

# Software process models

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## Motivation

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- We have analyzed activities
  - ♦ Requirement, design, ..
- And the techniques that can be used to perform them
  - ♦ UML modeling, prog languages, WB BB testing, ..
- But how to organize all?

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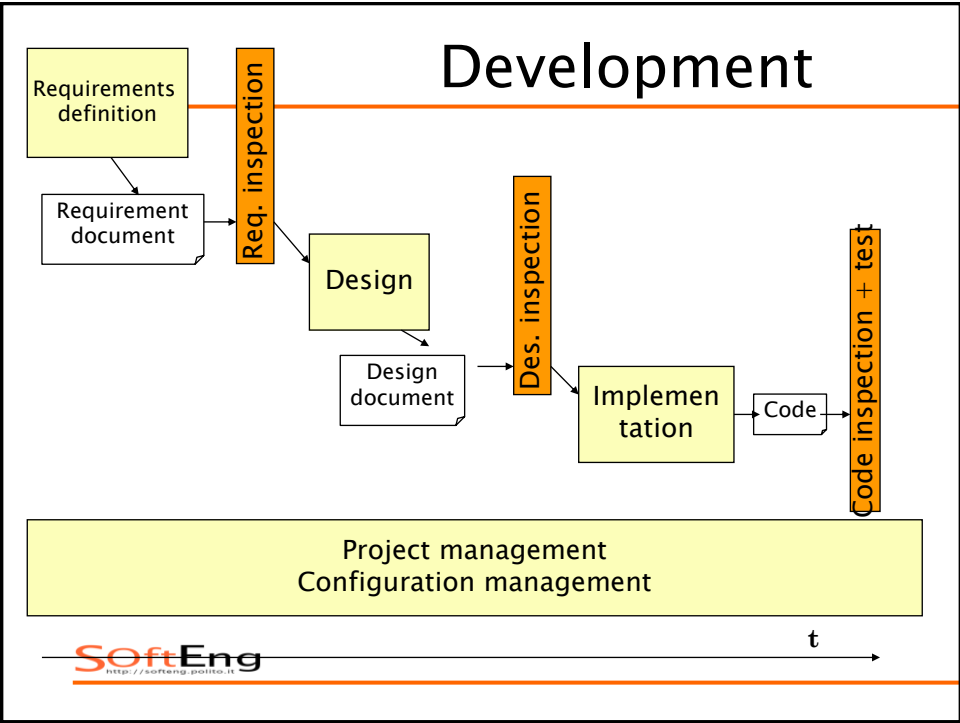
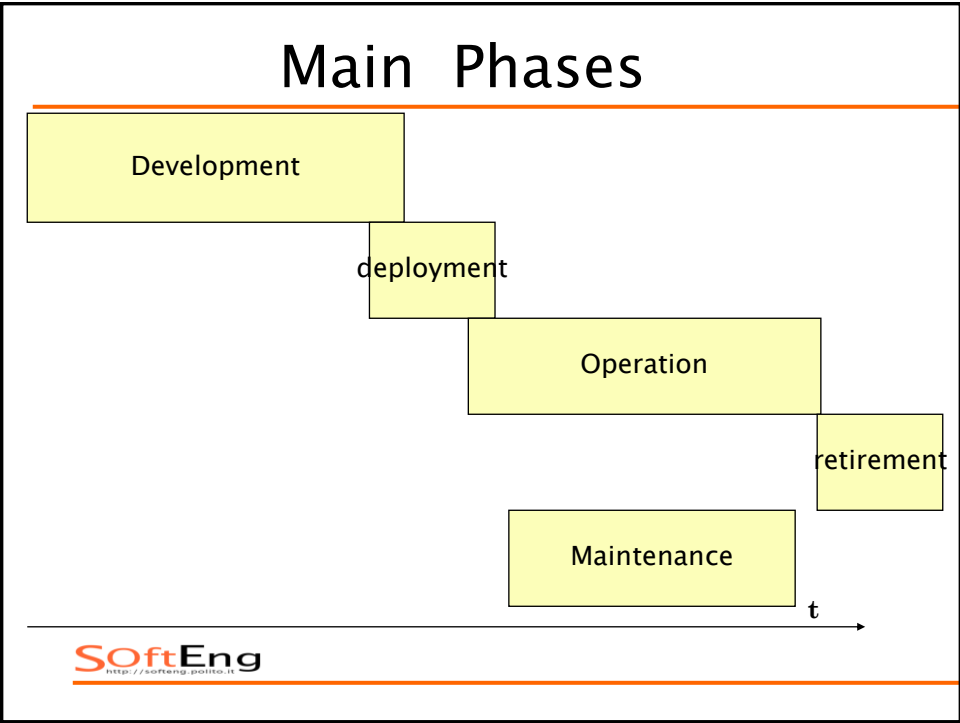
# Outline

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- Phases and Activities
- Processes, process models
- Projects
- Selection of process for project

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## Phases and activities



# ISO/IEC 12207

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▪ ISO == Int Standard Organization

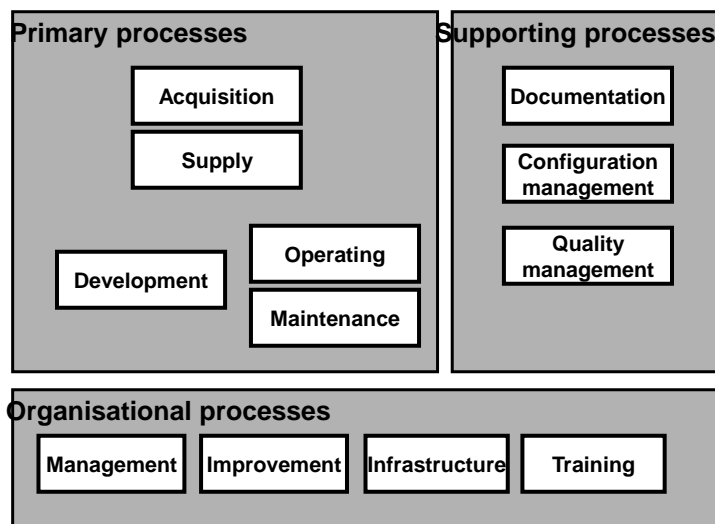
- ♦ Identifies processes
- ♦ Identifies entities responsables of processes
- ♦ Identifies products of processes

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# ISO/IEC 12207

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## Primary processes

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- Acquisition (manage suppliers)
- Supply (interaction with customer)
- Development (develop sw)
- Operation (deploy, operate service)
- Maintenance

## Supporting

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- ♦ Documentation of product
- ♦ Configuration management
- ♦ Quality assurance
  - Verification and Validation
  - Reviews with customer
  - Internal audits
  - Problem analysis and resolution


# Organizational

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- Project management
- Infrastructure management
  - ♦Facilities, networks, tools
- Process monitoring and improvement
- Training

# Ex. Software development

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- Activity 5.3 Software development is decomposed in tasks
  - ♦ 5.3.1 Process Instantiation 
  - ♦ 5.3.2 System requirements analysis
  - ♦ 5.3.3 System architecture definition
  - ♦ 5.3.4 Software requirements analysis
  - ♦ 5.3.5 Software architecture definition
  - ♦ 5.3.6 Software detailed design
  - ♦ 5.3.7 Coding and unit testing
  - ♦ 5.3.8 Integration of software units
  - ♦ 5.3.9 Software validation
  - ♦ 5.3.10 System integration
  - ♦ 5.3.11 System validation

## V&V Tasks

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- Coding and verification of components (5.3.7.)
- Integration of components (5.3.8.)
- Validation of software (5.3.9.)
- System Integration (5.3.10.)
- System validation (5.3.11.)

