Lecture 2 - Tensor review, Anisotropic Elasticity

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1

#### schedule

- 20 Jan Tensor review, Anisotropic Elasticity
- 25 Jan Coordinate Transformation
- 27 Jan 1D Micromechanics (HW1 Due)
- 1 Feb Orientation Averaging

### outline

- index notation
- anisotropic elasticity

## index notation

#### index notation

- Consider the following
- $s = a_1x_1 + a_2x_2 + ... + a_nx_n$
- Which we could also write as

$$s = \sum_{i=1}^{n} a_i x_i$$

 Using index notation, and Einstein's summation convention, we can also write this as

$$s = a_i x_i$$

4

## dummy index

- In index notation, a repeated index implies summation
- This index is also referred to as a dummy index
- It is called a "dummy index" because the expression would have the same meaning with any index in its place
- i.e. i, j, k, etc. would all have the same meaning when repeated

### dummy index

Note, no index may be repeated more than once, thus the expression

$$s = \sum_{i=1}^{n} a_i b_i x_i$$

could not be directly written in index notation

6

#### free index

- Any index which is not repeated in an index notation expression is referred to as a free index
- The number of free indexes in an expression indicate the tensor order of that expression
- No free indexes = scalar expression (0-order tensor)
- One free index = vector expression (1st-order tensor)
- Two free indexes = matrix expression (2nd-order tensor)

- Free index is not repeated (on any term)
- Free index takes all values (1,2,3)
- e.g.  $u_i = \langle u_1, u_2, u_3 \rangle$
- Free indexes must match across terms in an expression or equation

Dummy index is repeated on at least one term Dummy index indicates summation over all values e.g.  $\sigma_{ii} = \sigma_{11} + \sigma_{22} + \sigma_{33}$  Index can not be used more than twice in the same term  $(A_{ij}B_{jk}C_{kl})$  is good,  $A_{ij}B_{ij}C_{ij}$  is not)

8

## dummy index

- The dummy index can be triggered by any repeated index in a term
- Summation or not?
  - $a_i + b_{ij}c_j$
  - a<sub>ii</sub>b<sub>ii</sub>
  - a<sub>ij</sub> + b<sub>ij</sub>c<sub>j</sub>

## matrix multiplication

• How can we write matrix multiplication in index notation?

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}$$

10

## special symbols

#### kronecker delta

- For convenience we define two symbols in index notation
- Kronecker delta is a general tensor form of the Identity
   Matrix

$$\delta_{ij} = \left\{ \begin{array}{ll} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{array} \right. = \left[ \begin{array}{ll} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right]$$

Is also used for higher order tensors

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### kronecker delta

- $\bullet \quad \delta_{ij} = \delta_{ji}$
- $\delta_{ii} = 3$
- $\delta_{ij}a_j=a_i$
- $\bullet \quad \delta_{ij}b_{ij}=b_{ii}$

## alternating symbol

alternating symbol or permutation symbol

$$\epsilon_{ijk} = \left\{ \begin{array}{rl} 1 & \text{if } ijk \text{ is an even permutation of } 1,2,3 \\ -1 & \text{if } ijk \text{ is an odd permutation of } 1,2,3 \\ 0 & \text{otherwise} \end{array} \right.$$

13

## alternating symbol

- This symbol is not used as frequently as the Kronecker delta
- For our uses in this course, it is enough to know that 123, 231, and 312 are even permutations
- 321, 132, 213 are odd permutations
- all other indexes are zero
- $\epsilon_{ijk}\epsilon_{imn} = \delta_{jm}\delta_{kn} \delta_{jn}\delta_{mk}$

## tensor algebra

#### substitution

- When solving tensor equations, we often need to manipulate expressions
- We need to make sure the correct indexes are used when substituting, for example

$$a_i = U_{im}b_m \tag{1}$$

$$b_i = V_{im}c_m \tag{2}$$

• To substitute (2) into (1), we first need to change indexes

#### substitution

- We need to change the free index, i, to m in (2)
- Since m is already used as the dummy index, we need to change that too

$$b_m = V_{mi}c_i \tag{3}$$

We can now make the substitution

$$a_i = U_{im} V_{mi} c_i \tag{4}$$

16

## multiplication

- We need to be careful with indexes when multiplying expressions
- $p = a_m b_m$  and  $q = c_m d_m$
- We can express, pq, but remember the dummy index cannot be repeated more than once
- $pq \neq a_m b_m c_m d_m$
- Instead we must change the dummy index in one of the expressions first
- $pq = a_m b_m c_n d_n$

