# Lecture 05: Language modelling

# **OVERVIEW**

- What is language modeling
- Why do we need language modeling
- Probabilistic Language Models
- Language modeling with n-grams

### LANGUAGE MODEL

#### **Formal definition**

- Given a finite vocabulary *V* of words (tokens):
- Formal language: let  $\Omega$  be a set of sequences of words from V,  $\forall x \in \Omega$ , x is called a sentence
- Language Model: A probability distribution over the formal language  $\Omega$ ,

$$P:\Omega\to[0,1]$$
 
$$\sum_{x\in\Omega}P(x)=1$$

A Language Modeling is a probability distribution over a sequence of words (tokens).

### LANGUAGE MODEL

A language model aims to answer the question which sequences are more likely?

I would like to eat.
I would like eat to.
I like would eat to.
I to like would eat.

We expect that regular and grammatically correct sentences will occur more often in text and speech than other weird sequences

### WHY DO WE NEED LANGUAGE MODELS?

Many NLP tasks require natural language output estimated from a sentence probability

- Machine translation: "vents violents ce soir"  $P(high\ winds\ tonight) > P(large\ winds\ tonight)$
- Speech recognition: return a transcript of what was spoken  $P(I saw \ a \ van) >> P(eyes \ awe \ of \ an)$
- Spell-correction: "the office is about fifteen minuets from my house"  $P(about\ fifteen\ minutes\ from) > P(about\ fifteen\ minuets\ from)$
- Summarization, question answering, etc

### PROBABILISTIC LANGUAGE MODEL

 Probabilistic language models are based on the grouping of words into chunks called ngrams in order to estimate

$$P(\boldsymbol{x}^{(t+1)}|\ \boldsymbol{x}^{(t)},\dots,\boldsymbol{x}^{(1)})$$

- The main idea:
  - collect statistics about the frequency of different n-grams
    - n-gram probabilities are estimated by counting the frequency of occurrence in the vocabulary
  - use this to estimate the next word given a history of observed words

### LANGUAGE MODELING

How do we compute the probability of a sequence of tokens  $x^1, x^2, ..., x^{t-1}, x^t$  from our vocabulary V?

The probability of a sentence  $x^1, x^2, ..., x^t$  is the joint probability  $P(x^1, x^2, ..., x^t)$  and estimate by the chain rule of probability

$$P(x^{1}, x^{2}, ..., x^{t-1}, x^{t}) = P(x^{1}|x^{2}, ..., x^{t-1}, x^{t}) P(x^{2}, ..., x^{t-1}, x^{t})$$

$$= P(x^{1}) P(x^{2}|x^{1}) P(x^{3}|x^{1}, x^{2}) .... P(x^{t}|x^{1}, ..., x^{t-1})$$

$$= P(x^{1}) \prod_{k=1}^{t} P(x^{k}|x^{1}, ..., x^{k-1})$$

### LANGUAGE MODEL

Given the sentence "the student open their books"

How do we compute the probability of P(the student open their books)

```
P(the \ student \ open \ their \ books) = P(books|the \ student \ open \ their) * \\ P(their|the \ student \ open) * \\ P(open|the \ student) * \\ P(student|the) * \\ P(the)
```

We estimate the probabilities by counting

```
P(books|the\ student\ open\ their) = \frac{count(the\ student\ open\ their\ books)}{count(the\ student\ open\ their)}
```

### LANGUAGE MODEL – ESTIMATING PROBABILITIES

A language model is a distribution over all word-sequences  $x_1x_2, ..., x_n$  in a vocabulary V

$$\sum_{\langle x_1 x_2, \dots, x_n \rangle} P(x_1 x_2, \dots, x_n) = 1$$

by derivation is given by  $P(x^1, x^2, ..., x^{t-1}, x^t) = P(x^1) \prod_{k=1}^t P(x^k | x^1, ..., x^{k-1})$ 

To estimate the probability of each sequence we need to

- first estimate  $P(x^1)$
- Estimate probabilities  $P(x^k | x^1, ..., x^{k-1})$  for all  $x^1, ..., x^k$

### LANGUAGE MODEL – ESTIMATING PROBABILITIES

Relative frequency from a corpus

$$P(x_{i}|x_{1},...,x_{i-1}) = \frac{count(x_{1},...,x_{i-1},x_{i})}{count(x_{1},...,x_{i-1})}$$

$$= \frac{count(x_{1},...,x_{i-1},x_{i})}{\sum_{x \in V} count(x_{1},...,x_{i-1},x_{i})}$$