## Subtasks

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- Coding and verification of components (5.3.7.)
  - Definition of test data and test procedures (5.3.7.1.)
  - Execute and document tests (5.3.7.2.)
  - Update documents, plan integration tests (5.3.7.4.)
  - Evaluate tests (5.3.7.5.)
- Integration of components (5.3.8.)
  - Definition of integration test plan (5.3.8.1.)
  - Execute and document tests (5.3.8.2.)
  - Update documents, plan validation tests (5.3.8.4.)
  - Evaluate tests (5.3.8.5.)

# ISO 12207

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- Only list of activities
- Independent of process model
  - ♦ Waterfall, iterative, ..
- Independent of technology
- Independent of application domain
- Independent of documentation

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## Process models



## How to organize activities?

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- What?
  - ♦ Activities, documents
- Who does what?
  - ♦ Roles and responsibilities
- When?
  - ♦ Temporal constraints between activities
- Under constraints
  - ♦ Laws, standards

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## Software process

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- Activities
  - ♦ Requirement, design, ..
- Products
  - ♦ Requirement document, design document ..
- Roles
  - ♦ Project manager, analyst, tester, ..
- Process model
  - ♦ Temporal constraints on how activities should be executed, responsibilities

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## Process models

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- From standards / documents
  - ♦ ISO 15288 (system engineering activities)
  - ♦ ISO 12207 (sw engineering activities)
  - ♦ ISO 9001, ISO 9000-3
  - ♦ CMM-I
- From literature
  - ♦ Waterfall, RUP, Agile,

## Mature company approach

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- Company process model
  - ♦ Suggested / required activities, documents, milestones
- Project process model
  - ♦ (see 5.3.1 Process Instantiation in 12207)
  - ♦ Project defines specific model to follow, in function of criticality, cost, size, technology and application domain
  - ♦ Quality team reviews the decision

## Process conformance

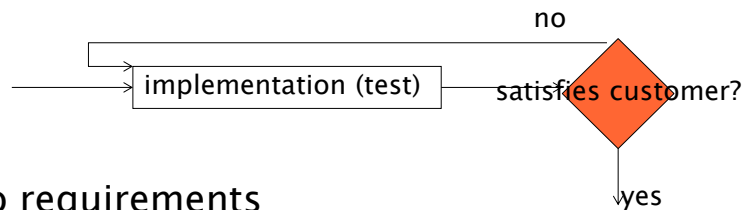
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- Consistency between
  - ♦ Actual process followed in a project
  - ♦ Process model defined in documents

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## Process Models

## Build and fix



- ♦ No requirements
- ♦ No design
- ♦ No validation of requirements/design
- ♦ May be ok for solo programming
- ♦ Does not scale up for larger projects

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## Models

- Main constraints in defining a process model
  - ♦ New development vs maintenance
  - ♦ Compliance to standards, laws
    - Correlates with criticality: safety critical, mission critical, no critical
  - ♦ Size of end product
    - Correlates with effort, calendar duration, size of staff involved, number and geographical distribution of teams

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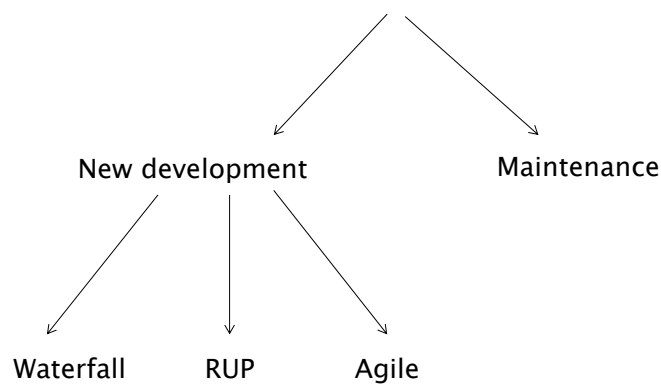
# Models

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- Main factors in choosing a process model
  - ♦ New development vs maintenance
  - ♦ Sequential vs parallel activities
  - ♦ Iterations (a long one vs many short ones)
  - ♦ Emphasis on documents (yes vs no)

# Models

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# Models, new development

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- Waterfall and variants
  - ♦ Waterfall, waterfall + prototype
  - ♦ Incremental
- RUP
- Agile
  
- Reuse
  - ♦ as modifier in all models

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## Waterfall

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|                                | Waterfall  |
|--------------------------------|------------|
| Sequential parallel activities | Sequential |
| Iterations                     | One, long  |
| Emphasis on documents          | yes        |

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# Waterfall

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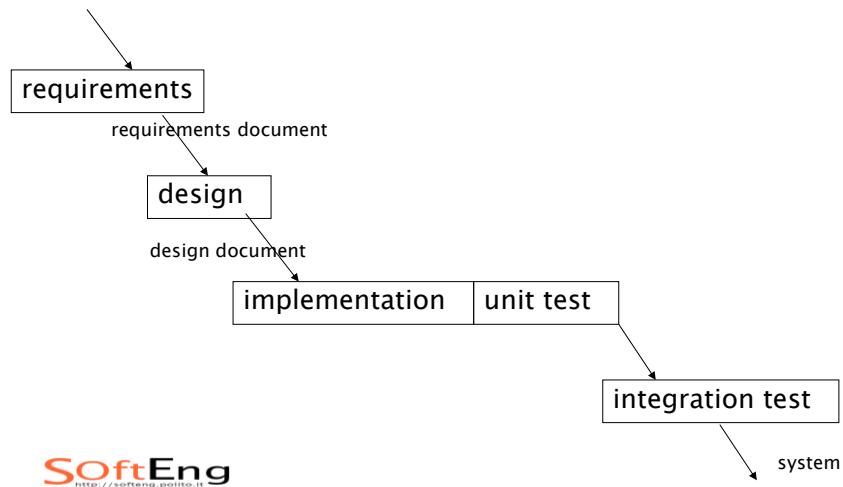
- [Royce 1970]
- Sequential activities
  - Activity produces document/deliverable
  - Next activity starts when previous is over and freezes the deliverable
- Document driven

# Waterfall

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- (One) long iteration
  - Ideal, never feasible in practice
  - Change to a document implies re-doing all activities depending on it
    - Change to requirement → redo all activities
    - Change to design → redo all except requirements
    - Etc
  - Changes are long and expensive

# Waterfall



## Problems

- Lack of flexibility
  - ♦ Rigid sequentiality
  - ♦ Change to a document has heavy impact
    - tension to avoid changes
    - worst impact changes are to requirements and design
      - that normally are the most faulty
      - that should change to follow changes in context/customer needs
- Burocratic



# Suitability

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|                               | Waterfall  |
|-------------------------------|--|
| New development / maintenance | Mostly new developments                                    |
| Compliance                    | Yes, documents   |
| Size                          | Large projects, distributed teams, distributed contractors |

# Variants of waterfall

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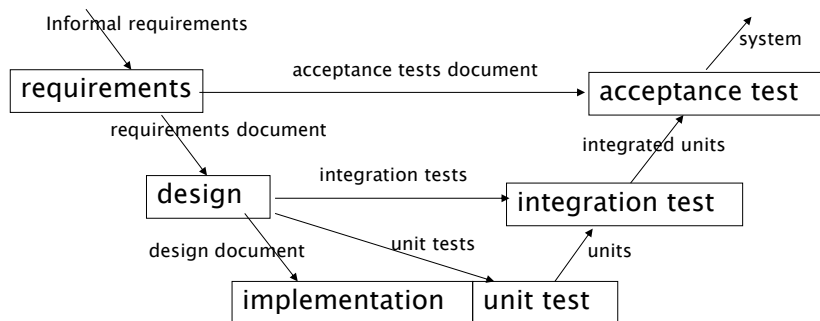
- V Model
  - ♦ ISO 26262, IEC 61508
- Waterfall + prototype
- Incremental

