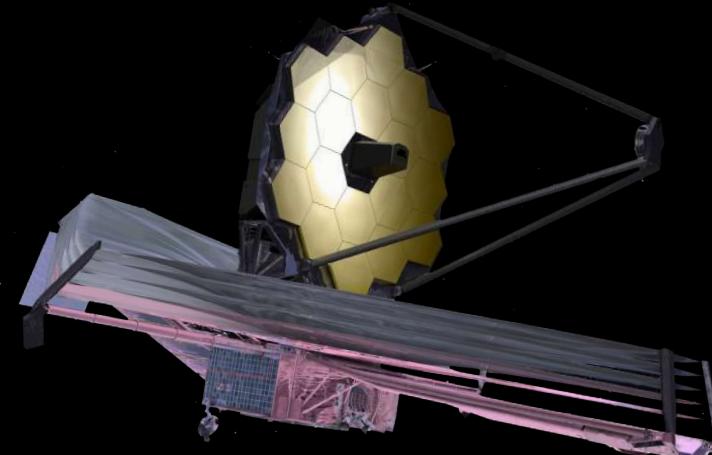


H<sub>2</sub>O decreases with formation location



# JWST opens the IR window

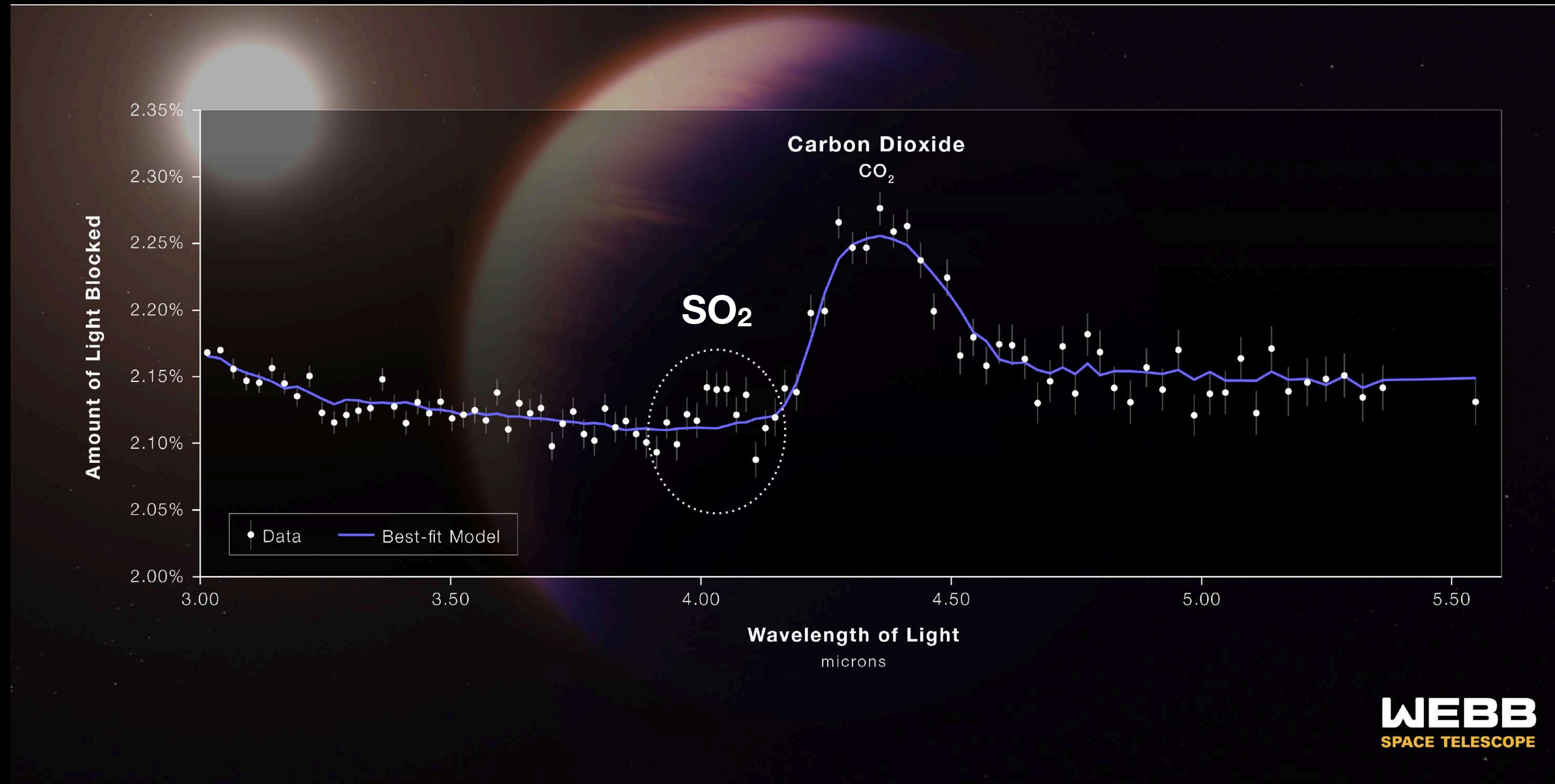




# Mysterious Molecule

WASP-39 b

NIRSpec | Bright Object Time-Series Spectroscopy



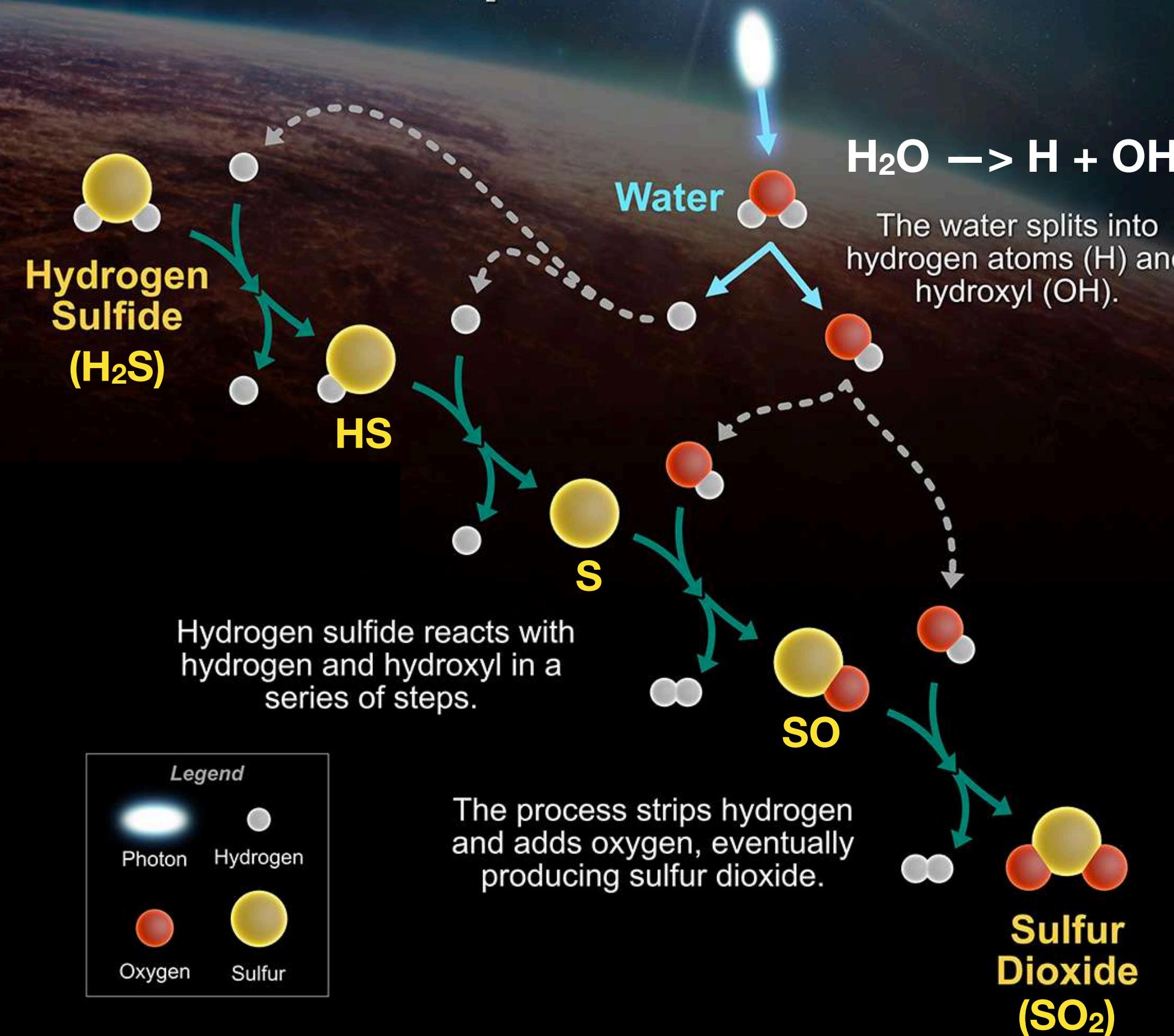
**WEBB**  
SPACE TELESCOPE

image: JPL/NASA

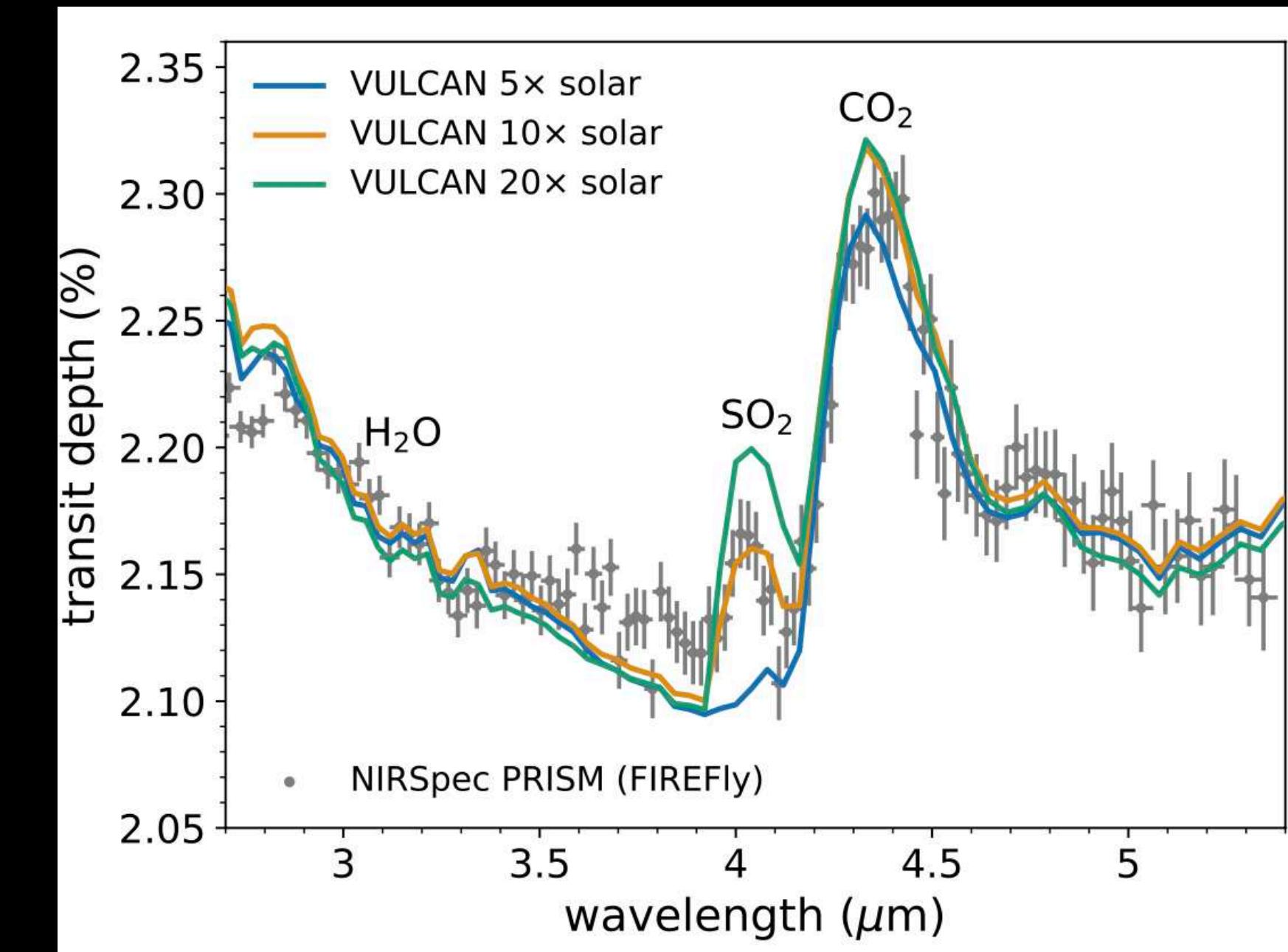
