

On the Number of Multiplicative Partitions Author(s): John F. Hughes and J. O. Shallit

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homologous to either zero or  $\theta h_n$ . In either case, when we apply  $\theta$ , we find that  $\theta h_n - g_\# \theta c_n$  is homologous to zero. That is,  $\theta h_n$  and  $g_\# \theta c_n$  belong to the same homology class. Note that  $\theta c_n$  is a cycle, because  $\partial \theta c_n = \theta \partial c_n = \theta \theta c_{n-1} = 0$ . Therefore, if  $\beta$  is the homology class of  $\theta c_n$ , then  $g_*(\beta)$  is the nonzero element of  $\tilde{H}_n(S^n; \mathbb{Z}/2)$ . It follows that  $\beta$  is nonzero. Finally, the fact that  $\theta \theta c_n = 0$  means that  $v_\# \theta c_n = \theta c_n$ , so  $v_*(\beta) = \beta$ .

#### References

- 1. M. K. Agoston, Algebraic Topology, Marcel Dekker, New York, 1976.
- 2. D. G. Bourgin, Modern Algebraic Topology, Macmillan, New York, 1963.

#### ON THE NUMBER OF MULTIPLICATIVE PARTITIONS

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I. A Number-Theoretic Function. In this note we show that if f(n) is the number of essentially different factorizations of n, then

$$f(n) \leqslant 2n^{\sqrt{2}}.$$

In considering numbers that have exactly k divisors, one is led to examine this function f(n), the number of ways to write n as the product of integers  $\ge 2$ , where we consider factorizations that differ only in the order of the factors to be the same. We call these representations of n multiplicative partitions. For example, f(12) = 4, since

$$12 = 6 \cdot 2 = 4 \cdot 3 = 3 \cdot 2 \cdot 2$$

are the four multiplicative partitions of 12. From these four representations, we can conclude that a number has exactly 12 divisors if and only if its prime factorization is one of the following:

$$p^{11}$$
,  $p^5q$ ,  $p^3q^2$ ,  $p^2qr$ .

This follows from the expression for  $\tau(n)$ , the number of divisors of  $n = p_1^{a_1} p_2^{a_2} \cdots p_k^{a_k}$ .

$$\tau(n) = \prod_{j=1}^{k} (1 + a_j).$$

For example, see [1].

The behavior of f(n) is quite erratic, and apparently has not been previously studied in this form. We observe that if q is prime, then  $f(q^k) = p(k)$ , the number of additive partitions of k. Also, if  $q_1, q_2, \ldots, q_k$  are distinct primes, then  $f(q_1q_2 \cdots q_k) = B(k)$ , the kth Bell number. See [2].

More generally,  $f(q_1^{a_1} \cdots q_k^{a_k})$  is the number of additive partitions of the "multi-partite number"  $(a_1, a_2, \dots, a_k)$ , where addition is defined component-wise. See [3] for further details. We will show that

$$f(n) \leqslant 2n^{\sqrt{2}}.$$

For a table of f(n) for  $1 \le n \le 100$ , see the Appendix.

II. Proof of the Main Result. To prove (1) we first define an auxiliary function:

g(m, n) = the number of multiplicative partitions of n with all elements  $\leq m$ .

Clearly f(n) = g(n, n). We have the following

THEOREM 1.

(2) 
$$g(m,n) = \sum_{\substack{d \mid n \\ d \leq m}} g(d,n/d).$$

*Proof.* We define g(m, 1) = 1 and g(1, n) = 0 for  $n \ne 1$ . Let  $n = a_1 a_2 \cdots a_k$  be a multiplicative partition of n with all factors  $\le m$ . Then we may assume the factors are arranged in decreasing order, so  $a_1$  is the largest factor in the product. The number of ways to choose  $a_2 \cdots a_k$  is therefore  $g(a_1, n/a_1)$ . But  $a_1$  was unspecified, and therefore could be any divisor d of n such that  $d \le m$ . Summing over all such d gives the result.  $\square$ 

From Theorem 1 we can obtain a simple estimate for g(m, n).

THEOREM 2.

$$g(m,n) \leq mn$$
.

*Proof.* The theorem is clearly true for m = 1 or n = 1. We will show it is true by induction on the product mn. Assume true for all m, n such that mn < MN, where  $M \ge 2$ . Then from Theorem 1 we have

$$g(M,N) = \sum_{\substack{d \mid N \\ d \leq M}} g(d,N/d).$$

Since  $d \cdot N/d = N < MN$ , we may apply the induction hypothesis to the terms inside the summation. We find

$$g(M, N) \leq \sum_{\substack{d \mid N \\ d \leq M}} d \cdot N/d$$
$$\leq \sum_{\substack{d \leq M \\ m \neq M}} N$$
$$= MN$$

and the theorem is true by induction.

Theorem 2 gives the estimate  $f(n) = g(n, n) \le n^2$ . It is possible to improve this estimate, which we do in a moment. First we need three easy lemmas.

