

Analyzing Paxos with Fault-Tolerant Multiparty Session Types

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1 Introduction

In distributed systems components on different computers coordinate and communicate via message passing to achieve a common goal. Sometimes, to achieve this goal, the individual components need to reach consensus, i.e., agree on the value of some data using a consensus algorithm. For example in state machine replication or when deciding which database transactions should be committed in what order. For such a distributed system to behave correctly the consensus algorithm needs to be correct. Thus, analyzing consensus algorithms is important.

To achieve consensus, consensus algorithms must satisfy the following properties: termination, validity, and agreement [2]. Proving these properties can be complicated. Model checking tools lead to big state-spaces so static analysis is preferable. For static analysis Multiparty Session Types are particularly interesting because session typing can ensure protocol conformance and the absence of communication errors and deadlocks [6].

Due to the presence of faulty processes and unreliable communication consensus algorithms are designed to be fault-tolerant. Modelling fault-tolerance is not possible using Multiparty Session Types, thus a fault-tolerant extension is necessary. Peters, Nestmann, and Wagner developed such an extension called Fault-Tolerant Multiparty Session Types.

In this work we will use Fault-Tolerant Multiparty Session Types to analyze the consensus algorithm Paxos, as described in [4].



2 Technical Preliminaries

First, we define the sorts, some additional notation, and use them to define the global type. Afterwards we define some sets and functions to create the processes.

3 Model

First, we specify some sorts with which we can then define the global type. Afterwards, we define the processes for the proposer and the acceptor. Finally, we will study an example run of the model.

3.1 Sorts

Sorts are basic data types. We assume the following sorts.

First, we have `Bool` which we define as a set.

$$\text{Bool} = \{\text{true}, \text{false}\}$$

Second, we assume a set of values `Value`.

Then, we have some sorts which we define using a grammar. Each of these definitions contains a type variable, which is a variable ranging over types. In this case the type variable in each definition is called a .

$$\text{Maybe } a = \text{Just } a \mid \text{Nothing}$$

A value of type `Maybe a` can have the form `Just a` or `Nothing`. Some examples include `Just 4` of type `Maybe \mathbb{N}` , `Just false` of type `Maybe Bool`, and `Nothing`. `Nothing` itself does not dictate an exact type because its definition does not include the type variable a . The type is underspecified and is specified manually or through the context in which `Nothing` is used. It can be of type `Maybe \mathbb{N}` , `Maybe Bool`, or any other type b in `Maybe b` . We use `Maybe a` where optional values are needed.

$$\text{Proposal } a = \text{Proposal } \mathbb{N} \ a$$

`Proposal a` only has one possible form, which is `Proposal $\mathbb{N} \ a$` . A proposal contains its proposal number of type \mathbb{N} and its value of type a . Again, a is a variable ranging over types. An example for a value of type `Proposal Bool` could be `Proposal 1 true` and an example for a value of type `Proposal Maybe \mathbb{N}` could be `Proposal 1 Just 1`. Note that `Proposal 1 Just 1` is of type `Proposal a` where $a = \text{Maybe } b$ and $b = \mathbb{N}$. This sort models the proposals issued by the proposers in phase $2a$.

$$\text{Promise } a = \text{Promise Maybe Proposal } a \mid \text{Nack } \mathbb{N}$$

Promise a has two possible forms. Promise Maybe Proposal a and Nack \mathbb{N} . Promise Maybe Proposal a is the same as Promise c where $c = \text{Maybe } b$ and $b = \text{Proposal } a$. Possible values include Nack 1 and Promise Just Proposal 1 – 1 of type Promise \mathbb{Z} . The actual type of Nack 1, much like that of Nothing, is underspecified. Again, we have to specify the exact type manually or through context.

In phase 1b the acceptors respond to the proposers prepare request with a value of type Promise Value. The prepare request contains a number n . The acceptors may respond to the prepare request with a promise to not accept any proposal numbered less than n or with a rejection. In the first case the acceptor's response optionally includes the last proposal it accepted, if available, and is of the form Promise Maybe Proposal a . In the second case it includes the highest n that acceptor promised and is of the form Nack \mathbb{N} .

3.2 Global Type

Since each proposer initiates its own session the global type can be defined for one proposer p and a quorum of acceptors A_Q .

The last phase of Paxos contains no inter-process communication, so it is not modeled in the global type.

$$G_{P,A_Q} = (\mu X) \left(\bigodot_{a \in A_Q} p \rightarrow_u a : l1a \langle \mathbb{N} \rangle \right) . \left(\bigodot_{a \in A_Q} a \rightarrow_u p : l1b \langle \text{Promise Value} \rangle \right) . \\ \left(p \rightarrow_w A_Q : \text{Accept} . \left(\bigodot_{a \in A_Q} p \rightarrow_u a : l2a \langle \text{Proposal Value} \rangle \right) . 0 \oplus \text{Restart} . X \oplus \text{Abort} . 0 \right)$$

We can distinguish the individual phases of the Paxos algorithm by the labels $l1a$, $l1b$, and $l2a$.

In the first two steps, 1a and 1b, the proposer sends its proposal number to each acceptor in A_Q and listens for their responses. In step 2a the proposer decides whether to send an *Accept* or *Restart* message to restart the algorithm. This decision is broadcast to all acceptors in A_Q . Should the proposer crash the algorithm ends for this particular proposer and quorum of acceptors.

3.3 Functions

We define some functions which we use in the next section to define the processes.

$$\text{proposalNumber} : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$$

$\text{proposalNumber}_p(n)$ returns a proposal number for proposer p when given a natural number n . It is used to pick a number for the prepare request in phase 1a, which is also used in phase 2a in the actual proposal. We have two requirements for this function.

Let \mathbb{P} be the set of proposers.

$$\forall p, q \in \mathbb{P}. \forall n, m \in \mathbb{N} : p \neq q \rightarrow \text{proposalNumber}_p(n) \neq \text{proposalNumber}_q(m)$$

Different proposers pick their proposal numbers from disjoint sets of numbers. This way different proposers never issue a proposal with the same proposal number.

$$\forall p \in \mathbb{P}. \forall n, m \in \mathbb{N} : n > m \rightarrow \text{proposalNumber}_p(n) > \text{proposalNumber}_p(m)$$

We require $\text{proposalNumber}_p(n)$ to be strictly increasing for each proposer p so every proposer uses a higher proposal number than any it has already used.

$$\text{promiseValue} : \text{list of Promise } a \rightarrow a$$

$\text{promiseValue}(ps)$ returns a fresh value if none of the promises in ps contain a value. Otherwise, the best value is returned. Usually, that means the value with the highest associated proposal number. A promise contains a value v if it is of the form $\text{Promise Just } v$. With this function we can model the picking of a value for a proposal in phase 2a.

$$\begin{aligned} \text{anyNack} &: \text{list of Promise } a \rightarrow \text{Bool} \\ \text{anyNack}([]) &= \text{false} \\ \text{anyNack}((\text{Nack } _ \# _)) &= \text{true} \\ \text{anyNack}((_ \# xs)) &= \text{anyNack}(xs) \end{aligned}$$

$\text{anyNack}(ps)$ returns true if the list contains at least one promise of the form $\text{Nack } n$. Otherwise, it returns false.

$$\begin{aligned} \text{promiseCount} &: \text{list of Promise } a \rightarrow \mathbb{N} \\ \text{promiseCount}([]) &= 0 \\ \text{promiseCount}((\text{Promise } _ \# xs)) &= 1 + \text{promiseCount}(xs) \\ \text{promiseCount}((_ \# xs)) &= \text{promiseCount}(xs) \end{aligned}$$

$\text{promiseCount}(ps)$ takes a list of promises ps and calculates the number of promises in that list of that have the form $\text{Promise } m$.