# Sulfur dioxide ( $\text{SO}_2$ ) reveals photochemistry and formation history

## Chemical Reactions Caused by Starlight

Photons from WASP-39 b's nearby star interact with abundant water molecules ( $\text{H}_2\text{O}$ ) in the exoplanet's atmosphere.

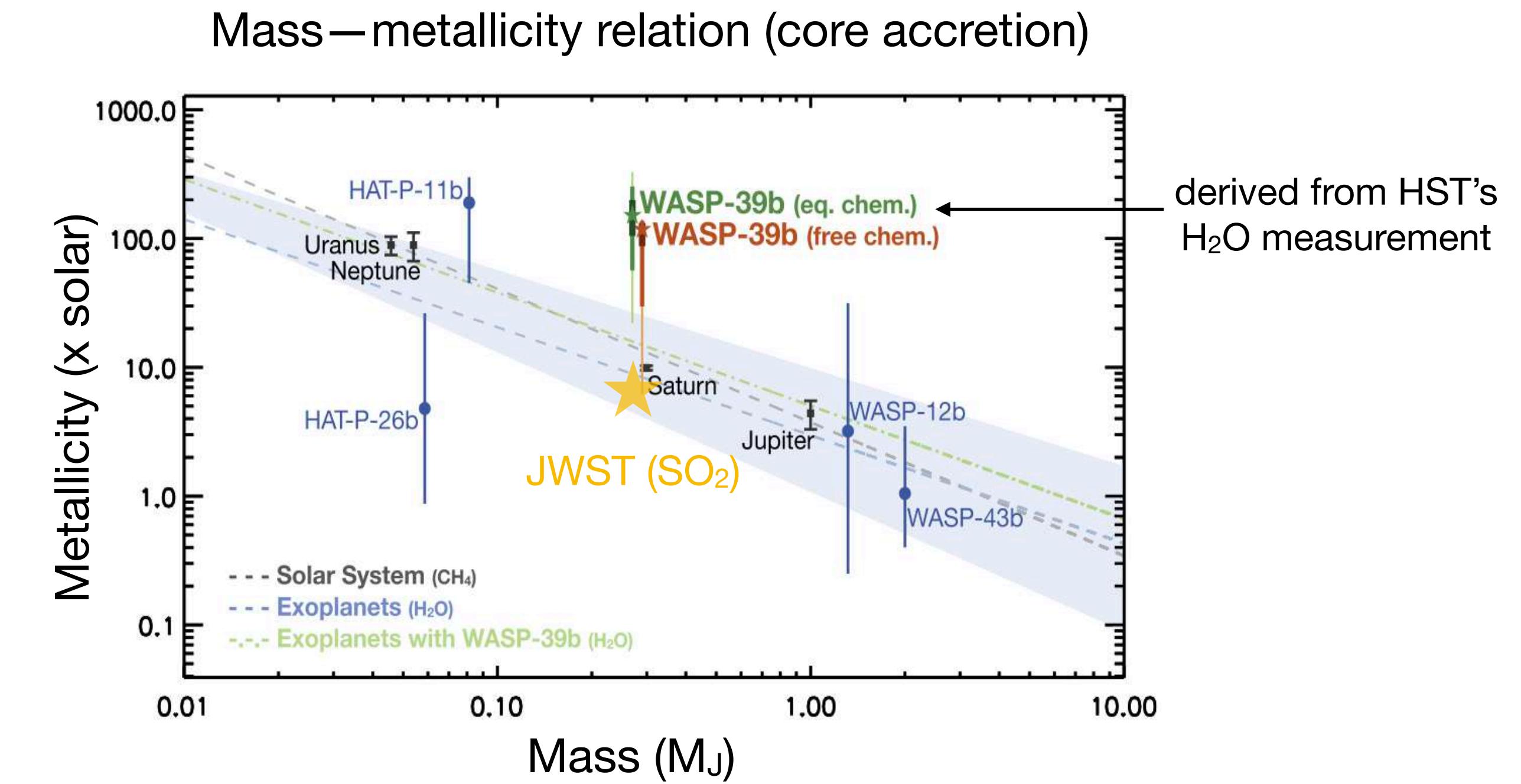
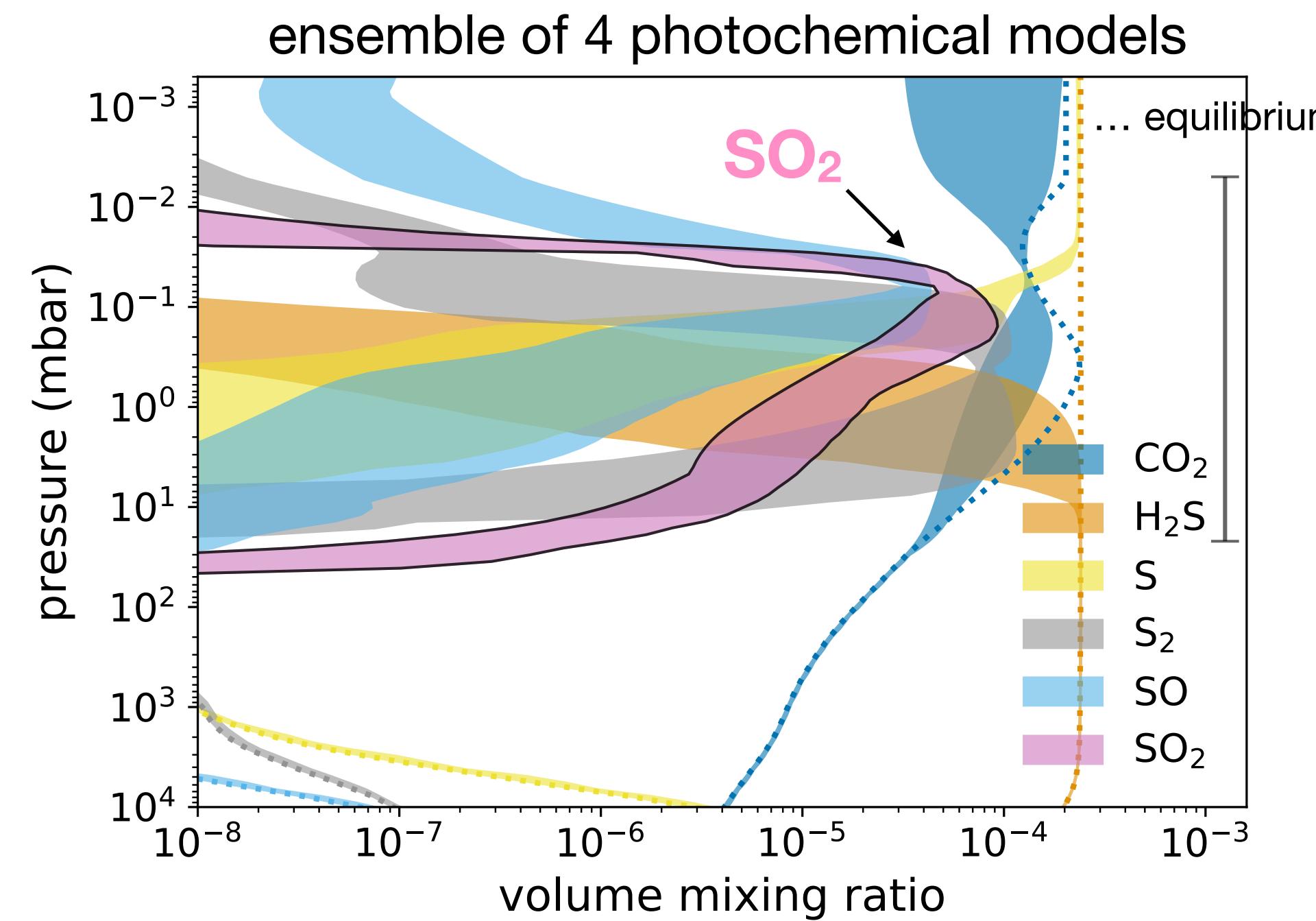


$\text{SO}_2$  is a good tracer for **metallicity** (heavy elements)