NB: this is the estimate for all sequences of length /

- Suppose /V/ = 1000, all sentences are approximately 10 word long, then we need to estimate  $1000^{10}$  probabilities.
- no corpus is large enough to obtain an unbiased estimate of the probabilities

### LANGUAGE MODELING

Language Modeling can be reduced to the task of predicting the next

$$P(x^{1},x^{2},...,x^{t-1},x^{t}) = P(x^{1}) \prod_{k=1}^{t} P(x^{k}|x^{1},...,x^{k-1})$$
books
bags
the students opened their
laptops

Given a sequence of words  $x^{(1)}$ ,  $x^{(2)}$ ,...,  $x^{(t)}$  compute the probability distribution of the next word  $x^{(t+1)}$ :

$$P(\boldsymbol{x}^{(t+1)}|\ \boldsymbol{x}^{(t)},\dots,\boldsymbol{x}^{(1)})$$

doors

### LANGUAGE MODELING – MARKOV ASSUMPTION

#### Markov assumption

- Independent and identical trials
- There is a fixed and finite k such that all word depends only on the preceding k-1 words

$$P(x_{i+1}|x_1,...,x_i) \approx P(x_{i+1}|x_{i-k},...,w_i) \ \forall k \ge 0$$

- Model: an  $k^{th}$  order Markov model
- n-gram: statistics of an k-order Markov model is k + 1 gram model

$$P(x_{i} | x_{i-k}, ..., x_{i-1}) = \frac{count(x_{i-k}, ..., x_{i-1}, x_{i})}{\sum_{x \in V} count(x_{i-k}, ..., x_{i-1}, x)}$$

### LANGUAGE MODEL – MARKOV ASSUMPTION AND N-GRAMS

N-gram language models are based on probabilities of chunks of word.

#### The student open their

- <u>Definition</u>: An n-gram is a chunk of n consecutive words.
  - unigram: unit of single word "the", "student", "opened", "their"
  - bigrams: unit of double words "the student", "student opened", "opened their"
  - trigram: unit of triple words "the student opened", "student opened their"
  - 4-gram: unit of 4 words "the student opened their"
- The main idea behind *n-gram* models is to collect statistics about the frequency of different *n-grams* and use this to predict the next word.

#### LANGUAGE MODELING – MARKOV ASSUMPTION

The order of a Markov model is defined by the length of its history or n-gram (n = k+1)

$$\begin{array}{lll} 0^{th} - order: & P(x_1, ..., x_n) & \approx & P(x_1) \prod_{i=1}^{n-1} P(x_{i+1}) \approx & P(x_1) P(x_2) \dots P(x_n) \\ |\text{history}| &= 0 & & \\ 1^{st} - order: & P(x_1, ..., x_n) & \approx & P(x_1) \prod_{i=1}^{n-1} P(x_{i+1} | x_i) \\ |\text{history}| &= 1 & & \\ 2^{nd} - order: & P(x_1, ..., x_n) & \approx & P(x_1) \prod_{i=1}^{n-1} P(x_{i+1} | x_i, x_{i-1}) \\ |\text{history}| &= 2 & & \\ k^{th} - order: & P(x_1, ..., x_n) & \approx & P(x_1) \prod_{i=1}^{n-1} P(x_{i+1} | x_{i-k}^i) \\ |\text{history}| &= k & & \\ \end{array}$$

### FROM N-GRAM PROBABILITIES TO LANGUAGE MODEL

In a trigram model

$$P(x^{1}x^{2}x^{3}) = P(x^{1})P(x^{2}|x^{1})P(x^{3}|x^{1}x^{2})$$

- The only trigram is  $P(x^3|x^1x^2)$ 
  - $P(x^1)$  and  $P(x^2|x^1)$  are not trigrams thus are from a different probability distribution
- Solution:
  - add *n-1* beginning of sentence (*<s>*) symbols

$$<$$
**s** $><$ **s** $>$  $x^1x^2x^3.....$ 

• similarly add *n-1* end of sentence symbols

.... 
$$x^1x^2x^3$$

#### ESTIMATING BIGRAM PROBABILITIES

Bigram estimate of the probability of the sentence "I want English food"

```
P(<s> I want english food </s>) =
  P(1|<s>)
   \times P(want|I)
   × P(english|want)
```

How is it estimated

 $\times$  P(</s>|food)

× P(food|english)

= .000031

- P(english|want) = .0011
- P(chinese|want) = .0065
- P(to|want) = .66
- P(eat | to) = .28
- P(food | to) = 0
- P(want | spend) = 0
- P (i | <s>) = .25

#### FROM N-GRAM PROBABILITIES TO LANGUAGE MODEL

• With the start or end of sentence token(s) we define a new vocabulary

$$V^* = V U \{ \}$$
 $or$ 
 $V^* = V U \{ < s > \}$ 

- With the new vocabulary we can get a single distribution over strings of any length
- Why?
  - because P(</s>/...) will be high enough that we are always guaranteed to stop after generating a finite number of words.

