

Lecture 2 - Tensor Algebra

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schedule

- 20 Aug - Tensor Algebra
- 25 Aug - Tensor Calculus, HW1 Due
- 27 Aug - Material Derivative
- 1 Sep - Conservation and Compatibility, HW2 Due

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symmetry

- Symmetry can be a very powerful tool
- Here we define some useful forms of symmetry in index notation
- Symmetric
 - $\backslash(a_{ij\dots z} = a_{z\dots ji})\backslash$
 - $\backslash(a_{ij\dots m\dots n\dots z} = a_{ij\dots n\dots m\dots z})\backslash$
- Anti-symmetric (skew symmetric)
 - $\backslash(a_{ij\dots z} = -a_{z\dots ji})\backslash$
 - $\backslash(a_{ij\dots m\dots n\dots z} = -a_{ij\dots n\dots m\dots z})\backslash$

- Useful identity
 - If $(a_{ij\dots m\dots n\dots k})$ is symmetric in (mn) and $(b_{pq\dots m\dots n\dots r})$ is antisymmetric in (mn) , then the product is zero

$$[a_{ij\dots m\dots n\dots k}b_{pq\dots m\dots n\dots r}] = 0$$
- We can also write any tensor as the sum of its symmetric and anti-symmetric parts $[a_{ij}] = \frac{1}{2} (a_{ij} + a_{ji}) + \frac{1}{2} (a_{ij} - a_{ji})$
- This textbook uses a special shortcut notation (S and A superscript) for the symmetric and anti-symmetric portions of a tensor

linear transformation

- Let us consider some transformation, (\textbf{T}) , which transforms any vector into another vector
- If we transform $(\textbf{Ta} = \textbf{c})$ and $(\textbf{Tb} = \textbf{d})$
- We call (\textbf{T}) a linear transformation (and a tensor) if
$$\textbf{T}(\textbf{a} + \textbf{b}) = \textbf{Ta} + \textbf{Tb}$$

$$\textbf{T}(\alpha \textbf{a}) = \alpha \textbf{Ta}$$
- Where (α) is any arbitrary scalar and (\textbf{a}) , (\textbf{b}) are arbitrary vectors

coordinate transformation in two dimensions

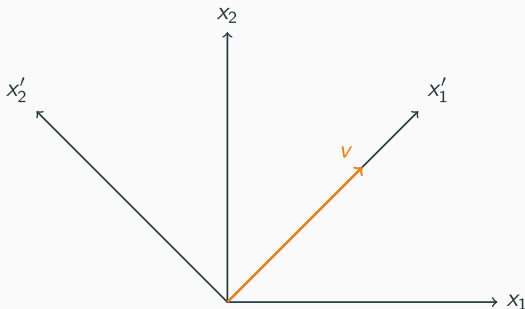


Figure 1: 2d coordinate transformation example with vector pointing from (0,0) to (1,1)

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coordinate transformation in two dimensions

- The vector, \mathbf{v} , remains fixed, but we transform our coordinate system
- In the new coordinate system, the \mathbf{x}_2' portion of \mathbf{v} is zero.
- To transform the coordinate system, we first define some unit vectors.
- $\hat{\mathbf{e}}_1$ is a unit vector in the direction of \mathbf{x}_1 , while $\hat{\mathbf{e}}_1'$ is a unit vector in the direction of \mathbf{x}_1'

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coordinate transformation in two dimensions

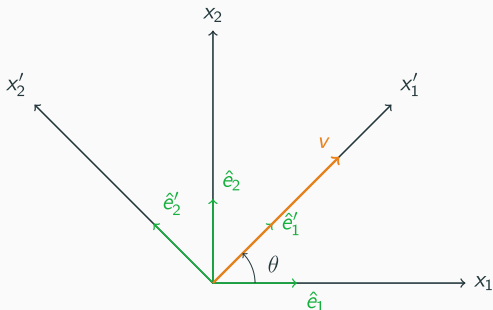


Figure 2: 2d coordinate transformation from previous figure with unit vectors drawn along the x and y axes

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coordinate transformation in two dimensions

- For this example, let us assume $(v = \langle 2, 2 \rangle)$ and $(\theta = 45^\circ)$
- We can write the transformed unit vectors, (\hat{e}_1') and (\hat{e}_2') in terms of (\hat{e}_1) , (\hat{e}_2) and the angle of rotation, (θ) .