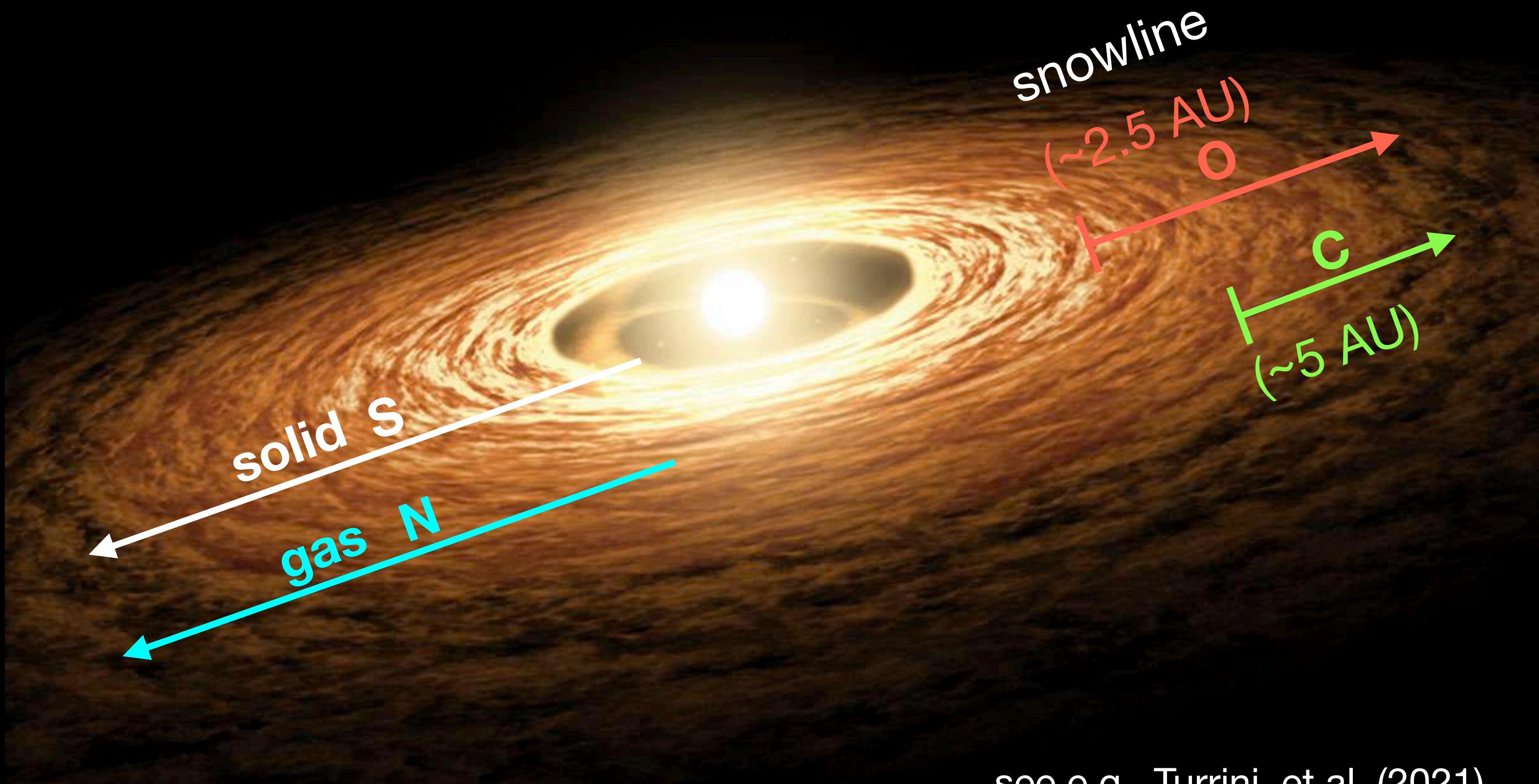


Tsai et al. (2023a, Nature)

# Refined mass-metalllicity relation

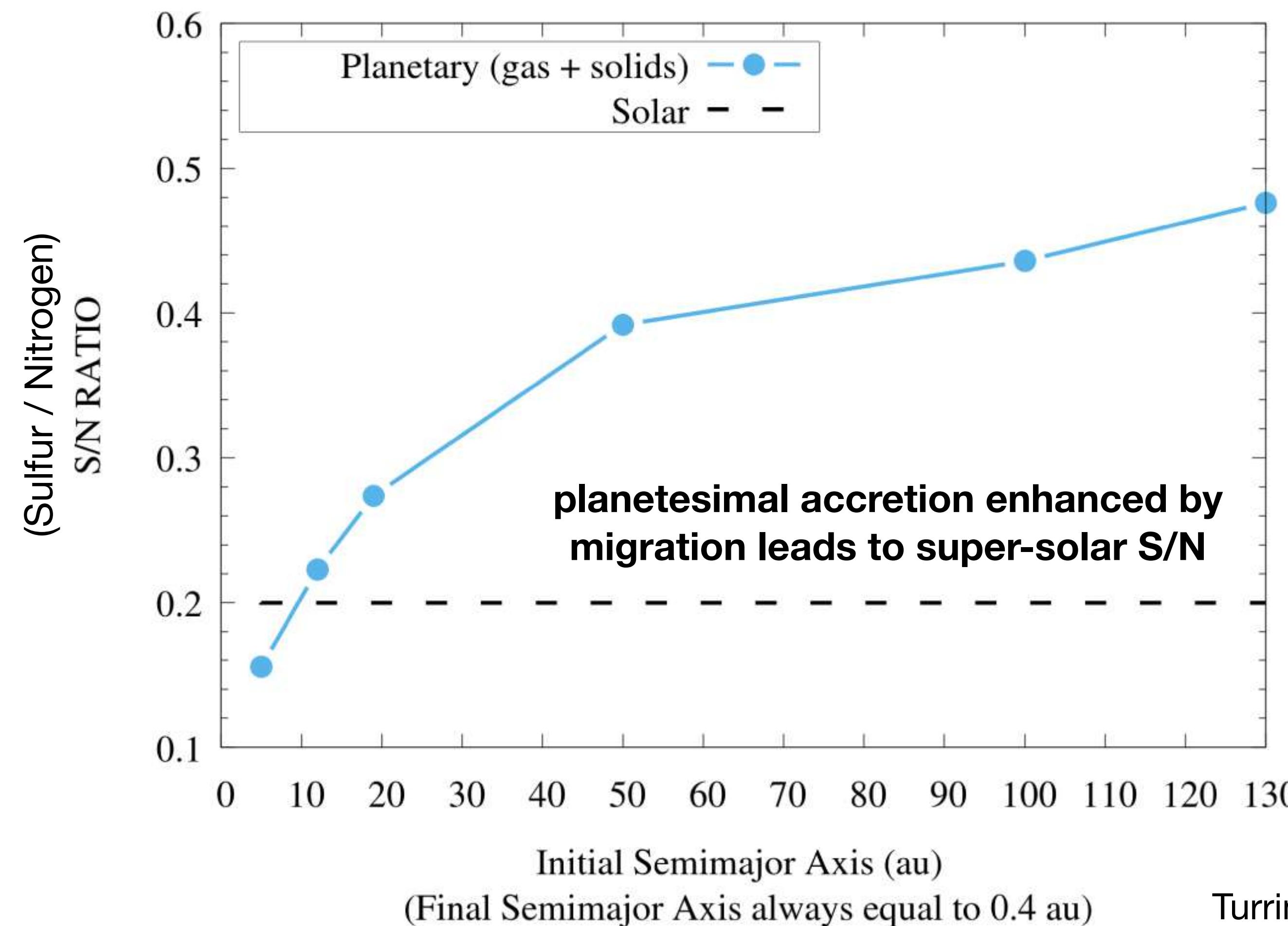


# Insights into solid accretion



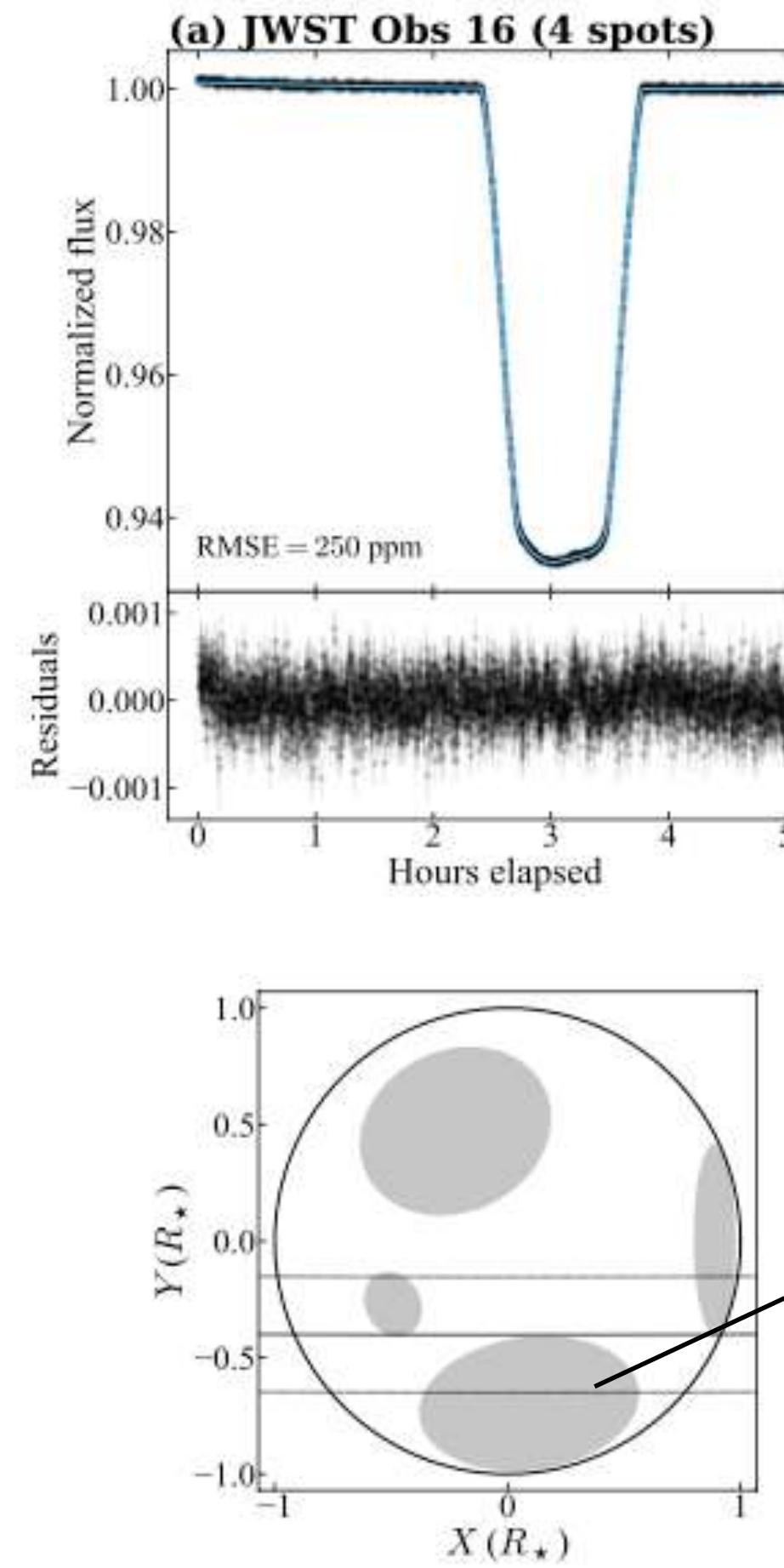
see e.g., Turrini et al. (2021),  
Pacetti et al. (2022), Jorge et al. (2022)