$\text{anyNack}(ps)$ and $\text{promiseCount}(ps)$ are used in the proposer to decide which branch to take in phase 2a.

$$\begin{aligned} \text{gt} &: a \rightarrow \text{Maybe } a \rightarrow \text{Bool} \\ \text{gt}(_, \text{Nothing}) &= \text{true} \\ \text{gt}(a, \text{Just } b) &= a > b \end{aligned}$$

$$\begin{aligned} \text{ge} &: a \rightarrow \text{Maybe } a \rightarrow \text{Bool} \\ \text{ge}(_, \text{Nothing}) &= \text{true} \\ \text{ge}(a, \text{Just } b) &= a \geq b \end{aligned}$$

$$\begin{aligned} \text{nFromProposal} &: \text{Proposal } a \rightarrow \mathbb{N} \\ \text{nFromProposal}(\text{Proposal } n _) &= n \end{aligned}$$

$\text{nFromProposal}(p)$ retrieves the proposal number n inside proposal p , which has the form $\text{Proposal } n \text{ } pr$. $\text{nFromProposal}(p)$, $\text{gt}(a, ma)$, and $\text{ge}(a, ma)$ are used to extract and compare proposal numbers in phase 2b of the acceptor.

$$\text{genAQ} : \mathbb{N} \times \mathbb{N} \times \mathbb{N} \rightarrow \text{list of } \mathbb{N}$$

$\text{genAQ}(p, c_A, c_P)$ returns a randomly selected set A_Q with $A_Q \subseteq A = \{1, \dots, c_A\}$ and $|A_Q| > \frac{|A|}{2}$. A_Q consists of any majority of acceptors. In Paxos a majority of acceptors forms a quorum, i.e. an accepting set with which a value can be chosen [3]. We use this function when initiating the proposers to give them a quorum of acceptors with which they communicate.

3.4 Processes

3.4.1 System Initialization

$$\begin{aligned} \text{Sys}(c_A, c_P) &= \bar{o}[2](t) \cdot \text{P}_{\text{init}}^P(c_A + 1, \text{genAQ}(c_A + c_P, c_A, c_P), c_A + c_P, c_A + c_P, []) \\ &\quad | \bar{o}[1](t) \cdot \Pi_{c_A < k \leq c_A + c_P} \text{P}_{\text{init}}^P(c_A + 1, \text{genAQ}(k, c_A, c_P), k, k, []) \\ &\quad | \Pi_{1 \leq j \leq c_A} \text{P}_{\text{init}}^A(j, c_A + 1, c_A, c_P, n_a, pr_a) \\ \\ \text{P}_{\text{init}}^P(p, A_Q, n, m, \vec{V}) &= \bar{b}_n[i](s) \cdot \text{P}^P \\ \text{P}_{\text{init}}^A(a, p, c_A, c_P, n, pr) &= \Pi_{c_A < k \leq c_A + c_P} b_k[a](s) \cdot \text{P}_1^A \end{aligned}$$

$\text{Sys}(c_A, c_P)$, $\text{P}_{\text{init}}^P(p, A_Q, n, m, \vec{V})$, and $\text{P}_{\text{init}}^A(a, p, c_A, c_P, n, pr)$ describe the system initialization. c_A and c_P are the number of acceptors and proposers respectively.

An outer session is created through shared-point o . This outer session is not strictly necessary but was left in to allow for easier extension of the model. The acceptors are initialized using indices from 1 to c_A and the proposers are initialized using indices from $c_A + 1$ to $c_A + c_P$.

$\text{P}_{\text{init}}^P(p, A_Q, n, m, \vec{V})$ is initialized with the proposer's role in its own session p , which is always $c_A + 1$, a quorum of acceptors A_Q , an index n , and a vector \vec{V} . Each proposer has the same role $p = c_A + 1$ but uses a different shared-point b_n according to its index n . m is initialized to the same value as n but is never updated. \vec{V} is used in the proposer to collect and evaluate the responses from the acceptors. It is always initialized with an empty list $[]$. Shared-point b_n is used to initiate a session. Afterwards, the process behaves like P^P .

$P_{\text{init}}^A(a, p, c_A, c_P, n, pr)$ is initialized with the acceptor's index a , the proposer index p , which is always $c_A + 1$, c_A , c_P , initial knowledge for the highest promised proposal number n , if available, and initial knowledge for the most recently accepted proposal pr , if available. n is of type Maybe \mathbb{N} and pr is of type Maybe (Proposal Value) thus both can be Nothing. Each of the proposers' session requests are accepted in a separate subprocess. These subprocesses run parallel to each other but still access the same values for n and pr . We observe that each subprocess in an acceptor accesses a different channel s , since it is generated by the proposer and passed through when the proposers' session request is accepted. Afterwards, each subprocess behaves like P_1^A .

3.4.2 Proposer

To define the proposer and the acceptor we introduce a function $\text{update}(n, m)$ which replaces the value inside n with the value of m . We use this function to update the local variables of the processes.

$$\begin{aligned}
P^P &= (\mu X) \text{ update}(n, n + 1) . \\
&\left(\odot_{a \in A_Q} s[p, a]!_u l1a \langle \text{proposalNumber}_m(n) \rangle \right) . \\
&\left(\odot_{a \in A_Q} s[a, p]?_u l1b \langle \perp \rangle (v_a) \right) . \\
&\text{if anyNack} \left(\vec{V} \right) \text{ or } \text{promiseCount} \left(\vec{V} \right) < \left\lceil \frac{p}{2} \right\rceil \\
&\text{then } s[p, A_Q]!_w \text{Restart}.X \\
&\text{else} \\
&\quad s[p, A_Q]!_w \text{Accept}. \\
&\left(\odot_{a \in A_Q} s[p, a]!_u l2a \left\langle \text{Proposal } \text{proposalNumber}_m(n) \text{ promiseValue} \left(\vec{V} \right) \right\rangle \right) . 0
\end{aligned}$$

At the start of the recursion n is incremented to make sure every run of the recursion uses a different n and thus a different proposal number. The proposal number is sent to every acceptor in A_Q and their replies are gathered in \vec{V} through v_a . Because $p = c_A + 1$, the minimum number of acceptors needed to form a majority is $\left\lceil \frac{p}{2} \right\rceil = \left\lceil \frac{c_A + 1}{2} \right\rceil$. If any Nack x was received or the number of Promise y received is less than that needed for the smallest majority the proposer restarts the algorithm. Otherwise, the proposer sends its proposal to the acceptors and terminates.

3.4.3 Acceptor

$$\begin{aligned}
P_1^A &= (\mu X) s[p, a]?_u l1a \langle \perp \rangle (n') . \\
&\quad \text{if } n' = \perp \\
&\quad \text{then } s[a, p]!_u l1b \langle \perp \rangle . P_2^A \\
&\quad \text{else} \\
&\quad \quad \text{if } \text{gt}(n', n) \\
&\quad \quad \text{then } \text{update}(n, n') . s[a, p]!_u l1b \langle \text{Promise } pr \rangle . P_2^A \\
&\quad \quad \text{else } s[a, p]!_u l1b \langle \text{Nack } n \rangle . P_2^A \\
\\
P_2^A &= s[p, a]?_w \text{Accept} . s[p, a]?_u l2a \langle \perp \rangle (pr') . \\
&\quad \text{if } pr' = \perp \\
&\quad \text{then } 0 \\
&\quad \text{else} \\
&\quad \quad \text{if } \text{ge}(\text{nFromProposal}(pr'), n) \\
&\quad \quad \text{then } \text{update}(pr, pr') . \text{update}(n, \text{Just } \text{nFromProposal}(pr')) . 0 \\
&\quad \quad \text{else } 0 \\
&\quad \oplus \text{Restart} . X \\
&\quad \oplus \text{Abort} . 0
\end{aligned}$$

For each proposer an acceptor has a corresponding subprocess, which behaves like P_1^A . These subprocesses access the same values for n and pr . This means that updating these values with $\text{update}(n, m)$ updates them for all subprocesses of an acceptor.

Each subprocess can communicate with one proposer. Thus, if that proposer does not or can not communicate with a particular subprocess of an acceptor then there is no need for that subprocess. It is possible that an acceptor participates in a proposer's session but is not contained in the proposer's quorum of acceptors A_Q , in which case the proposer does not communicate with that acceptor. It is also possible for a proposer to crash or otherwise terminate, in which case the proposer can not communicate with that acceptor.