$$\begin{aligned} \hat{e}_1' &= \langle \hat{e}_1 \cos \theta, \hat{e}_2 \sin \theta \rangle \\ \hat{e}_2' &= \langle -\hat{e}_1 \sin \theta, \hat{e}_2 \cos \theta \rangle \end{aligned}$$

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coordinate transformation in two dimensions

- We can write the vector, \mathbf{v} , in terms of the unit vectors describing our axis system
- $\mathbf{v} = v_1 \hat{\mathbf{e}}_1 + v_2 \hat{\mathbf{e}}_2$
- (note: $\hat{\mathbf{e}}_1 = \angle 1, 0$ and $\hat{\mathbf{e}}_2 = \angle 0, 1$)
- $\mathbf{v} = \angle 2, 2 = 2 \angle 1, 0 + 2 \angle 0, 1$

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coordinate transformation in two dimensions

- When expressed in the transformed coordinate system, we refer to \mathbf{v}'
- $\mathbf{v}' = \angle v_1 \cos \theta + v_2 \sin \theta, -v_1 \sin \theta + v_2 \cos \theta$
- $\mathbf{v}' = \angle 2\sqrt{2}, 0$
- We can recover the original vector from the transformed coordinates:
- $\mathbf{v} = v_1' \hat{\mathbf{e}}_1' + v_2' \hat{\mathbf{e}}_2'$
- (note: $\hat{\mathbf{e}}_1' = \angle \frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2}$ and $\hat{\mathbf{e}}_2' = \angle$

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general coordinate transformation

- Coordinate transformation can become much more complicated in three dimensions, and with higher-order tensors
- We define Q_{ij} as the cosine of the angle between the (x_i^{prime}) axis and the (x_j) axis.
- This is also referred to as the “direction cosine”
 $[Q_{ij} = \cos(x_i^{\text{prime}}, x_j)]$
- *health warning* the direction cosine can also be defined inversely ($Q_{ij} = \cos(x_i, x_j^{\text{prime}})$), and the indexes are switched in the transformation law

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general coordinate transformation

- We can use this form on our 2D transformation example
$$\begin{aligned} Q_{ij} &= \cos(x_i^{\text{prime}}, x_j) \\ \begin{bmatrix} \cos(x_1^{\text{prime}}, x_1) & \cos(x_1^{\text{prime}}, x_2) \\ \cos(x_2^{\text{prime}}, x_1) & \cos(x_2^{\text{prime}}, x_2) \end{bmatrix} &= \begin{bmatrix} \cos \theta & \cos(90^\circ - \theta) \\ \cos \theta & \cos(90^\circ + \theta) \end{bmatrix} \\ &= \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \end{aligned}$$

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general coordinate transformation

- We can transform any-order tensor using (Q_{ij})
- Vectors (first-order tensors): $(v^{\prime}_i = Q_{ij}v_j)$
- Matrices (second-order tensors):
 $(\sigma^{\prime}_{mn} = Q_{mi}Q_{nj}\sigma_{ij})$
- Fourth-order tensors: $(C^{\prime}_{ijkl} = Q_{im}Q_{jn}Q_{ko}Q_{lp}C_{mnop})$

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general coordinate transformation

- We can similarly use (Q_{ij}) to find tensors in the original coordinate system
- Vectors (first-order tensors): $(v_i = Q_{ji}v^{\prime}_j)$
- Matrices (second-order tensors): $(\sigma_{mn} = Q_{im}Q_{jn}\sigma^{\prime}_{ij})$
- Fourth-order tensors: $(C_{ijkl} = Q_{mi}Q_{nj}Q_{ok}Q_{pl}C^{\prime}_{mnop})$

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general coordinate transformation

- We can derive some interesting properties of the transformation tensor, (Q_{ij})
- We know that $(v_i = Q_{ji}v_j^{\prime})$ and that $(v^{\prime}_i = Q_{ij}v_j)$
- If we substitute (changing the appropriate indexes) we find: $[v_i = Q_{ji}Q_{jk}v_k]$
- We can now use the Kronecker Delta to substitute $(v_i = \delta_{ik}v_k)$ which gives $[\delta_{ik}v_k = Q_{ji}Q_{jk}v_k]$