# Sulfur provides insights into planetary migration history



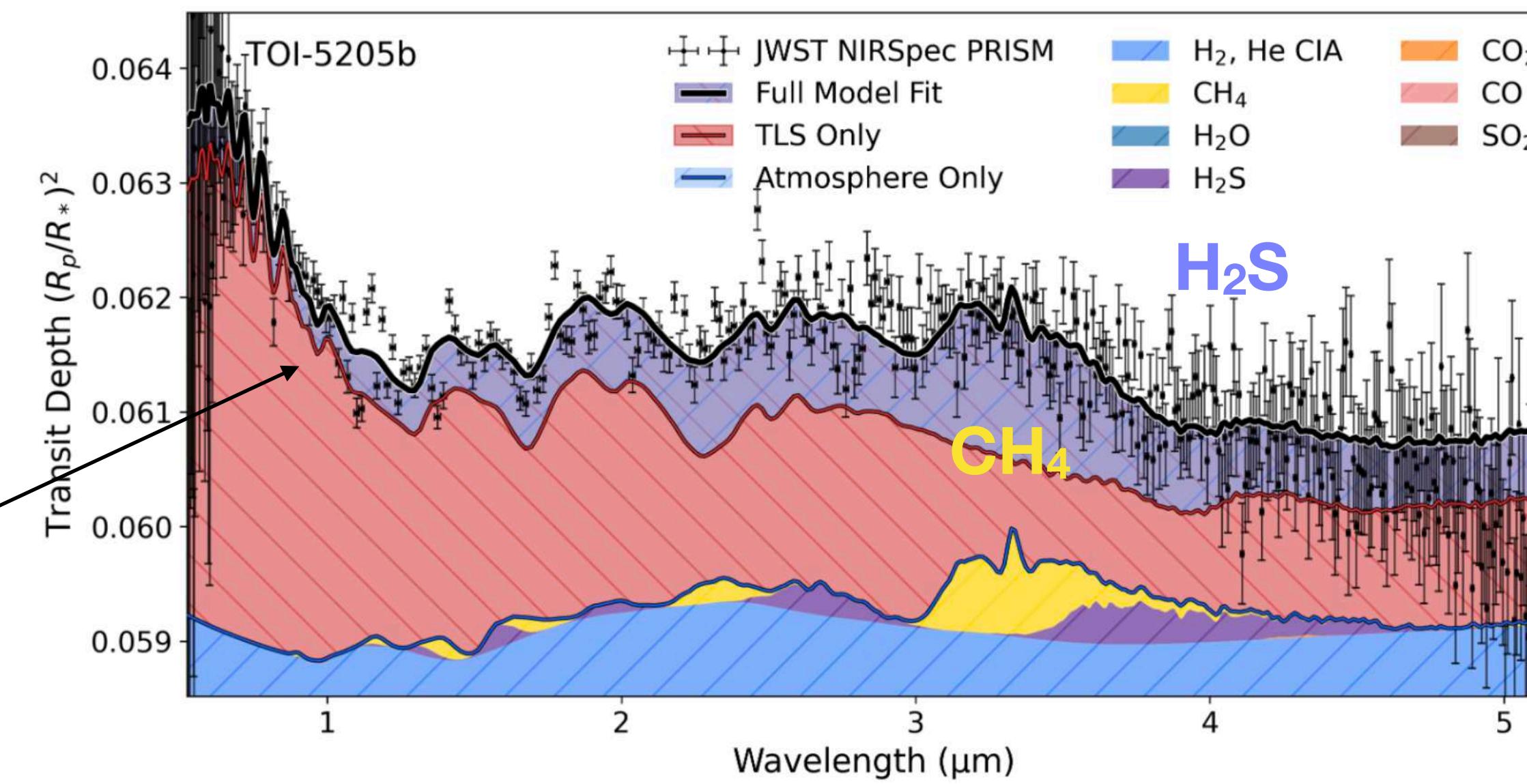
Turrini et al. (2021)

# Very low metallicity and super-solar C/O revealed on a M-star giant?

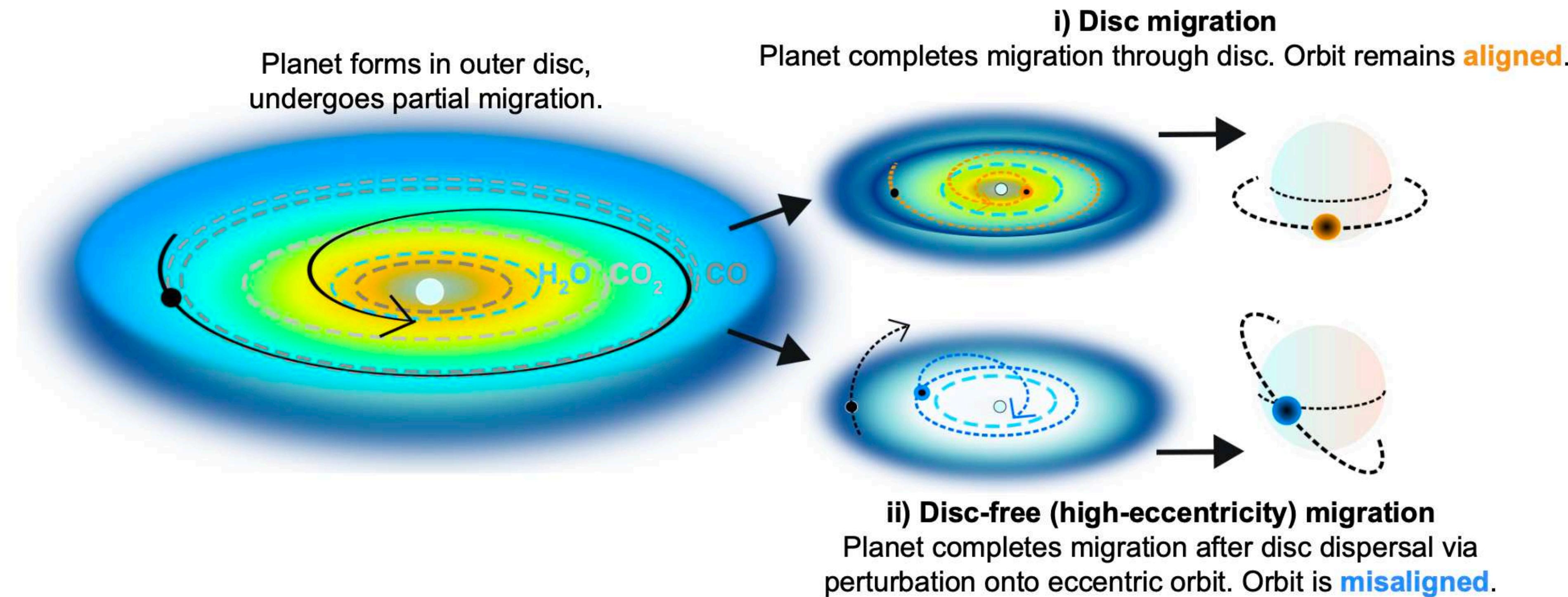


GEMS (7 M-star giants) JWST Cycle 2 GO #3171

- Detection of CH<sub>4</sub> and H<sub>2</sub>S on a M-star gas giant suggests low metallicity and high S/H, C/O ratios which implies extensive **migration** and solid enrichment