Each subprocess starts out by potentially receiving a proposal number n' from the corresponding proposer. If the acceptor does receive a proposal number n' it responds with either $\text{Promise } pr$ or $\text{Nack } n$, depending on the values of n' and n . If the acceptor does not receive a proposal number then it sends \perp to the proposer. Sending \perp to the proposer is only necessary to maintain the global type. In either case the subprocess moves on to receive the proposers' decision in phase 2a.

Since the proposers' decision broadcast is weakly reliable, there are two cases in which the acceptor receives no decision. The proposer might have terminated or this particular acceptor is not in the proposers' quorum of acceptors A_Q . In either case this particular subprocess of the acceptor is no longer needed, because each subprocess of the acceptor exclusively communicates with one proposer. Thus, the subprocess terminates in the default branch *Abort*.

In the *Restart* branch this particular subprocess of the acceptor restarts the algorithm to match the corresponding proposer.

In the *Accept* branch the acceptor potentially receives a proposal pr' from the corresponding proposer. The acceptor updates n and pr if the proposal number in pr' is greater or equal to n . Then the subprocess terminates. If the acceptor does not receive a proposal or the proposal number of pr' is less than n the subprocess terminates without updating n or pr .

3.5 Failure Patterns

Chandra and Toueg introduce a class of failure detectors $\diamond\mathcal{S}$, which is called *eventually strong* in [1]. Failure detectors in $\diamond\mathcal{S}$ satisfy the following properties: (1) eventually every process that crashes is permanently suspected by every correct process and (2) eventually some correct process is never suspected by any correct process.

In all three phases modeled in the global type it is possible to suspect senders. In phases 1a and 2a, with labels $l1a$ and $l2a$ respectively, the acceptors may suspect some proposers. The proposers may suspect some acceptors in phase 1b with label $l1b$. Accordingly, FP_{uskip} is implemented with a failure detector in $\diamond\mathcal{S}$ for phases 1a, 1b, and 2a.

Similarly, message loss is possible in all phases modeled in the global type. Thus, FP_{ml} is also implemented with a failure detector in $\diamond\mathcal{S}$ with one exception. $FP_{\text{ml}}(s, p, a, l)$ returns true if p is a proposer, a is an acceptor that is not contained in the proposers' quorum of acceptors, and $l = l1b$. Since any proposer only communicates with the acceptors in its quorum, we can discard any messages from acceptors outside it.

For the weakly reliable broadcast in phase 2a, the failure pattern FP_{wskip} returns true for sub-processes of acceptors if, and only if, the corresponding proposer crashed, otherwise terminated, or the corresponding proposer's quorum does not include that particular acceptor.

For Paxos to work a majority of acceptors needs to be alive. That means that the number of failed acceptors f needs to satisfy $n > 2f$ where n is the total number of acceptors, except in one case where there are 2 acceptors. Then, at most one acceptor may crash [3]. For acceptors FP_{crash} returns true if, and only if, at least one more acceptor may crash, i.e. $n > 2(f + 1)$ is satisfied. Let \mathbb{F} be the set of processes permanently suspected by a failure detector in $\diamond\mathcal{S}$. For proposers FP_{crash} returns true if $A_Q \setminus \mathbb{F}$ is not a quorum, i.e. if the set of acceptors in A_Q that are not permanently suspected is not enough to form a majority of acceptors.

In Paxos there is no need to reject outdated messages so FP_{uget} is implemented with a constant true.

3.6 Example

In this section we will study an example run of the model with 3 acceptors and 2 proposers. First, we will take a look at the example scenario. Then we will examine the scenario using reduction rules starting at system initialization.

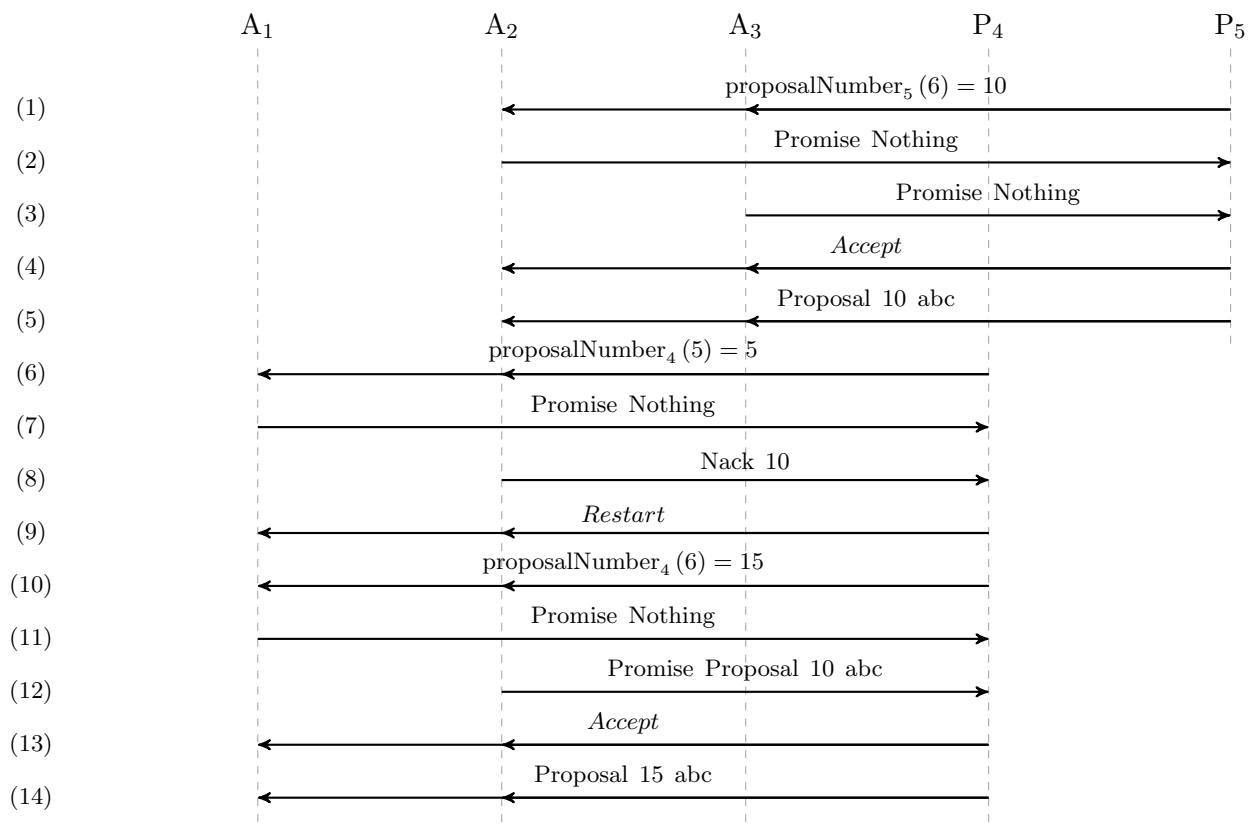


Figure 3.1: Example scenario with 3 acceptors and 2 proposers.

3.6.1 Scenario

Figure 3.1 provides an overview where A_1 , A_2 , and A_3 are the acceptors and P_4 and P_5 are the proposers. In steps (1) to (5), P_5 completes the Paxos algorithm with A_2 and A_3 and terminates.

At this point A_2 has promised not to accept any proposal numbered less than 10 and has accepted the value abc. So, when P_4 tries to use 5 as its proposal number (6), it receives Nack 10 from A_2 (8) and has to restart the algorithm (9).

P_4 then runs through the Paxos algorithm with A_1 and A_2 starting with a new prepare request (10) with a higher proposal number. In step (12) P_4 learns that value abc with proposal number 10 has already been accepted by A_2 . Later, in step (14), P_4 issues a proposal with the value of the highest-numbered proposal that it receives as a response to its prepare request. In this case there is only one such proposal, which is Proposal 10 abc.

In the end all 3 acceptors have accepted the value abc. A_1 and A_2 have accepted Proposal 15 abc and A_3 has accepted Proposal 10 abc.

3.6.2 Formulae

We set $c_A = 3$, $c_P = 2$, and $V = \{\text{abc}, \text{def}, \dots, \text{vwx}, \text{yz}\}$.