# V Model

- Similar to waterfall
- Emphasis on VV activities
- Acceptance tests written after/with requirements
- Unit/integration tests written after/during design

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# V Model



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## ISO 26262, IEC 61508

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- As examples of system process model, waterfall / V model

## ISO 26262

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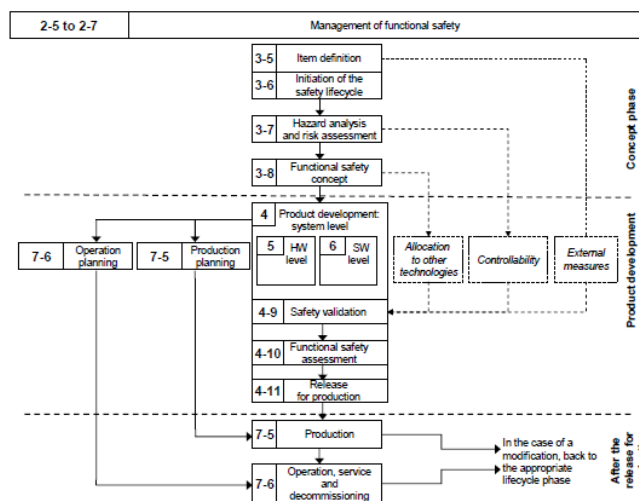
- Road Vehicles, functional safety
- Adaptation of IEC 61508
  - Functional safety for systems with EEPs (computers)
  - SIL – Safety Integrity Level
  - ASIL – automotive SIL, A (light red) B C D (red)

# Structure

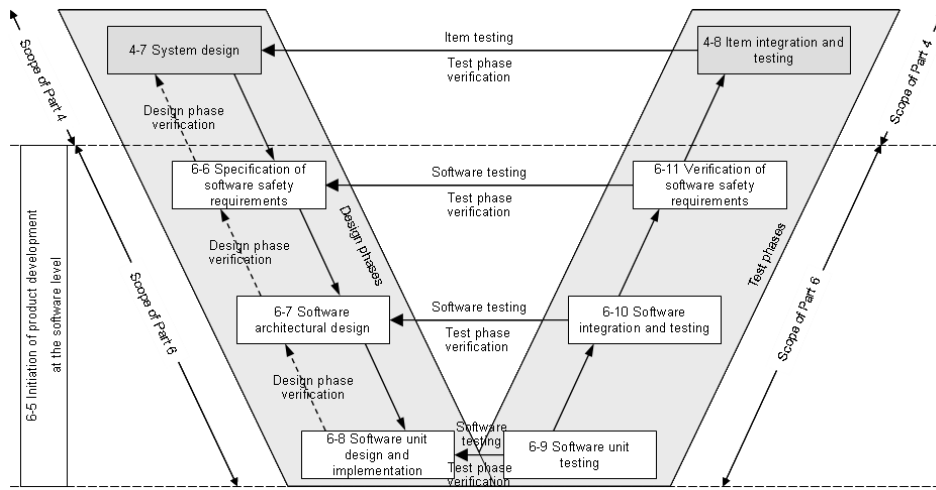
- Vocabulary
- Management of functional safety
- Concept phase
- Product development at the system level
- Product development at the hardware level
- Product development at the software level
- Production and operation
- Supporting processes
- Automotive safety integrity levels

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# Safety Lifecycle



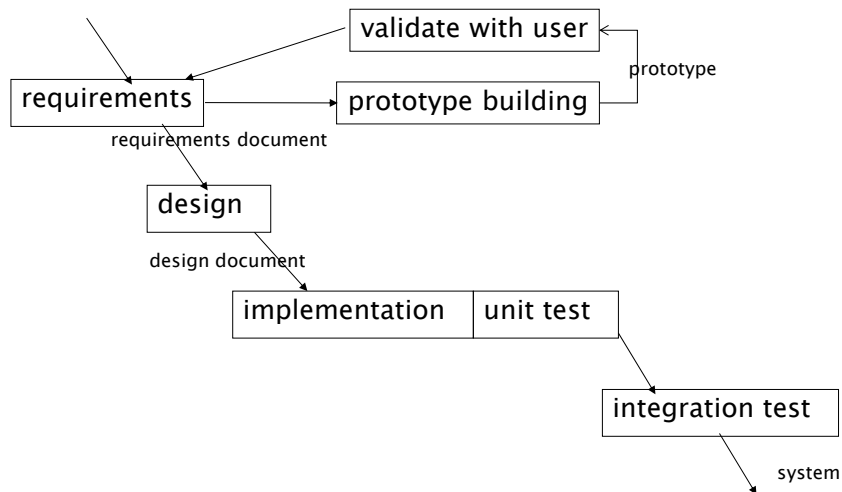
# Software lifecycle



## Prototyping + waterfall

- Quick and dirty prototype to validate/analyze requirements
- Then same as waterfall

# Prototyping + waterfall



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## Prototyping

- Advantages
  - ♦ Clarify requirements
- Problems
  - ♦ Requires specific skills to build prototype (prototyping language)
  - ♦ Business pressures to keep prototype (when successful) as final deliverable

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## Prototype in sw

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- Less functions
- Other platform
  - ♦ Final product in C, embedded
  - ♦ Prototype in Java, PC
  - ♦ Or
  - ♦ Prototype in Matlab

## Prototyping

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- The idea can be applied to other parts of a project
  - ♦ GUI prototyping (see requirements chapter)
  - ♦ Design prototyping
  - ♦ Performance

## Incremental

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- In Waterfall integration activity produces the complete system, that is delivered to customer in one shot
- Incremental is same as waterfall, but integration is split, every loop in integration produces a part of the system (the system is delivered in several increments)
  - ♦ One increment == 'build'

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## Incremental

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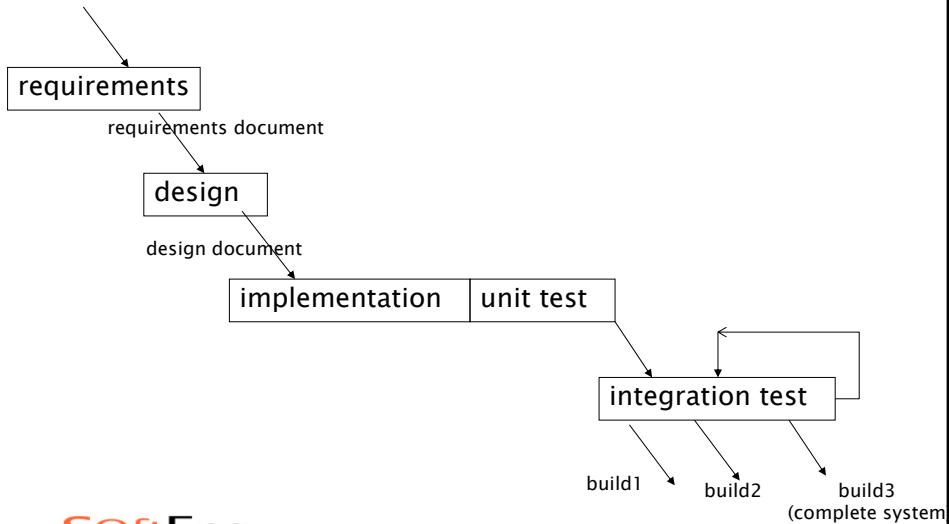
- Pros
  - ♦ Earlier feedback from user / customer
  - ♦ Increments that depend on external components can be delayed