LEMMA 3.

$$g(a,b) \leq g(b,b).$$

*Proof.* This follows immediately, since if  $a \ge b$ , we have strict equality, while if a < b, we have summing over fewer terms of equation (2).  $\square$ 

LEMMA 4. Let 0 < c < 1. Then

$$f(n) \leq g(n^c, n) + \sum_{d=1}^{n^{1-c}} f(d).$$

Proof.

$$f(n) = g(n, n) = \sum_{d|n} g(d, n/d)$$
$$= \sum_{\substack{d|n\\d \le n^c}} g(d, n/d) + \sum_{\substack{d|n\\d \ge n^c}} g(d, n/d)$$

$$\leqslant g(n^c, n) + \sum_{\substack{d \mid n \\ d > n^c}} g(n/d, n/d) \text{ (by Theorem 1 and Lemma 3)}$$

$$= g(n^c, n) + \sum_{\substack{d \mid n \\ d < n^{1-c}}} g(d, d)$$

$$\leqslant g(n^c, n) + \sum_{d=1}^{n^{1-c}} f(d),$$

which is the desired result.  $\Box$ 

LEMMA 5. Let  $a \ge 0$ . Then

$$\sum_{d=1}^{k} d^{a} \leqslant \frac{k^{a+1}}{a+1} + k^{a}.$$

*Proof.* This is easily proved by comparison with the integral  $\int_1^k t^a dt$ . We are now in a position to prove our main result.

THEOREM 6.

$$f(n) \leq 2n^{\sqrt{2}}$$
.

*Proof.* The table in the Appendix shows the theorem is true for  $n \le 69$ . We will prove the theorem by induction on n. Assume  $f(d) \le kd^{c+1}$  for d < n, where  $n \ge 70$  and c and k are constants to be specified later. Then from Lemma 4 we have

$$f(n) \leq g(n^{c}, n) + \sum_{d=1}^{n^{1-c}} f(d)$$

$$\leq n^{c+1} + \sum_{d=1}^{n^{1-c}} f(d) \text{ (by Theorem 2)}$$

$$\leq n^{c+1} + k \sum_{d=1}^{n^{1-c}} d^{c+1} \text{ (by induction)}$$

$$\leq n^{c+1} + k \left( \frac{(n^{1-c})^{c+2}}{c+2} + (n^{1-c})^{c+1} \right) \text{ (by Lemma 5)}.$$

Now put k = 2 and  $c = \sqrt{2} - 1$  to get

$$f(n) \le n^{\sqrt{2}} + \frac{2}{\sqrt{2} + 1} n^{\sqrt{2}} + 2n^{2(\sqrt{2} - 1)}$$
  
 $\le 2n^{\sqrt{2}}$ 

since  $2/\sqrt{2} + 1 < 5/6$  and  $2n^{2(\sqrt{2}-1)} \le 1/6n^{\sqrt{2}}$  for  $n \ge 70$ .

Our theorem is now proved by induction.  $\square$ 

III. Two Conjectures. Numerical evidence seems to indicate that the exponent  $\sqrt{2}$  in Theorem 6 is too large. We make two conjectures; the second is more doubtful.

CONJECTURE 1.

$$f(n) \leq n$$
.

Conjecture 2.

$$f(n) \leqslant \frac{n}{\log n} \text{ for } n \neq 144.$$

Both these conjectures have been verified by computer for  $n \le 10,000$ .

## Appendix

n	f(n)	n	f(n)	n	f(n)	n	f(n)
1	1	26	2	51	2	76	4
2	1	27	2 3 4	52	4	77	2
2 3	1	28	4	53	1	78	2 5
4	2	29	1	54	7	79	1
5	1	30	5 1	55	2	80	12
6	2	31	1	56	2 7	81	5
7	1	32	7	57	2	82	2
8	3	33	2	58	2 2	83	1
9	2	. 34	2	59	1	84	11
10	3 2 2 1	35	2 2 2 9	60	11	85	2
11	1	36	9	61	1	86	
12	4	37	1	62	2	87	2 2
13	1	38	2 2 7	63	4	88	7
14	2	39	2	64	11	89	1
15	2 2 5	40	7	65	2	90	11
16		41	1	66	2 5	91	2
17	1	42	5	67	1	92	4
18	4	43	1	68	4	93	
19	1	44	4	69	2	94	2 2
20	4	45	4	70	5	95	2
21	2 2	46	2 1	71	1	96	19
22	2	47	1	72	16	97	1
23	1	48	12	73	1	98	4
24	7 2	49	12 2 4	74	2 4	99	4
25	2	50	4	75	4	100	9

# References

- 1. G. H. Hardy and E. M. Wright, An Introduction to the Theory of Numbers, Oxford, Clarendon Press, 1971, p. 239.
  - **2.** G. T. Williams, Numbers generated by the function  $e^{e^{x^{-1}}}$ , this Monthly, 52 (1945) 323–327.
- 3. George Andrews, The Theory of Partitions, Encyclopedia of Mathematics and Its Applications 2, Gian-Carlo Rota, Editor, Addison-Wesley, Reading, Mass. 1976.

## **ANSWERS TO PHOTOS ON PAGE 437**

No, they are partial. They are two of the most famous partial differential equators in the world. Top: Lars Hörmander of Lund; bottom: Olga Ladyženskaja of Leningrad.