

# Red Black Tree

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# Red Black

- ▶ Each node is colored, either red or black.
- ▶ A kind of height balance tree.
- ▶ Implementation, each node contains the attributes, *color*, *key*, *left*, *right*, and *p*.
- ▶ If a node does not have *p*, *left*, or *right*, they point to NIL
- ▶ All NILs are replaced by a black node T.NIL.

# Red Black Tree Definition

1. Every node is either red or black.
  2. The root is black.
  3. Every leaf is black  $NIL$ .
  4. If a node is red, then both its children are black.
  5. For each node, all simple paths from the node to descendant leaves contains the same number of black nodes.
- ▶ Figure 13.1.
  - ▶ The black height of node  $x$ ,  $bh(x)$ : number of black nodes on simple paths from node  $x$  (not including  $x$ ) down to a leaf.
  - ▶ Black height of a RB Tree, the black height of the root.
  - ▶ Together with property 4, height of RB Tree is at most  $2 * bh(root)$ .

**Lemma 13.1** RB Tree with  $n$  internal nodes has height at most  $2 \cdot \lg(n + 1)$ .

- ▶ Immediate consequence, SEARCH, MINIMUM, MAXIMUM, SUCCESSOR, PREDECESSOR can be done in  $O(\lg n)$  time,.
- ▶ How about INSERTION and DELETION? Need to change color of some nodes and rotation.
- ▶ figures of rotations, left and right rotation.

# BST Quick Review

- ▶ Insertion,
- ▶ Deletion,  $z$  is to be deleted,
  - ▶  $z$  has one child or less, delete it.
  - ▶  $z$  has two children, move the  $\text{SUCCESSOR}(z)$  to  $z$ 's place, delete  $\text{SUCCESSOR}(z)$ .

# RB Insertion

- ▶ Insert a node  $s$  as insertion  $z$  into a binary search tree.
- ▶  $z$  is inserted as a red node.
- ▶ If  $z.p$  is black, we are done.
- ▶ If  $z.p$  is red, we have consecutive red nodes.
- ▶ Two cases. Either  $z$  is left child or right child of  $z.p$ , cases depends on the color of  $z$ 's uncle,  $y$ .

## Case 1, $y$ is red

- ▶  $z.p.p$  is black (why?).
- ▶ swap color of the two levels,  $z.p.p$  was black, now it becomes red,
- ▶  $z.p$  and its sibling  $y$  were red, now they are black.
- ▶ Check RB Tree properties.
- ▶  $z.p.p$  is the new  $z$ , violation if  $z.p$  is red.

## Case 2, $y$ is black

1. 2-1  $z$  is right child of  $z.p$ ,
  - ▶ left rotate about  $A$  (Figure 13.6) to get case 2-2
2. 2-2  $z$  is left child of  $z.p$ .
  - ▶ color changes and right rotate about  $C$  (Figure 13.6), we are done.
  - ▶ check red-black property, and why we are done?



# RB Tree Delete

- ▶  $z$  is deleted,
- ▶  $z$  has one child or less, its child  $x$  moves to  $z$ 's place (*left* or *right* of  $z$ .  $p$  points to  $z$ 's child).
- ▶ We push the color of  $z$  to  $x$ .
- ▶ If  $z$  was red,  $x$  must be black, we are done. Red is removed,  $x$  now is black.
- ▶ If both  $z$  and  $x$  were black, we have a double black  $x$ .
- ▶  $z$  has two children,  $z$ 's successor  $w$  is moved to  $z$ 's place, and take  $z$ 's color.  $w$  is deleted, we have previous case.
- ▶ We have to take care the case that  $x$  is double black.
- ▶ A simple case, if  $x$  is the root, we simply remove the extra black.

$x$  is doubly black,  $x$  is not root

- ▶ Assume that  $x$  is left child of  $x.p$ , the other case is symmetric.
- ▶ Two cases depend on the color of  $w$ , the sibling of  $x$
- ▶  $w$  is red, we have case 1. If  $w$  is black, we have some more sub-cases.

## Case 1, $w$ is red, Figure 13.7 (a)

- ▶  $x.p$  must be black,
- ▶ children of  $w$  must be black.
- ▶ change color of B and D, and left rotate about B,
- ▶  $x$ 's sibling is now  $C$ ,  $C$  is black, we have case 2.

## Case 2, $w$ is black, both $w$ 's children are black, Figure 13.7 (b)

- ▶  $x.p$  can be red or black, children of  $w$  are black as defined.
- ▶ push one black from  $x$  and one black from  $w$  to  $x.p$ ,  $x$  becomes single black,  $w$  becomes red,
- ▶ color of  $x.p$  depends on the original color,
  - ▶  $x.p$  was red, then  $x.p$  becomes black.
  - ▶  $x.p$  was black, the  $x.p$  becomes double black, it is now the new  $x$ .

Case 3,  $w$  is black, one of  $w$ 's children is black and the other is red

Sub-case 3.1, left child is red. [Figure 13.7 \(c\)](#)

- ▶ Right rotate about  $D$ , and change color,
- ▶ now  $C$  is new  $w$ , right child is red, we have sub-case 3.2,

Sub-case 3.2, right child is red. [Figure 13.7 \(d\)](#)

- ▶ Color changes (refer to procedure RB-DEKETE-FIXUP,
- ▶ left rotate about  $B$ ,