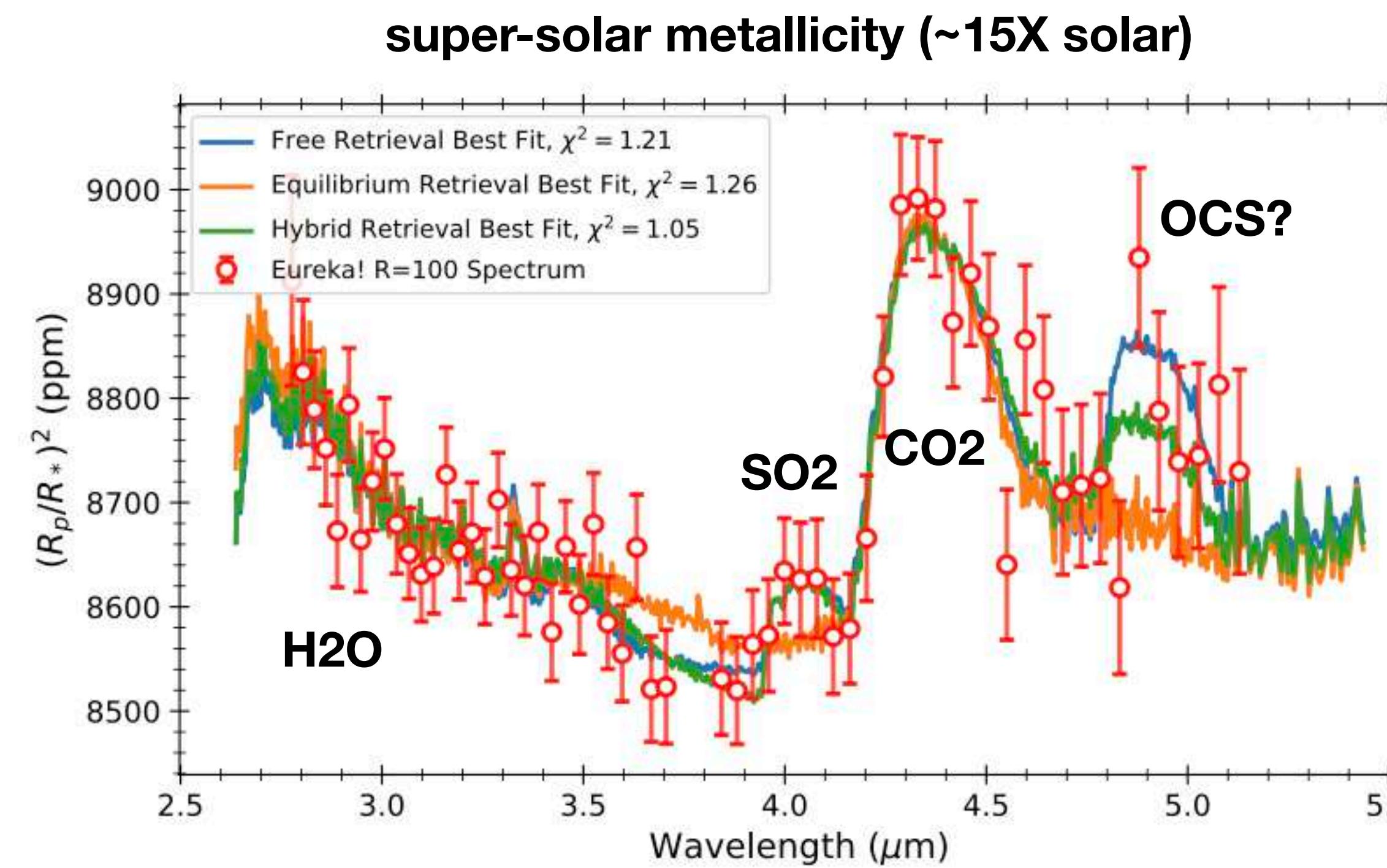


# Distinguish different migration pathways

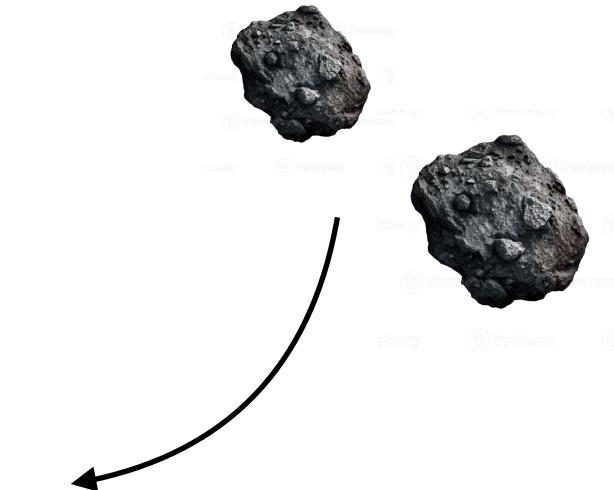


# Distinguish different migration pathways

WASP-15 b **obliquity = - 139.6**



late accretion of solids?

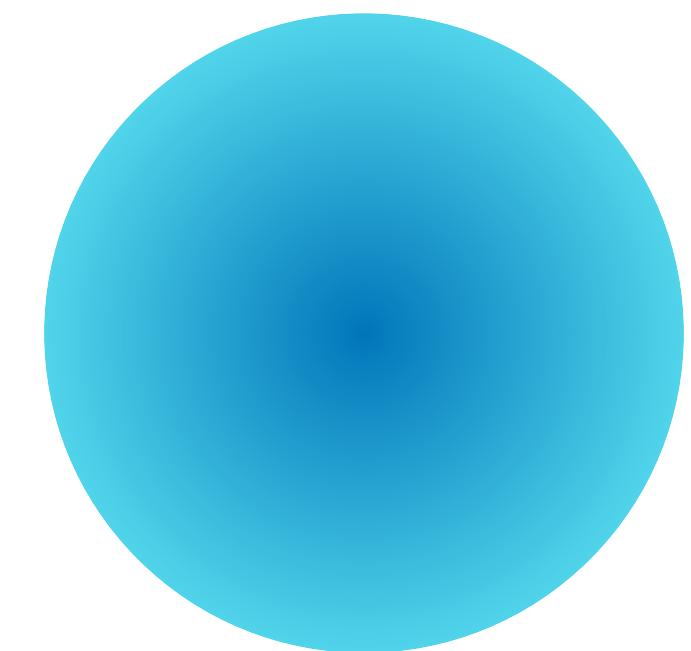


Kirk et al. (2025, inc. Tsai)