### factoring

- In the following expression, we would like to factor out n, but it has different indexes
- $\sigma_{ii}n_i \lambda n_i = 0$
- Recall  $\delta_{ij}a_i=a_i$  we can rewrite  $n_i=\delta_{ij}n_j$
- $\sigma_{ii}n_i \lambda \delta_{ii}n_j = 0$
- $(\sigma_{ij} \lambda \delta_{ij}) nj = 0$

18

#### contraction

- $\sigma_{ii}$  is the contraction of  $\sigma_{ii}$
- This can often be a useful tool in solving tensor equations
- $\sigma_{ii} = \lambda \Delta \delta_{ii} + 2\mu E_{ii}$
- $\sigma_{ii} = 3\lambda\Delta + 2\mu E_{ii}$

### tensor calculus

## partial derivative

- We indicate (partial) derivatives using a comma
- In three dimensions, we take the partial derivative with respect to each variable (x, y, z or x<sub>1</sub>, x<sub>2</sub>, and x<sub>3</sub>)
- For example a scalar property, such as density, can have a different value at any point in space
- $\rho = \rho(x_1, x_2, x_3)$
- $\bullet \quad \rho_{,i} = \frac{\partial}{\partial x_i} \rho = \left\langle \frac{\partial \rho}{\partial x_1}, \frac{\partial \rho}{\partial x_2}, \frac{\partial \rho}{\partial x_3} \right\rangle$

## partial derivative

Similarly, if we take the partial derivative of a vector, it produces a matrix

$$u_{i,j} = \frac{\partial}{\partial x_j} u_i = \begin{bmatrix} \frac{\partial u_1}{\partial x_1} & \frac{\partial u_1}{\partial x_2} & \frac{\partial u_1}{\partial x_3} \\ \frac{\partial u_2}{\partial x_1} & \frac{\partial u_2}{\partial x_2} & \frac{\partial u_2}{\partial x_3} \\ \frac{\partial u_3}{\partial x_1} & \frac{\partial u_2}{\partial x_2} & \frac{\partial u_3}{\partial x_3} \\ \end{bmatrix}$$

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## dyadic notation

## dyadic notation

- Dyadic notation is sometimes called tensor product notation
- Dyadic product:  $C_{ij} = a_i b_j$  is written as  $C = a \otimes b$
- Double dot product:  $A_{ij}B_{ji} = c$  is written as A : B = c

22

### transformation

#### linear transformation

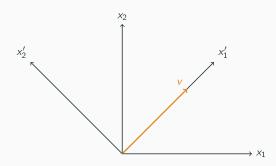
- Let us consider some transformation, T, which transforms any vector into another vector
- If we transform T a = c and T b = d
- We call **T** a linear transformation (and a tensor) if

$$\mathsf{T}(\mathsf{a} + \mathsf{b}) = \mathsf{T}\mathsf{a} + \mathsf{T}\mathsf{b}$$
 $\mathsf{T}(\alpha\mathsf{a}) = \alpha\mathsf{T}\mathsf{a}$ 

• Where  $\alpha$  is any arbitrary scalar and a, b are arbitrary vectors

23

### coordinate transformation in two dimensions

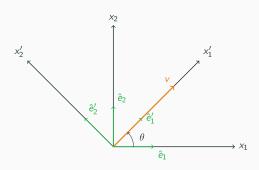


#### coordinate transformation in two dimensions

- The vector, v, remains fixed, but we transform our coordinate system
- In the new coordinate system, the  $x_2'$  portion of v is zero.
- To transform the coordinate system, we first define some unit vectors.
- ê<sub>1</sub> is a unit vector in the direction of x<sub>1</sub>, while ê'<sub>1</sub> is a unit vector in the direction of x'<sub>1</sub>

25

### coordinate transformation in two dimensions



#### coordinate transformation in two dimensions

- For this example, let us assume  $v = \langle 2, 2 \rangle$  and  $\theta = 45^{\circ}$
- We can write the transformed unit vectors, ê'<sub>1</sub> and ê'<sub>2</sub> in terms of ê<sub>1</sub>, ê<sub>2</sub> and the angle of rotation, θ.