System Initialization

After inserting c_A and c_P and applying (Init) once for shared-point a we have:

$$\begin{aligned}
 \text{Sys}(c_A, c_P) &= \text{Sys}(3, 2) = \\
 &o[1](t) \cdot \Pi_{3 < k < 5} P_{\text{init}}^P(4, \text{genA}_Q(k, 3, 2), k, k, []) \\
 &\mid \bar{o}[2](t) \cdot P_{\text{init}}^P(4, \text{genA}_Q(5, 3, 2), 5, 5, []) \\
 &\mid \Pi_{1 \leq a \leq 3} P_{\text{init}}^A(a, 4, 3, 2, n_a, pr_a) \\
 &\longmapsto^* (\nu t) (\bar{b}_4[4](s) \cdot P^P = P_4 \\
 &\mid \bar{b}_5[4](r) \cdot P^P = P_5 \\
 &\mid (b_4[1](s) \cdot P_1^A \mid b_5[1](r) \cdot P_1^A) = A_1 \\
 &\mid (b_4[2](s) \cdot P_1^A \mid b_5[2](r) \cdot P_1^A) = A_2 \\
 &\mid (b_4[3](s) \cdot P_1^A \mid b_5[3](r) \cdot P_1^A) = A_3 \\
 &\mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
 \end{aligned}$$

Note that the outer session created via shared-point o isn't strictly necessary in the model. We apply (Init) once for shared-point b_4 and once again for shared-point b_5 to obtain:

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) \text{ update } (n, 5) . \left(\bigodot_{a \in \{1,2\}} s[4, a]!_u l1a \langle \text{proposalNumber}_4(n) \rangle \right) \dots = P_4 \\
& | (\mu X) \text{ update } (n, 6) . \left(\bigodot_{a \in \{2,3\}} r[4, a]!_u l1a \langle \text{proposalNumber}_5(n) \rangle \right) \dots = P_5 \\
& | ((\mu X) s[4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r[4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots) = A_1 \\
& | ((\mu X) s[4, 2]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r[4, 2]?_u l1a \langle \perp \rangle (n') . \text{if } \dots) = A_2 \\
& | ((\mu X) s[4, 3]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r[4, 3]?_u l1a \langle \perp \rangle (n') . \text{if } \dots) = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [] \rangle
\end{aligned}$$

Note that each process is shortened to only show the next few steps instead of the entire process.

The Happy Path

After applying the updates in P_4 and P_5 the first inter-process communication can take place. In this case P_5 communicates with A_2 and A_3 . We apply (USend) and (UGet) twice to send $\text{proposalNumber}_5(6) = 10$ to A_2 and A_3 .

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s[4, 1]!_u l1a \langle \text{proposalNumber}_4(5) \rangle . s[4, 2]!_u l1a \langle \text{proposalNumber}_4(5) \rangle \dots = P_4 \\
& | (\mu X) r[2, 4]?_u l1b \langle \perp \rangle (v_2) . r[3, 4]?_u l1b \langle \perp \rangle (v_3) \dots = P_5 \\
& | ((\mu X) s[4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r[4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots) = A_1 \\
& | ((\mu X) s[4, 2]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) \text{if } 10 = \perp \text{ then } s[2, 4]!_u l1b \langle \perp \rangle . P_2^A \text{ else } \dots) = A_2 \\
& | ((\mu X) s[4, 3]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) \text{if } 10 = \perp \text{ then } s[3, 4]!_u l1b \langle \perp \rangle . P_2^A \text{ else } \dots) = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [] \rangle
\end{aligned}$$

Since $10 \neq \perp$ both A_2 and A_3 move into their respective else branches.

$$\begin{aligned}
& = (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s[4, 1]!_u l1a \langle \text{proposalNumber}_4(5) \rangle . s[4, 2]!_u l1a \langle \text{proposalNumber}_4(5) \rangle \dots = P_4 \\
& | (\mu X) r[2, 4]?_u l1b \langle \perp \rangle (v_2) . r[3, 4]?_u l1b \langle \perp \rangle (v_3) \dots = P_5 \\
& | ((\mu X) s[4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r[4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots) = A_1 \\
& | ((\mu X) s[4, 2]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) \text{if gt}(10, \text{Nothing}) \text{ then } \dots \text{ else } \dots) = A_2 \\
& | ((\mu X) s[4, 3]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) \text{if gt}(10, \text{Nothing}) \text{ then } \dots \text{ else } \dots) = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [] \rangle
\end{aligned}$$

Because $\text{gt}(10, \text{Nothing})$ returns true, A_2 and A_3 move into their respective then branches. After executing $\text{update}(n, 10)$, A_2 and A_3 are ready to send their responses to P_5 .

$$\begin{aligned}
&= (\nu t) (\nu s) (\nu r) (\\
&(\mu X) s [4, 1]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle . s [4, 2]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle \dots = P_4 \\
&| (\mu X) r [2, 4]?_u l1b \langle \perp \rangle (v_2) . r [3, 4]?_u l1b \langle \perp \rangle (v_3) \dots = P_5 \\
&| ((\mu X) s [4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r [4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots) = A_1 \\
&| ((\mu X) s [4, 2]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r [2, 4]?_u l1b \langle \text{Promise Nothing} \rangle . P_2^A) = A_2 \\
&| ((\mu X) s [4, 3]?_u l1a \langle \perp \rangle (n') . \text{if } \dots | (\mu X) r [3, 4]?_u l1b \langle \text{Promise Nothing} \rangle . P_2^A) = A_3 \\
&| \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

We apply (USend) and (UGet) twice to do just that. Note that we also apply (USkip) to A_1 , evaluate its branches, and apply (USend) to A_1 and then (ML) to P_5 to discard the dummy message. All three acceptors move into P_2^A .

$$\begin{aligned}
&\mapsto^* (\nu t) (\nu s) (\nu r) (\\
&(\mu X) s [4, 1]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle . s [4, 2]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle \dots = P_4 \\
&| (\mu X) r [4, \{2, 3\}]!_w \text{Accept} . r [4, 2]!_u l2a \langle \text{Proposal 10 abc} \rangle \dots = P_5 \\
&| ((\mu X) \dots | (\mu X) r [4, 1]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0) = A_1 \\
&| ((\mu X) \dots | (\mu X) r [4, 2]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0) = A_2 \\
&| ((\mu X) \dots | (\mu X) r [4, 3]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0) = A_3 \\
&| \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

P_5 broadcasts its decision *Accept* to A_2 and A_3 . By applying (WSel) once, (WBran) twice we obtain:

$$\begin{aligned}
&\mapsto^* (\nu t) (\nu s) (\nu r) (\\
&(\mu X) s [4, 1]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle . s [4, 2]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle \dots = P_4 \\
&| (\mu X) r [4, 2]!_u l2a \langle \text{Proposal 10 abc} \rangle . r [4, 3]!_u l2a \langle \text{Proposal 10 abc} \rangle \dots = P_5 \\
&| ((\mu X) \dots | (\mu X) r [4, 1]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0) = A_1 \\
&| ((\mu X) \dots | (\mu X) r [4, 2]?_u l2a \langle \perp \rangle (pr') . \text{if } \dots) = A_2 \\
&| ((\mu X) \dots | (\mu X) r [4, 3]?_u l2a \langle \perp \rangle (pr') . \text{if } \dots) = A_3 \\
&| \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

Now P_5 can send its proposal to A_2 and A_3 and terminate. To do so we apply (USend) and (UGet) twice. A_2 and A_3 accept the proposal and the respective subprocesses terminate. Note that we apply (WSkip) in A_1 and terminate that subprocess as well.

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [4, 1]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle . s [4, 2]!_u l1a \langle \text{proposalNumber}_4 (5) \rangle \dots = P_4 \\
& | (\mu X) s [4, 1]?_u l1a \langle \perp \rangle (n') . \text{if } \dots = A_1 \\
& | (\mu X) s [4, 2]?_u l1a \langle \perp \rangle (n') . \text{if } \dots = A_2 \\
& | (\mu X) s [4, 3]?_u l1a \langle \perp \rangle (n') . \text{if } \dots = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : \square \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : \square \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : \square)
\end{aligned}$$

At this point the local variables of A_2 and A_3 are $n = 10$ and $pr = \text{Proposal } 10 \text{ abc}$. A_1 has not updated its local variables $n = \text{Nothing}$ and $pr = \text{Nothing}$.