# Most common class of planets is missing in our solar system



Earth

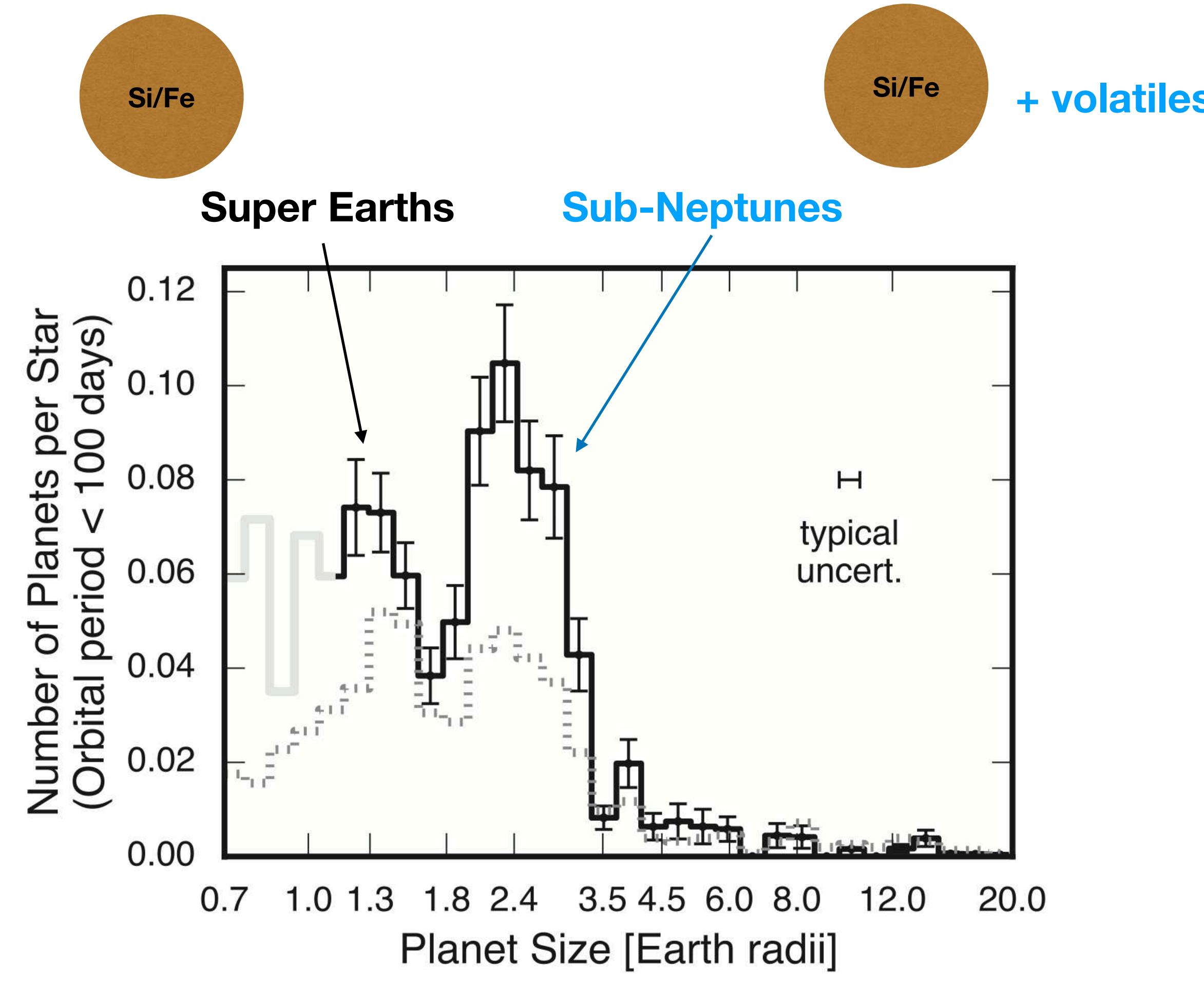


A common exoplanet size  
 $R_{\text{Earth}} < R < R_{\text{Neptune}}$

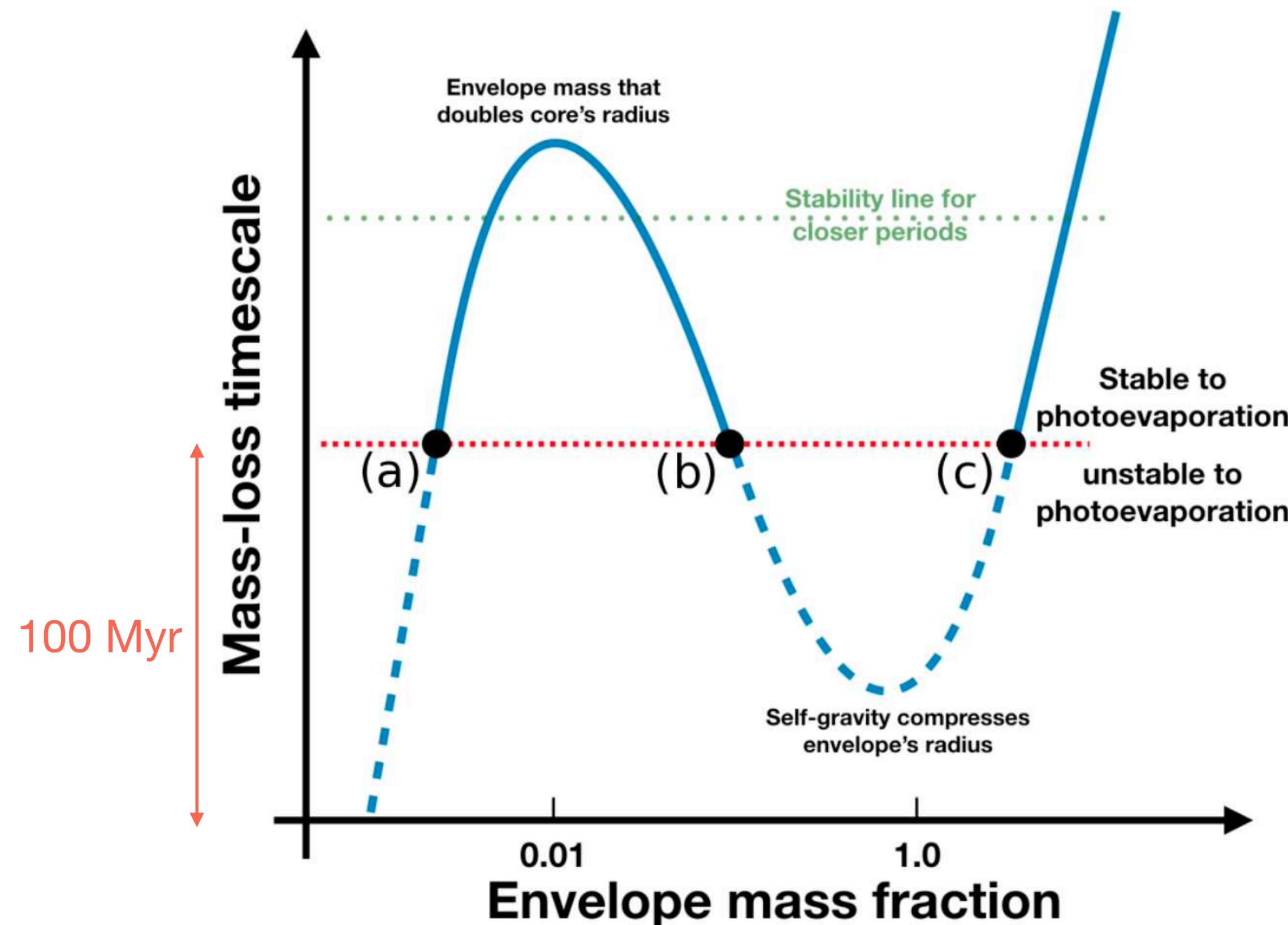


Neptune  
 $R \sim 4$  Earth radii

# Small planets come in two sizes

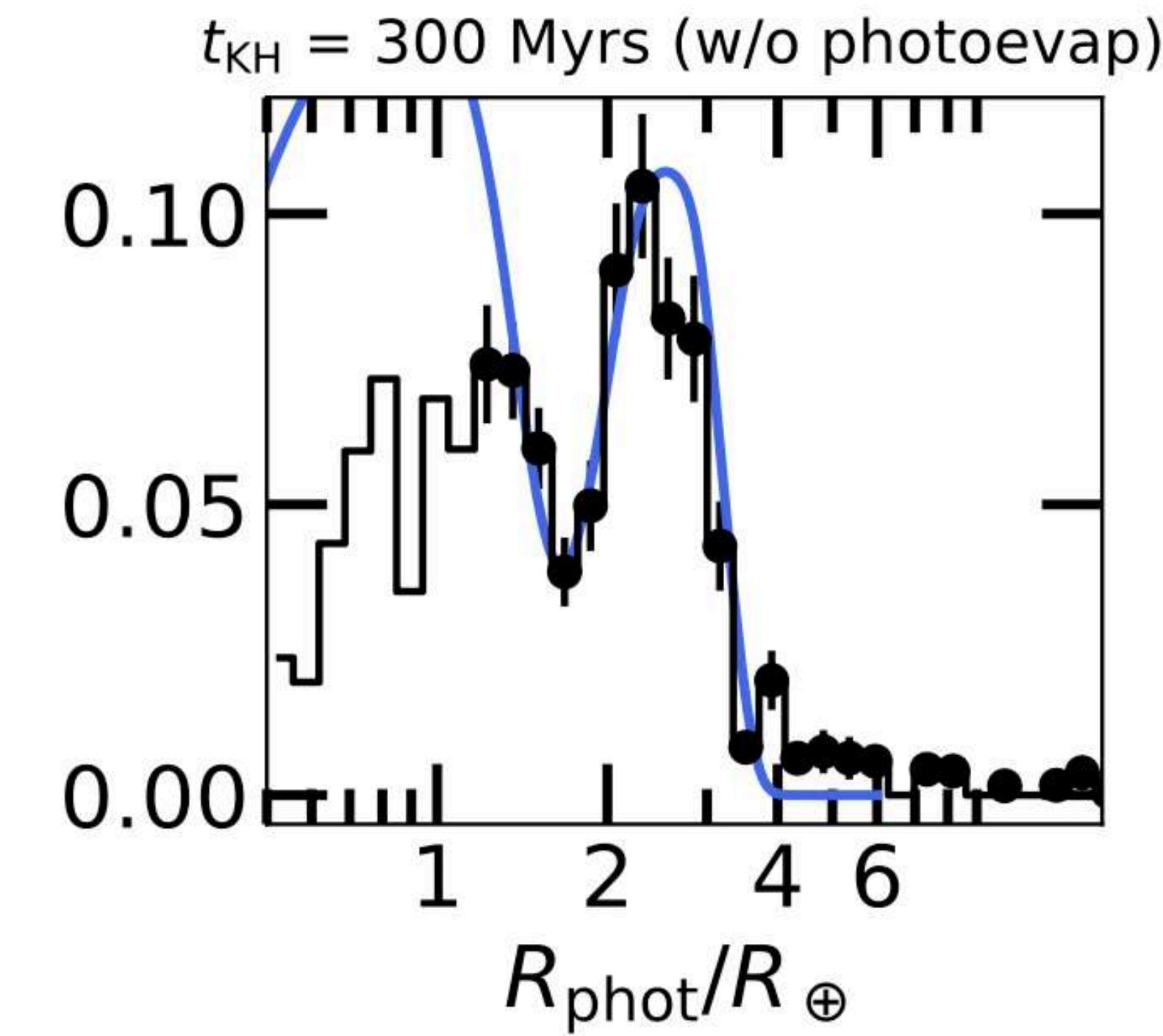


# Mass loss timescale of photoevaporation



# Primordial Radius Gap

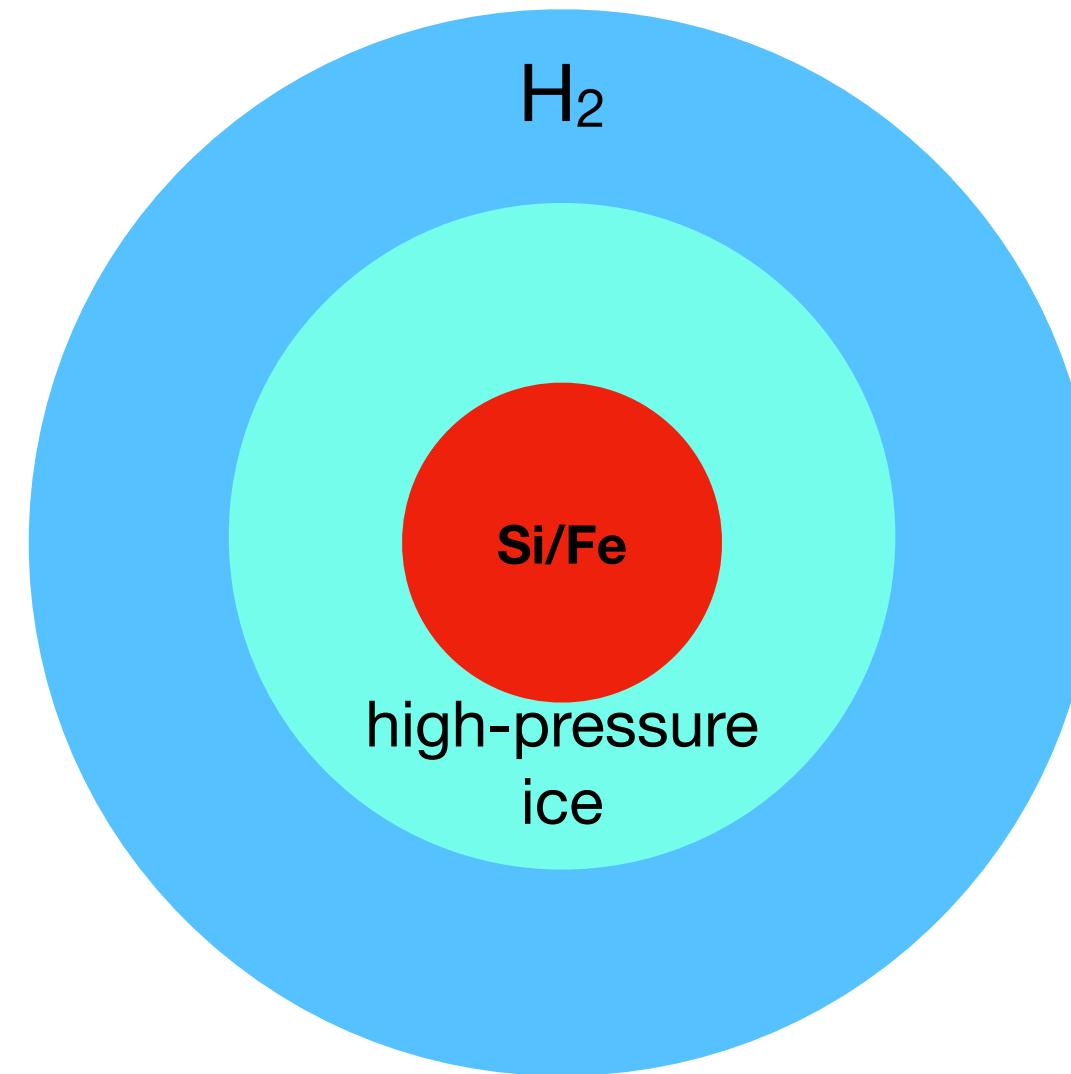
- Super-Earth cores are formed late in gas-poor environments
- Dusty atmosphere delays cooling



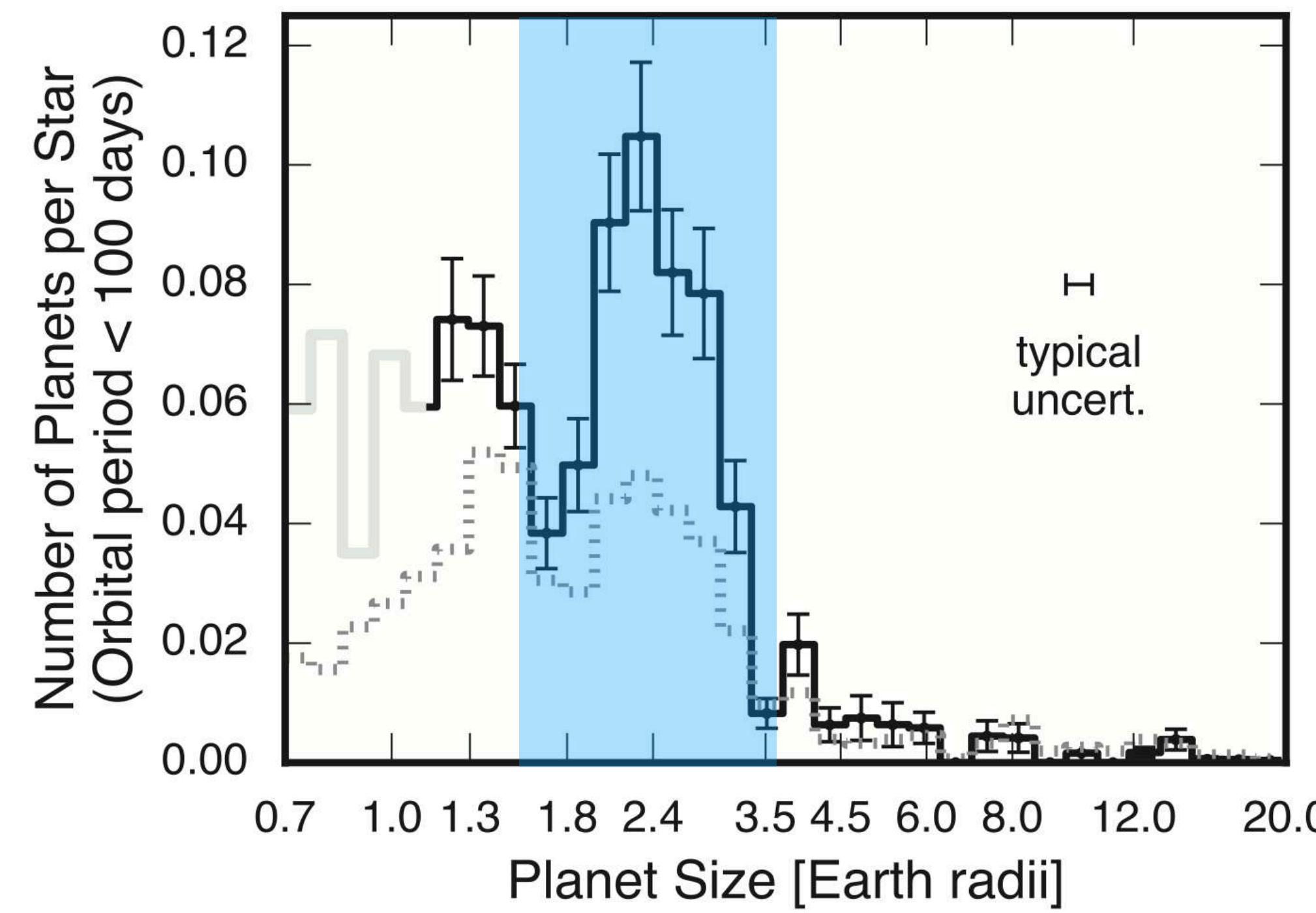
Lee & Chiang (2016), Lee & Connors (2021)