$$\hat{\mathbf{e}}_1' = \langle \hat{\mathbf{e}}_1 \cos \theta, \hat{\mathbf{e}}_2 \sin \theta \rangle 
\hat{\mathbf{e}}_2' = \langle -\hat{\mathbf{e}}_1 \sin \theta, \hat{\mathbf{e}}_2 \cos \theta \rangle$$

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#### coordinate transformation in two dimensions

- We can write the vector, v, in terms of the unit vectors describing our axis system
- $v = v_1 \hat{e}_1 + v_2 \hat{e}_2$
- (note:  $\hat{e}_1 = \langle 1, 0 \rangle$  and  $\hat{e}_2 = \langle 0, 1 \rangle$ )
- $v = \langle 2, 2 \rangle = 2\langle 1, 0 \rangle + 2\langle 0, 1 \rangle$

#### coordinate transformation in two dimensions

- When expressed in the transformed coordinate system, we refer to v'
- $v' = \langle v_1 \cos \theta + v_2 \sin \theta, -v_1 \sin \theta + v_2 \cos \theta \rangle$
- $v' = \langle 2\sqrt{2}, 0 \rangle$
- We can recover the original vector from the transformed coordinates:
- $v = v_1' \hat{e}_1' + v_2' \hat{e}_2'$
- (note:  $\hat{e}'_1 = \langle \frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2} \rangle$  and  $\hat{e}'_2 = \langle -\frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2} \rangle$ )
- $v = 2\sqrt{2}\langle \frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2} \rangle, 0\langle -\frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2} \rangle = \langle 2, 2 \rangle$

29

## general coordinate transformation

- Coordinate transformation can become much more complicated in three dimensions, and with higher-order tensors
- It is convenient to define a general form of the coordinate transformation in index notation
- We define Q<sub>ij</sub> as the cosine of the angle between the x'<sub>i</sub>
  axis and the x<sub>i</sub> axis.
- This is also referred to as the "direction cosine"

$$Q_{ij} = \cos(x_i', x_j)$$

## mental and emotional health warning

- Different textbooks flip the definition of Q<sub>ij</sub> (Elasticity and Continuum texts have opposite definitions, for example)
- The result gives the transpose
- Always use equations (next slide) from the same source as your Q<sub>ii</sub> definition

31

## general coordinate transformation

- We can transform any-order tensor using  $Q_{ij}$
- Vectors (first-order tensors):  $v_i' = Q_{ij}v_i$
- Matrices (second-order tensors):  $\sigma'_{ij} = Q_{im}Q_{jn}\sigma_{mn}$
- ullet Fourth-order tensors:  $C'_{ijkl}=Q_{im}Q_{jn}Q_{ko}Q_{lp}C_{mnop}$

## general coordinate transformation

• We can use this form on our 2D transformation example

$$\begin{aligned} Q_{ij} &= \cos(x_i', x_j) \\ &= \begin{bmatrix} \cos(x_1', x_1) & \cos(x_1', x_2) \\ \cos(x_2', x_1) & \cos(x_2', x_2) \end{bmatrix} \\ &= \begin{bmatrix} \cos \theta & \cos(90 - \theta) \\ \cos(90 + \theta) & \cos \theta \end{bmatrix} \\ &= \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \end{aligned}$$

33

## general coordinate transformation

- We can similarly use Q<sub>ij</sub> to find tensors in the original coordinate system
- Vectors (first-order tensors):  $v_j = Q_{ij}v'_i$
- Matrices (second-order tensors):  $\sigma_{mn} = Q_{im}Q_{jn}\sigma'_{ij}$
- Fourth-order tensors:  $C_{mnop} = Q_{im}Q_{jn}Q_{ko}Q_{lp}C'_{ijkl}$

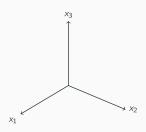
## general coordinate transformation

- We can derive some interesting properties of the transformation tensor, Q<sub>ii</sub>
- We know that  $v_i' = Q_{ii}v_i$  and that  $v_i = Q_{ii}v_i'$
- If we substitute (changing the appropriate indexes) we find:
- $\mathbf{v}_i = Q_{ij} Q_{ik} v_k$
- We can now use the Kronecker Delta to substitute  $v_i = \delta_{ik} v_k$
- $\bullet \quad \delta_{jk} v_k = Q_{ij} Q_{ik} v_k$