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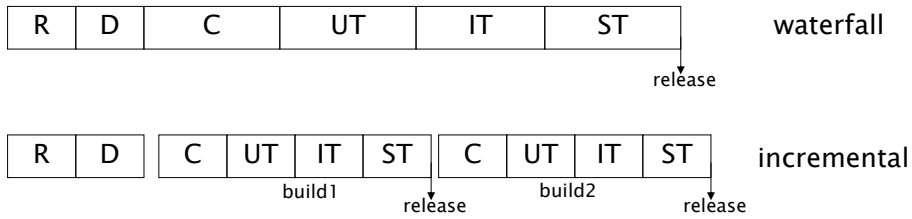


# Incremental



- Requirements: R1, R2, R3
- Architecture: C1, C2, C3, C4
- Planning: 3 iterations
- Iteration1
  - ♦ R1, requires C1, C2
  - ♦ Develop and integrate C1, C2,
  - ♦ Deliver R1
- Iteration2
  - ♦ R2, requires C1, C3
  - ♦ Develop C3, integrate C1, C2, C3
  - ♦ Deliver R1 + R2
- Iteration3
  - ♦ R3, requires C3, C4
  - ♦ Develop C4, integrate C1, C2, C3, C4
  - ♦ Deliver R1 + R2 + R3

# Comparison

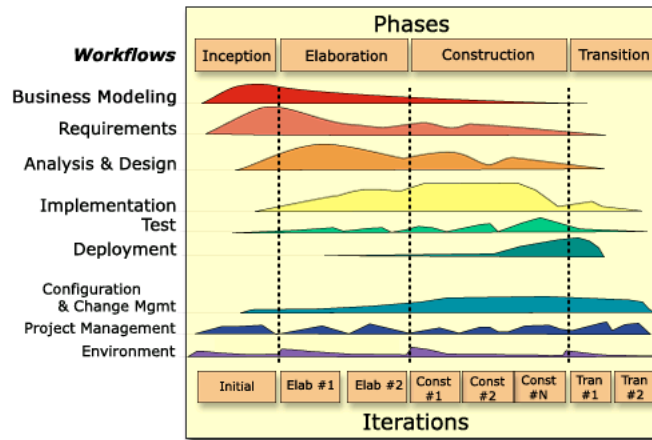


Legenda: R= Requirements, D= Design, C=Coding, UT=Unit Test,  
IT= Integration Test, ST = System Test

## RUP

|                                 | RUP                           |
|---------------------------------|-------------------------------|
| Sequential /parallel activities | parallel                      |
| Iterations                      | One, long with sub iterations |
| Emphasis on documents           | partial                       |

## (R)UP



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## (Rational) Unified Process

- Proposed in 1999 by
  - ♦ Grady Booch
  - ♦ Ivar Jacobson
  - ♦ James Rumbaugh
- Characteristics
  - ♦ Based on architecture
  - ♦ Iterative incremental

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# UP Phases

## ♦Inception

- Feasibility study; risk analysis; essential requirements; prototyping (not mandatory)

## ♦Elaboration

- Requirement analysis; risk analysis; architecture definition; project plan

## ♦Construction

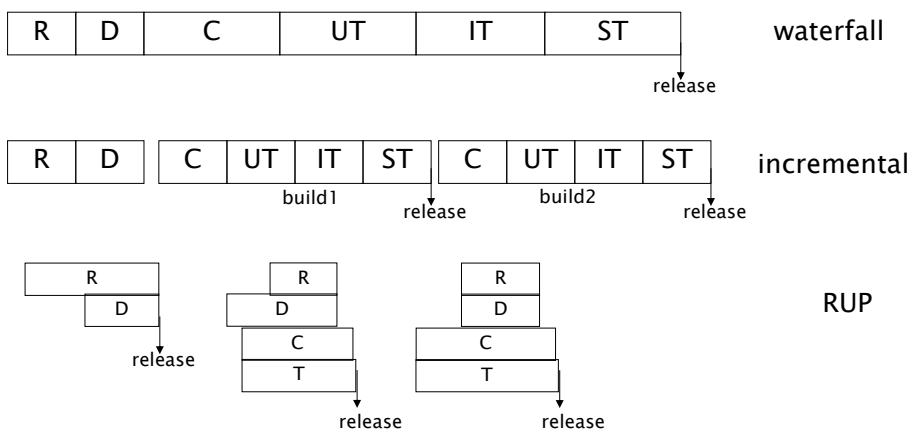
- analysis, design, implementation, testing

## ♦Transition

- Beta testing, performance tuning; documentation; training, user manuals; packaging for shipment

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# Comparison



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Legenda: R= Requirements, D= Design, C=Coding, UT=Unit Test,  
IT= Integration Test, ST = System Test

# Suitability

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|                               | Waterfall                              |
|-------------------------------|--|
| New development / maintenance | Mostly new developments                |
| Compliance                    | partial                                |
| Size                          | Also large projects, distributed teams |

# Agile

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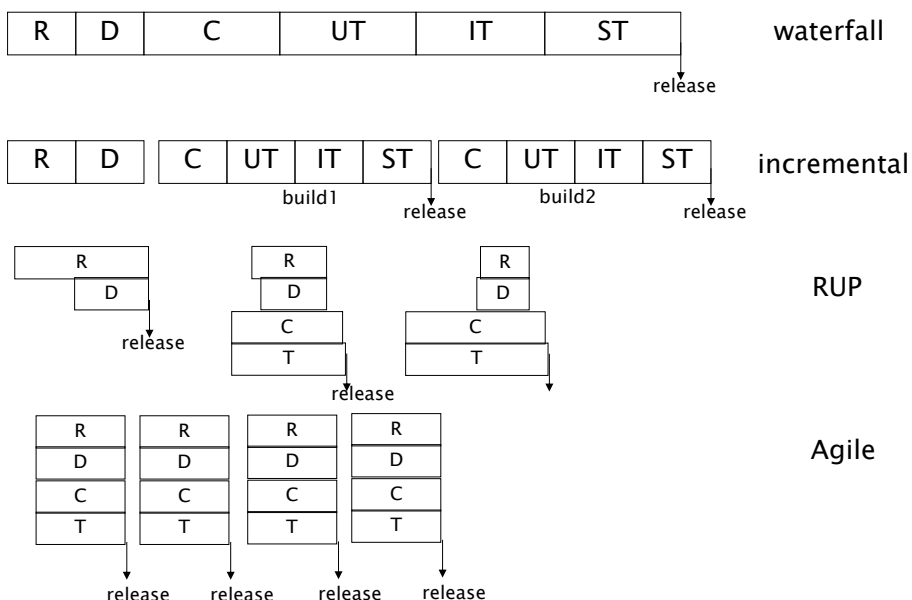
- Scrum
- XP
  - ♦ See other chapter

# Agile

- Very skinny requirements and design
  - ♦ Requirements can change at every iteration
- Code and automated test cases
- Continuous integration
  - ♦ Every day, from day 0
- Iterations: 4 weeks

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## Comparison



# Agile

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|                                      | Agile          |
|--------------------------------------|----------------|
| Sequential<br>parallel<br>activities | parallel       |
| Iterations                           | Many,<br>short |
| Emphasis on<br>documents             | no             |

# Suitability

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|                                  | Agile                                  |
|----------------------------------|--|
| New development<br>/ maintenance | both                                   |
| Compliance                       | no                                     |
| Size                             | Small<br>projects, co<br>located teams |

## Options

|                                   | Waterfall  | RUP, S&S                            | Agile       |
|-----------------------------------|------------|-------------------------------------|-------------|
| Sequential<br>parallel activities | sequential | parallel                            | parallel    |
| Iterations                        | One, long  | One, long<br>with sub<br>iterations | Many, short |
| Emphasis on<br>documents          | heavy      | mild                                | no          |