# Rocky worlds or sub-Neptunes?

**dense core  
thick atmosphere**

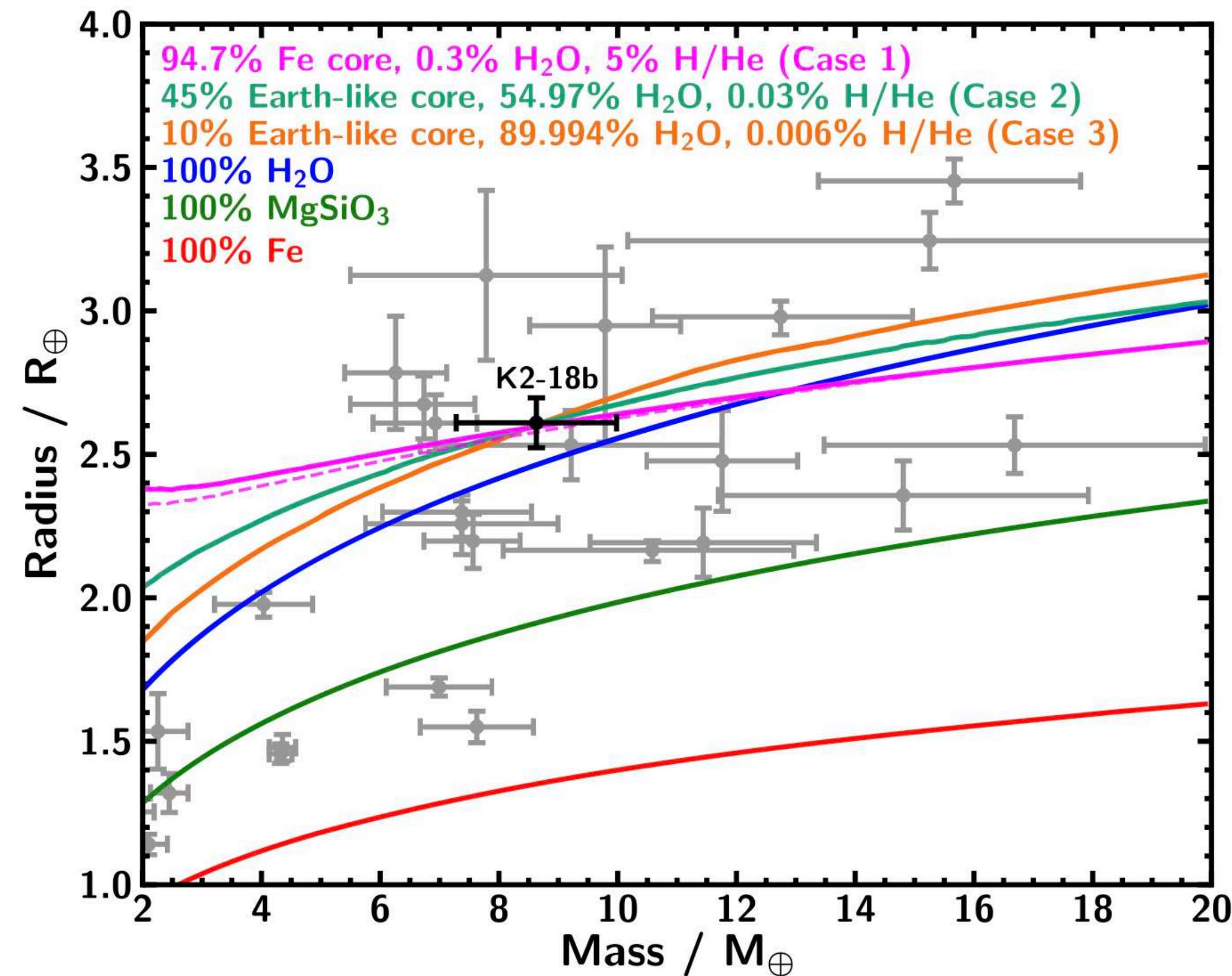


**light core  
thin atmosphere**

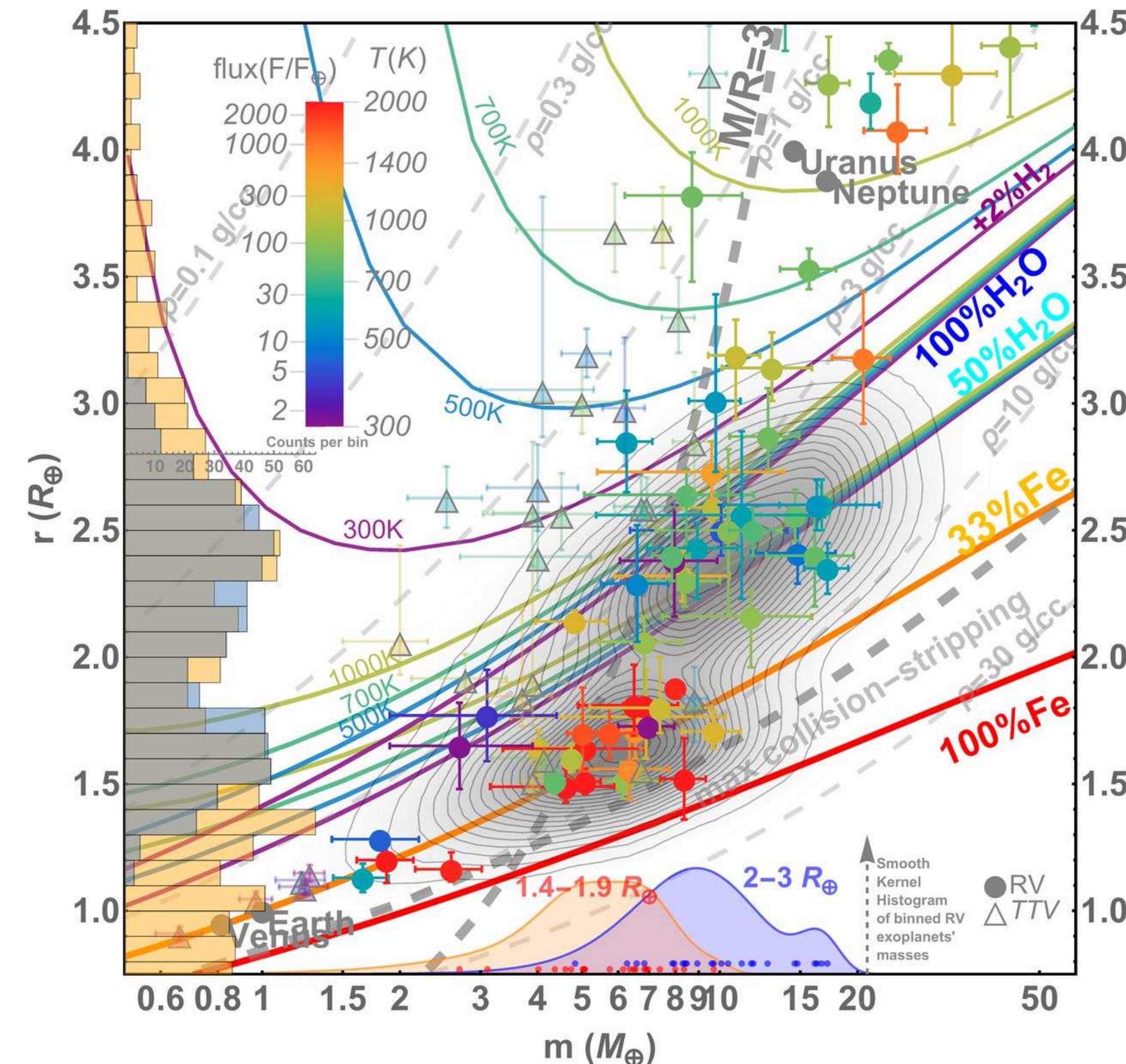


Fulton & Petigura (2018)