### LANGUAGE MODELING WITH N-GRAM

#### Maximum likelihood estimate

$$P(w_i \mid w_{i-1}) = \frac{count(w_{i-1}, w_i)}{count(w_{i-1})}$$

$$P(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$

## Example

$$P(I | ~~) = \frac{2}{3} = .67~~$$
  $P(Sam | ~~) = \frac{1}{3} = .33~~$   $P(am | I) = \frac{2}{3} = .67$ 

### ESTIMATING PROBABILITIES - PRACTICAL ISSUES

- We do everything in log space
  - Avoid underflow
  - Computationally adding is faster than multiplying

$$\log(p_1 \times p_2 \times p_3 \times p_4) = \log p_1 + \log p_2 + \log p_3 + \log p_4$$

### NUMBER OF POSSIBLE PARAMETERS

- Estimating the number of parameter per n-gram language model.
- Given a vocabulary V of |V| unique tokens, where  $|V| = 10^4$ 
  - Unigram model: |V| parameters  $\Leftrightarrow$  10<sup>4</sup> parameters
  - Bigram model:  $|V|^2$  parameters  $\Leftrightarrow$  108 parameters
  - Trigram model: /V/ parameters ó 10<sup>12</sup> parameters

### SHAKESPEARE AS CORPUS

- Number of words (symbols) = 884,647
- Tokens, V=29,066
- Shakespeare produced 300,000 bigrams
- bigram types out of  $V^2$ = 844 million possible bigrams
- So, 99.96% of the possible bigrams were never seen (have zero entries in the table)

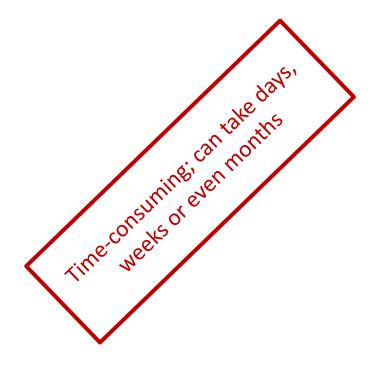
- Quadrigrams worse:
  - What's coming out looks like Shakespeare because it is Shakespeare

### EVALUATION: HOW GOOD IS OUR MODEL?

- Does our language model prefer good sentences to bad ones?
- Assign higher probability to "real" or "frequently observed" sentences
  - than "ungrammatical" or "rarely observed" sentences?
- We train parameters of our model on a training set.
- We test the model's performance on data we haven't seen.
  - A test set is an unseen dataset that is different from our training set, totally unused.
  - An evaluation metric tells us how well our model does on the test set.

### EXTRINSIC EVALUATION OF N-GRAM MODELS

- Best evaluation for comparing models A and B
- Embed each model in a task
  - spelling corrector,
  - speech recognizer,
  - Machine Translation system
- Run the task, get an accuracy for A and for B
  - How many misspelled words corrected properly
  - How many words translated correctly
- Compare accuracy for A and B



### INTRINSIC EVALUATION OF N-GRAM MODELS

- Sometimes use intrinsic evaluation: perplexity
- Bad approximation
  - unless the test data looks just like the training data
  - So generally, only useful in pilot experiments
- But is helpful to think about.