## Suitability

|                                     | Waterfall   | RUP   | Agile                                    |
|-------------------------------------|---|---|--|
| New<br>development /<br>maintenance | Mostly new<br>developments                                    | Mostly new<br>developments                      | both                                     |
| Compliance                          | yes   | partial   | no                                       |
| Size                                | Large<br>projects,<br>distributed<br>teams and<br>contractors | Also large<br>projects,<br>distributed<br>teams | Small<br>projects,<br>colocated<br>teams |



## Reuse

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- Most projects reuse components
  - ♦ open/closed source
  - ♦ free/not free
- Pros
  - ♦ Immediate availability
  - ♦ Often higher quality and lower cost
- Cons
  - ♦ Ownership of source
  - ♦ Trade offs needed
  - ♦ Loss of control on evolution

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## Reuse – process

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- Requirements
  - ♦ Must consider what is (un)available from components, and change requirements accordingly
- Design
  - ♦ Must evaluate and select components
  - ♦ Must consider constraints / issues from components and adapt design

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## Reuse – ex tradeoff in req

- R1, in an accounting package invoices should be produced in pdf, png, jpg

|      | No reuse      | Component 1            | Component 2            |
|------|---------------|------------------------|------------------------|
| R1   | Pdf, png, jpg | Yes pdf, jpg<br>No png | Yes pdf, png<br>No jpg |
| Cost | 50            | 10                     | 12                     |
| Time | 3 months      | 1 month                | 1 month                |

## Reuse – Activities

- ♦ Search and analysis of components
- ♦ Adaptation of requirements
  - Trade off between requirements and available components
- ♦ Design
- ♦ Implementation
  - ‘Glue’ software to integrate components

# Maintenance process

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# Change

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- The key element in a maintenance process
- Can be
  - ♦ A defect to be fixed (corrective maintenance)
  - ♦ A modification to an existing function / characteristic (perfective maintenance)
  - ♦ A new function / characteristic (evolutionary maintenance)

## Change

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- Can be originated by end users or developers
- Are received and processed by a group of maintainers

## Product evolution

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- The product evolves by applying a flow of changes
  - ♦ Typically with regular releases
    - Major: every few months
    - Minor/critical: when needed
- Stable baseline
  - ♦ Released regularly
- Working baseline
  - ♦ Where the maintainers work

## Process

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- Receive change request (CR)
- Filter
  - ♦ merge same/similar CR
  - ♦ discard unfeasible, incorrect CR
- Assess
  - ♦ Evaluate impact of CR (effort/cost, feasibility, impact on architecture and/or functionality)
  - ♦ Rank CR (using severity – for corrective CR, and importance – for evolutive CR)

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## Process (2)

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- Assign CR to a (team of) maintainer, picking from ranked CRs
- Implement CR
  - ♦ Design, code, unit test, integration test
    - Maintainer
  - ♦ System test
    - Quality group
  - ♦ Insert in next release

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## Issues

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- The flow of changes can radically change a product over time (years)
  - ♦ Need to control / maintain architecture (architecture erosion)
  - ♦ Need to control suitability for market /users
- Only a part of CRs (even corrective) are implemented

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## Variants

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- A project manager assigns tasks (CRs) to maintainers – vs – maintainer picks CR from list
- A board (including product architect, market analyst, quality responsible) ranks CRs – vs – project manager does

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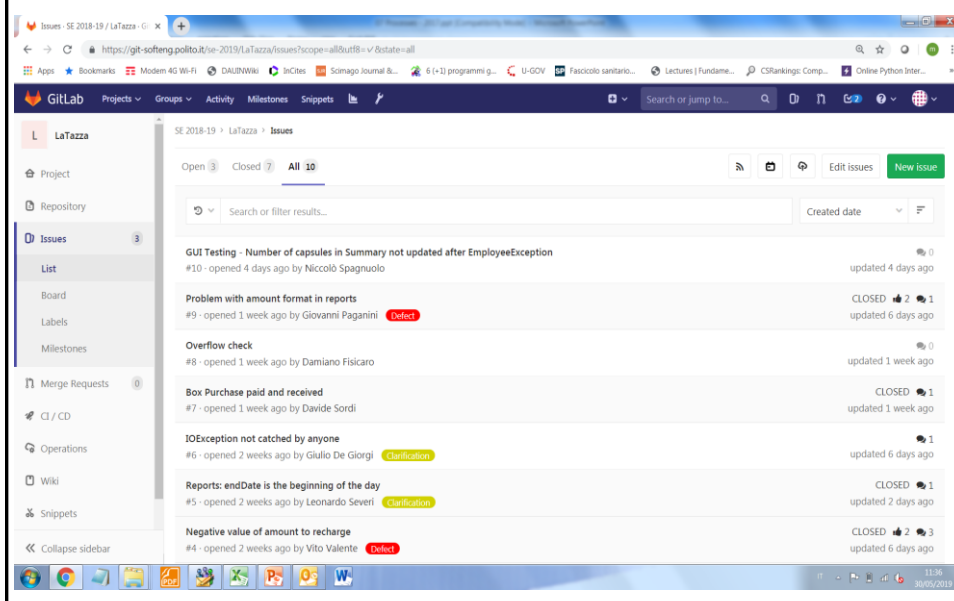
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# Tools to support change process

- ♦ Jira
- ♦ Redmine
- ♦ Pivotal tracker
- ♦ Zenhub
- ♦ Github – issues
- ♦ Bugzilla

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## Git – Issues



# Ex Trac

## See Trac demo

- ♦ For trac: change → ticket
- ♦ Usr demo pwd demo
- <http://www.hosted-projects.com/trac/TracDemo/Demo>

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# Trac – create ticket

## Create New Ticket

Short summary:

Display name position

Type:

Full description (you may use [WikiFormatting](#) here):

**B I A** [link] [img] [code] [pre] [del] [quote]

When a component is selected from the structure, display the name of the file where it is stored.

''Relatively simple to implement as a file name table is available. Requires the design and implementation of a display field. No changes to associated components are required''

### Ticket Properties

Priority:

Component:

Severity:

Assign to:

Milestone:

Version:

Keywords:

Cc:


☐ I have files to attach to this ticket

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# Trac – see all (active) tickets

Use Demo/Demo to log in as the admin user

 **trac**  
Integrated SCM & Project Management

logged in as demo Logout Settings Help/Docs About Trac

Nil Tickets Roadmap Browse Source View Tickets New Ticket Search Admin

Available Reports Custom Query

**{1} Active Tickets** (14 matches)

- List all active tickets by priority.
- Color each row based on priority.
- If a ticket has been accepted, a "\*" is appended after the owner's name.

| Ticket | Summary                   | Component  | Version | Milestone | Type        | Owner      | Created  |
|--------|---------------------------|------------|---------|-----------|-------------|------------|----------|
| #5     | None                      | component2 |         | None      | enhancement | None       | 02/2007  |
| #6     | None                      | component2 | 2.0     | None      | enhancement | None       | 02/2007  |
| #3     | None                      | component2 | 2.0     | None      | task        | None       | 02/2007  |
| #9     | bla                       | Test       |         | None      | task        | Yodiz      | 03/09/09 |
| #2     | None                      | component1 | 1.0     |           | task        | None       | 02/2007  |
| #4     | pasifica high school      | component2 | 1.1     | 2.1       | task        | None       | 02/2007  |
| #11    | teste                     | component2 | 2.0     | 2.1       | task        | anonymous* | 03/10/09 |
| #13    | Error en cálculo del IETU | Docs       | 1.5     | 2.1       | defect      | Carlos     | 03/10/09 |
| #10    | teste                     | Docs       | 2.0     | 2.1       | defect      | somebody   | 03/09/09 |
| #16    | OPA test1                 | IPAC       | 2.0     | 2.1       | defect      | anonymous  | 03/10/09 |
| #12    | short summary             | Docs       | 2.0     | 2.1       | task        | somebody   | 03/10/09 |
| #14    | ad and all                | component1 | 2.0     | 2.1       | task        | Pelle      | 03/10/09 |
| #1     | crayola marker            | component3 | 1.1     | 3.0       | defect      | demo *     | 02/2007  |
| #15    | Display name position     | component1 |         | 2.1       | enhancement | somebody   | 03/10/09 |

Note: See [TracReports](#) for help on using and creating reports.