Restarting the Algorithm

Next, P_4 sends prepare requests with a proposal number less than 10, which is rejected by A_2 . P_4 then decides to restart the algorithm. We apply (USend) and (UGet) twice. We also apply (USkip) once in A_3 .

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [1, 4]?_u l1b \langle \perp \rangle (v_1) . s [2, 4]?_u l1b \langle \perp \rangle (v_2) \dots = P_4 \\
& | (\mu X) \text{if } 5 = \perp \text{ then } P_2^A \text{ else } \dots = A_1 \\
& | (\mu X) \text{if } 5 = \perp \text{ then } P_2^A \text{ else } \dots = A_2 \\
& | (\mu X) \text{if } \perp = \perp \text{ then } P_2^A \text{ else } \dots = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : \square \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : \square \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : \square)
\end{aligned}$$

A_3 moves directly to P_2^A whereas A_1 and A_2 send their responses to P_4 before moving to P_2^A . A_1 also updates its local variable $n = 5$.

$$\begin{aligned}
& = (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [1, 4]?_u l1b \langle \perp \rangle (v_1) . s [2, 4]?_u l1b \langle \perp \rangle (v_2) \dots = P_4 \\
& | (\mu X) s [1, 4]!_u l1b \langle \text{Promise Nothing} \rangle . P_2^A = A_1 \\
& | (\mu X) s [2, 4]!_u l1b \langle \text{Nack } 10 \rangle . P_2^A = A_2 \\
& | (\mu X) r [4, 3]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0 = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : \square \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : \square \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : \square)
\end{aligned}$$

Applying (USend) and (UGet) twice and evaluating the branching in P_4 yields:

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [4, \{1, 2\}]!_w \text{Restart}.X = P_4 \\
& | (\mu X) s [4, 1]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0 = A_1 \\
& | (\mu X) s [4, 1]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0 = A_2 \\
& | (\mu X) r [4, 3]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0 = A_3 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

P_4 sends its decision to restart the algorithm to A_1 and A_2 by applying (WSel) once and (WBran) twice. A_3 terminates after applying (WSkip).

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [4, 1]!_u l1a \langle 15 \rangle . s [4, 2]!_u l1a \langle 15 \rangle \dots = P_4 \\
& | (\mu X) s [4, 1]?_u l1a \langle \perp \rangle (n') . \text{if} \dots = A_1 \\
& | (\mu X) s [4, 2]?_u l1a \langle \perp \rangle (n') . \text{if} \dots = A_2 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

The Happy Path, Again

This time P_4 uses a high enough proposal number so that A_1 and A_2 both promise not to accept any proposal numbered less than that. By applying (USend) and (UGet) and evaluating the branches in the remaining acceptors we arrive at:

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [1, 4]?_u l1b \langle \perp \rangle (v_1) . s [2, 4]?_u l1b \langle \perp \rangle (v_2) . \text{if} \dots = P_4 \\
& | (\mu X) s [1, 4]!_u l1b \langle \text{Promise Nothing} \rangle . P_2^A = A_1 \\
& | (\mu X) s [2, 4]!_u l1b \langle \text{Promise Proposal 10 } abc \rangle . P_2^A = A_2 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

Note that, at this point, A_1 and A_2 have updated their respective n to 15.

Because A_2 has already accepted a proposal, it responds to P_4 's prepare request with that proposal. Twice more we apply (USend) and (UGet) and evaluate the branch in P_4 to obtain:

$$\begin{aligned}
& \mapsto^* (\nu t) (\nu s) (\nu r) (\\
& (\mu X) s [4, \{1, 2\}]!_w \text{Accept} \dots = P_4 \\
& | (\mu X) s [4, 1]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0 = A_1 \\
& | (\mu X) s [4, 1]?_w \text{Accept} \dots \oplus \text{Restart}.X \oplus \text{Abort}.0 = A_2 \\
& | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])
\end{aligned}$$

P_4 has received enough promises to send its own proposal. The value for that proposal is `abc` because that is the value of the highest-numbered proposal P_4 received as a response to its prepare request. First, we apply (WSel) and (WBran).

$$\begin{aligned} & \mapsto^* (\nu t) (\nu s) (\nu r) (\\ & (\mu X) s [4, 1]!_u l2a \langle \text{Proposal } 15 \text{ abc} \rangle . s [4, 2]!_u l2a \langle \text{Proposal } 15 \text{ abc} \rangle . 0 = P_4 \\ & | (\mu X) s [4, 1]?_u l2a \langle \perp \rangle (pr') . \text{if } \dots = A_1 \\ & | (\mu X) s [4, 2]?_u l2a \langle \perp \rangle (pr') . \text{if } \dots = A_2 \\ & | \Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : []) \end{aligned}$$

Then we apply (USend) and (UGet) to send the proposal from P_4 to the acceptors. P_4 terminates and the acceptors accept the received proposal and then terminate as well.

$$\mapsto^* (\nu t) (\nu s) (\nu r) (\Pi_{1 \leq k, l \leq 4, k \neq l} s_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 4, k \neq l} r_{k \rightarrow l} : [] \mid \Pi_{1 \leq k, l \leq 2, k \neq l} t_{k \rightarrow l} : [])$$

Afterwards A_1 and A_2 have $n = 15$ and $pr = \text{Proposal } 15 \text{ abc}$ and A_3 has $n = 10$ and $pr = \text{Proposal } 10 \text{ abc}$. All acceptors have accepted the value `abc`.

4 Analysis

We take the model from the previous chapter, type-check it, and discuss what the type check means for agreement, validity, and termination of the Paxos algorithm. To execute the type check we project the global type to local types and use the typing rules given in [5] to prove that our model is well-typed.

4.1 Local Types

Because no communication takes place in the outer session, the outer session's type is $G = 0$. Every projection of G to a local type is $G \upharpoonright_k = 0$ for every k .

For $1 \leq a \leq c_A$ and $c_A + 1 \leq p \leq c_A + c_P$ we define the projections of the global type G_{p,A_Q} .

$$G_{p,A_Q} \upharpoonright_p = (\mu x) \left(\bigodot_{a \in A_Q} [a]!_u l1a \langle \mathbb{N} \rangle \right) . \left(\bigodot_{a \in A_Q} [a]?_u l1b \langle \text{Promise Value} \rangle \right) . \\ \left([A_Q]!_w \text{Accept} . \left(\bigodot_{a \in A_Q} [a]!_u l2a \langle \text{Proposal Value} \rangle \right) . 0 \oplus \text{Restart} . x \oplus \text{Abort} . 0 \right)$$

$G_{p,A_Q} \upharpoonright_p$ defines the local type for proposers. First, the proposer sends a proposal number to all acceptors in its quorum in phase 1a. It receives their responses in phase 1b and then branches in phase 2a. We can see that the proposer communicates with all acceptors in its quorum in every phase.

$$G_{p,A_Q} \upharpoonright_a = (\mu x) [p]?_u l1a \langle \mathbb{N} \rangle . [p]!_u l1b \langle \text{Promise Value} \rangle . \\ ([p]?_w \text{Accept} . [p]?_u l2a \langle \text{Proposal Value} \rangle . 0 \oplus \text{Restart} . x \oplus \text{Abort} . 0)$$

$G_{p,A_Q} \upharpoonright_a$ defines the local type for acceptors, assuming a proposer p . Since Paxos defines two roles that communicate with each other, their local types complement each other. An acceptor first receives a proposal number, then it responds with a Promise Value. Finally, it receives the proposer's branching choice.

4.2 Type Check

$$\Gamma = o : G \cdot b_{c_A+1} : G_{p,A_Q} \cdot b_{c_A+2} : G_{p,A_Q} \cdot \dots \cdot b_{c_A+c_P} : G_{p,A_Q} \cdot c_A : \mathbb{N} \cdot c_P : \mathbb{N}$$

Γ contains the type for our shared-points o and b_n where $c_A + 1 \leq n \leq c_A + c_P$.

We start the type-check with the global environment Γ and the entry-point of the model $\text{Sys}(c_A, c_P)$. Then, we apply the typing rules in [5] in a proof tree and show that the model can be derived from the axioms (Var) and (End).