# Internal composition degeneracy



# The population above the gas is water worlds ( $> 1/4$ H<sub>2</sub>O)

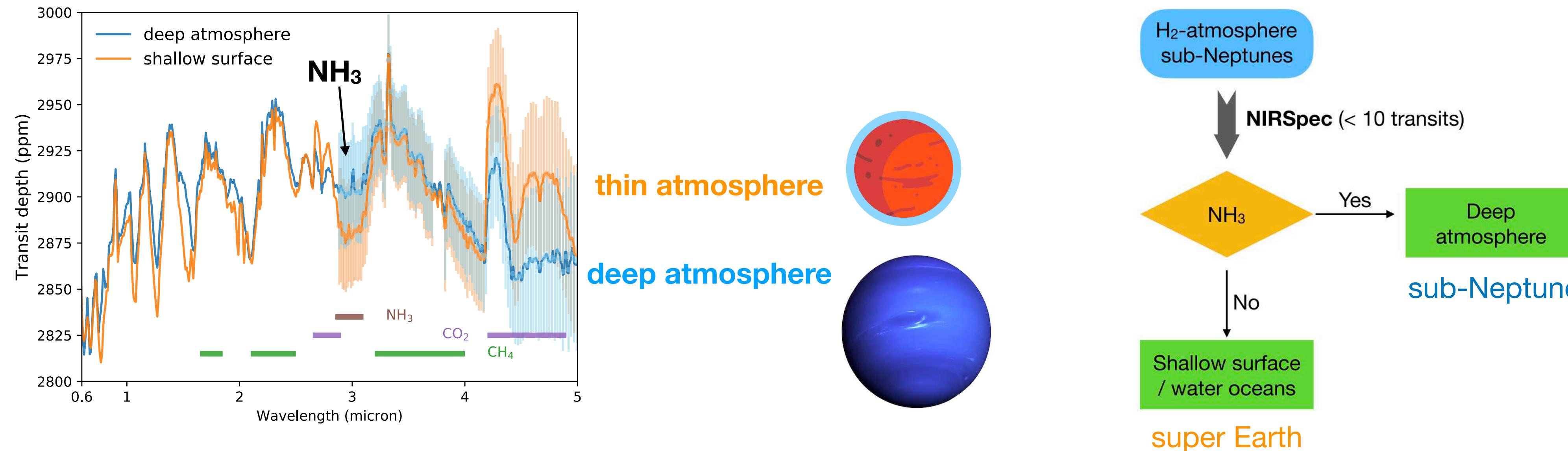


# Atmospheric composition to differentiate between rocky worlds and sub-Neptunes



NH<sub>3</sub> remains abundant in [Jupiter's troposphere](#) but is depleted on [Titan](#)  
— atmospheric composition offers insights into the **presence of a surface**

On [sub-Neptunes](#), NH<sub>3</sub> is abundant by resupply from the deep atmosphere  
In [thin atmospheres](#), NH<sub>3</sub> is photochemically converted to N<sub>2</sub>





NASA Goddard Space Flight Center / Reto Stöckli



The Pale Blue Dot

The Pale \_\_ Dot?

# Exoplanet references

- Exoplanet Atmospheres: Physical Processes  
Sara Seager
  - a classic book; pedagogical and covering a good range of exoplanet characterization
- Exoplanetary Atmospheres: Theoretical Concepts and Foundations  
Kevin Heng
  - more advanced; a good read for radiative transfer
- Atmospheric Evolution on Inhabited and Lifeless Worlds Book  
David Catling & James F. Kasting
  - focused on terrestrial atmospheres; very readable and self-contained,

# Back up

# A main challenge for planetesimal accretion

– giant planets in the Solar System are formed too slow

- The surface densities of planetesimals required to build the core of Jupiter and Saturn at their current locations are too high (Pollack et al. 1996), especially including the realistic oligarchic regime
- The growth rate outside of 10 AU drops sharply, making it very challenging to form the cores of Uranus and Neptune within the lifetime of the protoplanetary disk (Safronov 1969)

$$\frac{dM}{dt} \sim \Sigma_s \Omega \pi R^2 F_g$$

→ increase the surface density of solids

observational constraints; fate of the remaining (~80%) planetesimals?

# A main challenge for planetesimal accretion

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$$\frac{dM}{dt} \sim \Sigma_s \Omega \pi R^2 F_g$$

- ➔ increase the surface density of solids observational constraints; fate of the remaining (~80%) planetesimals?
- ➔ increase the gravitational focussing factor stronger gas drag damping v, but cannot explain terrestrial planets (Fortier et al. 2013)

# A main challenge for planetesimal accretion

## – giant planets in the Solar System are formed too slow

- The surface densities of planetesimals required to build the core of Jupiter and Saturn at their current locations are too high (Pollack et al. 1996), especially including the realistic oligarchic regime
- The growth rate outside of 10 AU drops sharply, making it very challenging to form the cores of Uranus and Neptune within the lifetime of the protoplanetary disk (Safronov 1969)

$$\frac{dM}{dt} \sim \Sigma_s \Omega \pi R^2 F_g$$

- ➔ increase the surface density of solids observational constraints; fate of the remaining (~80%) planetesimals?
- ➔ increase the gravitational focussing factor stronger gas drag damping v, but cannot explain terrestrial planets (Fortier et al. 2013)
- ➔ increase the accreting radius of the planet pebble accretion ✓

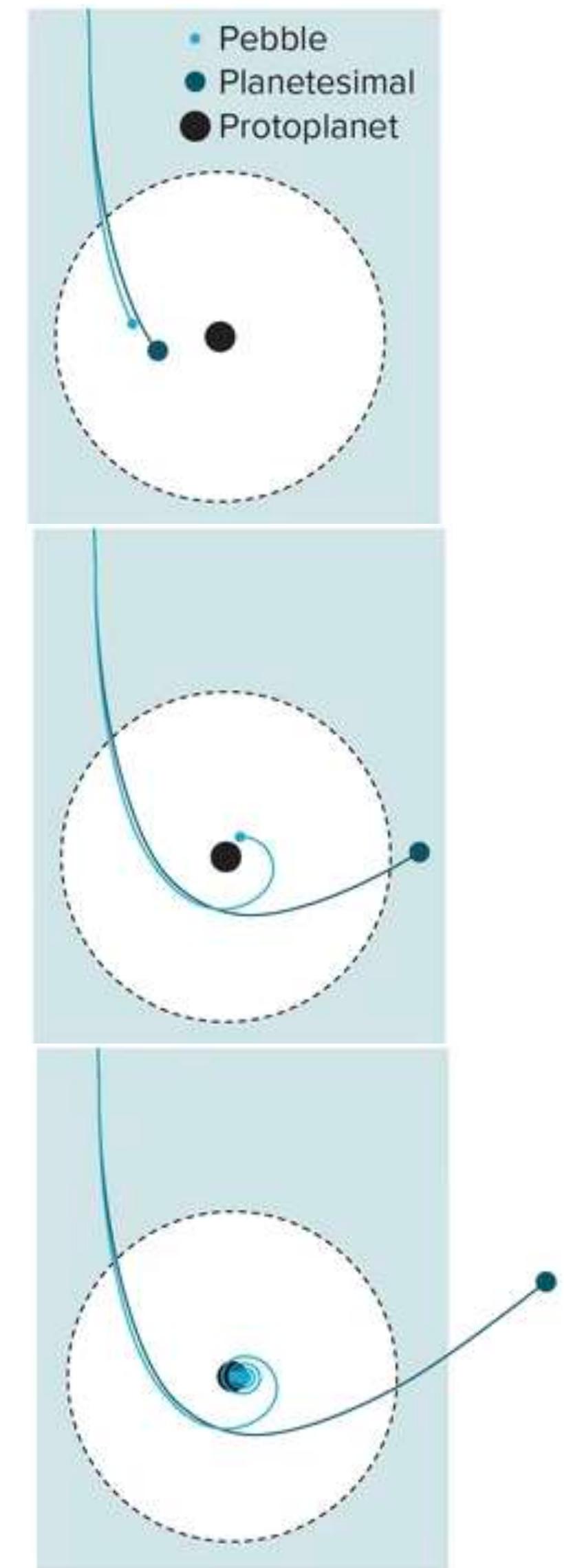
# Pebble accretion

Lambrechts & Johansen 2012

- Observation: The observation of large populations of pebbles in protoplanetary disks
- The meter-size barrier makes disks very efficient factories for producing pebbles of mm–cm sizes!
- An embryo can accrete pebbles at a much higher rate because of the gas drag, which causes pebbles to spiral toward the growing embryo. This is highly efficient, as the planet's gravity pulls pebbles over a much larger area than would be the case for planetesimal–planetesimal collisions

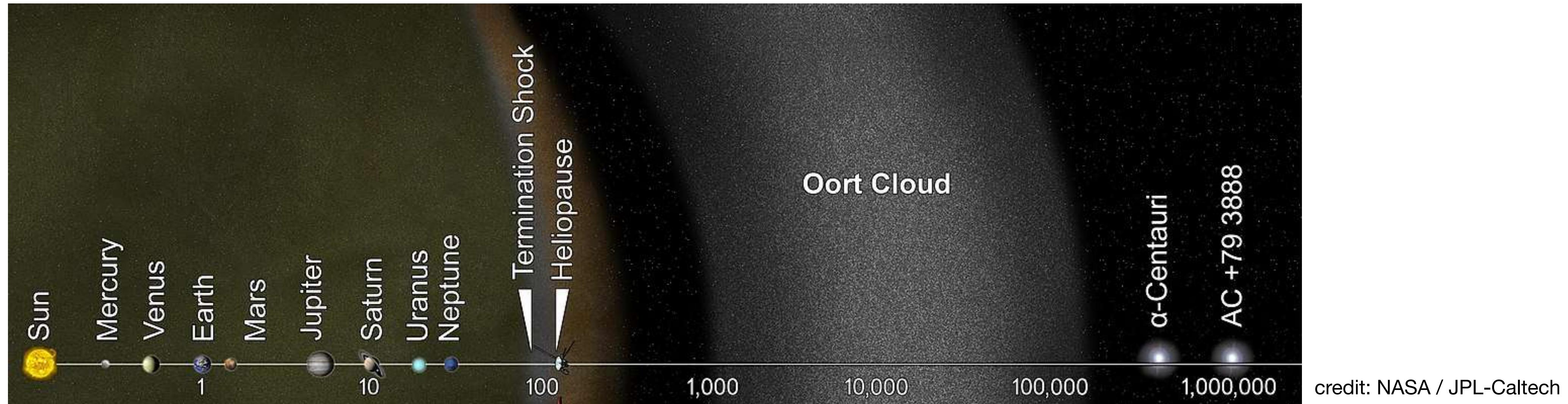
General accretion rate of pebbles:  $\frac{dM}{dt} \sim R_H \Sigma_p v$

- Pebble accretion rate increases with orbital radius: higher  $R_H$ , larger  $H$  (flared disk), smaller  $v$  (slower radial drift)



# Small Bodies in the Solar System

- The missing mass of the Kuiper belt:  
The total mass of the asteroid belt  $\sim 10^{-3}$  Earth masses — why so little?  
Jupiter perturbation: many small bodies were scattered into Jupiter-crossing orbits and then vaporized, collided into Jupiter, or ejected from the Solar System
- Theory of Oort cloud: planetesimals formed between 3 and 30 AU were ejected by the giant planets. Estimate the mass of solid material ejected?
  - based on observed comet number distribution?
  - based on dynamical calculations? ... just list references



- Based on the Number of observed long-period comets and Dynamical simulations, there are about  $10^{11} - 10^{12}$  comets in Oort clouds
- typical comet density  $\sim 0.6 \text{ g/cm}^3$ , a comet is  $\sim 10^{-10}$  earth mass

# On the origin of the 'hot' Kuiper-belt population