35

## examples

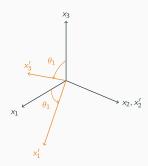
## example



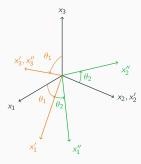
- Find  $Q_{ij}^1$  for rotation of 60° about  $x_2$
- Find  $Q_{ij}^2$  for rotation of 30° about  $x_3'$
- Find  $e_i''$  after both rotations

36

# example



#### example



38

### example

$$Q_{ij}^1 = \cos(x_i', x_j)$$

$$Q_{ii}^2 = \cos(x_i'', x_i')$$

$$Q_{ij}^{1} = \begin{bmatrix} \cos 60 & \cos 90 & \cos 150 \\ \cos 90 & \cos 0 & \cos 90 \\ \cos 30 & \cos 90 & \cos 60 \end{bmatrix}$$

$$Q_{ij}^2 = \begin{bmatrix} \cos 30 & \cos 60 & \cos 90 \\ \cos 120 & \cos 30 & \cos 90 \\ \cos 90 & \cos 90 & \cos 0 \end{bmatrix}$$

### example

- We now use  $Q_{ij}$  to find  $\hat{e}'_i$  and  $\hat{e}''_i$
- First, we need to write ê<sub>i</sub> in a manner more consistent with index notation
- We will indicate axis direction with a superscript,

e.g. 
$$\hat{e}_1 = e_i^1$$

- $\bullet \quad e_i' = Q_{ij}^1 e_j$
- $e_i'' = Q_{ii}^2 e_i'$
- How do we find  $e_i''$  in terms of  $e_i$ ?

40

# anisotropic elasticity

In 3D, Hooke's Law for linearly elastic materials is

$$\sigma_{ij} = C_{ijkl}\epsilon_{kl}$$

- For isotropic materials, C<sub>ijkl</sub> can be expressed in terms of two constants
- In general (anisotropic materials) more constants are needed and we use the full tensor

41

## engineering notation

- Fourth-order tensors are cumbersome to write, we often use engineering notation
- σ and ε are written as vectors and C<sub>ijkl</sub> is written as a matrix.
- NOTE: Although σ, ε and C<sub>ijkl</sub> are tensors, their counterparts in engineering notation are NOT formal tensors
- This means that the usual transformation laws do not apply

## engineering notation

$$\begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \end{bmatrix} = \begin{bmatrix} C_{1111} & C_{1122} & C_{1133} & C_{1123} & C_{1113} & C_{1112} \\ C_{1122} & C_{2222} & C_{2233} & C_{2223} & C_{1322} & C_{1222} \\ C_{1133} & C_{2223} & C_{3333} & C_{2333} & C_{1333} & C_{1233} \\ C_{1123} & C_{2223} & C_{2333} & C_{2323} & C_{1323} & C_{1223} \\ C_{1113} & C_{1322} & C_{1333} & C_{1323} & C_{1213} & C_{1213} \\ C_{1112} & C_{1222} & C_{1233} & C_{1223} & C_{1213} & C_{1212} \end{bmatrix} \begin{bmatrix} E_{11} \\ E_{22} \\ E_{33} \\ E_{23} \\ E_{24} \\ E_{12} \end{bmatrix}$$

43

## compliance

$$\begin{bmatrix} E_{11} \\ E_{22} \\ E_{33} \\ E_{23} \\ 2E_{13} \\ 2E_{12} \end{bmatrix} = \begin{bmatrix} S_{1111} & S_{1122} & S_{1133} & S_{1123} & S_{1113} & S_{1112} \\ S_{1122} & S_{2222} & S_{2233} & S_{2223} & S_{1322} & S_{1222} \\ S_{1133} & S_{2233} & S_{3333} & S_{2333} & S_{1333} & S_{1233} \\ S_{1123} & S_{2223} & S_{2333} & S_{2323} & S_{1323} & S_{1223} \\ S_{1113} & S_{1322} & S_{1333} & S_{1323} & S_{1313} & S_{1213} \\ S_{1112} & S_{1222} & S_{1233} & S_{1223} & S_{1213} & S_{1212} \end{bmatrix} \begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{13} \\ \sigma_{14} \\ \sigma_{15} \\$$