4.2.1 System Initialization

$$\frac{\frac{(S_1)}{\Gamma \vdash \bar{o}[2](t) \dots \triangleright \emptyset} \quad \frac{\frac{(S_2)}{\Gamma \vdash o[1](t) \dots \triangleright \emptyset} \quad \frac{(S_3)}{\Gamma \vdash \Pi_{1 \leq a \leq c_A} P_{\text{init}}^A(\dots) \triangleright \emptyset}}{\Gamma \vdash o[1](t) \dots \mid \Pi_{1 \leq a \leq c_A} P_{\text{init}}^A(\dots) \triangleright \emptyset} (\text{Par})}{\Gamma \vdash \bar{o}[2](t) \cdot P_{\text{init}}^P(\dots) \mid o[1](t) \dots \mid \Pi_{1 \leq a \leq c_A} P_{\text{init}}^A(\dots) \triangleright \emptyset} (\text{Par})$$

We apply (Par) twice and split off into three sub-proofs (S_1) , (S_2) , and (S_3) .

$$(S_1) = \frac{\frac{(P)}{\Gamma \vdash \bar{b}_{c_A+c_P}[c_A+1](s) \cdot P^P \triangleright t[2] : G \mid_2}}{\Gamma \vdash \bar{o}[2](t) \cdot P_{\text{init}}^P(c_A+1, \text{genA}_Q(c_A+c_P, c_A, c_P), c_A+c_P, c_A+c_P, []) \triangleright \emptyset} (\text{Rec})$$

In (S_1) we apply (Rec) once and defer the rest of the proof-tree. Note that, since $G \mid_2 = 0$, $t[2] : G \mid_2 = \emptyset$. This is relevant later when continuing (P) .

$$(S_2) = \frac{\frac{\frac{(P)}{\Gamma \vdash \bar{b}_{c_A+1}[c_A+1](s) \cdot P^P \triangleright \emptyset} \quad \dots \quad \frac{(P)}{\Gamma \vdash \bar{b}_{c_A+c_P-1}[c_A+1](s) \cdot P^P \triangleright \emptyset}}{\Gamma \vdash \Pi_{c_A < k < c_A+c_P} P_{\text{init}}^P(c_A+1, \text{genA}_Q(k, c_A, c_P), k, k, []) \triangleright t[1] : G \mid_1} (\text{Par})^{c_P-1}}{\Gamma \vdash o[1](t) \cdot \Pi_{c_A < k < c_A+c_P} P_{\text{init}}^P(\dots) \triangleright \emptyset} (\text{Acc})$$

Applying (Acc) in (S_2) requires that $o : G \in \Gamma$. (Par) is applied $c_P - 1$ times to separate all the proposer processes. Each individual proposer can be type-checked with the same proof-tree (P) . Because $G \mid_1 = 0$, $t[1] : G \mid_1 = \emptyset$. The session environment Δ in (P) is empty for every proposer.

$$(S_3) = \frac{\frac{\frac{(A_1)}{\Gamma \vdash P_1^A \triangleright s[a] : G_{p,A_Q} \vdash_a} (\text{Acc})}{\Gamma \vdash b_k[a](s) \cdot P_1^A \triangleright \emptyset} \dots (\text{Par})^{c_P}}{\Gamma \vdash \Pi_{c_A < k \leq c_A + c_P} b_k[c_A](s) \cdot P_1^A \triangleright \emptyset} \dots (\text{Par})^{c_A}$$

(Par) is applied c_A times to separate the individual acceptors and then c_P times for each acceptor to separate the individual subprocesses. Since every subprocess of every acceptor behaves like P_1^A and has the same local type, the same proof-tree (A_1) can be applied. Applying (Acc) to every subprocess of every acceptor requires $\forall k \in \mathbb{N} : (c_A + 1 \leq k \wedge k \leq c_A + c_P) \rightarrow b_k : G_{p,A_Q} \in \Gamma$.

Note that only one acceptor and one of its subprocesses is shown in (S_3) . The rest has been left out to improve readability.

4.2.2 Proposer

Let $p = c_A + 1, A_Q = \text{genA}_Q(k, c_A, c_P), n = k, m = k, \vec{V} = []$ where $c_A < k \leq c_A + c_P$. This gives us the values for the arguments of P_{init}^P . We observe that $\Gamma \Vdash p : \mathbb{N}, \Gamma \Vdash k : \mathbb{N}, \Gamma \Vdash n : \mathbb{N}, \Gamma \Vdash m : \mathbb{N}$, and $\Gamma \Vdash A_Q : \text{list of } \mathbb{N}$. p, k, n , and m are natural numbers and A_Q is a list of natural numbers under global environment Γ .

To abbreviate the proposer's local type in the following proof-trees we define the following sub-formulae.

$$\begin{aligned} T_{\text{acc}}^P &= \left(\odot_{a \in A_Q} [a]!_u l2a \langle \text{Proposal Value} \rangle \right) . 0 \\ T_{\text{branch}}^P &= ([A_Q]!_w \text{Accept} . T_{\text{acc}}^P \oplus \text{Restart} . x \oplus \text{Abort} . 0) \end{aligned}$$

Note that $G_{p,A_Q} \vdash_p = (\mu x) \left(\odot_{a \in A_Q} [a]!_u l1a \langle \mathbb{N} \rangle \right) . \left(\odot_{a \in A_Q} [a]?_u l1b \langle \text{Promise Value} \rangle \right) . T_{\text{branch}}^P$.

In order to shorten the proposer's process we define some variables.

$$\begin{aligned} e &= \text{anyNack}(\vec{V}) \text{ or } \text{promiseCount}(\vec{V}) < \left\lceil \frac{p}{2} \right\rceil \\ pn &= \text{proposalNumber}_m(n) \\ prop &= \text{Proposal } \text{proposalNumber}_m(n) \text{ promiseValue}(\vec{V}) \end{aligned}$$

The actual values of e , pn , and $prop$ are not relevant for the type check. We observe that $\Gamma \Vdash e : \text{Bool}$, $\Gamma \Vdash pn : \mathbb{N}$, and $\Gamma \Vdash prop : \text{Proposal Value}$.

To further abbreviate the terms in the proof-trees we define two global environments Γ' and Γ'' .

$$\Gamma' = \Gamma \cdot X : x$$

Γ' contains Γ and a type for the recursion variable X .

$$\Gamma'' = \Gamma' \cdot v_a : \text{Promise Value}, \forall a \in A_Q$$

Γ'' contains Γ' and types for the entries of \vec{V} . These are added to the global environment when applying (UGet) in phase 1b.

$$\begin{array}{c}
\frac{(P_t)}{\Gamma'' \vdash s[p, A_Q]!_w \text{Restart}.X \triangleright s[p] : T_{\text{branch}}^P} \quad \frac{(P_f)}{\Gamma'' \vdash s[p, A_Q]!_w \text{Accept} \dots \triangleright s[p] : T_{\text{branch}}^P} \quad (\text{If}) \\
\frac{\Gamma'' \vdash \text{if } e \text{ then } s[p, A_Q]!_w \text{Restart}.X \text{ else } s[p, A_Q]!_w \text{Accept} \dots \triangleright s[p] : T_{\text{branch}}^P}{\Gamma' \vdash \left(\bigodot_{a \in A_Q} s[a, p]?_u l1b \langle \perp \rangle (v_a) \right) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1b \langle \text{Promise Value} \rangle \right)} \quad (\text{UGet})^{|A_Q|} \\
\frac{\Gamma' \vdash \left(\bigodot_{a \in A_Q} s[p, a]?_u l1a \langle pn \rangle \right) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots}{\Gamma' \vdash \text{update}(n, n+1) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots} \quad (\text{USend})^{|A_Q|} \\
\frac{\Gamma' \vdash \text{update}(n, n+1) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots}{\Gamma' \vdash \text{update}(n, n+1) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots} \quad (??) \\
\frac{\Gamma' \vdash \text{update}(n, n+1) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots}{\Gamma' \vdash \text{update}(n, n+1) \dots \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots} \quad (\text{Rec}) \\
(P) = \frac{\Gamma' \vdash (\mu X) \text{update}(n, n+1) \dots \triangleright s[p] : (\mu x) \left(\bigodot_{a \in A_Q} [a]?_u l1a \langle \mathbb{N} \rangle \right) \dots}{\Gamma \vdash \bar{b}_n[p](s) . P^P \triangleright \emptyset} \quad (\text{Req})
\end{array}$$