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example

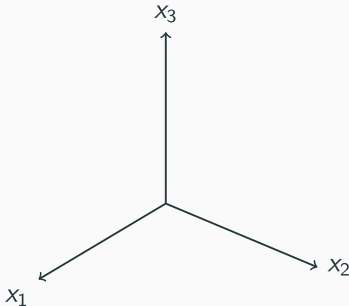


Figure 3: 3d coordinate system to start general transformation example

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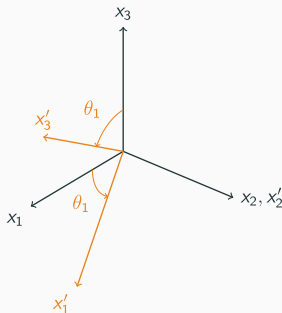


Figure 4: 3d illustration of first transformation

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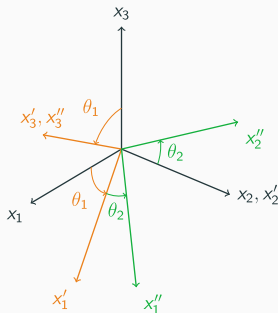


Figure 5: 3d illustration of second transformation (about the axes of the first)

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example

- $(Q_{ij})^1 = \cos(x_i', x_j')$
- $(Q_{ij})^2 = \cos(x_i'', x_j'')$
- $(Q_{ij})^1 = \begin{bmatrix} \cos 60 & \cos 90 & \cos 150 \\ \cos 0 & \cos 90 & \cos 30 \\ \cos 60 & \cos 90 & \cos 90 \end{bmatrix} (Q_{ij})^2$
- $= \begin{bmatrix} \cos 30 & \cos 60 & \cos 90 \\ \cos 90 & \cos 120 & \cos 30 \\ \cos 90 & \cos 90 & \cos 90 \end{bmatrix} (Q_{ij})^2$

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example

- We now use (Q_{ij}) to find (\hat{e}_i') and (\hat{e}_i'')
- First, we need to write (\hat{e}_i') in a manner more consistent with index notation
- We will indicate axis direction with a superscript, e.g. $(\hat{e}_1 = e_1')$
- $(e_i' = Q^1_{ij} e_j)$
- $(e_i'' = Q^2_{ij} e_j'')$

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- How do we find \mathbf{e}_i^{\prime} in terms of \mathbf{e}_i ?
- $\mathbf{e}_i^{\prime} = Q_{ij} \mathbf{e}_j$

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principal values

- In the 2D coordinate transformation example, we were able to eliminate one value from a vector using coordinate transformation
- For second-order tensors, we desire to find the “principal values” where all non-diagonal terms are zero
- The direction determined by the unit vector, \mathbf{n}_j , is said to be the *principal direction* or *eigenvector* of the symmetric second-order tensor, \mathbf{a}_{ij} if there exists a parameter, λ , such that $\mathbf{a}_{ij} \mathbf{n}_j = \lambda \mathbf{n}_i$
- Where λ is called the *principal value* or *eigenvalue* of the tensor

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- We can re-write the equation $(a_{ij} - \lambda \delta_{ij})n_j = 0$
- This system of equations has a non-trivial solution if and only if $\det [a_{ij} - \lambda \delta_{ij}] = 0$
- This equation is known as the characteristic equation, and we solve it to find the principal values of a tensor

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example

- Find the principal values of the tensor $[A_{ij}] = \begin{bmatrix} 1 & 2 \\ 2 & 4 \end{bmatrix}$
- From the characteristic equation, we know that $\det [A_{ij} - \lambda \delta_{ij}] = 0$, or $\begin{vmatrix} 1-\lambda & 2 \\ 2 & 4-\lambda \end{vmatrix} = 0$

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- Calculating the determinant gives
$$[(1-\lambda)(4-\lambda) - 4 = 0]$$
- Multiplying out and simplifying, we find
$$[\lambda^2 - 5\lambda = \lambda(\lambda-5) = 0]$$
- This has the solution $(\lambda = 0, 5)$

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invariants

- Every tensor has some invariants which do not change with coordinate transformation
- These are known as *fundamental invariants*
- The characteristic equation for a tensor in 3D can be written in terms of the invariants $[\det [a_{ij} - \lambda \delta_{ij}] = -\lambda^3 + I_{\alpha} \lambda^2 - II_{\alpha} \lambda + III_{\alpha} = 0]$