### physical interpretation

• If we now consider the case of uniaxial tension, we see that

$$E_{11} = S_{1111}\sigma_{11}$$

$$E_{22} = S_{1122}\sigma_{11}$$

$$E_{33} = S_{1133}\sigma_{11}$$

$$2E_{23} = S_{1123}\sigma_{11}$$

$$2E_{13} = S_{1113}\sigma_{11}$$

$$2E_{12} = S_{1112}\sigma_{11}$$

• S1111 is familiar, acting like 1/EY

45

## poisson's ratio

- For isotropic materials we defined Poisson's ratio as  $\nu = -E_{22}/E_{11}$
- For anisotropic materials, we can have a different Poisson's ratio acting in different directions
- We define ν<sub>ij</sub> = -E<sub>ij</sub>/E<sub>ii</sub> (with no summation), the ratio of the transverse strain in the j direction when stress is applied in the i direction
- For this example we can find  $u_{12}$  and  $u_{13}$  as

$$\nu_{12} = -E_{22}/E_{11} = -S_{1122}/S_{1111}$$
$$\nu_{13} = -E_{33}/E_{11} = -S_{1133}/S_{1111}$$

## poisson's ratio

- Note that we cannot, in general, say that  $\nu_{12} = \nu_{21}$
- However, due to the symmetry of the stiffness/compliance tensors, we know that

$$\nu_{21}E_{x} = \nu_{12}E_{y}$$

$$\nu_{31}E_{x} = \nu_{13}E_{z}$$

$$\nu_{32}E_{y} = \nu_{23}E_{z}$$

 Where E<sub>x</sub> refer's to the Young's Modulus in the x-direction, etc.

47

## shear coupling coefficients

- An unfamiliar effect is that shear strains can be introduced from a normal stress
- We define shear coupling coefficients as  $\eta_{1112} = \eta_{16} = -2E_{12}/E_{11}$  due to  $\sigma_{11}$
- These coupling terms can also effect shear strain in a different plane from the applied shear stress
- Like the Poisson's ratio, these are not entirely independent

$$\eta_{61}E_x = \eta_{16}G_6$$

• Where  $G_6$  is the shear modulus in the 12 plane

### shear coupling coefficients

- Shear coupling coefficients are sometimes placed in two groups
- Coefficients of mutual influence relate shear stress to normal strain and normal stress to shear strain
- Chentsov coefficients relate shear stress in one plane to shear strain in another plane
- In general we can say

$$\eta_{nm}E_m = \eta_{mn}G_n$$
 (m = 1,2,3) (n = 4,5,6)

and

$$\eta_{nm}G_m = \eta_{mn}G_n \quad (m,n = 4,5,6) \quad m \neq n$$

## orthotropic symmetry

$$\begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \end{bmatrix} = \begin{bmatrix} C_{1111} & C_{1122} & C_{1133} & 0 & 0 & 0 \\ C_{1122} & C_{2222} & C_{2233} & 0 & 0 & 0 \\ C_{1133} & C_{2233} & C_{3333} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{2323} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{1313} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{1212} \end{bmatrix} \begin{bmatrix} E_{11} \\ E_{22} \\ E_{33} \\ E_{23} \\ 2E_{13} \\ 2E_{12} \end{bmatrix}$$

## transversely isotropic symmetry

$$\begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \end{bmatrix} = \begin{bmatrix} C_{1111} & C_{1122} & C_{1133} & 0 & 0 & 0 & 0 \\ C_{1122} & C_{1111} & C_{1133} & 0 & 0 & 0 & 0 \\ C_{1133} & C_{1133} & C_{3333} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{1313} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{1313} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1/2(C_{1111} - C_{2222}) \end{bmatrix} \begin{bmatrix} E_{11} \\ E_{22} \\ E_{33} \\ E_{23} \\ 2E_{12} \end{bmatrix}$$

51

## isotropic symmetry

$$\begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{12} \\ \sigma_{12} \end{bmatrix} = \frac{E}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\nu & \nu & \nu & 0 & 0 & 0 & 0 \\ \nu & 1-\nu & \nu & 0 & 0 & 0 & 0 \\ \nu & \nu & 1-\nu & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{2}(1-2\nu) & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{2}(1-2\nu) & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{2}(1-2\nu) \end{bmatrix}$$

### next class

 Next class we will develop transformation laws for engineering stress/strain and stiffness