(P) is the continuation of (S_1) and (S_2). In both proof-trees the session environment Δ was empty. Here, we apply (Req) and add $s[p] : G_{p, A_Q} \vdash_p$ to the session environment. Applying (Rec) changes the global environment from Γ to Γ' . (??) only changes the process and lets us continue. First (USend) and then (UGet) is applied for every acceptor in A_Q . (UGet) expands the session environment to Γ'' . (If) splits the proof-tree into (P_t) and (P_f).

$$(P_t) = \frac{\frac{\Gamma'' \vdash X \triangleright s[p] : x}{\Gamma'' \vdash X \triangleright s[p] : x} \quad (\text{Var})}{\Gamma'' \vdash s[p, A_Q]!_w \text{Restart}.X \triangleright s[p] : ([A_Q]!_w \text{Accept}. T_{\text{acc}}^P \oplus \text{Restart}.x \oplus \text{Abort}.0)} \quad (\text{WSel})$$

We apply (WSel) and then (Var) to finish (P_t).

$$(P_f) = \frac{\frac{\frac{\Gamma'' \vdash 0 \triangleright s[p] : 0}{\Gamma'' \vdash 0 \triangleright s[p] : 0} \quad (\text{End})}{\Gamma'' \vdash \left(\bigodot_{a \in A_Q} s[p, a]?_u l2a \langle \text{prop} \rangle \right) . 0 \triangleright s[p] : \left(\bigodot_{a \in A_Q} [a]?_u l2a \langle \text{Proposal Value} \rangle \right) . 0} \quad (\text{USend})^{|A_Q|}}{\Gamma'' \vdash s[p, A_Q]!_w \text{Accept} \dots \triangleright s[p] : ([A_Q]!_w \text{Accept}. T_{\text{acc}}^P \oplus \text{Restart}.x \oplus \text{Abort}.0)} \quad (\text{WSel})$$

After applying (USend) we can apply (USend) once for every acceptor in A_Q . Finally, we can finish (P_f) — and with it (P) — by applying (End).

4.2.3 Acceptor

First, we define the arguments of P_{init}^A and P_1^A . Let $a = j$ and $p = c_A + 1$ where $1 \leq j \leq c_A$. With session environment Γ we have $\Gamma \vdash a : \mathbb{N}$ and $\Gamma \vdash p : \mathbb{N}$.

To improve readability of the proof-trees we break down the acceptor's process and local type.

$$\begin{aligned} P_{\text{acc}}^A &= s[p, a]?_u l2a \langle \perp \rangle (pr') . \text{if } pr' = \perp \\ &\quad \text{then } 0 \\ &\quad \text{else if } \text{ge}(\text{nFromProposal}(pr'), n) \\ &\quad \quad \text{then } \text{update}(pr, pr') . \text{update}(n, \text{Just nFromProposal}(pr')) . 0 \\ &\quad \text{else } 0 \end{aligned}$$

We can see that P_2^A contains P_{acc}^A as $P_2^A = s[p, a]?_w \text{Accept}. P_{\text{acc}}^A \oplus \text{Restart}.X \oplus \text{Abort}.0$.

$$P_t^A = \text{update}(n, n') . s[a, p]!_u l1b \langle \text{Promise } pr \rangle . P_2^A$$

$$P_f^A = s[a, p]!_u l1b \langle \text{Nack } n \rangle . P_2^A$$

$$P_{\text{gt}}^A = \text{if } \text{gt}(n', n) \text{ then } P_t^A \text{ else } P_f^A$$

With P_{gt}^A , P_1^A can be written as $P_1^A = (\mu X) s[p, a]?_u l1a \langle \perp \rangle (n') . \text{if } n' = \perp \text{ then } s[a, p]!_u l1b \langle \perp \rangle . P_2^A \text{ else } P_{\text{gt}}^A$.

$$T_{\text{acc}}^A = [p]?_u l2a \langle \text{Proposal Value} \rangle . 0$$

$$T_{\text{branch}}^A = ([p]?_w \text{Accept}. T_{\text{acc}}^A \oplus \text{Restart}.x \oplus \text{Abort}.0)$$

$$T_{1b}^A = [p]!_u l1b \langle \text{Promise Value} \rangle . T_{\text{branch}}^A$$

The acceptor's local type $G_{p, A_Q} \vdash_a$ can be written as $G_{p, A_Q} \vdash_a = (\mu x) [p]?_u l1a \langle \mathbb{N} \rangle . T_{1b}^A$.

Finally, we define the global environments Γ' , Γ'' , and Γ''' .

$$\begin{aligned} \Gamma' &= \Gamma \cdot X : x \\ \Gamma'' &= \Gamma' \cdot n' : \mathbb{N} \\ \Gamma''' &= \Gamma'' \cdot pr' : \text{Proposal Value} \end{aligned}$$

Γ' contains Γ and assigns the type x to X . Γ'' additionally maps n' to type \mathbb{N} . Γ''' adds type Proposal Value for pr' .

$$\begin{aligned}
& \frac{(A_2)}{\frac{\Gamma'' \vdash P_2^A \triangleright s[a] : T_{\text{branch}}^A}{\Gamma'' \vdash s[a, p]!_u l1b \langle \perp \rangle . P_2^A \triangleright s[a] : T_{1b}^A} \text{ (USend)} \quad \frac{(A_{gt})}{\Gamma'' \vdash P_{gt}^A \triangleright s[a] : T_{1b}^A} \\
& \frac{\Gamma'' \vdash \text{if } n' = \perp \text{ then } s[a, p]!_u l1b \langle \perp \rangle . P_2^A \text{ else if } \text{gt}(n', n) \dots \triangleright s[a] : T_{1b}^A}{\Gamma'' \vdash \text{if } n' = \perp \text{ then } s[a, p]!_u l1a \langle \perp \rangle (n') . \text{if } n' = \perp \dots \triangleright s[a] : [p]?_u l1a \langle \mathbb{N} \rangle . T_{1b}^A} \text{ (UGet)} \\
(A_1) = & \frac{\Gamma' \vdash s[p, a]?_u l1a \langle \perp \rangle (n') . \text{if } n' = \perp \dots \triangleright s[a] : [p]?_u l1a \langle \mathbb{N} \rangle . T_{1b}^A}{\Gamma \vdash (\mu X) s[p, a]?_u l1a \langle \perp \rangle (n') \dots \triangleright s[a] : (\mu x) [p]?_u l1a \langle \mathbb{N} \rangle \dots} \text{ (Rec)}
\end{aligned}$$

After applying (Acc) in (S_3) the session environment contains the acceptor's local type $G_{p, A_Q} \upharpoonright_a$. We apply (Rec) and (UGet) and then split the proof-tree with (If). By applying (Rec) and (UGet) the global environment expands from Γ to Γ' to Γ'' . On the left branch we apply (USend) and defer to (A_2) . The right branch is deferred to (A_{gt}) .