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- The invariants can be found by the following equations
- $$\begin{aligned} I_{\alpha} &= a_{ii} \\ II_{\alpha} &= \frac{1}{2}(a_{ii} a_{jj} - a_{ij} a_{ji}) \\ III_{\alpha} &= \det [a_{ij}] \end{aligned}$$

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- In the principal direction, (a_{ij}^{\prime}) will be
- $$\begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}$$
- Since invariants do not change with coordinate systems, we can also write the invariants as
- $$\begin{aligned} I_{\alpha} &= \lambda_1 + \lambda_2 + \lambda_3 \\ II_{\alpha} &= \lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_3 \lambda_1 \\ III_{\alpha} &= \lambda_1 \lambda_2 \lambda_3 \end{aligned}$$

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- We defined principal directions earlier $[(a_{ij} - \lambda \delta_{ij})n_j = 0]$
- (λ) are the principal values and (n_j) are the principal directions
- For each eigenvalue there will be a principal direction
- We find the principal direction by substituting the solution for (λ) back into this equation

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example

- Find the principal directions for the earlier principal values example
- Recall $(\lambda = 0, 5)$, let us say $(\lambda_1 = 5)$, we find $(n_j^{(1)})$ by
$$\begin{bmatrix} 1 - \lambda_1 & 2 \\ 2 & 4 - \lambda_1 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \end{bmatrix} = 0$$
- This gives
$$\begin{bmatrix} -4 & 2 \\ 2 & -1 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \end{bmatrix} = 0$$

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example

- We proceed to solve the equations using row-reduction, but we find $\begin{bmatrix} 2 & -1 \\ 0 & 0 \end{bmatrix}$
 $\begin{Bmatrix} n_1 \\ n_2 \end{Bmatrix} = 0$
- This means we cannot uniquely solve for (n_j)
- We are only concerned with the direction, magnitude is not important
- Choose $(n_2 = 1)$, solve for (n_1)
- $(n^{(1)}) = \langle \frac{1}{2}, 1 \rangle$

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example

- Similarly, for $(\lambda_2 = 0)$, we find $\begin{bmatrix} 1 & 2 \\ 0 & 0 \end{bmatrix}$
 $\begin{Bmatrix} n_1 \\ n_2 \end{Bmatrix} = 0$
- Which, after row-reduction, becomes $\begin{bmatrix} 1 & 2 \\ 0 & 0 \end{bmatrix}$
 $\begin{Bmatrix} n_1 \\ n_2 \end{Bmatrix} = 0$
- If we choose $(n_2 = 1)$, we find $(n_1 = -2)$
- This gives $(n^{(2)}) = \langle -2, 1 \rangle$

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example

- We can assemble a transformation matrix, (Q_{ij}) , from the principal directions
- First we need to normalize them to unit vectors
- $(||\hat{n}^{(1)}|| = \sqrt{\frac{5}{4}})$
- $(\hat{n}^{(1)} = \frac{2}{\sqrt{5}} \angle \frac{1}{2}, 1 \angle = \frac{1}{\sqrt{5}}, \frac{2}{\sqrt{5}} \angle)$
- $(||\hat{n}^{(2)}|| = \sqrt{5})$
- $(\hat{n}^{(2)} = \frac{-2}{\sqrt{5}}, \frac{1}{\sqrt{5}} \angle)$

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example

- This gives $[Q_{ij}] = \frac{1}{\sqrt{5}} \begin{bmatrix} 1 & -2 \\ 2 & 1 \end{bmatrix}$
- And we find $[A_{mn}]^{\prime} = [Q_{mi} Q_{nj} A_{ij}] [A_{ij}]^{\prime} = \begin{bmatrix} 5 & 0 \\ 0 & 0 \end{bmatrix}$

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example

- Find principal values, principal directions, and invariants for the tensor $[c_{ij}] =$
$$\begin{bmatrix} 8 & 0 & 0 \\ 3 & 1 & 0 \\ 0 & 1 & 3 \end{bmatrix}$$

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example

- Characteristic equation simplifies to
- $(-\lambda^3 + 14\lambda^2 - 56\lambda + 64 = 0)$
- Which has the solutions $(\lambda = 2, 4, 8)$