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# Trac – open ticket

## Ticket #15 (new enhancement)

**Display name position** Opened 7 minutes ago  
Last modified 10 seconds ago

|              |                         |              |                |
|--------------|-------------------------|--------------|----------------|
| Reported by: | demo                    | Assigned to: | I. Sommerville |
| Priority:    | low                     | Milestone:   | 2.1            |
| Component:   | File Table              | Version:     | 2.1            |
| Severity:    | critical                | Keywords:    | File table     |
| Cc:          | I. Sommerville, G. Dean |              |                |

Description Reply

When a component is selected from the structure, display the name of the file where it is stored.

*Relatively simple to implement as a file name table is available. Requires the design and implementation of a display field. No changes to associated components are required*

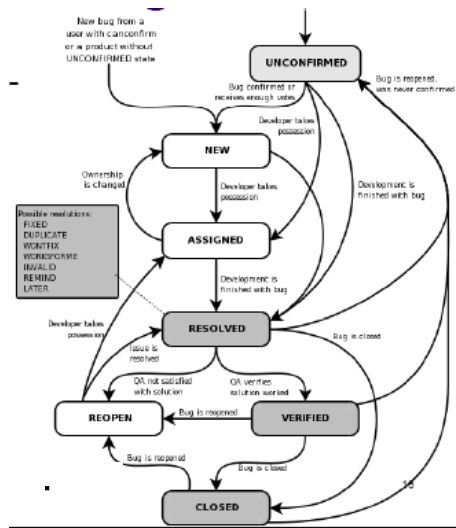
## Attachments

Attach File

## Change History

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# Lifecycle for change (bug)



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## Real cases

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## Products

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- Electronic Control Unit ECU (embedded sw)
  - ♦ power unit: engine, energy recovery (KERS)
  - ♦ gearbox
  - ♦ brakes
- Support tools
  - ♦ simulation (of car)
  - ♦ telemetry
  - ♦ configuration (30K parameters)
  - ♦ pit stop control

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## Roles

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- Mechanical engineer
- Software engineer
  - ♦ Application (control theory)
  - ♦ Embedded
  - ♦ PC

## Process and toolchain

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- Simulink/stateflow model
- Translation to C
- Compilation to assembly (freescale)
- Configuration and Tuning
- Upload to firmware

## Process and Timing

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«The race starts at 2pm»

2 weeks between races

Requirements: 2 days

Coding : 2 days

Test : 4 days

FIA freezing: 3 days

Race: 3 days

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## In one season (mar – nov)

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- 300 versions embedded code
- 100 versions tools
- 1000 change requests
  - ♦ Few trivial bugs
  - ♦ Tens of conceptual defects (requirement misunderstanding)

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## Key issues

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- Tight schedule
- Interaction mechanical engineers– sw engineers
  - ♦ Understanding mechanical / control issues
  - ♦ Communicating

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Apache  
(and Linux, Mozilla, ..)

## Process

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- Organized as an evolution/maintenance process
- Time span: many years (10+)
- very successful (at least in successful projects)

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## Tools and products

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- Tools
  - ♦ CVS (config management system)
  - ♦ Mailing list
  - ♦ Bugzilla (Bug tracking)
- Products
  - ♦ Source code
  - ♦ Test cases
  - ♦ (mails, bugs, comments)

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## Roles and activities

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- [Mockus 2000]
  - ♦ Core team (2–8 people)
    - Architecture, requirements, integration/build, release
  - ♦ Patch developers (10–100)
    - Patch (evolutive + corrective)
  - ♦ Bug providers (100 – 1000+)
    - Signal bugs
  - ♦ Others
    - Download and use

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## Facts

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- Limited documentation
  - ♦ no project plan, no quality plan, no requirement document
- Key points
  - ♦ Strict CVS
  - ♦ Simple effective tools for bug/change tracking
  - ♦ Hierarchy of roles and responsibilities
- ♦ Top notch, motivated developers (especially in core team)

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Lucent

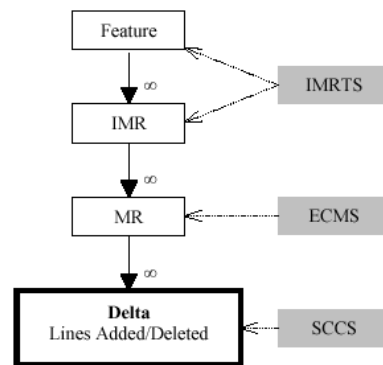
## Evolution – 5ESS change process

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- 5ESS telephone switching system, Lucent
- 100MLoc, C, C++
- 50 subsystems
- 20 years
- 200 developers (peak) to 50

## Change hierarchy

- ♦ Feature, composed of (many)
- ♦ IMR, initial modification request (logical), Implemented by (many)
- ♦ MR (functionally independent, one developer)



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## Tools

- Features and IMRs: IMRTS
  - ♦ Description
- MRs: ECMS tool
  - ♦ Parent IMR, date, files affected, rationale for change
- Configuration management (deltas): SCCS

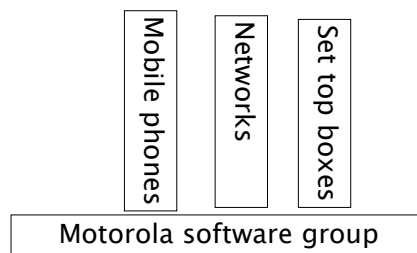
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# Motorola GSG

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## Motorola software group

- Horizontal support (software development) to Motorola business units
- Multisite (16 worldwide)



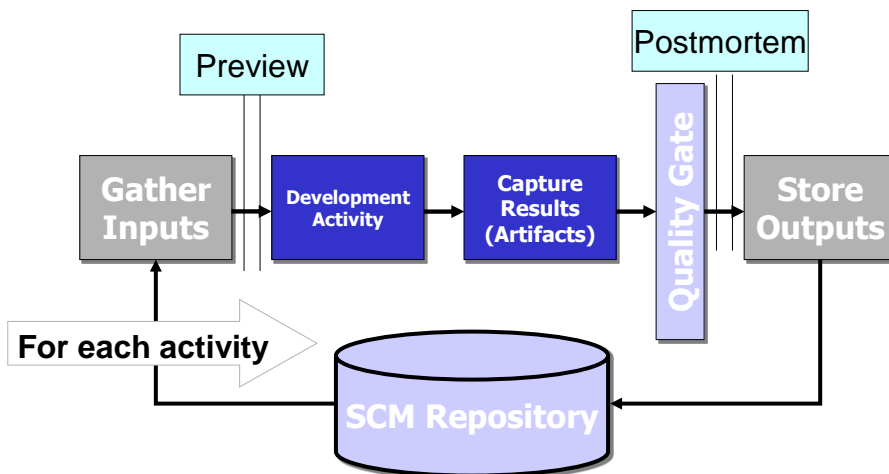
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# Principles

- CMMI framework
  - ♦ Goal: All centers level 5
- Inviolates
  - ♦ Project Planning & Tracking
  - ♦ Process Framework
  - ♦ Previews and Post Mortems
  - ♦ Records and Metrics
  - ♦ Quality Control (Review & Test)
  - ♦ Configuration Management