Since the process of the right branch contains an if-then-else and unreliable-send statements before continuing to P_2^A , we will examine this branch first. Much like the left branch, the proof-tree of the right branch can later be deferred to (A_2) .

$$(A_{gt}) = \frac{\frac{(A_t)}{\Gamma'' \vdash P_t^A \triangleright s[a] : T_{1b}^A} \quad \frac{(A_f)}{\Gamma'' \vdash P_f^A \triangleright s[a] : T_{1b}^A}}{\Gamma'' \vdash \text{if } \text{gt}(n', n) \text{ then } P_t^A \text{ else } P_f^A \triangleright s[a] : T_{1b}^A} \text{ (If)}$$

First, we split the proof-tree with (If). We defer the resulting branches to separate proof-trees (A_t) and (A_f) .

$$(A_t) = \frac{\frac{(A_2)}{\Gamma'' \vdash P_2^A \triangleright s[a] : T_{\text{branch}}^A}}{\Gamma'' \vdash s[a, p]!_u l1b \langle \text{Nack } n \rangle . P_2^A \triangleright s[a] : [p]!_u l1b \langle \text{Promise Value} \rangle . T_{\text{branch}}^A} \text{ (USend)}$$

$$(A_f) = \frac{\frac{(A_2)}{\Gamma'' \vdash P_2^A \triangleright s[a] : T_{\text{branch}}^A}}{\Gamma'' \vdash s[a, p]!_u l1b \langle \text{Nack } n \rangle . P_2^A \triangleright s[a] : [p]!_u l1b \langle \text{Promise Value} \rangle . T_{\text{branch}}^A} \text{ (USend)}$$

In both, (A_t) and (A_f) , we apply (USend). Now we can defer to (A_2) , which is the proof-tree for P_2^A .

$$(A_2) = \frac{\frac{(A_{\text{Accept}})}{\Gamma'' \vdash P_{\text{acc}}^A \triangleright s[a] : T_{\text{acc}}^A} \quad \frac{\Gamma'' \vdash X \triangleright s[a] : x}{\Gamma'' \vdash X \triangleright s[a] : x} \text{ (Var)} \quad \frac{\Gamma'' \vdash 0 \triangleright s[a] : 0}{\Gamma'' \vdash 0 \triangleright s[a] : 0} \text{ (End)}}{\Gamma'' \vdash P_2^A \triangleright s[a] : T_{\text{branch}}^A} \text{ (WBranch)}$$

By applying (WBran) we separate the three branches. From left to right we get an *Accept*-, a *Restart*-, and an *Abort*-branch. We defer the *Accept*-branch to (A_{Accept}) . The *Restart*-branch can be finished by applying (Var) and the *Abort*-branch by applying (End).

$$(A_{Accept}) = \frac{\frac{\Gamma''' \vdash 0 \triangleright s[a] : 0}{\Gamma''' \vdash 0 \triangleright s[a] : 0} \text{ (End)} \quad \frac{\frac{\Gamma''' \vdash \text{update}(pr, pr') \dots \triangleright s[a] : 0}{\Gamma''' \vdash \text{if } \text{ge}(\text{nFromProposal}(pr'), n) \text{ then } \dots \text{ else } 0 \triangleright s[a] : 0} \text{ (If)} \quad \frac{\Gamma''' \vdash 0 \triangleright s[a] : 0}{\Gamma''' \vdash 0 \triangleright s[a] : 0} \text{ (End)}}{\Gamma''' \vdash \text{if } pr' = \perp \text{ then } 0 \text{ else } \dots \triangleright s[a] : 0} \text{ (If)} \\ (A_{Accept}) = \frac{\Gamma'' \vdash s[p, a]?_u l2a \langle \perp \rangle (pr') \dots \triangleright s[a] : [p]?_u l2a \langle \text{Proposal Value} \rangle .0}{\Gamma'' \vdash s[p, a]?_u l2a \langle \perp \rangle (pr') \dots \triangleright s[a] : [p]?_u l2a \langle \text{Proposal Value} \rangle .0} \text{ (UGet)}$$

We apply (UGet) and expand the global session to Γ''' . The proof-tree is split twice by applying (If) twice. The right-most and left-most proof-trees are finished by applying (End). We defer the proof in the middle to keep (A_{Accept}) readable.

$$(A_{update}) = \frac{\frac{\Gamma''' \vdash \text{update}(n, \text{Just } \text{nFromProposal}(pr')) .0 \triangleright s[a] : 0}{\Gamma''' \vdash \text{update}(n, \text{Just } \text{nFromProposal}(pr')) .0 \triangleright s[a] : 0} \text{ (??)} \quad \frac{\Gamma''' \vdash 0 \triangleright s[a] : 0}{\Gamma''' \vdash 0 \triangleright s[a] : 0} \text{ (End)}}{\Gamma''' \vdash \text{update}(pr, pr') \dots \triangleright s[a] : 0} \text{ (??)}$$

Finally, we apply (??) twice and (End) once. This concludes the type check and proves that the model is well-typed.

4.3 Termination, Agreement, Validity

4.3.1 Termination

The global type and well-typedness ensure the absence of deadlocks. This means that the processes either loop forever or terminate. Acceptors terminate if all of their sub-processes terminate. Each sub-process of an acceptor corresponds to one proposer. A sub-process can only terminate via the weakly reliable broadcast in P_2^A , which depends on the corresponding proposer. If that proposer crashes or its quorum does not include the acceptor, the sub-process terminates because FP_{wskip} returns true and the default branch is *Abort*, which terminates immediately. The termination of a sub-process with a correct proposer requires the termination of that proposer. Thus, we need to prove that correct proposers terminate to prove termination for our model.

If the set of acceptors in a proposer's quorum A_Q that are correct is not enough to form a majority of acceptors, that proposer repeatedly restarts the algorithm. In this case the proposer will be unable to issue a valid proposal. Because FP_{crash} returns true if $A_Q \setminus \mathbb{F}$, where \mathbb{F} is the set of processes permanently suspected by a failure detector in $\diamond\mathcal{S}$, is not a quorum, the proposer eventually crashes. Proposers either complete the Paxos algorithm after phase $2a$ or crash.

In [4] Lamport describes a scenario in which two proposers loop endlessly, never having their proposals accepted: Proposer p completes phase 1 for a proposal number n_1 . Another proposer q then completes phase 1 for a proposal number $n_2 > n_1$. Proposer p 's phase 2 accept requests for a proposal numbered n_1 are ignored

because the acceptors have all promised not to accept any new proposal numbered less than n_2 . So, proposer p then begins and completes phase 1 for a new proposal number $n_3 > n_2$, causing the second phase 2 accept requests of proposer q to be ignored. And so on.

From [4] we know that this problem is solved by electing a single distinguished proposer to be the leader. The leader eventually picks a proposal number high enough for its proposal to be accepted. The model assumes some sort of leader selection. A new leader is elected when the previous leader terminates.

4.3.2 Agreement

Any proposer p requires that the set of correct acceptors in its quorum of acceptors is itself a quorum, i.e. an accepting set with which a value can be chosen [3]. Should message loss occur in labels $l1a$ or $l1b$, p restarts the algorithm. This broadcast is weakly reliable and thus only fails when p crashes or terminates because FP_{wskip} disallows suspicion of correct live proposers in acceptors. Given the definition of `promiseValue`, p will only propose a fresh value if none of the acceptors have accepted a proposal yet. At least one acceptor in every other proposer's quorum is contained in p 's quorum. Thus, if a majority of acceptors accept p 's proposal it is sent to every other proposer when they reach phase 1b. These proposers then propagate the accepted value by proposing it again but with a higher proposal number. This way all correct acceptors accept the same value.

4.3.3 Validity

To prove validity for our model we examine the communication structure and the origins of the accepted values. Because the model is well-typed we know the communication structure is as specified in global type $G_{p,AQ}$. From [5] we know that validity then holds globally if it holds for each local process.

Labels $l1b$ and $l2a$ are used to send values that can be accepted.

Label $l1b$ is used to send messages of sort `Promise Value`. These messages are sent from the acceptors to a proposer and may contain the acceptors' accepted proposal. Should an acceptor previously have accepted a proposal, that proposal then contains the accepted value. The accepted proposal pr is either the acceptor's initial accepted proposal pr_a or a proposal that was previously proposed by a proposer. pr is sent over $l1b$ without alteration. The proposer receiving these messages stores them in \vec{V} without changing their values.

Proposers send a message of sort `Proposal Value` to their quorum of acceptors over label $l2a$. To do so, proposers pick the best value from a proposal in \vec{V} , if any is available, with `promiseValue`. An entry in \vec{V} contains a proposal $prop$ if it is of the form `Promise Just prop`. These proposals are either some acceptor's initial accepted proposal or a proposal proposed by a proposer. Not all entries in \vec{V} contain a proposal but if at least one does, `promiseValue` returns the value of one of them. If no entry in \vec{V} contains a proposal a fresh value is chosen and returned. In both cases the return value of `promiseValue` is not altered before being sent over label $l2a$, which constitutes proposing that value. Acceptors that receive and accept this proposal store it without alteration.

Since label $l1a$ is not used to transmit values that can be accepted, we conclude that validity holds for each local process and thus globally.

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