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- To find the principal direction for $(\lambda_1 = 8)$

$$\begin{bmatrix} 8-8 & 0 & 0 \\ 0 & 3-8 & 1 \\ 0 & 1 & 3-8 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \\ n_3 \end{bmatrix} = 0$$

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- After row-reduction, we find

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -24 \\ -5 & 0 & 1 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \\ n_3 \end{bmatrix} = 0$$
- This means that $(n_3 = 0)$ and, as a result, $(n_2 = 0)$.
- (n_1) can be any value, we choose $(n_1 = 1)$ to give a unit vector.
- $(\hat{n}_1) = \langle 1, 0, 0 \rangle$

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- To find the principal direction for $\lambda_2 = 4$

$$\begin{bmatrix} 8-4 & 0 & 0 \\ 0 & 3-4 & 1 \\ 0 & 1 & 3-4 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \\ n_3 \end{bmatrix} = 0$$

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- After row-reduction, we find

$$\begin{bmatrix} 4 & 0 & 0 \\ 0 & -1 & 1 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \\ n_3 \end{bmatrix} = 0$$
- This means that $n_1 = 0$
- We also know that $n_2 = n_3$, so we choose $n_2 = n_3 = 1$
- This gives $\mathbf{n} = \frac{1}{\sqrt{2}} \langle 0, 1, 1 \rangle$ after normalization

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- To find the principal direction for $\lambda_3 = 2$

$$\begin{bmatrix} -2 & 0 & 0 \\ 0 & -3 & 1 \\ 0 & 1 & -3 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \\ n_3 \end{bmatrix} = 0$$

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- After row-reduction, we find

$$\begin{bmatrix} 6 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \\ n_3 \end{bmatrix} = 0$$
- This means that $n_1 = 0$
- We also know that $n_2 = -n_3$, so we choose $n_2 = 1$ and $n_3 = -1$
- This gives $\mathbf{n} = \frac{1}{\sqrt{2}} \langle 0, 1, -1 \rangle$ after normalization

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example

- In summary, for (c_{ij}) we have:
- $(\lambda_1 = 8)$ and $(n^{(1)}) = \langle 1, 0, 0 \rangle$
- $(\lambda_2 = 4)$ and $(n^{(2)}) = \frac{1}{\sqrt{2}} \langle 0, 1, 1 \rangle$
- $(\lambda_3 = 2)$ and $(n^{(3)}) = \frac{1}{\sqrt{2}} \langle 0, 1, -1 \rangle$
- We can assemble $(n^{(i)})$ into a transformation tensor $[Q_{ij}] = \frac{1}{\sqrt{2}} \begin{bmatrix} \sqrt{2} & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & -1 \end{bmatrix}$

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example

- What is $(c_{ij})^{\text{prime}}$?
- $(c_{ij})^{\text{prime}} = Q_{im} Q_{jn} c_{mn}$
 $(c_{ij})^{\text{prime}} = \begin{bmatrix} 8 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & 2 \end{bmatrix}$

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- We can also find the invariants for $[c_{ij}] = \begin{bmatrix} 8 & 0 & 0 \\ 3 & 1 & 0 \\ 0 & 1 & 3 \end{bmatrix}$
- Recall:
$$\begin{aligned} I_{\alpha} &= a_{ii} \\ II_{\alpha} &= \frac{1}{2}(a_{ii}a_{jj} - a_{ij}a_{ji}) \\ III_{\alpha} &= \det [a_{ij}] \end{aligned}$$

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- First invariant $[I_{\alpha} = a_{ii} = 8 + 3 + 3 = 14]$
- Second invariant $[II_{\alpha} = \frac{1}{2}(a_{ii}a_{jj} - a_{ij}a_{ji})] [a_{ii}a_{jj} = 14 \times 14]$
 $[a_{ij}a_{ij} = a_{11}a_{11} + a_{12}a_{12} + a_{13}a_{13} + \dots + a_{33}a_{33}]$
 $[II_{\alpha} = \frac{1}{2}(196 - 84) = 56]$

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- Third invariant $[III_\alpha = \det [a_{ij}]] [III_\alpha = 8 \times (3 \times 3 - 1 \times 1) = 64]$