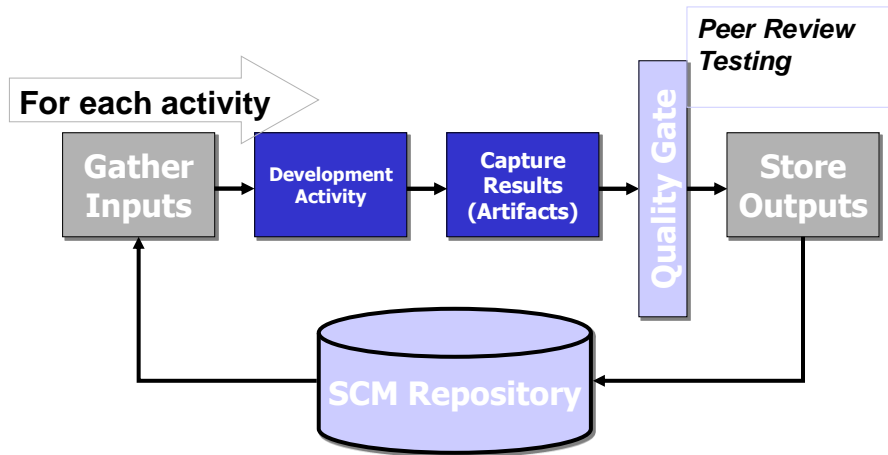
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## Inviolate: Process framework



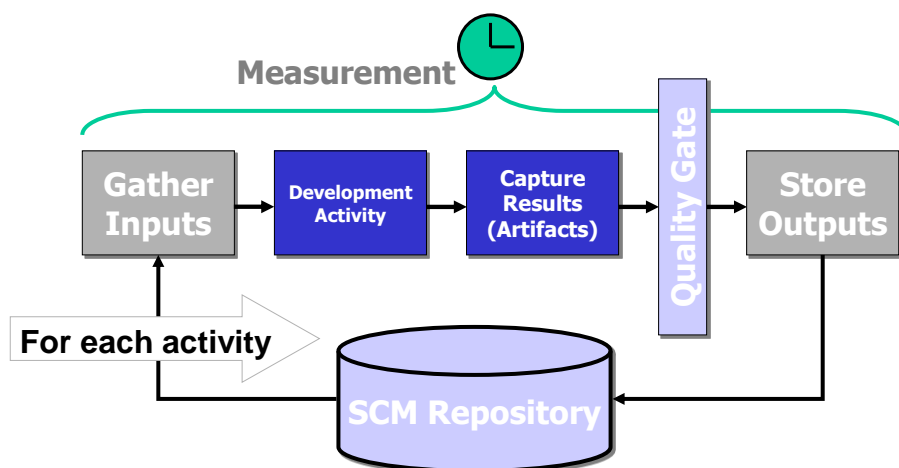
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## Inviolable: Quality control



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## Inviolable: record and metrics



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## Synch and Stabilize

- Microsoft, 93–95

## Context

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- Time to market essential
  - ♦ Requirements can't be fixed early on
- Complex products (MLocs), several interacting components,
  - ♦ design hard to devise and freeze early on

## Approach

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- Iterations
  - ♦ changes to design and requirements
- Small teams (3–8 people) working in parallel
- Maintain hacker culture
- Synchronize frequently
- 1 tester : 1 developer

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## Synch-and-Stabilize

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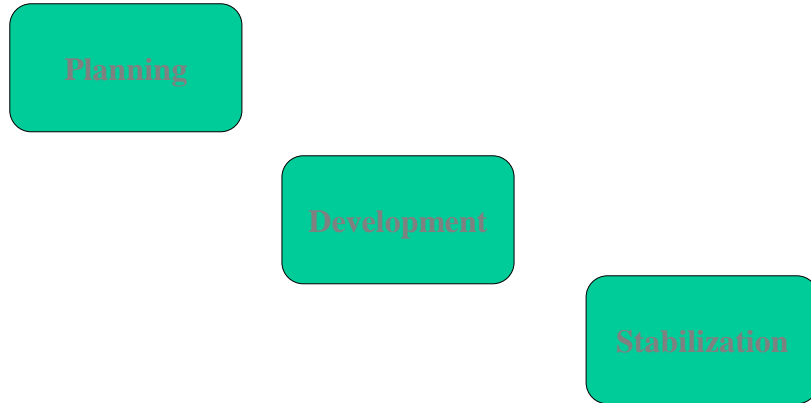
- Continually synchronize parallel teams
- Periodically stabilize the product in increments versus once at the end

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## 3 Phases

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## Planning Phase

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Planning

- Vision Statement – Product Managers
  - ♦ Define goals for the new product
  - ♦ Priority–order user activities that need to be supported by product features
- Deliverables:
  - ♦ Specification document
  - ♦ Schedule and “feature team” formation
    - 1 program manager
    - 3–8 developers
    - 3–8 testers (1:1 ratio with developers)

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## Development Phase

Development

- Plan 3–4 subprojects (lasting 2–4 months each) (iterations)
- Buffer time between iterations
- Each subproject many feature teams
- Subprojects -- design, code, debug
  - ♦ starting with most critical features and shared components
  - ♦ feature set may change by 30% or more

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## Subproject Development

- ♦ Feature teams go through the complete cycle of development, feature integration, testing and fixing problems
- ♦ Testers are paired with developers
- ♦ Feature teams synchronize work by building the product, finding and fixing errors on a daily and weekly basis
- ♦ At the end of a subproject, the product is stabilized

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## Stabilization Phase

Stabilization

- Internal testing of complete product
- External testing
  - ♦ beta sites
  - ♦ ISVs
  - ♦ OEMs
  - ♦ end users
- Release preparation



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## Five Principles

1. Divide large projects into multiple cycles with buffer time (20–50%)
2. Use a “vision statement” and outline feature specifications to guide projects
3. Base feature selection and priority order on user activities and data
4. Evolve a modular and horizontal design architecture
5. Control by individual commitments to small tasks and fixed project resources

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## + Five Principles

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1. Work in parallel teams but “synch up” and debug daily
2. Always have a product you can ship, with versions for every major platform and market
3. Speak a “common language” on a single development site
4. Continuously test the product as you build it
5. Use metric data to determine milestone completion and product release

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## Rules for Coordination

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- Specific time to “check in” code
  - ♦ new build every day
  - ♦ immediate testing and debugging
- Code that “breaks” the build must be fixed immediately
- Daily builds generated for each platform and for each market

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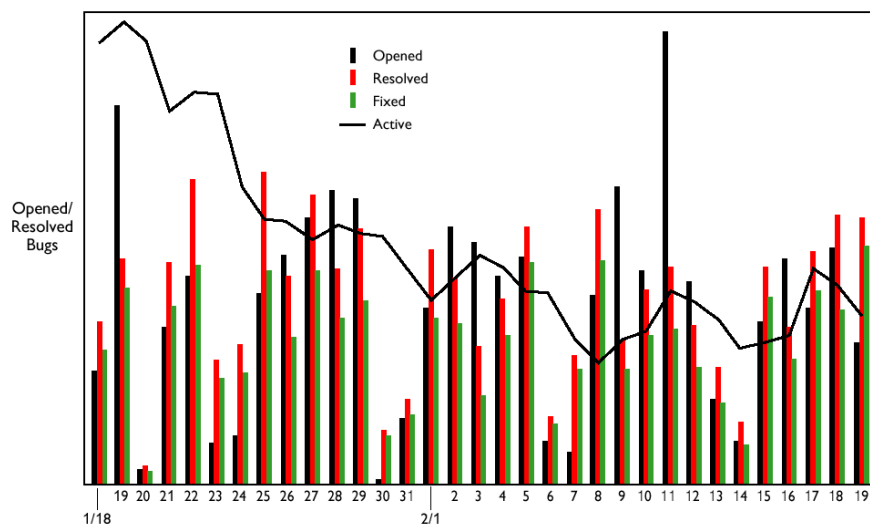
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# Communication