### **PERPLEXITY**

Perplexity is the inverse probability of the test set, normalized by the number of words N:

$$perplexity(W) = P(x_1 x_2, ..., x_N)^{-1/N} = \sqrt[N]{\frac{1}{P(x_1 x_2, ..., x_N)}} = \sqrt[N]{\prod_{i=1}^{N} \frac{1}{P(x_i | x_1, ..., x_{i-1})}}$$

- For unigram  $perplexity(W) = \sqrt[N]{\prod_{i=1}^{N} \frac{1}{P(x_i)}}$
- For bigram  $perplexity(W) = \sqrt[N]{\prod_{i=1}^{N} \frac{1}{P(x_i)}}$

NB: Minimizing perplexity is the same as maximizing probability

### INTUITION OF PERPLEXITY

- The Shannon Game:
  - How well can we predict the next word?

laptop

0.09

- Unigrams are terrible at this game. (Why?)
- A better language model is the one that assigns a higher probability to the most appropriate word

### LIMITATIONS – STORAGE PROBLEMS

#### **Storage Problem:**

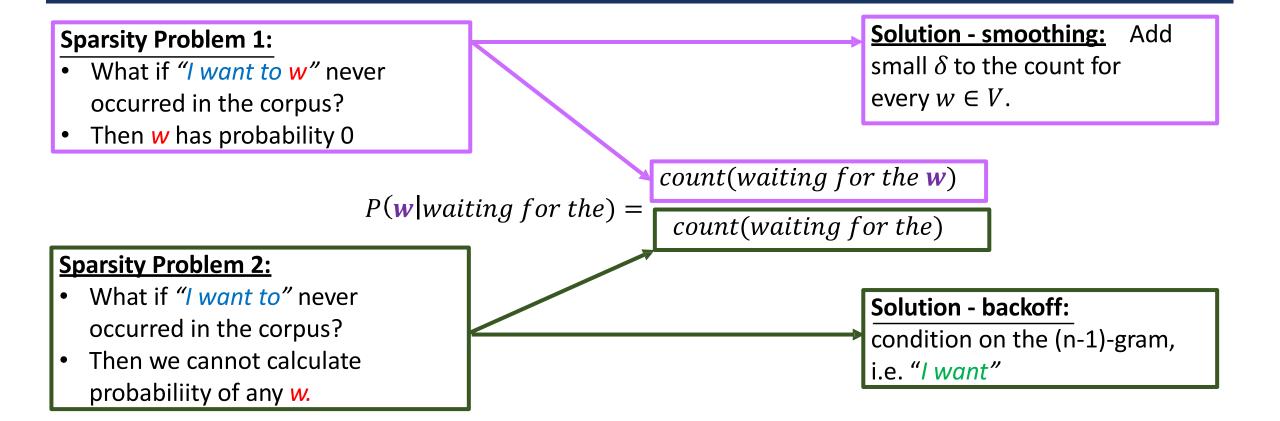
The need to store count for all n-grams you saw in the corpus.

$$P(w|I want to eat) = count(I want to eat w)$$

$$count(I want to eat)$$

Increasing *n* or increasing corpus size <=> increases model size

#### LIMITATIONS - SPARSITY PROBLEM



Larger *n* makes sparsity problem worse. Typically *n* should be less than or equal to 5

### THE PERILS OF OVERFITTING - SPARSITY

N-grams only work well for word prediction if the test corpus looks like the training corpus.

- In real life, it often doesn't
  - We need to train robust models that generalize!

- One kind of generalization: Zeros!
  - Things that don't ever occur in the training set but occur in the test set

### **SMOOTHING METHODS**

### Smoothing methods

- Additive smoothing
- Good-Turing estimate
- Jelinek-Mercer smoothing (interpolation)
- Katz smoothing (backoff)
- Witten-Bell smoothing
- Absolute discounting
- Kneser-Ney smoothing

### **ADDITIVE SMOOTHING**

- Idea: pretend we've seen each n-gram  $\delta$  times more than we have.
- Typically,  $0 < \delta \le 1$ .
- Lidstone and Jeffreys advocate  $\delta = 1$ .
- Gale & Church (1994) argue that this method performs poorly.

$$p_{add}(w_i|w_{i-n+1}^{i-1}) = \frac{\delta + c(w_{i-n+1}^i)}{\delta |V| + \sum_{w_i} c(w_{i-n+1}^i)}$$

### **ADD-ONE ESTIMATION**

- Also called Laplace smoothing
- Pretend we saw each word one more time than we did
- Just add one to all the counts!
- MLE estimate:

$$P_{MLE}(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$

Add-1 estimate:

$$P_{Add-1}(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + V}$$

### **BACKOFF AND INTERPOLATION**

- Sometimes it helps to use less context
  - Condition on less context for contexts you haven't learned much about
- Backoff:
  - use trigram if you have good evidence,
  - otherwise, bigram, otherwise unigram
- Interpolation:
  - mix unigram, bigram, trigram
- NB: Interpolation works better

# REFERENCES