

# AE 760AA: Micromechanics and multiscale modeling

## Lecture 12 - Hashin-Shtrickman Bounds

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## schedule

- Mar 25 - Hashin-Shtrickman bounds
- Mar 27 - Periodic Boundary Conditions
- Apr 1 - Fourier Analysis
- Apr 3 - Method of Cells

# outline

- hashin-shtrickman
- boundary conditions

# hashin-shtrickman

## bounds

- We consider the Voigt and Reuss micromechanics models as bounding cases, properties should need exceed the limits of these two cases
- Hashin and Shtrickman used variational principles to define more rigorous bounds for composite properties
- They did this by comparing a heterogeneous composite RVE with an equivalent homogeneous RVE

# heterogeneous

$$\sigma_{ij,j} = 0$$

$$\sigma_{ij} = C_{ijkl}\epsilon_{kl}$$

$$U = \frac{1}{2} C_{ijkl} \epsilon_{ij} \epsilon_{kl}$$

homogeneous

$$\sigma_{ij,j}^{(0)} = 0$$

$$\sigma_{ij}^{(0)} = C_{ijkl}^{(0)} \epsilon_{kl}^{(0)}$$

$$U = \frac{1}{2} C_{ijkl}^{(0)} \epsilon_{ij}^{(0)} \epsilon_{kl}^{(0)}$$

## relation

- To relate the two boundary problems, we introduce the following

$$u_i = u_i^{(0)} + u_i^d$$

$$\epsilon_{ij} = \epsilon_{ij}^{(0)} + \epsilon_{ij}^d$$

$$\sigma_{ij} = p_{ij} + C_{ijkl}^{(0)} \epsilon_{kl} = p_{ij} + C_{ijkl}^{(0)} (\epsilon_{ij}^{(0)} + \epsilon_{ij}^d)$$

- $u_i^d$  is the disturbance displacement field and  $p_{ij}$  is called the polarization stress



## boundary conditions

- One common RVE boundary condition is known as homogeneous displacement
- Under homogeneous displacement boundary conditions we have

$$u_i = \bar{u}_i = u_i^{(0)}$$

along the boundary

- Under this condition we have  $u_d = 0$  along the boundary

## hashin-shtrikman

- Hashin-Shtrikman then considered the following functional  $\Pi = \int_V (C_{ijkl}^{(0)} \epsilon_{ij}^{(0)} \epsilon_{kl}^{(0)} - \Delta C_{ijkl}^{-1} p_{ij} p_{kl} + p_{ij} \epsilon_{ij}^d + 2p_{ij} \epsilon_{ij}^{(0)}) dV$
- Where

$$\Delta C_{ijkl} = C_{ijkl} - C_{ijkl}^{(0)}$$

$$p_{ij} = \Delta C_{ijkl} \epsilon_{kl}$$

$$\epsilon_{ij}^d = \epsilon_{ij} - \epsilon_{ij}^{(0)}$$

- This functional corresponds to the strain energy in a composite when the strain field and polarization field are exact solutions

## hashin-shtrickman

- We can choose the comparison solid such that  $\delta \mathbf{P}^i$  will either be a local maximum or a local minimum
- When  $\Delta C$  is negative definite then the stationary value of the functional is a minimum
- When  $\Delta C$  is positive definite then the stationary value of the functional is a maximum
- The functional will be stationary when  $(C_{ijkl}^{(0)} \epsilon_{kl}^d)_{,j} + p_{ij,j} = 0$

## hashin-shtrikman

- In general, I don't know how often you will need to use the Hashin-Shtrikman bounds
- For a more complete derivation, see textbook pp. 170-186

# boundary conditions

## macro and micro fields

- In micromechanics, one of our primary goals is to relate a heterogeneous material to some equivalent homogeneous material
- We call  $\epsilon_{ij}$  and  $\sigma_{ij}$  the point-wise or microscopic strain and stress
- $\bar{\epsilon}_{ij}$  and  $\bar{\sigma}_{ij}$  are the macroscopic strain and stress, and are related by some unknown homogenized stiffness

$$\bar{\sigma}_{ij} = C_{ijkl}^* \bar{\epsilon}_{kl}$$

- In a homogeneous body (or equivalent homogeneous body),  $\bar{\sigma}_{ij}$  and  $\bar{\epsilon}_{ij}$  will be constant throughout

## average stress theorem

- In general the stress field  $\sigma_{ij}$  will not be constant in a heterogeneous body
- If a heterogeneous body is subjected to homogeneous tractions with no body forces such that

$$t_i^0 = \bar{\sigma}_{ij} n_j$$

- And we find that

$$\langle \sigma_{ij} \rangle = \bar{\sigma}_{ij}$$

## average strain theorem

- Similarly, in general the strain field,  $\epsilon_{ij}$  will not be constant in a heterogeneous body
- If a heterogeneous body is subjected to a homogeneous displacement such that

$$u_i^0 = \bar{\epsilon}_{ij} x_j$$

- And we find that

$$\langle \epsilon_{ij} \rangle = \bar{\epsilon}_{ij}$$



## Hill and Mandel macrohomogeneity condition

- Hill and Mandel posed the question: Under what conditions will the average strain energy density of a heterogeneous body be equivalent to a homogeneous body?
- In other words, they wanted to show under what conditions

$$\langle \sigma_{ij} \epsilon_{ij} \rangle = \bar{\sigma}_{ij} \bar{\epsilon}_{ij}$$

## hill mandel macrohomogeneity

- First we note that

$$\bar{\sigma}_{ij}\bar{\epsilon}_{ij} = \frac{1}{V} \int_V \sigma_{ij}\bar{\epsilon}_{ij}dV = \frac{1}{V} \int_V \bar{\sigma}_{ij}\epsilon_{ij}dV = \frac{1}{V} \int_V \bar{\sigma}_{ij}u_{i,j}dV$$

- Thus we can say that when  $\langle \sigma_{ij}\epsilon_{ij} \rangle = \bar{\sigma}_{ij}\bar{\epsilon}_{ij}$

$$\langle \sigma_{ij}\epsilon_{ij} \rangle - \bar{\sigma}_{ij}\bar{\epsilon}_{ij} = \frac{1}{V} \int_V (\sigma_{ij}u_{i,j} - \bar{\sigma}_{ij}u_{i,j} - \sigma_{ij}\bar{\epsilon}_{ij} + \bar{\sigma}_{ij}\bar{\epsilon}_{ij})dV$$

## hill mandel macrohomogeneity

- After some algebra and applying the divergence theorem, we can write this as

$$\langle \sigma_{ij} \epsilon_{ij} \rangle - \bar{\sigma}_{ij} \bar{\epsilon}_{ij} = \frac{1}{V} \oint_V n_k (\sigma_{ik} - \bar{\sigma}_{ik}) (u_i - x_j \bar{\epsilon}_{ij}) dS$$

- The right-hand side can be made to vanish in various ways, but the most common are homogeneous traction, homogeneous displacement, and periodic boundary conditions

## finite elements

- There are a few things we need to do when using finite elements
- First, we should ensure the mesh we use is periodic
- Second, we should ensure that our boundary conditions satisfy Hill-Mandel and that our mesh is converged
- Periodic boundary conditions converge more quickly than homogeneous stress or displacement
- Third, we should repeat our periodic structure (2x2, 3x3) to check that the effective stiffness remains constant
- We find homogenized properties by taking the volume-averaged stress and strain