- All developers at a single physical site
- Common development languages (C/C++)
- Standardized development tools
- Small set of quantitative metrics to decide when to move forward in a project
  - ♦ e.g. daily build progress is tracked by the number of new, resolved and active bugs

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## The “Structured Hacker” Approach

- Retain hacker culture
- Add enough structure to make products reliable enough, powerful enough, and simple enough
- Support a competitive strategy of introducing products that are “good enough”, and improving them by incrementally evolving features

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## Comparison to Traditional

- Waterfall approach “freezes” product specification, then all components are designed, built and merged.
- Prevents
  - ♦ changing specifications
  - ♦ getting feedback from customers
  - ♦ continually testing components as the product evolves

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## Advantages

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- Lends itself to
  - ♦ shipping preliminary versions
  - ♦ adding features or in subsequent releases
  - ♦ easier integration of pieces of products that may still be years away

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## Synch-&-Stabilize vs. Sequential

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- |   |   |
|---|---|
| ▪ Parallel development & testing                    | ▪ Sequential development & testing                      |
| ▪ Vision statement and evolving specification       | ▪ Frozen specification                                  |
| ▪ Features prioritized in subprojects               | ▪ All features built simultaneously                     |
| ▪ Daily builds (synch), intermediate stabilizations | ▪ One late, large integration and test phase at the end |

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## Synch-&-Stabilize vs Sequential

- Fixed, multiple release & ship dates
- Continuous customer feedback in development process
- Large teams work like small teams
- Aiming for perfection in each cycle
- Feedback after development as input for next project
- Individuals in separate functional departments work as a large group

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## Weaknesses

- Microsoft needs more concentrated focus on its product architectures
- Microsoft needs a more rigorous approach to design & code reviews
- S-&-S process may not be suitable for all new products
  - ♦ e.g., Video on demand components have real-time constraints that require precise mathematical models
- Does not focus on defect prevention

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## Benefits

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- Breaks down large projects into manageable pieces (priority-ordered sets of features)
- Projects proceed systematically even when it's impossible to complete a stable design at the outset
- Large teams work like small teams

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## More Benefits

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- Provides a mechanism for customer inputs, setting priorities, completing most important parts first
- Allows responsiveness to the marketplace by “always” having a product ready to ship and having an accurate assessment of which features are completed

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## Cyber physical ecosystems (wikipedia, OSM, Google, Twitter..)

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## The 'city' model [Kazman 2014]

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- No clear boundary
  - ♦ APIs and mash ups (ex API for Google Maps)
- Peer content / code production
  - ♦ Requirements never known, emerge from individual contributions
- Continuous evolution
  - ♦ No releases, no planning

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- 
- Continuous operation
    - ♦ No development – maintenance
    - ♦ Always on and always changing
  - Open teams, unstable resources
  - Sufficient correctness
    - ♦ Always ‘beta’
  - Emergent behaviours
    - ♦ Unplanned behaviours and functions
    - ♦ (Twitter as coordination tool of masses)

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## The structure

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- Core/Kernel
  - ♦ Horizontal functions
  - ♦ Always on, top reliability, closed, slow to change
- Periphery
  - ♦ End user functions and content
  - ♦ Fast changing, open

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# Bifurcation

---

- Core
  - ♦ Core requirements / architecture well defined
  - ♦ Controlled by core team
  - ♦ Reliability: high
- Periphery
  - ♦ Unclear, instable req and design
  - ♦ No control, crowdsourcing
  - ♦ Reliability: variable

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# Process selection

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# Process selection

Product



Process

## Process attributes

- Sequential vs parallel activities
  - ♦ (i.e. documents can be modified in parallel or not)
- Iterations vs no iterations
  - ♦ Duration of iteration
- Time framed vs not
- Colocation of staff
- Emphasis on documents (need for certification, compliance)

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## Process comparison

|                     | Agile | 26262      | RUP    | Synch Stab |
|---------------------|-------|------------|--------|------------|
| Iteration number    | many  | One+       | few    | few        |
| Iteration duration  | weeks | long       | months | months     |
| Parallel activities | yes   | no         | yes    | Yes        |
| Documents           | few   | many       | many   | few        |
| Colocation staff    | yes   | Yes or not | –      | Yes        |
|                     |       |            |        |            |

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## Product attributes

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- Criticality
- Size
- Domain
- Lifespan
- Lifecycle phase
- Bespoke / market driven
- Ownership
- Relationship user – developer

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## Criticality

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- Criticality
  - ♦ Safety critical, mission critical, other
  - ♦ More criticality, more emphasis on:  
reliability, safety, security
    - Norms and laws applied, legal responsibility issues
    - ex ISO IEC 61508 for safety critical functions
    - Ex Iso 26262 for safety critical functions in automotive

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## Size

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- Size
  - ♦ LOCs (Lines of Code)
  - ♦ Duration of development, team size
  - ♦ Number of subcontractors
  - ♦ Effect on coordination and communication during development and maintenance

## Domain

---

- Aerospace, medical, automotive, industry, banking, insurance, ...
  - ♦ Effect on norms and laws applied:
    - ex Basel 3 in finance, 26262 in automotive, Do178B in aerospace
  - ♦ Effect on responsibility of developer, operator, maintainer

## Lifespan, lifecycle phase

---

- Expected lifespan
  - ♦ Months, years
  - ♦ Effect on tradeoff development cost vs maintenance cost
- Lifecycle phase
  - ♦ New development
  - ♦ Maintenance
    - Cyclic management of change requests, effects of legacy

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## Bespoke / market driven

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- Bespoke: one customer / end user
- Market driven: many customers / end users
- Effect on time to market, requirement collection and ranking, cost structure and business model

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## Ownership

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- Full property
  - Copyright
  - Copyleft
- ♦ Effect on capability of modifying code vs parameterization/adaptation

## Relationship with developer

---

- Same as end user
- Internal department of company
- External company

## Process selection

---

### Product attributes

- Criticality
- Size
- Lifetime
- Bespoke /market driven



### Process attributes

- Parallel activities
- Iterations
- Time framed
- Colocation of staff
- Documents based

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## Process selection

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- Understand product attributes
- Rank -ilities
- Apply rules of thumb

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## Rules of thumb

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- Reliability, safety
  - ♦ Waterfall –like (document–based, few iterations, no parallel activities)
- Market driven (Time to market)
  - ♦ Frequent iterations
- Colocation of staff
  - ♦ No: document–based, no parallel activities

## Rules of thumb 2

---

- Size
  - ♦ The bigger, the more documents and activities
- Lifetime
  - ♦ Long: documents

## Relationship developer / user

---

## Typical scenario

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### 1 Bespoke, external, property, *new*

- ♦ Company (ex Polito) needs custom software product (ex Polito APP)
- ♦ Company SW develops it
  - Inception, for requirement analysis and contract negotiation
  - Contract signature
  - Development, delivery

## Typical scenario

---

### 2 Bespoke, external, property, *maintenance*

- ♦ Company (ex Polito) needs maintenance work on owned software product P (Ex POLITO App)
- ♦ Company SW performs maintenance work
  - Similar to case 1, but typically contract is per year and involves a fixed amount of work (or dedicated staff) in the year (ex 400 p-days) and not an amount of functionality (as in scenario 1)

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## Typical scenarios

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### 1-a, 2-a

same as above, but internal

- typical of bank, insurance,
- internal IT department instead of external vendor
- No legal contract, but similar negotiation of functionality / effort requested between user department and IT department

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# Typical scenarios

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## 3 COTS, external, copyright

- ♦ Company (ex Microsoft) develops and sells a mass market product (ex Windows)
  - Fixes and patches on the current release (maintenance thread)
  - Work on next release (new development thread)